

DEPARTMENT OF FINANCE CONFLICT OF INTEREST CODE

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict of interest code, which may be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments to the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **Department of Finance** ("Department").

The Director and Designees to the Director must file their statement of economic interests electronically with **the Fair Political Practices Commission**. All other individuals holding designated positions must file their statements with the **Department**. All statements must be made available for public inspection and reproduction under Government Code Section 81008.

NOTE: Authority cited: Sections 81008, 87300, 87306, Government Code. Reference: Section 87302, Government Code.

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APPENDIX A

DESIGNATED POSITIONS	ASSIGNED DISCLOSURE CATEGORY
EXECUTIVE OFFICE	
Deputy Director, External Affairs	I
Senior Advisor to the Director of Finance	I
LEGAL OFFICE	
Attorney III-IV (Specialist)	I
OVERSIGHT AND ACCOUNTABILITY	
RESEARCH AND ANALYSIS	
Assistant Program Budget Manager (All)	I
Principal Program Budget Analyst I-III	I
OFFICE OF STATE AUDITS AND EVALUATIONS	
Chief, Office of State Audits and Evaluations	I
Assistant Chief, Office of State Audits and Evaluations	I
Manager, Financial and Performance Evaluator	I
Supervisor, Financial and Performance Evaluator	X
ADMINISTRATION	
Deputy Director of Administration	I

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DESIGNATED POSITIONS	ASSIGNED DISCLOSURE CATEGORY
Business Services Manager	I
Chief, Administrative Services	I
Chief, Information Officer	III
Information Technology Manager I, Information Services	III
Information Technology Manager II, Information Services	III
Information Technology Supervisor II, Information Security Officer	III
GOVERNMENT OPERATIONS, CONSUMER AFFAIRS, INFORMATION TECHNOLOGY CONSULTING UNIT, LOCAL GOVERNMENT AND TAX	
Assistant Program Budget Manager	I
Principal Program Budget Analysts I-III	II
Chief, Information Technology Consulting Unit	II
Information Technology Supervisor II, Information Technology Consulting Unit	II
EDUCATION	
Assistant Program Budget Manager (All)	II
Principal Program Budget Analysts I-III	II
FORECASTING	

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DESIGNATED POSITIONS	ASSIGNED DISCLOSURE CATEGORY
Chief (All)	V
Research Data Manager (All)	V
Principal Program Budget Analysts I-III	II
Research Data Specialist III (All)	V
TRANSPORTATION, HOUSING, AND LABOR	
Assistant Program Budget Manager (All)	II
Principal Program Budget Analysts I-III	II
HEALTH SERVICES	
Assistant Program Budget Manager (All)	II
Principal Program Budget Analysts I-III	II
HUMAN SERVICES	
Assistant Program Budget Manager (All)	II
Principal Program Budget Analysts I-III	II
BUDGET OPERATIONS SUPPORT/FISCAL SYSTEMS AND CONSULTING	
Assistant Program Budget Manager (All)	II
Chief, FSCU	II

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DESIGNATED POSITIONS	ASSIGNED DISCLOSURE CATEGORY
Assistant Chief, FSCU	II
Principal Program Budget Analysts I-III	II
Supervising Administrative Analyst (Accounting Systems)	IV
RESOURCES, ENVIRONMENT, CLIMATE AND ENERGY	
Assistant Program Budget Manager (All)	II
Principal Program Budget Analysts I-III	II
STATEWIDE AND CAPITAL OUTLAY	
Assistant Program Budget Manager, Statewide Budget	I
Principal Program Budget Analyst I-III	II
CRIMINAL JUSTICE AND GENERAL GOVERNMENT	
Assistant Program Budget Manager (All)	II
Principal Program Budget Analyst I-III	II
Consultants/New Positions	*

*Consultants/new positions shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Director of Finance may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements in this section. Such written

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determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director of Finance's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Sec. 81008.)

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

The following positions are NOT covered by the conflict of interest code because they must file under Government Code Section 87200 and, therefore, are listed for informational purposes only:

- Director
- Chief Deputy Director (All)
- Chief Counsel
- Chief Operating Officer
- Legislative Director
- Program Budget Manager (All)
- Assistant Program Budget Manager, Capital Outlay

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

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**APPENDIX B
DISCLOSURE CATEGORIES**

Category I

Designated positions in this category shall disclose all investments and business positions in business entities, sources of income (including receipt of gifts, loans, and travel payments) and real property located in the state of California.

Category II

Designated positions in this category shall disclose:

- Investments and business positions in business entities, and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is of the type which has sold or leased services, products, or equipment to any department, board, commission, or any other agency within the position's assigned program area.
- Investments and business positions in business entities, and sources of income, (including receipt of gifts, loans, and travel payments) if the business entity or source is regulated by the programs of any department, board, commission, or other agency within the position's assigned program area.
- All interests in real property which is owned, leased, or otherwise subject to a beneficial or ownership interest by any department, board, commission, or agency within the position's assigned program area, or which is directly subject to regulation or of the type to receive grants or other monies from or through the department, board, commission, or other agency.

Category III

Designated positions in this category shall disclose investments and business positions in business entities and sources of income (including receipt of gifts, loans and travel payments) if the business entity or source provides information technology or telecommunications goods, products or services including computer hardware or software companies, computer consultant services, IT training companies, data processing firms and media services.

Category IV

Designated positions in this category shall disclose investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source provides electronic and other accounting products and services (including training or consulting services).

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Category V

Designated positions in this category shall disclose investments and business positions in business entities and sources of income (including receipt of gifts, loans and travel payments) if the business entity or source provides products, data collection or consulting services, field survey services or information, economic and revenue data and forecasting, or applications and use of electronic data processing.

Category X

Designated positions in this category shall indicate whether, during the reporting period they had a financial interest in any of their assignments. If they had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests in any of their assignments on the schedules for Fair Political Practices Commission Form 700.

This is the last page of the conflict of interest code for the



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the
was approved on

Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: