

Entity
Corrective Action Plan

Submission Date
 Business Unit
 Signature of Agency Head

All text in **BLACK** is populated automatically by the portal. All text in **BLUE** is input by the reporting entity.

Corrective Action Plan Submitted for:

December 31, 2015 June 30, 2016
 December 31, 2016 June 30, 2017

RISK	CONTROL UPDATES	PERSON IN CHARGE, JOB TITLE	DATE OF ACTION, STATUS
Risk Category- Risk Subcategory-Risk Factor from Risk #1 Risk Description populated from SLAA report	Control A Control A Description populated from SLAA report Control A Update—CAP period Provide an update on the control progress to mitigate the risk Consider including: <ul style="list-style-type: none"> •Sequence of events that have occurred or will occur during the current CAP period •Steps designed to implement control •Limitations or restrictions preventing the implementation of the control Control B Control B Description populated from SLAA report Control B Update—CAP period Provide an update on the control progress to mitigate the risk Consider including: <ul style="list-style-type: none"> •Sequence of events that have occurred or will occur during the current CAP period •Steps designed to implement control •Limitations or restrictions preventing the implementation of the control 	Person Responsible, Job Title Person Responsible, Job Title	Date of Action Fully/Partially/Not Implemented Date of Action Fully/Partially/Not Implemented
Risk Category- Risk Subcategory-Risk Factor from Risk #2 Risk Description populated from SLAA report	Control A Control A Description populated from SLAA report Control A Update—CAP period Provide an update on the control progress to mitigate the risk Consider including: <ul style="list-style-type: none"> •Sequence of events that have occurred or will occur during the current CAP period •Steps designed to implement control •Limitations or restrictions preventing the implementation of the control Control B New control—Describe the control designed to help mitigate the risk Consider including: <ul style="list-style-type: none"> •Description of the control •How the control helps to mitigate the risk 	Person Responsible, Job Title	Date of Action Fully/Partially/Not Implemented Fully/Partially/Not Implemented
ONGOING MONITORING	ONGOING MONITORING UPDATES	PERSON IN CHARGE, JOB TITLE	DATE OF ACTION, STATUS
Through our ongoing monitoring processes, the Entity reviews, evaluates, and improves our systems of internal controls and monitoring processes. The Entity is in the process of formalizing and documenting our ongoing monitoring and as such, we have determined we partially comply with California Government Code sections 13400-13407. ----- Through our ongoing monitoring processes, the Entity reviews, evaluates, and improves our systems of internal controls and monitoring processes. The Entity has not begun the process of formalizing and documenting our ongoing monitoring and as such, we have determined we do not comply with California Government Code sections 13400-13407.	Ongoing Monitoring Update—CAP period Provide an update on the ongoing monitoring progress to be fully compliant with Government Code sections 13400-13407. Consider including: <ul style="list-style-type: none"> •Sequence of events that have occurred or will occur during the current CAP period •Steps designed to implement control •Limitations or restrictions preventing the implementation of the control 	Person Responsible, Job Title	Date of Action Fully/Partially/Not Implemented