



Government Operations

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 created the Secretary for Government Operations. The Government Operation Agency's goal is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operational decisions within government.

7501 Department of Human Resources

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the Department of Human Resources (CalHR) (previously budgeted within General Government under Organization Code 8380) to this new Agency (Government Operations).

The years prior to July 1, 2012 represent the former Department of Personnel Administration program structure.

CalHR is responsible for managing the state's personnel functions and represents the Governor as the "employer" in all matters concerning state employer-employee relations. CalHR is responsible for issues related to recruitment, selection, salaries, benefits, and position classification, as well as provides a variety of training and consultation services to state departments and local agencies. CalHR's main objectives are to:

- Manage examinations, salaries, benefits, position classification, training and all other aspects of state employment other than those areas assigned to the State Personnel Board (SPB) under the civil service provisions of Article VII of the California Constitution.
- Represent the Governor in collective bargaining with unions representing rank and file state employees.
- Set salaries and benefits for employees excluded from collective bargaining and employees exempted from civil service.
- Serve as the sole fiduciary and administrative body for the Savings Plus Program (defined contribution program for full-time and part-time state employees).
- Provide legal representation to state agencies for appeals of disciplinary actions and labor relations matters.
- Hold ex-officio membership to the 13-member Board of Administration of the California Public Employees' Retirement System.

3-YR EXPENDITURES AND POSITIONS

| | Positions | | | Expenditures | | |
|--|--------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 10 Human Resource Management | 95.9 | 166.5 | 157.0 | \$13,975 | \$26,107 | \$26,444 |
| 20 Local Government Services | - | - | - | - | 2,598 | 2,598 |
| 30.10 Administration | 35.2 | 63.8 | 58.0 | 4,253 | 7,214 | 7,205 |
| 30.20 Distributed Administration | - | - | - | -4,253 | -6,268 | -6,224 |
| 40 Benefits Administration | 56.3 | 67.5 | 63.5 | 20,901 | 27,489 | 26,375 |
| 99 Benefit Payments | - | - | - | 31,426 | 36,503 | 36,503 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 187.4 | 297.8 | 278.5 | \$66,302 | \$93,643 | \$92,901 |
| FUNDING | | | | 2011-12* | 2012-13* | 2013-14* |
| 0001 General Fund | | | | \$6,101 | \$8,064 | \$7,162 |
| 0367 Indian Gaming Special Distribution Fund | | | | - | 100 | 100 |
| 0821 Flexelect Benefit Fund | | | | 21,734 | 27,649 | 27,619 |
| 0915 Deferred Compensation Plan Fund | | | | 9,136 | 14,726 | 14,675 |
| 0995 Reimbursements | | | | 16,042 | 28,530 | 28,463 |
| 8008 State Employees' Pretax Parking Fund | | | | 1,517 | 1,400 | 1,400 |
| 8049 Vision Care Program for State Annuitants Fund | | | | 9,132 | 8,784 | 8,784 |
| 9740 Central Service Cost Recovery Fund | | | | 2,640 | 4,390 | 4,698 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$66,302 | \$93,643 | \$92,901 |

Note that the expenditures for funds 0821, 8008, and 8049 include unclassified expenditures for benefit payments, which are detailed in the Detail of Appropriations and Adjustments.

LEGAL CITATIONS AND AUTHORITY

Government Code, Title 1, Division 4, Chapters 10.3 and 10.5; Government Code, Title 2, Division 5, Part 2, 2.5, and 2.6; and California Code of Regulations, Title 2, Division 1, Chapter 3.

DETAILED BUDGET ADJUSTMENTS

| | 2012-13* | | Positions | 2013-14* | |
|--|--------------|-------------|-----------|--------------|-------------|
| | General Fund | Other Funds | | General Fund | Other Funds |
| Workload Budget Adjustments | | | | | |
| Other Workload Budget Adjustments | | | | | |

* Dollars in thousands, except in Salary Range.

7501 Department of Human Resources - Continued

| | 2012-13* | | | 2013-14* | | |
|---|---------------|---------------|-----------|-----------------|---------------|--------------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Employee Compensation Adjustments | -\$194 | -\$854 | - | \$40 | \$181 | - |
| • Retirement Rate Adjustment | 86 | 387 | - | 86 | 387 | - |
| • Limited Term Positions/Expiring Programs | - | - | - | - | -279 | -3.0 |
| • Governor's Reorganization Plan Number One | - | - | - | -366 | -954 | -20.3 |
| • Carryover/Reappropriation | - | - | - | -931 | - | - |
| • Other Baseline Adjustments | -5 | -9 | - | -407 | 349 | - |
| Totals, Other Workload Budget Adjustments | -\$113 | -\$476 | - | -\$1,578 | -\$316 | -23.3 |
| Totals, Workload Budget Adjustments | -\$113 | -\$476 | - | -\$1,578 | -\$316 | -23.3 |
| Policy Adjustments | | | | | | |
| • CalHR Implementation of In-Home Supportive Services Employer-Employee Relations Act | \$- | \$- | - | \$563 | \$- | 4.0 |
| Totals, Policy Adjustments | \$- | \$- | - | \$563 | \$- | 4.0 |
| Totals, Budget Adjustments | -\$113 | -\$476 | - | -\$1,015 | -\$316 | -19.3 |

PROGRAM DESCRIPTIONS

10 - HUMAN RESOURCE MANAGEMENT

The Division's main objectives are as follows:

- Provide human resource services, including the development of policy relative to classification and compensation standards and consulting with departments and agencies on position allocation, effective personnel management practices, workforce planning, and statewide training.
- Administer the Dymally-Alatorre Bilingual Services Act, develop and administer tests, administer the on-line examination and certification system, provide statewide equal employment opportunity policy and guidance, provide medical and psychological screening services, and maintain a listing of certified administrative hearing and medical examination interpreters for use in California hearings and proceedings.
- Represent the Governor as the "employer" in contract negotiations with the state's 21 bargaining units and set pay and benefits for employees excluded from the collective bargaining process including supervisors, managers, executives, and confidential employees.
- Represent the Governor, state agencies, and departments in all matters pertaining to labor relations, personnel and discipline, wage and hour claims, and employment law.

20 - LOCAL GOVERNMENT SERVICES

This program provides direction and assistance to local, grant-aided agencies to ensure that their personnel programs are operated efficiently and continue to qualify for federal funds.

30 - ADMINISTRATION

The Administrative Services Division provides internal support and service to the Department's line programs and the SPB, including: fiscal, human resources, contract, procurement, information technology, and telecommunications services.

40 - BENEFITS

The Benefits Division designs, acquires, and administers a comprehensive employee benefit package designed to assist the state in attracting and retaining a qualified and diverse workforce. Benefits include health, dental, vision, employee assistance, life insurance, long-term disability insurance, and legal services. The Benefits Division also manages the master service agreement with the State Compensation Insurance Fund to provide for the state's workers' compensation program. The Savings Plus Program administers a tax-deferred savings program for all state employees to supplement retirement through various programs.

DETAILED EXPENDITURES BY PROGRAM

| | | 2011-12* | 2012-13* | 2013-14* |
|-----------------------------|----------------------------------|----------|----------|----------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | HUMAN RESOURCE MANAGEMENT | | | |
| | State Operations: | | | |

* Dollars in thousands, except in Salary Range.

7501 Department of Human Resources - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|--|-----------------|-----------------|-----------------|
| 0001 General Fund | \$6,054 | \$7,046 | \$6,960 |
| 0367 Indian Gaming Special Distribution Fund | - | 100 | 100 |
| 0995 Reimbursements | 5,281 | 14,571 | 14,686 |
| 9740 Central Service Cost Recovery Fund | <u>2,640</u> | <u>4,390</u> | <u>4,698</u> |
| Totals, State Operations | \$13,975 | \$26,107 | \$26,444 |
| PROGRAM REQUIREMENTS | | | |
| 20 LOCAL GOVERNMENT SERVICES | | | |
| State Operations: | | | |
| 0995 Reimbursements | <u>\$-</u> | <u>\$2,598</u> | <u>\$2,598</u> |
| Totals, State Operations | \$- | \$2,598 | \$2,598 |
| PROGRAM REQUIREMENTS | | | |
| 30 ADMINISTRATION | | | |
| State Operations: | | | |
| 0995 Reimbursements | <u>\$-</u> | <u>\$946</u> | <u>\$981</u> |
| Totals, State Operations | \$- | \$946 | \$981 |
| PROGRAM REQUIREMENTS | | | |
| 40 BENEFITS ADMINISTRATION | | | |
| State Operations: | | | |
| 0001 General Fund | \$47 | \$1,018 | \$202 |
| 0821 Flexelect Benefit Fund | 957 | 1,330 | 1,300 |
| 0915 Deferred Compensation Plan Fund | 9,136 | 14,726 | 14,675 |
| 0995 Reimbursements | <u>10,761</u> | <u>10,415</u> | <u>10,198</u> |
| Totals, State Operations | \$20,901 | \$27,489 | \$26,375 |
| PROGRAM REQUIREMENTS | | | |
| 99 BENEFIT PAYMENTS | | | |
| Unclassified: | | | |
| 0821 Flexelect Benefit Fund | \$20,777 | \$26,319 | \$26,319 |
| 8008 State Employees' Pretax Parking Fund | 1,517 | 1,400 | 1,400 |
| 8049 Vision Care Program for State Annuitants Fund | <u>9,132</u> | <u>8,784</u> | <u>8,784</u> |
| Totals, Unclassified | \$31,426 | \$36,503 | \$36,503 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 34,876 | 57,140 | 56,398 |
| Unclassified | <u>31,426</u> | <u>36,503</u> | <u>36,503</u> |
| Totals, Expenditures | \$66,302 | \$93,643 | \$92,901 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions | | | Expenditures | | |
|--|--------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 187.4 | 297.8 | 274.5 | \$13,823 | \$20,152 | \$19,882 |
| Total Adjustment | <u>-</u> | <u>-</u> | <u>4.0</u> | <u>-</u> | <u>-</u> | <u>341</u> |
| Net Totals, Salaries and Wages | 187.4 | 297.8 | 278.5 | \$13,823 | \$20,152 | \$20,223 |
| Staff Benefits | <u>-</u> | <u>-</u> | <u>-</u> | <u>5,528</u> | <u>8,060</u> | <u>7,859</u> |
| Totals, Personal Services | 187.4 | 297.8 | 278.5 | \$19,351 | \$28,212 | \$28,082 |
| OPERATING EXPENSES AND EQUIPMENT | | | | <u>\$15,525</u> | <u>\$28,928</u> | <u>\$28,316</u> |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$34,876 | \$57,140 | \$56,398 |
| (State Operations) | | | | | | |

* Dollars in thousands, except in Salary Range.

7501 Department of Human Resources - Continued

4 Unclassified

| | Expenditures | | |
|---|-----------------|-----------------|-----------------|
| | 2011-12* | 2012-13* | 2013-14* |
| Flexelect Benefit Fund | \$20,777 | \$26,319 | \$26,319 |
| State Employees' Pretax Parking Fund | 1,517 | 1,400 | 1,400 |
| Vision Care Fund | 9,132 | 8,784 | 8,784 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$31,426 | \$36,503 | \$36,503 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$7,162 |
| 001 Budget Act appropriation (Renumbered from Item 8380-001-0001) | 6,631 | 7,246 | - |
| Allocation for employee compensation | 8 | 23 | - |
| Adjustment per Section 3.60 | 46 | 86 | - |
| Adjustment per Section 3.90 | -56 | -217 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -4 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -270 | - | - |
| Adjustment per Section 15.25 | - | -5 | - |
| 002 Budget Act appropriation (Renumbered from Item 8380-002-0001) | 2,350 | - | - |
| Adjustment per Section 3.91 (a) | -2,295 | - | - |
| Prior year balances available: | | | |
| Item 8380-001-0001, Budget Act of 2010, as reappropriated by Item 8380-490, Budget Act of 2012 | - | 931 | - |
| Totals Available | \$6,410 | \$8,064 | \$7,162 |
| Unexpended balance, estimated savings | -309 | - | - |
| TOTALS, EXPENDITURES | \$6,101 | \$8,064 | \$7,162 |
| 0367 Indian Gaming Special Distribution Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$100 |
| 001 Budget Act appropriation (Renumbered from 8380-001-0367) | - | 100 | - |
| TOTALS, EXPENDITURES | \$- | \$100 | \$100 |
| 0821 Flexelect Benefit Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$1,300 |
| 001 Budget Act appropriation (Renumbered from 8380-001-0821) | 1,380 | 1,335 | - |
| Allocation for employee compensation | 1 | 2 | - |
| Adjustment per Section 3.60 | 2 | 5 | - |
| Adjustment per Section 3.90 | -4 | -12 | - |
| Totals Available | \$1,379 | \$1,330 | \$1,300 |
| Unexpended balance, estimated savings | -422 | - | - |
| TOTALS, EXPENDITURES | \$957 | \$1,330 | \$1,300 |
| 0915 Deferred Compensation Plan Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$14,675 |
| 001 Budget Act appropriation (Renumbered from 8380-001-0915) | 15,028 | 14,785 | - |
| Allocation for employee compensation | 6 | 15 | - |
| Adjustment per Section 3.60 | 17 | 49 | - |
| Adjustment per Section 3.90 | -37 | -122 | - |

* Dollars in thousands, except in Salary Range.

7501 Department of Human Resources - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|-----------------|-----------------|-----------------|
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -126 | - | - |
| Adjustment per Section 15.25 | <u>-</u> | <u>-1</u> | <u>-</u> |
| Totals Available | \$14,888 | \$14,726 | \$14,675 |
| Unexpended balance, estimated savings | <u>-5,752</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$9,136 | \$14,726 | \$14,675 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$16,042 | \$28,530 | \$28,463 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$4,698 |
| 001 Budget Act appropriation (Renumbered from Item 8380-001-9740) | 2,924 | 4,465 | - |
| Allocation for employee compensation | 6 | 15 | - |
| Adjustment per Section 3.60 | 33 | 56 | - |
| Adjustment per Section 3.90 | -40 | -143 | - |
| Adjustment per Section 3.91 (b) and (d) | -273 | - | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -1 | - | - |
| Adjustment per Section 15.25 | - | -3 | - |
| 002 Budget Act appropriation (Renumbered from Item 8380-002-9740) | 3,287 | - | - |
| Adjustment per Section 3.91 (a) | <u>-3,212</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$2,724 | \$4,390 | \$4,698 |
| Unexpended balance, estimated savings | <u>-84</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$2,640 | \$4,390 | \$4,698 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$34,876 | \$57,140 | \$56,398 |
| 4 UNCLASSIFIED | | | |
| 0821 Flexelect Benefit Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Sec 1156 (claims paid) | \$20,777 | \$26,319 | \$26,319 |
| TOTALS, EXPENDITURES | \$20,777 | \$26,319 | \$26,319 |
| 8008 State Employees' Pretax Parking Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 1156.1 | <u>\$1,517</u> | <u>\$1,400</u> | <u>\$1,400</u> |
| TOTALS, EXPENDITURES | \$1,517 | \$1,400 | \$1,400 |
| 8049 Vision Care Program for State Annuity Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 22959.6 | <u>\$9,132</u> | <u>\$8,784</u> | <u>\$8,784</u> |
| TOTALS, EXPENDITURES | \$9,132 | \$8,784 | \$8,784 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$31,426 | \$36,503 | \$36,503 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Unclassified) | \$66,302 | \$93,643 | \$92,901 |

FUND CONDITION STATEMENTS

| | 2011-12* | 2012-13* | 2013-14* |
|---|--------------|----------|----------|
| 0821 Flexelect Benefit Fund ^N | | | |
| BEGINNING BALANCE | \$9,666 | \$10,225 | \$5,048 |
| Prior year adjustments | <u>1,857</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$11,523 | \$10,225 | \$5,048 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

7501 Department of Human Resources - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|---|-----------------|-----------------|-----------------|
| 250300 Surplus Money Investments | 43 | 47 | 52 |
| 216100 Fees and Licenses (Administrative Fees) | 801 | 881 | 969 |
| 261900 Escheat of Unclaimed Checks | 22 | 24 | 26 |
| 221100 Other: | | | |
| Employee Contributions - Health Care | 12,249 | 13,474 | 14,821 |
| Employee Contributions - Dependent Care | <u>7,323</u> | <u>8,055</u> | <u>8,861</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$20,438</u> | <u>\$22,481</u> | <u>\$24,729</u> |
| Total Resources | \$31,961 | \$32,706 | \$29,777 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 2 | - |
| 7501 Department of Human Resources | | | |
| State Operations | 957 | 1,330 | 1,300 |
| Unclassified | 20,777 | 26,319 | 26,319 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>7</u> | <u>6</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$21,736</u> | <u>\$27,658</u> | <u>\$27,625</u> |
| FUND BALANCE | \$10,225 | \$5,048 | \$2,152 |

0915 Deferred Compensation Plan Fund ^N

| | | | |
|---|------------------|------------------|------------------|
| BEGINNING BALANCE | \$8,104,790 | \$8,260,391 | \$8,374,658 |
| Prior year adjustments | <u>-57</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$8,104,733 | \$8,260,391 | \$8,374,658 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215600 Interest on Investments (Participants) | 41,828 | 43,919 | 46,115 |
| 221100 Other (Employee Contributions) | 522,367 | 574,604 | 632,064 |
| 250300 Surplus Money Investments | 64 | 70 | 77 |
| 299900 Fees and Licenses (Administrative Fees) | 12,150 | 10,500 | 10,500 |
| 299600 Other | <u>821</u> | <u>-</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$577,230</u> | <u>\$629,093</u> | <u>\$688,756</u> |
| Total Resources | \$8,681,963 | \$8,889,484 | \$9,063,414 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 26 | 21 | - |
| 7501 Department of Human Resources (State Operations) | 9,136 | 14,726 | 14,675 |
| Other Disbursements: | - | - | - |
| 8880 Financial Information System for California (State Operations) | - | 79 | 68 |
| Payments to Participants | <u>412,410</u> | <u>500,000</u> | <u>500,000</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$421,572</u> | <u>\$514,826</u> | <u>\$514,743</u> |
| FUND BALANCE | \$8,260,391 | \$8,374,658 | \$8,548,671 |

8049 Vision Care Program for State Annuitants Fund ^N

| | | | |
|---|------------|----------|----------|
| BEGINNING BALANCE | \$568 | \$725 | \$1,716 |
| Prior year adjustments | <u>-21</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$547 | \$725 | \$1,716 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 216100 Fees & Licenses (Administrative Fees) | 374 | 393 | 413 |
| 221100 Other (Retired Annuitant Contributions) | 8,933 | 9,379 | 9,848 |
| 250300 Income from Surplus Investments | 3 | 3 | 3 |

* Dollars in thousands, except in Salary Range.

7501 Department of Human Resources - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|---|----------|----------|----------|
| Total Revenues, Transfers, and Other Adjustments | \$9,310 | \$9,775 | \$10,264 |
| Total Resources | \$9,857 | \$10,500 | \$11,980 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 7501 Department of Human Resources (Unclassified) | 9,132 | 8,784 | 8,784 |
| Total Expenditures and Expenditure Adjustments | \$9,132 | \$8,784 | \$8,784 |
| FUND BALANCE | \$725 | \$1,716 | \$3,196 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions | | | Expenditures | | |
|---------------------------------------|---------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Totals, Authorized Positions | 187.4 | 297.8 | 274.5 | \$13,823 | \$20,152 | \$19,882 |
| Proposed New Positions: | Salary Range | | | | | |
| Legal Division: | | | | | | |
| Labor Relations Counsel III | - | - | 1.0 | 7,686 - 9,484 | - | 103 |
| Labor Division: | | | | | | |
| Labor Relations Manager II | - | - | 2.0 | 6,779 - 7,474 | - | 171 |
| Benefits Division: | | | | | | |
| Staff Pers Prgm Analyst | - | - | 1.0 | 5,079 - 6,127 | - | 67 |
| Totals, Proposed New Positions | - | - | 4.0 | \$- | \$- | \$341 |
| Total Adjustments | - | - | 4.0 | \$- | \$- | \$341 |
| TOTALS, SALARIES AND WAGES | 187.4 | 297.8 | 278.5 | \$13,823 | \$20,152 | \$20,223 |

7502 Department of Technology

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the California Technology Agency (previously budgeted within Legislative, Judicial, and Executive under Organization Code 0502) to this new Agency (Government Operations).

The Department of Technology (Department) supports state programs and departments in the delivery of state services and information to constituents and businesses through agile, cost-effective, innovative, reliable and secure technology. The Department retains statewide authority to centralize and unify information technology projects and data center services to enhance the ability to develop, launch, manage and monitor large informational-technology projects.

3-YR EXPENDITURES AND POSITIONS

| | Positions | | | Expenditures | | |
|--|----------------|----------------|----------------|------------------|------------------|------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 10 Department of Technology | 666.3 | 733.7 | 738.7 | \$269,499 | \$303,468 | \$357,757 |
| 20 Public Safety Communications Office | 324.1 | 329.0 | 329.0 | 158,935 | 182,750 | 185,731 |
| 30.01 Administration | 155.5 | 174.5 | 174.5 | 17,422 | 17,417 | 19,177 |
| 30.02 Distributed Administration | - | - | - | -17,422 | -17,417 | -19,177 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 1,145.9 | 1,237.2 | 1,242.2 | \$428,434 | \$486,218 | \$543,488 |
| FUNDING | | | | 2011-12* | 2012-13* | 2013-14* |
| 0001 General Fund | | | | \$3,308 | \$4,303 | \$4,240 |
| 0022 State Emergency Telephone Number Account | | | | 93,998 | 111,857 | 113,072 |
| 0890 Federal Trust Fund | | | | 1,931 | 1,931 | 1,931 |
| 0995 Reimbursements | | | | 1,635 | 2,801 | 2,801 |
| 9730 Technology Services Revolving Fund | | | | 324,266 | 362,126 | 418,257 |
| 9740 Central Service Cost Recovery Fund | | | | 3,296 | 3,200 | 3,187 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$428,434 | \$486,218 | \$543,488 |

* Dollars in thousands, except in Salary Range.

7502 Department of Technology - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8523, 8592, 11534, 11539, 11541, 11542, 11544, 11545, 11546, 11549, 11550, 14930-14931.1, 15275, 15277, 53100 et seq., 53114-53127. Public Contracts Code Sections 12101, 12105, and 12120.

Revenue and Taxation Code Sections 41030, 41031, 41136, 41137, 41138, 41140 and 41141.

DETAILED BUDGET ADJUSTMENTS

| | 2012-13* | | | 2013-14* | | |
|--|--------------|------------------|-------------|---------------|-----------------|------------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Data Center Workload Capacity | \$- | \$- | - | \$- | \$32,066 | - |
| • Completed Project Expenditure Settle-up | - | -15,005 | - | - | -1,275 | - |
| Totals, Workload Budget Change Proposals | \$- | -\$15,005 | - | \$- | \$30,791 | - |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustment | -\$128 | -\$4,704 | - | \$20 | \$998 | - |
| • Retirement Rate Adjustment | 58 | 2,126 | - | 58 | 2,126 | - |
| • One Time Cost Reduction | - | - | - | -218 | -117 | - |
| • Full Year Cost of New/Expanded Programs | - | - | -2.0 | - | 983 | 5.0 |
| • Miscellaneous Adjustments | - | -1,129 | - | 6 | 3,840 | - |
| Totals, Other Workload Budget Adjustments | -\$70 | -\$3,707 | -2.0 | -\$134 | \$7,830 | 5.0 |
| Totals, Workload Budget Adjustments | -\$70 | -\$18,712 | -2.0 | -\$134 | \$38,621 | 5.0 |
| Totals, Budget Adjustments | -\$70 | -\$18,712 | -2.0 | -\$134 | \$38,621 | 5.0 |

PROGRAM DESCRIPTIONS

10 - DEPARTMENT OF TECHNOLOGY

The Department maintains up-to-date policies for information technology activities to ensure the state adopts and uses best practices in information technology management. The Department maintains a state information technology strategic plan and establishes statewide information technology policies and standards, facilitates the development and implementation of enterprise initiatives, and maintains a governance structure to address issues and concerns that arise as part of technology deployment.

The Department ensures that project-specific decisions are consistent with the state's policies and direction for information technology development, including project management, oversight, and risk mitigation. The Department also ensures the coordination and collaboration of enterprise and other multidepartment information technology efforts, as well as standardization of project management processes and performance metrics, to maximize the management of major projects and allow project performance to be assessed uniformly.

Within the Department, the Office of Information Security (OIS) is the primary state government authority responsible for ensuring the protection of state information, as well as the confidentiality, integrity, and availability of state systems and applications. OIS represents the state to federal, state, and local government entities, higher education, private industry, and others on security-related matters.

The Office of Technology Services (OTech) provides information technology services in state, federal, and local government entities throughout California. Through the use of a scalable, reliable and secure statewide network, combined with voice and data technologies, OTech delivers computing, networking, electronic messaging and training solutions.

20 - PUBLIC SAFETY COMMUNICATIONS OFFICE

The Public Safety and Communications Office provides leadership, oversight and the delivery of communications services and systems used to meet the state's public safety needs.

30 - ADMINISTRATION

The objective of the Administration program is to provide overall management, planning, policy development, and internal administrative support services.

* Dollars in thousands, except in Salary Range.

7502 Department of Technology - Continued

DETAILED EXPENDITURES BY PROGRAM

| | | 2011-12* | 2012-13* | 2013-14* |
|-----------------------------|--|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | DEPARTMENT OF TECHNOLOGY | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$3,308 | \$4,303 | \$4,240 |
| 0995 | Reimbursements | 1,635 | 2,801 | 2,801 |
| 9730 | Technology Services Revolving Fund | 261,260 | 293,164 | 347,529 |
| 9740 | Central Service Cost Recovery Fund | 3,296 | 3,200 | 3,187 |
| | Totals, State Operations | \$269,499 | \$303,468 | \$357,757 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | PUBLIC SAFETY COMMUNICATIONS OFFICE | | | |
| | State Operations: | | | |
| 0022 | State Emergency Telephone Number Account | \$1,535 | \$2,367 | \$2,453 |
| 9730 | Technology Services Revolving Fund | 63,006 | 68,962 | 70,728 |
| | Totals, State Operations | \$64,541 | \$71,329 | \$73,181 |
| | Local Assistance: | | | |
| 0022 | State Emergency Telephone Number Account | \$92,463 | \$109,490 | \$110,619 |
| 0890 | Federal Trust Fund | 1,931 | 1,931 | 1,931 |
| | Totals, Local Assistance | \$94,394 | \$111,421 | \$112,550 |
| PROGRAM REQUIREMENTS | | | | |
| 30 | ADMINISTRATION | | | |
| | State Operations: | | | |
| 30.01 | Administration | \$17,422 | \$17,417 | \$19,177 |
| 30.02 | Distributed Administration | -17,422 | -17,417 | -19,177 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 334,040 | 374,797 | 430,938 |
| | Local Assistance | 94,394 | 111,421 | 112,550 |
| | Totals, Expenditures | \$428,434 | \$486,218 | \$543,488 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions | | | Expenditures | | |
|---|----------------|----------------|----------------|------------------|------------------|------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 1,145.9 | 1,237.2 | 1,242.2 | \$88,827 | \$94,493 | \$100,515 |
| Net Totals, Salaries and Wages | 1,145.9 | 1,237.2 | 1,242.2 | \$88,827 | \$94,493 | \$100,515 |
| Staff Benefits | - | - | - | 32,855 | 34,962 | 37,191 |
| Totals, Personal Services | 1,145.9 | 1,237.2 | 1,242.2 | \$121,682 | \$129,455 | \$137,706 |
| OPERATING EXPENSES AND EQUIPMENT | | | | | | |
| | | | | \$212,358 | \$245,342 | \$293,232 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$334,040 | \$374,797 | \$430,938 |
| 2 Local Assistance | | | | | | |
| | | | | 2011-12* | 2012-13* | 2013-14* |
| Grants and Subventions | | | | \$94,394 | \$111,421 | \$112,550 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | | | | \$94,394 | \$111,421 | \$112,550 |

* Dollars in thousands, except in Salary Range.

7502 Department of Technology - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|------------------|------------------|------------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$4,240 |
| 001 Budget Act appropriation (Renumbered from Item 0502-001-0001) | 3,694 | 4,374 | - |
| Allocation for employee compensation | 9 | 12 | - |
| Adjustment per Section 3.60 | - | 57 | - |
| Adjustment per Section 3.90 | -22 | -140 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -9 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -111 | - | - |
| Totals Available | \$3,561 | \$4,303 | \$4,240 |
| Unexpended balance, estimated savings | -253 | - | - |
| TOTALS, EXPENDITURES | \$3,308 | \$4,303 | \$4,240 |
| 0022 State Emergency Telephone Number Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$2,453 |
| 001 Budget Act appropriation (Renumbered from Item 0502-001-0022) | 2,322 | 2,394 | - |
| Allocation for employee compensation | 18 | 7 | - |
| Adjustment per Section 3.60 | 5 | 23 | - |
| Adjustment per Section 3.90 | -21 | -57 | - |
| Totals Available | \$2,324 | \$2,367 | \$2,453 |
| Unexpended balance, estimated savings | -789 | - | - |
| TOTALS, EXPENDITURES | \$1,535 | \$2,367 | \$2,453 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$1,635 | \$2,801 | \$2,801 |
| 9730 Technology Services Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$418,257 |
| 001 Budget Act appropriation (Renumbered from Item 0502-001-9730) | 348,812 | 379,629 | - |
| Allocation for employee compensation | 443 | 586 | - |
| Revised expenditure authority per Provision 1 | 989 | -15,005 | - |
| Adjustment per Section 3.60 | 757 | 2,061 | - |
| Adjustment per Section 3.90 | -1,666 | -5,145 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -153 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -1,983 | - | - |
| Totals Available | \$347,199 | \$362,126 | \$418,257 |
| Unexpended balance, estimated savings | -22,933 | - | - |
| TOTALS, EXPENDITURES | \$324,266 | \$362,126 | \$418,257 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$3,187 |
| 001 Budget Act appropriation (Renumbered from Item 0502-001-9740) | 3,693 | 3,253 | - |
| Allocation for employee compensation | 9 | 9 | - |
| Adjustment per Section 3.60 | - | 42 | - |
| Adjustment per Section 3.90 | -23 | -104 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -9 | - | - |

* Dollars in thousands, except in Salary Range.

7502 Department of Technology - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|------------------|------------------|------------------|
| Totals Available | \$3,670 | \$3,200 | \$3,187 |
| Unexpended balance, estimated savings | -374 | - | - |
| TOTALS, EXPENDITURES | \$3,296 | \$3,200 | \$3,187 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$334,040 | \$374,797 | \$430,938 |
| | | | |
| 2 LOCAL ASSISTANCE | 2011-12* | 2012-13* | 2013-14* |
| 0022 State Emergency Telephone Number Account | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$- | \$- | \$110,619 |
| 101 Budget Act appropriation (Renumbered from Item 0502-101-0022) | 122,604 | 110,619 | - |
| Totals Available | \$122,604 | \$110,619 | \$110,619 |
| Unexpended balance, estimated savings | -30,141 | -1,129 | - |
| TOTALS, EXPENDITURES | \$92,463 | \$109,490 | \$110,619 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$- | \$- | \$1,931 |
| 101 Budget Act appropriation (Renumbered from Item 0502-101-0890) | 1,931 | 1,931 | - |
| TOTALS, EXPENDITURES | \$1,931 | \$1,931 | \$1,931 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$94,394 | \$111,421 | \$112,550 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$428,434 | \$486,218 | \$543,488 |

FUND CONDITION STATEMENTS

| | 2011-12* | 2012-13* | 2013-14* |
|--|-----------|-----------|-----------|
| 0022 State Emergency Telephone Number Account ^s | | | |
| BEGINNING BALANCE | \$61,125 | \$54,568 | \$40,882 |
| Prior year adjustments | 8,702 | - | - |
| Adjusted Beginning Balance | \$69,827 | \$54,568 | \$40,882 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 141100 Emergency Telephone Users Surcharge | 83,320 | 80,700 | 78,100 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 0502-012-0022, Budget Act of 2010 | - | 28,000 | - |
| Total Revenues, Transfers, and Other Adjustments | \$83,320 | \$108,700 | \$78,100 |
| Total Resources | \$153,147 | \$163,268 | \$118,982 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 165 | 53 | - |
| 0860 State Board of Equalization (State Operations) | 1,462 | 1,521 | 1,551 |
| 3540 Department of Forestry and Fire Protection (State Operations) | 2,954 | 8,886 | 4,357 |
| 7502 Department of Technology | | | |
| State Operations | 1,535 | 2,367 | 2,453 |
| Local Assistance | 92,463 | 109,490 | 110,619 |
| 8880 Financial Information System for California (State Operations) | - | 69 | - |
| Total Expenditures and Expenditure Adjustments | \$98,579 | \$122,386 | \$118,980 |
| FUND BALANCE | \$54,568 | \$40,882 | \$2 |
| Reserve for economic uncertainties | 54,568 | 40,882 | 2 |

* Dollars in thousands, except in Salary Range.

7503 State Personnel Board

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the State Personnel Board (SPB) (previously budgeted within General Government under Organization Code 8390) to this new Agency (Government Operations). Prior to July 1, 2012, SPB was budgeted under Organization Code 1880.

The five-member SPB, whose members are appointed by the Governor for ten-year terms, was established in the California Constitution in 1934. SPB is responsible for California's civil service system, ensuring it is free from political patronage and that employment decisions are based on merit.

3-YR EXPENDITURES AND POSITIONS

| | | Positions | | | Expenditures | | |
|--|------------------------------------|-----------|---------|---------|--------------|----------|----------|
| | | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 10 | Merit System Administration | - | 69.7 | 69.7 | \$- | \$10,094 | \$10,434 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | - | 69.7 | 69.7 | \$- | \$10,094 | \$10,434 |
| FUNDING | | | | | 2011-12* | 2012-13* | 2013-14* |
| 0001 | General Fund | | | | \$- | \$1,059 | \$1,104 |
| 0995 | Reimbursements | | | | - | 8,219 | 8,497 |
| 9740 | Central Service Cost Recovery Fund | | | | - | 816 | 833 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$- | \$10,094 | \$10,434 |

LEGAL CITATIONS AND AUTHORITY

California Constitution, Article VII, Sections 2 and 3; Government Code, Title 2, Division 5, Part 2.

DETAILED BUDGET ADJUSTMENTS

| | | 2012-13* | | | 2013-14* | | |
|--|-----------------------------------|--------------|---------------|-----------|--------------|--------------|-----------|
| | | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | | |
| Other Workload Budget Adjustments | | | | | | | |
| • | Employee Compensation Adjustments | -\$30 | -\$263 | - | \$5 | \$42 | - |
| • | Retirement Rate Adjustment | 15 | 117 | - | 15 | 117 | - |
| • | Other Baseline Adjustments | - | -1 | - | 10 | -11 | - |
| Totals, Other Workload Budget Adjustments | | -\$15 | -\$147 | - | \$30 | \$148 | - |
| Totals, Workload Budget Adjustments | | -\$15 | -\$147 | - | \$30 | \$148 | - |
| Policy Adjustments | | | | | | | |
| • | Compliance Review Audit Program | \$- | \$- | - | \$- | \$- | - |
| Totals, Policy Adjustments | | \$- | \$- | - | \$- | \$- | - |
| Totals, Budget Adjustments | | -\$15 | -\$147 | - | \$30 | \$148 | - |

PROGRAM DESCRIPTIONS

10 - MERIT SYSTEM ADMINISTRATION

This program prescribes probationary periods and classifications, adopts other rules authorized by statute, sets merit related policy, reviews disciplinary actions as well as other merit oversight activities, and performs merit system audits to ensure departmental compliance.

DETAILED EXPENDITURES BY PROGRAM

| | | 2011-12* | 2012-13* | 2013-14* |
|-----------------------------|------------------------------------|----------|----------|----------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | MERIT SYSTEM ADMINISTRATION | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$- | \$1,059 | \$1,104 |

* Dollars in thousands, except in Salary Range.

7503 State Personnel Board - Continued

| | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|---|-----------------|-----------------|-----------------|
| 0995 Reimbursements | - | 8,219 | 8,497 |
| 9740 Central Service Cost Recovery Fund | - | 816 | 833 |
| Totals, State Operations | \$- | \$10,094 | \$10,434 |
| ELEMENT REQUIREMENTS | | | |
| 10.10 Merit Oversight | \$- | \$3,120 | \$3,226 |
| State Operations: | | | |
| 0001 General Fund | - | 1,059 | 1,104 |
| 0995 Reimbursements | - | 1,245 | 1,289 |
| 9740 Central Service Cost Recovery Fund | - | 816 | 833 |
| 10.50 Appeals | \$- | \$6,974 | \$7,208 |
| State Operations: | | | |
| 0995 Reimbursements | - | 6,974 | 7,208 |
| TOTALS, EXPENDITURES | | | |
| State Operations | - | 10,094 | 10,434 |
| Totals, Expenditures | \$- | \$10,094 | \$10,434 |

EXPENDITURES BY CATEGORY

| 1 State Operations | <u>Positions</u> | | | <u>Expenditures</u> | | |
|---|------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | <u>2011-12</u> | <u>2012-13</u> | <u>2013-14</u> | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | - | 69.7 | 69.7 | \$- | \$5,493 | \$5,822 |
| Net Totals, Salaries and Wages | - | 69.7 | 69.7 | \$- | \$5,493 | \$5,822 |
| Staff Benefits | - | - | - | - | 2,137 | 2,148 |
| Totals, Personal Services | - | 69.7 | 69.7 | \$- | \$7,630 | \$7,970 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$- | \$2,464 | \$2,464 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$- | \$10,094 | \$10,434 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$1,104 |
| 001 Budget Act appropriation (Renumbered from Item 8390-001-0001) | - | 1,074 | - |
| Allocation for employee compensation | - | 3 | - |
| Adjustment per Section 3.60 | - | 15 | - |
| Adjustment per Section 3.90 | - | -33 | - |
| TOTALS, EXPENDITURES | \$- | \$1,059 | \$1,104 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$- | \$8,219 | \$8,497 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$833 |
| 001 Budget Act appropriation (Renumbered from Item 8390-001-9740) | - | 828 | - |
| Allocation for employee compensation | - | 2 | - |
| Adjustment per Section 3.60 | - | 11 | - |

* Dollars in thousands, except in Salary Range.

7503 State Personnel Board - Continued

| | | | |
|---|-----------------|-----------------|-----------------|
| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
| Adjustment per Section 3.90 | - | -25 | - |
| TOTALS, EXPENDITURES | \$- | \$816 | \$833 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$- | \$10,094 | \$10,434 |

7730 Franchise Tax Board

The Franchise Tax Board (FTB) is responsible for collecting specified tax revenue, and operating other programs as defined by statute; serving the public by continually improving the quality of its products and services; and performing in a manner warranting the highest degree of public confidence in its integrity, efficiency, and fairness.

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the Franchise Tax Board (previously budgeted within State and Consumer Services Agency under Organization Code 1730) to this new Agency (Government Operations).

3-YR EXPENDITURES AND POSITIONS

| | <u>Positions</u> | | | <u>Expenditures</u> | | |
|--|------------------|----------------|----------------|---------------------|------------------|------------------|
| | <u>2011-12</u> | <u>2012-13</u> | <u>2013-14</u> | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
| 10 Tax Programs | 5,033.3 | 5,085.1 | 5,230.1 | \$558,226 | \$639,973 | \$717,740 |
| 30 Political Reform Audit | 13.8 | 13.0 | 13.0 | 1,381 | 1,577 | - |
| 50 Department of Motor Vehicles Collections Program | 86.3 | 81.1 | 81.1 | 7,839 | 8,524 | 8,760 |
| 60 Court Collection Program | 92.2 | 100.2 | 100.2 | 9,191 | 11,025 | 11,552 |
| 65 Legal Services Program | - | - | - | 2,446 | 2,489 | 2,489 |
| 70 Contract Work | 39.7 | 62.2 | 62.2 | 3,624 | 9,572 | 9,617 |
| 80.01 Administration | 283.4 | 284.6 | 284.6 | 23,274 | 28,263 | 28,263 |
| 80.02 Distributed Administration | - | - | - | -23,274 | -28,263 | -28,263 |
| 95 Lease Revenue Bond Payments | - | - | - | 3 | - | - |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 5,548.7 | 5,626.2 | 5,771.2 | \$582,710 | \$673,160 | \$750,158 |

| FUNDING | | 2011-12* | 2012-13* | 2013-14* |
|----------------|--|-----------------|-----------------|-----------------|
| 0001 | General Fund | \$556,130 | \$642,916 | \$719,088 |
| 0044 | Motor Vehicle Account, State Transportation Fund | 2,724 | 2,961 | 3,043 |
| 0064 | Motor Vehicle License Fee Account, Transportation Tax Fund | 5,115 | 5,563 | 5,717 |
| 0122 | Emergency Food Assistance Program Fund | 6 | 6 | 6 |
| 0200 | Fish and Game Preservation Fund | 13 | 13 | 13 |
| 0242 | Court Collection Account | 9,191 | 11,025 | 11,552 |
| 0803 | State Children's Trust Fund | 9 | 11 | 11 |
| 0823 | California Alzheimer's Disease and Related Disorders Research Fund | 11 | 11 | 11 |
| 0886 | California Seniors Special Fund | 1 | 4 | 4 |
| 0945 | California Breast Cancer Research Fund | 7 | 7 | 7 |
| 0974 | California Peace Officer Memorial Foundation Fund | 3 | 5 | 5 |
| 0979 | California Firefighters' Memorial Fund | 3 | 7 | 7 |
| 0983 | California Fund for Senior Citizens | 6 | 7 | 7 |
| 0995 | Reimbursements | 9,468 | 10,570 | 10,615 |
| 8047 | California Sea Otter Fund | 6 | 6 | 6 |
| 8053 | ALS/Lou Gehrig's Disease Research Fund | - | 6 | 6 |
| 8054 | California Cancer Research Fund | 6 | 6 | 6 |
| 8055 | Municipal Shelter Spay-Neuter Fund | - | 6 | 6 |
| 8064 | Arts Council Fund | 3 | 6 | - |
| 8065 | Safely Surrendered Baby Fund | 3 | 6 | - |
| 8066 | California Police Activities League (CAL PAL) Fund | 1 | 6 | - |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| FUNDING | 2011-12* | 2012-13* | 2013-14* |
|---|------------------|------------------|------------------|
| 8067 California Veterans Homes Fund | 4 | 6 | - |
| 8069 Child Victims of Human Trafficking Fund | - | 6 | 6 |
| 8074 California Youth Leadership Fund | - | - | 6 |
| 8075 School Supplies for Homeless Children Fund | - | - | 6 |
| 8076 State Parks Protection Fund | - | - | 24 |
| 8077 California YMCA Youth and Government Fund | - | - | 6 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$582,710 | \$673,160 | \$750,158 |

Funding for the Political Reform Audit program in 2013-14 is provided in Item 8640-001-0001 (Political Reform Act of 1974) in the amount of \$1.651 million. Fund 7730-001-0167 (Delinquent Tax Collection Fund) is reimbursed by the General Fund. 2011-12 (\$319,000), 2012-13 (\$404,000), and 2013-14 (\$404,000) expenditures are included in the General Fund totals.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 15700.

PROGRAM AUTHORITY

10-Tax Program:

Government Code Sections 15700-15702, 16370-16372; Revenue and Taxation Code Sections 17001-18180, 18401-19802, 21001-21027, 23001-25141, 38001-38021.

30-Political Reform Audit:

Government Code Sections 90000-90007.

50-DMV Collections:

Revenue and Taxation Code Sections 10876-10878.

60-Court Collection Program:

Revenue and Taxation Code Sections 19280-19283.

MAJOR PROGRAM CHANGES

- The Enterprise Data to Revenue (EDR) Project is a multi-year IT project that will modernize the Franchise Tax Board's (FTB) processes and systems. The EDR Project will be augmented by \$152.1 million and 184 permanent positions for the third year of it's implementation. This funding will generate \$261.6 million in General Fund revenue for 2013-14, increasing to \$684.6 million for 2014-15.
- As part of a review of the revenue collection functions of the FTB and Employment Development Department (EDD), additional data sharing opportunities have been identified in the near term that will increase revenues. The Budget includes an increase in Personal Income Tax collections of \$3 million General Fund by the FTB and \$800,000 General Fund by the EDD as a result of these efforts.

DETAILED BUDGET ADJUSTMENTS

| | 2012-13* | | | 2013-14* | | |
|---|--------------|-------------|-----------|------------------|--------------|--------------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Enterprise Data to Revenue Project | \$- | \$- | - | \$152,152 | \$- | 184.0 |
| • Central Processing Unit Capacity Increase | - | - | - | 3,970 | 280 | - |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$156,122 | \$280 | 184.0 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$15,494 | -\$525 | - | \$3,991 | \$136 | - |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| | 2012-13* | | | 2013-14* | | |
|--|-----------------|---------------|-------------|------------------|-----------------|--------------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Retirement Rate Adjustment | 7,194 | 243 | - | 7,194 | 243 | - |
| • Limited Term Positions/Expiring Programs | - | - | - | -1,979 | - | -39.0 |
| • One Time Cost Reductions | - | - | - | -94,489 | -231 | - |
| • Miscellaneous Adjustments | 1,609 | - | 18.0 | -1,358 | -1,885 | 18.0 |
| Totals, Other Workload Budget Adjustments | -\$6,691 | -\$282 | 18.0 | -\$86,641 | -\$1,737 | -21.0 |
| Totals, Workload Budget Adjustments | -\$6,691 | -\$282 | 18.0 | \$69,481 | -\$1,457 | 163.0 |
| Totals, Budget Adjustments | -\$6,691 | -\$282 | 18.0 | \$69,481 | -\$1,457 | 163.0 |

PROGRAM DESCRIPTIONS

10 - TAX PROGRAM

This program administers the Revenue and Taxation Code by reasonably interpreting and impartially applying the legislatively enacted laws that provide a significant portion of General Fund revenue. The Franchise Tax Board is responsible for administering the Personal Income Tax and the Corporation Tax. Tax program activities include taxpayer assistance and tax return processing, filing enforcement, audit, and tax collection functions. The program also includes the collection and distribution of voluntary contributions to, and on behalf of, certain non-profit charitable organizations.

30 - POLITICAL REFORM AUDIT PROGRAM

This program determines the accuracy and completeness of political statement reports filed with the Secretary of State, and compliance with disclosures and record keeping requirements. On behalf of the Fair Political Practices Commission, and in compliance with the Political Reform Act of 1974, the Franchise Tax Board conducts randomly selected field audits of committees supporting and opposing political candidates and statewide measures, as well as any state or county central committee or independent committee that spends \$10,000 or more.

50 - DEPARTMENT OF MOTOR VEHICLES COLLECTION PROGRAM

This program's objective is to increase collections of delinquent motor vehicle license fees, taxes, and penalties on behalf of the Department of Motor Vehicles by utilizing the same collection capabilities that are used to collect the Personal Income Tax.

60 - COURT COLLECTIONS PROGRAM

This program's objective is to increase collections of delinquent fines, penalties, and orders imposed by, and on behalf of, superior, municipal, and justice courts by utilizing the same automated collection capabilities that are used to collect the Personal Income Tax.

65 - LEGAL SERVICES PROGRAM

This program reimburses the Department of Justice for legal services provided in the support of Franchise Tax Board functions.

70 - CONTRACT WORK

This program provides cost-effective goods and services to other governmental entities through contractual agreements. Such goods and services include rental space to on-site childcare and cafeteria entities, provide data processing services for other governmental entities, and delinquent debt collection services.

80 - ADMINISTRATION PROGRAM

This program provides executive leadership under the general direction of the Franchise Tax Board by directing departmental operations, developing and executing policies, making decisions concerning program operations, and ensuring that the Board's programs and services are carried out in accordance with Government Code Sections 15701 and 15702. This program also provides the Board with the personnel, administration, training, budgeting, and accounting services necessary to ensure that functions are performed with integrity, efficiency, and fairness.

DETAILED EXPENDITURES BY PROGRAM

| | 2011-12* | 2012-13* | 2013-14* |
|-----------------------------|----------|----------|----------|
| PROGRAM REQUIREMENTS | | | |
| 10 TAX PROGRAMS | | | |
| State Operations: | | | |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|--|------------------|------------------|------------------|
| 0001 General Fund | \$552,300 | \$638,850 | \$716,599 |
| 0122 Emergency Food Assistance Program Fund | 6 | 6 | 6 |
| 0200 Fish and Game Preservation Fund | 13 | 13 | 13 |
| 0803 State Children's Trust Fund | 9 | 11 | 11 |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund | 11 | 11 | 11 |
| 0886 California Seniors Special Fund | 1 | 4 | 4 |
| 0945 California Breast Cancer Research Fund | 7 | 7 | 7 |
| 0974 California Peace Officer Memorial Foundation Fund | 3 | 5 | 5 |
| 0979 California Firefighters' Memorial Fund | 3 | 7 | 7 |
| 0983 California Fund for Senior Citizens | 6 | 7 | 7 |
| 0995 Reimbursements | 5,844 | 998 | 998 |
| 8047 California Sea Otter Fund | 6 | 6 | 6 |
| 8053 ALS/Lou Gehrig's Disease Research Fund | - | 6 | 6 |
| 8054 California Cancer Research Fund | 6 | 6 | 6 |
| 8055 Municipal Shelter Spay - Neuter Fund | - | 6 | 6 |
| 8064 Arts Council Fund | 3 | 6 | - |
| 8065 Safely Surrendered Baby Fund | 3 | 6 | - |
| 8066 California Police Activities League (CAL PAL) Fund | 1 | 6 | - |
| 8067 California Veterans Home Fund | 4 | 6 | - |
| 8069 Child Victims of Human Trafficking Fund | - | 6 | 6 |
| 8074 California Youth Leadership Fund | - | - | 6 |
| 8075 School Supplies for Homeless Children Fund | - | - | 6 |
| 8076 State Parks Protection Fund | - | - | 24 |
| 8077 California YMCA Youth & Government Fund | - | - | 6 |
| Totals, State Operations | \$558,226 | \$639,973 | \$717,740 |
| ELEMENT REQUIREMENTS | | | |
| 10.10 Personal Income Tax | \$372,813 | \$435,553 | \$487,440 |
| State Operations: | | | |
| 0001 General Fund | 366,887 | 434,430 | 486,299 |
| 0122 Emergency Food Assistance Program Fund | 6 | 6 | 6 |
| 0200 Fish and Game Preservation Fund | 13 | 13 | 13 |
| 0803 State Children's Trust Fund | 9 | 11 | 11 |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund | 11 | 11 | 11 |
| 0886 California Seniors Special Fund | 1 | 4 | 4 |
| 0945 California Breast Cancer Research Fund | 7 | 7 | 7 |
| 0974 California Peace Officer Memorial Foundation Fund | 3 | 5 | 5 |
| 0979 California Firefighters' Memorial Fund | 3 | 7 | 7 |
| 0983 California Fund for Senior Citizens | 6 | 7 | 7 |
| 0995 Reimbursements | 5,844 | 998 | 998 |
| 8047 California Sea Otter Fund | 6 | 6 | 6 |
| 8053 ALS/Lou Gehrig's Disease Research Fund | - | 6 | 6 |
| 8054 California Cancer Research Fund | 6 | 6 | 6 |
| 8055 Municipal Shelter Spay - Neuter Fund | - | 6 | 6 |
| 8064 Arts Council Fund | 3 | 6 | - |
| 8065 Safely Surrendered Baby Fund | 3 | 6 | - |
| 8066 California Police Activities League (CAL PAL) Fund | 1 | 6 | - |
| 8067 California Veterans Home Fund | 4 | 6 | - |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|---|-----------------------|-----------------------|-----------------------|
| 8069 Child Victims of Human Trafficking Fund | - | 6 | 6 |
| 8074 California Youth Leadership Fund | - | - | 6 |
| 8075 School Supplies for Homeless Children Fund | - | - | 6 |
| 8076 State Parks Protection Fund | - | - | 24 |
| 8077 California YMCA Youth & Government Fund | - | - | 6 |
| 10.20 Corporation Tax | \$185,295 | \$204,313 | \$230,193 |
| State Operations: | | | |
| 0001 General Fund | 185,295 | 204,313 | 230,193 |
| 10.25 Non-Admitted Insurance Tax | \$118 | \$107 | \$107 |
| State Operations: | | | |
| 0001 General Fund | 118 | 107 | 107 |
| PROGRAM REQUIREMENTS | | | |
| 30 POLITICAL REFORM AUDIT | | | |
| State Operations: | | | |
| 0001 General Fund | <u>\$1,381</u> | <u>\$1,577</u> | <u>\$-</u> |
| Totals, State Operations | \$1,381 | \$1,577 | \$- |
| PROGRAM REQUIREMENTS | | | |
| 50 DEPARTMENT OF MOTOR VEHICLES | | | |
| COLLECTIONS PROGRAM | | | |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | \$2,724 | \$2,961 | \$3,043 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | 5,115 | 5,563 | 5,717 |
| Totals, State Operations | <u>\$7,839</u> | <u>\$8,524</u> | <u>\$8,760</u> |
| PROGRAM REQUIREMENTS | | | |
| 60 COURT COLLECTION PROGRAM | | | |
| State Operations: | | | |
| 0242 Court Collection Account | <u>\$9,191</u> | <u>\$11,025</u> | <u>\$11,552</u> |
| Totals, State Operations | \$9,191 | \$11,025 | \$11,552 |
| PROGRAM REQUIREMENTS | | | |
| 65 DEPARTMENT OF JUSTICE LEGAL SERVICES PROGRAM | | | |
| State Operations: | | | |
| 0001 General Fund | <u>\$2,446</u> | <u>\$2,489</u> | <u>\$2,489</u> |
| Totals, State Operations | \$2,446 | \$2,489 | \$2,489 |
| PROGRAM REQUIREMENTS | | | |
| 70 CONTRACT WORK | | | |
| State Operations: | | | |
| 0995 Reimbursements | <u>\$3,624</u> | <u>\$9,572</u> | <u>\$9,617</u> |
| Totals, State Operations | \$3,624 | \$9,572 | \$9,617 |
| PROGRAM REQUIREMENTS | | | |
| 95 LEASE REVENUE BOND PAYMENTS | | | |
| State Operations: | | | |
| 0001 General Fund | <u>\$3</u> | <u>\$-</u> | <u>\$-</u> |
| Totals, State Operations | \$3 | \$- | \$- |
| TOTALS, EXPENDITURES | | | |
| State Operations | <u>582,710</u> | <u>673,160</u> | <u>750,158</u> |
| Totals, Expenditures | \$582,710 | \$673,160 | \$750,158 |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions | | | Expenditures | | |
|---|----------------|----------------|----------------|------------------|------------------|------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 5,548.7 | 5,626.2 | 5,587.2 | \$314,971 | \$316,351 | \$335,866 |
| Total Adjustments | - | - | 184.0 | - | - | 9,804 |
| Net Totals, Salaries and Wages | 5,548.7 | 5,626.2 | 5,771.2 | \$314,971 | \$316,351 | \$345,670 |
| Staff Benefits | - | - | - | 126,116 | 138,228 | 147,813 |
| Totals, Personal Services | 5,548.7 | 5,626.2 | 5,771.2 | \$441,087 | \$454,579 | \$493,483 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$141,620 | \$218,581 | \$256,675 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Building Lease/Purchase | | | | \$3 | \$- | \$- |
| Totals, Special Items of Expense | | | | \$3 | \$- | \$- |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$582,710 | \$673,160 | \$750,158 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|------------------|------------------|------------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$718,684 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0001) | 570,378 | 649,203 | - |
| Allocation for employee compensation | 1,098 | 2,338 | - |
| Transfer from Item 8640-001-0001 Political Reform Act 1974 | 1,593 | 1,609 | - |
| Adjustment per Section 3.60 | 3,015 | 7,194 | - |
| Adjustment per Section 3.90 | -5,926 | -17,832 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -153 | - | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | -975 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -1,700 | - | - |
| 002 Budget Act appropriation (Renumbered from Item 1730-002-0001) | 3,148 | - | - |
| Adjustment per Section 4.30 | -4 | - | - |
| 005 Budget Act appropriation (Renumbered from Item 1730-005-0001) (Billable Legal Services Conversion) | 3,232 | - | - |
| Revenue and Tax Code Section 19378 (Transfer to Delinquent Tax Collection Fund) | 319 | 404 | 404 |
| Chapter 37, Statutes of 2012 | - | 1 | - |
| Prior year balances available: | | | |
| Chapter 37, Statutes of 2012 | - | - | 1 |
| Totals Available | \$574,025 | \$642,917 | \$719,089 |
| Unexpended balance, estimated savings | -17,895 | - | - |
| Balance available in subsequent years | - | -1 | -1 |
| TOTALS, EXPENDITURES | \$556,130 | \$642,916 | \$719,088 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$3,043 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0044) | 2,991 | 2,992 | - |
| Allocation for employee compensation | 4 | 9 | - |
| Adjustment per Section 3.60 | 11 | 27 | - |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|-----------------|-----------------|-----------------|
| Adjustment per Section 3.90 | -22 | -67 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | -6 | - | - |
| Totals Available | \$2,978 | \$2,961 | \$3,043 |
| Unexpended balance, estimated savings | -254 | - | - |
| TOTALS, EXPENDITURES | \$2,724 | \$2,961 | \$3,043 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$5,717 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0064) | 5,620 | 5,622 | - |
| Allocation for employee compensation | 8 | 16 | - |
| Adjustment per Section 3.60 | 21 | 49 | - |
| Adjustment per Section 3.90 | -40 | -124 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | -11 | - | - |
| Totals Available | \$5,598 | \$5,563 | \$5,717 |
| Unexpended balance, estimated savings | -483 | - | - |
| TOTALS, EXPENDITURES | \$5,115 | \$5,563 | \$5,717 |
| 0122 Emergency Food Assistance Program Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0122) | 6 | 6 | - |
| TOTALS, EXPENDITURES | \$6 | \$6 | \$6 |
| 0167 Delinquent Tax Collection Fund | | | |
| APPROPRIATIONS | | | |
| Revenue Tax Code Section 19378 | \$319 | \$404 | \$404 |
| TOTALS, EXPENDITURES | \$319 | \$404 | \$404 |
| Less funding provided by the General Fund | -319 | -404 | -404 |
| NET TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0200 Fish and Game Preservation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$13 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0200) | 14 | 13 | - |
| Totals Available | \$14 | \$13 | \$13 |
| Unexpended balance, estimated savings | -1 | - | - |
| TOTALS, EXPENDITURES | \$13 | \$13 | \$13 |
| 0242 Court Collection Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$11,552 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0242) | 11,400 | 11,145 | - |
| Allocation for employee compensation | 16 | 34 | - |
| Adjustment per Section 3.60 | 45 | 106 | - |
| Adjustment per Section 3.90 | -87 | -260 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | -18 | - | - |
| Totals Available | \$11,356 | \$11,025 | \$11,552 |
| Unexpended balance, estimated savings | -2,165 | - | - |
| TOTALS, EXPENDITURES | \$9,191 | \$11,025 | \$11,552 |
| 0803 State Children's Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$11 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0803) | 12 | 11 | - |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|-------------|-------------|-------------|
| Totals Available | \$12 | \$11 | \$11 |
| Unexpended balance, estimated savings | -3 | - | - |
| TOTALS, EXPENDITURES | \$9 | \$11 | \$11 |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$11 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0823) | 12 | 11 | - |
| Totals Available | \$12 | \$11 | \$11 |
| Unexpended balance, estimated savings | -1 | - | - |
| TOTALS, EXPENDITURES | \$11 | \$11 | \$11 |
| 0886 California Seniors Special Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$4 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0886) | 4 | 4 | - |
| Totals Available | \$4 | \$4 | \$4 |
| Unexpended balance, estimated savings | -3 | - | - |
| TOTALS, EXPENDITURES | \$1 | \$4 | \$4 |
| 0945 California Breast Cancer Research Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$7 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0945) | 7 | 7 | - |
| TOTALS, EXPENDITURES | \$7 | \$7 | \$7 |
| 0974 California Peace Officer Memorial Foundation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$5 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0974) | 5 | 5 | - |
| Totals Available | \$5 | \$5 | \$5 |
| Unexpended balance, estimated savings | -2 | - | - |
| TOTALS, EXPENDITURES | \$3 | \$5 | \$5 |
| 0979 California Firefighters' Memorial Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$7 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0979) | 7 | 7 | - |
| Totals Available | \$7 | \$7 | \$7 |
| Unexpended balance, estimated savings | -4 | - | - |
| TOTALS, EXPENDITURES | \$3 | \$7 | \$7 |
| 0983 California Fund for Senior Citizens | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$7 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-0983) | 7 | 7 | - |
| Totals Available | \$7 | \$7 | \$7 |
| Unexpended balance, estimated savings | -1 | - | - |
| TOTALS, EXPENDITURES | \$6 | \$7 | \$7 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$9,468 | \$10,570 | \$10,615 |
| 8047 California Sea Otter Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8047) | 6 | 6 | - |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|------------|------------|------------|
| TOTALS, EXPENDITURES | \$6 | \$6 | \$6 |
| 8053 ALS/Lou Gehrig's Disease Research Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8053) | - | 6 | - |
| TOTALS, EXPENDITURES | \$- | \$6 | \$6 |
| 8054 California Cancer Research Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8054) | 6 | 6 | - |
| TOTALS, EXPENDITURES | \$6 | \$6 | \$6 |
| 8055 Municipal Shelter Spay-Neuter Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8055) | - | 6 | - |
| TOTALS, EXPENDITURES | \$- | \$6 | \$6 |
| 8064 Arts Council Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8064) | \$6 | \$6 | \$- |
| Totals Available | \$6 | \$6 | \$- |
| Unexpended balance, estimated savings | -3 | - | - |
| TOTALS, EXPENDITURES | \$3 | \$6 | \$- |
| 8065 Safely Surrendered Baby Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8065) | \$6 | \$6 | \$- |
| Totals Available | \$6 | \$6 | \$- |
| Unexpended balance, estimated savings | -3 | - | - |
| TOTALS, EXPENDITURES | \$3 | \$6 | \$- |
| 8066 California Police Activities League (CAL PAL) Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8066) | \$6 | \$6 | \$- |
| Totals Available | \$6 | \$6 | \$- |
| Unexpended balance, estimated savings | -5 | - | - |
| TOTALS, EXPENDITURES | \$1 | \$6 | \$- |
| 8067 California Veterans Homes Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8067) | \$6 | \$6 | \$- |
| Totals Available | \$6 | \$6 | \$- |
| Unexpended balance, estimated savings | -2 | - | - |
| TOTALS, EXPENDITURES | \$4 | \$6 | \$- |
| 8069 Child Victims of Human Trafficking Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| 001 Budget Act appropriation (Renumbered from Item 1730-001-8069) | - | 6 | - |
| TOTALS, EXPENDITURES | \$- | \$6 | \$6 |
| 8074 California Youth Leadership Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| TOTALS, EXPENDITURES | \$- | \$- | \$6 |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|------------------|------------------|------------------|
| 8075 School Supplies for Homeless Children Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| TOTALS, EXPENDITURES | \$- | \$- | \$6 |
| 8076 State Parks Protection Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$24 |
| TOTALS, EXPENDITURES | \$- | \$- | \$24 |
| 8077 California YMCA Youth and Government Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6 |
| TOTALS, EXPENDITURES | \$- | \$- | \$6 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$582,710 | \$673,160 | \$750,158 |

FUND CONDITION STATEMENTS

| | 2011-12* | 2012-13* | 2013-14* |
|---|----------|----------|----------|
| 0167 Delinquent Tax Collection Fund^s | | | |
| BEGINNING BALANCE | - | - | - |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 7730 Franchise Tax Board (State Operations) | \$319 | \$404 | \$404 |
| Expenditure Adjustments: | | | |
| 7730 Franchise Tax Board | | | |
| Less funding provided by the General Fund (State Operations) | -319 | -404 | -404 |
| Total Expenditures and Expenditure Adjustments | - | - | - |
| FUND BALANCE | - | - | - |
| 0242 Court Collection Account^s | | | |
| BEGINNING BALANCE | \$3,459 | \$6,950 | \$8,921 |
| Prior year adjustments | -13 | - | - |
| Adjusted Beginning Balance | \$3,446 | \$6,950 | \$8,921 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 161000 Escheat of Unclaimed Checks & Warrants | 8 | 1 | 1 |
| 161900 Other Revenue - Cost Recoveries | 90,014 | 81,270 | 81,270 |
| Total Revenues, Transfers, and Other Adjustments | \$90,022 | \$81,271 | \$81,271 |
| Total Resources | \$93,468 | \$88,221 | \$90,192 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 60 | 25 | - |
| 7730 Franchise Tax Board (State Operations) | 9,191 | 11,025 | 11,552 |
| 8880 Financial Information System for California (State Operations) | 27 | 60 | 51 |
| 9901 Various Departments (Local Assistance) | 77,240 | 68,190 | 68,190 |
| Allocations to Counties | | | |
| Total Expenditures and Expenditure Adjustments | \$86,518 | \$79,300 | \$79,793 |
| FUND BALANCE | \$6,950 | \$8,921 | \$10,399 |
| Reserve for economic uncertainties | 6,950 | 8,921 | 10,399 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions | | | Expenditures | | |
|------------------------------|-----------|---------|---------|--------------|-----------|-----------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Totals, Authorized Positions | 5,548.7 | 5,626.2 | 5,587.2 | \$314,971 | \$316,351 | \$335,866 |

* Dollars in thousands, except in Salary Range.

7730 Franchise Tax Board - Continued

| | Positions | | | Expenditures | | |
|--|----------------|----------------|----------------|---------------------|------------------|------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Proposed New Positions: | | | | Salary Range | | |
| Labor Relations Spec | - | - | 1.0 | 5,079-6,127 | - | 67 |
| Sr Compliance Rep | - | - | 1.0 | 4,619-5,616 | - | 61 |
| Assoc Sys Software Spec Tech | - | - | 1.0 | 4,611-5,882 | - | 63 |
| Assoc Personnel Analyst | - | - | 1.0 | 4,400-5,348 | - | 58 |
| Compliance Rep | - | - | 1.0 | 3,841-4,670 | - | 51 |
| Bus Services Officer I Spec | - | - | 1.0 | 3,658-4,446 | - | 49 |
| Personnel Specialist | - | - | 1.0 | 3,098-3,766 | - | 41 |
| Materials & Stores Spec | - | - | 2.0 | 2,877-3,420 | - | 76 |
| Mailing Machines Operator I | - | - | 2.0 | 2,468-2,998 | - | 66 |
| Audit Division: | | | | | | |
| Assoc Tax Auditor | - | - | 5.0 | 4,619-5,897 | - | 315 |
| Legal: | | | | | | |
| Tax Counsel III Spec | - | - | 3.0 | 7,682-9,478 | - | 309 |
| Finance and Executive Services Division: | | | | | | |
| Research Analyst II Gen | - | - | 1.0 | 4,619-5,616 | - | 61 |
| Acctg Officer Spec | - | - | 1.0 | 3,841-4,670 | - | 51 |
| Accounts Receivable Management Division: | | | | | | |
| Administrator I | - | - | 7.0 | 5,076-6,476 | - | 485 |
| Sr Compliance Rep | - | - | 24.0 | 4,619-5,616 | - | 1,474 |
| Compliance Rep | - | - | 45.0 | 3,841-4,670 | - | 2,298 |
| Customer Service Specialist | - | - | 4.0 | 3,050-3,708 | - | 162 |
| Tax Program Technician II | - | - | 2.0 | 2,951-3,588 | - | 78 |
| Tax Technician | - | - | 49.0 | 2,817-3,426 | - | 1,835 |
| Tax Program Technician I | - | - | 7.0 | 2,638-3,209 | - | 246 |
| Filing Division: | | | | | | |
| Compliance Rep | - | - | 6.0 | 3,841-4,670 | - | 306 |
| Customer Service Specialist | - | - | 3.0 | 3,658-4,446 | - | 146 |
| Tax Program Technician I | - | - | 7.0 | 2,638-3,209 | - | 246 |
| Overtime | - | - | - | - | - | 3 |
| Technology Services Division: | | | | | | |
| Sys Software Spec II Tech | - | - | 1.0 | 5,561-7,097 | - | 76 |
| Staff Info Sys Analyst Spec | - | - | 6.0 | 5,065-6,466 | - | 415 |
| Staff Prog Analyst Spec | - | - | 2.0 | 5,065-6,466 | - | 138 |
| Overtime | - | - | - | - | - | 628 |
| Totals, Proposed New Positions | - | - | 184.0 | \$- | \$- | \$9,804 |
| Total Adjustments | - | - | 184.0 | \$- | \$- | \$9,804 |
| TOTALS, SALARIES AND WAGES | 5,548.7 | 5,626.2 | 5,771.2 | \$314,971 | \$316,351 | \$345,670 |

7760 Department of General Services

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the Department of General Services (previously budgeted within State and Consumer Services Agency under Organization Code 1760) to this new Agency (Government Operations).

As an enterprise organization, the Department of General Services provides centralized services to state agencies in the areas of: management of state-owned and leased real estate; approval of architectural designs for local schools and other state-owned building; printing services; procurement of commodities, services, and equipment for state agencies; and management of the state's vehicle fleet. Furthermore, the Department of General Services employs practices that support initiatives to reduce energy consumption and help preserve California resources. The Director of General Services serves on several state boards and commissions.

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

Because department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Department of General Services' Capital Outlay Program, see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

| | Positions | | | Expenditures | | |
|--|----------------|----------------|----------------|------------------|--------------------|--------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 10 Building Regulation Services | 406.3 | 444.8 | 429.8 | \$64,242 | \$68,937 | \$69,227 |
| 15 Real Estate Services | 1,815.1 | 2,014.9 | 2,018.9 | 422,198 | 430,281 | 461,045 |
| 20 Statewide Support Services | 776.5 | 808.8 | 808.3 | 368,352 | 471,812 | 478,415 |
| 30.01 Administration | 307.1 | 350.4 | 335.4 | 50,723 | 45,025 | 59,087 |
| 30.02 Distributed Administration | - | - | - | -19,276 | -11,147 | -44,102 |
| 30.03 Distributed Services | - | - | - | - | - | -11,605 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 3,305.0 | 3,618.9 | 3,592.4 | \$886,239 | \$1,004,908 | \$1,012,067 |

| FUNDING | | | | 2011-12* | 2012-13* | 2013-14* |
|--|--|--|--|------------------|--------------------|--------------------|
| 0001 | General Fund | | | \$5,313 | \$2,690 | \$7,079 |
| 0002 | Property Acquisition Law Money Account | | | 4,722 | 3,065 | 3,083 |
| 0003 | Motor Vehicle Parking Facilities Moneys Account | | | 2,937 | 2,306 | 3,306 |
| 0006 | Disability Access Account | | | 6,422 | 5,603 | 6,515 |
| 0026 | State Motor Vehicle Insurance Account | | | 30,540 | 49,272 | 34,052 |
| 0328 | Public School Planning, Design, and Construction Review Revolving Fund | | | 42,476 | 46,932 | 46,247 |
| 0465 | Energy Resources Programs Account | | | 1,613 | 1,613 | 1,631 |
| 0602 | Architecture Revolving Fund | | | 33,159 | 36,984 | 37,633 |
| 0666 | Service Revolving Fund | | | 737,501 | 833,981 | 853,960 |
| 0739 | State School Building Aid Fund | | | 302 | 301 | 312 |
| 0961 | State School Deferred Maintenance Fund | | | 57 | 83 | 83 |
| 0995 | Reimbursements | | | 7,123 | 7,131 | 3,382 |
| 3091 | Certified Access Specialist Fund | | | 262 | 286 | 280 |
| 3144 | Building Standards Administration Special Revolving Fund | | | 604 | 637 | 646 |
| 3245 | Disability Access and Education Revolving Fund | | | - | - | 532 |
| 6036 | 2002 State School Facilities Fund | | | - | - | 143 |
| 6044 | 2004 State School Facilities Fund | | | - | - | 3,575 |
| 6057 | 2006 State School Facilities Fund | | | 13,208 | 14,024 | 9,608 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$886,239 | \$1,004,908 | \$1,012,067 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 3, Part 5.5, Chapters 1-3.

MAJOR PROGRAM CHANGES

- Program Reductions - The Budget includes a reduction of \$5,594,000 and 22.5 positions to reflect operational efficiencies and the elimination of non-mission critical services.
- Office of Public School Construction (OPSC) Reduction - The Budget includes a reduction of \$1,653,000 state bond funds and 20 positions to align administrative resources with expected workload for the School Facilities Program.
- Special Repairs: Americans with Disabilities Act Compliance Upgrades and Deferred Maintenance - The Budget includes an increase of \$11 million for repairs to comply with the Americans with Disabilities Act and to address various deferred maintenance projects. This will enable the Department to maximize the use of state buildings. These projects will be funded by a \$0.10 per square foot increase to building rental rates over the next 10 years.

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

DETAILED BUDGET ADJUSTMENTS

| | 2012-13* | | | 2013-14* | | |
|---|--------------|----------------|-----------|----------------|-----------------|--------------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Statewide Parking Special Repairs and Deferred Maintenance | \$- | \$- | - | \$- | \$1,077 | - |
| • Special Repairs: Americans with Disabilities Act Compliance Upgrades and Deferred Maintenance | - | - | - | - | 11,000 | - |
| • Contracted Fiscal Services | - | - | - | - | 610 | 6.0 |
| • Real Estate Services: High Speed Rail Project | - | - | - | - | 684 | 5.0 |
| • Program Reductions | - | - | - | - | -5,594 | -22.5 |
| • Statewide Disability Access and Education Program (Chapter 383, Statutes of 2012) | - | - | - | - | 532 | 5.0 |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$- | \$8,309 | -6.5 |
| Other Workload Budget Adjustments | | | | | | |
| • Deferred Maintenance for State Capitol Repairs | \$- | \$- | - | \$2,689 | \$- | - |
| • Security System for State Capitol | - | - | - | 1,700 | - | - |
| • Office of Public School Construction Reduction | - | - | - | - | -1,193 | -20.0 |
| • Employee Compensation Adjustments | - | -8,869 | - | - | 2,922 | - |
| • Retirement Rate Adjustment | - | 5,123 | - | - | 5,123 | - |
| • Miscellaneous Adjustments | - | 25,025 | - | - | 857 | - |
| • Lease Revenue Debt Service Adjustment | - | -12,901 | - | - | -4,868 | - |
| Totals, Other Workload Budget Adjustments | \$- | \$8,378 | - | \$4,389 | \$2,841 | -20.0 |
| Totals, Workload Budget Adjustments | \$- | \$8,378 | - | \$4,389 | \$11,150 | -26.5 |
| Totals, Budget Adjustments | \$- | \$8,378 | - | \$4,389 | \$11,150 | -26.5 |

PROGRAM DESCRIPTIONS

10 - BUILDING REGULATION SERVICES

With a multi-billion dollar annual investment in facilities for state offices and public schools, centralized responsibilities for inspection and approval are needed. This is achieved in the following ways: (a) Assuring protection of lives and property in public buildings and schools through plan review and field supervision; (b) Ensuring that facilities constructed with state funds are accessible to the physically handicapped; (c) Developing uniform public health and safety regulations for state and public building construction; (d) Administering the State School Facility Program, which provides financial assistance to school districts for the development of schools sites, construction, and modernization or replacement of school buildings; and (e) Adopting, codifying and publishing building standards for design and construction throughout California.

15 - REAL ESTATE SERVICES

Centralized responsibilities for leasing, planning, acquisition, design, construction, maintenance, and operation are needed to ensure quality, avoid redundancy, and deliver property and facilities at the lowest possible cost. This is achieved by: (a) Providing adequate space for state operations at a cost below that which each agency could provide for itself; (b) Assuring protection of lives and property in public buildings through progressive design and proactive construction inspection; (c) Selecting the most qualified firms (both internal and external) to design and construct high quality facilities for other state departments to deliver their programs to the citizens of California; (d) Acquiring, managing, and disposing of real property assets for state agencies, and providing public service facilities with economy of operations and uniform practices for protecting the public's interests and ensuring equitable treatment of private property owners; (e) Preserving the state's capital investment in building, grounds, and equipment through an efficient and effective centralized maintenance and operations program; and providing state-wide sustainability, energy efficiency and clean renewable energy generation programs.

20 - STATEWIDE SUPPORT SERVICES

To fulfill their program responsibilities, state agencies require support services for business transactions and activities which are basic to their organizational functions. These operational requirements include procurement of green and sustainable materials, energy, and transportation; publishing and related business and office services. Other state agency support requirements include travel, consulting services for legal contracts, risk and insurance management and budget, accounting and human resources services. Additionally, licensing agencies require services of administrative law judges to conduct

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

hearings for alleged violations by license holders and determination of application for licenses.

30 - ADMINISTRATION

The Administration program provides services essential to support the programmatic responsibilities of the Department. This program provides executive leadership and policy direction through the executive office, legislative affairs, legal services, auditing, public affairs and equal employment opportunity as well as central administrative services in accounting, budgeting, business services, human resources, training, information technology, procurement and contracting, research and strategic planning, and labor relations.

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|-----------------------------|--|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | BUILDING REGULATION SERVICES | | | |
| | State Operations: | | | |
| 0006 | Disability Access Account | \$6,422 | \$5,603 | \$6,515 |
| 0328 | Public School Planning, Design, and Construction Review Revolving Fund | 42,476 | 46,932 | 46,247 |
| 0666 | Service Revolving Fund | 911 | 1,071 | 1,286 |
| 0739 | State School Building Aid Fund | 302 | 301 | 312 |
| 0961 | State School Deferred Maintenance Fund | 57 | 83 | 83 |
| 3091 | Certified Access Specialist Fund | 262 | 286 | 280 |
| 3144 | Building Standards Administration Special Revolving Fund | 604 | 637 | 646 |
| 3245 | Disability Access and Education Revolving Fund | - | - | 532 |
| 6036 | 2002 State School Facilities Fund | - | - | 143 |
| 6044 | 2004 State School Facilities Fund | - | - | 3,575 |
| 6057 | 2006 State School Facilities Fund | 13,208 | 14,024 | 9,608 |
| | Totals, State Operations | \$64,242 | \$68,937 | \$69,227 |
| ELEMENT REQUIREMENTS | | | | |
| 10.15 | Division of the State Architect | \$49,206 | \$52,880 | \$53,633 |
| | State Operations: | | | |
| 0006 | Disability Access Account | 6,422 | 5,603 | 6,515 |
| 0328 | Public School Planning, Design, and Construction Review Revolving Fund | 42,476 | 46,932 | 46,247 |
| 0666 | Service Revolving Fund | 46 | 59 | 59 |
| 3091 | Certified Access Specialist Fund | 262 | 286 | 280 |
| 3245 | Disability Access and Education Revolving Fund | - | - | 532 |
| 10.40 | Public School Construction | \$13,580 | \$14,408 | \$13,721 |
| | State Operations: | | | |
| 0666 | Service Revolving Fund | 13 | - | - |
| 0739 | State School Building Aid Fund | 302 | 301 | 312 |
| 0961 | State School Deferred Maintenance Fund | 57 | 83 | 83 |
| 6036 | 2002 State School Facilities Fund | - | - | 143 |
| 6044 | 2004 State School Facilities Fund | - | - | 3,575 |
| 6057 | 2006 State School Facilities Fund | 13,208 | 14,024 | 9,608 |
| 10.50 | Building Standards Commission | \$1,456 | \$1,649 | \$1,873 |
| | State Operations: | | | |
| 0666 | Service Revolving Fund | 852 | 1,012 | 1,227 |
| 3144 | Building Standards Administration Special Revolving Fund | 604 | 637 | 646 |
| PROGRAM REQUIREMENTS | | | | |
| 15 | REAL ESTATE SERVICES | | | |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|--|------------------|------------------|------------------|
| State Operations: | | | |
| 0001 General Fund | \$5,313 | \$2,690 | \$7,079 |
| 0002 Property Acquisition Law Money Account | 4,722 | 3,065 | 3,083 |
| 0465 Energy Resources Programs Account | 955 | 880 | 890 |
| 0602 Architecture Revolving Fund | 33,159 | 36,983 | 37,633 |
| 0666 Service Revolving Fund | 372,747 | 383,770 | 412,358 |
| 0995 Reimbursements | <u>5,302</u> | <u>2,893</u> | <u>2</u> |
| Totals, State Operations | \$422,198 | \$430,281 | \$461,045 |
| ELEMENT REQUIREMENTS | | | |
| 15.20 Asset Management Branch | \$6,907 | \$4,472 | \$4,450 |
| State Operations: | | | |
| 0002 Property Acquisition Law Money Account | 2,869 | 1,407 | 1,367 |
| 0666 Service Revolving Fund | 4,038 | 3,065 | 3,083 |
| 15.30 Project Management Branch | \$11,483 | \$12,616 | \$13,129 |
| State Operations: | | | |
| 0602 Architecture Revolving Fund | 11,419 | 12,616 | 13,129 |
| 0666 Service Revolving Fund | 64 | - | - |
| 15.40 Business, Operations, Policy and Planning | \$1,272 | \$782 | \$- |
| State Operations: | | | |
| 0602 Architecture Revolving Fund | 676 | 132 | - |
| 0666 Service Revolving Fund | 596 | 650 | - |
| 15.50 Professional Services Branch | \$41,377 | \$42,774 | \$32,597 |
| State Operations: | | | |
| 0002 Property Acquisition Law Money Account | 1,853 | 1,658 | 1,716 |
| 0465 Energy Resources Programs Account | 955 | 880 | 890 |
| 0602 Architecture Revolving Fund | 21,064 | 24,235 | 13,615 |
| 0666 Service Revolving Fund | 17,505 | 16,001 | 16,376 |
| 15.60 Building and Property Management Branch | \$361,159 | \$369,637 | \$399,980 |
| State Operations: | | | |
| 0001 General Fund | 5,313 | 2,690 | 7,079 |
| 0666 Service Revolving Fund | 350,544 | 364,054 | 392,899 |
| 0995 Reimbursements | 5,302 | 2,893 | 2 |
| 15.70 Construction Services Branch | \$- | \$- | \$10,889 |
| State Operations: | | | |
| 0602 Architecture Revolving Fund | - | - | 10,889 |
| PROGRAM REQUIREMENTS | | | |
| 20 STATEWIDE SUPPORT SERVICES | | | |
| State Operations: | | | |
| 0003 Motor Vehicle Parking Facilities Moneys Account | \$2,937 | \$2,307 | \$3,306 |
| 0026 State Motor Vehicle Insurance Account | 30,540 | 49,273 | 34,052 |
| 0465 Energy Resources Programs Account | 658 | 733 | 741 |
| 0666 Service Revolving Fund | 334,217 | 418,537 | 440,316 |
| 0995 Reimbursements | <u>-</u> | <u>962</u> | <u>-</u> |
| Totals, State Operations | \$368,352 | \$471,812 | \$478,415 |
| ELEMENT REQUIREMENTS | | | |
| 20.10 Administrative Hearings | \$22,087 | \$24,112 | \$24,272 |
| State Operations: | | | |
| 0666 Service Revolving Fund | 22,087 | 23,151 | 24,272 |
| 0995 Reimbursements | - | 961 | - |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|--|------------------|--------------------|--------------------|
| 20.20 Fleet Administration | \$44,440 | \$51,216 | \$53,869 |
| State Operations: | | | |
| 0003 Motor Vehicle Parking Facilities Moneys Account | 2,937 | 2,307 | 3,306 |
| 0666 Service Revolving Fund | 41,503 | 48,908 | 50,563 |
| 0995 Reimbursements | - | 1 | - |
| 20.25 Risk and Insurance Management | \$213,537 | \$300,781 | \$286,081 |
| State Operations: | | | |
| 0026 State Motor Vehicle Insurance Account | 30,540 | 49,273 | 34,052 |
| 0465 Energy Resources Programs Account | 86 | - | - |
| 0666 Service Revolving Fund | 182,911 | 251,508 | 252,029 |
| 20.30 Legal Services | \$1,239 | \$3,494 | \$4,246 |
| State Operations: | | | |
| 0666 Service Revolving Fund | 1,239 | 3,494 | 4,246 |
| 20.45 Procurement | \$26,095 | \$28,118 | \$35,758 |
| State Operations: | | | |
| 0465 Energy Resources Programs Account | 572 | 306 | 308 |
| 0666 Service Revolving Fund | 25,523 | 27,812 | 35,450 |
| 20.60 State Publishing | \$60,954 | \$63,664 | \$68,203 |
| State Operations: | | | |
| 0666 Service Revolving Fund | 60,954 | 63,664 | 68,203 |
| 20.65 Contracted Human Resources Services | \$- | \$- | \$837 |
| State Operations: | | | |
| 0666 Service Revolving Fund | - | - | 837 |
| 20.70 Contracted Fiscal Services | \$- | \$- | \$4,716 |
| State Operations: | | | |
| 0666 Service Revolving Fund | - | - | 4,716 |
| 20.75 Executive Office of Sustainability | \$- | \$427 | \$433 |
| State Operations: | | | |
| 0465 Energy Resources Programs Account | - | 427 | 433 |
| PROGRAM REQUIREMENTS | | | |
| 30 ADMINISTRATION | | | |
| State Operations: | | | |
| 0666 Service Revolving Fund | \$29,626 | \$30,602 | \$- |
| 0995 Reimbursements | 1,821 | 3,276 | 3,380 |
| Totals, State Operations | \$31,447 | \$33,878 | \$3,380 |
| ELEMENT REQUIREMENTS | | | |
| 30.01 Administration | 50,723 | 45,025 | 59,087 |
| 30.02 Distributed Administration | -19,276 | -11,147 | -44,102 |
| 30.03 Distributed Services | - | - | -11,605 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 886,239 | 1,004,908 | 1,012,067 |
| Totals, Expenditures | \$886,239 | \$1,004,908 | \$1,012,067 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | | | |
|---------------------------------------|--------------------|---------|---------|--------------|-----------|-----------|
| | Positions | | | Expenditures | | |
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 3,305.0 | 3,618.9 | 3,618.9 | \$192,412 | \$208,708 | \$218,079 |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| 1 State Operations | Positions | | | Expenditures | | |
|---|----------------|----------------|----------------|------------------|--------------------|--------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Total Adjustments | - | - | -26.5 | - | - | -1,253 |
| Net Totals, Salaries and Wages | 3,305.0 | 3,618.9 | 3,592.4 | \$192,412 | \$208,708 | \$216,826 |
| Staff Benefits | - | - | - | 84,605 | 94,085 | 95,083 |
| Totals, Personal Services | 3,305.0 | 3,618.9 | 3,592.4 | \$277,017 | \$302,793 | \$311,909 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$603,229 | \$669,422 | \$713,060 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Motor Vehicle Insurance Claims | | | | 25,260 | 43,840 | 31,200 |
| Motor Vehicle Parking Interest Repayment | | | | 9 | - | - |
| Totals, Special Items of Expense | | | | \$25,269 | \$43,840 | \$31,200 |
| Distributed Administration | | | | -19,276 | -11,147 | -44,102 |
| Distributed Services | | | | - | - | -11,605 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$886,239 | \$1,004,908 | \$1,012,067 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$7,079 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0001) | 5,379 | 2,690 | - |
| 002 Budget Act appropriation (Renumbered from Item 1760-002-0001) | 93 | - | - |
| Adjustment per Section 3.60 | 3 | - | - |
| Adjustment per Section 3.90 | -1 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -95 | - | - |
| Prior year balances available: | | | |
| Chapter 549, Statutes of 2008 (Section 11.00) | 3 | - | - |
| Totals Available | \$5,382 | \$2,690 | \$7,079 |
| Unexpended balance, estimated savings | -69 | - | - |
| TOTALS, EXPENDITURES | \$5,313 | \$2,690 | \$7,079 |
| 0002 Property Acquisition Law Money Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$3,083 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0002) | 3,476 | 3,115 | - |
| Allocation for employee compensation | 4 | 7 | - |
| Adjustment per Section 3.60 | 15 | 39 | - |
| Adjustment per Section 3.90 | -36 | -96 | - |
| Adjustment per Provision 3 | 1,363 | - | - |
| Totals Available | \$4,822 | \$3,065 | \$3,083 |
| Unexpended balance, estimated savings | -100 | - | - |
| TOTALS, EXPENDITURES | \$4,722 | \$3,065 | \$3,083 |
| 0003 Motor Vehicle Parking Facilities Moneys Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$3,306 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0003) | 2,363 | 2,300 | - |
| Allocation for employee compensation | 3 | 4 | - |
| Adjustment per Section 3.60 | -2 | 9 | - |
| Adjustment per Section 3.90 | -4 | -16 | - |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|-----------------|-----------------|-----------------|
| 002 Budget Act appropriation (Renumbered from Item 1760-002-0003) | 1,084 | 1,077 | - |
| Adjustment per Section 4.30 | - | -1,068 | - |
| Interest expense on Service Revolving Fund Loan per Item 1760-011-0666, Budget Act of 2006 | 9 | - | - |
| Totals Available | \$3,453 | \$2,306 | \$3,306 |
| Unexpended balance, estimated savings | -516 | - | - |
| TOTALS, EXPENDITURES | \$2,937 | \$2,306 | \$3,306 |
| 0006 Disability Access Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6,515 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0006) | 7,101 | 6,626 | - |
| Allocation for employee compensation | 9 | 20 | - |
| Adjustment per Section 3.60 | -34 | 83 | - |
| Adjustment per Section 3.90 | -121 | -195 | - |
| Totals Available | \$6,955 | \$6,534 | \$6,515 |
| Unexpended balance, estimated savings | -533 | -931 | - |
| TOTALS, EXPENDITURES | \$6,422 | \$5,603 | \$6,515 |
| 0026 State Motor Vehicle Insurance Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$2,852 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0026) | 6,569 | 5,452 | - |
| Allocation for employee compensation | 2 | 4 | - |
| Adjustment per Section 3.60 | 6 | 19 | - |
| Adjustment per Section 3.90 | -17 | -43 | - |
| 011 Budget Act Appropriation (Loan to the General Fund) (Renumbered from Item 1760-011-0026) | (25,000) | - | - |
| Government Code Section 16379 | 25,260 | 43,840 | 31,200 |
| Totals Available | \$31,820 | \$49,272 | \$34,052 |
| Unexpended balance, estimated savings | -1,280 | - | - |
| TOTALS, EXPENDITURES | \$30,540 | \$49,272 | \$34,052 |
| 0328 Public School Planning, Design, and Construction Review Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$46,247 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0328) | 53,801 | 47,600 | - |
| Allocation for employee compensation | 66 | 144 | - |
| Adjustment per Section 3.60 | -277 | 594 | - |
| Adjustment per Section 3.90 | -914 | -1,406 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -9 | - | - |
| Totals Available | \$52,667 | \$46,932 | \$46,247 |
| Unexpended balance, estimated savings | -10,191 | - | - |
| TOTALS, EXPENDITURES | \$42,476 | \$46,932 | \$46,247 |
| 0465 Energy Resources Programs Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$1,631 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0465) | 1,767 | 1,640 | - |
| Allocation for employee compensation | 1 | 4 | - |
| Adjustment per Section 3.60 | -6 | 20 | - |
| Adjustment per Section 3.90 | -27 | -51 | - |
| Totals Available | \$1,735 | \$1,613 | \$1,631 |
| Unexpended balance, estimated savings | -122 | - | - |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| 1 STATE OPERATIONS | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|---|------------------|------------------|------------------|
| TOTALS, EXPENDITURES | \$1,613 | \$1,613 | \$1,631 |
| 0602 Architecture Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$37,633 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0602) | 41,549 | 37,563 | - |
| Allocation for employee compensation | 57 | 129 | - |
| Adjustment per Section 3.60 | -271 | 502 | - |
| Adjustment per Section 3.90 | -840 | -1,210 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -11 | - | - |
| Totals Available | \$40,484 | \$36,984 | \$37,633 |
| Unexpended balance, estimated savings | -7,325 | - | - |
| TOTALS, EXPENDITURES | \$33,159 | \$36,984 | \$37,633 |
| 0666 Service Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$418,560 |
| 002 Budget Act appropriation | - | - | 171,836 |
| 003 Budget Act appropriation | - | - | 14,585 |
| 004 Budget Act appropriation | - | - | 248,979 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0666) | 434,427 | 407,899 | - |
| Allocation for employee compensation | 1,136 | 1,366 | - |
| Adjustment per Section 3.60 | 1,255 | 3,606 | - |
| Adjustment per Section 3.90 | -3,261 | -6,976 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -328 | - | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | -29 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -5,071 | - | - |
| Adjustment per Section 15.25 | - | -8 | - |
| Adjustment per Section 3.91 (b) Technology Rate Reduction | -14 | - | - |
| 002 Budget Act appropriation (Renumbered from Item 1760-002-0666) | 172,661 | 176,732 | - |
| Adjustment per Section 4.30 | -5,737 | -12,173 | - |
| 003 Budget Act appropriation (Renumbered from Item 1760-003-0666) | 14,507 | 14,556 | - |
| Adjustment per Section 4.30 | -13 | - | - |
| 004 Budget Act appropriation (Renumbered from Item 1760-004-0666) | 323,979 | 248,979 | - |
| Prior year balances available: | | | |
| Item 1760-001-0666, Budget Act of 2008 | 0 | - | - |
| Totals Available | \$933,512 | \$833,981 | \$853,960 |
| Unexpended balance, estimated savings | -196,011 | - | - |
| TOTALS, EXPENDITURES | \$737,501 | \$833,981 | \$853,960 |
| 0739 State School Building Aid Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$312 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0739) | 302 | 306 | - |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | 3 | 4 | - |
| Adjustment per Section 3.90 | -3 | -10 | - |
| TOTALS, EXPENDITURES | \$302 | \$301 | \$312 |
| 0961 State School Deferred Maintenance Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$83 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-0961) | 83 | 83 | - |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|-----------------|-----------------|----------------|
| Adjustment per Section 3.90 | -1 | - | - |
| Totals Available | \$82 | \$83 | \$83 |
| Unexpended balance, estimated savings | -25 | - | - |
| TOTALS, EXPENDITURES | \$57 | \$83 | \$83 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$7,123 | \$7,131 | \$3,382 |
| 3091 Certified Access Specialist Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$280 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-3091) | 295 | 290 | - |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | -1 | 3 | - |
| Adjustment per Section 3.90 | -5 | -8 | - |
| Totals Available | \$289 | \$286 | \$280 |
| Unexpended balance, estimated savings | -27 | - | - |
| TOTALS, EXPENDITURES | \$262 | \$286 | \$280 |
| 3144 Building Standards Administration Special Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$646 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-3144) | 689 | 650 | - |
| Allocation for employee compensation | 1 | 3 | - |
| Adjustment per Section 3.60 | - | 9 | - |
| Adjustment per Section 3.90 | -10 | -25 | - |
| Totals Available | \$680 | \$637 | \$646 |
| Unexpended balance, estimated savings | -76 | - | - |
| TOTALS, EXPENDITURES | \$604 | \$637 | \$646 |
| 3245 Disability Access and Education Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$532 |
| TOTALS, EXPENDITURES | \$- | \$- | \$532 |
| 6036 2002 State School Facilities Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$143 |
| TOTALS, EXPENDITURES | \$- | \$- | \$143 |
| 6044 2004 State School Facilities Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$3,575 |
| TOTALS, EXPENDITURES | \$- | \$- | \$3,575 |
| 6057 2006 State School Facilities Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$9,608 |
| 001 Budget Act appropriation (Renumbered from Item 1760-001-6057) | 14,754 | 14,254 | - |
| Allocation for employee compensation | 20 | 54 | - |
| Adjustment per Section 3.60 | 98 | 194 | - |
| Adjustment per Section 3.90 | -156 | -478 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -8 | - | - |
| Totals Available | \$14,708 | \$14,024 | \$9,608 |
| Unexpended balance, estimated savings | -1,500 | - | - |
| TOTALS, EXPENDITURES | \$13,208 | \$14,024 | \$9,608 |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|------------------|--------------------|--------------------|
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$886,239 | \$1,004,908 | \$1,012,067 |
| <hr/> | | | |
| FUND CONDITION STATEMENTS | 2011-12* | 2012-13* | 2013-14* |
| <hr/> | | | |
| 0002 Property Acquisition Law Money Account ^s | | | |
| BEGINNING BALANCE | \$1,655 | \$1,089 | \$974 |
| Prior year adjustments | 629 | - | - |
| Adjusted Beginning Balance | <u>\$2,284</u> | <u>\$1,089</u> | <u>\$974</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 152200 Rentals of State Property | 1,412 | 1,500 | 1,600 |
| 152300 Misc Revenue Frm Use of Property & Money | 2,661 | 2,833 | 1,625 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan per Item 1760-001-0002, Provision 3, Budget Act of 2011 | 1,363 | - | - |
| FO0001 From General Fund loan per Provision 1, Item 1760-001-0002, Budget Acts | - | 1,345 | - |
| FO0001 From General Fund Loan repayment per Item 1760-001-0002, Budget Act of 2013 | - | - | 1,500 |
| TO0001 To General Fund Loan per Item 1760-001-0002, Budget Act of 2013 | - | - | -1,500 |
| TO0001 To General Fund loan repayment per Item 1760-001-0002, Budget Acts | - | -1,345 | - |
| TO0001 To General Fund loan repayment per Item 1760-001-0002, Provision 3, Budget Act of 2011 | - | -1,363 | - |
| TO0001 To General Fund loan repayment per Item 1760-001-0002, Provision 3, Budget Act of 2009 | -1,900 | - | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3,536</u> | <u>\$2,970</u> | <u>\$3,225</u> |
| Total Resources | \$5,820 | \$4,059 | \$4,199 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 3 | - |
| 7760 Department of General Services (State Operations) | 4,722 | 3,065 | 3,083 |
| 8880 Financial Information System for California (State Operations) | 7 | 17 | 14 |
| Total Expenditures and Expenditure Adjustments | <u>\$4,731</u> | <u>\$3,085</u> | <u>\$3,097</u> |
| FUND BALANCE | \$1,089 | \$974 | \$1,102 |
| Reserve for economic uncertainties | 1,089 | 974 | 1,102 |
| | | | |
| 0003 Motor Vehicle Parking Facilities Moneys Account ^s | | | |
| BEGINNING BALANCE | \$732 | \$1,040 | \$2,084 |
| Prior year adjustments | -46 | - | - |
| Adjusted Beginning Balance | <u>\$686</u> | <u>\$1,040</u> | <u>\$2,084</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 140900 Parking Lot Revenues | 3,486 | 3,371 | 3,386 |
| Transfers and Other Adjustments: | | | |
| TO0666 To Service Revolving Fund loan repayment per Item 1760-011-0666, Budget Act of 2006 | -184 | - | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3,302</u> | <u>\$3,371</u> | <u>\$3,386</u> |
| Total Resources | \$3,988 | \$4,411 | \$5,470 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 3 | 3 | - |
| 7760 Department of General Services (State Operations) | 2,937 | 2,306 | 3,306 |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|---|----------|----------|----------|
| 8880 Financial Information System for California (State Operations) | 8 | 18 | 15 |
| Total Expenditures and Expenditure Adjustments | \$2,948 | \$2,327 | \$3,321 |
| FUND BALANCE | \$1,040 | \$2,084 | \$2,149 |
| Reserve for economic uncertainties | 1,040 | 2,084 | 2,149 |
| 0006 Disability Access Account ^s | | | |
| BEGINNING BALANCE | \$3,451 | \$2,759 | \$2,076 |
| Prior year adjustments | 11 | - | - |
| Adjusted Beginning Balance | \$3,462 | \$2,759 | \$2,076 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 123800 Building Construction Filing Fees | 5,718 | 4,962 | 4,474 |
| 161000 Escheat of Unclaimed Checks & Warrants | 1 | - | - |
| Total Revenues, Transfers, and Other Adjustments | \$5,719 | \$4,962 | \$4,474 |
| Total Resources | \$9,181 | \$7,721 | \$6,550 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | - | 5 | - |
| 7760 Department of General Services (State Operations) | 6,422 | 5,603 | 6,515 |
| 8880 Financial Information System for California (State Operations) | - | 37 | 30 |
| Total Expenditures and Expenditure Adjustments | \$6,422 | \$5,645 | \$6,545 |
| FUND BALANCE | \$2,759 | \$2,076 | \$5 |
| Reserve for economic uncertainties | 2,759 | 2,076 | 5 |
| 0026 State Motor Vehicle Insurance Account ^s | | | |
| BEGINNING BALANCE | \$27,434 | \$8,955 | \$2,713 |
| Prior year adjustments | 24 | - | - |
| Adjusted Beginning Balance | \$27,458 | \$8,955 | \$2,713 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150500 Interest Income From Interfund Loans | 35 | - | - |
| 161000 Escheat of Unclaimed Checks & Warrants | 2 | - | - |
| 161400 Miscellaneous Revenue | 22,063 | 33,195 | 40,000 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund Loan Repayment per Item 1760-011-0026, Budget Act of 2011 | 15,000 | 10,000 | - |
| TO0001 To General Fund loan per Item 1760-011-0026, Budget Act of 2011 | -25,000 | - | - |
| Total Revenues, Transfers, and Other Adjustments | \$12,100 | \$43,195 | \$40,000 |
| Total Resources | \$39,558 | \$52,150 | \$42,713 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 15 | 20 | - |
| 7760 Department of General Services (State Operations) | 30,540 | 49,272 | 34,052 |
| 8880 Financial Information System for California (State Operations) | 48 | 145 | 120 |
| Total Expenditures and Expenditure Adjustments | \$30,603 | \$49,437 | \$34,172 |
| FUND BALANCE | \$8,955 | \$2,713 | \$8,541 |
| Reserve for economic uncertainties | 8,955 | 2,713 | 8,541 |
| 0328 Public School Planning, Design, and Construction Review Revolving Fund ^s | | | |
| BEGINNING BALANCE | \$12,260 | \$14,451 | \$5,430 |
| Prior year adjustments | -150 | - | - |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|--|-----------------|-----------------|-----------------|
| Adjusted Beginning Balance | \$12,110 | \$14,451 | \$5,430 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 130600 Architecture Public Building Fees | 34,074 | 32,691 | 32,691 |
| 150300 Income From Surplus Money Investments | 147 | 147 | 147 |
| 150500 Interest Income From Interfund Loans | 742 | 371 | 1,113 |
| 161000 Escheat of Unclaimed Checks & Warrants | 15 | 15 | 15 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 1760-011-0328, Budget Act of 2008 | <u>10,000</u> | <u>5,000</u> | <u>15,000</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$44,978</u> | <u>\$38,224</u> | <u>\$48,966</u> |
| Total Resources | \$57,088 | \$52,675 | \$54,396 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 38 | 31 | - |
| 7760 Department of General Services (State Operations) | 42,476 | 46,932 | 46,247 |
| 8880 Financial Information System for California (State Operations) | <u>123</u> | <u>282</u> | <u>218</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$42,637</u> | <u>\$47,245</u> | <u>\$46,465</u> |
| FUND BALANCE | \$14,451 | \$5,430 | \$7,931 |
| Reserve for economic uncertainties | 14,451 | 5,430 | 7,931 |

3091 Certified Access Specialist Fund ^s

| | | | |
|---|--------------|--------------|--------------|
| BEGINNING BALANCE | \$696 | \$818 | \$864 |
| Prior year adjustments | <u>-2</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$694 | \$818 | \$864 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125300 Processing Fees | 320 | 257 | 320 |
| 125700 Other Regulatory Licenses and Permits | 41 | 52 | 52 |
| 141200 Sales of Documents | <u>25</u> | <u>25</u> | <u>25</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$386</u> | <u>\$334</u> | <u>\$397</u> |
| Total Resources | \$1,080 | \$1,152 | \$1,261 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 7760 Department of General Services (State Operations) | 262 | 286 | 280 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>2</u> | <u>1</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$262</u> | <u>\$288</u> | <u>\$281</u> |
| FUND BALANCE | \$818 | \$864 | \$980 |
| Reserve for economic uncertainties | 818 | 864 | 980 |

3144 Building Standards Administration Special Revolving Fund ^s

| | | | |
|---|----------------|----------------|----------------|
| BEGINNING BALANCE | \$1,267 | \$1,590 | \$1,712 |
| Prior year adjustments | <u>-3</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$1,264 | \$1,590 | \$1,712 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131700 Misc Revenue From Local Agencies | <u>1,471</u> | <u>1,472</u> | <u>1,572</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,471</u> | <u>\$1,472</u> | <u>\$1,572</u> |
| Total Resources | \$2,735 | \$3,062 | \$3,284 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 8 | 3 | - |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|---|----------|----------|----------|
| 2240 Department of Housing and Community Development (State Operations) | 528 | 544 | 643 |
| 3540 Department of Forestry and Fire Protection (State Operations) | 5 | 158 | 158 |
| 7760 Department of General Services (State Operations) | 604 | 637 | 646 |
| 8880 Financial Information System for California (State Operations) | - | 8 | 6 |
| Total Expenditures and Expenditure Adjustments | \$1,145 | \$1,350 | \$1,453 |
| FUND BALANCE | \$1,590 | \$1,712 | \$1,831 |
| Reserve for economic uncertainties | 1,590 | 1,712 | 1,831 |
| 3245 Disability Access and Education Revolving Fund ^s | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | - | - | \$532 |
| Total Revenues, Transfers, and Other Adjustments | - | - | \$532 |
| Total Resources | - | - | \$532 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 7760 Department of General Services (State Operations) | - | - | 532 |
| Total Expenditures and Expenditure Adjustments | - | - | \$532 |
| FUND BALANCE | - | - | - |

CHANGES IN AUTHORIZED POSITIONS

| | Positions | | | Expenditures | | |
|---|-----------|---------|---------|---------------------|-----------|-----------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Totals, Authorized Positions | 3,305.0 | 3,618.9 | 3,618.9 | \$192,412 | \$208,708 | \$218,079 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Building Regulation Services | | | | | | |
| Division of State Architect: | | | | | | |
| Sr Architect | - | - | 1.0 | 8,122-9,870 | - | 108 |
| Staff Services Mgr I | - | - | 1.0 | 5,079-6,127 | - | 67 |
| Staff Programmer Analyst | | | -1.0 | 5,065-6,466 | - | -69 |
| Assoc Acctg Analyst | - | - | -1.0 | 4,619-5,616 | - | -59 |
| Assoc Govtl Prog Analyst | - | - | -16.0 | 2,817-4,446 | - | -936 |
| Office Techn-Typing | - | - | 1.0 | 2,686-3,264 | - | 36 |
| Real Estate Services Division | | | | | | |
| Real Property Services Section: | | | | | | |
| Supvng Real Estate Offcr | - | - | 1.0 | 6,779-7,474 | - | 86 |
| Sr Real Estate Offcr | - | - | 3.0 | 5,576-6,727 | - | 221 |
| Statewide Support Services | | | | | | |
| Office of Fleet and Administration: | | | | | | |
| Staff Services Mgr I | - | - | -1.0 | 5,079-6,127 | - | -67 |
| Assoc Govtl Prog Analyst | - | - | -3.0 | 4,400-5,348 | - | -175 |
| Staff Services Analyst | - | - | -1.0 | 2,817-4,446 | - | -44 |
| Office Techn | - | - | -1.0 | 2,686-3,264 | - | -36 |
| Office of Legal Services: | | | | | | |
| Staff Counsel IV | - | - | 1.0 | 8,486-10,477 | - | 114 |
| Staff Counsel I | - | - | -1.0 | 4,674-7,828 | - | -75 |
| Sr Legal Typist | - | - | -0.5 | 2,589-3,516 | - | -18 |
| Contracted Fiscal Services: | | | | | | |
| Acctg Administrator I-Supvr | - | - | 1.0 | 5,079-6,127 | - | 67 |

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| | Positions | | | Expenditures | | |
|---|----------------|----------------|----------------|------------------|------------------|------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Assoc Acctg Analyst | - | - | 2.0 | 4,619-5,616 | - | 123 |
| Sr Acctg Officer-Spec | - | - | 3.0 | 4,400-5,348 | - | 175 |
| Administration Division | | | | | | |
| Office of Fiscal Services: | | | | | | |
| Acctg Officer-Supvr | - | - | -1.0 | 3,841-4,670 | - | -51 |
| Acctg Analyst | - | - | -2.0 | 3,106-4,670 | - | -93 |
| Acctg Techn | - | - | -2.0 | 2,638-3,209 | - | -70 |
| Office of Human Resources: | | | | | | |
| Staff Services Mgr I | - | - | -2.0 | 5,079-6,127 | - | -134 |
| Assoc Personnel Analyst | - | - | -2.0 | 4,400-5,348 | - | -117 |
| Assoc Govtl Prog Analyst | - | - | -1.0 | 4,400-5,348 | - | -58 |
| Business Services Office: | | | | | | |
| Staff Services Analyst | - | - | -3.0 | 4,400-5,348 | - | -131 |
| Assoc Govtl Prog Analyst | - | - | -2.0 | 2,817-4,446 | - | -117 |
| Totals, Workload & Admin Adjustments | - | - | -26.5 | \$- | \$- | -\$1,253 |
| Total Adjustments | - | - | -26.5 | \$- | \$- | -\$1,253 |
| TOTALS, SALARIES AND WAGES | 3,305.0 | 3,618.9 | 3,592.4 | \$192,412 | \$208,708 | \$216,826 |

INFRASTRUCTURE OVERVIEW

As of July 1, 2012, the Department of General Services (DGS) is responsible for managing approximately 39.1 million square feet (sf) of space that supports a variety of state programs and functions. Of this amount, approximately 19.1 million sf is attributable to DGS-managed state-owned office, warehouse, storage, and other space; and 20 million sf to DGS-managed leases. DGS has control and jurisdiction over 57 office buildings totaling 16.5 million sf, as well as 22 other buildings totaling 2.6 million sf including warehouses, storage, the Central Heating and Cooling Plant, the State Printing Plant, three state-owned, stand-alone parking structures in Sacramento, and the State Records Warehouse. DGS also has jurisdiction over retail and residential properties in downtown Sacramento that are directly managed by the Capitol Area Development Authority.

SUMMARY OF PROJECTS

| | | State Building Program Expenditures | 2011-12* | 2012-13* | 2013-14* |
|---|--|-------------------------------------|---------------------|---------------------|-----------------|
| 50 | CAPITAL OUTLAY | | | | |
| | Major Projects | | | | |
| 50.10 | SACRAMENTO | | \$4,013 | \$2,514 | \$- |
| 50.10.151 | Library and Courts Renovation | | 4,013 ^{Cn} | 2,514 ^{Cn} | - |
| 50.99 | STATEWIDE - STATE BUILDING PROGRAM | | \$168 | \$5,452 | \$- |
| 50.99.091 | Department of Corrections and Rehabilitation, DVI, Tracy, Hospital Building: Structural Retrofit | | 168 ^{Cn} | - | - |
| 50.99.428 | Department of Corrections and Rehabilitation, California Institute for Women at Frontera, Corona: Walker Clinic and Infirmary, Structural Retrofit | | - | 5,452 ^{Cn} | - |
| | Totals, Major Projects | | \$4,181 | \$7,966 | \$- |
| TOTALS, EXPENDITURES, ALL PROJECTS | | | \$4,181 | \$7,966 | \$- |
| FUNDING | | | 2011-12* | 2012-13* | 2013-14* |
| 0660 | Public Buildings Construction Fund | | \$4,013 | \$2,514 | \$- |
| 0768 | Earthquake Safety and Public Buildings Rehabilitation Fund of 1990 | | 168 | 5,452 | - |
| TOTALS, EXPENDITURES, ALL FUNDS | | | \$4,181 | \$7,966 | \$- |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

7760 Department of General Services - Continued

| 3 CAPITAL OUTLAY | 2011-12* | 2012-13* | 2013-14* |
|--|------------------|------------------|------------|
| 0660 Public Buildings Construction Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Government Code Section 15819.05 | \$175,000 | \$- | \$- |
| Government Code Section 14669.13 | 75,000 | - | - |
| Government Code Section 8169.6 | 367,628 | 367,628 | - |
| Item 1760-301-0660, Budget Act of 2005 as reappropriated by Item 1760-490, Budget Acts of 2008, 2009, and 2010 | 6,420 | 2,407 | - |
| Item 1760-301-0660, Budget Act of 2008 as reappropriated by Item 1760-490, Budget Acts of 2009 and 2010 | 15,958 | 15,958 | - |
| Totals Available | \$640,006 | \$385,993 | \$- |
| Unexpended balance, estimated savings | -250,000 | -383,479 | - |
| Balance available in subsequent years | -385,993 | - | - |
| TOTALS, EXPENDITURES | \$4,013 | \$2,514 | \$- |
| 0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990 | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 1760-301-0768, Budget Act of 2006 as reappropriated by Item 1760-490, Budget Acts of 2009 and 2010 | \$168 | \$- | \$- |
| Item 1760-301-0768, Budget Act of 2010, as reappropriated by Item 1760-490, Budget Act of 2011 | 5,452 | 5,452 | - |
| Totals Available | \$5,620 | \$5,452 | \$- |
| Balance available in subsequent years | -5,452 | - | - |
| TOTALS, EXPENDITURES | \$168 | \$5,452 | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | \$4,181 | \$7,966 | \$- |

7870 California Victim Compensation and Government Claims Board

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the California Victim Compensation and Government Claims Board (VCGCB) (previously budgeted within the State and Consumer Services Agency under Organization Code 1870) to this new Government Operations Agency.

The governing body of the VCGCB consists of three members: the Secretary of the Government Operations Agency who serves as the chair, the State Controller, and a public member appointed by the Governor. The VCGCB provides responsive financial compensation to remedy the financial burdens of victims of crime through a stable Restitution Fund, and for those with claims against the state, an opportunity to resolve those claims or proceed with other remedies. The primary objectives of the VCGCB are to:

- Compensate victims of violent crime and eligible family members for certain crime-related financial losses.
- Review and act upon civil claims against the state for money or damages.
- Resolve bid protests with respect to the awarding of state contracts for the procurement of goods and services.
- Provide for reimbursement of counties' expenditures for special elections called for by the Governor to fill vacant seats in the Legislature and Congress.
- Determine the eligibility of individuals for compensation for pecuniary injury sustained through erroneous conviction and imprisonment.
- Process claims for the Missing Children Reward Program to assist local law enforcement agencies or other parties involved in the identification and recovery of missing children in California.
- Assist with the administration of the California State Employees Charitable Campaign.
- Process claims through the Good Samaritan Program to private citizens who are injured rescuing another person, preventing a crime, or assisting a law enforcement officer.

3-YR EXPENDITURES AND POSITIONS

| | Positions | | | Expenditures | | |
|------------------------|-----------|---------|---------|--------------|-----------|-----------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 11 Victim Compensation | 124.9 | 144.0 | 144.0 | \$114,800 | \$127,819 | \$127,918 |

* Dollars in thousands, except in Salary Range.

7870 California Victim Compensation and Government Claims Board - Continued

| | | Positions | | | Expenditures | | |
|--|----------------------------|--------------|--------------|--------------|------------------|------------------|------------------|
| | | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 12 | Fiscal Services Division | 24.3 | 30.0 | 30.0 | 4,046 | 9,056 | 9,008 |
| 31 | Government Claims | 10.1 | 13.0 | 13.0 | 1,222 | 1,356 | 1,413 |
| 41 | Good Samaritan | - | - | - | - | 20 | 20 |
| 51.01 | Administration | 71.7 | 83.0 | 83.0 | 9,291 | 10,268 | 10,643 |
| 51.02 | Distributed Administration | - | - | - | -9,291 | -10,268 | -10,643 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 231.0 | 270.0 | 270.0 | \$120,068 | \$138,251 | \$138,359 |
| FUNDING | | | | | 2011-12* | 2012-13* | 2013-14* |
| 0214 | Restitution Fund | | | | \$88,161 | \$105,044 | \$105,093 |
| 0890 | Federal Trust Fund | | | | 30,685 | 31,851 | 31,853 |
| 0995 | Reimbursements | | | | 1,222 | 1,356 | 1,413 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$120,068 | \$138,251 | \$138,359 |

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 900 et seq., 13900 et seq., and 13920; Penal Code Sections 1202.4 and 4900 et seq.; Public Contract Code Sections 10306 and 12102; and others.

DETAILED BUDGET ADJUSTMENTS

| | | 2012-13* | | | 2013-14* | | |
|--|-----------------------------------|--------------|---------------|-----------|--------------|---------------|-----------|
| | | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | | |
| Other Workload Budget Adjustments | | | | | | | |
| • | Employee Compensation Adjustments | \$- | -\$801 | - | \$- | \$187 | - |
| • | Retirement Rate Adjustment | - | 368 | - | - | 368 | - |
| • | Miscellaneous Adjustments | - | - | - | - | -880 | - |
| Totals, Other Workload Budget Adjustments | | \$- | -\$433 | - | \$- | -\$325 | - |
| Totals, Workload Budget Adjustments | | \$- | -\$433 | - | \$- | -\$325 | - |
| Totals, Budget Adjustments | | \$- | -\$433 | - | \$- | -\$325 | - |

PROGRAM DESCRIPTIONS

11 - VICTIM COMPENSATION

This program reimburses certain expenses of victims of crime in California who are injured or threatened with injury and suffer financial hardship as a direct result of a violent crime. The victim of a qualifying crime, and eligible family members, may file a claim with the VCGCB for program benefits.

12 - FISCAL SERVICES DIVISION

This division includes Accounting, Budgets, and the Revenue Recovery Program. The Revenue Recovery Program serves victims of crime in California by maintaining the fiscal stability of the Restitution Fund through the recovery of monies owed from restitution fines and orders. The Program's chief emphasis is to educate the criminal justice community (the judiciary, district attorneys, chief probation officers, and court administrators) on the importance of the consistent imposition and collection of restitution fines and orders. The program also partners with the Department of Corrections and Rehabilitation, the Franchise Tax Board, and the Attorney General's Office on restitution-related activities.

31 - GOVERNMENT CLAIMS

This program receives, investigates, and processes claims for money or damages filed against the state.

41 - GOOD SAMARITAN

This program reimburses persons for certain expenses who sustained injury or losses as a result of a direct action that benefited the public, such as the prevention of a crime or a rescue of a person in immediate danger of injury or death.

* Dollars in thousands, except in Salary Range.

7870 California Victim Compensation and Government Claims Board - Continued

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|-----------------------------|---------------------------------|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | | |
| 11 | VICTIM COMPENSATION | | | |
| | State Operations: | | | |
| 0214 | Restitution Fund | \$22,892 | \$23,317 | \$23,414 |
| 0890 | Federal Trust Fund | 685 | 1,851 | 1,853 |
| | Totals, State Operations | \$23,577 | \$25,168 | \$25,267 |
| | Local Assistance: | | | |
| 0214 | Restitution Fund | \$61,223 | \$72,651 | \$72,651 |
| 0890 | Federal Trust Fund | 30,000 | 30,000 | 30,000 |
| | Totals, Local Assistance | \$91,223 | \$102,651 | \$102,651 |
| PROGRAM REQUIREMENTS | | | | |
| 12 | FISCAL SERVICES DIVISION | | | |
| | State Operations: | | | |
| 0214 | Restitution Fund | \$4,046 | \$9,056 | \$9,008 |
| | Totals, State Operations | \$4,046 | \$9,056 | \$9,008 |
| PROGRAM REQUIREMENTS | | | | |
| 31 | GOVERNMENT CLAIMS | | | |
| | State Operations: | | | |
| 0995 | Reimbursements | \$1,222 | \$1,356 | \$1,413 |
| | Totals, State Operations | \$1,222 | \$1,356 | \$1,413 |
| PROGRAM REQUIREMENTS | | | | |
| 41 | GOOD SAMARITAN | | | |
| | Local Assistance: | | | |
| 0214 | Restitution Fund | \$- | \$20 | \$20 |
| | Totals, Local Assistance | \$- | \$20 | \$20 |
| PROGRAM REQUIREMENTS | | | | |
| 51 | ADMINISTRATION | | | |
| ELEMENT REQUIREMENTS | | | | |
| 51.01 | Administration | \$9,291 | \$10,268 | \$10,643 |
| 51.02 | Distributed Administration | -9,291 | -10,268 | -10,643 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 28,845 | 35,580 | 35,688 |
| | Local Assistance | 91,223 | 102,671 | 102,671 |
| | Totals, Expenditures | \$120,068 | \$138,251 | \$138,359 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | | | |
|--|--------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | <u>Positions</u> | | | <u>Expenditures</u> | | |
| | <u>2011-12</u> | <u>2012-13</u> | <u>2013-14</u> | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 231.0 | 270.0 | 270.0 | \$13,399 | \$15,264 | \$16,233 |
| Net Totals, Salaries and Wages | 231.0 | 270.0 | 270.0 | \$13,399 | \$15,264 | \$16,233 |
| Staff Benefits | - | - | - | 5,821 | 6,205 | 6,599 |
| Totals, Personal Services | 231.0 | 270.0 | 270.0 | \$19,220 | \$21,469 | \$22,832 |
| OPERATING EXPENSES AND EQUIPMENT | | | | | | |
| | | | | \$9,625 | \$14,111 | \$12,856 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$28,845 | \$35,580 | \$35,688 |
| (State Operations) | | | | | | |

* Dollars in thousands, except in Salary Range.

7870 California Victim Compensation and Government Claims Board - Continued

2 Local Assistance

| | Expenditures | | |
|---|-----------------|------------------|------------------|
| | 2011-12* | 2012-13* | 2013-14* |
| Victims of Crime Claim Payments | \$73,632 | \$85,000 | \$85,000 |
| Joint Powers/Criminal Restitution Compacts | 13,413 | 13,430 | 13,430 |
| 10 Percent County Rebates | 4,178 | 4,221 | 4,221 |
| Good Samaritan | - | 20 | 20 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$91,223 | \$102,671 | \$102,671 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|-----------------|-----------------|-----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | 0 |
| 001 Budget Act appropriation (Renumbered from Item 1870-001-0001) | 0 | 0 | \$- |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0214 Restitution Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$32,422 |
| 001 Budget Act appropriation (Renumbered from Item 1870-001-0214) | 32,668 | 32,779 | - |
| Allocation for employee compensation | 52 | 104 | - |
| Adjustment per Section 3.60 | 174 | 348 | - |
| Adjustment per Section 3.90 | -308 | -858 | - |
| Adjustment per Control Section 3.91 (b) Cell Phone Reductions | -6 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -188 | - | - |
| Adjustment per Section 3.91 (b) Technology Rate Reduction | -6 | - | - |
| Totals Available | \$32,386 | \$32,373 | \$32,422 |
| Unexpended balance, estimated savings | -5,448 | - | - |
| TOTALS, EXPENDITURES | \$26,938 | \$32,373 | \$32,422 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$1,853 |
| 001 Budget Act appropriation (Renumbered from Item 1870-001-0890) | 1,840 | 1,851 | - |
| Budget Adjustment | -1,155 | - | - |
| TOTALS, EXPENDITURES | \$685 | \$1,851 | \$1,853 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$1,222 | \$1,356 | \$1,413 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$28,845 | \$35,580 | \$35,688 |
| 2 LOCAL ASSISTANCE | 2011-12* | 2012-13* | 2013-14* |
| 0214 Restitution Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$- | \$- | \$20 |
| 102 Budget Act appropriation | - | - | 13,430 |
| 101 Budget Act appropriation (Renumbered from Item 1870-101-0214) | 20 | 20 | - |
| 102 Budget Act appropriation (Renumbered from Item 1870-102-0214) | 13,430 | 13,430 | - |
| Government Code Section 13964 | 43,632 | 55,000 | 55,000 |
| Government Code Section 13963(f) | 4,178 | 4,221 | 4,221 |
| Totals Available | \$61,260 | \$72,671 | \$72,671 |

* Dollars in thousands, except in Salary Range.

7870 California Victim Compensation and Government Claims Board - Continued

| 2 LOCAL ASSISTANCE | 2011-12* | 2012-13* | 2013-14* |
|--|------------------|------------------|------------------|
| Unexpended balance, estimated savings | <u>-37</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$61,223 | \$72,671 | \$72,671 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$- | \$- | \$30,000 |
| 101 Budget Act appropriation (Renumbered from Item 1870-101-0890) | 32,595 | 30,000 | - |
| Budget Adjustment | <u>-2,595</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$30,000 | \$30,000 | \$30,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$91,223 | \$102,671 | \$102,671 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$120,068 | \$138,251 | \$138,359 |

FUND CONDITION STATEMENTS

| | 2011-12* | 2012-13* | 2013-14* |
|---|------------------|------------------|------------------|
| 0113 Missing Children Reward Fund ^s | | | |
| BEGINNING BALANCE | - | \$7 | \$7 |
| Prior year adjustments | <u>\$7</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | <u>\$7</u> | <u>\$7</u> | <u>\$7</u> |
| FUND BALANCE | \$7 | \$7 | \$7 |
| Reserve for economic uncertainties | 7 | 7 | 7 |
| 0214 Restitution Fund ^s | | | |
| BEGINNING BALANCE | \$28,409 | \$69,256 | \$55,256 |
| Prior year adjustments | <u>35,335</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | <u>\$63,744</u> | <u>\$69,256</u> | <u>\$55,256</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 130800 Penalties on Felony Convictions | 53,380 | 57,000 | 57,000 |
| 130900 Fines-Crimes of Public Offense | 12,372 | 6,000 | 6,000 |
| 142500 Miscellaneous Services to the Public | 6 | 5 | 5 |
| 161000 Escheat of Unclaimed Checks & Warrants | 390 | 250 | 250 |
| 161400 Miscellaneous Revenue | 1 | 1 | 1 |
| 164300 Penalty Assessments | 40,872 | 41,342 | 40,042 |
| 164400 Civil & Criminal Violation Assessment | <u>1,880</u> | <u>1,900</u> | <u>1,900</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$108,901</u> | <u>\$106,498</u> | <u>\$105,198</u> |
| Total Resources | <u>\$172,645</u> | <u>\$175,754</u> | <u>\$160,454</u> |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0690 Office of Emergency Services | | | |
| State Operations | 253 | 19 | 19 |
| Local Assistance | 9,715 | 500 | 500 |
| 0820 Department of Justice | | | |
| State Operations | 274 | 356 | 367 |
| Local Assistance | 4,855 | 4,855 | 4,855 |
| 0840 State Controller (State Operations) | 47 | 50 | - |
| 5227 Board of State and Community Corrections | | | |
| State Operations | - | 280 | 280 |
| Local Assistance | - | 9,215 | 9,215 |
| 7870 California Victim Compensation and Government Claims Board | | | |
| State Operations | 26,938 | 32,373 | 32,422 |
| Local Assistance | 61,223 | 72,671 | 72,671 |

* Dollars in thousands, except in Salary Range.

7870 California Victim Compensation and Government Claims Board - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|---|------------------|------------------|------------------|
| 8880 Financial Information System for California (State Operations) | 84 | 179 | 154 |
| Total Expenditures and Expenditure Adjustments | <u>\$103,389</u> | <u>\$120,498</u> | <u>\$120,483</u> |
| FUND BALANCE | \$69,256 | \$55,256 | \$39,971 |
| Reserve for economic uncertainties | 69,256 | 55,256 | 39,971 |

7900 Public Employees' Retirement System

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the California Public Employees' Retirement System (CalPERS) (previously budgeted within State and Consumer Services Agency under Organization Code 1900) to this new Agency (Government Operations).

CalPERS administers retirement benefits for about 1,654,000 (as of June 2012) active employees and retirees of state and local agencies in California. Benefits include retirement, disability, and survivor's retirement benefits.

CalPERS provides health benefits for about 1,379,000 (as of October 2012) active and retired state, local government, and school employees and their family members. CalPERS develops, negotiates, and administers contracts with health maintenance organizations, group hospitals, and medical insurance plans. In addition, CalPERS administers a long-term care program for members and eligible individuals.

CalPERS is governed by a Board of Administration. The California Constitution provides that the Board of Administration has authority over the administration of the retirement system; therefore, the budget data presented here is for informational purposes only, with the exception of the component of the Health Benefits Program funded from the Public Employees' Contingency Reserve Fund.

3-YR EXPENDITURES AND POSITIONS

| | Positions | | | Expenditures | | |
|---|----------------|----------------|----------------|---------------------|---------------------|---------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 10 Retirement | 797.9 | 874.3 | 874.3 | \$75,909 | \$78,343 | \$78,343 |
| 20 Health Benefits | 183.8 | 209.0 | 209.0 | 27,449 | 27,784 | 28,230 |
| 30 Investment Operations | 250.0 | 315.5 | 315.5 | 44,007 | 55,858 | 55,858 |
| 40 Administration | 1,098.2 | 1,164.6 | 1,164.6 | 175,973 | 195,411 | 195,522 |
| 99 Unclassified (Benefit Payments) | - | - | - | <u>17,212,807</u> | <u>19,255,482</u> | <u>20,121,595</u> |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 2,329.9 | 2,563.4 | 2,563.4 | \$17,536,145 | \$19,612,878 | \$20,479,548 |
| FUNDING | | | | 2011-12* | 2012-13* | 2013-14* |
| 0615 State Peace Officer's and Firefighters' Defined Contribution Plan Fund | | | | \$27,300 | \$30,754 | \$38,159 |
| 0815 Judges' Retirement Fund | | | | 1,080 | 1,115 | 1,115 |
| 0820 Legislators' Retirement Fund | | | | 318 | 331 | 331 |
| 0822 Public Employees' Health Care Fund (PEHCF) | | | | 1,881,712 | 1,968,015 | 1,937,317 |
| 0830 Public Employees' Retirement Fund | | | | 15,557,112 | 17,524,280 | 18,408,386 |
| 0833 Annuitants' Health Care Coverage Fund | | | | 36,200 | 55,163 | 60,463 |
| 0849 Replacement Benefit Custodial Fund | | | | 8 | 9 | 9 |
| 0884 Judges' Retirement System II Fund | | | | 678 | 703 | 703 |
| 0950 Public Employees Contingency Reserve Fund | | | | 25,884 | 26,251 | 26,808 |
| 0995 Reimbursements | | | | <u>5,853</u> | <u>6,257</u> | <u>6,257</u> |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$17,536,145 | \$19,612,878 | \$20,479,548 |

There are also non-add General Fund retirement contributions to CalPERS in the amounts of \$1,745,972 for 2011-12, \$1,760,611 for 2012-13, and \$1,802,789 for 2013-14. The Special Funds retirement contributions are \$952,348 for 2011-12, \$1,153,594 for 2012-13, and \$1,184,567 for 2013-14. The Non-Governmental Cost Funds retirement contributions are \$476,174 for 2011-12, \$534,857 for 2012-13, and \$550,369 for 2013-14. There are also non-add retirement contributions to CalPERS from the California State University in the amounts of \$463,340 for 2012-13 and \$469,853 for 2013-14 General Fund and \$250 for 2012-13 and \$254 for 2013-14 non-governmental cost funds. Also note that the expenditures for funds 0615, 0822, 0830, and 0833 include unclassified expenditures for benefit payments, which are detailed in the Detail of Appropriations and Adjustments.

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 5, Parts 3 and 5; and California Constitution, Article XVI, Section 17.

DETAILED BUDGET ADJUSTMENTS

| | 2012-13* | | | 2013-14* | | |
|--|--------------|---------------------|-----------|--------------|---------------------|-----------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Other Baseline Adjustments | \$- | -\$1,879,541 | - | \$- | -\$1,012,871 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$1,879,541 | - | \$- | -\$1,012,871 | - |
| Totals, Workload Budget Adjustments | \$- | -\$1,879,541 | - | \$- | -\$1,012,871 | - |
| Totals, Budget Adjustments | \$- | -\$1,879,541 | - | \$- | -\$1,012,871 | - |

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

0830 Public Employees' Retirement Fund Summary

| | PY 2011-12 | CY 2012-13 | BY 2013-14 |
|--------------------------------|-----------------------------|-----------------------------|-----------------------------|
| Beginning Balance | \$240,331,543 | \$238,323,421 | \$250,842,484 |
| Revenues: | | | |
| Investment Income | \$2,214,870 | \$17,881,678 | \$17,986,531 |
| Other Income | 7,070 | 6,465 | 6,465 |
| Employer Contributions | 7,741,802 | 7,955,451 | 8,160,100 |
| Member Contributions | 3,160,000 | 3,234,000 | 3,317,000 |
| Contribution Refunds | -218,083 | -227,993 | -238,353 |
| Total Revenues | \$12,905,659 | \$28,849,601 | \$29,231,743 |
| Expenditures: | | | |
| Pension Benefit Payments | \$13,912,775 | \$14,741,527 | \$15,619,646 |
| Other Benefit Payments | 85,767 | 91,386 | 97,373 |
| Administrative Expenditures | 271,162 | 302,875 | 302,875 |
| Other Expenditures | 644,077 | 1,194,750 | 1,194,271 |
| Total Expenditures | \$14,913,781 | \$16,330,538 | \$17,214,165 |
| Ending Fund Balance | \$238,323,421 | \$250,842,484 | \$262,860,062 |

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

PROGRAM DESCRIPTIONS

10 - RETIREMENT

CalPERS provides retirement planning education, service and disability retirement, refunds, and survivor and death benefits for employees of California public employers. CalPERS provides special benefits based on death or disability incurred in the line of duty for members in certain occupations. As of June 30, 2012, there were about 1,102,000 active and inactive members, and about 552,000 retirees, survivors, and beneficiaries under the CalPERS system.

Basic retirement benefits are generally based upon three factors: age at retirement, years of service, and final compensation. There are different formulas for each of the member categories, such as Miscellaneous, Industrial, Peace Officer/Firefighter, California Highway Patrol, and Safety. Over 50 contract options are available to local cities, counties, and districts that contract with CalPERS. However, state and local employees hired after January 1, 2013 will generally be limited to one of four benefit formulas. A member's retirement and death benefits are determined by statute and/or contract provisions.

As of June 30, 2012, there were 3,064 public agency and school district employers providing CalPERS retirement, death, and survivor benefits to California public employees. CalPERS benefits may be transferable for members who continue their careers with many other public employers in California as a result of agreements between CalPERS and other public agency retirement systems.

CalPERS also administers the Legislators' and Judges' Retirement Systems.

20 - HEALTH BENEFITS

The Health Benefits Branch program contracts with health maintenance organizations, claim administrators, employee associations, and others to provide health and long-term care insurance for state, California State University, and local public agency employees, retirees, and their dependents. The program negotiates and monitors health plan contracts, develops cost-effective programs, provides enrollment services to members and employers, and meets and confers with employee organizations and employer representatives.

Additionally, this program maintains demographic and statistical information systems; establishes standards for Basic, Supplement to Medicare, and Managed Medicare health plans; establishes and maintains health benefits coverage for all eligible employees and retirees; provides accurate and timely accounting for participating agencies; and serves as an impartial intermediary between CalPERS enrollees and insurance carriers in resolving claim and service disputes.

30 - INVESTMENT OPERATIONS

Through this program, CalPERS invests funds in various investment categories (stocks, bonds, real estate, etc.) for the purpose of minimizing the employers' contributions to the system needed to provide benefits to active participants, retired members, and their beneficiaries. Since CalPERS was established in 1932, assets have grown from \$800,000 to a total of \$233.4 billion, as of June 30, 2012.

40 - ADMINISTRATION

This program provides executive direction, specialized information, and administrative support necessary to administer all of the CalPERS programs. The various services include: Audits, Diversity Outreach, Executive, Fiscal, Human Resources, Information Technology, Legal, Enterprise Compliance, Governmental Affairs, Operation Support, Enterprise Strategy and Performance, Public Affairs, and Enterprise Privacy and Protection.

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions | | | Expenditures | | |
|--|----------------|----------------|----------------|------------------|------------------|------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 2,329.9 | 2,563.4 | 2,563.4 | \$159,954 | \$168,121 | \$182,336 |
| Net Totals, Salaries and Wages | 2,329.9 | 2,563.4 | 2,563.4 | \$159,954 | \$168,121 | \$182,336 |
| Staff Benefits | - | - | - | 64,919 | 69,336 | 55,567 |
| Totals, Personal Services | 2,329.9 | 2,563.4 | 2,563.4 | \$224,873 | \$237,457 | \$237,903 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$98,465 | \$119,939 | \$120,050 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$323,338 | \$357,396 | \$357,953 |
| (State Operations) | | | | | | |

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

4 Unclassified

| | Expenditures | | |
|---|---------------------|---------------------|---------------------|
| | 2011-12* | 2012-13* | 2013-14* |
| Peace Officers' and Firefighters' Defined Contribution Benefits | \$27,300 | \$30,754 | \$38,159 |
| Public Employees' Health Benefits | 1,865,170 | 1,950,373 | 1,919,675 |
| Public Employees' Retirement Benefits | 15,285,900 | 17,221,355 | 18,105,461 |
| Annuitants' Health Care Payments | 34,437 | 53,000 | 58,300 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$17,212,807 | \$19,255,482 | \$20,121,595 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|-----------------|-----------------|-----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| State Retirement Contribution to CalPERS (GF) | (\$1,745,972 | (\$1,760,611 | (\$1,802,789 |
| |) |) |) |
| State Retirement Contribution to CalPERS (GF) CSU | - | (463,340) | (469,853) |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0494 Other - Unallocated Special Funds | | | |
| APPROPRIATIONS | | | |
| State Retirement Contribution to CalPERS (SF) | (\$952,348) | (\$1,153,594 | (\$1,184,567 |
| | |) |) |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0815 Judges' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| 015 Budget Act appropriation | \$- | \$- | (\$1,115) |
| 015 Budget Act appropriation (Renumbered from Item 1900-015-0815) | (1,026) | (1,115) | - |
| Revised Expenditure Authority | (103) | (-) | - |
| State Constitution, Article XVI, Section 17 | 1,080 | 1,115 | 1,115 |
| TOTALS, EXPENDITURES | \$1,080 | \$1,115 | \$1,115 |
| 0820 Legislators' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| 015 Budget Act appropriation | \$- | \$- | (\$331) |
| 015 Budget Act appropriation (Renumbered from Item 1900-015-0820) | (339) | (331) | - |
| Revised Expenditure Authority | (-7) | (-) | - |
| State Constitution, Article XVI, Section 17 | 318 | 331 | 331 |
| TOTALS, EXPENDITURES | \$318 | \$331 | \$331 |
| 0822 Public Employees' Health Care Fund (PEHCF) | | | |
| APPROPRIATIONS | | | |
| 015 Budget Act appropriation | \$- | \$- | (\$17,642) |
| 015 Budget Act appropriation (Renumbered from Item 1900-015-0822) | (13,300) | (17,642) | - |
| Revised Expenditure Authority | (3,954) | (-) | - |
| Government Code Section 22911 (PERSCARE Administrative costs) | 16,542 | 17,642 | 17,642 |
| TOTALS, EXPENDITURES | \$16,542 | \$17,642 | \$17,642 |
| 0830 Public Employees' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| 003 Budget Act appropriation | \$- | \$- | (\$1,194,221 |
| | | |) |
| 015 Budget Act appropriation | - | - | (302,925) |
| 003 Budget Act appropriation (Renumbered from Item 1900-003-0830) | (1,128,405) | (1,194,221) | - |
| Revised Expenditure Authority | (-484,726) | (-) | - |
| 015 Budget Act appropriation (Renumbered from Item 1900-015-0830) | (281,224) | (302,809) | - |

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|------------------|------------------|------------------|
| Revised Expenditure Authority | (-1,138) | (116) | - |
| State Constitution, Article XVI, Section 17 | 271,162 | 302,875 | 302,875 |
| Government Code Section 20236 (Investment related bill analysis) | <u>50</u> | <u>50</u> | <u>50</u> |
| TOTALS, EXPENDITURES | \$271,212 | \$302,925 | \$302,925 |
| 0833 Annuitants' Health Care Coverage Fund | | | |
| APPROPRIATIONS | | | |
| 015 Budget Act appropriation | \$- | \$- | (\$2,163) |
| 015 Budget Act appropriation (Renumbered from Item 1900-015-0833) | (1,731) | (2,163) | - |
| Revised Expenditure Authority | (186) | (-) | - |
| Government Code Section 22940 | <u>1,763</u> | <u>2,163</u> | <u>2,163</u> |
| TOTALS, EXPENDITURES | \$1,763 | \$2,163 | \$2,163 |
| 0849 Replacement Benefit Custodial Fund | | | |
| APPROPRIATIONS | | | |
| 015 Budget Act appropriation | \$- | \$- | (\$9) |
| 015 Budget Act appropriation (Renumbered from Item 1900-015-0849) | (10) | (9) | - |
| Revised Expenditure Authority | (-2) | (-) | - |
| Government Code Section 21756 | <u>8</u> | <u>9</u> | <u>9</u> |
| TOTALS, EXPENDITURES | \$8 | \$9 | \$9 |
| 0884 Judges' Retirement System II Fund | | | |
| APPROPRIATIONS | | | |
| 015 Budget Act appropriation | \$- | \$- | (\$703) |
| 001 Budget Act appropriation (Renumbered from Item 1900-015-0884) | (510) | (703) | - |
| Revised Expenditure Authority | (196) | (-) | - |
| State Constitution, Article XVI, Section 17 | <u>678</u> | <u>703</u> | <u>703</u> |
| TOTALS, EXPENDITURES | \$678 | \$703 | \$703 |
| 0950 Public Employees Contingency Reserve Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$26,556 |
| 017 Budget Act appropriation | - | - | 252 |
| 001 Budget Act appropriation (Renumbered from Item 1900-001-0950) | 26,477 | 26,196 | - |
| Revised Expenditure Authority | 23 | 49 | - |
| Adjustment per Section 3.60 | 61 | 165 | - |
| Adjustment per Section 3.90 | -124 | -403 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -148 | - | - |
| 017 Budget Act appropriation (Renumbered from Item 1900-017-0950) | 247 | 249 | - |
| Adjustment per Section 3.60 | 2 | 3 | - |
| Adjustment per Section 3.90 | <u>-3</u> | <u>-8</u> | <u>-</u> |
| Totals Available | \$26,535 | \$26,251 | \$26,808 |
| Unexpended balance, estimated savings | <u>-651</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$25,884 | \$26,251 | \$26,808 |
| 0988 Other - Unallocated Non-Governmental Cost Funds | | | |
| APPROPRIATIONS | | | |
| State Retirement Contribution to CalPERS (NGC) | \$- | (\$534,857) | (\$550,369) |
| State Retirement Contribution to CalPERS (NGC) CSU | - | (250) | (254) |
| State Retirement Contribution to CalPERS (NGC) | <u>(476,174)</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$5,853 | \$6,257 | \$6,257 |

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

| 1 STATE OPERATIONS | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|--|---------------------|---------------------|---------------------|
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$323,338 | \$357,396 | \$357,953 |
| | | | |
| 4 UNCLASSIFIED | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
| 0615 State Peace Officer's and Firefighters' Defined Contribution Plan Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Sections 22960.45-22960.48 (benefits paid) | <u>\$27,300</u> | <u>\$30,754</u> | <u>\$38,159</u> |
| TOTALS, EXPENDITURES | \$27,300 | \$30,754 | \$38,159 |
| 0822 Public Employees' Health Care Fund (PEHCF) | | | |
| APPROPRIATIONS | | | |
| Government Code Section 22840.2 (benefits paid) | <u>\$1,865,170</u> | <u>\$1,950,373</u> | <u>\$1,919,675</u> |
| TOTALS, EXPENDITURES | \$1,865,170 | \$1,950,373 | \$1,919,675 |
| 0830 Public Employees' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Sections 20170-20178 benefits paid | \$14,642,221 | \$16,027,134 | \$16,911,240 |
| Government Code Sections 20172 and 20208 (Other Investment-related expenses) | 70,296 | 101,183 | 101,183 |
| Government Code Section 20210 (External Investment Advisors) | <u>573,383</u> | <u>1,093,038</u> | <u>1,093,038</u> |
| TOTALS, EXPENDITURES | \$15,285,900 | \$17,221,355 | \$18,105,461 |
| 0833 Annuitants' Health Care Coverage Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Sections 22940 (benefits paid) | <u>\$34,437</u> | <u>\$53,000</u> | <u>\$58,300</u> |
| TOTALS, EXPENDITURES | \$34,437 | \$53,000 | \$58,300 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$17,212,807 | \$19,255,482 | \$20,121,595 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Unclassified) | \$17,536,145 | \$19,612,878 | \$20,479,548 |

FUND CONDITION STATEMENTS

| | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
|---|--------------------|--------------------|--------------------|
| 0615 State Peace Officer's and Firefighters' Defined Contribution Plan Fund ^N | | | |
| BEGINNING BALANCE | \$499,874 | \$473,731 | \$478,507 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215000 Income From Investments | 1,068 | 35,530 | 35,631 |
| 299000 Employer Contributions | <u>89</u> | <u>-</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,157</u> | <u>\$35,530</u> | <u>\$35,631</u> |
| Total Resources | \$501,031 | \$509,261 | \$514,138 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 7900 Public Employees' Retirement System (Unclassified) | <u>27,300</u> | <u>30,754</u> | <u>38,159</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$27,300</u> | <u>\$30,754</u> | <u>\$38,159</u> |
| FUND BALANCE | \$473,731 | \$478,507 | \$475,979 |
| 0822 Public Employees' Health Care Fund (PEHCF) ^N | | | |
| BEGINNING BALANCE | \$445,570 | \$398,723 | \$380,958 |
| Prior year adjustments | <u>-76,969</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$368,601 | \$398,723 | \$380,958 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215000 Income From Investments (Interest) | 33,523 | 50,064 | 74,767 |
| 221000 Contributions to Fiduciary Funds (Premiums) | <u>1,878,330</u> | <u>1,900,212</u> | <u>1,937,996</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,911,853</u> | <u>\$1,950,276</u> | <u>\$2,012,763</u> |
| Total Resources | \$2,280,454 | \$2,348,999 | \$2,393,721 |

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|---|--------------------|--------------------|--------------------|
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 18 | 23 | - |
| 7900 Public Employees' Retirement System | | | |
| State Operations | 16,542 | 17,642 | 17,642 |
| Unclassified | 1,865,170 | 1,950,373 | 1,919,675 |
| Administrative Cost - Controllers | (5,715) | (5,741) | (5,876) |
| Administrative Cost - Carriers | (68,927) | (78,177) | (79,388) |
| Medical Payments | (1,285,006) | (1,312,467) | (1,293,020) |
| Drug Payments | (505,521) | (553,988) | (541,391) |
| 9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations) | 1 | 3 | - |
| Total Expenditures and Expenditure Adjustments | <u>\$1,881,731</u> | <u>\$1,968,041</u> | <u>\$1,937,317</u> |
| FUND BALANCE | \$398,723 | \$380,958 | \$456,404 |
| 0833 Annuitants' Health Care Coverage Fund ^N | | | |
| BEGINNING BALANCE | \$1,871,134 | \$2,063,298 | \$2,409,686 |
| Prior year adjustments | <u>-4,995</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$1,866,139 | \$2,063,298 | \$2,409,686 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215000 Income from Investments | 8,504 | 160,922 | 185,740 |
| 221000 Contributions to Fiduciary Funds | 224,685 | 240,000 | 250,000 |
| 299001 State Prefunding of OPEB: | | | |
| State BU5 State Contribution | - | - | 22,426 |
| State BU5 Member Contribution | - | - | 3,195 |
| State BU12 Member Contribution | - | - | 2,349 |
| State BU16 Member Contribution | - | - | 1,692 |
| State Income from Investments | <u>172</u> | <u>632</u> | <u>1,808</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$233,361</u> | <u>\$401,554</u> | <u>\$467,210</u> |
| Total Resources | \$2,099,500 | \$2,464,852 | \$2,876,896 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 3 | - |
| 7900 Public Employees' Retirement System | | | |
| State Operations | 1,763 | 2,163 | 2,163 |
| Unclassified | <u>34,437</u> | <u>53,000</u> | <u>58,300</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$36,202</u> | <u>\$55,166</u> | <u>\$60,463</u> |
| FUND BALANCE | \$2,063,298 | \$2,409,686 | \$2,816,433 |
| 0950 Public Employees Contingency Reserve Fund ^N | | | |
| BEGINNING BALANCE | \$15,690 | \$16,025 | \$9,343 |
| Prior year adjustments | <u>-127</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$15,563 | \$16,025 | \$9,343 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215000 Income from Investments (Interest) | 985 | 1,242 | 724 |
| 221000 Contributions to Fiduciary Funds (Administrative) | 25,397 | 18,372 | 30,269 |
| 299400 Federal Governmental receipts for State Account for State Government Medicare Drug Subsidies | 38,400 | 43,039 | 2,120 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$64,782</u> | <u>\$62,653</u> | <u>\$33,113</u> |

* Dollars in thousands, except in Salary Range.

7900 Public Employees' Retirement System - Continued

| | 2011-12* | 2012-13* | 2013-14* |
|--|----------|----------|----------|
| Total Resources | \$80,345 | \$78,678 | \$42,456 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 36 | 45 | - |
| 6645 CSU Health Benefits for Retired Annuitants (State Operations) | - | 7,090 | 792 |
| 7900 Public Employees' Retirement System (State Operations) | 25,884 | 26,251 | 26,808 |
| 9650 Health and Dental Benefits for Annuitants (State Operations) | 38,400 | 35,949 | 4,008 |
| Total Expenditures and Expenditure Adjustments | \$64,320 | \$69,335 | \$31,608 |
| FUND BALANCE | \$16,025 | \$9,343 | \$10,848 |

7910 Office of Administrative Law

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the Office of Administrative Law (previously budgeted within General Government under Organization Code 8910) to this new Agency (Government Operations Agency).

The Office of Administrative Law (Office) is responsible for reviewing administrative regulations proposed by over 200 state regulatory agencies for compliance with standards set forth in California's Administrative Procedure Act, for transmitting these regulations to the Secretary of State, and for publishing regulations in the California Code of Regulations. The Office is also responsible for posting links on its website to other state agency websites where the public may find regulations that have been recently filed with the Secretary of State, but have not yet gone into effect. The Office assists state regulatory agencies through a formal training program, as well as through other less formal methods, to understand and comply with the Administrative Procedure Act. Through its Reference Attorney service, the Office provides direct legal advice to state agencies and members of the public regarding California rulemaking law.

In response to petitions submitted by interested persons, the Office evaluates whether rules being used by state agencies constitute underground regulations, i.e. rules that have not been properly adopted pursuant to the requirements of the Administrative Procedure Act, and issues formal determinations reflecting those evaluations.

The Office oversees the publication and distribution, in print and on the Internet, of the California Code of Regulations and the California Regulatory Notice Register.

3-YR EXPENDITURES AND POSITIONS

| | Positions | | | Expenditures | | |
|--|-------------|-------------|-------------|-----------------|-----------------|-----------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 10 Regulatory Oversight | 17.1 | 20.3 | 20.3 | \$2,678 | \$2,945 | \$3,039 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 17.1 | 20.3 | 20.3 | \$2,678 | \$2,945 | \$3,039 |
| FUNDING | | | | 2011-12* | 2012-13* | 2013-14* |
| 0001 General Fund | | | | \$1,376 | \$1,627 | \$1,697 |
| 0995 Reimbursements | | | | 46 | 61 | 61 |
| 9740 Central Service Cost Recovery Fund | | | | 1,256 | 1,257 | 1,281 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$2,678 | \$2,945 | \$3,039 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 11340-11365.

DETAILED BUDGET ADJUSTMENTS

| | 2012-13* | | | 2013-14* | | |
|--|--------------|-------------|-----------|--------------|-------------|-----------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$46 | -\$35 | - | \$8 | \$6 | - |

* Dollars in thousands, except in Salary Range.

7910 Office of Administrative Law - Continued

| | 2012-13* | | | 2013-14* | | |
|--|--------------|--------------|-----------|--------------|-------------|-----------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Retirement Rate Adjustment | 21 | 17 | - | 21 | 17 | - |
| • Miscellaneous Adjustments | - | - | - | 17 | -17 | - |
| Totals, Other Workload Budget Adjustments | -\$25 | -\$18 | - | \$46 | \$6 | - |
| Totals, Workload Budget Adjustments | -\$25 | -\$18 | - | \$46 | \$6 | - |
| Totals, Budget Adjustments | -\$25 | -\$18 | - | \$46 | \$6 | - |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions | | | Expenditures | | |
|---|-------------|-------------|-------------|----------------|----------------|----------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 17.1 | 20.3 | 20.3 | \$1,463 | \$1,585 | \$1,711 |
| Net Totals, Salaries and Wages | 17.1 | 20.3 | 20.3 | \$1,463 | \$1,585 | \$1,711 |
| Staff Benefits | - | - | - | 535 | 628 | 667 |
| Totals, Personal Services | 17.1 | 20.3 | 20.3 | \$1,998 | \$2,213 | \$2,378 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$680 | \$732 | \$661 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$2,678 | \$2,945 | \$3,039 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$1,697 |
| 001 Budget Act appropriation (Renumbered from Item 8910-001-0001) | 1,550 | 1,651 | - |
| Allocation for employee compensation | 7 | 5 | - |
| Adjustment per Section 3.60 | -4 | 21 | - |
| Adjustment per Section 3.90 | -29 | -50 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -47 | - | - |
| Totals Available | \$1,477 | \$1,627 | \$1,697 |
| Unexpended balance, estimated savings | -101 | - | - |
| TOTALS, EXPENDITURES | \$1,376 | \$1,627 | \$1,697 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$46 | \$61 | \$61 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$1,281 |
| 001 Budget Act appropriation (Renumbered from Item 8910-001-9740) | 1,422 | 1,275 | - |
| Allocation for employee compensation | 6 | 4 | - |
| Adjustment per Section 3.60 | -3 | 17 | - |
| Adjustment per Section 3.90 | -26 | -39 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | -8 | - | - |
| Totals Available | \$1,391 | \$1,257 | \$1,281 |
| Unexpended balance, estimated savings | -135 | - | - |
| TOTALS, EXPENDITURES | \$1,256 | \$1,257 | \$1,281 |

* Dollars in thousands, except in Salary Range.

7910 Office of Administrative Law - Continued

| | | | |
|---|-----------------|-----------------|-----------------|
| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,678 | \$2,945 | \$3,039 |

7920 State Teachers' Retirement System

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 creates the Government Operations Agency and, as part of the plan, moves the California Teachers' Retirement System (CalSTRS) (previously budgeted within State and Consumer Services Agency under Organization Code 1920) to this new Agency (Government Operations).

CalSTRS administers retirement benefits for about 862,000 (as of June, 2012) active and retired educators in public schools from pre-kindergarten through the community college system in California. Benefits include retirement, disability, and survivor's retirement benefits.

CalSTRS is governed by a Board of Administration. The California Constitution provides that the Board of Administration has authority over the administration of the retirement system; therefore, while the budget data presented here is subject to a Budget Act appropriation, the proposed appropriations are not reviewed or approved by the Governor.

3-YR EXPENDITURES AND POSITIONS

| | Positions | | | Expenditures | | |
|--|--------------|--------------|--------------|---------------------|---------------------|---------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| 10 Service to Members and Employers | 638.4 | 694.0 | 707.0 | \$109,028 | \$112,769 | \$138,285 |
| 15 Corporate Governance | 7.7 | 9.0 | 9.0 | 1,596 | 2,029 | 2,097 |
| 20 Administration | 205.8 | 255.0 | 270.0 | 42,626 | 41,935 | 53,310 |
| 99 Unclassified (Benefit Payments) | - | - | - | 10,952,345 | 11,870,316 | 12,817,682 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 851.9 | 958.0 | 986.0 | \$11,105,595 | \$12,027,049 | \$13,011,374 |

| | 2011-12* | 2012-13* | 2013-14* |
|--|---------------------|---------------------|---------------------|
| FUNDING | | | |
| 0835 Teachers' Retirement Fund | \$11,062,516 | \$11,978,698 | \$12,958,681 |
| 0995 Reimbursements | 121 | 339 | 339 |
| 8001 Teachers' Health Benefits Fund | 34,755 | 39,226 | 43,131 |
| 8005 Teacher's Replacement Benefits Program Fund | 7,461 | 8,057 | 8,460 |
| 8041 Teachers' Deferred Compensation Fund | <u>742</u> | <u>729</u> | <u>763</u> |
| TOTALS, EXPENDITURES, ALL FUNDS | \$11,105,595 | \$12,027,049 | \$13,011,374 |

There are non-add General Fund (0001) retirement contributions to CalSTRS in the amounts of \$1,316,108 for 2011-12, \$1,359,675 for 2012-13, and \$1,357,694 for 2013-14 (See 6300 State Teachers' Retirement System Contributions). The expenditures for funds 0835, 8001, and 8005 include unclassified expenditures for benefit payments, which are detailed in the Detail of Appropriations and Adjustments.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code, Title 1, Division 1, Part 13.

DETAILED BUDGET ADJUSTMENTS

| | 2012-13* | | | 2013-14* | | |
|--|--------------|-------------------|-----------|--------------|------------------|-----------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Other Baseline Adjustments | \$- | -\$544,763 | - | \$- | \$435,841 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$544,763 | - | \$- | \$435,841 | - |
| Totals, Workload Budget Adjustments | \$- | -\$544,763 | - | \$- | \$435,841 | - |
| Policy Adjustments | | | | | | |

* Dollars in thousands, except in Salary Range.

7920 State Teachers' Retirement System - Continued

| | 2012-13* | | | 2013-14* | | |
|--|--------------|-------------------|-----------|--------------|------------------|-------------|
| | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| • Member Service Center - Orange County | \$- | \$- | - | \$- | \$1,604 | - |
| • Audits - External and Internal Audits | - | - | - | - | 1,338 | 13.0 |
| • Human Resource Support | - | - | - | - | 357 | 4.0 |
| • Independent Medical Vocational Evaluations | - | - | - | - | 225 | - |
| • Information Security Office Records Management | - | - | - | - | 197 | 2.0 |
| • Reduce Reliance on Contractor Staff Using Existing Funding | - | - | - | - | - | 9.0 |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$3,721 | 28.0 |
| Totals, Budget Adjustments | \$- | -\$544,763 | - | \$- | \$439,562 | 28.0 |

* Dollars in thousands, except in Salary Range.

7920 State Teachers' Retirement System - Continued

Teachers' Retirement Fund Summaries

| 0835 Teachers' Retirement Fund | PY 2011-12 | CY 2012-13 | BY 2013-14 |
|---|--------------------------|--------------------------|--------------------------|
| Beginning Balance | \$155,320,079 | \$151,315,854 | \$156,481,504 |
| Revenues: | | | |
| Investment Income | \$1,223,692 | \$11,438,689 | \$11,439,119 |
| Other Income | 8,574 | 5,230 | 5,840 |
| State Contributions | 1,316,108 | 1,359,675 | 1,357,694 |
| Employer Contributions | 2,230,523 | 2,204,034 | 2,171,791 |
| Member Contributions | 2,279,900 | 2,137,195 | 2,105,932 |
| Contribution Refunds | -108,111 | -109,442 | -110,789 |
| Total Revenues | \$6,950,686 | \$17,035,381 | \$16,969,587 |
| Expenditures: | | | |
| Pension Benefit Payments | \$9,641,414 | \$10,435,827 | \$11,295,696 |
| Other Benefit Payments | 1,028,292 | 1,127,681 | 1,199,772 |
| Administrative Expenditures | 152,044 | 155,285 | 192,190 |
| Other Expenditures | 133,161 | 150,938 | 160,707 |
| Total Expenditures | \$10,954,911 | \$11,869,731 | \$12,848,365 |
| Ending Fund Balance | \$151,315,854 | \$156,481,504 | \$160,602,726 |
| 8005 Teachers' Replacement Benefits Program Fund | PY 2011-12 | CY 2012-13 | BY 2013-14 |
| Beginning Balance | \$- | \$- | \$- |
| Revenues: | | | |
| Employer Contributions | 7,461 | 8,057 | 8,460 |
| Total Revenues | \$7,461 | \$8,057 | \$8,460 |
| Expenditures: | | | |
| Pension Benefit Payments | 7,461 | 8,057 | 8,460 |
| Total Expenditures | \$7,461 | \$8,057 | \$8,460 |
| Ending Fund Balance | \$- | \$- | \$- |

* Dollars in thousands, except in Salary Range.

7920 State Teachers' Retirement System - Continued

PROGRAM DESCRIPTIONS

10 - SERVICE TO MEMBERS AND EMPLOYERS

CalSTRS is responsible for the determination and payment of benefits to members, retirees, and their beneficiaries, and for the distribution of information to all members, employers, and other interested groups. CalSTRS' Defined Benefit Program includes service retirement, benefits for survivors, and disability benefits. Basic retirement benefits are generally based upon three factors: age at retirement, years of service, and final compensation. The member may modify the basic allowance by selecting a joint and survivor annuity option to provide benefits for their beneficiary after the member dies. Generally, the payments of benefits are made within 30 days following the effective date of the member's retirement. A member's retirement benefits, benefits for survivors, and disability benefits are determined by statute and/or contract provisions.

Income to CalSTRS is derived from contributions from members and employing school districts, appropriations from the General Fund, and investment earnings (see 6300-Teachers' Retirement System Contributions for state contributions for benefits). The cost of administration is paid from the Teachers' Retirement Fund as provided in Section 22304(a) of the Education Code.

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions | | | Expenditures | | |
|---|--------------|--------------|--------------|------------------|------------------|------------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 851.9 | 958.0 | 958.0 | \$58,209 | \$62,632 | \$66,856 |
| Total Adjustments | - | - | 28.0 | - | - | 1,168 |
| Net Totals, Salaries and Wages | 851.9 | 958.0 | 986.0 | \$58,209 | \$62,632 | \$68,024 |
| Staff Benefits | - | - | - | 24,080 | 27,005 | 29,108 |
| Totals, Personal Services | 851.9 | 958.0 | 986.0 | \$82,289 | \$89,637 | \$97,132 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$70,961 | \$67,096 | \$96,560 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$153,250 | \$156,733 | \$193,692 |

| 4 Unclassified | Expenditures | | |
|---|---------------------|---------------------|---------------------|
| | 2011-12* | 2012-13* | 2013-14* |
| Teachers' Retirement Benefits | \$10,910,472 | \$11,823,413 | \$12,766,491 |
| Teachers' Health Benefits | 34,412 | 38,846 | 42,731 |
| Teachers' Replacement Benefits | 7,461 | 8,057 | 8,460 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$10,952,345 | \$11,870,316 | \$12,817,682 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|---|-------------|-------------|---------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | (\$1,357,694) |
| 011 Budget Act appropriation (Renumbered from Item 1920-011-0001) | (1,316,109) | (1,359,674) | - |
| Revised estimate per provisional language | (-1) | (1) | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0835 Teachers' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$154,971 |
| 002 Budget Act appropriation | - | - | (160,234) |

* Dollars in thousands, except in Salary Range.

7920 State Teachers' Retirement System - Continued

| 1 STATE OPERATIONS | 2011-12* | 2012-13* | 2013-14* |
|--|---------------------|---------------------|---------------------|
| 003 Budget Act appropriation | - | - | 18,500 |
| 001 Budget Act appropriation (Renumbered from Item 1920-001-0835) | 167,072 | 145,366 | - |
| 002 Budget Act appropriation (Renumbered from Item 1920-002-0835) | (177,476) | (189,964) | - |
| Revised estimate per Provision 1 | (6,084) | (-39,501) | - |
| 003 Budget Act appropriation (Renumbered from Item 1920-003-0835) | - | 18,500 | - |
| Education Code Section 22307 (Admin Costs) | 1,596 | 2,529 | 2,597 |
| Prior year balances available: | | | |
| Item 1920-001-0835, Budget Act of 2011 as reappropriated by Item 1920-490, Budget Act of 2012, and Item 7920-490, Budget Act of 2013 | - | - | 5,012 |
| Item 1920-001-0835, Budget Act of 2012 as reappropriated by Item 7920-490, Budget Act of 2013 | - | - | 4,361 |
| Item 1920-003-0835, Budget Act of 2012 | - | - | 6,749 |
| Item 1920-001-0835, Budget Act of 2009 as reappropriated by Item 1920-490, Budget Act of 2010 | 4,813 | - | - |
| Item 1920-001-0835, Budget Act of 2010 as reappropriated by Item 1920-490, Budget Act of 2011 and 2012 | 4,513 | 4,513 | - |
| Item 1920-001-0835, Budget Act of 2011 as reappropriated by Item 1920-490, Budget Act of 2012, and Item 7920-490, Budget Act of 2013 | - | 5,012 | - |
| Totals Available | \$177,994 | \$175,920 | \$192,190 |
| Unexpended balance, estimated savings | -16,425 | -4,513 | - |
| Balance available in subsequent years | -9,525 | -16,122 | - |
| TOTALS, EXPENDITURES | \$152,044 | \$155,285 | \$192,190 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$121 | \$339 | \$339 |
| 8001 Teachers' Health Benefits Fund | | | |
| APPROPRIATIONS | | | |
| Education Code Sections 25930 and 25940 (Administration Expenses) | \$343 | \$380 | \$400 |
| TOTALS, EXPENDITURES | \$343 | \$380 | \$400 |
| 8041 Teachers' Deferred Compensation Fund | | | |
| APPROPRIATIONS | | | |
| Education Code Section 24976 (403(b) Vendor Registry Operating Account) | \$742 | \$729 | \$763 |
| TOTALS, EXPENDITURES | \$742 | \$729 | \$763 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$153,250 | \$156,733 | \$193,692 |
| 4 UNCLASSIFIED | 2011-12* | 2012-13* | 2013-14* |
| 0835 Teachers' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| Education Code Section 24202 (Benefit Payments) | \$10,435,324 | \$11,288,761 | \$12,212,479 |
| Education Code Section 24417 (Purchasing Power Benefit Payments) | 234,612 | 274,747 | 282,989 |
| Education Code Section 22307 (Administrative Costs) | 240,536 | 259,905 | 271,023 |
| TOTALS, EXPENDITURES | \$10,910,472 | \$11,823,413 | \$12,766,491 |
| 8001 Teachers' Health Benefits Fund | | | |
| APPROPRIATIONS | | | |
| Education Code Section 25930 and 25940 (Benefit Payments) | \$34,412 | \$38,846 | \$42,731 |
| TOTALS, EXPENDITURES | \$34,412 | \$38,846 | \$42,731 |
| 8005 Teacher's Replacement Benefits Program Fund | | | |
| APPROPRIATIONS | | | |
| Education Code Section 24255 (Benefit Payments) | \$7,461 | \$8,057 | \$8,460 |
| TOTALS, EXPENDITURES | \$7,461 | \$8,057 | \$8,460 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$10,952,345 | \$11,870,316 | \$12,817,682 |

* Dollars in thousands, except in Salary Range.

7920 State Teachers' Retirement System - Continued

| | | | |
|--|---------------------|---------------------|---------------------|
| 4 UNCLASSIFIED | <u>2011-12*</u> | <u>2012-13*</u> | <u>2013-14*</u> |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Unclassified) | \$11,105,595 | \$12,027,049 | \$13,011,374 |

FUND CONDITION STATEMENTS

| | 2011-12* | 2012-13* | 2013-14* |
|---|-----------------|-----------------|-----------------|
| 8001 Teachers' Health Benefits Fund ^N | | | |
| BEGINNING BALANCE | \$676 | \$543 | \$598 |
| Prior year adjustments | -1 | - | - |
| Adjusted Beginning Balance | \$675 | \$543 | \$598 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 221600 Contributions | 34,615 | 39,276 | 43,125 |
| 250300 Other Receipts | 8 | 7 | 7 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$34,623</u> | <u>\$39,283</u> | <u>\$43,132</u> |
| Total Resources | \$35,298 | \$39,826 | \$43,730 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 7920 State Teachers' Retirement System | | | |
| State Operations | 343 | 380 | 400 |
| Unclassified | 34,412 | 38,846 | 42,731 |
| 8880 Financial Information System for California (State Operations) | - | 2 | 2 |
| Total Expenditures and Expenditure Adjustments | <u>\$34,755</u> | <u>\$39,228</u> | <u>\$43,133</u> |
| FUND BALANCE | \$543 | \$598 | \$597 |
| 8041 Teachers' Deferred Compensation Fund ^N | | | |
| BEGINNING BALANCE | \$783 | \$811 | \$854 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | 3 | 3 | 2 |
| 299000 Other Receipts | 767 | 774 | 809 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$770</u> | <u>\$777</u> | <u>\$811</u> |
| Total Resources | \$1,553 | \$1,588 | \$1,665 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | - | 1 | - |
| 7920 State Teachers' Retirement System (State Operations) | 742 | 729 | 763 |
| 8880 Financial Information System for California (State Operations) | - | 4 | 3 |
| Total Expenditures and Expenditure Adjustments | <u>\$742</u> | <u>\$734</u> | <u>\$766</u> |
| FUND BALANCE | \$811 | \$854 | \$899 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions | | | Expenditures | | |
|---|-----------|---------|---------|---------------------|----------|----------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Totals, Authorized Positions | 851.9 | 958.0 | 958.0 | \$58,209 | \$62,632 | \$66,856 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Executive (Audit Services) - | | | | | | |
| Staff Management Auditor | - | - | 1.0 | 5,079-6,434 | - | 69 |
| Staff Management Auditor/Specialist | - | - | 1.0 | 4,833-6,168 | - | 66 |
| Associate Management Auditor | - | - | 4.0 | 4,619-5,897 | - | 252 |
| Executive Assistant | - | - | 1.0 | 3,288-3,996 | - | 44 |
| Benefits and Services - | | | | | | |
| Associate Pension Program Analyst | - | - | 3.0 | 4,400-5,348 | - | 176 |

* Dollars in thousands, except in Salary Range.

7920 State Teachers' Retirement System - Continued

| | Positions | | | Expenditures | | |
|---|--------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12* | 2012-13* | 2013-14* |
| Financial Services Branch - | | | | | | |
| Associate Pension Program Analyst | - | - | 1.0 | 4,400-5,348 | - | 58 |
| General Counsel - | | | | | | |
| Systems Software Specialist II (Technician) | - | - | 1.0 | 5,561-7,097 | - | 76 |
| Staff Counsel | - | - | 1.0 | 4,674-7,828 | - | 75 |
| Associate Governmental Program Analyst | - | - | 1.0 | 4,400-5,348 | - | 58 |
| Legal Analyst | - | - | 1.0 | 3,841-4,670 | - | 51 |
| Human Resources - | | | | | | |
| Staff Services Manager I | - | - | 1.0 | 5,079-6,127 | - | 67 |
| Associate Personnel Analyst | - | - | 3.0 | 4,400-5,348 | - | 176 |
| Enterprise Initiatives and Technology (EIT) - | | | | | | |
| Systems Software Specialist II (Technician) | - | - | 9.0 | 5,561-7,097 | - | - |
| Totals, Workload & Admin Adjustments | <u>-</u> | <u>-</u> | <u>28.0</u> | <u>\$-</u> | <u>\$-</u> | <u>\$1,168</u> |
| Total Adjustments | <u>-</u> | <u>-</u> | <u>28.0</u> | <u>\$-</u> | <u>\$-</u> | <u>\$1,168</u> |
| TOTALS, SALARIES AND WAGES | 851.9 | 958.0 | 986.0 | \$58,209 | \$62,632 | \$68,024 |

* Dollars in thousands, except in Salary Range.