



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General, the California Emergency Management Agency, and the California State Lottery.

0100 Legislature

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Each Senator serves no more than two terms. The State Assembly is comprised of 80 members who are elected for 2-year terms. Each Assembly member serves no more than three terms.

3-YR EXPENDITURES AND PERSONNEL YEARS

		Personnel Years			Expenditures		
		2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10	Senate	40.0	40.0	40.0	\$111,320	\$109,350	\$109,350
20	Assembly	80.0	80.0	80.0	149,360	146,716	146,716
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		120.0	120.0	120.0	\$260,680	\$256,066	\$256,066
FUNDING					2009-10*	2010-11*	2011-12*
0001	General Fund				\$260,680	\$256,066	\$256,066
TOTALS, EXPENDITURES, ALL FUNDS					\$260,680	\$256,066	\$256,066

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 2 and 7.5.

* Dollars in thousands, except in Salary Range.

0100 Legislature - Continued

Senate Expenditures by Category

	2009-10*	2010-11*	2011-12*
General Fund Expenses:			
Salaries of Senators	\$6,208	\$4,386	\$4,386
Mileage of Senators	11	11	11
Session Per Diem	1,680	1,596	1,596
Totals, General Fund Expenses	\$7,899	\$5,993	\$5,993
Operating Fund Expenses:			
Salaries and Employee Benefits	\$90,438	\$90,374	\$90,374
Travel and Per Diem	975	975	975
Automotive Expenses	685	685	685
Automotive Repairs	150	150	150
Telephone	955	955	955
Postage	750	750	750
Freight	95	95	95
Office Supplies	180	180	180
Printing	690	690	690
Publications	151	151	151
Building Expense	2,392	2,392	2,392
Office Alterations	145	145	145
Furniture and Equipment Expense	793	793	793
Contracts	249	249	249
Meals	81	81	81
Ceremonies and Events	23	23	23
All Other Expenses	900	900	900
Total, Operating Fund Expenses	\$99,652	\$99,588	\$99,588
Operating Fund Transfers:			
Legislative Analyst	\$3,769	\$3,769	\$3,769
State Agencies			
Total, Fund Transfers	\$3,769	\$3,769	\$3,769
TOTAL, Senate Expenses	\$111,320	\$109,350	\$109,350

* Dollars in thousands, except in Salary Range.

0100 Legislature - Continued

Assembly Expenditures By Category

	2009-10*	2010-11*	2011-12*
General Fund Expenses:			
Salaries of Assembly Members	\$9,510	\$8,714	\$8,714
Mileage of Assembly Members	8	8	8
Session Per Diem	2,612	2,481	2,481
Totals, General Fund Expenses	\$12,130	\$11,203	\$11,203
Operating Fund Expenses:			
Salaries and Employee Benefits	\$103,116	\$101,857	\$101,857
Travel and Per Diem	1,095	1,095	1,095
Automotive Expenses	450	463	463
Automotive Repairs	105	109	109
Equipment and Furniture	715	715	715
Building Utilities, Maintenance, and Rent	3,531	3,531	3,531
Office Alterations	30	30	30
Telephone	723	723	723
Postage	241	241	241
Freight	117	117	117
Communications	2,024	2,024	2,024
Office Supplies	482	482	482
Printing	1,901	1,426	1,426
Publications	219	219	219
Study Contracts	40	40	40
Meals	12	12	12
Ceremonies and Events	6	6	6
All Other Expenses	726	726	726
Total, Operating Fund Expenses	\$115,533	\$113,816	\$113,816
Operating Fund Transfers:			
Office of the Legislative Analyst	\$3,769	\$3,769	\$3,769
State Agencies	17,928	17,928	17,928
Total, Fund Transfers	\$21,697	\$21,697	\$21,697
TOTAL, Assembly Expenses	\$149,360	\$146,716	\$146,716

* Dollars in thousands, except in Salary Range.

0100 Legislature - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	\$114,103	\$109,350	\$109,350
Adjustment per Section 13.10	-2,783	-	-
011 Budget Act appropriation (Assembly)	154,837	146,716	146,716
Adjustment per Section 13.10	-5,477	-	-
021 Budget Act appropriation	<u>0</u>	<u>0</u>	<u>0</u>
TOTALS, EXPENDITURES	\$260,680	\$256,066	\$256,066
0125 Assembly Operating Fund			
APPROPRIATIONS			
Government Code Section 9129	<u>\$149,360</u>	<u>\$146,716</u>	<u>\$146,716</u>
TOTALS, EXPENDITURES	\$149,360	\$146,716	\$146,716
Less funding provided by the General Fund	<u>-149,360</u>	<u>-146,716</u>	<u>-146,716</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
0348 Senate Operating Fund			
APPROPRIATIONS			
Government Code Section 9129	<u>\$111,320</u>	<u>\$109,350</u>	<u>\$109,350</u>
TOTALS, EXPENDITURES	\$111,320	\$109,350	\$109,350
Less funding provided by the General Fund	<u>-111,320</u>	<u>-109,350</u>	<u>-109,350</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$260,680	\$256,066	\$256,066

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0125 Assembly Operating Fund [§]			
BEGINNING BALANCE	-	-	-
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0120 Assembly (State Operations)	\$149,360	\$146,716	\$146,716
Expenditure Adjustments:			
0120 Assembly			
Less funding provided by the General Fund (State Operations)	<u>-149,360</u>	<u>-146,716</u>	<u>-146,716</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-
0348 Senate Operating Fund [§]			
BEGINNING BALANCE	\$308	\$308	\$308
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0110 Senate (State Operations)	111,320	109,350	109,350
Expenditure Adjustments:			
0110 Senate			
Less funding provided by the General Fund (State Operations)	<u>-111,320</u>	<u>-109,350</u>	<u>-109,350</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$308	\$308	\$308
Reserve for economic uncertainties	308	308	308

* Dollars in thousands, except in Salary Range.

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for Legislators, Constitutional Officers, and Legislative Statutory Officers.

The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings. The members' contributions are dependent upon the member's first enrollment date in the Legislators' Retirement System. Members enrolled prior to March 4, 1972, contribute 4 percent of salary while members enrolled after that date contribute 8 percent of salary. The state's contribution to the Legislators' Retirement System varies each year and is based upon the amount needed to pay future benefits. In years when members' contributions and investment earnings exceed the amount needed to pay benefits, the state does not make any contributions. Since 2000-01 the Legislators' Retirement System has been super-funded, eliminating the need for the state or members to contribute.

The California Public Employees' Retirement System administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and compensation. Benefits for death, disability and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by the voters in 1990, prohibits new legislators from participation in the Legislators' Retirement System after November 6, 1990.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Legislators' Retirement System	-	-	-	\$7,501	\$7,772	\$8,017
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$7,501	\$7,772	\$8,017
FUNDING				2009-10*	2010-11*	2011-12*
0820 Legislators' Retirement Fund				\$7,501	\$7,772	\$8,017
TOTALS, EXPENDITURES, ALL FUNDS				\$7,501	\$7,772	\$8,017

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Legislators' Retirement System Adjustment	\$-	-\$560	-	\$-	-\$315	-
Totals, Other Workload Budget Adjustments	\$-	-\$560	-	\$-	-\$315	-
Totals, Workload Budget Adjustments	\$-	-\$560	-	\$-	-\$315	-
Totals, Budget Adjustments	\$-	-\$560	-	\$-	-\$315	-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

4 UNCLASSIFIED	2009-10*	2010-11*	2011-12*
0820 Legislators' Retirement Fund			
APPROPRIATIONS			
Government Code Section 9359.1	\$7,501	\$7,772	\$8,017
TOTALS, EXPENDITURES	\$7,501	\$7,772	\$8,017
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$7,501	\$7,772	\$8,017

FUND CONDITION STATEMENTS

* Dollars in thousands, except in Salary Range.

0150 Contributions to the Legislators' Retirement System - Continued

	2009-10*	2010-11*	2011-12*
0820 Legislators' Retirement Fund ⁿ			
BEGINNING BALANCE	\$111,829	\$114,105	\$114,657
Prior year adjustments	-7,698	-	-
Adjusted Beginning Balance	\$104,131	\$114,105	\$114,657
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
215000 Income From Investments:			
Net Profit	17,793	8,843	8,886
221000 Contributions From Fiduciary Funds:			
Contributions From Members	3	28	33
Refund of Contributions	-22	-208	-175
Total Revenues, Transfers, and Other Adjustments	\$17,774	\$8,663	\$8,744
Total Resources	\$121,905	\$122,768	\$123,401
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0150 Contributions to the Legislators' Retirement System (Unclassified)	7,501	7,772	8,017
0840 State Controller (State Operations)	-	-	1
1900 Public Employees' Retirement System (State Operations)	299	339	339
8880 Financial Information System for California (State Operations)	-	-	2
Total Expenditures and Expenditure Adjustments	\$7,800	\$8,111	\$8,359
FUND BALANCE	\$114,105	\$114,657	\$115,042

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau provides legal assistance to the two houses of the Legislature, their members and its committees by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attendance as counsel at meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Support	580.0	630.0	630.0	\$81,366	\$80,470	\$89,342
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	580.0	630.0	630.0	\$81,366	\$80,470	\$89,342
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$67,961	\$66,042	\$74,855
0995 Reimbursements				406	131	131
9740 Central Service Cost Recovery Fund				12,999	14,297	14,356
TOTALS, EXPENDITURES, ALL FUNDS				\$81,366	\$80,470	\$89,342

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 10200-10248.

* Dollars in thousands, except in Salary Range.

0160 Legislative Counsel Bureau - Continued

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$1,589	-\$349	-	-\$502	-\$110	-
• Retirement Rate Adjustment	1,023	225	-	1,023	225	-
• Miscellaneous Adjustments	-	-	-	7,726	-180	-
Totals, Other Workload Budget Adjustments	-\$566	-\$124	-	\$8,247	-\$65	-
Totals, Workload Budget Adjustments	-\$566	-\$124	-	\$8,247	-\$65	-
Totals, Budget Adjustments	-\$566	-\$124	-	\$8,247	-\$65	-

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	SUPPORT			
State Operations:				
0001	General Fund	\$67,961	\$66,042	\$74,855
0995	Reimbursements	406	131	131
9740	Central Service Cost Recovery Fund	12,999	14,297	14,356
Totals, State Operations		\$81,366	\$80,470	\$89,342
TOTALS, EXPENDITURES				
State Operations		81,366	80,470	89,342
Totals, Expenditures		\$81,366	\$80,470	\$89,342

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	580.0	639.0	639.0	\$42,186	\$45,729	\$46,320
Total Adjustments	-	-	-	-	-1,188	-
Estimated Salary Savings	-	-9.0	-9.0	-	-610	-635
Net Totals, Salaries and Wages	580.0	630.0	630.0	\$42,186	\$43,931	\$45,685
Staff Benefits	-	-	-	15,280	16,254	16,904
Totals, Personal Services	580.0	630.0	630.0	\$57,466	\$60,185	\$62,589
OPERATING EXPENSES AND EQUIPMENT				\$23,900	\$20,285	\$26,753
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$81,366	\$80,470	\$89,342

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$75,458	\$66,608	\$74,855
Allocation for employee compensation	-	232	-
Adjustment per Section 3.60	118	1,023	-

* Dollars in thousands, except in Salary Range.

0160 Legislative Counsel Bureau - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Reduction per Control Section 3.91	-	-1,821	-
Adjustment per Section 3.55	-69	-	-
Reduction per Control Section 13.10	<u>-7,546</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$67,961	\$66,042	\$74,855
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$406	\$131	\$131
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12,978	\$14,421	\$14,356
Allocation for employee compensation	-	51	-
Adjustment per Section 3.60	21	225	-
Reduction per Control Section 3.91	<u>-</u>	<u>-400</u>	<u>-</u>
TOTALS, EXPENDITURES	\$12,999	\$14,297	\$14,356
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$81,366	\$80,470	\$89,342

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals, Authorized Positions	580.0	639.0	639.0	\$42,186	\$45,729	\$46,320
PLP Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-1,188</u>	<u>-</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>-\$1,188</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	580.0	639.0	639.0	\$42,186	\$44,541	\$46,320

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

Chapter 850, Statutes of 1997, enacted the Lockyer-Isenberg Trial Court Funding Act of 1997 to provide a stable and consistent funding source for the trial courts. Beginning with the 1997-98 fiscal year, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. Chapter 1082, Statutes of 2002, enacted the Trial Court Facilities Act of 2002, which provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007. This Chapter also established several new revenue sources, which went into effect on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. As facilities transfer to the state, counties will also contribute revenues for operation and maintenance of court facilities based upon historical expenditures.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

In order to consolidate operational costs of the Judicial Branch, the Governor's Budget combined the previously separate budgets of Judicial and State Trial Court Funding as the Judicial Branch beginning with the 2005-06 fiscal year.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Judicial Branch's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Supreme Court	143.2	152.4	152.4	\$43,933	\$46,427	\$46,507
20 Courts of Appeal	798.6	843.7	843.7	203,167	208,538	210,717
30 Judicial Council	763.7	804.8	804.8	136,697	139,878	142,048
35 Judicial Branch Facility Program	111.7	154.8	154.8	171,947	222,384	228,953
45 State Trial Court Funding	-	-	-	3,060,624	3,294,294	3,211,549
50 Habeas Corpus Resource Center	86.0	83.6	83.6	13,659	14,791	14,815
95 Reimbursement from Local Entities	-	-	-	-1,322,914	-350,000	-860,000
97 Unallocated	-	-	-	-	-	-200,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,903.2	2,039.3	2,039.3	\$2,307,113	\$3,576,312	\$2,794,589
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$614,342	\$1,684,548	\$1,016,720
0044 Motor Vehicle Account, State Transportation Fund				176	190	194
0159 Trial Court Improvement Fund				73,170	65,276	50,969
0327 Court Interpreters' Fund				145	160	163
0556 Judicial Administration Efficiency and Modernization Fund				-864	-681	-
0587 Family Law Trust Fund				1,876	2,676	2,676
0890 Federal Trust Fund				4,203	6,832	6,776
0932 Trial Court Trust Fund				1,358,424	1,523,287	1,414,431
0995 Reimbursements				66,718	94,590	97,809
3037 State Court Facilities Construction Fund				61,622	68,440	70,097
3060 Appellate Court Trust Fund				2,066	4,338	4,321
3066 Court Facilities Trust Fund				94,799	100,642	101,756
3085 Mental Health Services Fund				999	1,012	1,063
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund				35,000	25,000	25,836
8059 State Community Corrections Performance Incentive Fund				-	-	1,776
9728 Judicial Branch Workers' Compensation Fund				-5,563	2	2
TOTALS, EXPENDITURES, ALL FUNDS				\$2,307,113	\$3,576,312	\$2,794,589

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI.

PROGRAM AUTHORITY

45-State Trial Court Funding:

California Constitution, Article VI, Section 4.

45.45 Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

50-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

MAJOR PROGRAM CHANGES

- Courts Reductions-The Budget includes a \$200 million General Fund unallocated reduction to the courts. The Administration will work with the stakeholders and the Legislature to explore ways to implement this reduction while attempting to minimize the impact to trial court operations.

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

- Repeal Trial Court Conservatorship Program-The Budget includes a reduction of \$17.4 million General Fund related to the elimination of the Conservatorship Program operated by the trial courts.
- Shift from Local Entities-The Budget includes a reduction of \$860 million General Fund, to be offset by additional revenues from redevelopment agencies.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Court Facilities Trust Fund Augmentation	\$-	\$3,881	-	\$-	\$8,205	-
Totals, Workload Budget Change Proposals	\$-	\$3,881	-	\$-	\$8,205	-
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$678	\$91	-	\$1,162	\$157	-
• Retirement Rate Adjustment	4,384	664	-	4,384	664	-
• One Time Cost Reductions	-	-27,232	-	-	-130,032	-
• Full Year Cost of New/Expanded Programs	-	-	-	399,292	-30,000	-
• Miscellaneous Adjustments	24,905	-17,245	-	34,642	-2,760	-
• Lease Revenue Debt Service Adjustment	12	-	-	48	30	-
Totals, Other Workload Budget Adjustments	\$29,979	-\$43,722	-	\$439,528	-\$161,941	-
Totals, Workload Budget Adjustments	\$29,979	-\$39,841	-	\$439,528	-\$153,736	-
Policy Adjustments						
• Repeal Trial Court Conservatorship Program	\$-	\$-	-	-\$17,377	\$-	-
• Reduction to the Courts	-	-	-	-200,000	-	-
• RDA Realignment	-	-	-	-860,000	-	-
Totals, Policy Adjustments	\$-	\$-	-	-\$1,077,377	\$-	-
Totals, Budget Adjustments	\$29,979	-\$39,841	-	-\$637,849	-\$153,736	-

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

Trial Court Appropriations

Program Element or Program Component	Actual and Estimated FY 2010-11	Estimated FY 2011-12
Assigned judges program	26,047,000	26,047,000
Court appointed special advocate program	2,209,928	2,209,928
Civil case coordination	831,663	831,663
Compensation of superior court judges	298,516,000	298,516,000
Court-appointed counsel in juvenile dependency cases	110,808,348	110,808,348
Court employee health benefits	183,082,456	183,082,456
Court employee retirement	249,537,264	249,537,264
Court employee workers' compensation	25,459,736	25,459,736
Court interpreters	92,794,000	92,794,000
Court security	516,851,330	529,577,000
Drug court projects	1,160,000	1,160,000
Equal access projects	15,874,317	15,874,317
Extraordinary homicide trial	272,000	272,000
Family law information centers	344,369	344,369
Jury services	34,404,778	34,404,778
Model self-help	956,104	956,104
Prisoner hearing	2,728,000	2,728,000
Processing of elder abuse protective orders	356,340	356,340
Retired court employee health benefits	27,026,687	27,026,687
Self-help	6,200,000	6,200,000
Service of process for protective orders	3,201,000	3,201,000
Statewide administrative and technology infrastructure	96,602,668	147,291,975

*Appropriated either from the Trial Court Trust Fund or General Fund.

Information contained in this supplemental display was provided by the Administrative Office of the Courts, and has not been verified by the Department of Finance.

0250 Judicial Branch - Continued

Expenditures of Superior Courts

Superior Court	FY 2009-2010 Actual	FY 2010-2011 Estimated*	Superior Court	FY 2009-2010 Actual	FY 2010-2011 Estimated*
Alameda	114,490,132	pending	Placer	20,314,422	21,261,693
Alpine	716,094	pending	Plumas	2,390,673	pending
Amador	3,419,543	pending	Riverside	142,596,641	153,407,821
Butte	14,036,036	pending	Sacramento	111,029,527	pending
Calaveras	3,467,311	pending	San Benito	3,841,853	3,946,158
Colusa	2,155,449	2,812,916	San Bernardino	134,589,820	pending
Contra Costa	70,562,727	pending	San Diego	221,093,681	pending
Del Norte	3,554,282	pending	San Francisco	92,956,029	pending
El Dorado	11,276,725	pending	San Joaquin	43,869,025	pending
Fresno	76,516,867	83,223,487	San Luis Obispo	22,261,726	pending
Glenn	3,076,095	pending	San Mateo	50,176,721	54,934,117
Humboldt	9,671,848	pending	Santa Barbara	33,171,700	36,758,424
Imperial	14,097,741	pending	Santa Clara	131,353,604	149,068,774
Inyo	2,953,610	3,469,630	Santa Cruz	17,172,974	pending
Kern	62,987,420	pending	Shasta	15,380,038	16,168,153
Kings	10,284,790	pending	Sierra	816,615	pending
Lake	5,036,755	5,217,819	Siskiyou	6,002,033	6,443,335
Lassen	3,865,774	pending	Solano	29,941,336	pending
Los Angeles	849,742,667	pending	Sonoma	34,650,898	pending
Madera	10,179,127	10,867,511	Stanislaus	27,451,297	pending
Marin	21,810,523	21,187,231	Sutter	6,932,029	pending
Mariposa	1,774,384	pending	Tehama	4,877,433	pending
Mendocino	8,086,965	pending	Trinity	1,768,611	pending
Merced	17,248,580	pending	Tulare	28,516,774	29,522,912
Modoc	1,473,175	1,648,122	Tuolumne	5,219,174	5,527,274
Mono	2,223,789	pending	Ventura	54,480,355	59,071,131
Monterey	26,918,432	29,873,681	Yolo	14,022,328	pending
Napa	12,144,881	pending	Yuba	5,965,090	pending
Nevada	7,925,081	8,461,018	Total	2,869,525,181	702,871,207
Orange	234,985,971	pending			

*Trial courts' FY 2010-2011 Schedule 1 expenditure estimates submitted as of December 15, 2010.

Information contained in this supplemental display was provided by the Administrative Office of the Courts, and has not been verified by the Department of Finance.

0250 Judicial Branch - Continued

PROGRAM DESCRIPTIONS

10 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

20 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

30 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 6 advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

Consistent with the judiciary's mission, the Judicial Council is guided by the following principles:

- To make decisions in the best interests of the public and the court system as a whole.
- To conduct the Council's business based on an underlying commitment to equal and timely justice and public access to an independent forum for the resolution of disputes.
- To provide leadership in the administration of justice by planning and advocating for policies and resources that are necessary for courts to fulfill their mission.
- To ensure the continued development of an accessible, independent court system through planning, research, and evaluation programs, and through the use of modern management approaches and technological developments.
- To provide leadership in the administration of justice by establishing broad and consistent policies for the operation of the courts and appropriate uniform statewide rules and forms.
- To promote a competent, responsive, and ethical judiciary and staff through a comprehensive program of judicial education and training for court employees.
- To contribute to the public's understanding of the judicial process through a continuing program of public education.
- To provide assistance to the courts in developing action plans that are consistent with the Council's Strategic Plan and that address local needs and priorities.

35 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, and new courthouse planning, design, and construction.

45 - STATE TRIAL COURT FUNDING

45.10 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the adjudication of civil and criminal cases in the state's countywide trial court systems. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of Superior Court judges, compensation for assigned judges, and support for language interpreters.

45.25 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

45.35 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

45.45 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

0250 Judicial Branch - Continued

50 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	SUPREME COURT			
	State Operations:			
0001	General Fund	\$43,382	\$45,524	\$45,608
3060	Appellate Court Trust Fund	548	903	899
9728	Judicial Branch Workers' Compensation Fund	<u>3</u>	<u>-</u>	<u>-</u>
	Totals, State Operations	\$43,933	\$46,427	\$46,507
PROGRAM REQUIREMENTS				
20	COURTS OF APPEAL			
	State Operations:			
0001	General Fund	\$201,283	\$205,102	\$207,294
0995	Reimbursements	219	1	1
3060	Appellate Court Trust Fund	1,518	3,435	3,422
9728	Judicial Branch Workers' Compensation Fund	<u>147</u>	<u>-</u>	<u>-</u>
	Totals, State Operations	\$203,167	\$208,538	\$210,717
PROGRAM REQUIREMENTS				
30	JUDICIAL COUNCIL			
	State Operations:			
0001	General Fund	\$99,594	\$99,775	\$99,909
0044	Motor Vehicle Account, State Transportation Fund	176	190	194
0159	Trial Court Improvement Fund	9,607	9,601	9,601
0327	Court Interpreters' Fund	145	160	163
0587	Family Law Trust Fund	1,876	2,676	2,676
0890	Federal Trust Fund	3,046	3,531	3,475
0932	Trial Court Trust Fund	9,132	7,076	7,076
0995	Reimbursements	5,288	7,651	7,659
3037	State Court Facilities Construction Fund	7,070	8,204	8,454
3085	Mental Health Services Fund	999	1,012	1,063
8059	State Community Corrections Performance Incentive Fund	-	-	1,776
9728	Judicial Branch Workers' Compensation Fund	<u>-236</u>	<u>2</u>	<u>2</u>
	Totals, State Operations	\$136,697	\$139,878	\$142,048
PROGRAM REQUIREMENTS				
35	JUDICIAL BRANCH FACILITY PROGRAM			
	State Operations:			
0001	General Fund	\$6,926	\$9,234	\$9,235
3037	State Court Facilities Construction Fund	54,552	60,236	61,643
3066	Court Facilities Trust Fund	94,799	100,642	101,756
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	10,000	25,000	25,836
0995	Reimbursements	<u>5,670</u>	<u>27,272</u>	<u>30,483</u>
	Totals, State Operations	\$171,947	\$222,384	\$228,953
PROGRAM REQUIREMENTS				

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
45 STATE TRIAL COURT FUNDING			
Local Assistance:			
0001 General Fund	\$1,572,611	\$1,661,148	\$1,700,885
0159 Trial Court Improvement Fund	63,563	55,675	41,368
0556 Judicial Administration Efficiency and Modernization Fund	-864	-681	-
0890 Federal Trust Fund	1,026	2,275	2,275
0932 Trial Court Trust Fund	1,349,292	1,516,211	1,407,355
0995 Reimbursements	55,541	59,666	59,666
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	25,000	-	-
9728 Judicial Branch Workers' Compensation Fund	-5,545	-	-
Totals, Local Assistance	\$3,060,624	\$3,294,294	\$3,211,549
ELEMENT REQUIREMENTS			
45.10 Support for Operation of Trial Courts	\$2,574,622	\$2,788,606	\$2,181,299
Local Assistance:			
0001 General Fund	\$1,142,674	\$1,217,400	\$893,575
0159 Trial Court Improvement Fund	63,563	55,675	41,368
0556 Judicial Administration Efficiency and Modernization Fund	-864	-681	-
0932 Trial Court Trust Fund	1,349,292	1,516,211	1,246,355
0995 Reimbursements	1	1	1
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	25,000	-	-
9728 Judicial Branch Workers' Compensation Fund	-5,044	-	-
45.15 Court Security	\$-	\$-	\$529,577
Local Assistance:			
0001 General Fund	-	-	368,577
0932 Trial Court Trust Fund	-	-	161,000
45.25 Compensation of Superior Court Judges	\$288,148	\$302,718	\$298,516
Local Assistance:			
0001 General Fund	\$288,649	\$302,718	\$298,516
9728 Judicial Branch Workers' Compensation Fund	-501	-	-
45.35 Assigned Judges	\$26,998	\$26,047	\$26,047
Local Assistance:			
0001 General Fund	\$26,998	\$26,047	\$26,047
45.45 Court Interpreters	\$91,858	\$92,794	\$92,794
Local Assistance:			
0001 General Fund	\$91,858	\$92,794	\$92,794
45.55 Grants	\$78,998	\$84,129	\$83,316
Local Assistance:			
0001 General Fund	\$22,432	\$22,189	\$21,376
0890 Federal Trust Fund	1,026	2,275	2,275
0995 Reimbursements	55,540	59,665	59,665
PROGRAM REQUIREMENTS			
50 HABEAS CORPUS RESOURCE CENTER			
State Operations:			
0001 General Fund	\$13,460	\$13,765	\$13,789
0890 Federal Trust Fund	131	1,026	1,026

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

	2009-10*	2010-11*	2011-12*
9728 Judicial Branch Workers' Compensation Fund	68	-	-
Totals, State Operations	\$13,659	\$14,791	\$14,815
PROGRAM REQUIREMENTS			
95 Reimbursement from Local Entities			
Local Assistance:			
0001 General Fund	-\$1,322,914	-\$350,000	-\$860,000
Totals, Local Assistance	-\$1,322,914	-\$350,000	-\$860,000
PROGRAM REQUIREMENTS			
97 UNALLOCATED			
Local Assistance:			
0001 General Fund	\$-	\$-	-\$200,000
Totals, Local Assistance	\$-	\$-	-\$200,000
TOTALS, EXPENDITURES			
State Operations	569,403	632,018	643,040
Local Assistance	1,737,710	2,944,294	2,151,549
Totals, Expenditures	\$2,307,113	\$3,576,312	\$2,794,589

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Supreme Court						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	143.2	155.4	155.4	\$17,513	\$18,926	\$19,099
Estimated Salary Savings	-	-3.0	-3.0	-	-335	-339
Net Totals, Salaries and Wages	143.2	152.4	152.4	\$17,513	\$18,591	\$18,760
Staff Benefits	-	-	-	5,246	6,250	6,313
Totals, Personal Services	143.2	152.4	152.4	\$22,759	\$24,841	\$25,073
OPERATING EXPENSES AND EQUIPMENT						
SPECIAL ITEMS OF EXPENSE						
Court Appointed Counsel				\$14,141	\$15,823	\$15,823
Totals, Special Items of Expense				\$14,141	\$15,823	\$15,823
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$43,933	\$46,427	\$46,507
(State Operations)						
20 Courts of Appeal						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	798.6	866.5	866.5	\$89,125	\$95,921	\$96,957
Estimated Salary Savings	-	-22.8	-22.8	-	-2,788	-2,827
Net Totals, Salaries and Wages	798.6	843.7	843.7	\$89,125	\$93,133	\$94,130
Staff Benefits	-	-	-	23,907	29,010	29,414
Totals, Personal Services	798.6	843.7	843.7	\$113,032	\$122,143	\$123,544
OPERATING EXPENSES AND EQUIPMENT						
SPECIAL ITEMS OF EXPENSE						
Court Appointed Counsel				\$63,613	\$58,820	\$58,820
Totals, Special Items of Expense				\$63,613	\$58,820	\$58,820
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$203,167	\$208,538	\$210,717
(State Operations)						
30 Judicial Council						
PERSONAL SERVICES						

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Authorized Positions (Equals Sch. 7A)	763.7	847.2	847.2	\$68,560	\$77,856	\$79,667
Estimated Salary Savings	-	-42.4	-42.4	-	-3,823	-3,913
Net Totals, Salaries and Wages	763.7	804.8	804.8	\$68,560	\$74,033	\$75,754
Staff Benefits	-	-	-	24,465	27,712	28,368
Totals, Personal Services	763.7	804.8	804.8	\$93,025	\$101,745	\$104,122
OPERATING EXPENSES AND EQUIPMENT				\$43,672	\$38,133	\$37,926
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$136,697	\$139,878	\$142,048
35 Judicial Branch Facility Program						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	111.7	163.0	163.0	\$9,922	\$14,725	\$15,191
Estimated Salary Savings	-	-8.2	-8.2	-	-735	-758
Net Totals, Salaries and Wages	111.7	154.8	154.8	\$9,922	\$13,990	\$14,433
Staff Benefits	-	-	-	3,393	5,479	5,653
Totals, Personal Services	111.7	154.8	154.8	\$13,315	\$19,469	\$20,086
OPERATING EXPENSES AND EQUIPMENT				\$158,632	\$202,915	\$208,867
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$171,947	\$222,384	\$228,953
50 Habeas Corpus Resource Center						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	86.0	88.0	88.0	\$6,958	\$7,457	\$7,640
Estimated Salary Savings	-	-4.4	-4.4	-	-349	-358
Net Totals, Salaries and Wages	86.0	83.6	83.6	\$6,958	\$7,108	\$7,282
Staff Benefits	-	-	-	2,360	2,540	2,606
Totals, Personal Services	86.0	83.6	83.6	\$9,318	\$9,648	\$9,888
OPERATING EXPENSES AND EQUIPMENT				\$4,341	\$5,143	\$4,927
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$13,659	\$14,791	\$14,815
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$569,403	\$632,018	\$643,040

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$354,711	-	-
Allocation for contingencies or emergencies	1,507	-	-
Adjustment per Section 3.60	374	-	-
Adjustment per Section 3.55	-582	-	-
Transfer to Item 0250-011-0001 per Provision 4	-521	-	-
001 Budget Act appropriation	-	\$355,145	\$362,719
Allocation for employee compensation	-	678	-
Adjustment per Section 3.60	-	4,497	-
003 Budget Act appropriation	3,445	5,156	5,062
Adjustment per Section 4.30	194	-130	-
011 Budget Act appropriation (transfer to the Judicial Branch Workers' Compensation Fund)	1	1	1

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Transfer from Item 0250-001-0001 per Provision 1	521	-	-
012 Budget Act appropriation (transfer to Court Facilities Trust Fund) as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	5,785	-	-
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	-	8,053	8,053
Totals Available	\$365,435	\$373,400	\$375,835
Unexpended balance, estimated savings	-791	-	-
TOTALS, EXPENDITURES	\$364,644	\$373,400	\$375,835
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$184	\$184	\$194
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	1	5	-
Totals Available	\$185	\$190	\$194
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES	\$176	\$190	\$194
0159 Trial Court Improvement Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$9,574	-	-
Adjustment per Section 3.60	27	-	-
Adjustment per Section 3.55	-19	-	-
Revised expenditure authority per Provision 1	3,996	-	-
001 Budget Act appropriation	-	\$9,601	\$9,601
Totals Available	\$13,578	\$9,601	\$9,601
Unexpended balance, estimated savings	-3,971	-	-
TOTALS, EXPENDITURES	\$9,607	\$9,601	\$9,601
0327 Court Interpreters' Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$161	\$160	\$163
Totals Available	\$161	\$160	\$163
Unexpended balance, estimated savings	-16	-	-
TOTALS, EXPENDITURES	\$145	\$160	\$163
0587 Family Law Trust Fund			
APPROPRIATIONS			
Family Code Section 1852	\$1,876	\$2,676	\$2,676
TOTALS, EXPENDITURES	\$1,876	\$2,676	\$2,676
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,475	\$4,494	\$4,501
Allocation for employee compensation	-	8	-
Adjustment per Section 3.60	6	55	-
Adjustment per Section 3.55	-4	-	-
Budget Adjustment	-1,300	-	-
TOTALS, EXPENDITURES	\$3,177	\$4,557	\$4,501
0932 Trial Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$7,057	-	-
Adjustment per Section 3.60	19	-	-
Adjustment per Section 3.55	-4	-	-

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Revised expenditure authority per Provision 9 of Item 0250-101-0932	337	-	-
Revised expenditure authority per Provision 8 of Item 0250-101-0932	2,500	-	-
001 Budget Act appropriation	<u>-</u>	<u>\$7,076</u>	<u>\$7,076</u>
Totals Available	\$9,909	\$7,076	\$7,076
Unexpended balance, estimated savings	<u>-777</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$9,132	\$7,076	\$7,076
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$11,177	\$34,924	\$38,143
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$66,159	-	-
Adjustment per Section 3.60	54	-	-
Adjustment per Section 3.55	-32	-	-
001 Budget Act appropriation	-	\$67,860	\$70,068
Allocation for employee compensation	-	68	-
Adjustment per Section 3.60	-	512	-
003 Budget Act appropriation	-	-	29
012 Budget Act appropriation (Transfer to General Fund)	(40,000)	-	-
012 Budget Act appropriation (Loan to the General Fund)	<u>-</u>	<u>-</u>	<u>(350,000)</u>
Totals Available	\$66,181	\$68,440	\$70,097
Unexpended balance, estimated savings	<u>-4,559</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$61,622	\$68,440	\$70,097
3060 Appellate Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,281	\$4,323	\$4,321
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	13	-
Adjustment per Section 3.55	<u>-2</u>	<u>-</u>	<u>-</u>
Totals Available	\$4,280	\$4,338	\$4,321
Unexpended balance, estimated savings	<u>-2,214</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,066	\$4,338	\$4,321
3066 Court Facilities Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$89,581	-	-
Revised expenditure authority per Provision 1	11,099	-	-
001 Budget Act appropriation	-	\$104,814	\$109,809
Revised expenditure authority per Provision 1	<u>-</u>	<u>3,881</u>	<u>-</u>
Totals Available	\$100,680	\$108,695	\$109,809
Unexpended balance, estimated savings	<u>-96</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$100,584	\$108,695	\$109,809
Less funding provided by General Fund	<u>-5,785</u>	<u>-8,053</u>	<u>-8,053</u>
NET TOTALS, EXPENDITURES	\$94,799	\$100,642	\$101,756
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$998	\$993	\$1,063
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	2	16	-

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Totals Available	\$1,000	\$1,012	\$1,063
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$999	\$1,012	\$1,063
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$10,000	-	-
001 Budget Act appropriation	-	\$25,000	\$25,836
TOTALS, EXPENDITURES	\$10,000	\$25,000	\$25,836
8059 State Community Corrections Performance Incentive Fund			
APPROPRIATIONS			
Pending Legislation	-	-	\$1,776
TOTALS, EXPENDITURES	\$-	\$-	\$1,776
9728 Judicial Branch Workers' Compensation Fund			
APPROPRIATIONS			
Government Code Section 68114.10	\$504	\$3	\$3
TOTALS, EXPENDITURES	\$504	\$3	\$3
Less funding provided by General Fund	-521	-1	-1
NET TOTALS, EXPENDITURES	-\$17	\$2	\$2
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$569,403	\$632,018	\$643,040
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$18,409	-	-
101 Budget Act appropriation	-	\$17,753	\$17,753
102 Budget Act Appropriation	-	17,862	52,533
Revised expenditure authority per Provision 2	-	24,934	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund) as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	1,518,502	-	-
Adjustment per Section 3.55	-921	-	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	-	1,561,890	1,391,890
112 Budget Act appropriation (trsfr to Judicial Administration Efficiency and Modernization Fd) as amended by Chapter 1, Stats of 2009, Fourth Extraordinary	38,709	-	-
112 Budget Act appropriation (Transfer to Judicial Administration Efficiency and Modernization Fund)	-	38,709	38,709
Reimbursement from Court Offices of Education per Control Section 15.45 (Prop 1A)	-1,517,580	-	-
Pending Legislation	-	-350,000	-860,000
Totals Available	\$57,119	\$1,311,148	\$640,885
Unexpended balance, estimated savings	192,579	-	-
TOTALS, EXPENDITURES	\$249,698	\$1,311,148	\$640,885
0159 Trial Court Improvement Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund) as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1	-	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	-	(\$1)	-
Government Code Section 77209 (g)	63,563	55,675	\$41,368
Totals Available	\$63,564	\$55,675	\$41,368
Unexpended balance, estimated savings	-1	-	-

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES	\$63,563	\$55,675	\$41,368
0556 Judicial Administration Efficiency and Modernization Fund			
APPROPRIATIONS			
102 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$38,709	-	-
102 Budget Act appropriation	-	\$38,709	\$38,709
111 Budget Act appropriation (transfer to Trial Court Trust Fund) as added Chapter 1, Statutes of 2009, Fourth Extraordinary Session	1	-	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	-	(1)	-
Revised expenditure authority per Provision 14 of Item 0250-101-0932	(-)	(31,599)	-
Totals Available	\$38,710	\$38,709	\$38,709
Unexpended balance, estimated savings	-865	-681	-
TOTALS, EXPENDITURES	\$37,845	\$38,028	\$38,709
Less funding provided by the General Fund	-38,709	-38,709	-38,709
NET TOTALS, EXPENDITURES	-\$864	-\$681	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,275	\$2,275	\$2,275
Budget Adjustment	-1,249	-	-
TOTALS, EXPENDITURES	\$1,026	\$2,275	\$2,275
0932 Trial Court Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$2,943,158	-	-
Adjustment per Section 3.55	-921	-	-
Revised expenditure authority per Provision 9	-337	-	-
Revised expenditure authority per provision 5	11,775	-	-
Revised expenditure authority per Provision 10	1,061	-	-
Revised expenditure authority per Provision 6	-18,106	-	-
Revised expenditure authority per Provision 8	-2,500	-	-
Revised expenditure authority per Government Code Section 77209 (Transfer to Trial Court Improvement Fund)	-25,179	-	-
101 Budget Act appropriation	-	\$3,150,394	\$2,820,394
Revised expenditure authority per Government Code Section 77209 (Transfer to Trial Court Improvement Fund)	-	-27,232	-
Revised expenditure authority per Provision 1	-	813	-
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	1	1	1
Revised expenditure authority per Provision 1	18,106	-	-
Revised expenditure authority per Government Code Section 77209 (transfer to Trial Court Improvement Fund)	-	-	-21,150
Totals Available	\$2,927,058	\$3,123,976	\$2,799,245
Unexpended balance, estimated savings	-35,185	-45,875	-
TOTALS, EXPENDITURES	\$2,891,873	\$3,078,101	\$2,799,245
Less funding provided by the General Fund	-1,517,581	-1,561,890	-1,391,890
Less funding provided by various funds	-25,000	-	-
NET TOTALS, EXPENDITURES	\$1,349,292	\$1,516,211	\$1,407,355
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$55,541	\$59,666	\$59,666
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	-	(\$25,000)	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund) as added Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1	-	-
Revised expenditure authority per Provision 14	24,999	-	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	-	(\$73,400)	-
TOTALS, EXPENDITURES	\$25,000	\$-	\$-
9728 Judicial Branch Workers' Compensation Fund			
APPROPRIATIONS			
Government Code Section 68114.10	\$12,560	\$1	\$1
TOTALS, EXPENDITURES	\$12,560	\$1	\$1
Less funding provided by the Trial Court Trust Fund	-18,106	-1	-1
NET TOTALS, EXPENDITURES	-\$5,546	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,737,710	\$2,944,294	\$2,151,549
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$2,307,113	\$3,576,312	\$2,794,589

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0159 Trial Court Improvement Fund ^s			
BEGINNING BALANCE	\$35,611	\$20,675	\$9,351
Prior year adjustments	112	-	-
Adjusted Beginning Balance	\$35,723	\$20,675	\$9,351
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
141200 Sales of Documents	413	511	511
150300 Income From Surplus Money Investments	394	174	156
161400 Miscellaneous Revenue	20	-	-
164600 Fines and Forfeitures	64,340	58,477	55,477
Transfers and Other Adjustments:			
FO0932 From Trial Court Trust Fund per Government Code Section 77209 (b)	25,179	27,232	21,150
TO0932 To Trial Court Trust Fund per Government Code Section 77209 (k)	-31,563	-31,563	-31,563
TO0932 To Trial Court Trust Fund Per Item 0250-111-0159, Budget Act of 2010	-	-1	-
Total Revenues, Transfers, and Other Adjustments	\$58,783	\$54,830	\$45,731
Total Resources	\$94,506	\$75,505	\$55,082
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch			
State Operations	9,607	9,601	9,601
Local Assistance	63,563	55,675	41,368
0840 State Controller (State Operations)	2	5	12
8880 Financial Information System for California (State Operations)	-	11	45
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	659	862	446
Total Expenditures and Expenditure Adjustments	\$73,831	\$66,154	\$51,472
FUND BALANCE	\$20,675	\$9,351	\$3,610
Reserve for economic uncertainties	20,675	9,351	3,610
0327 Court Interpreters' Fund ^s			
BEGINNING BALANCE	\$83	\$135	\$115

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

	2009-10*	2010-11*	2011-12*
Prior year adjustments	<u>10</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$93	\$135	\$115
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	<u>187</u>	<u>140</u>	<u>140</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$187</u>	<u>\$140</u>	<u>\$140</u>
Total Resources	\$280	\$275	\$255
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	145	160	163
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>-</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$145</u>	<u>\$160</u>	<u>\$164</u>
FUND BALANCE	\$135	\$115	\$91
Reserve for economic uncertainties	135	115	91
0556 Judicial Administration Efficiency and Modernization Fund ^s			
BEGINNING BALANCE	\$27,618	\$30,933	\$286
Prior year adjustments	<u>2,117</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$29,735	\$30,933	\$286
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	334	272	243
Transfers and Other Adjustments:			
TO0932 To Trial Court Trust Fund Per Item 0250-111-0556, Budget Act of 2010	<u>-</u>	<u>-31,600</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$334</u>	<u>-\$31,328</u>	<u>\$243</u>
Total Resources	\$30,069	-\$395	\$529
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (Local Assistance)	37,845	38,028	38,709
Expenditure Adjustments:			
0250 Judicial Branch			
Less funding provided by the General Fund (Local Assistance)	<u>-38,709</u>	<u>-38,709</u>	<u>-38,709</u>
Total Expenditures and Expenditure Adjustments	<u>-\$864</u>	<u>-\$681</u>	<u>-</u>
FUND BALANCE	\$30,933	\$286	\$529
Reserve for economic uncertainties	30,933	286	529
0587 Family Law Trust Fund ^s			
BEGINNING BALANCE	\$1,934	\$1,871	\$1,060
Prior year adjustments	<u>-9</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,925	\$1,871	\$1,060
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	12	12	9
161400 Miscellaneous Revenue	<u>1,907</u>	<u>1,899</u>	<u>1,899</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,919</u>	<u>\$1,911</u>	<u>\$1,908</u>
Total Resources	\$3,844	\$3,782	\$2,968
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	1,876	2,676	2,676
0840 State Controller (State Operations)	1	2	3
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>2</u>	<u>12</u>

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

	2009-10*	2010-11*	2011-12*
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	96	42	100
Total Expenditures and Expenditure Adjustments	\$1,973	\$2,722	\$2,791
FUND BALANCE	\$1,871	\$1,060	\$177
Reserve for economic uncertainties	1,871	1,060	177
0932 Trial Court Trust Fund ^s			
BEGINNING BALANCE	\$173,876	\$103,840	\$53,687
Prior year adjustments	4,145	-	-
Adjusted Beginning Balance	\$178,021	\$103,840	\$53,687
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131700 Misc Revenue From Local Agencies	498,600	498,600	498,600
150300 Income From Surplus Money Investments	467	214	214
161000 Escheat of Unclaimed Checks & Warrants	5	5	5
161400 Miscellaneous Revenue	179	391	391
164400 Civil & Criminal Violation Assessment	129,099	130,591	130,591
164600 Fines and Forfeitures	162,523	162,198	162,198
164700 Court Filing Fees and Surcharges	487,672	547,624	574,076
Transfers and Other Adjustments:			
FO0159 From Trial Court Improvement Fund Per Item 0250-111-0159, Budget Act of 2010	-	1	-
FO0159 From Trial Court Improvement Fund per Government Code Section 77209 (k)	31,563	31,563	31,563
FO0556 From Judicial Administration Efficiency and Modernization Fund Per Item 0250-111-0556, Budget Act of 2010	-	31,600	-
FO3037 From State Court Facilities Construction Fund Per Item 0250-111-3037, Budget Act of 2010	-	25,000	-
FO3138 From Immediate and Critical Needs Account, State Court Facilities Construction Fund Per Item 0250-111-3138, Budget Act of 2010	-	73,400	-
TO0159 To Trial Court Improvement Fund per Government Code Section 77209 (b)	-25,179	-27,232	-21,150
Total Revenues, Transfers, and Other Adjustments	\$1,284,929	\$1,473,955	\$1,376,488
Total Resources	\$1,462,950	\$1,577,795	\$1,430,175
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch			
State Operations	9,132	7,076	7,076
Local Assistance	2,891,873	3,078,101	2,799,245
0840 State Controller (State Operations)	146	180	174
8880 Financial Information System for California (State Operations)	-	7	34
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	540	634	328
Expenditure Adjustments:			
0250 Judicial Branch			
Less funding provided by the General Fund (Local Assistance)	-1,517,581	-1,561,890	-1,391,890
Less funding provided by various funds (Local Assistance)	-25,000	-	-
Total Expenditures and Expenditure Adjustments	\$1,359,110	\$1,524,108	\$1,414,967
FUND BALANCE	\$103,840	\$53,687	\$15,208
Reserve for economic uncertainties	103,840	53,687	15,208
3037 State Court Facilities Construction Fund ^s			
BEGINNING BALANCE	\$339,189	\$357,031	\$381,764
Prior year adjustments	47,353	-	-
Adjusted Beginning Balance	\$386,542	\$357,031	\$381,764
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

	2009-10*	2010-11*	2011-12*
Revenues:			
131700 Misc Revenue From Local Agencies	1,711	1,005	1,005
150300 Income From Surplus Money Investments	2,192	1,815	1,979
161000 Escheat of Unclaimed Checks & Warrants	30	-	-
161400 Miscellaneous Revenue	9,204	10,595	10,595
164700 Court Filing Fees and Surcharges	31,852	31,852	31,852
164800 Penalty Assessments on Criminal Fines	95,710	95,710	95,710
Transfers and Other Adjustments:			
TO0001 To General Fund State Court Facilities Trust Fund per Item 0250-012-3037, Budget Act of 2009	-40,000	-	-
TO0001 To General Fund loan per Item 0250-012-3037, Budget Act 2011	-	-	-350,000
TO0932 To Trial Court Trust Fund Per Item 0250-111-3037, Budget Act of 2010	-	-25,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$100,699</u>	<u>\$115,977</u>	<u>-\$208,859</u>
Total Resources	\$487,241	\$473,008	\$172,905
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch			
State Operations	61,622	68,440	70,097
Capital Outlay	68,569	22,724	-
0840 State Controller (State Operations)	19	46	86
8880 Financial Information System for California (State Operations)	-	34	386
Total Expenditures and Expenditure Adjustments	<u>\$130,210</u>	<u>\$91,244</u>	<u>\$70,569</u>
FUND BALANCE	\$357,031	\$381,764	\$102,336
Reserve for economic uncertainties	357,031	381,764	102,336

3060 Appellate Court Trust Fund ^s

BEGINNING BALANCE	\$825	\$2,890	\$2,526
Prior year adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$824	\$2,890	\$2,526

REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

Revenues:			
150300 Income From Surplus Money Investments	11	21	19
161400 Miscellaneous Revenue	6	-	-
164700 Court Filing Fees and Surcharges	<u>4,116</u>	<u>3,959</u>	<u>3,959</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,133</u>	<u>\$3,980</u>	<u>\$3,978</u>
Total Resources	\$4,957	\$6,870	\$6,504

EXPENDITURES AND EXPENDITURE ADJUSTMENTS

Expenditures:			
0250 Judicial Branch (State Operations)	2,066	4,338	4,321
0840 State Controller (State Operations)	1	2	5
8880 Financial Information System for California (State Operations)	-	4	18
Total Expenditures and Expenditure Adjustments	<u>\$2,067</u>	<u>\$4,344</u>	<u>\$4,344</u>
FUND BALANCE	\$2,890	\$2,526	\$2,160
Reserve for economic uncertainties	2,890	2,526	2,160

3066 Court Facilities Trust Fund ^s

BEGINNING BALANCE	\$2,514	\$3,162	\$3,089
Prior year adjustments	<u>4,018</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$6,532	\$3,162	\$3,089

REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

Revenues:			
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* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

	2009-10*	2010-11*	2011-12*
131700 Misc Revenue From Local Agencies	88,145	96,273	97,387
150300 Income From Surplus Money Investments	243	243	243
152200 Rentals of State Property	2,973	4,050	4,050
161000 Escheat of Unclaimed Checks & Warrants	3	-	-
161400 Miscellaneous Revenue	65	3	3
Total Revenues, Transfers, and Other Adjustments	<u>\$91,429</u>	<u>\$100,569</u>	<u>\$101,683</u>
Total Resources	\$97,961	\$103,731	\$104,772
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	100,584	108,695	109,809
Expenditure Adjustments:			
0250 Judicial Branch			
Less funding provided by General Fund (State Operations)	<u>-5,785</u>	<u>-8,053</u>	<u>-8,053</u>
Total Expenditures and Expenditure Adjustments	<u>\$94,799</u>	<u>\$100,642</u>	<u>\$101,756</u>
FUND BALANCE	\$3,162	\$3,089	\$3,016
Reserve for economic uncertainties	3,162	3,089	3,016
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund ^s			
BEGINNING BALANCE	\$93,608	\$346,729	\$366,822
Prior year adjustments	<u>103,510</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$197,118	\$346,729	\$366,822
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	24,261	24,309	24,309
164100 Traffic Violations	36,028	36,202	36,202
164200 Parking Violations	15,325	-	-
164300 Penalty Assessments	-	25,828	25,828
164700 Court Filing Fees and Surcharges	37,131	37,202	37,202
164800 Penalty Assessments on Criminal Fines	191,233	195,631	195,631
Transfers and Other Adjustments:			
TO0932 To Trial Court Trust Fund Per Item 0250-111-3138, Budget Act of 2010	<u>-</u>	<u>-73,400</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$303,978</u>	<u>\$245,772</u>	<u>\$319,172</u>
Total Resources	\$501,096	\$592,501	\$685,994
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch			
State Operations	10,000	25,000	25,836
Local Assistance	25,000	-	-
Capital Outlay	<u>119,367</u>	<u>200,679</u>	<u>420,547</u>
Total Expenditures and Expenditure Adjustments	<u>\$154,367</u>	<u>\$225,679</u>	<u>\$446,383</u>
FUND BALANCE	\$346,729	\$366,822	\$239,611
Reserve for economic uncertainties	346,729	366,822	239,611

INFRASTRUCTURE OVERVIEW

The Judicial Council facilities consist of the Supreme Court, Appellate Courts, Trial Courts, and the Administrative Office of the Courts. The Supreme Court is located within the San Francisco Civic Center Plaza (98,155 square feet (sf)), the Library and Courts Building in Sacramento (2,480 sf), currently vacant due to renovation, and the Ronald Reagan State Office Building in Los Angeles (7,598 sf). The Courts of Appeal are organized into six districts, operate in 10 different locations, and consist of 505,337 sf. The Trial Courts are located in 58 counties statewide consisting of more than 500 buildings, 2,100 courtrooms, and over 13 million sf of usable area. The space includes public courtrooms, judges' chambers, staff workspace, storage space, training rooms, and conference rooms. The Administrative Office of the Courts facilities are primarily located in San Francisco (Headquarters), Burbank, and Sacramento and occupy 343,423 sf.

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

SUMMARY OF PROJECTS

		State Building Program Expenditures	2009-10*	2010-11*	2011-12*
91	CAPITAL OUTLAY				
	Major Projects				
91.01	ALAMEDA COUNTY		\$-	\$50,000	\$-
91.01.001	Alameda County-New East County Courthouse		-	50,000 ^{Cs}	-
91.02	ALPINE COUNTY		\$2,681	\$-	\$7,290
91.02.001	Alpine County-New Markleeville Courthouse		2,681 ^{As}	-	7,290 ^{APs}
91.04	BUTTE COUNTY		\$800	\$10,689	\$10,683
91.04.001	Butte County-New North County Courthouse		800 ^{As}	10,689 ^{APs}	10,683 ^{AWs}
91.05	CALAVERAS COUNTY		\$2,397	\$40,429	\$-
91.05.001	Calaveras County-New San Andreas Courthouse		2,397 ^{Ws}	40,429 ^{Cn}	-
91.07	CONTRA COSTA COUNTY		\$-	\$1,615	\$-
91.07.001	Contra Costa County-New Antioch Area Courthouse		-	1,615 ^{Cs}	-
91.09	EL DORADO COUNTY		\$1,711	\$-	\$5,121
91.09.001	El Dorado County-New Placerville Courthouse		1,711 ^{As}	-	5,121 ^{APs}
91.10	FRESNO COUNTY		\$4,302	\$-	\$6,142
91.10.003	Fresno County-Renovate Fresno County Courthouse		4,302 ^{Ps}	-	6,142 ^{Ws}
91.11	GLENN COUNTY		\$1,354	\$-	\$2,360
91.11.001	Glenn County-Renovation and Addition to Willows Courthouse		1,354 ^{As}	-	2,360 ^{APs}
91.13	IMPERIAL COUNTY		\$1,049	\$1,634	\$6,213
91.13.001	Imperial County-New El Centro Family Courthouse		1,049 ^{As}	1,634 ^{As}	6,213 ^{PWs}
91.14	INYO COUNTY		\$753	\$-	\$2,159
91.14.001	Inyo County-New Independence Courthouse		753 ^{As}	-	2,159 ^{APs}
91.15	KERN COUNTY		\$1,795	\$-	\$7,186
91.15.001	Kern County-New Delano Courthouse		871 ^{As}	-	5,174 ^{APWs}
91.15.002	Kern County-New Mojave Courthouse		924 ^{As}	-	2,012 ^{APs}
91.16	KINGS COUNTY		\$2,615	\$9,876	\$8,342
91.16.001	Kings County-New Hanford Courthouse		2,615 ^{As}	9,876 ^{Ps}	8,342 ^{Ws}
91.17	LAKE COUNTY		\$780	\$4,660	\$3,646
91.17.001	Lake County-New Lakeport Courthouse		780 ^{As}	4,660 ^{APs}	3,646 ^{Ws}
91.18	LASSEN COUNTY		\$35,994	\$-	\$-
91.18.001	Lassen County-New Susanville Courthouse		35,994 ^{WCsn}	-	-
91.19	LOS ANGELES COUNTY		\$19,033	\$21,539	\$89,980
91.19.002	Los Angeles County-New Southeast Los Angeles Courthouse		1,187 ^{As}	21,539 ^{As}	4,668 ^{Ps}
91.19.003	Los Angeles County-New Santa Clarita Courthouse		1,246 ^{As}	-	3,822 ^{APs}
91.19.004	Los Angeles County-New Glendale Courthouse		2,456 ^{As}	-	19,242 ^{APs}
91.19.006	Los Angeles County-New Los Angeles Mental Health Courthouse		2,096 ^{As}	-	35,617 ^{APs}
91.19.007	Los Angeles County-New Eastlake Juvenile Courthouse		12,048 ^{As}	-	26,631 ^{APs}
91.20	MADERA COUNTY		\$5,558	\$93,182	\$-
91.20.001	Madera County-New Madera Courthouse		5,558 ^{APs}	93,182 ^{AWCsn}	-
91.23	MENDOCINO COUNTY		\$2,207	\$-	\$8,725
91.23.001	Mendocino County-New Ukiah Courthouse		2,207 ^{As}	-	8,725 ^{APs}
91.24	MERCED COUNTY		\$753	\$-	\$4,422
91.24.002	Merced County-New Los Banos Courthouse		753 ^{As}	-	4,422 ^{APWs}
91.26	MONO COUNTY		\$17,943	\$580	\$-
91.26.001	Mono County-New Mammoth Lakes Courthouse		17,943 ^{Cs}	580 ^{Cs}	-
91.27	MONTEREY COUNTY		\$686	\$2,288	\$2,985

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

State Building Program Expenditures		2009-10*	2010-11*	2011-12*
91.27.001	Monterey County-New South Monterey County Courthouse	686 ^{As}	2,288 ^{Ps}	2,985 ^{Ws}
91.29	NEVADA COUNTY	\$5,259	\$-	\$24,814
91.29.001	Nevada County-New Nevada City Courthouse	5,259 ^{As}	-	24,814 ^{APs}
91.31	PLACER COUNTY	\$-	\$3,764	\$-
91.31.001	Placer County-New Tahoe Area Courthouse	-	3,764 ^{As}	-
91.32	PLUMAS/SIERRA COUNTIES	\$1,702	\$-	\$10,935
91.32.001	Plumas/Sierra Counties-New Portola/Loyalton Courthouse	556 ^{Cs}	-	-
91.32.002	Plumas County-New Quincy Courthouse	1,146 ^{As}	-	10,935 ^{APs}
91.33	RIVERSIDE COUNTY	\$9,141	\$60,908	\$12,177
91.33.001	Riverside County-New Riverside Mid-County Courthouse	3,101 ^{Ws}	54,546 ^{Cn}	-
91.33.002	Riverside County-New Indio Juvenile and Family Courthouse	891 ^{As}	6,362 ^{APs}	3,789 ^{Ws}
91.33.003	Riverside County-New Hemet Courthouse	5,149 ^{As}	-	8,388 ^{APs}
91.34	SACRAMENTO COUNTY	\$6,932	\$27,007	\$40,048
91.34.001	Sacramento County-New Sacramento Criminal Courthouse	6,932 ^{As}	27,007 ^{As}	40,048 ^{PWs}
91.35	SAN BENITO COUNTY	\$1,951	\$33,508	\$-
91.35.001	San Benito County-New Hollister Courthouse	1,951 ^{Ws}	33,508 ^{Cn}	-
91.36	SAN BERNARDINO COUNTY	\$17,331	\$304,682	\$-
91.36.001	San Bernardino County-New San Bernardino Courthouse	17,331 ^{Ws}	304,682 ^{Cn}	-
91.37	SAN DIEGO COUNTY	\$30,192	\$-	\$32,367
91.37.001	San Diego County-New San Diego Courthouse	30,192 ^{APs}	-	32,367 ^{Ws}
91.39	SAN JOAQUIN COUNTY	\$9,917	\$15,770	\$246,899
91.39.001	San Joaquin County-New Stockton Courthouse	9,917 ^{Ps}	15,526 ^{AWs}	243,266 ^{Cn}
91.39.002	San Joaquin County-Renovate and Expand Juvenile Justice Center	-	244 ^{Ps}	3,633 ^{WCs}
91.42	SANTA BARBARA COUNTY	\$10,439	\$-	\$36,160
91.42.001	Santa Barbara County-New Santa Barbara Courthouse	10,439 ^{As}	-	36,160 ^{APs}
91.43	SANTA CLARA COUNTY	\$3,905	\$13,411	\$14,637
91.43.001	Santa Clara County-New Family Justice Center	3,905 ^{As}	13,411 ^{APs}	14,637 ^{Ws}
91.45	SHASTA COUNTY	\$2,422	\$5,449	\$15,449
91.45.001	Shasta County-New Redding Courthouse	2,422 ^{As}	5,449 ^{As}	15,449 ^{PWs}
91.46	SIERRA COUNTY	\$957	\$-	\$5,172
91.46.001	Shasta County-New Downieville Courthouse	957 ^{As}	-	5,172 ^{APs}
91.47	SISKIYOU COUNTY	\$1,807	\$-	\$10,975
91.47.001	Siskiyou County-New Yreka Courthouse	1,807 ^{As}	-	10,975 ^{APWs}
91.48	SOLANO COUNTY	\$1,739	\$1,393	\$22,286
91.48.001	Solano County-Renovation to Fairfield Old Solano Courthouse	1,739 ^{APs}	1,393 ^{Ws}	22,286 ^{Cn}
91.49	SONOMA COUNTY	\$2,845	\$6,229	\$13,835
91.49.001	Sonoma County-New Santa Rosa Criminal Courthouse	2,845 ^{As}	6,229 ^{As}	13,835 ^{APs}
91.50	STANISLAUS COUNTY	\$-	\$7,906	\$-
91.50.001	Stanislaus County-New Modesto Courthouse	-	7,906 ^{As}	-
91.51	SUTTER COUNTY	\$1,059	\$3,543	\$4,693
91.51.001	Sutter County-New Yuba City Courthouse	1,059 ^{As}	3,543 ^{Ps}	4,693 ^{Ws}
91.52	TEHAMA COUNTY	\$681	\$10,216	\$12,480
91.52.001	Tehama County-New Red Bluff Courthouse	681 ^{As}	10,216 ^{APs}	12,480 ^{AWs}
91.54	TULARE COUNTY	\$7,740	\$81,124	\$-
91.54.001	Tulare County-New Porterville Courthouse	7,740 ^{AWs}	81,124 ^{ACsn}	-
91.55	TUOLUMNE COUNTY	\$1,429	\$-	\$8,279
91.55.001	Tuolumne County-New Sonora Courthouse	1,429 ^{As}	-	8,279 ^{APWs}
91.57	YOLO COUNTY	\$1,996	\$14,469	\$9,639

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

State Building Program Expenditures		2009-10*	2010-11*	2011-12*
91.57.001	Yolo County-New Woodland Courthouse	1,996 ^{As}	14,469 ^{APs}	9,639 ^{Ws}
Totals, Major Projects		\$221,855	\$825,871	\$686,099
TOTALS, EXPENDITURES, ALL PROJECTS		\$221,855	\$825,871	\$686,099

FUNDING		2009-10*	2010-11*	2011-12*
0660	Public Buildings Construction Fund	\$33,919	\$602,468	\$265,552
3037	State Court Facilities Construction Fund	68,569	22,724	-
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	119,367	200,679	420,547
TOTALS, EXPENDITURES, ALL FUNDS		\$221,855	\$825,871	\$686,099

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2009-10*	2010-11*	2011-12*
0660 Public Buildings Construction Fund				
APPROPRIATIONS				
301	Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$33,919	-	-
301	Budget Act appropriation	-	\$868,020	-
Prior year balances available:				
	Item 0250-301-0660, Budget Act of 2010	-	-	\$265,552
Totals Available		\$33,919	\$868,020	\$265,552
Balance available in subsequent years		-	-265,552	-
TOTALS, EXPENDITURES		\$33,919	\$602,468	\$265,552
3037 State Court Facilities Construction Fund				
APPROPRIATIONS				
301	Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$43,100	-	-
Prior year balances available:				
	Item 0250-301-3037, Budget Act of 2007, as reappropriated by Item 0250-491, Budget Act of 2008 and Item 0250-490, Budget Act of 2010	9,007	\$2,480	-
	Item 0250-301-3037, Budget Act 2008 as reappropriated by 0250-490, Budget Act of 2009	40,653	2,195	-
	Augmentation per Government Code Sections 16352, 16409 and 16354	38	-	-
	Item 0250-301-3037, Budget Act of 2009, as reappropriated by Item 0250-490, Budget Act of 2010	-	18,049	-
Totals Available		\$92,798	\$22,724	\$-
Unexpended balance, estimated savings		-1,505	-	-
Balance available in subsequent years		-22,724	-	-
TOTALS, EXPENDITURES		\$68,569	\$22,724	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund				
APPROPRIATIONS				
301	Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$99,609	-	-
	Augmentation per Government Code Sections 16352, 16409 and 16354	1,000	-	-
301	Budget Act appropriation	-	\$51,393	\$148,324
	Government Code Section 70371.5 (c) Chapter 10, Statutes of 2009 (SBX2 12)	100,136	88,394	251,737
Prior year balances available:				
	Item 0250-301-3138, Budget Act of 2009	-	81,378	20,486
Totals Available		\$200,745	\$221,165	\$420,547
Balance available in subsequent years		-81,378	-20,486	-
TOTALS, EXPENDITURES		\$119,367	\$200,679	\$420,547

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$221,855	\$825,871	\$686,099
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Capital Outlay)	\$2,528,968	\$4,402,183	\$4,340,688

0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court, 2 attorneys appointed by the Governor, and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Commission on Judicial Performance	20.1	27.0	27.0	\$3,777	\$4,174	\$4,181
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	20.1	27.0	27.0	\$3,777	\$4,174	\$4,181
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$3,782	\$4,175	\$4,182
9728 Judicial Branch Workers' Compensation Fund				-5	-1	-1
TOTALS, EXPENDITURES, ALL FUNDS				\$3,777	\$4,174	\$4,181

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$83	\$-	-	\$83	\$-	-
• Employee Compensation	11	-	-	18	-	-
Totals, Other Workload Budget Adjustments	\$94	\$-	-	\$101	\$-	-
Totals, Workload Budget Adjustments	\$94	\$-	-	\$101	\$-	-
Totals, Budget Adjustments	\$94	\$-	-	\$101	\$-	-

DETAILED EXPENDITURES BY PROGRAM

* Dollars in thousands, except in Salary Range.

0280 Commission on Judicial Performance - Continued

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
10 COMMISSION ON JUDICIAL PERFORMANCE			
State Operations:			
0001 General Fund	\$3,782	\$4,175	\$4,182
9728 Judicial Branch Workers' Compensation Fund	-5	-1	-1
Totals, State Operations	\$3,777	\$4,174	\$4,181
TOTALS, EXPENDITURES			
State Operations	3,777	4,174	4,181
Totals, Expenditures	\$3,777	\$4,174	\$4,181

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years					
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	20.1	27.0	27.0	\$2,051	\$2,787	\$2,815
Net Totals, Salaries and Wages	20.1	27.0	27.0	\$2,051	\$2,787	\$2,815
Staff Benefits	-	-	-	766	450	425
Totals, Personal Services	20.1	27.0	27.0	\$2,817	\$3,237	\$3,240
OPERATING EXPENSES AND EQUIPMENT				\$960	\$937	\$941
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,777	\$4,174	\$4,181

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,101	\$4,080	\$4,181
Allocation for employee compensation	-	11	-
Adjustment per Section 3.60	9	83	-
Adjustment per Section 4.04	-30	-	-
Adjustment per Section 3.55	-9	-	-
Transfer to Item 0280-011-0001, per Provision 1	-4	-	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	1	1	1
Transfer to Item 0280-011-0001, per Provision 1	4	-	-
Totals Available	\$4,072	\$4,175	\$4,182
Unexpended balance, estimated savings	-290	-	-
TOTALS, EXPENDITURES	\$3,782	\$4,175	\$4,182
9728 Judicial Branch Workers' Compensation Fund			
APPROPRIATIONS			
TOTALS, EXPENDITURES	\$-	\$-	\$-
Less funding provided by the General Fund	-\$5	-\$1	-\$1
NET TOTALS, EXPENDITURES	-\$5	-\$1	-\$1
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,777	\$4,174	\$4,181

* Dollars in thousands, except in Salary Range.

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for California's Supreme and Appellate Court Judges, as well as Superior and Municipal Court Judges.

The Judges' Retirement System provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility as determined by specific sections of the Judges' Retirement Law. The Judges' Retirement System receives contributions equal to 8 percent of salary from both active judges and the state. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

A second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994, become members of Judges' Retirement System II. The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund. Judges' Retirement System II members eligible for a service retirement also have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 State Operations	-	-	-	\$3,247	\$3,297	\$3,261
20 Local Assistance	-	-	-	211,813	211,709	244,307
99 Unclassified (Benefit Payments)	-	-	-	180,253	198,735	214,224
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$395,313	\$413,741	\$461,792
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$215,060	\$215,006	\$247,568
0815 Judges' Retirement Fund				178,861	197,038	212,278
0884 Judges' Retirement System II Fund				1,392	1,697	1,946
TOTALS, EXPENDITURES, ALL FUNDS				\$395,313	\$413,741	\$461,792

The amounts for the Judges' Retirement Fund (0815) and the Judges' Retirement System II Fund (0884) are unclassified expenditures for benefit payments.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Judges' Retirement System	-\$2,419	\$1,884	-	\$30,143	\$17,373	-
Totals, Other Workload Budget Adjustments	-\$2,419	\$1,884	-	\$30,143	\$17,373	-
Totals, Workload Budget Adjustments	-\$2,419	\$1,884	-	\$30,143	\$17,373	-
Totals, Budget Adjustments	-\$2,419	\$1,884	-	\$30,143	\$17,373	-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$1,150	\$1,150

* Dollars in thousands, except in Salary Range.

0390 Contributions to the Judges' Retirement System - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Government Code Section 75101 (JRS I)	1,453	1,138	1,030
Government Code Section 75600.5 (JRS II)	<u>644</u>	<u>1,009</u>	<u>1,081</u>
TOTALS, EXPENDITURES	<u>\$3,247</u>	<u>\$3,297</u>	<u>\$3,261</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	<u>\$3,247</u>	<u>\$3,297</u>	<u>\$3,261</u>
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$174,092	\$157,832	\$187,432
Government Code Section 75101 (JRS I)	6,625	5,188	4,694
Government Code Section 75600.5 (JRS II)	<u>31,096</u>	<u>48,689</u>	<u>52,181</u>
TOTALS, EXPENDITURES	<u>\$211,813</u>	<u>\$211,709</u>	<u>\$244,307</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	<u>\$211,813</u>	<u>\$211,709</u>	<u>\$244,307</u>
4 UNCLASSIFIED	2009-10*	2010-11*	2011-12*
0815 Judges' Retirement Fund			
APPROPRIATIONS			
Government Code Section 75025	\$178,861	\$197,038	\$212,278
Number of Annuitants (JRS I)	<u>(1,843)</u>	<u>(1,905)</u>	<u>(1,967)</u>
TOTALS, EXPENDITURES	<u>\$178,861</u>	<u>\$197,038</u>	<u>\$212,278</u>
0884 Judges' Retirement System II Fund			
APPROPRIATIONS			
Government Code Section 75522	\$1,392	\$1,697	\$1,946
Number of Annuitants (JRS II)	<u>(19)</u>	<u>(22)</u>	<u>(25)</u>
TOTALS, EXPENDITURES	<u>\$1,392</u>	<u>\$1,697</u>	<u>\$1,946</u>
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	<u>\$180,253</u>	<u>\$198,735</u>	<u>\$214,224</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	<u>\$395,313</u>	<u>\$413,741</u>	<u>\$461,792</u>

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0815 Judges' Retirement Fund ^N			
BEGINNING BALANCE	\$41,427	\$63,827	\$43,276
Prior year adjustments	<u>5,049</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$46,476	\$63,827	\$43,276
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
215000 Income From Investments	332	456	309
216000 Fees and Licenses (Filing Fees)	3,486	3,422	3,422
221000 Contributions From Judges	7,937	6,326	5,724
221000 Refunds of Contributions	-32	-56	-56
221000 Contributions From State	8,079	6,326	5,724
221000 Contributions For Assignments	2,068	2,059	2,059
299000 Budget Act Appropriation (Administration) (Transfer From General Fund)	897	1,026	1,026
299000 Budget Act Appropriation (Transfer From General Fund)	<u>174,344</u>	<u>157,956</u>	<u>187,556</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$197,111</u>	<u>\$177,515</u>	<u>\$205,764</u>
Total Resources	\$243,587	\$241,342	\$249,040
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

* Dollars in thousands, except in Salary Range.

0390 Contributions to the Judges' Retirement System - Continued

	2009-10*	2010-11*	2011-12*
0390 Contributions to the Judges' Retirement System (Unclassified)	178,861	197,038	212,278
0840 State Controller (State Operations)	1	2	1
1900 Public Employees' Retirement System (State Operations)	<u>898</u>	<u>1,026</u>	<u>1,026</u>
Total Expenditures and Expenditure Adjustments	<u>\$179,760</u>	<u>\$198,066</u>	<u>\$213,305</u>
FUND BALANCE	\$63,827	\$43,276	\$35,735
0884 Judges' Retirement System II Fund ^N			
BEGINNING BALANCE	\$315,579	\$407,486	\$500,752
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$315,577	\$407,486	\$500,752
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
215000 Income From Investments	50,791	31,580	38,808
221000 Contributions From Judges	13,560	16,538	17,724
221000 Contributions From State	31,741	49,698	53,262
221000 Refunds of Contributions	-2,592	-2,543	-2,543
221000 Contributions From Assignments	<u>250</u>	<u>200</u>	<u>200</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$93,750</u>	<u>\$95,473</u>	<u>\$107,451</u>
Total Resources	\$409,327	\$502,959	\$608,203
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0390 Contributions to the Judges' Retirement System (Unclassified)	1,392	1,697	1,946
0840 State Controller (State Operations)	-	-	1
1900 Public Employees' Retirement System (State Operations)	449	510	510
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>-</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,841</u>	<u>\$2,207</u>	<u>\$2,460</u>
FUND BALANCE	\$407,486	\$500,752	\$605,743

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained at Sacramento.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Governor's Office	<u>185.0</u>	<u>202.1</u>	<u>185.0</u>	<u>\$14,608</u>	<u>\$19,624</u>	<u>\$13,365</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	185.0	202.1	185.0	\$14,608	\$19,624	\$13,365
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$12,662	\$16,281	\$11,137
9740 Central Service Cost Recovery Fund				<u>1,946</u>	<u>3,343</u>	<u>2,228</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$14,608	\$19,624	\$13,365

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

* Dollars in thousands, except in Salary Range.

0500 Governor's Office - Continued

MAJOR PROGRAM CHANGES

- Governor's Office Reductions-The Budget proposes to reduce the Governor's Office by \$4.5 million (\$3.7 million General Fund). These savings will be achieved by eliminating cabinet deputy positions, reducing staff in the communications office, closing or reducing field offices, and reducing staff in the Office of the First Lady.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• One Time Cost Reductions	\$-	\$-	-	\$-	-\$2,800	-17.1
• Employee Compensation Adjustments	-1,240	-188	-	-355	-77	-
• Workforce Cap Adjustment	-510	-336	-	-510	-336	-
• Miscellaneous Adjustments	-	-	-	-642	642	-
Totals, Other Workload Budget Adjustments	-\$1,750	-\$524	-	-\$1,507	-\$2,571	-17.1
Totals, Workload Budget Adjustments	-\$1,750	-\$524	-	-\$1,507	-\$2,571	-17.1
Policy Adjustments						
• Reduction to Governor's Office	\$-	\$-	-	-\$3,712	-\$743	-
Totals, Policy Adjustments	\$-	\$-	-	-\$3,712	-\$743	-
Totals, Budget Adjustments	-\$1,750	-\$524	-	-\$5,219	-\$3,314	-17.1

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	185.0	202.1	185.0	\$14,009	\$16,928	\$15,542
Net Totals, Salaries and Wages	185.0	202.1	185.0	\$14,009	\$16,928	\$15,542
Totals, Personal Services	185.0	202.1	185.0	\$14,009	\$16,928	\$15,542
OPERATING EXPENSES AND EQUIPMENT						
				\$599	\$2,696	\$2,278
SPECIAL ITEMS OF EXPENSE						
Unallocated Reduction				-	-	-4,455
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$14,608	\$19,624	\$13,365

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,894	\$16,356	\$11,137
Allocation for employee compensation	-	27	-
Reduction per Section 3.90	-1,822	-510	-
Reduction per Control Section 3.91	-	-1,267	-
Adjustment per Section 3.55	-32	-	-
012 Budget Act appropriation (Transfer to the Central Service Cost Recovery Fund)	-	1,675	-
Totals Available	\$14,040	\$16,281	\$11,137
Unexpended balance, estimated savings	-1,378	-	-
TOTALS, EXPENDITURES	\$12,662	\$16,281	\$11,137

* Dollars in thousands, except in Salary Range.

0500 Governor's Office - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,204	\$2,742	\$2,228
Allocation for employee compensation	-	9	-
Reduction per Section 3.90	-1,216	-168	-
Reduction per Control Section 3.91	-	-103	-
002 Budget Act appropriation	-	2,800	-
Allocation for employee compensation	-	9	-
Reduction per Section 3.90	-	-168	-
Reduction per Control Section 3.91	-	-103	-
Totals Available	\$1,988	\$5,018	\$2,228
Unexpended balance, estimated savings	-42	-	-
TOTALS, EXPENDITURES	\$1,946	\$5,018	\$2,228
Less funding provided by the General Fund	-	-1,675	-
NET TOTALS, EXPENDITURES	\$1,946	\$3,343	\$2,228
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$14,608	\$19,624	\$13,365

0502 California Technology Agency

The California Technology Agency (Agency) establishes and enforces statewide information technology strategic plans, policies, standards, and enterprise architecture, and oversees information technology projects and public safety emergency communications systems for all state departments. Effective January 1, 2011, Chapter 404, Statutes of 2010 renamed the Office of the State Chief Information Officer the "California Technology Agency" and codified the statewide information technology consolidation originally implemented by Governor's Reorganization Plan No. 1 in 2009.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the California Technology Agency's Capital Outlay Program, see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Technology Agency	788.8	724.9	744.4	\$235,178	\$267,357	\$290,956
20 Project Review and Oversight	-	360.2	369.9	174,295	202,437	195,279
30.01 Administration	-	136.0	139.7	-	17,284	17,679
30.02 Distributed Administration	-	-	-	-	-17,284	-17,679
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	788.8	1,221.1	1,254.0	\$409,473	\$469,794	\$486,235
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$3,990	\$3,833	\$3,694
0022 State Emergency Telephone Number Account				105,680	124,856	124,926
0666 Service Revolving Fund				70,362	-	-
0890 Federal Trust Fund				-	1,932	1,931
0995 Reimbursements				4,851	8,398	3,179
9730 Technology Services Revolving Fund				222,038	327,360	348,812
9740 Central Service Cost Recovery Fund				2,552	3,415	3,693
TOTALS, EXPENDITURES, ALL FUNDS				\$409,473	\$469,794	\$486,235

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

Government Code Sections 8592, 11534, 11539, 11541, 11542, 11544, 11545, 11546, 11549, 11550, 12800, 12801, 12804, 14930-14931.1, 15275, 15277, 53100 et seq., 53114-53127. Public Contracts Code Sections 12101, 12105, and 12120.

Revenue and Taxation Code Sections 41030, 41031, 41136, 41137, 41138, 41140 and 41141

MAJOR PROGRAM CHANGES

- The Budget includes \$966,000 and 9 positions to transition independent oversight of information technology projects from vendors to the Agency.
- The Budget includes \$19.7 million and 23 positions for data center workload growth, including \$10.6 million and 3 positions to support the migration of state agencies to a shared e-mail system.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Data Center Workload Capacity	\$-	\$-	-	\$-	\$19,051	19.0
• E-mail Hosting Capacity	-	-	-	-	10,639	2.8
• Technology Support of California Recovery Task Force (ARRA)	-	-	-	-	600	-
• Completed Project Expenditure Reduction	-	-10,157	-	-	-9,965	-
Totals, Workload Budget Change Proposals	\$-	-\$10,157	-	\$-	\$20,325	21.8
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$111	-\$5,109	-	-\$35	-\$1,429	-
• Retirement Rate Adjustment	56	2,578	-	56	2,578	-
• Limited Term Positions/Expiring Programs	-	-	-	-	-1,442	-
• One Time Cost Reductions	-	-	-	-	-11,274	-
• Miscellaneous Adjustments	-	2,300	1.9	-215	-3,532	1.9
• Workforce Cap Adjustment	-206	-5,141	-42.0	-206	-5,141	-42.0
Totals, Other Workload Budget Adjustments	-\$261	-\$5,372	-40.1	-\$400	-\$20,240	-40.1
Totals, Workload Budget Adjustments	-\$261	-\$15,529	-40.1	-\$400	\$85	-18.3
Policy Adjustments						
• Independent Project Oversight	\$-	\$-	-	\$-	\$966	8.6
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$966	8.6
Totals, Budget Adjustments	-\$261	-\$15,529	-40.1	-\$400	\$1,051	-9.7

PROGRAM DESCRIPTIONS

10 - California Technology Agency

The Agency maintains up-to-date policies for information technology activities to ensure the state adopts and uses best practices in information technology management. The Agency maintains a state information technology strategic plan and establishes statewide information technology policies and standards, facilitates the development and implementation of enterprise initiatives, and maintains a governance structure to address issues and concerns that arise as part of technology deployment.

The Agency ensures that project-specific decisions are consistent with the state's policies and direction for information technology development, including project management, oversight, and risk mitigation. The Agency also ensures the coordination and collaboration of enterprise and other multidepartment information technology efforts, as well as standardization of project management processes and performance metrics, to maximize the management of major projects and allow project performance to be assessed uniformly.

Within the Agency, the Office of Information Security (OIS) is the primary state government authority responsible for ensuring the protection of state information, as well as the confidentiality, integrity, and availability of state systems and applications. OIS represents the State to federal, state, and local government entities, higher education, private industry, and others on security-related matters.

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

The Office of Technology Services (OTech) provides information technology services to state, federal, and local government entities throughout California. Through the use of a scalable, reliable and secure statewide network, combined with voice and data technologies, OTech delivers computing, networking, electronic messaging and training solutions.

20 - The Public Safety and Communications Division provides leadership, oversight and the delivery of communications services and systems used to meet the State's public safety needs.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	CALIFORNIA TECHNOLOGY AGENCY			
State Operations:				
0001	General Fund	\$3,990	\$3,833	\$3,694
0666	Service Revolving Fund	1,747	-	-
0995	Reimbursements	4,851	8,398	3,179
9730	Technology Services Revolving Fund	222,038	251,711	280,390
9740	Central Service Cost Recovery Fund	<u>2,552</u>	<u>3,415</u>	<u>3,693</u>
Totals, State Operations		\$235,178	\$267,357	\$290,956
PROGRAM REQUIREMENTS				
20	PROJECT REVIEW AND OVERSIGHT			
State Operations:				
0022	State Emergency Telephone Number Account	\$1,227	\$2,252	\$2,322
0666	Service Revolving Fund	68,615	-	-
9730	Technology Services Revolving Fund	<u>-</u>	<u>75,649</u>	<u>68,422</u>
Totals, State Operations		\$69,842	\$77,901	\$70,744
Local Assistance:				
0022	State Emergency Telephone Number Account	\$104,453	\$122,604	\$122,604
0890	Federal Trust Fund	<u>-</u>	<u>1,932</u>	<u>1,931</u>
Totals, Local Assistance		\$104,453	\$124,536	\$124,535
TOTALS, EXPENDITURES				
State Operations		305,020	345,258	361,700
Local Assistance		<u>104,453</u>	<u>124,536</u>	<u>124,535</u>
Totals, Expenditures		\$409,473	\$469,794	\$486,235

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	788.8	1,330.2	1,330.2	\$52,636	\$99,305	\$100,892
Total Adjustments	-	2.0	34.0	-	-3,359	3,307
Estimated Salary Savings	<u>-</u>	<u>-111.1</u>	<u>-110.2</u>	<u>-</u>	<u>-8,003</u>	<u>-8,416</u>
Net Totals, Salaries and Wages	788.8	1,221.1	1,254.0	\$52,636	\$87,943	\$95,783
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>19,355</u>	<u>31,486</u>	<u>34,317</u>
Totals, Personal Services	788.8	1,221.1	1,254.0	\$71,991	\$119,429	\$130,100
OPERATING EXPENSES AND EQUIPMENT				<u>\$233,029</u>	<u>\$225,829</u>	<u>\$231,600</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$305,020	\$345,258	\$361,700
(State Operations)						

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

2 Local Assistance

	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$104,453	\$124,536	\$124,535
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$104,453	\$124,536	\$124,535

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$4,721	-	-
Adjustment per Section 3.60	8	-	-
Reduction per Section 3.90	-450	-	-
Adjustment per Section 4.04	-50	-	-
Adjustment per Section 3.55	-5	-	-
001 Budget Act appropriation	-	\$4,094	\$3,694
Allocation for employee compensation	-	10	-
Adjustment per Section 3.60	-	56	-
Reduction per Section 3.90	-	-206	-
Reduction per Control Section 3.91	-	-121	-
Totals Available	\$4,224	\$3,833	\$3,694
Unexpended balance, estimated savings	-234	-	-
TOTALS, EXPENDITURES	\$3,990	\$3,833	\$3,694
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$2,269	-	-
Adjustment per Section 3.60	3	-	-
001 Budget Act appropriation	-	\$2,360	\$2,322
Allocation for employee compensation	-	7	-
Adjustment per Section 3.60	-	36	-
Reduction per Section 3.90	-	-72	-
Reduction per Control Section 3.91	-	-79	-
Totals Available	\$2,272	\$2,252	\$2,322
Unexpended balance, estimated savings	-1,045	-	-
TOTALS, EXPENDITURES	\$1,227	\$2,252	\$2,322
0666 Service Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$74,972	-	-
Adjustment per Section 3.60	74	-	-
Reduction per Section 3.90	-4,342	-	-
Adjustment per Section 3.55	-72	-	-
Totals Available	\$70,632	\$-	\$-
Unexpended balance, estimated savings	-270	-	-
TOTALS, EXPENDITURES	\$70,362	\$-	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$4,851	\$8,398	\$3,179
9730 Technology Services Revolving Fund			

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
001 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$239,283	-	-
Adjustment per Section 3.60	175	-	-
Reduction per Section 3.90	-10,287	-	-
Adjustment per Section 3.55	-57	-	-
Revised expenditure authority per Provision 2 of Item 0502-001-0001	7,891	-	-
001 Budget Act appropriation	-	\$344,935	\$348,812
Allocation for employee compensation	-	470	-
Adjustment per Section 3.60	-	2,489	-
Reduction per Section 3.90	-	-4,975	-
Reduction per Control Section 3.91	-	-5,402	-
Totals Available	\$237,005	\$337,517	\$348,812
Unexpended balance, estimated savings	-14,967	-10,157	-
TOTALS, EXPENDITURES	\$222,038	\$327,360	\$348,812
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$2,965	-	-
Adjustment per Section 3.60	5	-	-
Reduction per Section 3.90	-287	-	-
001 Budget Act appropriation	-	\$3,555	\$3,693
Allocation for employee compensation	-	9	-
Adjustment per Section 3.60	-	47	-
Reduction per Section 3.90	-	-94	-
Reduction per Control Section 3.91	-	-102	-
Totals Available	\$2,683	\$3,415	\$3,693
Unexpended balance, estimated savings	-131	-	-
TOTALS, EXPENDITURES	\$2,552	\$3,415	\$3,693
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$305,020	\$345,258	\$361,700
2 LOCAL ASSISTANCE			
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
101 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$120,604	-	-
101 Budget Act appropriation	-	\$122,604	\$122,604
Totals Available	\$120,604	\$122,604	\$122,604
Unexpended balance, estimated savings	-16,151	-	-
TOTALS, EXPENDITURES	\$104,453	\$122,604	\$122,604
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$1,932	\$1,931
Federal Funds	\$483	-	-
Budget Adjustment	-483	-	-
TOTALS, EXPENDITURES	\$-	\$1,932	\$1,931
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$104,453	\$124,536	\$124,535
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$409,473	\$469,794	\$486,235

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0022 State Emergency Telephone Number Account ^s			
BEGINNING BALANCE	\$146,467	\$120,519	\$91,657
Prior year adjustments	<u>-5,637</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$140,830	\$120,519	\$91,657
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
141100 Emergency Telephone Users Surcharge	<u>90,000</u>	<u>100,444</u>	<u>100,444</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$90,000</u>	<u>\$100,444</u>	<u>\$100,444</u>
Total Resources	\$230,830	\$220,963	\$192,101
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0502 California Technology Agency			
State Operations	1,227	2,252	2,322
Local Assistance	104,453	122,604	122,604
0840 State Controller (State Operations)	21	51	165
0860 State Board of Equalization (State Operations)	1,330	1,401	1,552
3540 Department of Forestry and Fire Protection (State Operations)	3,280	2,995	3,009
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>3</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$110,311</u>	<u>\$129,306</u>	<u>\$129,652</u>
FUND BALANCE	\$120,519	\$91,657	\$62,449
Reserve for economic uncertainties	120,519	91,657	62,449
9730 Technology Services Revolving Fund ⁿ			
BEGINNING BALANCE	\$49,705	\$66,259	\$47,219
Prior year adjustments	<u>-1,451</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$48,254	\$66,259	\$47,219
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
299000 Other			
Miscellaneous Income	121	121	121
Income from Office of Technology Operations	240,004	232,894	242,245
Income from PSCD Operations	<u>-</u>	<u>75,649</u>	<u>70,744</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$240,125</u>	<u>\$308,664</u>	<u>\$313,110</u>
Total Resources	\$288,379	\$374,923	\$360,329
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0502 California Technology Agency (State Operations)	222,038	327,360	348,812
0840 State Controller (State Operations)	82	198	129
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>146</u>	<u>1,422</u>
Total Expenditures and Expenditure Adjustments	<u>\$222,120</u>	<u>\$327,704</u>	<u>\$350,363</u>
FUND BALANCE	\$66,259	\$47,219	\$9,966

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	788.8	1,330.2	1,330.2	\$52,636	\$99,305	\$100,892
Furlough Adjustments	-	-	-	-	-2,647	-
PLP Adjustments	-	-	-	-	-1,554	-
Workload and Administrative Adjustments:				Salary Range		

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Positions Established:						
Office of Technology Services Division:						
Window Services Branch:						
Systems Software Spec II-Tech	-	-	11.0	5,561-7,097	-	835
Infrastructure Services Branch:						
Systems Software Spec II-Tech	-	-	1.0	5,561-7,097	-	76
Software Services Branch:						
Systems Software Spec II-Tech	-	-	11.0	5,561-7,097	-	835
Public Safety Communications Division:						
Temp Help	-	2.0	2.0	-	132	132
Technical Standby	-	-	-	-	125	125
Overtime	-	-	-	-	585	585
Totals, Workload & Admin Adjustments	-	2.0	25.0	\$-	\$842	\$2,588
Proposed New Positions:						
Policy and Program Management						
Oversight and Strategic Initiatives Office:						
DP Mgr II	-	-	9.0	5,849-7,464	-	719
Totals, Proposed New Positions	-	-	9.0	\$-	\$-	\$719
Total Adjustments	-	2.0	34.0	\$-	-\$3,359	\$3,307
TOTALS, SALARIES AND WAGES	788.8	1,332.2	1,364.2	\$52,636	\$95,946	\$104,199

INFRASTRUCTURE OVERVIEW

The California Technology Agency has 38 facilities statewide consisting of 1 Headquarter office, 2 Data Centers, 33 Public Safety Communications Area offices/VHF Radio and Microwave shops and 2 multi-functional storage locations totaling 668,889 square feet. These facilities support a cabinet-level agency with statutory authority over the state's IT strategic vision and planning, enterprise architecture, policy, and project approval and oversight.

SUMMARY OF PROJECTS

		2009-10*	2010-11*	2011-12*
State Building Program Expenditures				
50	CAPITAL OUTLAY			
	Major Projects			
50.10	SACRAMENTO	\$-	\$3,210	\$-
50.10.250	Sacramento Public Safety Communications Decentralization, Resources	-	3,210 ^{Wrbs}	-
	Totals, Major Projects	\$-	\$3,210	\$-
TOTALS, EXPENDITURES, ALL PROJECTS		\$-	\$3,210	\$-

FUNDING

		2009-10*	2010-11*	2011-12*
0042	State Highway Account, State Transportation Fund		\$-	\$555
0044	Motor Vehicle Account, State Transportation Fund		-	1,406
0200	Fish and Game Preservation Fund		-	126
0768	Earthquake Safety and Public Buildings Rehabilitation Fund of 1990		-	1,028
0995	Reimbursements		-	95
TOTALS, EXPENDITURES, ALL FUNDS			\$-	\$3,210

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
301 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	0	-	-
Prior year balances available:			
Item 0502-301-0001, Budget Act of 2009, as reappropriated by Item 0502-490, Budget Act of 2010	-	0	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
301 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$555	-	-
Prior year balances available:			
Item 0502-301-0042, Budget Act of 2009, as reappropriated by Item 0502-490, Budget Act of 2010	-	\$555	-
Totals Available	\$555	\$555	\$-
Balance available in subsequent years	-555	-	-
TOTALS, EXPENDITURES	\$-	\$555	\$-
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
301 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,406	-	-
Prior year balances available:			
Item 0502-301-0044, Budget Act of 2009, as reappropriated by Item 0502-490, Budget Act of 2010	-	\$1,406	-
Totals Available	\$1,406	\$1,406	\$-
Balance available in subsequent years	-1,406	-	-
TOTALS, EXPENDITURES	\$-	\$1,406	\$-
0200 Fish and Game Preservation Fund			
APPROPRIATIONS			
301 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$126	-	-
Prior year balances available:			
Item 0502-301-0200, Budget Act of 2009, as reappropriated by Item 0502-490, Budget Act of 2010	-	\$126	-
Totals Available	\$126	\$126	\$-
Balance available in subsequent years	-126	-	-
TOTALS, EXPENDITURES	\$-	\$126	\$-
0768 Earthquake Safety and Public Buildings Rehabilitation Fund of 1990			
APPROPRIATIONS			
301 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,028	-	-
Prior year balances available:			
Item 0502-301-0768, Budget Act of 2009, as reappropriated by Item 0502-490, Budget Act of 2010	-	\$1,028	-
Totals Available	\$1,028	\$1,028	\$-
Balance available in subsequent years	-1,028	-	-
TOTALS, EXPENDITURES	\$-	\$1,028	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$95	-
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$-	\$3,210	\$-

* Dollars in thousands, except in Salary Range.

0510 Secretary for State and Consumer Services

The State and Consumer Services Agency oversees the departments of Consumer Affairs, Fair Employment and Housing, and General Services. The Agency also oversees the California Science Center, the California African American Museum, the Seismic Safety Commission, the Fair Employment and Housing Commission, the Franchise Tax Board, the California Building Standards Commission, the State Personnel Board, the California Public Employees' Retirement System, the California State Teachers' Retirement System, the Victim Compensation and Government Claims Board, the Office of Privacy Protection, and the Office of the Insurance Advisor.

The entities under the State and Consumer Services Agency are responsible for civil rights enforcement, consumer protection and the licensing of 2.5 million Californians in more than 240 different professions. Agency entities provide oversight and guidance for the procurement of more than \$8.9 billion worth of goods and services; the management and development of state real estate; operation oversight of two state employee pension funds; collection of state taxes; hiring of state employees; adoption of state building standards; and the administration of two state museums. In addition, the Secretary for State and Consumer Services Agency is the Chair of the California Building Standards Commission and the Victim Compensation and Government Claims Board, and operates the Office of Privacy Protection.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 State and Consumer Services Agency Oversight	6.3	8.3	8.3	\$1,415	\$1,633	\$1,607
20 Office of Privacy Protection	5.4	7.0	7.0	669	701	859
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	11.7	15.3	15.3	\$2,084	\$2,334	\$2,466
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$752	\$1,071	\$1,038
0995 Reimbursements				617	614	642
9740 Central Service Cost Recovery Fund				715	649	786
TOTALS, EXPENDITURES, ALL FUNDS				\$2,084	\$2,334	\$2,466

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 11549, 11550, 12800, 12801, and 12804.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$72	-\$82	-	-\$11	-\$12	-
• Retirement Rate Adjustment	17	19	-	17	19	-
• Miscellaneous Adjustments	7	21	-	-88	116	-
• Workforce Cap Adjustment	-37	-45	-1.0	-37	-45	-1.0
Totals, Other Workload Budget Adjustments	-\$85	-\$87	-1.0	-\$119	\$78	-1.0
Totals, Workload Budget Adjustments	-\$85	-\$87	-1.0	-\$119	\$78	-1.0
Totals, Budget Adjustments	-\$85	-\$87	-1.0	-\$119	\$78	-1.0

PROGRAM DESCRIPTIONS

10 - STATE AND CONSUMER SERVICES AGENCY OVERSIGHT

The Secretary, a member of the Governor's Cabinet, advises the Governor on major policy and program matters and oversees the Agency's departments.

20 - OFFICE OF PRIVACY PROTECTION

The Office of Privacy Protection provides information and assistance to consumers on identity theft and other privacy issues and recommends policies and practices that protect individual privacy rights to business and government.

* Dollars in thousands, except in Salary Range.

0510 Secretary for State and Consumer Services - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	STATE AND CONSUMER SERVICES AGENCY OVERSIGHT			
State Operations:				
0001	General Fund	\$461	\$636	\$548
0995	Reimbursements	507	614	642
9740	Central Service Cost Recovery Fund	<u>447</u>	<u>383</u>	<u>417</u>
Totals, State Operations		\$1,415	\$1,633	\$1,607
PROGRAM REQUIREMENTS				
20	OFFICE OF PRIVACY PROTECTION			
State Operations:				
0001	General Fund	\$291	\$435	\$490
0995	Reimbursements	110	-	-
9740	Central Service Cost Recovery Fund	<u>268</u>	<u>266</u>	<u>369</u>
Totals, State Operations		\$669	\$701	\$859
TOTALS, EXPENDITURES				
State Operations		<u>2,084</u>	<u>2,334</u>	<u>2,466</u>
Totals, Expenditures		\$2,084	\$2,334	\$2,466

EXPENDITURES BY CATEGORY

	1 State Operations	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
		<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PERSONAL SERVICES							
	Authorized Positions (Equals Sch. 7A)	11.7	16.1	16.1	\$921	\$1,309	\$1,316
	Total Adjustments	-	-	-	-	-104	-
	Estimated Salary Savings	<u>-</u>	<u>-0.8</u>	<u>-0.8</u>	<u>-</u>	<u>-65</u>	<u>-66</u>
	Net Totals, Salaries and Wages	11.7	15.3	15.3	\$921	\$1,140	\$1,250
	Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>320</u>	<u>419</u>	<u>421</u>
	Totals, Personal Services	11.7	15.3	15.3	\$1,241	\$1,559	\$1,671
OPERATING EXPENSES AND EQUIPMENT							
					<u>\$843</u>	<u>\$775</u>	<u>\$795</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$2,084	\$2,334	\$2,466

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2009-10*	2010-11*	2011-12*
0001 General Fund				
APPROPRIATIONS				
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session		\$971	-	-
Adjustment per Section 3.60		2	-	-
Reduction per Section 3.90		-135	-	-
Adjustment per Section 4.04		-11	-	-
Adjustment per Section 4.30		2	-	-
Reduction per Section 15.30		-1	-	-
Adjustment per Section 3.55		-2	-	-

* Dollars in thousands, except in Salary Range.

0510 Secretary for State and Consumer Services - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation	-	\$1,157	\$1,038
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	17	-
Reduction per Section 3.90	-	-37	-
Adjustment per Section 4.30	-	7	-
Reduction per Control Section 3.91	-	-76	-
Totals Available	\$826	\$1,071	\$1,038
Unexpended balance, estimated savings	-74	-	-
TOTALS, EXPENDITURES	\$752	\$1,071	\$1,038
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$617	\$614	\$642
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$899	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-125	-	-
Adjustment per Section 4.30	2	-	-
Reduction per Section 15.30	-1	-	-
Adjustment per Section 3.55	-2	-	-
001 Budget Act appropriation	-	\$705	\$786
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	10	-
Reduction per Section 3.90	-	-27	-
Adjustment per Section 4.30	-	7	-
Reduction per Control Section 3.91	-	-48	-
Totals Available	\$774	\$649	\$786
Unexpended balance, estimated savings	-59	-	-
TOTALS, EXPENDITURES	\$715	\$649	\$786
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,084	\$2,334	\$2,466

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	11.7	16.1	16.1	\$921	\$1,309	\$1,316
Furlough Adjustments	-	-	-	-	-55	-
PLP Adjustments	-	-	-	-	-49	-
Total Adjustments	-	-	-	\$-	-\$104	\$-
TOTALS, SALARIES AND WAGES	11.7	16.1	16.1	\$921	\$1,205	\$1,316

0520 Secretary for Business, Transportation and Housing

The mission of the Secretary for Business, Transportation and Housing (BTH) is to oversee and coordinate the activities of 13 departments and several important economic development programs and commissions. These entities improve California's place in the global marketplace by leveraging the state's advantages to promote job and business growth, improving transportation mobility, and increasing affordable housing opportunities while promoting environmental quality and safe communities.

Departments in the BTH Agency include:

- California Housing Finance Agency

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

- Department of Alcoholic Beverage Control
- Department of the California Highway Patrol
- Department of Corporations
- Department of Financial Institutions
- Department of Housing and Community Development
- Department of Managed Health Care, including the Office of the Patient Advocate
- Department of Motor Vehicles
- Department of Real Estate
- Department of Transportation
- Office of Real Estate Appraisers
- Office of Traffic Safety
- Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun

Additionally, economic development programs include:

- California Film Commission
- California Travel and Tourism Commission
- Infrastructure and Economic Development Bank
- International Trade Promotion
- Small Business Loan Guarantee Program

3-YR EXPENDITURES AND PERSONNEL YEARS

		Personnel Years			Expenditures		
		2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10	Administration of Business, Transportation and Housing Agency	21.3	22.0	22.0	\$3,279	\$3,317	\$3,534
25	Infrastructure Finance and Economic Development Program	40.7	37.2	38.7	17,550	19,346	97,378
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		62.0	59.2	60.7	\$20,829	\$22,663	\$100,912
FUNDING					2009-10*	2010-11*	2011-12*
0001	General Fund				\$3,958	\$10,525	\$4,205
0044	Motor Vehicle Account, State Transportation Fund				1,433	1,433	1,571
0649	California Infrastructure and Economic Development Bank Fund				3,663	5,777	6,114
0890	Federal Trust Fund				-	-	84,400
0918	Small Business Expansion Fund				8,979	1,000	1,000
0995	Reimbursements				2,722	3,815	3,505
3083	Welcome Center Fund				72	103	107
3095	Film Promotion and Marketing Fund				2	10	10
TOTALS, EXPENDITURES, ALL FUNDS					\$20,829	\$22,663	\$100,912

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

MAJOR PROGRAM CHANGES

- The Budget includes an increase of \$84.4 million in federal funds to expand the Small Business Loan Guarantee Program.
- The Budget assumes a reversion of \$20 million General Fund for the Small Business Loan Program due to the new federal resources being made available to expand this program.

DETAILED BUDGET ADJUSTMENTS

		2010-11*			2011-12*		
		General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments							

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$49	-\$367	-	-\$10	-\$78	-
• Retirement Rate Adjustment	18	120	-	18	120	-
• One Time Cost Reductions	-	-	-	-9	-416	-
• Legislation With An Appropriation	26,350	-	-	-	-	-
• Miscellaneous Adjustments	-	-	-	-	296	-
• Workforce Cap Adjustment	-33	-235	-	-33	-235	-
Totals, Other Workload Budget Adjustments	\$26,286	-\$482	-	-\$34	-\$313	-
Totals, Workload Budget Adjustments	\$26,286	-\$482	-	-\$34	-\$313	-
Policy Adjustments						
• Small Business Loan Guarantee Program Augmentation	\$-	\$-	-	\$-	\$84,400	1.5
• Revert Small Business Loan Package Appropriation (Chapter 731/2010)	-20,000	-	-	-	-	-
Totals, Policy Adjustments	-\$20,000	\$-	-	\$-	\$84,400	1.5
Totals, Budget Adjustments	\$6,286	-\$482	-	-\$34	\$84,087	1.5

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY

The objective of this program is two-fold. The Secretary, a member of the Governor's Cabinet, advises the Governor on major policy and program matters and oversees the operations of the Agency's departments and programs. The Administration Program also provides support services for the Agency.

25 - INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT

The Infrastructure Finance and Economic Development Program's objective is to encourage and promote economic activity and investment within the state through various infrastructure, small business finance, and economic development programs. The Agency serves as a catalyst to help all sectors of the California economy succeed, including technology, tourism, entertainment, and small business. This program also includes the California Infrastructure and Economic Development Bank, which finances public infrastructure and private development that promotes economic growth, revitalizes communities and enhances the quality of life for Californians.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY			
	State Operations:			
0044	Motor Vehicle Account, State Transportation Fund	\$1,433	\$1,433	\$1,571
0995	Reimbursements	1,846	1,884	1,963
	Totals, State Operations	\$3,279	\$3,317	\$3,534
PROGRAM REQUIREMENTS				
25	INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT PROGRAM			
	State Operations:			
0001	General Fund	\$3,958	\$10,525	\$4,205
0649	California Infrastructure and Economic Development Bank Fund	3,153	3,600	3,937
0890	Federal Trust Fund	-	-	84,400
0918	Small Business Expansion Fund	8,979	1,000	1,000
0995	Reimbursements	876	1,931	1,542

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
3083 Welcome Center Fund	72	103	107
3095 Film Promotion and Marketing Fund	2	10	10
Totals, State Operations	\$17,040	\$17,169	\$95,201
Local Assistance:			
0649 California Infrastructure and Economic Development Bank Fund	\$510	\$2,177	\$2,177
Totals, Local Assistance	\$510	\$2,177	\$2,177
ELEMENT REQUIREMENTS			
25.10 California Film Commission	\$1,310	\$1,335	\$1,338
State Operations:			
0001 General Fund	1,308	1,325	1,328
3095 Film Promotion and Marketing Fund	2	10	10
25.30 Tourism	\$1,784	\$1,776	\$1,778
State Operations:			
0001 General Fund	908	934	934
0995 Reimbursements	876	842	844
25.40 California Infrastructure and Economic Development Bank	\$3,663	\$5,965	\$6,326
State Operations:			
0649 California Infrastructure and Economic Development Bank Fund	3,153	3,600	3,937
0995 Reimbursements	-	188	212
Local Assistance:			
0649 California Infrastructure and Economic Development Bank Fund	510	2,177	2,177
25.50 Small Business Expansion	\$10,702	\$10,107	\$87,769
State Operations:			
0001 General Fund	1,723	8,206	1,883
0918 Small Business Expansion Fund	8,979	1,000	1,000
0890 Federal Trust Fund	-	-	84,400
0995 Reimbursements	-	901	486
25.70 Technology, Trade, and Commerce Agency Closure Costs	\$19	\$60	\$60
State Operations:			
0001 General Fund	19	60	60
25.80 Welcome Center Program	\$72	\$103	\$107
State Operations:			
3083 Welcome Center Fund	72	103	107
TOTALS, EXPENDITURES			
State Operations	20,319	20,486	98,735
Local Assistance	510	2,177	2,177
Totals, Expenditures	\$20,829	\$22,663	\$100,912

EXPENDITURES BY CATEGORY

	<u>1 State Operations</u>			<u>Expenditures</u>		
	<u>Positions/Personnel Years</u>			<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	62.0	66.5	66.5	\$4,010	\$5,023	\$5,075

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Total Adjustments	-	-	1.5	-	-205	95
Estimated Salary Savings	-	-7.3	-7.3	-	-442	-445
Net Totals, Salaries and Wages	62.0	59.2	60.7	\$4,010	\$4,376	\$4,725
Staff Benefits	-	-	-	1,445	1,495	1,545
Totals, Personal Services	62.0	59.2	60.7	\$5,455	\$5,871	\$6,270
OPERATING EXPENSES AND EQUIPMENT				\$4,427	\$5,542	\$5,492
SPECIAL ITEMS OF EXPENSE				\$10,437	\$9,073	\$86,973
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$20,319	\$20,486	\$98,735

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and subventions	17,196	5,500	5,500
Special Adjustments (Loan Repayments)	-16,686	-3,323	-3,323
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$510	\$2,177	\$2,177

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$2,428	-	-
Adjustment per Section 3.60	2	-	-
Reduction per Section 3.90	-83	-	-
Adjustment per Section 4.04	-13	-	-
Adjustment per Section 3.55	-4	-	-
001 Budget Act appropriation	-	\$2,456	\$2,422
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	18	-
Reduction per Section 3.90	-	-33	-
Reduction per Control Section 3.91	-	-52	-
002 Budget Act appropriation (TTCA closure costs)	60	60	60
011 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session Fourth Extraordinary Session	1,723	-	-
011 Budget Act appropriation	-	1,723	1,723
Chapter 731, Statutes of 2010, Section 2(a)	-	20,000	-
Chapter 731, Statutes of 2010, Section 2(c) and 2(d)	-	6,350	-
Totals Available	\$4,113	\$30,525	\$4,205
Unexpended balance, estimated savings	-155	-20,000	-
TOTALS, EXPENDITURES	\$3,958	\$10,525	\$4,205
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,580	-	-
Adjustment per Section 3.60	3	-	-
Reduction per Section 3.90	-132	-	-
Reduction per Section 15.30	-5	-	-

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Adjustment per Section 3.55	-1	-	-
001 Budget Act appropriation	-	\$1,526	\$1,571
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	25	-
Reduction per Section 3.90	-	-45	-
Reduction per Control Section 3.91	-	-78	-
Totals Available	\$1,445	\$1,433	\$1,571
Unexpended balance, estimated savings	-12	-	-
TOTALS, EXPENDITURES	\$1,433	\$1,433	\$1,571
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,932	\$3,819	\$3,937
Allocation for employee compensation	-	10	-
Adjustment per Section 3.60	5	52	-
Reduction per Section 3.90	-309	-110	-
Reduction per Section 15.30	-1	-	-
Reduction per Control Section 3.91	-	-171	-
Adjustment per Section 3.55	-5	-	-
Totals Available	\$3,622	\$3,600	\$3,937
Unexpended balance, estimated savings	-469	-	-
TOTALS, EXPENDITURES	\$3,153	\$3,600	\$3,937
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$84,400
TOTALS, EXPENDITURES	\$-	\$-	\$84,400
0918 Small Business Expansion Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$285	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-20	-	-
Corporations Code Section 14030 (Default Payments)	9,354	\$1,723	\$1,723
Corporations Code Section 14075	1,083	1,000	1,000
Chapter 731, Statutes of 2010, Section 2(a)	-	20,000	-
Totals Available	\$10,703	\$22,723	\$2,723
Unexpended balance, estimated savings	-1	-20,000	-
TOTALS, EXPENDITURES	\$10,702	\$2,723	\$2,723
Less funding provided by the General Fund	-1,723	-1,723	-1,723
NET TOTALS, EXPENDITURES	\$8,979	\$1,000	\$1,000
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,722	\$3,815	\$3,505
3083 Welcome Center Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$77	\$103	\$107
Totals Available	\$77	\$103	\$107
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$72	\$103	\$107
3095 Film Promotion and Marketing Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation	<u>\$10</u>	<u>\$10</u>	<u>\$10</u>
Totals Available	\$10	\$10	\$10
Unexpended balance, estimated savings	<u>-8</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2	\$10	\$10
8052 Economic Development Fund, California			
APPROPRIATIONS			
Chapter 731, Statutes of 2010, Section 2(c) and (d)	<u>-</u>	<u>\$6,350</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$6,350	\$-
Less funding provided by the General Fund	<u>-</u>	<u>-6,350</u>	<u>-</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$20,319	\$20,486	\$98,735
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>0</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
Government Code Section 63050	<u>\$17,196</u>	<u>\$5,500</u>	<u>\$5,500</u>
TOTALS, EXPENDITURES	\$17,196	\$5,500	\$5,500
Loan Repayment per Government Code Section 63050	<u>-16,686</u>	<u>-3,323</u>	<u>-3,323</u>
NET TOTALS, EXPENDITURES	\$510	\$2,177	\$2,177
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>-</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$510	\$2,177	\$2,177
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$20,829	\$22,663	\$100,912

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
3083 Welcome Center Fund ^s			
BEGINNING BALANCE	\$49	\$53	\$35
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	<u>76</u>	<u>85</u>	<u>85</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$76</u>	<u>\$85</u>	<u>\$85</u>
Total Resources	\$125	\$138	\$120
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0520 Secretary for Business, Transportation and Housing (State Operations)	<u>72</u>	<u>103</u>	<u>107</u>
Total Expenditures and Expenditure Adjustments	<u>\$72</u>	<u>\$103</u>	<u>\$107</u>
FUND BALANCE	\$53	\$35	\$13
Reserve for economic uncertainties	53	35	13
3095 Film Promotion and Marketing Fund ^s			
BEGINNING BALANCE	\$5	\$8	\$8
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	5	10	10

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

	2009-10*	2010-11*	2011-12*
Total Revenues, Transfers, and Other Adjustments	\$5	\$10	\$10
Total Resources	\$10	\$18	\$18
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0520 Secretary for Business, Transportation and Housing (State Operations)	2	10	10
Total Expenditures and Expenditure Adjustments	\$2	\$10	\$10
FUND BALANCE			
Reserve for economic uncertainties	8	8	8

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	62.0	66.5	66.5	\$4,010	\$5,023	\$5,075
Furlough Adjustments	-	-	-	-	-61	-
PLP Adjustments	-	-	-	-	-144	-
Proposed New Positions:				Salary Range		
Staff Services Manager II	-	-	0.5	6,173-6,808	-	37
Assoc Govtl Prog Analyst	-	-	1.0	4,400-5,348	-	58
Totals Proposed New Positions	-	-	1.5	\$-	\$-	\$95
Total Adjustments	-	-	1.5	\$-	-\$205	\$95
TOTALS, SALARIES AND WAGES	62.0	66.5	68.0	\$4,010	\$4,818	\$5,170

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHS) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHS departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHS accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHS is committed to striking a balance between the twin imperatives of maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while constantly pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHS:

- Department of Aging
- Department of Alcohol and Drug Programs
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Mental Health
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Office of Statewide Health Planning and Development
- Managed Risk Medical Insurance Board

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Secretary for Health and Human Services	24.0	25.1	25.2	\$5,356	\$5,146	\$5,308
21 The California Office of Health Information Integrity (CALOHII)	14.8	19.0	19.8	3,296	21,346	21,805

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
30 Office of Systems Integration	193.7	196.4	194.4	181,025	212,117	182,957
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	232.5	240.5	239.4	\$189,677	\$238,609	\$210,070
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$3,134	\$3,748	\$3,644
0890 Federal Trust Fund				2,548	1,417	1,604
0995 Reimbursements				2,338	27,373	3,293
3151 Internal Health Information Integrity Quality Improvement Account				-	25	40
3163 California Health Information Technology and Exchange Fund				-	17,229	17,697
9732 Office of Systems Integration Fund				181,025	188,037	182,957
9740 Central Service Cost Recovery Fund				632	780	835
TOTALS, EXPENDITURES, ALL FUNDS				\$189,677	\$238,609	\$210,070

LEGAL CITATIONS AND AUTHORITY

10-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

21-The California Office of Health Information Integrity (CALOHII):

Health and Safety Code Division 110, Section 130300 et seq.

30-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Increased Implementation & Development Costs for CWS/CMS Web	\$-	\$-	-	\$-	\$2,091	3.8
• Extend Health Information Exchange Staffing	-	-	-	-	369	2.8
• CMIPS II Adjustments Required for AB 1612	-	24,080	1.5	-	-	-
• Augment Child Welfare Services/Case Management Services Maintenance	-	-	-	-	-1,305	-
Totals, Workload Budget Change Proposals	\$-	\$24,080	1.5	\$-	\$1,155	6.6
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$1	-\$1,628	-	\$20	-\$244	-
• Retirement Rate Adjustment	50	453	-	50	453	-
• Limited Term Positions/Expiring Programs	-	-	-	-	-7,860	-
• Miscellaneous Adjustments	68	415	-	-55	678	-
• Workforce Cap Adjustment	-91	-926	-	-91	-926	-
Totals, Other Workload Budget Adjustments	\$28	-\$1,686	-	-\$76	-\$7,899	-
Totals, Workload Budget Adjustments	\$28	\$22,394	1.5	-\$76	-\$6,744	6.6
Policy Adjustments						
• Federal Grant for Aging and Disability Services	\$-	\$-	-	\$-	\$604	0.9
• Health Information Exchange Support Staff	-	-	-	-	99	0.9
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$703	1.8
Totals, Budget Adjustments	\$28	\$22,394	1.5	-\$76	-\$6,041	8.4

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

PROGRAM DESCRIPTIONS

10 - SECRETARY FOR HEALTH AND HUMAN SERVICES

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency wide efforts to promote the health and well being of a growing and increasingly diverse California population.

21 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)

The California Office of Health Information Integrity (CalOHII) is responsible for the coordination and oversight of state department's implementation of the federal Health Insurance Portability and Accountability Act (HIPAA), development of privacy and security standards for electronic health information exchange, and enforcement of California's health and medical privacy laws in conjunction with the Department of Public Health.

30 - OFFICE OF SYSTEMS INTEGRATION (OSI)

This Office provides project management services for automation projects for the Department of Social Services, and for the Employment Development Department, including:

- Child Welfare Services/Case Management System
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Electronic Benefit Transfer System
- Case Management, Information and Payrolling System
- Unemployment Insurance Modernization Project

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	232.5	251.0	242.4	\$15,364	\$19,212	\$18,525
Total Adjustments	-	1.5	9.0	-	-1,638	384
Estimated Salary Savings	-	-12.0	-12.0	-	-953	-960
Net Totals, Salaries and Wages	232.5	240.5	239.4	\$15,364	\$16,621	\$17,949
Staff Benefits	-	-	-	5,688	5,384	5,811
Totals, Personal Services	232.5	240.5	239.4	\$21,052	\$22,005	\$23,760
OPERATING EXPENSES AND EQUIPMENT				\$168,625	\$216,604	\$186,310
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$189,677	\$238,609	\$210,070
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,251	\$1,162	\$1,117
Allocation for employee compensation	-	8	-
Adjustment per Section 3.60	3	25	-
Reduction per Section 3.90	-123	-28	-
Adjustment per Section 4.04	-11	-	-
Adjustment per Section 4.30	10	34	-
Reduction per Control Section 3.91	-	-4	-
Adjustment per Section 3.55	-2	-	-
017 Budget Act appropriation	2,611	2,558	2,527

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	2	25	-
Reduction per Section 3.90	-101	-63	-
Adjustment per Section 4.04	-55	-	-
Adjustment per Section 4.30	10	34	-
Reduction per Control Section 3.91	-	-8	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$3,594	\$3,748	\$3,644
Unexpended balance, estimated savings	-460	-	-
TOTALS, EXPENDITURES	\$3,134	\$3,748	\$3,644
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,000	\$1,002	\$1,604
Budget Adjustment	1,548	415	-
TOTALS, EXPENDITURES	\$2,548	\$1,417	\$1,604
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,338	\$27,373	\$3,293
3151 Internal Health Information Integrity Quality Improvement Account			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$25	\$40
TOTALS, EXPENDITURES	\$-	\$25	\$40
3163 California Health Information Technology and Exchange Fund			
APPROPRIATIONS			
017 Budget Act appropriation	-	\$17,229	\$17,697
TOTALS, EXPENDITURES	\$-	\$17,229	\$17,697
9732 Office of Systems Integration Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$246,640	-	-
Adjustment per Section 3.60	44	-	-
Reduction per Section 3.90	-2,717	-	-
Reduction per Section 15.30	-47	-	-
Adjustment per Section 3.55	-15	-	-
001 Budget Act appropriation	-	\$190,121	\$182,957
Allocation for employee compensation	-	92	-
Adjustment per Section 3.60	-	401	-
Reduction per Section 3.90	-	-857	-
Reduction per Control Section 3.91	-	-1,720	-
Totals Available	\$243,905	\$188,037	\$182,957
Unexpended balance, estimated savings	-62,880	-	-
TOTALS, EXPENDITURES	\$181,025	\$188,037	\$182,957
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$699	\$780	\$835
Reduction per Section 3.90	-67	-	-
TOTALS, EXPENDITURES	\$632	\$780	\$835
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$189,677	\$238,609	\$210,070

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
3151 Internal Health Information Integrity Quality Improvement Account ^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164300 Penalty Assessments	-	\$25	\$40
Total Revenues, Transfers, and Other Adjustments	-	\$25	\$40
Total Resources	-	\$25	\$40
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	-	25	40
Total Expenditures and Expenditure Adjustments	-	\$25	\$40
FUND BALANCE	-	-	-
3163 California Health Information Technology and Exchange Fund ^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	-	\$17,229	\$17,704
Total Revenues, Transfers, and Other Adjustments	-	\$17,229	\$17,704
Total Resources	-	\$17,229	\$17,704
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	-	17,229	17,697
0840 State Controller (State Operations)	-	-	7
Total Expenditures and Expenditure Adjustments	-	\$17,229	\$17,704
FUND BALANCE	-	-	-
9732 Office of Systems Integration Fund ⁿ			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
Other (Income from Operations)	\$181,025	\$188,037	\$182,957
Total Revenues, Transfers, and Other Adjustments	\$181,025	\$188,037	\$182,957
Total Resources	\$181,025	\$188,037	\$182,957
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	181,025	188,037	182,957
Total Expenditures and Expenditure Adjustments	\$181,025	\$188,037	\$182,957
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	232.5	251.0	242.4	\$15,364	\$19,212	\$18,525
Furlough Adjustment	-	-	-	-	-712	-
PLP Adjustment	-	-	-	-	-287	-
Workload and Administrative Adjustments:				Salary Range		
Workforce Cap Adjustment	-	-	-	-	-754	-268
Totals, Workload & Admin Adjustments	-	-	-	\$-	-\$754	-\$268
Proposed New Positions:						

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Secretary for Health and Human Services						
Staff Services Manager II	-	-	1.0	6,173 - 6,808	-	74
CALOHII						
Staff Counsel III-Spec	-	-	1.0	7,682 - 9,478	-	102
Staff Services Manager II	-	-	1.0	6,173 - 6,808	-	74
Staff Services Manager I	-	-	1.0	5,079 - 6,127	-	67
Associate Governmental Program Analyst	-	-	1.0	4,400 - 5,348	-	58
Office of Systems Integration:						
Systems Software Specialist III	-	0.3	-	6,110 - 7,796	25	-
Senior Information Systems Analyst	-	0.9	-	5,571 - 7,109	69	-
Staff Information Systems Analyst	-	0.3	4.0	5,065 - 6,466	21	277
Totals, Proposed New Positions	-	1.5	9.0	\$-	\$115	\$652
Total Adjustments	-	1.5	9.0	\$-	-\$1,638	\$384
TOTALS, SALARIES AND WAGES	232.5	252.5	251.4	\$15,364	\$17,574	\$18,909

0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The Secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 27 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, California Environmental Quality Act (CEQA), River Parkways, and the Sierra Nevada Cascade grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Game, Boating and Waterways, Parks and Recreation, and Water Resources; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the State Reclamation Board; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Conservation Corps; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; Sacramento-San Joaquin Delta Conservancy; Delta Stewardship Council; and the Special Resources Program.

Chapter 5, Statutes of 2009, Seventh Extraordinary Session establishes the Delta Stewardship Council and the Sacramento-San Joaquin Delta Conservancy in the Natural Resources Agency and shifts CALFED resources to these new entities. The Delta Stewardship Council is the successor to the CALFED Bay-Delta Program.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Administration of Natural Resources Agency	33.4	41.6	39.7	\$59,828	\$114,889	\$62,088
20 CALFED Bay-Delta Program	14.4	-	-	1,399	-	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	47.8	41.6	39.7	\$61,227	\$114,889	\$62,088
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$1,745	\$-	\$-
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund				123	266	156
0140 California Environmental License Plate Fund				2,312	3,282	3,282
0183 Environmental Enhancement and Mitigation Program Fund				137	123	128
0890 Federal Trust Fund				3,442	5,552	5,552
0995 Reimbursements				654	562	572
6015 River Protection Subaccount				500	599	-

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

FUNDING		2009-10*	2010-11*	2011-12*
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	5,800	2,440	1,369
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	11,584	3,862	2,504
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	34,731	97,932	48,329
6052	Disaster Preparedness and Flood Prevention Bond Fund of 2006	199	271	196
TOTALS, EXPENDITURES, ALL FUNDS		\$61,227	\$114,889	\$62,088

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Proposition 84: San Joaquin River Restoration	\$-	\$-	-	\$-	\$25,052	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$25,052	-
Other Workload Budget Adjustments						
• Employee Compensation Adjustment	\$-	-\$199	-	\$-	-\$48	-
• Retirement Rate Adjustment	-	94	-	-	94	-
• Limited Term Positions/Expiring Programs	-	-	-	-	-1,008	-
• One Time Cost Reductions	-	-	-	-	-18,831	-
• Carryover/Reappropriation	-	58,893	-	-	-	-
• Miscellaneous Adjustments	-	34	-	-	762	-
• Workforce Cap Adjustment	-	-167	-1.6	-	-167	-1.6
Totals, Other Workload Budget Adjustments	\$-	\$58,655	-1.6	\$-	-\$19,198	-1.6
Totals, Workload Budget Adjustments	\$-	\$58,655	-1.6	\$-	\$5,854	-1.6
Totals, Budget Adjustments	\$-	\$58,655	-1.6	\$-	\$5,854	-1.6

PROGRAM DESCRIPTIONS

10 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 27 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, California Environmental Quality Act (CEQA), River Parkways, and the Sierra Nevada Cascade grant programs.

20 - CALFED Bay-Delta Program

Chapter 5, Statutes of 2009, Seventh Extraordinary Session establishes the Delta Stewardship Council (Organization Code 3885) and the Sacramento-San Joaquin Delta Conservancy (Organization Code 3875) and shifts CALFED resources to these new entities.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	ADMINISTRATION OF NATURAL RESOURCES AGENCY			
	State Operations:			

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

		2009-10*	2010-11*	2011-12*
0001	General Fund	\$346	\$-	\$-
0005	Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	123	266	156
0140	California Environmental License Plate Fund	2,312	3,282	3,282
0183	Environmental Enhancement and Mitigation Program Fund	137	123	128
0890	Federal Trust Fund	3,442	5,552	5,552
0995	Reimbursements	654	562	572
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	5,800	2,440	1,369
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	1,285	2,676	2,504
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	32,703	20,152	27,329
6052	Disaster Preparedness and Flood Prevention Bond Act of 2006	199	271	196
Totals, State Operations		\$47,001	\$35,324	\$41,088
Local Assistance:				
6015	River Protection Subaccount	500	599	-
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	10,299	1,186	-
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	2,028	77,780	21,000
Totals, Local Assistance		\$12,827	\$79,565	\$21,000
PROGRAM REQUIREMENTS				
20 CALFED BAY-DELTA PROGRAM				
State Operations:				
0001	General Fund	\$1,399	\$-	\$-
Totals, State Operations		\$1,399	\$-	\$-
TOTALS, EXPENDITURES				
State Operations		48,400	35,324	41,088
Local Assistance		12,827	79,565	21,000
Totals, Expenditures		\$61,227	\$114,889	\$62,088

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	47.8	45.5	43.5	\$3,751	\$3,372	\$3,339
Total Adjustments	-	-	-	-	-156	-
Estimated Salary Savings	-	-3.9	-3.8	-	-277	-283
Net Totals, Salaries and Wages	47.8	41.6	39.7	\$3,751	\$2,939	\$3,056
Staff Benefits	-	-	-	1,230	1,050	1,045
Totals, Personal Services	47.8	41.6	39.7	\$4,981	\$3,989	\$4,101
OPERATING EXPENSES AND EQUIPMENT				\$43,419	\$31,335	\$36,987
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$48,400	\$35,324	\$41,088

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued**2 Local Assistance**

	<u>Expenditures</u>		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$12,827	\$79,565	\$21,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$12,827	\$79,565	\$21,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,736	-	-
Reduction per Section 3.90	-247	-	-
Adjustment per Section 4.04	-108	-	-
Reduction per Section 15.30	-1	-	-
Adjustment per Section 3.55	-5	-	-
Transfer per Public Resources Code Section 85034(e)	-3,594	-	-
Totals Available	\$1,781	\$-	\$-
Unexpended balance, estimated savings	-36	-	-
TOTALS, EXPENDITURES	\$1,745	\$-	\$-
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$274	\$274	\$156
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	4	-
Reduction per Section 3.90	-12	-6	-
Reduction per Control Section 3.91	-	-7	-
Totals Available	\$262	\$266	\$156
Unexpended balance, estimated savings	-139	-	-
TOTALS, EXPENDITURES	\$123	\$266	\$156
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$3,376	-	-
Adjustment per Section 3.60	4	-	-
Reduction per Section 3.90	-297	-	-
Adjustment per Section 4.30	10	-	-
Reduction per Section 15.30	-9	-	-
Adjustment per Section 3.55	-8	-	-
001 Budget Act appropriation	-	\$3,389	\$3,282
Allocation for employee compensation	-	14	-
Adjustment per Section 3.60	-	51	-
Reduction per Section 3.90	-	-86	-
Adjustment per Section 4.30	-	34	-
Reduction per Control Section 3.91	-	-120	-
Prior year balances available:			
Item 0540-001-0140, Budget Act of 2008, as reappropriated by Item 0540-490, Budget Act of 2009	0	-	-
Totals Available	\$3,076	\$3,282	\$3,282
Unexpended balance, estimated savings	-764	-	-
TOTALS, EXPENDITURES	\$2,312	\$3,282	\$3,282
0183 Environmental Enhancement and Mitigation Program Fund			

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
001 Budget Act appropriation	\$141	\$132	\$128
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	3	-
Reduction per Section 3.90	-	-6	-
Reduction per Control Section 3.91	-	-7	-
Totals Available	\$141	\$123	\$128
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$137	\$123	\$128
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8,471	\$5,552	\$5,552
Reduction per Section 3.90	-24	-	-
Budget Adjustment	-5,005	-	-
Prior year balances available:			
Item 0540-001-0890, Budget Act of 2008, as reappropriated by Item 0540-493, Budget Act of 2009	9,861	-	-
Budget Adjustment	-9,861	-	-
TOTALS, EXPENDITURES	\$3,442	\$5,552	\$5,552
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$654	\$562	\$572
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,072	\$2,072	\$1,369
Allocation for employee compensation	-	1	-
Reduction per Section 3.90	-12	-5	-
Reduction per Section 15.30	-1	-	-
Reduction per Control Section 3.91	-	-6	-
Prior year balances available:			
Item 0540-001-6029, Budget Act of 2003, as reappropriated by Item 0540-490, Budget Acts of 2004 and 2009	6,446	378	-
Totals Available	\$8,505	\$2,440	\$1,369
Unexpended balance, estimated savings	-2,327	-	-
Balance available in subsequent years	-378	-	-
TOTALS, EXPENDITURES	\$5,800	\$2,440	\$1,369
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$6,875	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-212	-	-
Reduction per Section 15.30	-4	-	-
Transfer per Public Resources Code Section 85034(e)	-4,020	-	-
001 Budget Act appropriation	-	\$2,728	\$2,504
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	4	-
Reduction per Section 3.90	-	-25	-
Reduction per Control Section 3.91	-	-34	-

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Prior year balances available:			
Item 0540-001-6031, Budget Act of 2008	7,786	-	-
Transfer per Public Resources Code Section 85034(e)	<u>-6,479</u>	<u>-</u>	<u>-</u>
Totals Available	\$3,947	\$2,676	\$2,504
Unexpended balance, estimated savings	<u>-2,662</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,285	\$2,676	\$2,504
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$26,046	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-65	-	-
Reduction per Section 15.30	-1	-	-
Revised expenditure authority per Provision 1.5	9,202	-	-
001 Budget Act appropriation	-	\$20,187	\$27,329
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	21	-
Reduction per Section 3.90	-	-25	-
Reduction per Control Section 3.91	<u>-</u>	<u>-34</u>	<u>-</u>
Totals Available	\$35,183	\$20,152	\$27,329
Unexpended balance, estimated savings	<u>-2,480</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$32,703	\$20,152	\$27,329
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$267	\$267	\$196
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	4	-
Reduction per Section 3.90	-12	-1	-
Reduction per Control Section 3.91	<u>-</u>	<u>-1</u>	<u>-</u>
Totals Available	\$255	\$271	\$196
Unexpended balance, estimated savings	<u>-56</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$199	\$271	\$196
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$48,400	\$35,324	\$41,088
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
6015 River Protection Subaccount			
APPROPRIATIONS			
Prior year balances available:			
Item 0540-101-6015, Budget Act of 2008	<u>\$1,099</u>	<u>\$599</u>	<u>-</u>
Totals Available	\$1,099	\$599	\$-
Balance available in subsequent years	<u>-599</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$500	\$599	\$-
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
Prior year balances available:			
Item 0540-101-6031, Budget Act of 2007	\$6,480	-	-
Chapter 230, Statutes of 2004, as reappropriated by Item 0540-490, Budget Act of 2008	<u>5,005</u>	<u>\$1,186</u>	<u>-</u>
Totals Available	\$11,485	\$1,186	\$-

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
Balance available in subsequent years	-1,186	-	-
TOTALS, EXPENDITURES	\$10,299	\$1,186	\$-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$40,365	-	-
Revised expenditure authority per Provision 1.5	-9,202	-	-
101 Budget Act appropriation	-	\$21,050	\$21,000
Prior year balances available:			
Item 0540-001-6051, Budget Act of 2008	28,365	28,365	-
Item 0540-101-6051, Budget Act of 2009	-	28,365	-
Totals Available	\$59,528	\$77,780	\$21,000
Unexpended balance, estimated savings	-770	-	-
Balance available in subsequent years	-56,730	-	-
TOTALS, EXPENDITURES	\$2,028	\$77,780	\$21,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$12,827	\$79,565	\$21,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$61,227	\$114,889	\$62,088

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	47.8	45.5	43.5	\$3,751	\$3,372	\$3,339
Furlough Adjustments	-	-	-	-	-96	-
PLP Adjustments	-	-	-	-	-60	-
Total Adjustments	-	-	-	\$-	-\$156	\$-
TOTALS, SALARIES AND WAGES	47.8	45.5	43.5	\$3,751	\$3,216	\$3,339

0552 Office of the Inspector General

The Office of the Inspector General oversees the state's correctional system through audits, special reviews, inspections, investigations, and contemporaneous monitoring of the Department of Corrections and Rehabilitation. Although the duties required of the Inspector General's Office are complex, its mission is clear: to protect public safety by safeguarding the integrity of California's correctional system.

The Office of the Inspector General's Bureau of Audits and Bureau of Criminal Investigations promote accountability through objective, independent audits, special reviews, inspections including medical inspections, and investigations of California's correctional system. As a result of the audits, reviews, inspections, and investigations, the Inspector General provides impartial analysis and policy recommendations to the Governor, the Legislature, correctional administrators, and the public. The Office of the Inspector General is mandated to perform baseline audits of correctional institutions and wardens and to evaluate the qualifications of warden and superintendent candidates whose names have been submitted by the Governor.

Established within the Office of the Inspector General is the Bureau of Independent Review, which is primarily responsible for monitoring all significant internal affairs investigations and disciplinary actions conducted by the Department of Corrections and Rehabilitation to ensure they are performed in a timely and professionally sound manner through the oversight of those investigations and the employee discipline process. With regional offices throughout the state, the Bureau also provides contemporaneous oversight monitoring of all deadly force incidents, certain custodial death incidents, and other significant critical incidents. As required by statute, the Bureau's monitoring activities are reported semi-annually to the public on the OIG website as internal affairs investigations are conducted.

In addition, the Public Safety and Offender Rehabilitation Services Act of 2007, Chapter 7, Statutes of 2007, created the California Rehabilitation Oversight Board (Board) within the Office of the Inspector General. The Board's mandate is to examine the Department of Corrections and Rehabilitation's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets quarterly to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

* Dollars in thousands, except in Salary Range.

0552 Office of the Inspector General - Continued

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Office of the Inspector General	119.7	138.9	138.9	\$16,691	\$24,426	\$25,169
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	119.7	138.9	138.9	\$16,691	\$24,426	\$25,169
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$16,691	\$24,426	\$25,169
TOTALS, EXPENDITURES, ALL FUNDS				\$16,691	\$24,426	\$25,169

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6133 and Section 6140.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$1,011	\$-	-	-\$268	\$-	-
• Retirement Rate Adjustment	323	-	-	323	-	-
• Workforce Cap Adjustment	-1,031	-	-7.6	-1,031	-	-7.6
Totals, Other Workload Budget Adjustments	-\$1,719	\$-	-7.6	-\$976	\$-	-7.6
Totals, Workload Budget Adjustments	-\$1,719	\$-	-7.6	-\$976	\$-	-7.6
Totals, Budget Adjustments	-\$1,719	\$-	-7.6	-\$976	\$-	-7.6

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	OFFICE OF THE INSPECTOR GENERAL			
State Operations:				
0001	General Fund	\$16,691	\$24,426	\$25,169
	Totals, State Operations	\$16,691	\$24,426	\$25,169
TOTALS, EXPENDITURES				
	State Operations	16,691	24,426	25,169
	Totals, Expenditures	\$16,691	\$24,426	\$25,169

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures			
	Positions/Personnel Years	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	119.7	151.0	151.0	\$9,891	\$13,796	\$14,099	
Total Adjustments	-	-	-	-	-784	-	
Estimated Salary Savings	-	-12.1	-12.1	-	-1,170	-1,179	
Net Totals, Salaries and Wages	119.7	138.9	138.9	\$9,891	\$11,842	\$12,920	
Staff Benefits	-	-	-	3,507	4,594	4,611	
Totals, Personal Services	119.7	138.9	138.9	\$13,398	\$16,436	\$17,531	

* Dollars in thousands, except in Salary Range.

0552 Office of the Inspector General - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
OPERATING EXPENSES AND EQUIPMENT				\$3,293	\$7,990	\$7,638
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$16,691	\$24,426	\$25,169

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$26,631	\$26,145	\$25,169
Allocation for employee compensation	-	59	-
Adjustment per Section 3.60	-20	323	-
Reduction per Section 3.90	-2,229	-1,031	-
Adjustment per Section 4.04	-164	-	-
Reduction per Section 15.30	-6	-	-
Reduction per Control Section 3.91	-	-1,070	-
Adjustment per Section 3.55	-15	-	-
Totals Available	\$24,197	\$24,426	\$25,169
Unexpended balance, estimated savings	-7,506	-	-
TOTALS, EXPENDITURES	\$16,691	\$24,426	\$25,169
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$16,691	\$24,426	\$25,169

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	119.7	151.0	151.0	\$9,891	\$13,796	\$14,099
Furlough Adjustments	-	-	-	-	-416	-
PLP Adjustments	-	-	-	-	-368	-
Total Adjustments	-	-	-	\$-	-\$784	\$-
TOTALS, SALARIES AND WAGES	119.7	151.0	151.0	\$9,891	\$13,012	\$14,099

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet level agency for the protection of human health and the environment. The agency programs restore, protect and enhance environmental quality, and ensure public health. The Office of the Secretary coordinates the state environmental regulatory programs and promotes the state economic vitality. The Secretary also administers the children's environmental health, environmental justice, environmental law enforcement, environmental education, emergency preparedness and response, Certified Unified Program Agencies (CUPAs), and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also oversees development of a comprehensive green chemistry program to reduce or eliminate hazardous chemicals in our products and the environment. Further, the agency participates in communication of California-Mexico border environmental efforts by centralizing border coordination.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
30 Support	72.6	79.5	79.5	\$12,952	\$19,856	\$20,326
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	72.6	79.5	79.5	\$12,952	\$19,856	\$20,326
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$1,685	\$1,806	\$1,860

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0014 Hazardous Waste Control Account	285	312	316
0028 Unified Program Account	1,974	4,107	4,371
0044 Motor Vehicle Account, State Transportation Fund	1,813	1,838	1,869
0100 California Used Oil Recycling Fund	26	-	-
0106 Department of Pesticide Regulation Fund	777	889	902
0115 Air Pollution Control Fund	1,399	1,665	1,660
0193 Waste Discharge Permit Fund	293	632	647
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund	51	53	53
0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account	131	-	-
0387 Integrated Waste Management Account, Integrated Waste Management Fund	704	858	876
0439 Underground Storage Tank Cleanup Fund	779	833	839
0679 State Water Quality Control Fund	170	706	725
0890 Federal Trust Fund	-	1,500	1,500
0995 Reimbursements	1,977	1,911	1,961
3058 Water Rights Fund	36	37	38
8013 Environmental Enforcement and Training Account	305	2,132	2,132
8020 Environmental Education Account	547	577	577
TOTALS, EXPENDITURES, ALL FUNDS	\$12,952	\$19,856	\$20,326

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Governor's Reorganization Plan No. 1 of 1991, Government Code Section 12812.6, Health and Safety Code Section 42821, Health and Safety Code, Division 37, Section 57000 et seq., and Public Resources Code Sections 71000 and 71110.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$61	-\$437	-	-\$7	-\$62	-
• Retirement Rate Adjustment	15	138	-	15	138	-
• Miscellaneous Adjustments	-	-	-	-	42	-
• Workforce Cap Adjustment	-32	-309	-1.9	-32	-309	-1.9
Totals, Other Workload Budget Adjustments	-\$78	-\$608	-1.9	-\$24	-\$191	-1.9
Totals, Workload Budget Adjustments	-\$78	-\$608	-1.9	-\$24	-\$191	-1.9
Totals, Budget Adjustments	-\$78	-\$608	-1.9	-\$24	-\$191	-1.9

PROGRAM DESCRIPTIONS

30 - SUPPORT PROGRAM

The Secretary for Environmental Protection's primary program objectives are restoring, protecting and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state environmental regulatory programs with particular emphasis on ensuring "cross-media" coordination, enhancing risk assessment (especially for children and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
30	SUPPORT			
State Operations:				
0001	General Fund	\$1,685	\$1,806	\$1,860
0014	Hazardous Waste Control Account	285	312	316
0028	Unified Program Account	1,974	4,107	4,371
0044	Motor Vehicle Account, State Transportation Fund	1,813	1,838	1,869
0100	California Used Oil Recycling Fund	26	-	-
0106	Department of Pesticide Regulation Fund	777	889	902
0115	Air Pollution Control Fund	1,399	1,665	1,660
0193	Waste Discharge Permit Fund	293	632	647
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	51	53	53
0281	Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account	131	-	-
0387	Integrated Waste Management Account, Integrated Waste Management Fund	704	858	876
0439	Underground Storage Tank Cleanup Fund	779	833	839
0679	State Water Quality Control Fund	170	706	725
0890	Federal Trust Fund	-	1,500	1,500
0995	Reimbursements	1,977	1,911	1,961
3058	Water Rights Fund	36	37	38
8013	Environmental Enforcement and Training Account	305	2,132	2,132
8020	Environmental Education Account	547	577	577
Totals, State Operations		\$12,952	\$19,856	\$20,326
TOTALS, EXPENDITURES				
State Operations		<u>12,952</u>	<u>19,856</u>	<u>20,326</u>
Totals, Expenditures		\$12,952	\$19,856	\$20,326

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>Positions/Personnel Years</u>			<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	72.6	88.0	88.0	\$4,694	\$6,235	\$6,305
Total Adjustments	-	-	-	-	-345	-
Estimated Salary Savings	-	-8.5	-8.5	-	-521	-542
Net Totals, Salaries and Wages	72.6	79.5	79.5	\$4,694	\$5,369	\$5,763
Staff Benefits	-	-	-	1,613	1,955	2,062
Totals, Personal Services	72.6	79.5	79.5	\$6,307	\$7,324	\$7,825
OPERATING EXPENSES AND EQUIPMENT				<u>\$6,645</u>	<u>\$12,532</u>	<u>\$12,501</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$12,952	\$19,856	\$20,326

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,070	\$1,049	\$1,025
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	1	15	-
Reduction per Section 3.90	-50	-32	-
Adjustment per Section 4.04	-22	-	-
Reduction per Control Section 3.91	-	-64	-
Adjustment per Section 3.55	-1	-	-
011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	862	835	835
Adjustment per Section 4.04	-27	-	-
Totals Available	\$1,833	\$1,806	\$1,860
Unexpended balance, estimated savings	-148	-	-
TOTALS, EXPENDITURES	\$1,685	\$1,806	\$1,860
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$327	\$332	\$316
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	4	-
Reduction per Section 3.90	-26	-10	-
Reduction per Control Section 3.91	-	-15	-
Totals Available	\$301	\$312	\$316
Unexpended balance, estimated savings	-16	-	-
TOTALS, EXPENDITURES	\$285	\$312	\$316
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$4,219	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-167	-	-
Adjustment per Section 3.55	-1	-	-
001 Budget Act appropriation	-	\$4,220	\$4,371
Allocation for employee compensation	-	6	-
Adjustment per Section 3.60	-	22	-
Reduction per Section 3.90	-	-54	-
Reduction per Control Section 3.91	-	-87	-
Totals Available	\$4,052	\$4,107	\$4,371
Unexpended balance, estimated savings	-2,078	-	-
TOTALS, EXPENDITURES	\$1,974	\$4,107	\$4,371
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,965	-	-
Adjustment per Section 3.60	3	-	-
Reduction per Section 3.90	-154	-	-
Adjustment per Section 3.55	-1	-	-
001 Budget Act appropriation	-	\$1,948	\$1,869
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	25	-

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Reduction per Section 3.90	-	-56	-
Reduction per Control Section 3.91	-	-84	-
TOTALS, EXPENDITURES	\$1,813	\$1,838	\$1,869
0100 California Used Oil Recycling Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30	-	-
Reduction per Section 3.90	-2	-	-
Totals Available	\$28	\$-	\$-
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$26	\$-	\$-
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$842	\$940	\$902
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	2	12	-
Reduction per Section 3.90	-65	-26	-
Reduction per Control Section 3.91	-	-39	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$778	\$889	\$902
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$777	\$889	\$902
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,462	\$1,722	\$1,660
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	14	-
Reduction per Section 3.90	-63	-29	-
Reduction per Control Section 3.91	-	-44	-
Adjustment per Section 3.55	-1	-	-
TOTALS, EXPENDITURES	\$1,399	\$1,665	\$1,660
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$318	\$662	\$647
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	8	-
Reduction per Section 3.90	-24	-16	-
Reduction per Control Section 3.91	-	-24	-
Totals Available	\$294	\$632	\$647
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$293	\$632	\$647
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$56	-	-
Reduction per Section 3.90	-4	-	-
001 Budget Act appropriation	-	\$56	\$53
Adjustment per Section 3.60	-	1	-
Reduction per Section 3.90	-	-2	-
Reduction per Control Section 3.91	-	-2	-

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Totals Available	\$52	\$53	\$53
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$51	\$53	\$53
0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$150	-	-
Reduction per Section 3.90	-12	-	-
Totals Available	\$138	\$-	\$-
Unexpended balance, estimated savings	-7	-	-
TOTALS, EXPENDITURES	\$131	\$-	\$-
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$768	\$899	\$876
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	2	11	-
Reduction per Section 3.90	-64	-22	-
Reduction per Control Section 3.91	-	-33	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$705	\$858	\$876
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$704	\$858	\$876
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$875	\$884	\$839
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	2	12	-
Reduction per Section 3.90	-70	-26	-
Reduction per Control Section 3.91	-	-39	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$806	\$833	\$839
Unexpended balance, estimated savings	-27	-	-
TOTALS, EXPENDITURES	\$779	\$833	\$839
0679 State Water Quality Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$188	\$739	\$725
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	8	-
Reduction per Section 3.90	-15	-17	-
Reduction per Control Section 3.91	-	-26	-
Totals Available	\$173	\$706	\$725
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$170	\$706	\$725
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,500	\$1,500
TOTALS, EXPENDITURES	\$-	\$1,500	\$1,500
0995 Reimbursements			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Reimbursements	\$1,977	\$1,911	\$1,961
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$862</u>	<u>\$835</u>	<u>\$835</u>
Totals Available	\$862	\$835	\$835
Unexpended balance, estimated savings	<u>-82</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$780	\$835	\$835
Less funding provided by General Fund	<u>-780</u>	<u>-835</u>	<u>-835</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$40	\$39	\$38
Reduction per Section 3.90	-3	-1	-
Reduction per Control Section 3.91	<u>-</u>	<u>-1</u>	<u>-</u>
Totals Available	\$37	\$37	\$38
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$36	\$37	\$38
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2,132</u>	<u>\$2,132</u>	<u>\$2,132</u>
Totals Available	\$2,132	\$2,132	\$2,132
Unexpended balance, estimated savings	<u>-1,827</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$305	\$2,132	\$2,132
8020 Environmental Education Account			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$577</u>	<u>\$577</u>	<u>\$577</u>
Totals Available	\$577	\$577	\$577
Unexpended balance, estimated savings	<u>-30</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$547	\$577	\$577
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$12,952	\$19,856	\$20,326

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0028 Unified Program Account ^s			
BEGINNING BALANCE	\$6,772	\$11,100	\$11,767
Prior year adjustments	<u>89</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$6,861	\$11,100	\$11,767
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	<u>8,208</u>	<u>7,500</u>	<u>7,500</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$8,208</u>	<u>\$7,500</u>	<u>\$7,500</u>
Total Resources	\$15,069	\$18,600	\$19,267
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	1,974	4,107	4,371
0690 California Emergency Management Agency (State Operations)	412	730	784
0840 State Controller (State Operations)	6	15	32
3540 Department of Forestry and Fire Protection (State Operations)	276	342	352
3940 State Water Resources Control Board (State Operations)	585	547	607

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

	2009-10*	2010-11*	2011-12*
3960 Department of Toxic Substances Control (State Operations)	595	954	1,027
3980 Office of Environmental Health Hazard Assessment (State Operations)	121	135	141
8880 Financial Information System for California (State Operations)	-	3	-
Total Expenditures and Expenditure Adjustments	<u>\$3,969</u>	<u>\$6,833</u>	<u>\$7,314</u>
FUND BALANCE	\$11,100	\$11,767	\$11,953
Reserve for economic uncertainties	11,100	11,767	11,953
1006 Rural CUPA Reimbursement Account^s			
BEGINNING BALANCE	\$1,309	\$1,309	\$1,309
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	780	835	835
0840 State Controller (State Operations)	-	-	1
Expenditure Adjustments:			
0555 Secretary for Environmental Protection			
Less funding provided by General Fund (State Operations)	<u>-780</u>	<u>-835</u>	<u>-835</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>\$1</u>
FUND BALANCE	\$1,309	\$1,309	\$1,308
Reserve for economic uncertainties	1,309	1,309	1,308

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	72.6	79.5	79.5	\$4,694	\$6,235	\$6,305
Furlough Adjustments	-	-	-	-	-226	-
PLP Adjustments	-	-	-	-	-119	-
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>-\$345</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	72.6	79.5	79.5	\$4,694	\$5,890	\$6,305

0558 Office of the Secretary of Education

The Secretary of Education, a member of the Governor's Cabinet, is responsible for advising the Governor and making recommendations on state education policy and legislation. Currently, the Office of the Secretary of Education (OSE) is funded through the Governor's Office of Planning and Research.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Office of the Secretary of Education	15.0	16.2	-	\$1,803	\$1,485	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	15.0	16.2	-	\$1,803	\$1,485	\$-
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$1,676	\$1,361	\$-
0995 Reimbursements				127	124	-
TOTALS, EXPENDITURES, ALL FUNDS				\$1,803	\$1,485	\$-

MAJOR PROGRAM CHANGES

- The Governor's Budget includes decreases of \$400,000 General Fund in 2010-11 and \$1.9 million General Fund in 2011-12 to reflect the elimination of the Office of the Secretary of Education and shift a portion of its state operations to the State Board of Education to streamline government operations.

* Dollars in thousands, except in Salary Range.

0558 Office of the Secretary of Education - Continued

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• CS 3.60 Retirement Rate Adjustment	\$17	\$-	-	\$17	\$-	-
• Health Adjustment	4	-	-	8	-	-
• CS 3.91 Employee Comp Adjustment	-114	-	-	-15	-	-
• Workforce Cap Adjustment	-88	-	-	-88	-	-
Totals, Other Workload Budget Adjustments	-\$181	\$-	-	-\$78	\$-	-
Totals, Workload Budget Adjustments	-\$181	\$-	-	-\$78	\$-	-
Policy Adjustments						
• Elimination of the Office of the Secretary of Education	-\$400	\$-	-	-\$1,864	-\$124	-
Totals, Policy Adjustments	-\$400	\$-	-	-\$1,864	-\$124	-
Totals, Budget Adjustments	-\$581	\$-	-	-\$1,942	-\$124	-

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	15.0	18.0	18.0	\$945	\$1,313	\$1,313
Total Adjustments	-	-	-18.0	-	-499	-1,313
Estimated Salary Savings	-	-1.8	-	-	-100	-
Net Totals, Salaries and Wages	15.0	16.2	-	\$945	\$714	\$-
Staff Benefits	-	-	-	312	236	-
Totals, Personal Services	15.0	16.2	-	\$1,257	\$950	\$-
OPERATING EXPENSES AND EQUIPMENT						
				\$546	\$535	\$-
TOTALS, POSITIONS AND EXPENDITURES ALL FUNDS (State Operations)				\$1,803	\$1,485	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$977	\$971	-
Transfer to Item 0650-011-0001 per Provision 1	-977	-971	-
Allocation from Office of Planning and Research	978	971	-
Adjustment per Section 3.60	4	17	-
Pending Legislation	-	-400	-
Adjustment per Section 3.90	-223	-88	-
Allocation for employee compensation	-	4	-
Adjustment per Section 3.91	-	-114	-
Transfer from Item 0558-001-0001	977	971	-
Adjustment per Section 4.04	-17	-	-
Adjustment per Section 3.55	-3	-	-
Totals Available	\$1,716	\$1,361	\$-

* Dollars in thousands, except in Salary Range.

0558 Office of the Secretary of Education - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Unexpended balance, estimated savings	-40	-	-
TOTALS, EXPENDITURES	\$1,676	\$1,361	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$127	\$124	-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,803	\$1,485	\$-

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals, Authorized Positions	15.0	18.0	18.0	\$945	\$1,313	\$1,313
Furlough Adjustments	-	-	-	-	-52	-
PLP Adjustments	-	-	-	-	-47	-
Position Reductions	-	-	-18.0	-	-400	-1,313
Total Adjustments	-	-	-18.0	\$-	-\$499	-\$1,313
TOTALS, SALARIES AND WAGES	15.0	18.0	-	\$945	\$814	\$-

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address important issues relating to California workers and their employers. The Agency is primarily responsible for three functions: labor law enforcement, workforce development, and benefit payment and adjudication. A leading Agency goal is to better serve workers and employers by coordinating services and programs in an efficient, effective manner that is relevant to current and future economic conditions. The Agency plays a central role in the Economic and Employment Enforcement Coalition, the purpose of which is to help legitimate businesses and California workers combat the underground economy, through a combination of enforcement and education activities.

3-YR EXPENDITURES AND PERSONNEL YEARS

	<u>Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
10 Office of the Secretary for Labor and Workforce Development	14.2	15.2	15.2	\$2,770	\$2,964	\$3,005
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	14.2	15.2	15.2	\$2,770	\$2,964	\$3,005

FUNDING	2009-10*	2010-11*	2011-12*
0995 Reimbursements	\$2,488	\$2,551	\$2,641
3078 Labor and Workforce Development Fund	282	413	364
TOTALS, EXPENDITURES, ALL FUNDS	\$2,770	\$2,964	\$3,005

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

DETAILED BUDGET ADJUSTMENTS

	<u>2010-11*</u>			<u>2011-12*</u>		
	<u>General Fund</u>	<u>Other Funds</u>	<u>Personnel Years</u>	<u>General Fund</u>	<u>Other Funds</u>	<u>Personnel Years</u>
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$123	-	\$-	-\$33	-
• Retirement Rate Adjustment	-	45	-	-	45	-

* Dollars in thousands, except in Salary Range.

0559 Secretary for Labor and Workforce Development Agency - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
• Miscellaneous Adjustments	-	-	-	-	-49	-
• Workforce Cap Adjustment	-	-89	-1.0	-	-89	-1.0
Totals, Other Workload Budget Adjustments	\$-	-\$167	-1.0	\$-	-\$126	-1.0
Totals, Workload Budget Adjustments	\$-	-\$167	-1.0	\$-	-\$126	-1.0
Totals, Budget Adjustments	\$-	-\$167	-1.0	\$-	-\$126	-1.0

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	OFFICE OF THE SECRETARY FOR LABOR AND WORKFORCE DEVELOPMENT			
State Operations:				
0995	Reimbursements	\$2,488	\$2,551	\$2,641
3078	Labor and Workforce Development Fund	282	413	364
Totals, State Operations		\$2,770	\$2,964	\$3,005
TOTALS, EXPENDITURES				
State Operations		2,770	2,964	3,005
Totals, Expenditures		\$2,770	\$2,964	\$3,005

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	14.2	16.0	16.0	\$1,152	\$1,589	\$1,593
Total Adjustments	-	-	-	-	-98	-
Estimated Salary Savings	-	-0.8	-0.8	-	-79	-89
Net Totals, Salaries and Wages	14.2	15.2	15.2	\$1,152	\$1,412	\$1,504
Staff Benefits	-	-	-	395	540	566
Totals, Personal Services	14.2	15.2	15.2	\$1,547	\$1,952	\$2,070
OPERATING EXPENSES AND EQUIPMENT						
				\$1,223	\$1,012	\$935
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,770	\$2,964	\$3,005

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	0	-	-
001 Budget Act appropriation	-	0	0
TOTALS, EXPENDITURES	\$-	\$-	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,488	\$2,551	\$2,641
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0559 Secretary for Labor and Workforce Development Agency - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$426	-	-
001 Budget Act appropriation	-	\$413	\$364
011 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	(5,500)	-	-
Revised expenditure authority per Provision 1	(6,207)	(-)	-
Totals Available	\$426	\$413	\$364
Unexpended balance, estimated savings	-144	-	-
TOTALS, EXPENDITURES	\$282	\$413	\$364
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,770	\$2,964	\$3,005

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
3078 Labor and Workforce Development Fund^s			
BEGINNING BALANCE	\$7,097	\$118	\$4,205
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164300 Penalty Assessments	5,010	4,500	4,000
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 0559-011-3078, Budget Act of 2009	-11,707	-	-
Total Revenues, Transfers, and Other Adjustments	<u>-\$6,697</u>	<u>\$4,500</u>	<u>\$4,000</u>
Total Resources	\$400	\$4,618	\$8,205
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	282	413	364
0840 State Controller (State Operations)	-	-	2
Total Expenditures and Expenditure Adjustments	<u>\$282</u>	<u>\$413</u>	<u>\$366</u>
FUND BALANCE	\$118	\$4,205	\$7,839
Reserve for economic uncertainties	118	4,205	7,839

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals, Authorized Positions	14.2	16.0	16.0	\$1,152	\$1,589	\$1,593
Furlough Adjustments	-	-	-	-	-53	-
PLP Adjustments	-	-	-	-	-45	-
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>-\$98</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	14.2	16.0	16.0	\$1,152	\$1,491	\$1,593

0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, and resource protection. OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. OPR houses the Small Business Advocate, the Advisor on Military Affairs, and supports the Strategic Growth Council. The mission of CaliforniaVolunteers is to increase the number and impact of Californians involved with service and volunteering throughout the state. CaliforniaVolunteers is administered through the Office of Planning and Research but for all intents and purposes is a standalone entity.

3-YR EXPENDITURES AND PERSONNEL YEARS

* Dollars in thousands, except in Salary Range.

0650 Office of Planning and Research - Continued

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
11 State Planning and Policy Development	34.0	18.5	18.5	\$1,647,810	\$1,689,486	\$2,166
21 California Volunteers	27.0	36.0	36.0	28,243	36,815	34,102
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	61.0	54.5	54.5	\$1,676,053	\$1,726,301	\$36,268
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$4,065	\$2,346	\$2,295
0890 Federal Trust Fund				1,667,108	1,718,326	30,140
0995 Reimbursements				4,273	5,291	3,343
9740 Central Service Cost Recovery Fund				607	338	490
TOTALS, EXPENDITURES, ALL FUNDS				\$1,676,053	\$1,726,301	\$36,268

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 4530-4535.3, 15202, 56815.2, 65025-65049; Public Resources Code Sections 21080.3-21080.4, 21083-21087, 21159.9, 21165, and 30415; Fish and Game Code Section 711.4; Health and Safety Code Sections 25199-25199.9; California Administrative Code Sections 15051 and 15065.5.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$29	\$31	-	\$29	\$31	-
• Carryover/Reappropriation	-	-86	-	-	-86	-
• One Time Cost Reductions	-	-	-	-	-1,687,407	-
• Employee Compensation	-95	-162	-	-8	-7	-
• Workforce Cap Adjustment	-135	-71	-3.0	-135	-71	-3.0
• Miscellaneous Adjustments	-	2,867	-	-139	138	-
Totals, Other Workload Budget Adjustments	-\$201	\$2,579	-3.0	-\$253	-\$1,687,402	-3.0
Totals, Workload Budget Adjustments	-\$201	\$2,579	-3.0	-\$253	-\$1,687,402	-3.0
Totals, Budget Adjustments	-\$201	\$2,579	-3.0	-\$253	-\$1,687,402	-3.0

PROGRAM DESCRIPTIONS

11 - STATE PLANNING AND POLICY DEVELOPMENT

The major activities of the Office include: (1) recommending and implementing state policies with regard to land-use and growth planning; (2) coordinating the implementation of the California Environmental Quality Act, including: (a) operating the State Clearinghouse (b) developing guidelines, (c) providing technical assistance, and (d) providing training; (3) serving as the Military Affairs Advisor and liaison; (4) carrying out a program of policy research for the Governor and Cabinet; (5) providing technical advice to local governments with regard to land use planning and compliance with environmental statutes and regulations, (6) staffing the Strategic Growth Council, (7) preparing guidelines to local agency formation commissions, and (8) conducting other activities as the Governor may direct.

21 - CALIFORNIA VOLUNTEERS

CaliforniaVolunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. CaliforniaVolunteers administers programs such as AmeriCorps and Citizen Corps, guides policy development to support the nonprofit and service fields, and is responsible for the California Volunteer Matching Network (featured on CaliforniaVolunteers.org), which matches Californians with volunteer opportunities in their communities. The agency is led by the nation's first Cabinet-level Secretary of Service and Volunteering. Through the efforts of CaliforniaVolunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

* Dollars in thousands, except in Salary Range.

0650 Office of Planning and Research - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	61.0	60.4	60.4	\$3,458	\$3,199	\$3,199
Total Adjustments	-	-	-	-	-226	-
Estimated Salary Savings	-	-5.9	-5.9	-	-355	-366
Net Totals, Salaries and Wages	61.0	54.5	54.5	\$3,458	\$2,618	\$2,833
Staff Benefits	-	-	-	1,141	864	935
Totals, Personal Services	61.0	54.5	54.5	\$4,599	\$3,482	\$3,768
OPERATING EXPENSES AND EQUIPMENT				\$1,294,677	\$1,421,989	\$4,500
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,299,276	\$1,425,471	\$8,268

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants	\$376,777	\$300,830	\$28,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$376,777	\$300,830	\$28,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$4,236	-	-
Adjustment per Section 3.60	8	-	-
Reduction per Section 3.90	-482	-	-
Adjustment per Section 4.04	-49	-	-
Adjustment per Section 3.55	-6	-	-
001 Budget Act appropriation	-	\$2,548	\$2,295
Allocation for employee compensation	-	9	-
Adjustment per Section 3.60	-	28	-
Reduction per Section 3.90	-	-135	-
Reduction per Control Section 3.91	-	-104	-
011 Budget Act appropriation	978	971	-
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	4	17	-
Reduction per Section 3.90	-223	-88	-
Adjustment per Section 4.04	-17	-	-
Reduction per Control Section 3.91	-	-114	-
Adjustment per Section 3.55	-3	-	-
Transfer from 0558-001-0001	977	971	-
Pending Legislation	-	-400	-
Less amount shown in Office of Secretary for Education	-1,716	-1,361	-
Prior year balances available:			

* Dollars in thousands, except in Salary Range.

0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Item 0650-001-0001, Budget Act of 2008, as reappropriated by Item 0650-490, Budget Act of 2009	777	-	-
Chapter 233, Statutes of 2006	<u>75</u>	<u>-</u>	<u>-</u>
Totals Available	\$4,559	\$2,346	\$2,295
Unexpended balance, estimated savings	<u>-494</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,065	\$2,346	\$2,295
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,023,119	-	-
Adjustment per Section 3.60	2	-	-
Reduction per Section 3.90	-140	-	-
Adjustment per Section 3.55	-2	-	-
Budget Adjustment	267,352	-	-
001 Budget Act appropriation	-	\$2,112	\$2,098
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	-	9	-
Reduction per Section 3.90	-	-20	-
Reduction per Control Section 3.91	-	-58	-
Chapter 220, Statutes of 2010 (SB 847)	-	128	-
Omnibus Education Trailer Bill (amends Chapter 221, Statutes of 2010)	-	214,000	-
Chapter 220, Statutes of 2010 (SB 847)	-	1,201,407	-
Prior year balances available:			
Chapter 220, Statutes of 2010 (SB 847)	<u>-</u>	<u>-</u>	<u>86</u>
Totals Available	\$1,290,331	\$1,417,582	\$2,184
Balance available in subsequent years	<u>-</u>	<u>-86</u>	<u>-44</u>
TOTALS, EXPENDITURES	\$1,290,331	\$1,417,496	\$2,140
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$4,273	\$5,291	\$3,343
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$704	\$361	\$490
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	5	-
Reduction per Section 3.90	-91	-13	-
Reduction per Section 15.30	-4	-	-
Reduction per Control Section 3.91	-	-17	-
Adjustment per Section 3.55	<u>-1</u>	<u>-</u>	<u>-</u>
Totals Available	\$609	\$338	\$490
Unexpended balance, estimated savings	<u>-2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$607	\$338	\$490
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,299,276	\$1,425,471	\$8,268
2 LOCAL ASSISTANCE			
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$28,000	\$28,000	\$28,000
Budget Adjustment	-5,223	830	-

* Dollars in thousands, except in Salary Range.

0650 Office of Planning and Research - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
102 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	1,110,000	-	-
Budget Adjustment	-756,000	-	-
Omnibus Education Trailer Bill (amends Chapter 221, Statutes of 2010)	-	272,000	-
TOTALS, EXPENDITURES	\$376,777	\$300,830	\$28,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$376,777	\$300,830	\$28,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,676,053	\$1,726,301	\$36,268

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	61.0	60.4	60.4	3,458	3,199	3,199
Furlough Adjustments	-	-	-	-	-120	-
PLP Adjustments	-	-	-	-	-106	-
Total Adjustments	-	-	-	\$-	-\$226	\$-
TOTALS, SALARIES AND WAGES	61.0	60.4	60.4	\$3,458	\$2,973	\$3,199

0690 California Emergency Management Agency

The principal objective of the California Emergency Management Agency (Cal EMA) is to reduce vulnerability to hazards and crimes through emergency management and criminal justice to ensure a safe and resilient California. The Cal EMA coordinates emergency activities to save lives and reduce property loss during disasters and to expedite recovery from the effects of disasters. On a day-to-day basis, the Cal EMA provides leadership, assistance, and support to state and local agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The Cal EMA's plans and programs are coordinated with those of the federal government, other states, and state and local agencies within California.

During an emergency, the Cal EMA functions as the Governor's immediate staff to coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, the Cal EMA is responsible for the development and coordination of a comprehensive state strategy related to all hazards that includes prevention, preparedness, and response and recovery.

Further, the Cal EMA improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies and the private sector for public safety and victim services.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the California Emergency Management Agency's Capital Outlay Program, see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	<u>Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
20 Emergency Management Services	188.7	198.6	198.6	\$81,538	\$64,943	\$63,451
40 Special Programs and Grant Management	215.2	233.4	228.6	1,383,779	1,357,480	1,367,135
65.01 Administration and Executive Program	142.1	146.0	146.0	12,381	14,611	15,209
65.02 Distributed Administration and Executive	-	-	-	-12,381	-14,611	-15,209
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	546.0	578.0	573.2	\$1,465,317	\$1,422,423	\$1,430,586

FUNDING		2009-10*	2010-11*	2011-12*
0001 General Fund		\$135,764	\$141,596	\$200,356
0028 Unified Program Account		412	730	784
0029 Nuclear Planning Assessment Special Account		4,269	4,487	4,612
0214 Restitution Fund		9,949	10,010	10,014
0241 Local Public Prosecutors and Public Defenders Training Fund		812	873	875

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0425 Victim - Witness Assistance Fund	16,626	16,780	16,826
0437 State Assistance For Fire Equipment Account	34	100	105
0890 Federal Trust Fund	1,152,538	1,083,487	1,084,128
0995 Reimbursements	2,363	7,924	4,236
3034 Antiterrorism Fund	157	2,342	213
3112 Equality in Prevention and Services for Domestic Abuse Fund	5	108	105
3149 Local Safety and Protection Account, Transportation Tax Fund	40,980	50,480	5,552
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	101,408	102,480	102,573
6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	809	-
8039 Disaster Resistant Communities Account	-	217	207
TOTALS, EXPENDITURES, ALL FUNDS	\$1,465,317	\$1,422,423	\$1,430,586

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7; Penal Code, Part 4, Title 6, Chapter 3.

MAJOR PROGRAM CHANGES

- Administration for ARRA JAG-The Budget includes \$592,000 federal funds and six temporary positions to administer the approximately \$135 million American Reinvestment and Recovery Act Justice Assistance Grant.
- Public Prosecutor and Defender Student Loan Assistance-The Budget includes \$1,046,000 federal funds to implement and administer the John R. Justice grant which provides student loan repayment assistance to eligible local, state, and federal prosecutors and public defenders.
- Public Safety Grants-The Budget includes \$57,438,000 General Fund and \$5,552,000 Local Safety and Protection Account funds for various public safety grant programs administered by Cal EMA including Vertical Prosecution Block Grants, Rural Crime Prevention, California Multijurisdictional Methamphetamine Enforcement Teams, the High Technology Theft Apprehension Program, Sexual Assault Felony Enforcement Teams, and various other public safety programs.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Public Prosecutor and Defender Student Loan Repayment Program	\$-	\$1,046	-	\$-	\$1,046	-
• Administration for ARRA JAG	-	-	-	-	592	-
Totals, Workload Budget Change Proposals	\$-	\$1,046	-	\$-	\$1,638	-
Other Workload Budget Adjustments						
• Public Safety Grant	\$-	\$-	-	\$57,438	\$-	-
• Retirement Rate Adjustment	483	567	-	483	567	-
• Miscellaneous Adjustments	-	3,892	-	-	5,769	-
• Carryover/Reappropriation	600	809	-	-	-	-
• One Time Cost Reductions	-	-	-	-	-3,109	-
• Limited Term Positions/Expiring Programs	-	-	-	-	-50,420	-
• Lease Revenue Debt Service Adjustment	-4	-	-	-15	-	-
• Employee Compensation	-2,106	-1,424	-	-173	-151	-
• Workforce Cap Adjustment	-1,289	-1,345	-	-1,289	-1,345	-
Totals, Other Workload Budget Adjustments	-\$2,316	\$2,499	-	\$56,444	-\$48,689	-

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Totals, Workload Budget Adjustments	-\$2,316	\$3,545	-	\$56,444	-\$47,051	-
Totals, Budget Adjustments	-\$2,316	\$3,545	-	\$56,444	-\$47,051	-

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

Program 50 - Criminal Justice Projects, Local Assistance

Component	Program Name	Source of Funds	Actual	Proposed	Proposed
			Expenditures	Expenditures	Expenditures
			2009-10	2010-11	2011-12
40.20.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	10,871	\$10,871	\$10,871
40.20.102	Victims' Legal Resource Center	0001 General Fund	37	37	37
40.20.151	Domestic Violence ¹	0001 General Fund	2,454	20,602	20,602
40.20.151	Family Violence Prevention	0890 Federal Trust Fund	7,111	10,051	10,051
40.20.152	Family Violence Prevention	0001 General Fund	45	45	45
40.20.161	Violence Against Women Act	0890 Federal Trust Fund	10,573	12,990	12,990
20.20.161	Violence Against Women Recovery Act	0890 Federal Trust Fund	11,850	-	-
40.20.301	Rape Crisis ¹	0001 General Fund	45	45	45
40.20.301	Rape Crisis ¹	0425 Victim-Witness Assist Fund	3,670	3,670	3,670
40.20.351	Homeless Youth	0001 General Fund	356	356	356
40.20.352	Youth Emergency Telephone Refer	0001 General Fund	114	114	114
40.20.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	978	978	978
40.20.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	272	272
40.20.451	Victims of Crime Act	0890 Federal Trust Fund	39,254	43,000	43,000
20.20.451	Victims of Crime Recovery Act	0890 Federal Trust Fund	2,741	-	-
40.20.902	Child Justice Act	0890 Federal Trust Fund	1,785	1,775	1,775
40.20.903	Evidentiary Medical Training	3149 Local Safety & Protection Act	340	498	-
40.20.903	Evidentiary Medical Training	0001 General Fund	-	-	583
40.20.904	Public Pros/Pub Defender Training	3149 Local Safety & Protection Act	-	4	-
40.20.904	Public Pros/Pub Defender Training	0001 General Fund	-	-	7
40.20.906	Equality in Prevention & Serv.	3112 EPSDA	-	98	98
40.20.907	Comprehensive Shelter Program	0001 General Fund	14,670	-	-
40.30.502	War on Methamphetamine	3149 Local Safety & Protection Act	12,634	16,603	-
40.30.502	War on Methamphetamine	0001 General Fund	-	-	19,500
40.30.503	Vertical Prosecution Block Grant	3149 Local Safety & Protection Act	13,428	12,397	-
40.30.503	Vertical Prosecution Block Grant	0001 General Fund	-	-	14,558
40.30.504	Project Safe Neighborhoods	0890 Federal Trust Fund	971	2,520	2,520
40.30.523	Forensic Science Improvement Act	0890 Federal Trust Fund	1,724	2,473	2,473
40.30.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	792	792	792
40.30.555	Res Substance Abuse Treatment	0890 Federal Trust Fund	908	921	921
40.30.559	Peace Officer Protective Equipment	0890 Federal Trust Fund	331	1,275	1,275
40.30.560	Justice Assistance Grant	0890 Federal Trust Fund	31,399	39,270	39,270
40.30.560	Justice Assistance Grant Recovery Act	0890 Federal Trust Fund	134,500	-	-
40.30.562	High Tech Theft Apprehension ¹	3149 Local Safety & Protection Act	5,610	10,192	2,000
40.30.562	High Tech Theft Apprehension ¹	0001 General Fund	-	-	11,970
40.30.661	Gang Violence Suppression	3149 Local Safety & Protection Act	1,550	1,369	1,000
40.30.661	Gang Violence Suppression	0001 General Fund	-	-	1,607
40.30.662	CALGANG	3149 Local Safety & Protection Act	260	229	300
40.30.662	CALGANG	0001 General Fund	-	-	270
40.30.672	Multi-Agency Gang Enfrc Consort	3149 Local Safety & Protection Act	83	73	252
40.30.672	Multi-Agency Gang Enfrc Consort	0001 General Fund	-	-	84
40.30.815	Rural Crime Prevention	3149 Local Safety & Protection Act	3,592	3,174	1,000
40.30.815	Rural Crime Prevention	0001 General Fund	-	-	3,729
40.30.901	Sexual Assault Felony Enforce Prog	3149 Local Safety & Protection Act	3,089	4,367	1,000
40.30.901	Sexual Assault Felony Enforce Prog	0001 General Fund	-	-	5,130
40.30.905	Anti-Gang Initiative	0890 Federal Trust Fund	424	4,607	4,607
40.30.907	Statewide Anti-Gang Coordinator	0214 Restitution Fund	9,215	9,215	9,215
40.30.908	Internet Crimes Against Children	0214 Restitution Fund	500	500	500
40.30.909	Regional Anti-Gang Intelligence LED Policing	0890 Federal Trust Fund	300	-	-
40.30.910	Postconviction DNA Testing Assistance Prog	0890 Federal Trust Fund	2,425	-	-
Total, Program 40-Criminal Justice Projects, Local Assistance			\$330,901	\$215,383	\$229,467

¹ Program has multiple funding sources.

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

PROGRAM DESCRIPTIONS

20 - EMERGENCY MANAGEMENT SERVICES

Through the Emergency Management Services Program, the Cal EMA provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. Also, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, Cal EMA also trains emergency managers in preparedness and response to all hazards.

40 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

The Cal EMA serves as the State Administering Agent for federal homeland security, emergency management, and criminal justice grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, the Agency serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, the Cal EMA administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters.

Included in this program is the State Terrorism Threat Assessment Center which operates a state-level intelligence database that assists law enforcement in combating terrorist activities in California, and coordinates those efforts with federal law enforcement agencies.

65 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of the Cal EMA and support services such as accounting, fiscal, personnel, and business services.

DETAILED EXPENDITURES BY PROGRAM

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
20 Emergency Management Services			
State Operations:			
0001 General Fund	\$27,597	\$22,286	\$23,426
0028 Unified Program Account	412	730	784
0029 Nuclear Planning Assessment Special Account	937	1,047	1,148
0437 State Assistance for Fire Equipment Account	34	100	105
0890 Federal Trust Fund	31,948	32,946	33,460
0995 Reimbursements	2,363	4,072	4,216
3034 Antiterrorism Fund	47	105	105
8039 Disaster Resistant Communities Account	-	217	207
Totals, State Operations	\$63,338	\$61,503	\$63,451
Local Assistance:			
0029 Nuclear Planning Assessment Special Account	\$3,332	\$3,440	\$-
0890 Federal Trust Fund	14,868	-	-
Totals, Local Assistance	\$18,200	\$3,440	\$-
PROGRAM REQUIREMENTS			
40 Special Programs and Grant Management			
State Operations:			
0001 General Fund	\$20,148	\$27,713	\$27,895
0214 Restitution Fund	234	295	299
0241 Local Public Prosecutors and Public Defenders Training Fund	20	81	83
0425 Victim - Witness Assistance Fund	1,107	1,261	1,307
0890 Federal Trust Fund	39,698	52,833	52,960
0995 Reimbursements	-	20	20
3034 Antiterrorism Fund	110	2,237	108

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
3112 Equality in Prevention and Services for Domestic Abuse Fund	5	10	7
3149 Local Safety and Protection Account, Transportation Tax Fund	394	1,514	-
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,408	2,480	2,573
6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	809	-
Totals, State Operations	\$63,124	\$89,253	\$85,252
Local Assistance:			
0001 General Fund	\$88,019	\$91,597	\$149,035
0029 Nuclear Planning Assessment Special Account	-	-	3,464
0214 Restitution Fund	9,715	9,715	9,715
0241 Local Public Prosecutors and Public Defenders Training Fund	792	792	792
0425 Victim - Witness Assistance Fund	15,519	15,519	15,519
0890 Federal Trust Fund	1,066,024	997,708	997,708
0995 Reimbursements	-	3,832	-
3112 Equality in Prevention and Services for Domestic Abuse Fund	-	98	98
3149 Local Safety and Protection Account, Transportation Tax Fund	40,586	48,966	5,552
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	100,000	100,000	100,000
Totals, Local Assistance	\$1,320,655	\$1,268,227	\$1,281,883
40.20 Victim Services			
Local Assistance:			
0001 General Fund	(17,993)	(21,471)	(22,061)
0425 Victim - Witness Assistance Fund	(15,519)	(15,519)	(15,519)
0890 Federal Trust Fund	(58,723)	(67,816)	(67,816)
3112 Equality in Prevention and Services for Domestic Abuse Fund	-	(98)	(98)
3149 Local Safety and Protection Account, Transportation Tax Fund	(340)	(502)	-
40.30 Public Safety			
Local Assistance:			
0001 General Fund	-	-	(56,848)
0214 Restitution Fund	(9,715)	(9,715)	(9,715)
0241 Local Public Prosecutors and Public Defenders Training Fund	(792)	(792)	(792)
0890 Federal Trust Fund	(172,982)	(51,066)	(51,066)
3149 Local Safety and Protection Account, Transportation Tax Fund	(40,246)	(48,464)	(5,552)
PROGRAM REQUIREMENTS			
65 EXECUTIVE AND ADMINISTRATION			
65.01 Executive and Administration	12,381	14,611	15,209
65.02 Distributed Administration	-12,381	-14,611	-15,209

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES			
State Operations	126,462	150,756	148,703
Local Assistance	<u>1,338,855</u>	<u>1,271,667</u>	<u>1,281,883</u>
Totals, Expenditures	\$1,465,317	\$1,422,423	\$1,430,586

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	546.0	608.4	603.4	\$28,980	\$40,331	\$40,731
Total Adjustments	-	-	-	-	-2,955	-
Estimated Salary Savings	<u>-</u>	<u>-30.4</u>	<u>-30.2</u>	<u>-</u>	<u>-2,017</u>	<u>-2,037</u>
Net Totals, Salaries and Wages	546.0	578.0	573.2	\$28,980	\$35,359	\$38,694
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>11,058</u>	<u>12,376</u>	<u>13,543</u>
Totals, Personal Services	546.0	578.0	573.2	\$40,038	\$47,735	\$52,237
OPERATING EXPENSES AND EQUIPMENT				<u>\$86,424</u>	<u>\$103,021</u>	<u>\$96,466</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$126,462	\$150,756	\$148,703

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$45,995	-	-
Adjustment per Section 3.60	17	-	-
Reduction per Section 3.90	-2,778	-	-
Adjustment per Section 4.04	-1,019	-	-
Reduction per Section 15.30	-130	-	-
Adjustment per Section 3.55	-38	-	-
001 Budget Act appropriation	-	\$45,910	\$44,931
Allocation for employee compensation	-	70	-
Adjustment per Section 3.60	-	483	-
Reduction per Section 3.90	-	-1,289	-
Reduction per Control Section 3.91	-	-2,176	-
003 Budget Act appropriation	6,405	6,405	6,390
Adjustment per Section 4.30	4	-4	-
Chapter 29, Statutes of 2009, Third Extraordinary Session	1,630	-	-
Prior year balances available:			
Chapter 29, Statutes of 2009, Third Extraordinary Session	-	600	-
Chapter 337, Statutes of 2006	<u>-</u>	<u>-</u>	<u>-</u>
Totals Available	\$50,086	\$49,999	\$51,321
Unexpended balance, estimated savings	-1,741	-	-
Balance available in subsequent years	<u>-600</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$47,745	\$49,999	\$51,321
0028 Unified Program Account			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation	\$824	\$826	\$784
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	10	-
Reduction per Section 3.90	-71	-59	-
Reduction per Control Section 3.91	-	-49	-
Totals Available	\$754	\$730	\$784
Unexpended balance, estimated savings	-342	-	-
TOTALS, EXPENDITURES	\$412	\$730	\$784
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,089	\$1,103	\$1,148
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	16	-
Reduction per Section 3.90	-110	-	-
Reduction per Control Section 3.91	-	-74	-
Adjustment per Section 3.55	-2	-	-
Item 0690-001-0029, Budget Act of 2008 per Provision 1	174	-	-
Prior year balances available:			
Item 0690-001-0029, Budget Act of 2008	174	-	-
Item 0690-001-0029, Budget Act of 2008 per Provision 1	-174	-	-
Totals Available	\$1,151	\$1,047	\$1,148
Unexpended balance, estimated savings	-214	-	-
TOTALS, EXPENDITURES	\$937	\$1,047	\$1,148
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$285	-	-
Reduction per Section 3.90	-22	-	-
001 Budget Act appropriation	-	\$300	\$299
Adjustment per Section 3.60	-	3	-
Reduction per Control Section 3.91	-	-8	-
Totals Available	\$263	\$295	\$299
Unexpended balance, estimated savings	-29	-	-
TOTALS, EXPENDITURES	\$234	\$295	\$299
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
002 Budget Act appropriation	\$80	\$81	\$83
Totals Available	\$80	\$81	\$83
Unexpended balance, estimated savings	-60	-	-
TOTALS, EXPENDITURES	\$20	\$81	\$83
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
002 Budget Act appropriation	\$1,290	\$1,290	\$1,307
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	2	19	-
Reduction per Section 3.90	-147	-	-
Reduction per Control Section 3.91	-	-51	-
Totals Available	\$1,145	\$1,261	\$1,307
Unexpended balance, estimated savings	-38	-	-

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES	\$1,107	\$1,261	\$1,307
0437 State Assistance For Fire Equipment Account			
APPROPRIATIONS			
Government Code Section 8589.16	\$34	\$100	\$105
TOTALS, EXPENDITURES	\$34	\$100	\$105
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$89,964	-	-
Adjustment per Section 3.60	32	-	-
Reduction per Section 3.90	-2,834	-	-
Reduction per Section 15.30	-304	-	-
Adjustment per Section 3.55	-31	-	-
Budget Adjustment	-15,181	-	-
001 Budget Act appropriation	-	\$86,386	\$86,420
Allocation for employee compensation	-	88	-
Adjustment per Section 3.60	-	434	-
Reduction per Section 3.90	-	-1,111	-
Reduction per Control Section 3.91	-	-1,064	-
Budget Adjustment	-	1,046	-
TOTALS, EXPENDITURES	\$71,646	\$85,779	\$86,420
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,363	\$4,092	\$4,236
3034 Antiterrorism Fund			
APPROPRIATIONS			
010 Budget Act appropriation	\$324	\$2,342	\$213
Reduction per Section 3.90	-14	-	-
Totals Available	\$310	\$2,342	\$213
Unexpended balance, estimated savings	-153	-	-
TOTALS, EXPENDITURES	\$157	\$2,342	\$213
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$60	\$10	\$7
Reduction per Section 3.90	-13	-	-
Totals Available	\$47	\$10	\$7
Unexpended balance, estimated savings	-42	-	-
TOTALS, EXPENDITURES	\$5	\$10	\$7
3149 Local Safety and Protection Account, Transportation Tax Fund			
APPROPRIATIONS			
Penal Code Section 13821 (Chapter 4, Statutes of 2009, SEC. 14) (SB X3 8)	\$394	\$1,514	-
TOTALS, EXPENDITURES	\$394	\$1,514	\$-
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$2,654	-	-
Adjustment per Section 3.60	3	-	-
Reduction per Section 3.90	-199	-	-
001 Budget Act appropriation	-	\$2,654	\$2,573

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

	2009-10*	2010-11*	2011-12*
1 STATE OPERATIONS			
Allocation for employee compensation	-	15	-
Adjustment per Section 3.60	-	47	-
Reduction per Section 3.90	-	-105	-
Reduction per Control Section 3.91	-	-131	-
Totals Available	\$2,458	\$2,480	\$2,573
Unexpended balance, estimated savings	-1,050	-	-
TOTALS, EXPENDITURES	\$1,408	\$2,480	\$2,573
6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
Prior year balances available:			
001 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007 and Chapter 8, Statutes of 2010	\$809	\$809	-
Totals Available	\$809	\$809	\$-
Balance available in subsequent years	-809	-	-
TOTALS, EXPENDITURES	\$-	\$809	\$-
8039 Disaster Resistant Communities Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$215	\$217	\$207
Totals Available	\$215	\$217	\$207
Unexpended balance, estimated savings	-215	-	-
TOTALS, EXPENDITURES	\$-	\$217	\$207
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$126,462	\$150,756	\$148,703
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$3,326	\$21,471	\$21,471
112 Budget Act appropriation	69,114	69,114	69,114
115 Budget Act appropriation	1,012	1,012	1,012
Pending Legislation Local Realignment	-	-	57,438
Chapter 29, Statutes of 2009, Third Extraordinary Session	14,670	-	-
Prior year balances available:			
Chapter 29, Statutes of 2009, Third Extraordinary Session	-	-	-
Totals Available	\$88,122	\$91,597	\$149,035
Unexpended balance, estimated savings	-103	-	-
TOTALS, EXPENDITURES	\$88,019	\$91,597	\$149,035
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,332	\$3,440	\$3,464
TOTALS, EXPENDITURES	\$3,332	\$3,440	\$3,464
0214 Restitution Fund			
APPROPRIATIONS			
102 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$9,715	-	-
102 Budget Act appropriation	-	\$9,715	\$9,715
TOTALS, EXPENDITURES	\$9,715	\$9,715	\$9,715
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$792	\$792	\$792

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

2 LOCAL ASSISTANCE	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$792	\$792	\$792
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
102 Budget Act appropriation	<u>\$15,519</u>	<u>\$15,519</u>	<u>\$15,519</u>
TOTALS, EXPENDITURES	\$15,519	\$15,519	\$15,519
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$893,581	-	-
Budget Adjustment	-44,520	-	-
101 Budget Act appropriation	-	\$878,826	\$878,826
102 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	251,259	-	-
Budget Adjustment	-19,428	-	-
102 Budget Act appropriation	<u>-</u>	<u>118,882</u>	<u>118,882</u>
TOTALS, EXPENDITURES	\$1,080,892	\$997,708	\$997,708
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$3,832	-
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			
102 Budget Act appropriation	<u>\$120</u>	<u>\$98</u>	<u>\$98</u>
Totals Available	\$120	\$98	\$98
Unexpended balance, estimated savings	<u>-120</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$98	\$98
3149 Local Safety and Protection Account, Transportation Tax Fund			
APPROPRIATIONS			
Penal Code Section 13821 (Chapter 4, Statutes of 2009, SEC. 14) (SB X3 8)	<u>\$40,586</u>	<u>\$48,966</u>	<u>\$5,552</u>
TOTALS, EXPENDITURES	\$40,586	\$48,966	\$5,552
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$100,000</u>	<u>\$100,000</u>	<u>\$100,000</u>
TOTALS, EXPENDITURES	\$100,000	\$100,000	\$100,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,338,855	\$1,271,667	\$1,281,883
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,465,317	\$1,422,423	\$1,430,586

FUND CONDITION STATEMENTS

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0029 Nuclear Planning Assessment Special Account ^s			
BEGINNING BALANCE	\$808	\$655	\$642
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	<u>4,844</u>	<u>5,454</u>	<u>5,571</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,844</u>	<u>\$5,454</u>	<u>\$5,571</u>
Total Resources	\$5,652	\$6,109	\$6,213
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 California Emergency Management Agency			
State Operations	937	1,047	1,148
Local Assistance	3,332	3,440	3,464

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

	2009-10*	2010-11*	2011-12*
0840 State Controller (State Operations)	5	12	15
4265 Department of Public Health (State Operations)	723	967	985
8880 Financial Information System for California (State Operations)	-	1	24
Total Expenditures and Expenditure Adjustments	<u>\$4,997</u>	<u>\$5,467</u>	<u>\$5,636</u>
FUND BALANCE	\$655	\$642	\$577
Reserve for economic uncertainties	655	642	577
0241 Local Public Prosecutors and Public Defenders Training Fund ^s			
BEGINNING BALANCE	\$966	\$996	\$978
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	7	5	5
164300 Penalty Assessments	<u>835</u>	<u>850</u>	<u>850</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$842</u>	<u>\$855</u>	<u>\$855</u>
Total Resources	\$1,808	\$1,851	\$1,833
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 California Emergency Management Agency			
State Operations	20	81	83
Local Assistance	<u>792</u>	<u>792</u>	<u>792</u>
Total Expenditures and Expenditure Adjustments	<u>\$812</u>	<u>\$873</u>	<u>\$875</u>
FUND BALANCE	\$996	\$978	\$958
Reserve for economic uncertainties	996	978	958
0372 Disaster Relief Fund ^s			
BEGINNING BALANCE	<u>\$11</u>	<u>\$11</u>	<u>\$11</u>
FUND BALANCE	\$11	\$11	\$11
Reserve for economic uncertainties	11	11	11
0425 Victim - Witness Assistance Fund ^s			
BEGINNING BALANCE	\$1,289	\$63	\$623
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
130800 Penalties on Felony Convictions	1	-	-
150300 Income From Surplus Money Investments	103	76	76
164300 Penalty Assessments	11,179	13,154	12,883
Transfers and Other Adjustments:			
FO0178 From Driver Training Penalty Assessment Fund per Code Section 24.10, Budget Acts	4,121	4,121	4,121
Total Revenues, Transfers, and Other Adjustments	<u>\$15,404</u>	<u>\$17,351</u>	<u>\$17,080</u>
Total Resources	\$16,693	\$17,414	\$17,703
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 California Emergency Management Agency			
State Operations	1,107	1,261	1,307
Local Assistance	15,519	15,519	15,519
0840 State Controller (State Operations)	4	10	16
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>1</u>	<u>6</u>
Total Expenditures and Expenditure Adjustments	<u>\$16,630</u>	<u>\$16,791</u>	<u>\$16,848</u>
FUND BALANCE	\$63	\$623	\$855
Reserve for economic uncertainties	63	623	855

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

	2009-10*	2010-11*	2011-12*
0437 State Assistance For Fire Equipment Account ^s			
BEGINNING BALANCE	\$600	\$612	\$562
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131700 Misc Revenue From Local Agencies	<u>46</u>	<u>50</u>	<u>50</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$46</u>	<u>\$50</u>	<u>\$50</u>
Total Resources	\$646	\$662	\$612
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 California Emergency Management Agency (State Operations)	<u>34</u>	<u>100</u>	<u>105</u>
Total Expenditures and Expenditure Adjustments	<u>\$34</u>	<u>\$100</u>	<u>\$105</u>
FUND BALANCE	\$612	\$562	\$507
Reserve for economic uncertainties	612	562	507
0903 State Penalty Fund ⁿ			
BEGINNING BALANCE	\$128	\$308	\$308
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
217500 Penalties on Traffic Violations and Felony Convictions	166,267	153,847	150,769
Less Revenues Collected For Other Funds:			
Restitution Fund (Indemnity Fund)	-53,196	-49,085	-48,059
Peace Officers Training Fund	-39,350	-36,522	-35,772
Fish and Game Preservation Fund	-603	-535	-526
Corrections Training Fund	-12,984	-11,996	-11,750
Driver Training Penalty Assessment Fund	-42,347	-39,126	-38,322
Local Public Prosecutors/Defenders Training Fund	-850	-850	-850
Victim/Witness Assistance Fund	-14,237	-13,154	-12,883
Traumatic Brain Injury Fund	-1,088	-1,005	-984
Transfers and Other Adjustments:			
TO0840 California Motorcyclist Safety Fund per Item 2720-012-0903, Budget Acts	<u>-250</u>	<u>-250</u>	<u>-250</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,362</u>	<u>\$1,324</u>	<u>\$1,373</u>
Total Resources	\$1,490	\$1,632	\$1,681
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	<u>1,182</u>	<u>1,324</u>	<u>1,373</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,182</u>	<u>\$1,324</u>	<u>\$1,373</u>
FUND BALANCE	\$308	\$308	\$308
3034 Antiterrorism Fund ^s			
BEGINNING BALANCE	\$2,754	\$2,124	\$655
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
143000 Personalized License Plates	<u>1,438</u>	<u>1,400</u>	<u>1,400</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,438</u>	<u>\$1,400</u>	<u>\$1,400</u>
Total Resources	\$4,192	\$3,524	\$2,055
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 California Emergency Management Agency (State Operations)	157	2,342	213
0840 State Controller (State Operations)	2	5	-
8120 Commission on Peace Officer Standards and Training (State Operations)	1,380	-	-
8570 Department of Food and Agriculture (State Operations)	529	519	537

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

	2009-10*	2010-11*	2011-12*
8880 Financial Information System for California (State Operations)	-	3	4
Total Expenditures and Expenditure Adjustments	<u>\$2,068</u>	<u>\$2,869</u>	<u>\$754</u>
FUND BALANCE	\$2,124	\$655	\$1,301
Reserve for economic uncertainties	2,124	655	1,301
3075 Unlawful Sales Reduction Fund^s			
BEGINNING BALANCE	\$99	\$102	\$105
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164300 Penalty Assessments	<u>3</u>	<u>3</u>	<u>3</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3</u>	<u>\$3</u>	<u>\$3</u>
Total Resources	<u>\$102</u>	<u>\$105</u>	<u>\$108</u>
FUND BALANCE	\$102	\$105	\$108
Reserve for economic uncertainties	102	105	108
3112 Equality in Prevention and Services for Domestic Abuse Fund^s			
BEGINNING BALANCE	\$161	\$237	\$197
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	<u>81</u>	<u>68</u>	<u>68</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$81</u>	<u>\$68</u>	<u>\$68</u>
Total Resources	\$242	\$305	\$265
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 California Emergency Management Agency			
State Operations	5	10	7
Local Assistance	<u>-</u>	<u>98</u>	<u>98</u>
Total Expenditures and Expenditure Adjustments	<u>\$5</u>	<u>\$108</u>	<u>\$105</u>
FUND BALANCE	\$237	\$197	\$160
Reserve for economic uncertainties	237	197	160

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	546.0	608.4	603.4	\$28,980	\$40,331	\$40,731
Furlough Adjustments	-	-	-	-	-2,122	-
PLP Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-833</u>	<u>-</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>-\$2,955</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	546.0	608.4	603.4	\$28,980	\$34,421	\$40,731

0730 Governor Elect and Outgoing Governor

These funds are to provide assistance to the Governor-elect and the outgoing Governor, during the transition period following the election, in carrying out the duties described under the provisions of Government Code Section 12015 and 12015.5.

The law provides that state agencies furnish to the Governor-elect any information or assistance necessary in the preparation of the annual state budget and for the orderly transfer of the executive power. This gives the Governor-elect approximately two months to formulate policies and to incorporate them in the various programs included in the Budget, which will be transmitted to the Legislature.

The law also provides for the outgoing Governor to appoint persons for up to a two-month period, to assist in concluding matter arising from official duties during the last term of office.

Of the \$890,000 budgeted, \$770,000 is for the Governor-elect and \$120,000 is for the outgoing Governor.

* Dollars in thousands, except in Salary Range.

0730 Governor Elect and Outgoing Governor - Continued

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Transition Funding	-	-	-	\$-	\$240	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$240	\$-
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$-	\$240	\$-
TOTALS, EXPENDITURES, ALL FUNDS				\$-	\$240	\$-

LEGAL CITATIONS AND AUTHORITY

Government Code Section 12015 and 12015.5.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• One Time Cost Reductions	\$-	\$-	-	-\$890	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	-\$890	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	-\$890	\$-	-
Policy Adjustments						
• Reduction to Transition Budget for Governor Elect	-\$650	\$-	-	\$-	\$-	-
Totals, Policy Adjustments	-\$650	\$-	-	\$-	\$-	-
Totals, Budget Adjustments	-\$650	\$-	-	-\$890	\$-	-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$890	-
Totals Available	\$-	\$890	\$-
Unexpended balance, estimated savings	-	-650	-
TOTALS, EXPENDITURES	\$-	\$240	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$-	\$240	\$-

0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Coastal Commission.

* Dollars in thousands, except in Salary Range.

0750 Office of the Lieutenant Governor - Continued

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy. The Lieutenant Governor is also a member of the State Job Training Coordinating Council and the California Emergency Council.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 General Activities	8.6	8.6	8.6	\$916	\$887	\$1,033
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.6	8.6	8.6	\$916	\$887	\$1,033
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$916	\$887	\$1,033
TOTALS, EXPENDITURES, ALL FUNDS				\$916	\$887	\$1,033

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$10	\$-	-	\$10	\$-	-
• Employee Compensation	2	-	-	4	-	-
• Miscellaneous Adjustments	-154	-	-	-10	-	-
Totals, Other Workload Budget Adjustments	-\$142	\$-	-	\$4	\$-	-
Totals, Workload Budget Adjustments	-\$142	\$-	-	\$4	\$-	-
Totals, Budget Adjustments	-\$142	\$-	-	\$4	\$-	-

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8.6	9.0	9.0	\$580	\$560	\$560
Estimated Salary Savings	-	-0.4	-0.4	-	-28	-28
Net Totals, Salaries and Wages	8.6	8.6	8.6	\$580	\$532	\$532
Staff Benefits	-	-	-	182	185	185
Totals, Personal Services	8.6	8.6	8.6	\$762	\$717	\$717
OPERATING EXPENSES AND EQUIPMENT						
				\$154	\$170	\$316
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$916	\$887	\$1,033

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0750 Office of the Lieutenant Governor - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,044	\$1,029	\$1,033
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	10	-
Reduction per Section 3.90	-63	-	-
Adjustment per Section 4.04	-16	-	-
Reduction per Section 15.30	-1	-	-
Reduction per Control Section 3.91	-	-154	-
Totals Available	\$965	\$887	\$1,033
Unexpended balance, estimated savings	-49	-	-
TOTALS, EXPENDITURES	\$916	\$887	\$1,033
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$916	\$887	\$1,033

0820 Department of Justice

The constitutional office of the Attorney general, as chief law officer of the state, has the responsibility to see that the laws of the California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assist district attorneys in the administration of justice. The Department also coordinates efforts to address the statewide narcotic enforcement problem; assists local law enforcement in the investigation and analysis of crimes; provides person and property identification and information services to criminal justice agencies; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Department of Justice's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	<u>Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
11.01 Directorate and Administration	916.8	965.4	965.0	\$83,126	\$84,410	\$87,952
11.02 Distributed Directorate and Administration	-	-	-	-83,126	-84,410	-87,952
20 Legal Services	1,454.0	1,495.2	1,495.2	316,701	334,565	368,497
50 Law Enforcement	1,271.8	1,397.4	1,397.1	221,500	238,308	250,571
60 California Justice Information Services	1,036.4	1,139.3	1,139.3	143,224	152,068	156,587
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4,679.0	4,997.3	4,996.6	\$681,425	\$724,941	\$775,655

	2009-10*	2010-11*	2011-12*
FUNDING			
0001 General Fund	\$316,963	\$291,824	\$254,971
0012 Attorney General Antitrust Account	994	2,114	2,263
0017 Fingerprint Fees Account	60,371	65,559	68,015
0032 Firearm Safety Account	311	335	339
0044 Motor Vehicle Account, State Transportation Fund	23,690	24,146	24,709
0142 Department of Justice Sexual Habitual Offender Fund	1,829	2,127	2,245
0158 Travel Seller Fund	1,328	1,384	1,401
0214 Restitution Fund	5,188	5,215	5,214

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0256 Sexual Predator Public Information Account	113	171	171
0367 Indian Gaming Special Distribution Fund	13,265	13,873	14,359
0378 False Claims Act Fund	7,948	10,289	10,889
0460 Dealers' Record of Sale Special Account	9,121	10,709	11,279
0566 Department of Justice Child Abuse Fund	322	372	377
0567 Gambling Control Fund	6,493	7,312	7,706
0569 Gambling Control Fines and Penalties Account	37	47	48
0641 Domestic Violence Restraining Order Reimbursement Fund	1,918	1,918	1,018
0890 Federal Trust Fund	34,796	30,284	34,034
0942 Special Deposit Fund	1,558	2,458	2,740
0995 Reimbursements	35,025	42,378	45,140
1008 Firearms Safety and Enforcement Special Fund	3,077	3,201	3,353
3016 Missing Persons DNA Data Base Fund	3,291	3,333	3,354
3053 Public Rights Law Enforcement Special Fund	1,281	5,412	5,858
3061 Ratepayer Relief Fund	4,616	-	-
3086 DNA Identification Fund	21,145	74,166	78,913
3087 Unfair Competition Law Fund	2,621	9,424	9,925
3088 Registry of Charitable Trusts Fund	2,778	2,882	2,933
9731 Legal Services Revolving Fund	119,063	111,782	181,311
9740 Central Service Cost Recovery Fund	2,283	2,226	3,090
TOTALS, EXPENDITURES, ALL FUNDS	\$681,425	\$724,941	\$775,655

Less amount funded in the Political Reform Act (2008-09 \$195 and 2009-10 \$195); 0942 Special Deposit Fund includes State Asset Forfeiture Account (2008-09 \$297, 2009-10 \$207, and 2010-11 \$568), Federal Asset Forfeiture Account (2008-09 \$1,488, 2009-10 \$1,551 and 2010-11 \$1,551), and Electronic Recording Authorization Account (2008-09 \$260, 2009-10 \$650, and 2010-11 \$650).

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY

11-Directorate and Administration:

Civil Code Section 51.1; Government Code Section 12519.

20- Legal Services:

California Constitution, Article V, Section 13; Business and Professions Code Sections 17200 and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11043, 11157, 12510 et seq., 12580 et seq., 12600 et seq., 12657 et seq., 12989.3 and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7 and 104555-104557; Penal Code Sections 1256, 1475 and 1548.3; and Revenue and Taxation Code Section 30165.1.

50-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 12054, 12072, 12076, 12086, 12096, 12130, 12131, 12231, 12250, 12285, 12287, 12289, 12305, 12424, 13511, 14160-14167, 14250; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100, 11102, 11106, 11165, 11450, 11641, and 11647.

60-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

MAJOR PROGRAM CHANGES

- Convert Legal Services Clients to Billable Status-The Budget includes a reduction of \$50.1 million General Fund and an augmentation of \$60.8 million Legal Services Revolving Fund for the Department of Justice to reflect the conversion of General Fund legal services clients to billable status.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$4,935	\$5,328	-	\$4,935	\$5,328	-
• Full Year Cost of New/Expanded Programs	-	-	-	1,300	2,629	-
• Lease Revenue Debt Service Adjustment	-	-1	-	-	-14	-
• One Time Cost Reductions	-	-	-	-	-83	-
• Limited Term/Expiring Programs	-	-	-	-	-3,129	-
• Employee Compensation	-13,427	-26,779	-	-542	-1,619	-
• Miscellaneous Adjustments	195	-300	-	-724	1,860	-
Totals, Other Workload Budget Adjustments	-\$8,297	-\$21,752	-	\$4,969	\$4,972	-
Totals, Workload Budget Adjustments	-\$8,297	-\$21,752	-	\$4,969	\$4,972	-
Policy Adjustments						
• Legal Services Billable Conversion	\$-	\$-	-	-\$50,119	\$60,839	-
Totals, Policy Adjustments	\$-	\$-	-	-\$50,119	\$60,839	-
Totals, Budget Adjustments	-\$8,297	-\$21,752	-	-\$45,150	\$65,811	-

PROGRAM DESCRIPTIONS

11 - DIRECTORATE AND ADMINISTRATION

The Directorate and Administration Division consists of the Attorney General's Executive Office and the Division of Administrative Support. The Division maintains overall direction and administration while providing oversight and monitoring of the diverse programs and projects of the Department, including but not limited to, the Equal Employment Rights and Resolution Office, the Office of Program Review and Audits, and the Opinions Unit. The Equal Employment Rights and Resolution Office establishes and implements statewide policies and provides an oversight role by ensuring all employees and job applicants are treated equally and provided the ability to work in a non-discriminatory environment. The Office of Program Review and Audits assists the Attorney General in mitigating identified Departmental risks by providing factual information and recommended solutions, policies and procedures. The Opinions Unit performs the Attorney General's duty under Government Code Section 12519 to provide written opinions to designated public officials on questions of law relating to their respective offices. Additionally, the Division provides administrative support functions consisting of fiscal, personnel, and technical support activities for the entire department including specialized services such as legal secretarial support, litigation support, and law library services essential to the operations of the Department of Justice.

20 - LEGAL SERVICES

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law have primary responsibility to manage and litigate cases in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of eight sections: Business and Tax; Correctional Law; Employment and Administrative Mandates; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the state in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations and prosecutes those engaged in illegal activities where local resources are inadequate to perform these functions, and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer crime, and financial crimes against the elderly.

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers. Public Rights provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trusts (including Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Underground Economy, Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law; Land Law; Consumer Law; Antitrust Law; and Tobacco Litigation Enforcement.

50 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into six elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation and Intelligence, (5) Bureau of Narcotic Enforcement, and (6) the Office of the Director.

The Bureau of Firearms provides oversight, enforcement, education and regulation of California's firearms/dangerous weapon laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of weapons. Agents also conduct firearms investigations to prevent illegal gun trafficking at in-state and out-of-state gun shows in accordance with state and federal law.

The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 47 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements by investigating the qualifications of individuals who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act. Further, it conducts criminal investigations in, on or about tribal casinos and cardrooms. Its role also includes regulating tribal gaming by ensuring that each tribe is in compliance with all aspects of the gaming compact entered into between the tribe and the state.

The Bureau of Investigation and Intelligence provides expert investigative and intelligence services to the Office of the Attorney General and allied California law enforcement agencies. Innovative programs, investigative expertise, and best practices in the collection, analysis, and dissemination of criminal intelligence are essential services provided by the Bureau's regional offices, field offices, intelligence centers and task forces.

The Bureau of Narcotic Enforcement combats the state's narcotic and violent crime problem by providing leadership, coordination, and support to law enforcement through 9 regional offices and multi-agency drug and gang task forces, special operations units, drug diversion teams and clandestine laboratory teams.

The Office of the Director (OD) enhances public safety through training, technical, intelligence and administrative support to the investigative, regulatory and forensic components of the DLE and other criminal justice agencies. OD serves as the policy-making and oversight body for its five operational bureaus.

60 - CALIFORNIA JUSTICE INFORMATION SERVICES

The Division of California Justice Information Services (DCJIS) provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services. 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system. 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes. 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System, and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

DETAILED EXPENDITURES BY PROGRAM

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
11 DIRECTORATE AND ADMINISTRATION			
ELEMENT REQUIREMENTS			
11.01 Directorate and Administration	\$83,126	\$84,410	\$87,952
11.02 Distributed Directorate and Administration	-83,126	-84,410	-87,952
PROGRAM REQUIREMENTS			
20 LEGAL SERVICES			
State Operations:			
0001 General Fund	\$148,457	\$166,996	\$124,713
0012 Attorney General Antitrust Account	954	2,075	2,222

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
0158 Travel Seller Fund	1,315	1,371	1,388
0367 Indian Gaming Special Distribution Fund	970	968	1,027
0378 False Claims Act Fund	6,871	9,187	9,754
0567 Gambling Control Fund	344	342	363
0890 Federal Trust Fund	24,066	20,434	24,680
0942 Electronic Recording Authorization Account, Special Deposit Fund	-	71	71
0995 Reimbursements	1,344	1,673	1,439
3053 Public Rights Law Enforcement Special Fund	1,281	5,412	5,858
3061 Ratepayer Relief Fund	4,616	-	-
3087 Unfair Competition Law Fund	2,621	9,424	9,925
3088 Registry of Charitable Trusts Fund	2,516	2,604	2,656
9731 Legal Services Revolving Fund	119,063	111,782	181,311
9740 Central Service Cost Recovery Fund	2,283	2,226	3,090
Totals, State Operations	\$316,701	\$334,565	\$368,497
ELEMENT REQUIREMENTS			
20.10 Civil Law	\$129,105	\$137,472	\$155,321
State Operations:			
0001 General Fund	35,476	46,862	15,035
0942 Electronic Recording Authorization Account, Special Deposit Fund	-	71	71
9731 Legal Services Revolving Fund	91,767	88,762	137,743
9740 Central Service Cost Recovery Fund	1,862	1,777	2,472
20.20 Criminal Law	\$115,200	\$115,842	\$124,328
State Operations:			
0001 General Fund	88,230	92,470	86,309
0378 False Claims Act Fund	1,715	1,710	1,712
0890 Federal Trust Fund	24,066	20,434	24,680
0995 Reimbursements	1,184	1,224	1,439
9731 Legal Services Revolving Fund	5	4	10,188
20.30 Public Rights	\$72,396	\$81,251	\$88,848
State Operations:			
0001 General Fund	24,751	27,664	23,369
0012 Attorney General Antitrust Account	954	2,075	2,222
0158 Travel Seller Fund	1,315	1,371	1,388
0367 Indian Gaming Special Distribution Fund	970	968	1,027
0378 False Claims Act Fund	5,156	7,477	8,042
0567 Gambling Control Fund	344	342	363
0995 Reimbursements	160	449	-
3053 Public Rights Law Enforcement Special Fund	1,281	5,412	5,858
3061 Ratepayer Relief Fund	4,616	-	-
3087 Unfair Competition Law Fund	2,621	9,424	9,925
3088 Registry of Charitable Trusts Fund	2,516	2,604	2,656
9731 Legal Services Revolving Fund	27,291	23,016	33,380
9740 Central Service Cost Recovery Fund	421	449	618
PROGRAM REQUIREMENTS			
50 LAW ENFORCEMENT			
State Operations:			
0001 General Fund	\$117,993	\$75,227	\$78,401

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
0012 Attorney General Antitrust Account	27	25	27
0032 Firearm Safety Account	311	335	339
0142 Department of Justice Sexual Habitual Offender Fund	626	689	745
0214 Restitution Fund	333	360	359
0378 False Claims Act Fund	639	635	668
0367 Indian Gaming Special Distribution Fund	12,007	12,600	13,027
0460 Dealers' Record of Sale Special Account	8,046	9,379	9,537
0567 Gambling Control Fund	6,149	6,970	7,343
0569 Gambling Control Fines and Penalties Account	12	21	22
0890 Federal Trust Fund	10,648	8,955	8,933
0942 State Asset Forfeiture Account, Special Deposit Fund	50	530	558
0942 Federal Asset Forfeiture Account, Special Deposit Fund	1,269	1,271	1,525
1008 Firearms Safety and Enforcement Special Fund	3,077	3,201	3,353
0995 Reimbursements	32,839	38,113	41,036
3016 Missing Persons DNA Data Base Fund	3,291	3,333	3,354
3086 DNA Identification Fund	19,300	71,781	76,461
Totals, State Operations	\$216,617	\$233,425	\$245,688
Local Assistance:			
0214 Restitution Fund	\$4,855	\$4,855	\$4,855
0460 Dealers' Record of Sale Special Account	28	28	28
Totals, Local Assistance	\$4,883	\$4,883	\$4,883
ELEMENT REQUIREMENTS			
50.10 Investigation and Intelligence	\$35,629	\$37,130	\$36,977
State Operations:			
0001 General Fund	16,698	17,132	16,936
0012 Attorney General Antitrust Account	27	25	27
0214 Restitution Fund	333	360	359
0378 False Claims Act Fund	639	635	668
0890 Federal Trust Fund	468	1,166	246
0995 Reimbursements	12,609	12,957	13,886
Local Assistance:			
0214 Restitution Fund	4,855	4,855	4,855
50.15 Office of the Director	\$17,102	\$25,156	\$29,532
State Operations:			
0001 General Fund	14,834	15,583	15,552
0890 Federal Trust Fund	-	1,012	3,778
0995 Reimbursements	2,268	8,561	10,202
50.20 Narcotic Enforcement	\$51,302	\$51,074	\$56,821
State Operations:			
0001 General Fund	39,270	35,844	39,256
0890 Federal Trust Fund	1,976	3,760	2,869
0942 State Asset Forfeiture Account, Special Deposit Fund	50	530	558
0942 Federal Asset Forfeiture Account, Special Deposit Fund	1,269	1,271	1,525
0995 Reimbursements	8,737	9,669	12,613
50.30 Forensic Services	\$75,728	\$84,120	\$88,614
State Operations:			
0001 General Fund	42,397	1,670	1,679
0142 Department of Justice Sexual Habitual Offender Fund	626	689	745
0890 Federal Trust Fund	2,796	3,017	2,040

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
0995 Reimbursements	7,318	3,630	4,335
3016 Missing Persons DNA Data Base Fund	3,291	3,333	3,354
3086 DNA Identification Fund	19,300	71,781	76,461
50.60 Western States Information Network	\$7,092	\$-	\$-
State Operations:			
0890 Federal Trust Fund	5,408	-	-
0995 Reimbursements	1,684	-	-
50.75 Gambling	\$18,208	\$21,091	\$20,392
State Operations:			
0367 Indian Gaming Special Distribution Fund	12,007	12,600	13,027
0567 Gambling Control Fund	6,149	6,970	7,343
0569 Gambling Control Fines and Penalties Account	12	21	22
0995 Reimbursements	40	1,500	-
50.80 Firearms	\$16,439	\$19,737	\$18,235
State Operations:			
0001 General Fund	4,794	4,998	4,978
0032 Firearm Safety Account	311	335	339
0460 Dealers' Record of Sale Special Account	8,046	9,379	9,537
1008 Firearms Safety and Enforcement Special Fund	3,077	3,201	3,353
0995 Reimbursements	183	1,796	-
Local Assistance:			
0460 Dealers' Record of Sale Special Account	28	28	28
PROGRAM REQUIREMENTS			
60 CALIFORNIA JUSTICE INFORMATION SERVICES			
State Operations:			
0001 General Fund	\$50,513	\$49,601	\$51,857
0012 Attorney General Antitrust Account	13	14	14
0017 Fingerprint Fees Account	60,371	65,559	68,015
0044 Motor Vehicle Account, State Transportation Fund	23,690	24,146	24,709
0142 Department of Justice Sexual Habitual Offender Fund	1,203	1,438	1,500
0158 Travel Seller Fund	13	13	13
0256 Sexual Predator Public Information Account	113	171	171
0367 Indian Gaming Special Distribution Fund	288	305	305
0378 False Claims Act Fund	438	467	467
0460 Dealers' Record of Sale Special Account	1,047	1,302	1,714
0566 Department of Justice Child Abuse Fund	322	372	377
0569 Gambling Control Fines and Penalties Account	25	26	26
0890 Federal Trust Fund	82	895	421
0942 State Asset Forfeiture Account, Special Deposit Fund	7	7	7
0942 Electronic Recording Authorization Account, Special Deposit Fund	232	579	579
0995 Reimbursements	842	2,592	2,665
3086 DNA Identification Fund	1,845	2,385	2,452
3088 Registry of Charitable Trusts Fund	262	278	277
Totals, State Operations	\$141,306	\$150,150	\$155,569
Local Assistance:			
0641 Domestic Violence Restraining Order Reimbursement Fund	\$1,918	\$1,918	\$1,018
Totals, Local Assistance	\$1,918	\$1,918	\$1,018

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
ELEMENT REQUIREMENTS			
60.10 O. J. Hawkins Data Center	\$38,709	\$44,167	\$41,740
State Operations:			
0001 General Fund	14,146	15,330	15,787
0012 Attorney General Antitrust Account	13	14	14
0017 Fingerprint Fees Account	2,549	6,419	2,640
0044 Motor Vehicle Account, State Transportation Fund	18,766	19,078	19,755
0158 Travel Seller Fund	13	13	13
0367 Indian Gaming Special Distribution Fund	288	305	305
0378 False Claims Act Fund	438	467	467
0460 Dealers' Record of Sale Special Account	547	744	894
0569 Gambling Control Fines and Penalties Account	25	26	26
0942 State Asset Forfeiture Account, Special Deposit Fund	7	7	7
0995 Reimbursements	631	536	532
3086 DNA Identification Fund	1,049	976	1,049
3088 Registry of Charitable Trusts Fund	237	252	251
60.30 Criminal Information and Analysis	\$56,323	\$55,573	\$63,276
State Operations:			
0001 General Fund	7,716	14,872	7,480
0017 Fingerprint Fees Account	47,108	39,153	53,558
0142 Department of Justice Sexual Habitual Offender Fund	513	350	597
0460 Dealers' Record of Sale Special Account	247	173	491
0566 Department of Justice Child Abuse Fund	315	364	369
0942 Electronic Recording Authorization Account, Special Deposit Fund	232	579	579
0995 Reimbursements	192	82	202
60.40 Criminal Identification and Investigation Services	\$30,440	\$31,464	\$32,170
State Operations:			
0001 General Fund	19,745	12,953	21,800
0017 Fingerprint Fees Account	5,446	12,159	5,054
0044 Motor Vehicle Account, State Transportation Fund	1,498	1,433	1,537
0142 Department of Justice Sexual Habitual Offender Fund	690	1,070	892
0256 Sexual Predator Public Information Account	94	151	151
0460 Dealers' Record of Sale Special Account	253	383	327
0995 Reimbursements	11	-	-
3086 DNA Identification Fund	785	1,397	1,391
Local Assistance:			
0641 Domestic Violence Restraining Order Reimbursement Fund	1,918	1,918	1,018
60.60 Criminal Justice Operational Support Program	\$17,752	\$20,864	\$19,401
State Operations:			
0001 General Fund	8,906	6,446	6,790
0017 Fingerprint Fees Account	5,268	7,828	6,763
0044 Motor Vehicle Account, State Transportation Fund	3,426	3,635	3,417
0142 Department of Justice Sexual Habitual Offender Fund	-	18	11
0256 Sexual Predator Public Information Account	19	20	20
0460 Dealers' Record of Sale Special Account	-	2	2
0566 Department of Justice Child Abuse Fund	7	8	8
0890 Federal Trust Fund	82	895	421

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0995 Reimbursements	8	1,974	1,931
3086 DNA Identification Fund	11	12	12
3088 Registry of Charitable Trusts Fund	25	26	26
TOTALS, EXPENDITURES			
State Operations	674,624	718,140	769,754
Local Assistance	<u>6,801</u>	<u>6,801</u>	<u>5,901</u>
Totals, Expenditures	\$681,425	\$724,941	\$775,655

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	4,679.0	5,260.3	5,259.6	\$350,350	\$383,938	\$387,483
Total Adjustments	-	-	-	-	-32,361	-
Estimated Salary Savings	<u>-</u>	<u>-263.0</u>	<u>-263.0</u>	<u>-</u>	<u>-17,579</u>	<u>-19,731</u>
Net Totals, Salaries and Wages	4,679.0	4,997.3	4,996.6	\$350,350	\$333,998	\$367,752
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>122,594</u>	<u>159,664</u>	<u>133,055</u>
Totals, Personal Services	4,679.0	4,997.3	4,996.6	\$472,944	\$493,662	\$500,807
OPERATING EXPENSES AND EQUIPMENT				<u>\$201,680</u>	<u>\$224,478</u>	<u>\$268,947</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$674,624	\$718,140	\$769,754

2 Local Assistance

	<u>Expenditures</u>		
	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Grants and Subventions	<u>\$6,801</u>	<u>\$6,801</u>	<u>\$5,901</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$6,801	\$6,801	\$5,901

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$345,933	-	-
Allocation for contingencies or emergencies	400	-	-
Adjustment per Section 3.60	264	-	-
Reduction per Section 3.90	-10,700	-	-
Adjustment per Section 4.04	-3,082	-	-
Adjustment per Section 3.55	-468	-	-
Transfer from Item 8640-001-0001	195	-	-
001 Budget Act appropriation	-	\$300,121	\$253,471
Allocation for employee compensation	-	425	-
Adjustment per Section 3.60	-	4,935	-
Reduction per Control Section 3.91	-	-13,852	-
Transfer from Item 8640-001-0001	-	195	-
003 Budget Act appropriation (Lease-Revenue)	4,102	-	-
Adjustment per Section 4.30	-3,588	-	-

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
015 Budget Act appropriation	-	-	1,500
Totals Available	\$333,056	\$291,824	\$254,971
Unexpended balance, estimated savings	-16,093	-	-
TOTALS, EXPENDITURES	\$316,963	\$291,824	\$254,971
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,342	\$2,220	\$2,263
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	2	33	-
Reduction per Control Section 3.91	-	-140	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$1,343	\$2,114	\$2,263
Unexpended balance, estimated savings	-349	-	-
TOTALS, EXPENDITURES	\$994	\$2,114	\$2,263
0017 Fingerprint Fees Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$66,615	\$66,821	\$68,015
Allocation for employee compensation	-	135	-
Adjustment per Section 3.60	47	606	-
Reduction per Section 3.90	-1,539	-	-
Reduction per Control Section 3.91	-	-2,003	-
Adjustment per Section 3.55	-94	-	-
Totals Available	\$65,029	\$65,559	\$68,015
Unexpended balance, estimated savings	-4,658	-	-
TOTALS, EXPENDITURES	\$60,371	\$65,559	\$68,015
0032 Firearm Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$331	\$335	\$339
Totals Available	\$331	\$335	\$339
Unexpended balance, estimated savings	-20	-	-
TOTALS, EXPENDITURES	\$311	\$335	\$339
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$23,834	\$24,069	\$24,709
Allocation for employee compensation	-	78	-
Adjustment per Section 3.60	32	354	-
Reduction per Control Section 3.91	-	-355	-
Adjustment per Section 3.55	-36	-	-
Totals Available	\$23,830	\$24,146	\$24,709
Unexpended balance, estimated savings	-140	-	-
TOTALS, EXPENDITURES	\$23,690	\$24,146	\$24,709
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,218	\$2,199	\$2,245
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	-1	49	-
Reduction per Section 3.90	-198	-	-
Reduction per Control Section 3.91	-	-125	-
Adjustment per Section 3.55	-5	-	-

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals Available	\$2,014	\$2,127	\$2,245
Unexpended balance, estimated savings	-185	-	-
TOTALS, EXPENDITURES	\$1,829	\$2,127	\$2,245
0158 Travel Seller Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,346	\$1,364	\$1,401
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	2	18	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$1,347	\$1,384	\$1,401
Unexpended balance, estimated savings	-19	-	-
TOTALS, EXPENDITURES	\$1,328	\$1,384	\$1,401
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$351	\$355	\$359
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	4	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$350	\$360	\$359
Unexpended balance, estimated savings	-17	-	-
TOTALS, EXPENDITURES	\$333	\$360	\$359
0256 Sexual Predator Public Information Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$171	\$171	\$171
Totals Available	\$171	\$171	\$171
Unexpended balance, estimated savings	-58	-	-
TOTALS, EXPENDITURES	\$113	\$171	\$171
0317 Real Estate Fund			
APPROPRIATIONS			
011 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	(\$500)	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$14,080	\$14,146	\$14,359
Allocation for employee compensation	-	26	-
Adjustment per Section 3.60	7	226	-
Reduction per Control Section 3.91	-	-525	-
Adjustment per Section 3.55	-27	-	-
Totals Available	\$14,060	\$13,873	\$14,359
Unexpended balance, estimated savings	-795	-	-
TOTALS, EXPENDITURES	\$13,265	\$13,873	\$14,359
0378 False Claims Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$10,870	-	-
Adjustment per Section 3.60	12	-	-
Reduction per Section 3.90	-700	-	-
Adjustment per Section 3.55	-9	-	-
001 Budget Act appropriation	-	\$10,676	\$10,889

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Allocation for employee compensation	-	12	-
Adjustment per Section 3.60	-	139	-
Reduction per Control Section 3.91	-	-538	-
012 Budget Act appropriation (Loan to the General Fund)	-	(15,700)	-
Totals Available	\$10,173	\$10,289	\$10,889
Unexpended balance, estimated savings	-2,225	-	-
TOTALS, EXPENDITURES	\$7,948	\$10,289	\$10,889
0460 Dealers' Record of Sale Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,907	\$11,182	\$11,251
Allocation for employee compensation	-	19	-
Allocation for contingencies or emergencies	512	-	-
Adjustment per Section 3.60	2	180	-
Reduction per Section 3.90	-511	-	-
Reduction per Control Section 3.91	-	-700	-
Adjustment per Section 3.55	-17	-	-
Totals Available	\$9,893	\$10,681	\$11,251
Unexpended balance, estimated savings	-800	-	-
TOTALS, EXPENDITURES	\$9,093	\$10,681	\$11,251
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$361	\$365	\$377
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	6	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$360	\$372	\$377
Unexpended balance, estimated savings	-38	-	-
TOTALS, EXPENDITURES	\$322	\$372	\$377
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,271	\$7,603	\$7,706
Allocation for employee compensation	-	15	-
Adjustment per Section 3.60	6	116	-
Reduction per Control Section 3.91	-	-422	-
Adjustment per Section 3.55	-11	-	-
Totals Available	\$7,266	\$7,312	\$7,706
Unexpended balance, estimated savings	-773	-	-
TOTALS, EXPENDITURES	\$6,493	\$7,312	\$7,706
0569 Gambling Control Fines and Penalties Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$45	\$47	\$48
Totals Available	\$45	\$47	\$48
Unexpended balance, estimated savings	-8	-	-
TOTALS, EXPENDITURES	\$37	\$47	\$48
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$40,253	-	-
Adjustment per Section 3.60	14	-	-

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Reduction per Section 3.90	-56	-	-
Adjustment per Section 3.55	-20	-	-
Budget Adjustment	-5,395	-	-
001 Budget Act appropriation	-	\$34,364	\$34,034
Allocation for employee compensation	-	25	-
Adjustment per Section 3.60	-	402	-
Reduction per Control Section 3.91	-	-4,507	-
TOTALS, EXPENDITURES	\$34,796	\$30,284	\$34,034
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,551	\$1,551	\$1,525
Reduction per Control Section 3.91	-	-280	-
011 Budget Act appropriation (State Asset Forfeiture Account)	595	568	565
Reduction per Section 3.90	-388	-	-
Reduction per Control Section 3.91	-	-31	-
Government Code Section 27397 (e)	232	650	650
Totals Available	\$1,990	\$2,458	\$2,740
Unexpended balance, estimated savings	-432	-	-
TOTALS, EXPENDITURES	\$1,558	\$2,458	\$2,740
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$35,025	\$42,378	\$45,140
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,252	\$3,295	\$3,353
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-1	25	-
Reduction per Control Section 3.91	-	-120	-
Adjustment per Section 3.55	-4	-	-
Totals Available	\$3,247	\$3,201	\$3,353
Unexpended balance, estimated savings	-170	-	-
TOTALS, EXPENDITURES	\$3,077	\$3,201	\$3,353
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,376	\$3,388	\$3,354
Adjustment per Section 3.60	-2	20	-
Reduction per Control Section 3.91	-	-75	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$3,373	\$3,333	\$3,354
Unexpended balance, estimated savings	-82	-	-
TOTALS, EXPENDITURES	\$3,291	\$3,333	\$3,354
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,615	\$5,718	\$5,858
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	5	53	-
Reduction per Control Section 3.91	-	-363	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$5,619	\$5,412	\$5,858

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Unexpended balance, estimated savings	-4,338	-	-
TOTALS, EXPENDITURES	\$1,281	\$5,412	\$5,858
3061 Ratepayer Relief Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,896	-	-
Adjustment per Section 3.60	3	-	-
Adjustment per Section 3.55	-2	-	-
Totals Available	\$4,897	\$-	\$-
Unexpended balance, estimated savings	-281	-	-
TOTALS, EXPENDITURES	\$4,616	\$-	\$-
3086 DNA Identification Fund			
APPROPRIATIONS			
001 Budget Act appropriation (DNA Initiative)as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$31,688	-	-
Adjustment per Section 3.60	-9	-	-
Reduction per Section 3.90	-2,762	-	-
Adjustment per Section 3.55	-10	-	-
Pending legislation	13,667	-	-
001 Budget Act appropriation (DNA Initiative)	-	\$73,337	\$74,822
Allocation for employee compensation	-	25	-
Adjustment per Section 3.60	-	677	-
Reduction per Control Section 3.91	-	-3,977	-
003 Budget Act appropriation (Lease Revenue)	-	4,105	4,091
Adjustment per Section 4.30	-	-1	-
Totals Available	\$42,574	\$74,166	\$78,913
Unexpended balance, estimated savings	-21,429	-	-
TOTALS, EXPENDITURES	\$21,145	\$74,166	\$78,913
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Unfair Competition Law Fund)	\$3,247	\$9,748	\$9,925
Allocation for employee compensation	-	13	-
Adjustment per Section 3.60	4	163	-
Reduction per Control Section 3.91	-	-200	-
Adjustment per Section 3.55	-3	-	-
Totals Available	\$3,248	\$9,724	\$9,925
Unexpended balance, estimated savings	-627	-300	-
TOTALS, EXPENDITURES	\$2,621	\$9,424	\$9,925
3088 Registry of Charitable Trusts Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,830	\$2,855	\$2,933
Allocation for employee compensation	-	8	-
Adjustment per Section 3.60	4	43	-
Reduction per Control Section 3.91	-	-24	-
Adjustment per Section 3.55	-5	-	-
Totals Available	\$2,829	\$2,882	\$2,933
Unexpended balance, estimated savings	-51	-	-
TOTALS, EXPENDITURES	\$2,778	\$2,882	\$2,933
3136 Foreclosure Consultant Regulation Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$500	-	-
Reduction per Section 3.90	-300	-	-
001 Budget Act appropriation	-	\$500	-
Reduction per Control Section 3.91	-	-500	-
Totals Available	\$200	\$-	\$-
Unexpended balance, estimated savings	-200	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Legal Services Revolving Fund)	\$114,653	\$118,515	\$182,811
Allocation for employee compensation	-	142	-
Adjustment per Section 3.60	187	1,831	-
Reduction per Control Section 3.91	-	-8,706	-
Adjustment per Section 3.55	-93	-	-
Revised expenditure authority per Provision 1	5,400	-	-
Totals Available	\$120,147	\$111,782	\$182,811
Unexpended balance, estimated savings	-1,084	-	-
TOTALS, EXPENDITURES	\$119,063	\$111,782	\$182,811
Less funding provided by the General Fund	-	-	-1,500
NET TOTALS, EXPENDITURES	\$119,063	\$111,782	\$181,311
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,281	\$2,339	\$3,090
Adjustment per Section 3.60	3	37	-
Reduction per Control Section 3.91	-	-150	-
Adjustment per Section 3.55	-1	-	-
TOTALS, EXPENDITURES	\$2,283	\$2,226	\$3,090
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$674,624	\$718,140	\$769,754
2 LOCAL ASSISTANCE			
2014 Restitution Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,855	\$4,855	\$4,855
TOTALS, EXPENDITURES	\$4,855	\$4,855	\$4,855
0460 Dealers' Record of Sale Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$28	\$28	\$28
TOTALS, EXPENDITURES	\$28	\$28	\$28
0641 Domestic Violence Restraining Order Reimbursement Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,918	\$1,918	\$1,018
TOTALS, EXPENDITURES	\$1,918	\$1,918	\$1,018
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$6,801	\$6,801	\$5,901
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$681,425	\$724,941	\$775,655

FUND CONDITION STATEMENTS

2009-10* 2010-11* 2011-12*

0012 Attorney General Antitrust Account [§]

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
BEGINNING BALANCE	\$259	\$643	\$746
Prior year adjustments	<u>28</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$287	\$643	\$746
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
160100 Attorney General Proceeds of Anti-Trust	<u>1,351</u>	<u>2,220</u>	<u>2,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,351</u>	<u>\$2,220</u>	<u>\$2,000</u>
Total Resources	\$1,638	\$2,863	\$2,746
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	994	2,114	2,263
0840 State Controller (State Operations)	1	2	4
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>1</u>	<u>9</u>
Total Expenditures and Expenditure Adjustments	<u>\$995</u>	<u>\$2,117</u>	<u>\$2,276</u>
FUND BALANCE	\$643	\$746	\$470
Reserve for economic uncertainties	643	746	470
0017 Fingerprint Fees Account ^s			
BEGINNING BALANCE	\$10,954	\$21,802	\$27,992
Prior year adjustments	<u>1,646</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$12,600	\$21,802	\$27,992
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131600 Fingerprint ID Card Fees	<u>69,625</u>	<u>71,916</u>	<u>70,386</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$69,625</u>	<u>\$71,916</u>	<u>\$70,386</u>
Total Resources	\$82,225	\$93,718	\$98,378
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	60,371	65,559	68,015
0840 State Controller (State Operations)	52	126	113
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>41</u>	<u>288</u>
Total Expenditures and Expenditure Adjustments	<u>\$60,423</u>	<u>\$65,726</u>	<u>\$68,416</u>
FUND BALANCE	\$21,802	\$27,992	\$29,962
Reserve for economic uncertainties	21,802	27,992	29,962
0032 Firearm Safety Account ^s			
BEGINNING BALANCE	\$864	\$1,035	\$1,202
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$862	\$1,035	\$1,202
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	<u>484</u>	<u>502</u>	<u>528</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$484</u>	<u>\$502</u>	<u>\$528</u>
Total Resources	\$1,346	\$1,537	\$1,730
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	311	335	339
0840 State Controller (State Operations)	-	-	1
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>-</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$311</u>	<u>\$335</u>	<u>\$341</u>
FUND BALANCE	\$1,035	\$1,202	\$1,389

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
Reserve for economic uncertainties	1,035	1,202	1,389
0142 Department of Justice Sexual Habitual Offender Fund ^s			
BEGINNING BALANCE	\$2,360	\$2,308	\$1,948
Prior year adjustments	6	-	-
Adjusted Beginning Balance	\$2,366	\$2,308	\$1,948
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	1,773	1,773	1,700
Total Revenues, Transfers, and Other Adjustments	\$1,773	\$1,773	\$1,700
Total Resources	\$4,139	\$4,081	\$3,648
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	1,829	2,127	2,245
0840 State Controller (State Operations)	2	5	4
8880 Financial Information System for California (State Operations)	-	1	9
Total Expenditures and Expenditure Adjustments	\$1,831	\$2,133	\$2,258
FUND BALANCE	\$2,308	\$1,948	\$1,390
Reserve for economic uncertainties	2,308	1,948	1,390
0158 Travel Seller Fund ^s			
BEGINNING BALANCE	\$2,921	\$2,311	\$1,743
Prior year adjustments	-1	-	-
Adjusted Beginning Balance	\$2,920	\$2,311	\$1,743
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	701	800	800
150300 Income From Surplus Money Investments	19	19	19
Total Revenues, Transfers, and Other Adjustments	\$720	\$819	\$819
Total Resources	\$3,640	\$3,130	\$2,562
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	1,328	1,384	1,401
0840 State Controller (State Operations)	1	2	2
8880 Financial Information System for California (State Operations)	-	1	6
Total Expenditures and Expenditure Adjustments	\$1,329	\$1,387	\$1,409
FUND BALANCE	\$2,311	\$1,743	\$1,153
Reserve for economic uncertainties	2,311	1,743	1,153
0255 Department of Justice DNA Testing Fund ^s			
BEGINNING BALANCE	\$301	\$296	-
Prior year adjustments	-7	-	-
Adjusted Beginning Balance	\$294	\$296	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	2	-	-
Transfers and Other Adjustments:			
TO0001 To General Fund per Government Code Section 16346	-	-296	-
Total Revenues, Transfers, and Other Adjustments	\$2	-\$296	-
Total Resources	\$296	-	-
FUND BALANCE	\$296	-	-

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
Reserve for economic uncertainties	296	-	-
0256 Sexual Predator Public Information Account^s			
BEGINNING BALANCE	\$388	\$373	\$295
Prior year adjustments	-2	-	-
Adjusted Beginning Balance	\$386	\$373	\$295
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	97	90	90
150300 Income From Surplus Money Investments	3	3	2
Total Revenues, Transfers, and Other Adjustments	\$100	\$93	\$92
Total Resources	\$486	\$466	\$387
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	113	171	171
Total Expenditures and Expenditure Adjustments	\$113	\$171	\$171
FUND BALANCE	\$373	\$295	\$216
Reserve for economic uncertainties	373	295	216
0288 The Registry of International Student Exchange Visitor Placement Organizations			
Fund^s			
BEGINNING BALANCE	\$75	\$78	\$78
Prior year adjustments	-1	-	-
Adjusted Beginning Balance	\$74	\$78	\$78
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	4	-	-
Total Revenues, Transfers, and Other Adjustments	\$4	-	-
Total Resources	\$78	\$78	\$78
FUND BALANCE	\$78	\$78	\$78
Reserve for economic uncertainties	78	78	78
0378 False Claims Act Fund^s			
BEGINNING BALANCE	\$1,087	\$3,023	\$3,428
Prior year adjustments	13,573	-	-
Adjusted Beginning Balance	\$14,660	\$3,023	\$3,428
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	43	43	43
164400 Civil & Criminal Violation Assessment	7,413	26,376	8,000
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 0820-012-0378 Budget Act of 2008	-11,137	-	-
TO0001 To General Fund loan per Item 0820-012-0378, Budget Act of 2010	-	-15,700	-
Total Revenues, Transfers, and Other Adjustments	-\$3,681	\$10,719	\$8,043
Total Resources	\$10,979	\$13,742	\$11,471
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	7,948	10,289	10,889
0840 State Controller (State Operations)	8	19	18
8880 Financial Information System for California (State Operations)	-	6	46
Total Expenditures and Expenditure Adjustments	\$7,956	\$10,314	\$10,953

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
FUND BALANCE	\$3,023	\$3,428	\$518
Reserve for economic uncertainties	3,023	3,428	518
0460 Dealers' Record of Sale Special Account ^s			
BEGINNING BALANCE	\$10,471	\$14,222	\$14,508
Prior year adjustments	36	-	-
Adjusted Beginning Balance	\$10,507	\$14,222	\$14,508
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	2,307	2,738	2,738
142500 Miscellaneous Services to the Public	10,537	8,283	7,392
Total Revenues, Transfers, and Other Adjustments	\$12,844	\$11,021	\$10,130
Total Resources	\$23,351	\$25,243	\$24,638
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice			
State Operations	9,093	10,681	11,251
Local Assistance	28	28	28
0840 State Controller (State Operations)	8	19	19
8880 Financial Information System for California (State Operations)	-	7	48
Total Expenditures and Expenditure Adjustments	\$9,129	\$10,735	\$11,346
FUND BALANCE	\$14,222	\$14,508	\$13,292
Reserve for economic uncertainties	14,222	14,508	13,292
0566 Department of Justice Child Abuse Fund ^s			
BEGINNING BALANCE	\$1,274	\$1,403	\$1,473
Prior year adjustments	-1	-	-
Adjusted Beginning Balance	\$1,273	\$1,403	\$1,473
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	444	433	433
150300 Income From Surplus Money Investments	8	9	9
Total Revenues, Transfers, and Other Adjustments	\$452	\$442	\$442
Total Resources	\$1,725	\$1,845	\$1,915
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	322	372	377
0840 State Controller (State Operations)	-	-	1
8880 Financial Information System for California (State Operations)	-	-	2
Total Expenditures and Expenditure Adjustments	\$322	\$372	\$380
FUND BALANCE	\$1,403	\$1,473	\$1,535
Reserve for economic uncertainties	1,403	1,473	1,535
0569 Gambling Control Fines and Penalties Account ^s			
BEGINNING BALANCE	\$314	\$286	\$251
Prior year adjustments	-2	-	-
Adjusted Beginning Balance	\$312	\$286	\$251
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164300 Penalty Assessments	11	12	12
Total Revenues, Transfers, and Other Adjustments	\$11	\$12	\$12

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
Total Resources	\$323	\$298	\$263
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	37	47	48
Total Expenditures and Expenditure Adjustments	<u>\$37</u>	<u>\$47</u>	<u>\$48</u>
FUND BALANCE	\$286	\$251	\$215
Reserve for economic uncertainties	286	251	215
1008 Firearms Safety and Enforcement Special Fund ^s			
BEGINNING BALANCE	\$2,882	\$3,757	\$4,486
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	3,933	3,910	4,040
150300 Income From Surplus Money Investments	22	29	33
Total Revenues, Transfers, and Other Adjustments	<u>\$3,955</u>	<u>\$3,939</u>	<u>\$4,073</u>
Total Resources	\$6,837	\$7,696	\$8,559
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,077	3,201	3,353
0840 State Controller (State Operations)	3	7	6
8880 Financial Information System for California (State Operations)	-	2	14
Total Expenditures and Expenditure Adjustments	<u>\$3,080</u>	<u>\$3,210</u>	<u>\$3,373</u>
FUND BALANCE	\$3,757	\$4,486	\$5,186
Reserve for economic uncertainties	3,757	4,486	5,186
3016 Missing Persons DNA Data Base Fund ^s			
BEGINNING BALANCE	\$3,972	\$4,312	\$4,321
Prior year adjustments	311	-	-
Adjusted Beginning Balance	<u>\$4,283</u>	<u>\$4,312</u>	<u>\$4,321</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131700 Misc Revenue From Local Agencies	3,297	3,324	3,324
150300 Income From Surplus Money Investments	26	28	28
Total Revenues, Transfers, and Other Adjustments	<u>\$3,323</u>	<u>\$3,352</u>	<u>\$3,352</u>
Total Resources	\$7,606	\$7,664	\$7,673
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,291	3,333	3,354
0840 State Controller (State Operations)	3	7	6
8880 Financial Information System for California (State Operations)	-	3	14
Total Expenditures and Expenditure Adjustments	<u>\$3,294</u>	<u>\$3,343</u>	<u>\$3,374</u>
FUND BALANCE	\$4,312	\$4,321	\$4,299
Reserve for economic uncertainties	4,312	4,321	4,299
3053 Public Rights Law Enforcement Special Fund ^s			
BEGINNING BALANCE	\$4,639	\$4,395	\$2,990
Prior year adjustments	-954	-	-
Adjusted Beginning Balance	<u>\$3,685</u>	<u>\$4,395</u>	<u>\$2,990</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	21	21	21

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
163000 Settlements/Judgments(not Anti-trust)	1,974	4,000	4,000
Total Revenues, Transfers, and Other Adjustments	<u>\$1,995</u>	<u>\$4,021</u>	<u>\$4,021</u>
Total Resources	\$5,680	\$8,416	\$7,011
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	1,281	5,412	5,858
0840 State Controller (State Operations)	4	10	10
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>4</u>	<u>24</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,285</u>	<u>\$5,426</u>	<u>\$5,892</u>
FUND BALANCE	\$4,395	\$2,990	\$1,119
Reserve for economic uncertainties	4,395	2,990	1,119
3086 DNA Identification Fund ^s			
BEGINNING BALANCE	\$31,016	\$44,714	\$30,516
Prior year adjustments	<u>6,265</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$37,281	\$44,714	\$30,516
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	206	295	21
164300 Penalty Assessments	<u>28,395</u>	<u>59,748</u>	<u>69,428</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$28,601</u>	<u>\$60,043</u>	<u>\$69,449</u>
Total Resources	\$65,882	\$104,757	\$99,965
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	21,145	74,166	78,913
0840 State Controller (State Operations)	23	56	124
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>19</u>	<u>330</u>
Total Expenditures and Expenditure Adjustments	<u>\$21,168</u>	<u>\$74,241</u>	<u>\$79,367</u>
FUND BALANCE	\$44,714	\$30,516	\$20,598
Reserve for economic uncertainties	44,714	30,516	20,598
3087 Unfair Competition Law Fund ^s			
BEGINNING BALANCE	\$2,859	\$3,530	\$2,120
Prior year adjustments	<u>59</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,918	\$3,530	\$2,120
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	23	23	23
164300 Penalty Assessments	<u>3,213</u>	<u>8,000</u>	<u>8,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,236</u>	<u>\$8,023</u>	<u>\$8,023</u>
Total Resources	\$6,154	\$11,553	\$10,143
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,621	9,424	9,925
0840 State Controller (State Operations)	3	7	16
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>2</u>	<u>42</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,624</u>	<u>\$9,433</u>	<u>\$9,983</u>
FUND BALANCE	\$3,530	\$2,120	\$160
Reserve for economic uncertainties	3,530	2,120	160
3088 Registry of Charitable Trusts Fund ^s			

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

	2009-10*	2010-11*	2011-12*
BEGINNING BALANCE	\$2,338	\$3,086	\$3,072
Prior year adjustments	46	-	-
Adjusted Beginning Balance	\$2,384	\$3,086	\$3,072
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	3,462	2,855	2,855
150300 Income From Surplus Money Investments	20	20	20
Total Revenues, Transfers, and Other Adjustments	\$3,482	\$2,875	\$2,875
Total Resources	\$5,866	\$5,961	\$5,947
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,778	2,882	2,933
0840 State Controller (State Operations)	2	5	5
8880 Financial Information System for California (State Operations)	-	2	12
Total Expenditures and Expenditure Adjustments	\$2,780	\$2,889	\$2,950
FUND BALANCE	\$3,086	\$3,072	\$2,997
Reserve for economic uncertainties	3,086	3,072	2,997
3136 Foreclosure Consultant Regulation Fund ^s			
BEGINNING BALANCE	-	\$7	\$7
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	\$7	-	-
Total Revenues, Transfers, and Other Adjustments	\$7	-	-
Total Resources	\$7	\$7	\$7
FUND BALANCE	\$7	\$7	\$7
Reserve for economic uncertainties	7	7	7

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	4,679.0	5,260.3	5,259.6	\$350,350	\$383,938	\$387,483
Furlough Adjustments	-	-	-	-	-28,956	-
PLP Adjustments	-	-	-	-	-3,405	-
Total Adjustments	-	-	-	\$-	-\$32,361	\$-
TOTALS, SALARIES AND WAGES	4,679.0	5,260.3	5,259.6	\$350,350	\$351,577	\$387,483

INFRASTRUCTURE OVERVIEW

The Department of Justice (DOJ) has 96 facilities statewide consisting of 11 forensic laboratories, 6 legal offices, 31 storage locations, 2 aircraft hangars and 46 other multi-functional locations totaling 1.9 million square feet.

These facilities support the constitutional office of the Attorney General who, as Chief Law Enforcement Officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse legal, law enforcement and criminal justice information programs of the DOJ.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2009-10*	2010-11*	2011-12*
85	CAPITAL OUTLAY				
	Major Projects				
85.60	STATEWIDE		\$-	\$179	\$-
85.60.030	Fresno Replacement Laboratory		-	179 ^{Cg}	-
	Totals, Major Projects		\$-	\$179	\$-

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

State Building Program Expenditures	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES, ALL PROJECTS	\$-	\$179	\$-
FUNDING	2009-10*	2010-11*	2011-12*
0001 General Fund	\$-	\$179	\$-
TOTALS, EXPENDITURES, ALL FUNDS	\$-	\$179	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
Prior year balances available:			
Item 0820-301-0001, Budget Act of 2000	-	0	-
Augmentation per Government Code Sections 16352, 16409 and 16354	-	\$179	-
TOTALS, EXPENDITURES	\$-	\$179	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$-	\$179	\$-

0840 State Controller

The State Controller is the Chief Fiscal Officer of California, the eighth largest economy in the world. The Controller helps administer two of the largest public pension funds in the nation and serves on 78 state boards and commissions charged with duties ranging from protecting our coastline to helping build hospitals. The Controller provides sound fiscal control for, and independent oversight of, more than \$100 billion in receipts and disbursements of public funds. In addition, the Controller offers fiscal guidance to local governments, and its audit functions uncover fraud and abuse of taxpayer dollars. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds.
- Determine legality and accuracy of every claim against the State.
- Issue warrants in payment of the State's bills including lottery prizes.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, exempt employees, and state university and college system employees.
- Responsible for auditing various state and local government programs.
- Inform the public of the State's financial condition.
- Administer the Unclaimed Property Law.
- Inform the public of financial transactions of city, county and district governments.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Accounting and Reporting	177.7	207.1	207.0	\$25,907	\$26,900	\$28,452
20 Audits	269.1	321.5	318.7	35,488	39,096	39,368
30 Personnel/Payroll Services	252.0	291.3	290.9	53,829	89,656	89,614
50 Unclaimed Property Program	213.7	215.6	219.5	25,171	26,532	25,246
60 Administration and Disbursements	336.9	378.5	379.8	68,764	75,060	77,953
70.01 Distributed to Other Programs	-	-	-	-38,644	-38,180	-41,516
80 Loan Repayment Programs	-	-	-	-343	-206	-194
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,249.4	1,414.0	1,415.9	\$170,172	\$218,858	\$218,923
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$56,709	\$71,749	\$76,520
0002 Property Acquisition Law Money Account				1	2	2
0003 Motor Vehicle Parking Facilities Moneys Account				1	2	3
0006 Disability Access Account				3	7	-

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0009 Breast Cancer Control Account	21	51	29
0012 Attorney General Antitrust Account	1	2	4
0014 Hazardous Waste Control Account	36	87	61
0017 Fingerprint Fees Account	52	126	113
0020 California State Law Library Special Account	6	15	9
0022 State Emergency Telephone Number Account	21	51	165
0026 State Motor Vehicle Insurance Account	9	22	15
0028 Unified Program Account	6	15	32
0029 Nuclear Planning Assessment Special Account	5	12	15
0032 Firearm Safety Account	-	-	1
0033 State Energy Conservation Assistance Account	-	-	1
0035 Surface Mining and Reclamation Account	-	-	2
0041 Aeronautics Account, State Transportation Fund	5	12	8
0042 State Highway Account, State Transportation Fund	3,463	8,377	5,962
0044 Motor Vehicle Account, State Transportation Fund	3,714	8,984	4,927
0046 Public Transportation Account, State Transportation Fund	254	597	362
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	3,748	4,314	4,445
0062 Highway Users Tax Account, Transportation Tax Fund	1,303	1,482	1,524
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	18	27	482
0066 Sale of Tobacco to Minors Control Account	2	5	5
0067 State Corporations Fund	29	70	43
0069 State Board of Barbering and Cosmetology Fund	11	27	19
0070 Occupational Lead Poisoning Prevention Account	4	10	11
0074 Medical Waste Management Fund	2	5	4
0075 Radiation Control Fund	25	60	45
0076 Tissue Bank License Fund	-	-	1
0078 Graphic Design License Plate Account	1	2	2
0080 Childhood Lead Poisoning Prevention Fund	30	73	54
0082 Export Document Program Fund	1	2	1
0096 Cal-OSHA Targeted Inspection and Consultation Fund	6	15	11
0098 Clinical Laboratory Improvement Fund	6	15	18
0099 Health Statistics Special Fund	24	58	47
0100 California Used Oil Recycling Fund	2	5	1
0102 State Fire Marshal Licensing and Certification Fund	15	36	137
0106 Department of Pesticide Regulation Fund	34	82	52
0108 Acupuncture Fund	2	5	3
0111 Department of Agriculture Account, Department of Food and Agriculture Fund	68	164	286
0115 Air Pollution Control Fund	60	145	100
0117 Alcoholic Beverage Control Appeals Fund	1	2	1
0121 Hospital Building Fund	25	60	55
0129 Water Device Certification Special Account	-	-	1
0133 California Beverage Container Recycling Fund	57	138	-
0139 Driving Under-the-Influence Program Licensing Trust Fund	2	5	6
0140 California Environmental License Plate Fund	39	94	121
0141 Soil Conservation Fund	-	-	3
0142 Department of Justice Sexual Habitual Offender Fund	2	5	4
0143 California Health Data and Planning Fund	9	22	21
0152 State Board of Chiropractic Examiners Fund	1	2	3
0158 Travel Seller Fund	1	2	2

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0159 Trial Court Improvement Fund	2	5	12
0163 Continuing Care Provider Fee Fund	46	111	96
0166 Certification Account, Consumer Affairs Fund	1	2	1
0169 California Debt Limit Allocation Committee Fund	1	2	-
0171 California Debt and Investment Advisory Commission Fund	1	2	4
0172 Developmental Disabilities Program Development Fund	6	15	459
0175 Dispensing Opticians Fund	-	-	1
0177 Food Safety Fund	7	17	13
0178 Driver Training Penalty Assessment Fund	15	36	35
0179 Environmental Laboratory Improvement Fund	3	7	7
0181 Registered Nurse Education Fund	1	2	2
0184 Employment Development Department Benefit Audit Fund	29	70	64
0185 Employment Development Department Contingent Fund	178	431	213
0191 Fair and Exposition Fund	8	19	-
0193 Waste Discharge Permit Fund	20	48	32
0194 Emergency Medical Services Training Program Approval Fund	1	2	2
0198 California Fire and Arson Training Fund	14	34	155
0200 Fish and Game Preservation Fund	101	244	215
0203 Genetic Disease Testing Fund	18	44	42
0205 Geology and Geophysics Fund	1	2	1
0207 Fish and Wildlife Pollution Account	3	7	6
0208 Hearing Aid Dispensers Account of the Speech-Language Pathology and Audiology Fund	1	2	1
0209 California Hazardous Liquid Pipeline Safety Fund	17	41	162
0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund	-	-	1
0212 Marine Invasive Species Control Fund	4	10	8
0214 Restitution Fund	33	80	47
0217 Insurance Fund	143	346	189
0223 Workers' Compensation Administration Revolving Fund	105	254	216
0226 California Tire Recycling Management Fund	5	12	-
0228 Secretary of State's Business Fees Fund	30	73	48
0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund	17	42	35
0234 Research Account, Cigarette and Tobacco Products Surtax Fund	6	15	10
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund	10	25	88
0239 Private Security Services Fund	9	22	13
0240 Local Agency Deposit Security Fund	-	-	1
0242 Court Collection Account	8	19	60
0243 Narcotic Treatment Program Licensing Trust Fund	2	5	5
0245 Mobilehome Park Revolving Fund	7	17	11
0247 Drinking Water Operator Certification Special Account	2	5	3
0260 Nursing Home Administrator's State License Examining Fund	-	-	1
0263 Off-Highway Vehicle Trust Fund	25	60	349
0264 Osteopathic Medical Board of California Contingent Fund	1	2	2
0267 Exposition Park Improvement Fund	4	10	23
0271 Certification Fund	50	121	100
0272 Infant Botulism Treatment and Prevention Fund	7	17	12
0279 Child Health and Safety Fund	107	259	220
0280 Physician Assistant Fund	1	2	1
0286 Lake Tahoe Conservancy Account	1	2	1

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0289 State HICAP Fund	4	10	8
0293 Motor Carriers Safety Improvement Fund	4	10	6
0295 Board of Podiatric Medicine Fund	1	2	1
0298 Financial Institutions Fund	18	44	35
0299 Credit Union Fund	5	12	10
0300 Professional Forester Registration Fund	1	2	11
0305 Private Postsecondary Education Administration Fund	-	-	10
0306 Safe Drinking Water Account	13	31	26
0309 Perinatal Insurance Fund	1	2	2
0310 Psychology Fund	2	5	4
0312 Emergency Medical Services Personnel Fund	2	5	7
0313 Major Risk Medical Insurance Fund	5	12	7
0317 Real Estate Fund	38	92	62
0318 Collins-Dugan California Conservation Corps Reimbursement Account	84	203	151
0319 Respiratory Care Fund	2	5	3
0320 Oil Spill Prevention and Administration Fund	35	85	72
0322 Environmental Enhancement Fund	-	-	1
0325 Electronic and Appliance Repair Fund	2	5	3
0326 Athletic Commission Fund	1	2	3
0328 Public School Planning, Design, and Construction Review Revolving Fund	18	44	38
0330 Local Revenue Fund	570	697	716
0335 Registered Environmental Health Specialist Fund	-	-	1
0336 Mine Reclamation Account	-	-	5
0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund	1	2	12
0347 School Land Bank Fund	-	-	1
0365 Historic Property Maintenance Fund	2	5	3
0367 Indian Gaming Special Distribution Fund	23	56	48
0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund	2	5	3
0376 Speech-Language Pathology and Audiology Fund	-	-	1
0378 False Claims Act Fund	8	19	18
0381 Public Interest Research, Development, and Demonstration Fund	11	27	21
0382 Renewable Resource Trust Fund	11	27	20
0386 Solid Waste Disposal Site Cleanup Trust Fund	1	2	-
0387 Integrated Waste Management Account, Integrated Waste Management Fund	11	27	6
0392 State Parks and Recreation Fund	58	140	745
0396 Self-Insurance Plans Fund	2	5	5
0399 Structural Pest Control Education and Enforcement Fund	-	-	1
0407 Teacher Credentials Fund	15	36	21
0408 Test Development and Administration Account, Teacher Credentials Fund	6	15	7
0412 Transportation Rate Fund	-	-	1
0421 Vehicle Inspection and Repair Fund	105	254	146
0425 Victim - Witness Assistance Fund	4	10	16
0439 Underground Storage Tank Cleanup Fund	72	174	161
0442 California Olympic Training Account	92	40	-
0447 Wildlife Restoration Fund	2	5	3
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	1	2	2
0452 Elevator Safety Account	13	31	27
0453 Pressure Vessel Account	3	7	7

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0457 Tax Credit Allocation Fee Account	1	2	2
0460 Dealers' Record of Sale Special Account	8	19	19
0461 Public Utilities Commission Transportation Reimbursement Account	1	2	1
0462 Public Utilities Commission Utilities Reimbursement Account	6	15	9
0464 California High-Cost Fund-A Administrative Committee Fund	5	12	6
0465 Energy Resources Programs Account	12	29	24
0470 California High-Cost Fund-B Administrative Committee Fund	4	10	5
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	25	60	44
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund	5	12	7
0493 California Teleconnect Fund Administrative Committee Fund	5	12	7
0494 Other - Unallocated Special Funds	84	140	95
0501 California Housing Finance Fund	28	68	44
0502 California Water Resources Development Bond Fund	391	677	732
0507 Central Valley Water Project Revenue Fund	-	268	-
0512 Compensation Insurance Fund	808	1,954	1,213
0514 Employment Training Fund	166	402	1,240
0516 Harbors and Watercraft Revolving Fund	24	58	42
0518 Health Facility Construction Loan Insurance Fund	2	5	-
0528 California Alternative Energy Authority Fund	-	-	1
0530 Mobilehome Park Purchase Fund	1	2	1
0557 Toxic Substances Control Account	40	97	73
0564 Scholarshare Administrative Fund	-	-	1
0565 State Coastal Conservancy Fund	5	12	8
0566 Department of Justice Child Abuse Fund	-	-	1
0567 Gambling Control Fund	8	19	16
0568 Tahoe Conservancy Fund	-	-	1
0571 Uninsured Employers Benefits Trust Fund	19	46	32
0582 High Polluter Repair or Removal Account	59	143	79
0587 Family Law Trust Fund	1	2	3
0588 Unemployment Compensation Disability Fund	484	1,171	1,104
0592 Veterans' Farm and Home Building Fund of 1943	21	51	34
0602 Architecture Revolving Fund	17	41	30
0622 Drinking Water Treatment and Research Fund	1	2	-
0638 Administration Account, California Children and Families Trust Fund	5	12	8
0642 Domestic Violence Training and Education Fund	1	2	2
0648 Mobilehome-Manufactured Home Revolving Fund	20	48	27
0666 Service Revolving Fund	388	939	680
0679 State Water Quality Control Fund	8	19	11
0687 Donated Food Revolving Fund	71	172	152
0704 Accountancy Fund, Professions and Vocations Fund	8	19	13
0706 California Architects Board Fund	2	5	4
0717 Cemetery Fund, Professions and Vocations Fund	2	5	3
0735 Contractors' License Fund	37	89	64
0741 State Dentistry Fund	6	15	12
0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund	1	2	2
0752 Bureau of Home Furnishings and Thermal Insulation Fund	4	10	6
0757 California Board of Architectural Examiners - Landscape Architects Fund	1	2	1
0758 Contingent Fund of the Medical Board of California	33	80	58
0759 Physical Therapy Fund	1	2	3

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0761 Board of Registered Nursing Fund, Professions and Vocations Fund	15	36	31
0763 State Optometry Fund, Professions and Vocations Fund	1	2	2
0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund	7	17	14
0769 Private Investigator Fund	1	2	1
0770 Professional Engineers' and Land Surveyors' Fund	6	15	10
0771 Court Reporters Fund	-	-	1
0773 Behavioral Science Examiners Fund, Professions and Vocations Fund	4	10	9
0775 Structural Pest Control Fund	3	7	4
0777 Veterinary Medical Board Contingent Fund	2	5	3
0779 Vocational Nursing & Psychiatric Technicians Fund	6	15	13
0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund	1	2	3
0784 Student Loan Operating Fund	16	39	19
0797 Unallocated Bond Funds - Select	549	614	636
0803 State Children's Trust Fund	7	17	14
0813 Self - Help Housing Fund	3	7	4
0815 Judges' Retirement Fund	1	2	1
0820 Legislators' Retirement Fund	-	-	1
0821 Flexelect Benefit Fund	-	-	2
0822 Public Employees' Health Care Fund (PEHCF)	12	29	18
0823 California Alzheimer's Disease and Related Disorders Research Fund	1	2	2
0829 Health Professions Education Fund	1	2	-
0830 Public Employees' Retirement Fund	193	467	375
0833 Annuitants' Health Care Coverage Fund	-	-	2
0835 Teachers' Retirement Fund	75	181	131
0840 California Motorcyclist Safety Fund	2	5	-
0867 California Farmland Conservancy Program Fund	-	-	1
0877 DMV Local Agency Collection Fund	2	2	2
0884 Judges' Retirement System II Fund	-	-	1
0890 Federal Trust Fund	937	1,087	1,085
0903 State Penalty Fund	1,182	1,324	1,373
0904 California Health Facilities Financing Authority Fund	1	2	2
0908 School Employees Fund	2	5	4
0913 Industrial Relations Unpaid Wage Fund	3	7	5
0914 Bay Fill Clean-Up and Abatement Fund	-	-	2
0915 Deferred Compensation Plan Fund	4	10	26
0916 California Housing Loan Insurance Fund	2	5	2
0927 Joe Serna, Jr. Farmworker Housing Grant Fund	3	7	4
0928 Forest Resources Improvement Fund	32	102	410
0929 Housing Rehabilitation Loan Fund	14	34	21
0932 Trial Court Trust Fund	146	180	174
0933 Managed Care Fund	30	73	47
0938 Rental Housing Construction Fund	3	7	5
0940 Bosco-Keene Renewable Resources Investment Fund	-	-	1
0943 Land Bank Fund	-	-	1
0950 Public Employees Contingency Reserve Fund	21	51	35
0965 Timber Tax Fund	1	1	-
0969 Public Safety Account, Local Public Safety Fund	222	268	268
0970 Unclaimed Property Fund	25,047	26,785	25,485
0972 Manufactured Home Recovery Fund	-	-	1

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0985 Emergency Housing and Assistance Fund	2	5	2
0988 Other - Unallocated Non-Governmental Cost Funds	286	425	441
0995 Reimbursements	47,094	54,261	56,549
1006 Rural CUPA Reimbursement Account	-	-	1
1008 Firearms Safety and Enforcement Special Fund	3	7	6
3002 Electrician Certification Fund	2	5	3
3004 Garment Industry Regulations Fund	2	5	4
3007 Traffic Congestion Relief Fund	34	82	34
3008 Transportation Investment Fund	301	728	-
3010 Pierce's Disease Management Account	5	12	17
3015 Gas Consumption Surcharge Fund	44	106	61
3016 Missing Persons DNA Data Base Fund	3	7	6
3018 Drug and Device Safety Fund	6	15	11
3019 Substance Abuse Treatment Trust Fund	4	-	-
3022 Apprenticeship Training Contribution Fund	4	10	14
3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account	-	-	1
3030 Workers' Occupational Safety and Health Education Fund	1	2	2
3034 Antiterrorism Fund	2	5	-
3035 Environmental Quality Assessment Fund	-	-	1
3036 Alcohol Beverages Control Fund	65	157	105
3037 State Court Facilities Construction Fund	19	46	86
3046 Oil, Gas, and Geothermal Administrative Fund	1	2	32
3053 Public Rights Law Enforcement Special Fund	4	10	10
3056 Safe Drinking Water and Toxic Enforcement Fund	1	2	5
3057 Dam Safety Fund	5	12	9
3058 Water Rights Fund	3	7	9
3060 Appellate Court Trust Fund	1	2	5
3061 Ratepayer Relief Fund	4	10	-
3064 Mental Health Practitioner Education Fund	-	-	1
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	34	82	35
3067 Cigarette and Tobacco Products Compliance Fund	1	2	4
3070 Nontoxic Dry Cleaning Incentive Trust Fund	1	2	1
3074 Medical Marijuana Program Fund	-	-	1
3078 Labor and Workforce Development Fund	-	-	2
3080 AIDS Drug Assistance Program Rebate Fund	23	56	33
3081 Cannery Inspection Fund	2	5	4
3084 State Certified Unified Program Account	1	2	3
3085 Mental Health Services Fund	295	714	1,733
3086 DNA Identification Fund	23	56	124
3087 Unfair Competition Law Fund	3	7	16
3088 Registry of Charitable Trusts Fund	2	5	5
3089 Public Utilities Commission Ratepayer Advocate Account	2	5	2
3098 State Department of Public Health Licensing and Certification Program Fund	80	194	170
3103 Hatchery and Inland Fisheries Fund	21	51	34
3108 Professional Fiduciary Fund	-	-	1
3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund	4	10	7

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

FUNDING	2009-10*	2010-11*	2011-12*
3113 Residential and Outpatient Program Licensing Fund	5	12	16
3114 Birth Defects Monitoring Fund	4	10	7
3117 Alternative and Renewable Fuel and Vehicle Technology Fund	15	36	145
3119 Air Quality Improvement Fund	15	36	25
3120 State Fire Marshal Fireworks Enforcement and Disposal Fund	-	-	15
3121 Occupational Safety and Health Fund	9	22	54
3122 Enhanced Fleet Modernization Subaccount, High Polluter Removal and Repair Account	-	-	21
3123 Coastal Act Services Fund	1	2	4
3131 California Bingo Fund	-	-	1
3140 State Dental Hygiene Fund	1	2	1
3141 California Advanced Services Fund	4	10	3
3142 State Dental Assistant Fund	1	2	2
3144 Building Standards Administration Special Revolving Fund	-	-	8
3152 Labor Enforcement and Compliance Fund	-	-	47
3153 Horse Racing Fund	-	-	9
3157 Recreational Health Fund	-	-	1
3163 California Health Information Technology and Exchange Fund	-	-	7
6057 2006 State School Facilities Fund	821	954	987
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	1	2	-
8013 Environmental Enforcement and Training Account	-	-	1
8018 Salton Sea Restoration Fund	3	7	6
8020 Environmental Education Account	-	-	1
8034 Medically Underserved Account for Physicians, Health Professions Education Fund	1	2	-
8047 California Sea Otter Fund	-	-	1
8049 Vision Care Program for State Annuitants Fund	2	-	-
9730 Technology Services Revolving Fund	82	198	129
9731 Legal Services Revolving Fund	90	218	200
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund	-	-	1
9739 State Water Pollution Control Revolving Fund Administration Fund	1	2	2
9740 Central Service Cost Recovery Fund	17,216	20,310	20,305
TOTALS, EXPENDITURES, ALL FUNDS	\$170,172	\$218,858	\$218,923

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Constitution, Article XVI, Section 7; Government Code Section 12410 and 12411.

PROGRAM AUTHORITY

10-Accounting and Reporting:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2 Division 3, Part 10b, Chapter 7; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapter 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Health & Safety Code Division 24, Part 1, Chapter 1, Article 6; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets & Highways Code Division 3, Chapter 3 and 4; Welfare & Institutions Code Division 9, Part 5, Chapter 6; Revenue & Taxation Code Division 2, Part 2, Chapter 7, Article 1;

20-Audits:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq.

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

30-Personnel/Payroll Services:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq., and Government Code Section 19822.3

50-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

60-Administration and Disbursements:

Constitution, Article XVI, Section 7 and Government Code Section 12400 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII. State Board of Control-Government Code Section 13901. Franchise Tax Board-Government Code Section 15700. Pooled Money Investment Board-Government Code Section 16480.1. State Teachers' Retirement Board-Education Code Section 13851. Various bond and finance committees-Education Code Section 19510; Military and Veterans Code Section 991; Chapter 765/27, Chapter 23/63, 1st Extraordinary Session; Water Code Section 12933; Harbors and Navigation Code Sections 3902-3; and Government Code Section 17220. California Exposition and Fair Executive Committee-Food and Agricultural Code Section 72.1. State Lands Commission-Public Resources Code Section 6101. Reapportionment Commission-Constitution, Articles IV and VI. Reciprocity Commission-Vehicle Code Section 2600. Interagency Council for Ocean Resources-Government Code Sections 8810-11. Intergovernmental Council on Urban Growth-Government Code Section 34200. California Actuarial Advisory Panel - Government Code Section 7507.2.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• 21st Century Project	\$-	\$-	-	\$34,178	\$29,478	-
• Women, Infants and Children (WIC) Audits	-	1,142	6.0	-	1,762	12.0
• Unclaimed Property Accounting Workload	-	-	-	-	293	3.9
• Unclaimed Property Legal Costs	-	-	-	-	300	-
• Electronic Claim Audits	-	-	-	-	462	4.0
• Federal Oil and Gas Audits	-	314	1.9	-	314	1.9
• CalATERS	-	-	-	-	524	-
• SB 1192 Airport Customer Facility Fee Audits	-	140	0.9	-	134	0.9
• FI\$Cal Workload Adjustment	-	1,133	-	-	1,929	-
Totals, Workload Budget Change Proposals	\$-	\$2,729	8.8	\$34,178	\$35,196	22.7
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$2,100	-\$4,453	-	-\$522	-\$1,032	-
• Retirement Rate Adjustment	829	1,628	-	829	1,628	-
• Limited Term Positions/Expiring Programs	-	-	-	-30,681	-35,399	-
• One Time Cost Reductions	-	-	-	-4	-4,250	-12.0
• Full Year Cost of New/Expanded Programs	-	-	-	-	70	-
• Miscellaneous Adjustments	-	-33	-	-617	-1,048	-
Totals, Other Workload Budget Adjustments	-\$1,271	-\$2,858	-	-\$30,995	-\$40,031	-12.0
Totals, Workload Budget Adjustments	-\$1,271	-\$129	8.8	\$3,183	-\$4,835	10.7
Policy Adjustments						
• Billable Legal Services Conversion	\$-	\$-	-	\$317	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$317	\$-	-
Totals, Budget Adjustments	-\$1,271	-\$129	8.8	\$3,500	-\$4,835	10.7

PROGRAM DESCRIPTIONS

10 - ACCOUNTING AND REPORTING

The Division of Accounting and Reporting maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; maintains a database of information

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

and reports on local financial transactions; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; monitors the cash flow of the General Fund; prescribes uniform accounting procedures and formatting guidelines for presentation of budget data for counties and special districts; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; services and collects loans formerly serviced by the Technology, Trade and Commerce Agency (abolished January 1, 2004); refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; maintains the recently suspended Property Tax Postponement Program for senior and disabled citizens; administers the statewide discharge from accountability program in conjunction with the California Victim Compensation and Government Claims Board; collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop, implement, utilize, and maintain the Financial Information System for California (FI\$Cal) system to ensure best business practices; provides technological consulting, tools, and services regarding the Controller's accounting system to internal and external stakeholders; and reviews requests from state and local agencies to participate in the State's Interagency Intercept Program operated on behalf of the State Controller's Office by the Franchise Tax Board (intercept of tax refunds or state lottery prizes); and is involved in the processing of offsets, for state agencies only, for unpaid debts owed to those respective state agencies, as administered through the State Controller's Office, Unclaimed Property Division Intercept Program (offset of escheated or unclaimed property being held in the name of a debtor).

20 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent local auditors, performing field audits for a variety of state and federal programs, reviewing the single audits reports of local governments and local education agencies that receive "pass-through federal funds" from State agencies; and auditing major businesses for compliance with the Unclaimed Property Law.

30 - PERSONNEL/PAYROLL SERVICES

The Personnel/Payroll Services Division administers the state's payroll, employment history and leave accounting processes in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division pays state employees within the rules of the Uniform State Payroll process; maintains the state's official employment history and leave accounting processes in conformance with the appropriate laws, rules and memorandums of understanding and policies of the participating salary setting authorities; maintains the state's position roster and provides a wide variety of management and user information. The Division works with other entities, e.g., Department of Personnel Administration, Department of Finance, California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The 21st Century Project will replace existing statewide human resource management systems with a fully integrated solution (MyCalPays). Functionality will include employment, payroll, benefits, position management and leave accounting/timekeeping. The new system will enable the state to improve management processes and fulfill its payroll, reporting, and deduction processing obligations accurately and on time. Moreover, the new system will move the state from a transaction-based system to an enterprise database system that supports the business demands of state government.

50 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by notifying owners of their unclaimed property before it is transferred to the State; receiving unclaimed property from banks, savings and loans, and other business firms; and restoring property to the rightful owners.

60 - ADMINISTRATION AND DISBURSEMENTS

The Executive Office and the Administration and Disbursements Division provide executive direction and support services to programs in the State Controller's Office. The primary responsibilities include the establishment and maintenance of communications between the department and the public, the news media, budgeting, personnel, accounting information systems, and business services. Disbursements' primary responsibility is to produce and mail or deliver all payments of the state's obligations, including personal income tax refunds, payroll, and retirement payments. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	ACCOUNTING AND REPORTING			
	State Operations:			
0001	General Fund	\$12,690	\$10,892	\$11,889
0046	Public Transportation Account, State Transportation Fund	15	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	1,564	2,071	2,056
0062	Highway Users Tax Account, Transportation Tax Fund	402	460	463

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	14	17	17
0330 Local Revenue Fund	570	697	716
0442 California Olympic Training Account	92	40	-
0494 Other - Unallocated Special Funds	84	92	95
0797 Unallocated Bonds Funds - Select	549	614	636
0877 DMV Local Agency Collection Fund	2	2	2
0903 State Penalty Fund	226	259	269
0932 Trial Court Trust Fund	144	175	174
0965 Timber Tax Fund	1	1	-
0969 Public Safety Account, Local Public Safety Fund	222	268	268
0988 Other - Unallocated Non-Governmental Cost Funds	207	351	364
0995 Reimbursements	4,385	5,624	5,812
6057 2006 State School Facilities Fund	485	617	638
9740 Central Services Cost Recovery Fund	4,255	4,701	5,034
Totals, State Operations	\$25,907	\$26,900	\$28,452
PROGRAM REQUIREMENTS			
20 AUDITS			
State Operations:			
0001 General Fund	11,269	\$10,709	\$11,573
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	2,146	2,151	2,229
0062 Highway Users Tax Account, Transportation Tax Fund	901	1,022	1,061
0890 Federal Trust Fund	937	1,087	1,085
0903 State Penalty Fund	956	1,065	1,104
0970 Unclaimed Property Fund	2,130	250	259
0988 Other - Unallocated Non-Governmental Cost Funds	79	74	77
0995 Reimbursements	11,193	14,979	15,067
6057 2006 State School Facilities Fund	336	337	349
9740 Central Services Cost Recovery Fund	5,541	7,422	6,564
Totals, State Operations	\$35,488	\$39,096	\$39,368
PROGRAM REQUIREMENTS			
30 PERSONNEL/PAYROLL SERVICES			
State Operations:			
0001 General Fund	\$22,835	\$39,492	\$44,166
0002 Property Acquisition Law Money Account	1	2	2
0003 Motor Vehicle Parking Facilities Moneys Account	1	2	3
0006 Disability Access Account	3	7	-
0009 Breast Cancer Control Account	21	51	29
0012 Attorney General Antitrust Account	1	2	4
0014 Hazardous Waste Control Account	36	87	61
0017 Fingerprint Fees Account	52	126	113
0020 California State Law Library Special Account	6	15	9
0022 State Emergency Telephone Number Account	21	51	165
0026 State Motor Vehicle Insurance Account	9	22	15
0028 Unified Program Account	6	15	32
0029 Nuclear Planning Assessment Special Account	5	12	15
0032 Firearm Safety Account	-	-	1
0033 Energy Conservation Assistance Ac, State	-	-	1
0035 Surface Mining and Reclamation Account	-	-	2

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
0041 Aeronautics Account, State Transportation Fund	5	12	8
0042 State Highway Account, State Transportation Fund	3,463	8,377	5,962
0044 Motor Vehicle Account, State Transportation Fund	3,714	8,984	4,927
0046 Public Transportation Account, State Transportation Fund	239	578	343
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	38	92	160
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	4	10	465
0066 Sale of Tobacco to Minors Control Account	2	5	5
0067 State Corporations Fund	29	70	43
0069 State Board of Barbering and Cosmetology Fund	11	27	19
0070 Occupational Lead Poisoning Prevention Account	4	10	11
0074 Medical Waste Management Fund	2	5	4
0075 Radiation Control Fund	25	60	45
0076 Tissue Bank License Fund	-	-	1
0078 Graphic Design License Plate Account	1	2	2
0080 Childhood Lead Poisoning Prevention Fund	30	73	54
0082 Export Document Program Fund	1	2	1
0096 Cal-OSHA Targeted Inspection and Consultation Fund	6	15	11
0098 Clinical Laboratory Improvement Fund	6	15	18
0099 Health Statistics Special Fund	24	58	47
0100 California Used Oil Recycling Fund	2	5	1
0102 State Fire Marshal Licensing and Certification Fund	15	36	137
0106 Department of Pesticide Regulation Fund	34	82	52
0108 Acupuncture Fund	2	5	3
0111 Department of Agriculture Account, Department of Food and Agriculture Fund	68	164	286
0115 Air Pollution Control Fund	60	145	100
0117 Alcoholic Beverage Control Appeals Fund	1	2	1
0121 Hospital Building Fund	25	60	55
0129 Water Device Certification Special Acct	-	-	1
0133 California Beverage Container Recycling Fund	57	138	-
0139 Driving Under-the-Influence Program Licensing Trust Fund	2	5	6
0140 California Environmental License Plate Fund	39	94	121
0141 Soil Conservation Fund	-	-	3
0142 Department of Justice Sexual Habitual Offender Fund	2	5	4
0143 California Health Data and Planning Fund	9	22	21
0152 State Board of Chiropractic Examiners Fund	1	2	3
0158 Travel Seller Fund	1	2	2
0159 Trial Court Improvement Fund	2	5	12
0163 Continuing Care Provider Fee Fund	46	111	96
0166 Certification Account, Consumer Affairs Fund	1	2	1
0169 California Debt Limit Allocation Committee Fund	1	2	-
0171 California Debt and Investment Advisory Commission Fund	1	2	4
0172 Developmental Disabilities Program Development Fund	6	15	459
0175 Dispensing Opticians Fund	-	-	1
0177 Food Safety Fund	7	17	13

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
0178 Driver Training Penalty Assessment Fund	15	36	35
0179 Environmental Laboratory Improvement Fund	3	7	7
0181 Registered Nurse Education Fund	1	2	2
0184 Employment Development Department Benefit Audit Fund	29	70	64
0185 Employment Development Department Contingent Fund	178	431	213
0191 Fair and Exposition Fund	8	19	-
0193 Waste Discharge Permit Fund	20	48	32
0194 Emergency Medical Services Training Program Approval Fund	1	2	2
0198 California Fire and Arson Training Fund	14	34	155
0200 Fish and Game Preservation Fund	101	244	215
0203 Genetic Disease Testing Fund	18	44	42
0205 Geology and Geophysics Fund	1	2	1
0207 Fish and Wildlife Pollution Account	3	7	6
0208 Hearing Aid Dispensers Fund	1	2	1
0209 California Hazardous Liquid Pipeline Safety Fund	17	41	162
0211 Waterfowl Habitat Preservation Acct, Cal	-	-	1
0212 Marine Invasive Species Control Fund	4	10	8
0214 Restitution Fund	33	80	47
0217 Insurance Fund	143	346	189
0223 Workers' Compensation Administration Revolving Fund	105	254	216
0226 California Tire Recycling Management Fund	5	12	-
0228 Secretary of State's Business Fees Fund	30	73	48
0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund	17	42	35
0234 Research Account, Cigarette and Tobacco Products Surtax Fund	6	15	10
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund	10	25	88
0239 Private Security Services Fund	9	22	13
0240 Local Agency Deposit Security Fund	-	-	1
0242 Court Collection Account	8	19	60
0243 Narcotic Treatment Program Licensing Trust Fund	2	5	5
0245 Mobilehome Park Revolving Fund	7	17	11
0247 Drinking Water Operator Certification Special Account	2	5	3
0260 Nursing Home Admin St Lic Exam Fund	-	-	1
0263 Off-Highway Vehicle Trust Fund	25	60	349
0264 Osteopathic Medical Board of California Contingent Fund	1	2	2
0267 Exposition Park Improvement Fund	4	10	23
0271 Certification Fund	50	121	100
0272 Infant Botulism Treatment and Prevention Fund	7	17	12
0279 Child Health & Safety Fund	107	259	220
0280 Physician Assistant Fund	1	2	1
0286 Lake Tahoe Conservancy Fund	1	2	1
0289 State HICAP Fund	4	10	8
0293 Motor Carriers Safety Improvement Fund	4	10	6
0295 Board of Podiatric Medicine Fund	1	2	1

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
0298 Financial Institutions Fund	18	44	35
0299 Credit Union Fund	5	12	10
0300 Professional Forester Registration Fund	1	2	11
0305 Private Postsecondary Education Administration Fund	-	-	10
0306 Safe Drinking Water Account	13	31	26
0309 Perinatal Insurance Fund	1	2	2
0310 Psychology Fund	2	5	4
0312 Emergency Medical Services Personnel Fund	2	5	7
0313 Major Risk Medical Insurance Fund	5	12	7
0317 Real Estate Fund	38	92	62
0318 Collins-Dugan California Conservation Corps Reimbursement Account	84	203	151
0319 Respiratory Care Fund	2	5	3
0320 Oil Spill Prevention and Administration Fund	35	85	72
0322 Environmental Enhancement Fund	-	-	1
0325 Electronic and Appliance Repair Fund	2	5	3
0326 Athletic Commission Fund	1	2	3
0328 Public School Planning, Design, and Construction Review Revolving Fund	18	44	38
0335 Registered Environmental Health Spec Fd	-	-	1
0336 Mine Reclamation Account	-	-	5
0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund	1	2	12
0347 School Land Bank Fund	-	-	1
0365 Historic Property Maintenance Fund	2	5	3
0367 Indian Gaming Special Distribution Fund	23	56	48
0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund	2	5	3
0376 Speech-Language Pathology and Audiology Fund	-	-	1
0378 False Claims Act Fund	8	19	18
0381 Public Interest Research, Development, and Demonstration Fund	11	27	21
0382 Renewable Resource Trust Fund	11	27	20
0386 Solid Waste Disposal Site Cleanup Trust Fund	1	2	-
0387 Integrated Waste Management Account, Integrated Waste Management Fund	11	27	6
0392 State Parks and Recreation Fund	58	140	745
0396 Self-Insurance Plans Fund	2	5	5
0399 Structural Pest Cntrl Educ&Enforcemnt Fd	-	-	1
0407 Teacher Credentials Fund	15	36	21
0408 Test Development and Administration Account, Teacher Credentials Fund	6	15	7
0412 Transportation Rate Fund	-	-	1
0421 Vehicle Inspection and Repair Fund	105	254	146
0425 Victim - Witness Assistance Fund	4	10	16
0439 Underground Storage Tank Cleanup Fund	72	174	161
0447 Wildlife Restoration Fund	2	5	3
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	1	2	2

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0452 Elevator Safety Account	13	31	27
0453 Pressure Vessel Account	3	7	7
0457 Tax Credit Allocation Fee Account	1	2	2
0460 Dealers' Record of Sale Special Account	8	19	19
0461 Public Utilities Commission Transportation Reimbursement Account	1	2	1
0462 Public Utilities Commission Utilities Reimbursement Account	6	15	9
0464 California High-Cost Fund-A Administrative Committee Fund	5	12	6
0465 Energy Resources Programs Account	12	29	24
0470 California High-Cost Fund-B Administrative Committee Fund	4	10	5
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	25	60	44
0483 Deaf and Disabled Telecom Program Admin Committee Fund	5	12	7
0493 California Teleconnect Fund Administrative Committee Fund	5	12	7
0494 Other - Unallocated Special Funds	-	48	-
0501 California Housing Finance Fund	28	68	44
0502 California Water Resources Development Bond Fund	391	677	732
0507 Central Valley Water Project Revenue Fund	-	268	-
0512 Compensation Insurance Fund	808	1,954	1,213
0514 Employment Training Fund	166	402	1,240
0516 Harbors and Watercraft Revolving Fund	24	58	42
0518 Health Facility Construction Loan Insurance Fund	2	5	-
0528 Alternative Energy Authority Fund, Calif	-	-	1
0530 Mobile home Park Purchase Fund	1	2	1
0557 Toxic Substances Control Account	40	97	73
0564 Scholarshare Administrative Fund	-	-	1
0565 State Coastal Conservancy Fund	5	12	8
0566 Child Abuse Fund, DOJ	-	-	1
0567 Gambling Control Fund	8	19	16
0568 Tahoe Conservancy Fund	-	-	1
0571 Uninsured Employers Benefits Trust Fund	19	46	32
0582 High Polluter Repair or Removal Account	59	143	79
0587 Family Law Trust Fund	1	2	3
0588 Unemployment Compensation Disability Fund	484	1,171	1,104
0592 Veterans' Farm and Home Building Fund 1943	21	51	34
0602 Architecture Revolving Fund	17	41	30
0622 Drinking Water Treatment and Research Fund	1	2	-
0638 Administration Account, California Children and Families Trust Fund	5	12	8
0642 Domestic Violence Training and Education Fund	1	2	2
0648 Mobilehome-Manufactured Home Revolving Fund	20	48	27
0666 Service Revolving Fund	388	939	680
0679 State Water Quality Control Fund	8	19	11
0687 Donated Food Revolving Fund	71	172	152

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
0704 Accountancy Fund, Professions and Vocations Fund	8	19	13
0706 California Architects Board Fund	2	5	4
0717 Cemetery Fund, Professions and Vocations Fund	2	5	3
0735 Contractors' License Fund	37	89	64
0741 State Dentistry Fund	6	15	12
0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund	1	2	2
0752 Bureau of Home Furnishings and Thermal Insulation Fund	4	10	6
0757 California Board of Architectural Examiners - Landscape Architects Fund	1	2	1
0758 Contingent Fund of the Medical Board of California	33	80	58
0759 Physical Therapy Fund	1	2	3
0761 Board of Registered Nursing Fund, Professions and Vocations Fund	15	36	31
0763 State Optometry Fund, Professions and Vocations Fund	1	2	2
0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund	7	17	14
0769 Private Investigator Fund	1	2	1
0770 Professional Engineers' and Land Surveyors' Fund	6	15	10
0771 Court Reporters Fund	-	-	1
0773 Behavioral Science Examiners Fund, Professions and Vocations Fund	4	10	9
0775 Structural Pest Control Fund, Professions and Vocations Fund	3	7	4
0777 Veterinary Medical Board Contingent Fund	2	5	3
0779 Vocational Nursing & Psychiatric Technicians Fund	6	15	13
0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund	1	2	3
0784 Student Loan Operating Fund	16	39	19
0803 State Children's Trust Fund	7	17	14
0813 Self - Help Housing Fund	3	7	4
0815 Judges' Retirement Fund	1	2	1
0820 Legislators Retirement Fund	-	-	1
0821 Flexelect Benefit Fund	-	-	2
0822 Public Employees' Health Care Fund (PEHCF)	12	29	18
0823 California Alzheimer's Disease and Related Disorders Research Fund	1	2	2
0829 Health Professions Education Fund	1	2	-
0830 Public Employees' Retirement Fund	193	467	375
0833 Annuitants' Health Care Coverage Fund	-	-	2
0835 Teachers' Retirement Fund	75	181	-
0840 California Motorcyclist Safety Fund	2	5	-
0867 Farmland Conservancy Program Fund, CA	-	-	1
0884 Judges' Retirement System II Fund	-	-	1
0904 California Health Facilities Financing Authority Fund	1	2	2
0908 School Employees Fund	2	5	4
0913 Industrial Relations Unpaid Wage Fund	3	7	5
0914 Bay Fill Clean-Up and Abatement Fund	-	-	2
0915 Deferred Compensation Plan Fund	4	10	26

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
0916 California Housing Loan Insurance Fund	2	5	2
0927 Joe Serna, Jr. Farm worker Housing Grant Fund	3	7	4
0928 Forest Resources Improvement Fund	32	102	410
0929 Housing Rehabilitation Loan Fund	14	34	21
0932 Trial Court Trust Fund	2	5	-
0933 Managed Care Fund	30	73	47
0938 Rental Housing Construction Fund	3	7	5
0940 Bosco Keene Renewable Resources Invest Fd	-	-	1
0943 Land Bank Fund	-	-	1
0950 Public Employees Contingency Reserve Fund	21	51	35
0970 Unclaimed Property Fund	12	29	-
0972 Manufactured Home Recovery Fund	-	-	1
0985 Emergency Housing and Assistance Fund	2	5	2
0995 Reimbursements	11,021	9,411	10,182
1006 Rural CUPA Reimbursement Account	-	-	1
1008 Firearms Safety and Enforcement Special Fund	3	7	6
3002 Electrician Certification Fund	2	5	3
3004 Garment Industry Regulations Fund	2	5	4
3007 Traffic Congestion Relief Fund	34	82	34
3008 Transportation Investment Fund	301	728	-
3010 Pierce's Disease Management Account	5	12	17
3015 Gas Consumption Surcharge Fund	44	106	61
3016 Missing Persons DNA Data Base Fund	3	7	6
3018 Drug and Device Safety Fund	6	15	11
3019 Substance Abuse Treatment Trust Fund	4	-	-
3022 Apprenticeship Training Contribution Fund	4	10	14
3025 Abandoned Mine Reclamation & Minerals Fd Sub, Mine Reclamation Acct	-	-	1
3030 Workers' Occupational Safety and Health Education Fund	1	2	2
3034 Antiterrorism Fund	2	5	-
3035 Environmental Quality Assessment Fund	-	-	1
3036 Alcohol Beverages Control Fund	65	157	105
3037 State Court Facilities Construction Fund	19	46	86
3046 Oil , Gas, and Geothermal Administrative Fund	1	2	32
3053 Public Rights Law Enforcement Special Fund	4	10	10
3056 Safe Drinking Water and Toxic Enforcement Account	1	2	5
3057 Dam Safety Fund	5	12	9
3058 Water Rights Fund	3	7	9
3060 Appellate Court Trust Fund	1	2	5
3061 Ratepayer Relief Fund	4	10	-
3064 Mental Health Practitioner Education Fund	-	-	1
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	34	82	35
3067 Cigarette and Tobacco Products Compliance Fund	1	2	4
3070 Nontoxic Dry Cleaning Incentive Trust Fund	1	2	1
3074 Mental Health Practitioner Education Fund	-	-	1
3078 Labor and Workforce Development Fund	-	-	2
3080 AIDS Drug Assistance Program Rebate Fund	23	56	33

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
3081 Cannery Inspection Fund	2	5	4
3084 State Certified Unified Program Account	1	2	3
3085 Mental Health Services Fund	295	714	1,733
3086 DNA Identification Fund	23	56	124
3087 Unfair Competition Law Fund	3	7	16
3088 Registry of Charitable Trusts Fund	2	5	5
3089 Public Utilities Commission Ratepayer Advocate Account	2	5	2
3098 State Department of Public Health Licensing and Certification Program Fund	80	194	170
3103 Hatchery and Inland Fisheries Fund	21	51	34
3108 Professional Fiduciary Fund	-	-	1
3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund	4	10	7
3113 Residential and Outpatient Program Licensing Fund	5	12	16
3114 Birth Defects Monitoring Fund	4	10	7
3117 Alternative & Renewable and Vehicle Technology Fund	15	36	145
3119 Air Quality Improvement Fund	15	36	25
3120 Fire Marshal Fireworks Enfrcemnt & Disposal Fd	-	-	15
3121 Occupational Safety and Health Fund	9	22	54
3122 Enhanced Fleet Modernization Subaccount, High Polluter Removal & Repair Acct	-	-	21
3123 Coastal Act Services Fund	1	2	4
3131 California Bingo Fund	-	-	1
3140 State Dental Hygiene Fund	1	2	1
3141 California Advanced Services Fund	4	10	3
3142 State Dental Assistant Fund	1	2	2
3144 Building Standards Administration Special Revolving Fd	-	-	8
3152 Labor Enforcement and Compliance Fund	-	-	47
3153 Horse Racing Fund	-	-	9
3157 Recreational Health Fund	-	-	1
3163 Health Information Technology & Exchange, CA	-	-	7
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	1	2	-
8001 Teachers' Health Benefits Fund	-	-	45
8013 Environmental Enforcement & Training Account	-	-	1
8018 Salton Sea Restoration Fund	3	7	6
8020 Environmental Education Account	-	-	1
8034 Medically Underserved Account for Physicians, Health Professions Education Fund	1	2	-
8041 Teachers' Deferred Compensation Fund	-	-	86
8047 Sea Otter Fund, California	-	-	1
8049 Vision Care Program for State Annuitants Fund	2	-	-
9730 Technology Services Revolving Fund	82	198	129
9731 Legal Services Revolving Fund	90	218	200
9734 Charter School Facilities Account, 2004, State Schools Facilities Fund 2004	-	-	1
9739 State Water Pollution Control Revolving Fund Administration Fund	1	2	2

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
9740	Central Services Cost Recovery Fund	5,787	6,390	6,824
	Totals, State Operations	\$53,829	\$89,656	\$89,614
PROGRAM REQUIREMENTS				
50	UNCLAIMED PROPERTY DIVISION			
	State Operations:			
0001	General Fund	\$2,240	\$-	\$-
0970	Unclaimed Property Fund	22,905	26,506	25,226
0995	Reimbursements	26	26	20
	Totals, State Operations	\$25,171	\$26,532	\$25,246
PROGRAM REQUIREMENTS				
60	ADMINISTRATION AND DISBURSEMENTS			
	State Operations:			
0001	General Fund	\$8,018	\$10,862	\$9,086
0995	Reimbursements	20,469	24,221	25,468
9740	Central Services Cost Recovery Fund	1,633	1,797	1,883
	Totals, State Operations	\$30,120	\$36,880	\$36,437
60	ELEMENT REQUIREMENTS			
	Disbursements and Support	68,764	75,060	77,953
70.01	Distributed to Other Programs	-\$38,644	-\$38,180	-\$41,516
PROGRAM REQUIREMENTS				
80	LOAN REPAYMENT PROGRAMS			
	Local Assistance:			
0001	General Fund	-\$343	-\$206	-\$194
	Totals, Local Assistance	-\$343	-\$206	-\$194
TOTALS, EXPENDITURES				
	State Operations	170,515	219,064	219,117
	Local Assistance	-343	-206	-194
	Totals, Expenditures	\$170,172	\$218,858	\$218,923

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel	Years		2009-10*	2010-11*	2011-12*
	2009-10	2010-11	2011-12			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,249.4	1,479.3	1,466.7	\$76,355	\$87,727	\$79,534
Total Adjustments	-	9.3	23.9	-	-5,046	11,808
Estimated Salary Savings	-	-74.6	-74.7	-	-4,053	-4,264
Net Totals, Salaries and Wages	1,249.4	1,414.0	1,415.9	\$76,355	\$78,628	\$87,078
Staff Benefits	-	-	-	27,518	29,551	27,673
Totals, Personal Services	1,249.4	1,414.0	1,415.9	\$103,873	\$108,179	\$114,751
OPERATING EXPENSES AND EQUIPMENT				\$66,642	\$110,885	\$104,366
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$170,515	\$219,064	\$219,117

2 Local Assistance

	Expenditures		
	2009-10*	2010-11*	2011-12*
Loan Repayments from Local Agencies to the General Fund	-\$343	-\$206	-\$194
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	-\$343	-\$206	-\$194

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$59,414	-	-
Allocation for contingencies or emergencies	250	-	-
Adjustment per Section 3.60	75	-	-
Reduction per Section 3.90	-1,909	-	-
Adjustment per Section 4.04	-433	-	-
Reduction per Section 15.30	-419	-	-
Adjustment per Section 3.55	-32	-	-
Revised expenditure authority per Prov. 4 of Item 9655-001-0001, & Prov. 1 of Item 9655-001-9740, BA 2009	108	-	-
001 Budget Act appropriation	-	\$73,226	\$76,397
Allocation for employee compensation	-	179	-
Adjustment per Section 3.60	-	829	-
Reduction per Control Section 3.91	-	-2,279	-
005 Budget Act appropriation	-	-	317
Totals Available	\$57,054	\$71,955	\$76,714
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$57,052	\$71,955	\$76,714
0002 Property Acquisition Law Money Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$2
TOTALS, EXPENDITURES	\$1	\$2	\$2
0003 Motor Vehicle Parking Facilities Moneys Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$3
TOTALS, EXPENDITURES	\$1	\$2	\$3
0006 Disability Access Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	-
TOTALS, EXPENDITURES	\$3	\$7	\$-
0009 Breast Cancer Control Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$21	\$51	\$29
TOTALS, EXPENDITURES	\$21	\$51	\$29
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$4
TOTALS, EXPENDITURES	\$1	\$2	\$4
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$36	\$87	\$61
TOTALS, EXPENDITURES	\$36	\$87	\$61
0017 Fingerprint Fees Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$52	\$126	\$113

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES	\$52	\$126	\$113
0020 California State Law Library Special Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	\$15	\$9
TOTALS, EXPENDITURES	\$6	\$15	\$9
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$21	\$51	\$165
TOTALS, EXPENDITURES	\$21	\$51	\$165
0026 State Motor Vehicle Insurance Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$9	\$22	\$15
TOTALS, EXPENDITURES	\$9	\$22	\$15
0028 Unified Program Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	\$15	\$32
TOTALS, EXPENDITURES	\$6	\$15	\$32
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$12	\$15
TOTALS, EXPENDITURES	\$5	\$12	\$15
0032 Firearm Safety Account			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0033 State Energy Conservation Assistance Account			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0035 Surface Mining and Reclamation Account			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.25	-	-	\$2
TOTALS, EXPENDITURES	\$-	\$-	\$2
0041 Aeronautics Account, State Transportation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$12	\$8
TOTALS, EXPENDITURES	\$5	\$12	\$8
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3,463	\$8,377	\$5,962
TOTALS, EXPENDITURES	\$3,463	\$8,377	\$5,962
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3,714	\$8,984	\$4,927
TOTALS, EXPENDITURES	\$3,714	\$8,984	\$4,927
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.5	\$16	\$19	\$19
Reduction per Section 3.90	-1	-	-
Human Resources Management System Assessments per Section 25.25	239	578	343
TOTALS, EXPENDITURES	\$254	\$597	\$362

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$3,817	-	-
Adjustment per Section 3.60	7	-	-
Reduction per Section 3.90	-111	-	-
Adjustment per Section 3.55	-3	-	-
001 Budget Act appropriation	-	\$4,349	\$4,285
Allocation for employee compensation	-	17	-
Adjustment per Section 3.60	-	80	-
Reduction per Control Section 3.91	-	-224	-
Human Resources Management System Assessments per Section 25.25	<u>38</u>	<u>92</u>	<u>160</u>
TOTALS, EXPENDITURES	\$3,748	\$4,314	\$4,445
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,085	-	-
Adjustment per Section 3.60	2	-	-
Reduction per Section 3.90	-36	-	-
Adjustment per Section 3.55	-1	-	-
001 Budget Act appropriation	-	\$1,210	\$1,219
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	22	-
Reduction per Control Section 3.91	-	-61	-
Apportionment Payment System Assessments per Control Section 25.5	266	306	305
Reduction per Section 3.90	<u>-13</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,303	\$1,482	\$1,524
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.5	\$15	\$17	\$17
Reduction per Section 3.90	-1	-	-
Human Resources Management System Assessments per Section 25.25	<u>4</u>	<u>10</u>	<u>465</u>
TOTALS, EXPENDITURES	\$18	\$27	\$482
0066 Sale of Tobacco to Minors Control Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$5</u>
TOTALS, EXPENDITURES	\$2	\$5	\$5
0067 State Corporations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$29</u>	<u>\$70</u>	<u>\$43</u>
TOTALS, EXPENDITURES	\$29	\$70	\$43
0069 State Board of Barbering and Cosmetology Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$11</u>	<u>\$27</u>	<u>\$19</u>
TOTALS, EXPENDITURES	\$11	\$27	\$19
0070 Occupational Lead Poisoning Prevention Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$11</u>
TOTALS, EXPENDITURES	\$4	\$10	\$11
0074 Medical Waste Management Fund			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$4</u>
TOTALS, EXPENDITURES	\$2	\$5	\$4
0075 Radiation Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$25</u>	<u>\$60</u>	<u>\$45</u>
TOTALS, EXPENDITURES	\$25	\$60	\$45
0076 Tissue Bank License Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0078 Graphic Design License Plate Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0080 Childhood Lead Poisoning Prevention Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$30</u>	<u>\$73</u>	<u>\$54</u>
TOTALS, EXPENDITURES	\$30	\$73	\$54
0082 Export Document Program Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0096 Cal-OSHA Targeted Inspection and Consultation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$11</u>
TOTALS, EXPENDITURES	\$6	\$15	\$11
0098 Clinical Laboratory Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$18</u>
TOTALS, EXPENDITURES	\$6	\$15	\$18
0099 Health Statistics Special Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$24</u>	<u>\$58</u>	<u>\$47</u>
TOTALS, EXPENDITURES	\$24	\$58	\$47
0100 California Used Oil Recycling Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$2	\$5	\$1
0102 State Fire Marshal Licensing and Certification Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$36</u>	<u>\$137</u>
TOTALS, EXPENDITURES	\$15	\$36	\$137
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$34</u>	<u>\$82</u>	<u>\$52</u>
TOTALS, EXPENDITURES	\$34	\$82	\$52
0108 Acupuncture Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0111 Department of Agriculture Account, Department of Food and Agriculture Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$68</u>	<u>\$164</u>	<u>\$286</u>
TOTALS, EXPENDITURES	\$68	\$164	\$286
0115 Air Pollution Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$60</u>	<u>\$145</u>	<u>\$100</u>
TOTALS, EXPENDITURES	\$60	\$145	\$100
0117 Alcoholic Beverage Control Appeals Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0121 Hospital Building Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$25</u>	<u>\$60</u>	<u>\$55</u>
TOTALS, EXPENDITURES	\$25	\$60	\$55
0129 Water Device Certification Special Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0133 California Beverage Container Recycling Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$57</u>	<u>\$138</u>	<u>-</u>
TOTALS, EXPENDITURES	\$57	\$138	\$-
0139 Driving Under-the-Influence Program Licensing Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$6</u>
TOTALS, EXPENDITURES	\$2	\$5	\$6
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$39</u>	<u>\$94</u>	<u>\$121</u>
TOTALS, EXPENDITURES	\$39	\$94	\$121
0141 Soil Conservation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$-	\$-	\$3
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$4</u>
TOTALS, EXPENDITURES	\$2	\$5	\$4
0143 California Health Data and Planning Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$9</u>	<u>\$22</u>	<u>\$21</u>
TOTALS, EXPENDITURES	\$9	\$22	\$21
0152 State Board of Chiropractic Examiners Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$1	\$2	\$3
0158 Travel Seller Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES	\$1	\$2	\$2
0159 Trial Court Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$12</u>
TOTALS, EXPENDITURES	\$2	\$5	\$12
0163 Continuing Care Provider Fee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$46</u>	<u>\$111</u>	<u>\$96</u>
TOTALS, EXPENDITURES	\$46	\$111	\$96
0166 Certification Account, Consumer Affairs Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1	\$2	\$-
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$4</u>
TOTALS, EXPENDITURES	\$1	\$2	\$4
0172 Developmental Disabilities Program Development Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$459</u>
TOTALS, EXPENDITURES	\$6	\$15	\$459
0175 Dispensing Opticians Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0177 Food Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$17</u>	<u>\$13</u>
TOTALS, EXPENDITURES	\$7	\$17	\$13
0178 Driver Training Penalty Assessment Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$36</u>	<u>\$35</u>
TOTALS, EXPENDITURES	\$15	\$36	\$35
0179 Environmental Laboratory Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$7</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$3	\$7	\$7
0181 Registered Nurse Education Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0184 Employment Development Department Benefit Audit Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$29</u>	<u>\$70</u>	<u>\$64</u>
TOTALS, EXPENDITURES	\$29	\$70	\$64
0185 Employment Development Department Contingent Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Human Resources Management System Assessments per Section 25.25	<u>\$178</u>	<u>\$431</u>	<u>\$213</u>
TOTALS, EXPENDITURES	\$178	\$431	\$213
0191 Fair and Exposition Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$19</u>	<u>-</u>
TOTALS, EXPENDITURES	\$8	\$19	\$-
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$20</u>	<u>\$48</u>	<u>\$32</u>
TOTALS, EXPENDITURES	\$20	\$48	\$32
0194 Emergency Medical Services Training Program Approval Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0198 California Fire and Arson Training Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$14</u>	<u>\$34</u>	<u>\$155</u>
TOTALS, EXPENDITURES	\$14	\$34	\$155
0200 Fish and Game Preservation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$101</u>	<u>\$244</u>	<u>\$215</u>
TOTALS, EXPENDITURES	\$101	\$244	\$215
0203 Genetic Disease Testing Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$18</u>	<u>\$44</u>	<u>\$42</u>
TOTALS, EXPENDITURES	\$18	\$44	\$42
0205 Geology and Geophysics Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0207 Fish and Wildlife Pollution Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$7</u>	<u>\$6</u>
TOTALS, EXPENDITURES	\$3	\$7	\$6
0208 Hearing Aid Dispensers Account of the Speech-Language Pathology and Audiology Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0209 California Hazardous Liquid Pipeline Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$17</u>	<u>\$41</u>	<u>\$162</u>
TOTALS, EXPENDITURES	\$17	\$41	\$162
0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0212 Marine Invasive Species Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$10	\$8

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$4	\$10	\$8
0214 Restitution Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$33</u>	<u>\$80</u>	<u>\$47</u>
TOTALS, EXPENDITURES	\$33	\$80	\$47
0217 Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$143</u>	<u>\$346</u>	<u>\$189</u>
TOTALS, EXPENDITURES	\$143	\$346	\$189
0223 Workers' Compensation Administration Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$105</u>	<u>\$254</u>	<u>\$216</u>
TOTALS, EXPENDITURES	\$105	\$254	\$216
0226 California Tire Recycling Management Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>-</u>
TOTALS, EXPENDITURES	\$5	\$12	\$-
0228 Secretary of State's Business Fees Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$30</u>	<u>\$73</u>	<u>\$48</u>
TOTALS, EXPENDITURES	\$30	\$73	\$48
0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$17</u>	<u>\$42</u>	<u>\$35</u>
TOTALS, EXPENDITURES	\$17	\$42	\$35
0234 Research Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$10</u>
TOTALS, EXPENDITURES	\$6	\$15	\$10
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$10</u>	<u>\$25</u>	<u>\$88</u>
TOTALS, EXPENDITURES	\$10	\$25	\$88
0239 Private Security Services Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$9</u>	<u>\$22</u>	<u>\$13</u>
TOTALS, EXPENDITURES	\$9	\$22	\$13
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0242 Court Collection Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$19</u>	<u>\$60</u>
TOTALS, EXPENDITURES	\$8	\$19	\$60
0243 Narcotic Treatment Program Licensing Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$5</u>
TOTALS, EXPENDITURES	\$2	\$5	\$5
0245 Mobilehome Park Revolving Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$17</u>	<u>\$11</u>
TOTALS, EXPENDITURES	\$7	\$17	\$11
0247 Drinking Water Operator Certification Special Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3
0260 Nursing Home Administrator's State License Examining Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0263 Off-Highway Vehicle Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$25</u>	<u>\$60</u>	<u>\$349</u>
TOTALS, EXPENDITURES	\$25	\$60	\$349
0264 Osteopathic Medical Board of California Contingent Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0267 Exposition Park Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$23</u>
TOTALS, EXPENDITURES	\$4	\$10	\$23
0271 Certification Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$50</u>	<u>\$121</u>	<u>\$100</u>
TOTALS, EXPENDITURES	\$50	\$121	\$100
0272 Infant Botulism Treatment and Prevention Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$17</u>	<u>\$12</u>
TOTALS, EXPENDITURES	\$7	\$17	\$12
0279 Child Health and Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$107</u>	<u>\$259</u>	<u>\$220</u>
TOTALS, EXPENDITURES	\$107	\$259	\$220
0280 Physician Assistant Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0286 Lake Tahoe Conservancy Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0289 State HICAP Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$8</u>
TOTALS, EXPENDITURES	\$4	\$10	\$8
0293 Motor Carriers Safety Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$6</u>
TOTALS, EXPENDITURES	\$4	\$10	\$6
0295 Board of Podiatric Medicine Fund			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0298 Financial Institutions Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$18</u>	<u>\$44</u>	<u>\$35</u>
TOTALS, EXPENDITURES	\$18	\$44	\$35
0299 Credit Union Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$10</u>
TOTALS, EXPENDITURES	\$5	\$12	\$10
0300 Professional Forester Registration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$11</u>
TOTALS, EXPENDITURES	\$1	\$2	\$11
0305 Private Postsecondary Education Administration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$10</u>
TOTALS, EXPENDITURES	\$-	\$-	\$10
0306 Safe Drinking Water Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$13</u>	<u>\$31</u>	<u>\$26</u>
TOTALS, EXPENDITURES	\$13	\$31	\$26
0309 Perinatal Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0310 Psychology Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$4</u>
TOTALS, EXPENDITURES	\$2	\$5	\$4
0312 Emergency Medical Services Personnel Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$2	\$5	\$7
0313 Major Risk Medical Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$5	\$12	\$7
0317 Real Estate Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$38</u>	<u>\$92</u>	<u>\$62</u>
TOTALS, EXPENDITURES	\$38	\$92	\$62
0318 Collins-Dugan California Conservation Corps Reimbursement Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$84</u>	<u>\$203</u>	<u>\$151</u>
TOTALS, EXPENDITURES	\$84	\$203	\$151
0319 Respiratory Care Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0320 Oil Spill Prevention and Administration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$35</u>	<u>\$85</u>	<u>\$72</u>
TOTALS, EXPENDITURES	\$35	\$85	\$72
0322 Environmental Enhancement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0325 Electronic and Appliance Repair Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3
0326 Athletic Commission Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$1	\$2	\$3
0328 Public School Planning, Design, and Construction Review Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$18</u>	<u>\$44</u>	<u>\$38</u>
TOTALS, EXPENDITURES	\$18	\$44	\$38
0330 Local Revenue Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$549	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-28	-	-
001 Budget Act appropriation	-	\$614	\$616
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	11	-
Reduction per Control Section 3.91	-	-30	-
Apportionment Payment System Assessments per Control Section 25.5	87	100	100
Reduction per Section 3.90	<u>-4</u>	<u>-</u>	<u>-</u>
Totals Available	\$605	\$697	\$716
Unexpended balance, estimated savings	<u>-35</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$570	\$697	\$716
0335 Registered Environmental Health Specialist Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0336 Mine Reclamation Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$5</u>
TOTALS, EXPENDITURES	\$-	\$-	\$5
0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$12</u>
TOTALS, EXPENDITURES	\$1	\$2	\$12
0347 School Land Bank Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0365 Historic Property Maintenance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$23</u>	<u>\$56</u>	<u>\$48</u>
TOTALS, EXPENDITURES	\$23	\$56	\$48
0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3
0376 Speech-Language Pathology and Audiology Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0378 False Claims Act Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$19</u>	<u>\$18</u>
TOTALS, EXPENDITURES	\$8	\$19	\$18
0381 Public Interest Research, Development, and Demonstration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$11</u>	<u>\$27</u>	<u>\$21</u>
TOTALS, EXPENDITURES	\$11	\$27	\$21
0382 Renewable Resource Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$11</u>	<u>\$27</u>	<u>\$20</u>
TOTALS, EXPENDITURES	\$11	\$27	\$20
0386 Solid Waste Disposal Site Cleanup Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1	\$2	\$-
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$11</u>	<u>\$27</u>	<u>\$6</u>
TOTALS, EXPENDITURES	\$11	\$27	\$6
0392 State Parks and Recreation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$58</u>	<u>\$140</u>	<u>\$745</u>
TOTALS, EXPENDITURES	\$58	\$140	\$745
0396 Self-Insurance Plans Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$5</u>
TOTALS, EXPENDITURES	\$2	\$5	\$5
0399 Structural Pest Control Education and Enforcement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0407 Teacher Credentials Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$36</u>	<u>\$21</u>
TOTALS, EXPENDITURES	\$15	\$36	\$21
0408 Test Development and Administration Account, Teacher Credentials Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$6	\$15	\$7
0412 Transportation Rate Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0421 Vehicle Inspection and Repair Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$105</u>	<u>\$254</u>	<u>\$146</u>
TOTALS, EXPENDITURES	\$105	\$254	\$146
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$16</u>
TOTALS, EXPENDITURES	\$4	\$10	\$16
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$72</u>	<u>\$174</u>	<u>\$161</u>
TOTALS, EXPENDITURES	\$72	\$174	\$161
0442 California Olympic Training Account			
APPROPRIATIONS			
TOTALS, EXPENDITURES	\$-	\$-	\$-
Loan Interest Repayment per Government Code Section 7592	<u>\$92</u>	<u>\$40</u>	<u>-</u>
NET TOTALS, EXPENDITURES	\$92	\$40	\$-
0447 Wildlife Restoration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0452 Elevator Safety Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$13</u>	<u>\$31</u>	<u>\$27</u>
TOTALS, EXPENDITURES	\$13	\$31	\$27
0453 Pressure Vessel Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$7</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$3	\$7	\$7
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0460 Dealers' Record of Sale Special Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$19</u>	<u>\$19</u>
TOTALS, EXPENDITURES	\$8	\$19	\$19

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0461 Public Utilities Commission Transportation Reimbursement Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0462 Public Utilities Commission Utilities Reimbursement Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$9</u>
TOTALS, EXPENDITURES	\$6	\$15	\$9
0464 California High-Cost Fund-A Administrative Committee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$6</u>
TOTALS, EXPENDITURES	\$5	\$12	\$6
0465 Energy Resources Programs Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$12</u>	<u>\$29</u>	<u>\$24</u>
TOTALS, EXPENDITURES	\$12	\$29	\$24
0470 California High-Cost Fund-B Administrative Committee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$5</u>
TOTALS, EXPENDITURES	\$4	\$10	\$5
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$25</u>	<u>\$60</u>	<u>\$44</u>
TOTALS, EXPENDITURES	\$25	\$60	\$44
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$5	\$12	\$7
0493 California Teleconnect Fund Administrative Committee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$5	\$12	\$7
0494 Other - Unallocated Special Funds			
APPROPRIATIONS			
011 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$88	-	-
Reduction per Section 3.90	-4	-	-
011 Budget Act appropriation	-	\$96	\$95
Adjustment per Section 3.60	-	1	-
Reduction per Control Section 3.91	-	-5	-
Human Resources Management System Assessments per Section 25.25	<u>16</u>	<u>48</u>	<u>-</u>
Totals Available	\$100	\$140	\$95
Unexpended balance, estimated savings	<u>-16</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$84	\$140	\$95
0501 California Housing Finance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$28</u>	<u>\$68</u>	<u>\$44</u>
TOTALS, EXPENDITURES	\$28	\$68	\$44
0502 California Water Resources Development Bond Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$391	\$677	\$732

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$391	\$677	\$732
0507 Central Valley Water Project Revenue Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>\$268</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$268	\$-
0512 Compensation Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$808</u>	<u>\$1,954</u>	<u>\$1,213</u>
TOTALS, EXPENDITURES	\$808	\$1,954	\$1,213
0514 Employment Training Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$166</u>	<u>\$402</u>	<u>\$1,240</u>
TOTALS, EXPENDITURES	\$166	\$402	\$1,240
0516 Harbors and Watercraft Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$24</u>	<u>\$58</u>	<u>\$42</u>
TOTALS, EXPENDITURES	\$24	\$58	\$42
0518 Health Facility Construction Loan Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2	\$5	\$-
0528 California Alternative Energy Authority Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0530 Mobilehome Park Purchase Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0557 Toxic Substances Control Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$40</u>	<u>\$97</u>	<u>\$73</u>
TOTALS, EXPENDITURES	\$40	\$97	\$73
0564 Scholarshare Administrative Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0565 State Coastal Conservancy Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$8</u>
TOTALS, EXPENDITURES	\$5	\$12	\$8
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0567 Gambling Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$19</u>	<u>\$16</u>
TOTALS, EXPENDITURES	\$8	\$19	\$16
0568 Tahoe Conservancy Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0571 Uninsured Employers Benefits Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$19</u>	<u>\$46</u>	<u>\$32</u>
TOTALS, EXPENDITURES	\$19	\$46	\$32
0582 High Polluter Repair or Removal Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$59</u>	<u>\$143</u>	<u>\$79</u>
TOTALS, EXPENDITURES	\$59	\$143	\$79
0587 Family Law Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$1	\$2	\$3
0588 Unemployment Compensation Disability Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$484</u>	<u>\$1,171</u>	<u>\$1,104</u>
TOTALS, EXPENDITURES	\$484	\$1,171	\$1,104
0592 Veterans' Farm and Home Building Fund of 1943			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$21</u>	<u>\$51</u>	<u>\$34</u>
TOTALS, EXPENDITURES	\$21	\$51	\$34
0602 Architecture Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$17</u>	<u>\$41</u>	<u>\$30</u>
TOTALS, EXPENDITURES	\$17	\$41	\$30
0622 Drinking Water Treatment and Research Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1	\$2	\$-
0638 Administration Account, California Children and Families Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$8</u>
TOTALS, EXPENDITURES	\$5	\$12	\$8
0642 Domestic Violence Training and Education Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0648 Mobilehome-Manufactured Home Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$20</u>	<u>\$48</u>	<u>\$27</u>
TOTALS, EXPENDITURES	\$20	\$48	\$27
0666 Service Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$388</u>	<u>\$939</u>	<u>\$680</u>
TOTALS, EXPENDITURES	\$388	\$939	\$680
0679 State Water Quality Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$19</u>	<u>\$11</u>
TOTALS, EXPENDITURES	\$8	\$19	\$11
0687 Donated Food Revolving Fund			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$71</u>	<u>\$172</u>	<u>\$152</u>
TOTALS, EXPENDITURES	\$71	\$172	\$152
0704 Accountancy Fund, Professions and Vocations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$19</u>	<u>\$13</u>
TOTALS, EXPENDITURES	\$8	\$19	\$13
0706 California Architects Board Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$4</u>
TOTALS, EXPENDITURES	\$2	\$5	\$4
0717 Cemetery Fund, Professions and Vocations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3
0735 Contractors' License Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$37</u>	<u>\$89</u>	<u>\$64</u>
TOTALS, EXPENDITURES	\$37	\$89	\$64
0741 State Dentistry Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$12</u>
TOTALS, EXPENDITURES	\$6	\$15	\$12
0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
0752 Bureau of Home Furnishings and Thermal Insulation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$6</u>
TOTALS, EXPENDITURES	\$4	\$10	\$6
0757 California Board of Architectural Examiners - Landscape Architects Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0758 Contingent Fund of the Medical Board of California			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$33</u>	<u>\$80</u>	<u>\$58</u>
TOTALS, EXPENDITURES	\$33	\$80	\$58
0759 Physical Therapy Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$1	\$2	\$3
0761 Board of Registered Nursing Fund, Professions and Vocations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$36</u>	<u>\$31</u>
TOTALS, EXPENDITURES	\$15	\$36	\$31
0763 State Optometry Fund, Professions and Vocations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$17</u>	<u>\$14</u>
TOTALS, EXPENDITURES	\$7	\$17	\$14
0769 Private Investigator Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$1	\$2	\$1
0770 Professional Engineers' and Land Surveyors' Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$10</u>
TOTALS, EXPENDITURES	\$6	\$15	\$10
0771 Court Reporters Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
0773 Behavioral Science Examiners Fund, Professions and Vocations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$9</u>
TOTALS, EXPENDITURES	\$4	\$10	\$9
0775 Structural Pest Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$7</u>	<u>\$4</u>
TOTALS, EXPENDITURES	\$3	\$7	\$4
0777 Veterinary Medical Board Contingent Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$2	\$5	\$3
0779 Vocational Nursing & Psychiatric Technicians Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$15</u>	<u>\$13</u>
TOTALS, EXPENDITURES	\$6	\$15	\$13
0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$3</u>
TOTALS, EXPENDITURES	\$1	\$2	\$3
0784 Student Loan Operating Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$16</u>	<u>\$39</u>	<u>\$19</u>
TOTALS, EXPENDITURES	\$16	\$39	\$19
0797 Unallocated Bond Funds - Select			
APPROPRIATIONS			
011 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$578	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-29	-	-
011 Budget Act appropriation	-	\$632	\$636
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	11	-
Reduction per Control Section 3.91	-	-31	-

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Totals Available	\$550	\$614	\$636
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$549	\$614	\$636
0803 State Children's Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$7	\$17	\$14
TOTALS, EXPENDITURES	\$7	\$17	\$14
0813 Self - Help Housing Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$4
TOTALS, EXPENDITURES	\$3	\$7	\$4
0815 Judges' Retirement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$1
TOTALS, EXPENDITURES	\$1	\$2	\$1
0820 Legislators' Retirement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0821 Flexelect Benefit Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$2
TOTALS, EXPENDITURES	\$-	\$-	\$2
0822 Public Employees' Health Care Fund (PEHCF)			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$12	\$29	\$18
TOTALS, EXPENDITURES	\$12	\$29	\$18
0823 California Alzheimer's Disease and Related Disorders Research Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$2
TOTALS, EXPENDITURES	\$1	\$2	\$2
0829 Health Professions Education Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	-
TOTALS, EXPENDITURES	\$1	\$2	\$-
0830 Public Employees' Retirement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$193	\$467	\$375
TOTALS, EXPENDITURES	\$193	\$467	\$375
0833 Annuitants' Health Care Coverage Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$2
TOTALS, EXPENDITURES	\$-	\$-	\$2
0835 Teachers' Retirement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$75	\$181	\$131
TOTALS, EXPENDITURES	\$75	\$181	\$131
0840 California Motorcyclist Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	-
TOTALS, EXPENDITURES	\$2	\$5	\$-

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0867 California Farmland Conservancy Program Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0877 DMV Local Agency Collection Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.5	\$2	\$2	\$2
TOTALS, EXPENDITURES	\$2	\$2	\$2
0884 Judges' Retirement System II Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$745	-	-
Adjustment per Section 3.60	2	-	-
Reduction per Section 3.90	-22	-	-
Budget Adjustment	212	-	-
001 Budget Act appropriation	-	\$795	\$1,085
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	15	-
Reduction per Control Section 3.91	-	-40	-
Budget Adjustment	-	314	-
TOTALS, EXPENDITURES	\$937	\$1,087	\$1,085
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,221	-	-
Adjustment per Section 3.60	2	-	-
Reduction per Section 3.90	-40	-	-
Adjustment per Section 3.55	-1	-	-
001 Budget Act appropriation	-	\$1,363	\$1,373
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	25	-
Reduction per Control Section 3.91	-	-69	-
TOTALS, EXPENDITURES	\$1,182	\$1,324	\$1,373
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$2
TOTALS, EXPENDITURES	\$1	\$2	\$2
0908 School Employees Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	\$4
TOTALS, EXPENDITURES	\$2	\$5	\$4
0913 Industrial Relations Unpaid Wage Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$5
TOTALS, EXPENDITURES	\$3	\$7	\$5
0914 Bay Fill Clean-Up and Abatement Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Human Resources Management System Assessments per Section 25.25	-	-	\$2
TOTALS, EXPENDITURES	\$-	\$-	\$2
0915 Deferred Compensation Plan Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$10	\$26
TOTALS, EXPENDITURES	\$4	\$10	\$26
0916 California Housing Loan Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	\$2
TOTALS, EXPENDITURES	\$2	\$5	\$2
0927 Joe Serna, Jr. Farmworker Housing Grant Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$4
TOTALS, EXPENDITURES	\$3	\$7	\$4
0928 Forest Resources Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$42	\$102	\$410
Totals Available	\$42	\$102	\$410
Unexpended balance, estimated savings	-10	-	-
TOTALS, EXPENDITURES	\$32	\$102	\$410
0929 Housing Rehabilitation Loan Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$14	\$34	\$21
TOTALS, EXPENDITURES	\$14	\$34	\$21
0932 Trial Court Trust Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.5	\$151	\$175	\$174
Reduction per Section 3.90	-7	-	-
Human Resources Management System Assessments per Section 25.25	2	5	-
TOTALS, EXPENDITURES	\$146	\$180	\$174
0933 Managed Care Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$30	\$73	\$47
TOTALS, EXPENDITURES	\$30	\$73	\$47
0938 Rental Housing Construction Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$5
TOTALS, EXPENDITURES	\$3	\$7	\$5
0940 Bosco-Keene Renewable Resources Investment Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0943 Land Bank Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0950 Public Employees Contingency Reserve Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$21	\$51	\$35
TOTALS, EXPENDITURES	\$21	\$51	\$35
0965 Timber Tax Fund			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.5	\$1	\$1	-
TOTALS, EXPENDITURES	\$1	\$1	\$-
0969 Public Safety Account, Local Public Safety Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Control Section 25.5	\$233	\$268	\$268
Reduction per Section 3.90	-11	-	-
TOTALS, EXPENDITURES	\$222	\$268	\$268
0970 Unclaimed Property Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$25,882	-	-
Adjustment per Section 3.60	41	-	-
Reduction per Section 3.90	-878	-	-
Adjustment per Section 3.55	-10	-	-
001 Budget Act appropriation	-	\$27,472	\$25,485
Allocation for employee compensation	-	91	-
Adjustment per Section 3.60	-	415	-
Reduction per Control Section 3.91	-	-1,222	-
Human Resources Management System Assessments per Section 25.25	12	29	-
TOTALS, EXPENDITURES	\$25,047	\$26,785	\$25,485
0972 Manufactured Home Recovery Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
0985 Emergency Housing and Assistance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	\$2
TOTALS, EXPENDITURES	\$2	\$5	\$2
0988 Other - Unallocated Non-Governmental Cost Funds			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$222	-	-
Reduction per Section 3.90	-9	-	-
001 Budget Act appropriation	-	\$348	\$350
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	6	-
Reduction per Control Section 3.91	-	-17	-
011 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	82	-	-
Reduction per Section 3.90	-4	-	-
011 Budget Act appropriation	-	90	91
Adjustment per Section 3.60	-	1	-
Reduction per Control Section 3.91	-	-4	-
Totals Available	\$291	\$425	\$441
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$286	\$425	\$441
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$47,094	\$54,261	\$56,549

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$6
TOTALS, EXPENDITURES	\$3	\$7	\$6
3002 Electrician Certification Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	\$3
TOTALS, EXPENDITURES	\$2	\$5	\$3
3004 Garment Industry Regulations Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	\$4
TOTALS, EXPENDITURES	\$2	\$5	\$4
3007 Traffic Congestion Relief Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$34	\$82	\$34
TOTALS, EXPENDITURES	\$34	\$82	\$34
3008 Transportation Investment Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$301	\$728	-
TOTALS, EXPENDITURES	\$301	\$728	\$-
3010 Pierce's Disease Management Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$12	\$17
TOTALS, EXPENDITURES	\$5	\$12	\$17
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$44	\$106	\$61
TOTALS, EXPENDITURES	\$44	\$106	\$61
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$6
TOTALS, EXPENDITURES	\$3	\$7	\$6
3018 Drug and Device Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	\$15	\$11
TOTALS, EXPENDITURES	\$6	\$15	\$11
3019 Substance Abuse Treatment Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	-	-
TOTALS, EXPENDITURES	\$4	\$-	\$-
3022 Apprenticeship Training Contribution Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$10	\$14
TOTALS, EXPENDITURES	\$4	\$10	\$14
3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
3030 Workers' Occupational Safety and Health Education Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$2
TOTALS, EXPENDITURES	\$1	\$2	\$2
3034 Antiterrorism Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	-
TOTALS, EXPENDITURES	\$2	\$5	\$-
3035 Environmental Quality Assessment Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$65	\$157	\$105
TOTALS, EXPENDITURES	\$65	\$157	\$105
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$19	\$46	\$86
TOTALS, EXPENDITURES	\$19	\$46	\$86
3046 Oil, Gas, and Geothermal Administrative Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$32
TOTALS, EXPENDITURES	\$1	\$2	\$32
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$10	\$10
TOTALS, EXPENDITURES	\$4	\$10	\$10
3056 Safe Drinking Water and Toxic Enforcement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$5
TOTALS, EXPENDITURES	\$1	\$2	\$5
3057 Dam Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$12	\$9
TOTALS, EXPENDITURES	\$5	\$12	\$9
3058 Water Rights Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$9
TOTALS, EXPENDITURES	\$3	\$7	\$9
3060 Appellate Court Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$5
TOTALS, EXPENDITURES	\$1	\$2	\$5
3061 Ratepayer Relief Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$10	-
TOTALS, EXPENDITURES	\$4	\$10	\$-
3064 Mental Health Practitioner Education Fund			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$34	\$82	\$35
TOTALS, EXPENDITURES	\$34	\$82	\$35
3067 Cigarette and Tobacco Products Compliance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$4
TOTALS, EXPENDITURES	\$1	\$2	\$4
3070 Nontoxic Dry Cleaning Incentive Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$1
TOTALS, EXPENDITURES	\$1	\$2	\$1
3074 Medical Marijuana Program Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$2
TOTALS, EXPENDITURES	\$-	\$-	\$2
3080 AIDS Drug Assistance Program Rebate Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$23	\$56	\$33
TOTALS, EXPENDITURES	\$23	\$56	\$33
3081 Cannery Inspection Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	\$4
TOTALS, EXPENDITURES	\$2	\$5	\$4
3084 State Certified Unified Program Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$3
TOTALS, EXPENDITURES	\$1	\$2	\$3
3085 Mental Health Services Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$295	\$714	\$1,733
TOTALS, EXPENDITURES	\$295	\$714	\$1,733
3086 DNA Identification Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$23	\$56	\$124
TOTALS, EXPENDITURES	\$23	\$56	\$124
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$7	\$16
TOTALS, EXPENDITURES	\$3	\$7	\$16
3088 Registry of Charitable Trusts Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$5	\$5

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$2	\$5	\$5
3089 Public Utilities Commission Ratepayer Advocate Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$5</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$2	\$5	\$2
3098 State Department of Public Health Licensing and Certification Program Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$80</u>	<u>\$194</u>	<u>\$170</u>
TOTALS, EXPENDITURES	\$80	\$194	\$170
3103 Hatchery and Inland Fisheries Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$21</u>	<u>\$51</u>	<u>\$34</u>
TOTALS, EXPENDITURES	\$21	\$51	\$34
3108 Professional Fiduciary Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$4	\$10	\$7
3113 Residential and Outpatient Program Licensing Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$12</u>	<u>\$16</u>
TOTALS, EXPENDITURES	\$5	\$12	\$16
3114 Birth Defects Monitoring Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$10</u>	<u>\$7</u>
TOTALS, EXPENDITURES	\$4	\$10	\$7
3117 Alternative and Renewable Fuel and Vehicle Technology Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$36</u>	<u>\$145</u>
TOTALS, EXPENDITURES	\$15	\$36	\$145
3119 Air Quality Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$36</u>	<u>\$25</u>
TOTALS, EXPENDITURES	\$15	\$36	\$25
3120 State Fire Marshal Fireworks Enforcement and Disposal Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$15</u>
TOTALS, EXPENDITURES	\$-	\$-	\$15
3121 Occupational Safety and Health Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$9</u>	<u>\$22</u>	<u>\$54</u>
TOTALS, EXPENDITURES	\$9	\$22	\$54
3122 Enhanced Fleet Modernization Subaccount, High Polluter Removal and Repair Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$21</u>
TOTALS, EXPENDITURES	\$-	\$-	\$21

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
3123 Coastal Act Services Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$4
TOTALS, EXPENDITURES	\$1	\$2	\$4
3131 California Bingo Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
3140 State Dental Hygiene Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$1
TOTALS, EXPENDITURES	\$1	\$2	\$1
3141 California Advanced Services Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$10	\$3
TOTALS, EXPENDITURES	\$4	\$10	\$3
3142 State Dental Assistant Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$2	\$2
TOTALS, EXPENDITURES	\$1	\$2	\$2
3144 Building Standards Administration Special Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$8
TOTALS, EXPENDITURES	\$-	\$-	\$8
3152 Labor Enforcement and Compliance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$47
TOTALS, EXPENDITURES	\$-	\$-	\$47
3153 Horse Racing Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$9
TOTALS, EXPENDITURES	\$-	\$-	\$9
3157 Recreational Health Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$1
TOTALS, EXPENDITURES	\$-	\$-	\$1
3163 California Health Information Technology and Exchange Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	-	-	\$7
TOTALS, EXPENDITURES	\$-	\$-	\$7
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$896	-	-
Adjustment per Section 3.60	2	-	-
Reduction per Section 3.90	-38	-	-
Adjustment per Section 3.55	-1	-	-
001 Budget Act appropriation	-	\$980	\$987
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	-	18	-
Reduction per Control Section 3.91	-	-48	-

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals Available	\$859	\$954	\$987
Unexpended balance, estimated savings	<u>-38</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$821	\$954	\$987
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1	\$2	\$-
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
8018 Salton Sea Restoration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$7</u>	<u>\$6</u>
TOTALS, EXPENDITURES	\$3	\$7	\$6
8020 Environmental Education Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
8034 Medically Underserved Account for Physicians, Health Professions Education Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1	\$2	\$-
8047 California Sea Otter Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
8049 Vision Care Program for State Annuitants Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2	\$-	\$-
9730 Technology Services Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$82</u>	<u>\$198</u>	<u>\$129</u>
TOTALS, EXPENDITURES	\$82	\$198	\$129
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$90</u>	<u>\$218</u>	<u>\$200</u>
TOTALS, EXPENDITURES	\$90	\$218	\$200
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>-</u>	<u>-</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$-	\$-	\$1
9739 State Water Pollution Control Revolving Fund Administration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$2</u>	<u>\$2</u>
TOTALS, EXPENDITURES	\$1	\$2	\$2
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$17,709	-	-
Adjustment per Section 3.60	28	-	-
Reduction per Section 3.90	-600	-	-
Adjustment per Section 3.55	-10	-	-
Revised expenditure authority per Prov. 4 of Item 9655-001-0001, & Prov. 1 of Item 9655-001-9740, BA 2009	89	-	-
001 Budget Act appropriation	-	\$19,554	\$20,305
Allocation for employee compensation	-	67	-
Adjustment per Section 3.60	-	321	-
Reduction per Control Section 3.91	-	-906	-
002 Budget Act appropriation	-	1,311	-
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	24	-
Reduction per Control Section 3.91	-	-66	-
TOTALS, EXPENDITURES	\$17,216	\$20,310	\$20,305
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$170,515	\$219,064	\$219,117
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
TOTALS, EXPENDITURES	\$-	\$-	\$-
Loan repayment per Government Code Section 15373.2(b)	-\$199	-\$78	-\$69
Loan repayment per Government Code Section 15373	-144	-128	-125
NET TOTALS, EXPENDITURES	-\$343	-\$206	-\$194
0979 California Firefighters' Memorial Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$500	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-614	-500	-
Revised expenditure authority per Provision 1(b)	114	-	-
Allocation to California Firefighters' Memorial Fund	-	-	-500
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	-\$343	-\$206	-\$194
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$170,172	\$218,858	\$218,923
FUND CONDITION STATEMENTS	2009-10*	2010-11*	2011-12*
0442 California Olympic Training Account ^s			
BEGINNING BALANCE	\$42	\$25	-
Prior year adjustments	-5	-	-
Adjusted Beginning Balance	\$37	\$25	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
143000 Personalized License Plates	80	94	\$94
Transfers and Other Adjustments:			
TO0001 To General Fund Transfer per Govt Code 7592	-	-79	-94
Total Revenues, Transfers, and Other Adjustments	\$80	\$15	-
Total Resources	\$117	\$40	-
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	2009-10*	2010-11*	2011-12*
Expenditure Adjustments:			
0840 State Controller			
Loan Interest Repayment per Government Code Section 7592 (State Operations)	92	40	-
Total Expenditures and Expenditure Adjustments	\$92	\$40	-
FUND BALANCE	\$25	-	-
Reserve for economic uncertainties	25	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	1,249.4	1,479.3	1,466.7	\$76,355	\$87,727	\$79,534
Furlough Adjustments	-	-	-	-	-2,986	-
PLP Adjustments	-	-	-	-	-2,656	-
Proposed New Positions:				Salary Range		
WIC BCP						
Sr Mgt Auditor (2 yr LT)	-	0.5	1.0	5,576-7,063	38	76
Staff Mgmt Auditor-Spec (2 yr LT)	-	0.5	1.0	4,833-6,168	33	66
Assoc Mgt Auditor (2 yr LT)	-	5.0	10.0	4,619-5,897	315	631
EDP Audits						
Sr Mgt Auditor (2 yr LT)	-	-	1.0	5,576-7,063	-	76
Assoc Mgt Auditor (2 yr LT)	-	-	3.0	4,619-5,897	-	189
Federal Oil & Gas Audits						
Assoc Mgt Auditor	-	2.0	2.0	4,619-5,897	126	162
Airport Facility Fee Audits SB 1192						
Staff Mgmt Auditor-Spec (2 yr LT)	-	1.0	1.0	4,483-6,168	66	66
ADD - WIC & EDP Audits						
Assoc Govtl Prog Analyst (2 yr LT)	-	0.3	0.8	5,571-7,109	18	53
CalATERS						
Staff Services Mgr II-Supvry (2 yr LT)	-	-	(5)	5,576-6,727	-	74
Staff Services Mgr I-Supvry (2 yr LT)	-	-	(1.0)	5,079-6,127	-	134
Unclaimed Property Accounting Workload						
Acctg Analyst (2 yr LT) (Range B)	-	-	4.1	3,204-3,708	-	170
FI\$Cal						
Full Cost Recovery	-	-	-	-	-	187
21st Century- MyCalPAYS						
Project Director (2 yr LT)	-	-	(1.0)	9,544-10,520	-	120
C.E.A. IV (2 yr LT)	-	-	(1.0)	9,018-9,939	-	119
C.E.A. II (2 yr LT)	-	-	(1.0)	7,815-8,616	-	99
DP Mgr IV (2 yr LT)	-	-	(1.0)	7,825-9,059	-	101
DP Mgr III (2 yr LT)	-	-	(1.0)	7,118-8,239	-	92
Staff Services Mgr III (2 yr LT)	-	-	(2.0)	6,779-7,474	-	171
DP Mgr II (2 yr LT)	-	-	(3.0)	5,849-7,464	-	240
Sr Info Sys Analyst-Spec (2 yr LT)	-	-	(4.0)	5,571-7,109	-	304
Sr Programmer Analyst-Spec (2 yr LT)	-	-	(5.0)	5,571-7,109	-	381
System Software Specialist II-Tech (2 yr LT)	-	-	(4.0)	5,561-7,097	-	304
Staff Services Mgr II-Supvry (2 yr LT)	-	-	(4.0)	5,576-6,727	-	295
Staff Info Sys Analyst Spec (2 yr LT)	-	-	(5.0)	5,065-6,466	-	346
Staff Programmer Analyst-Spec (2 yr LT)	-	-	(8.0)	5,065-6,466	-	554
Systems Software Spec I-Tech (2 yr LT)	-	-	(1.0)	5,064-6,465	-	69

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Staff Services Mgr I-Supvry (2 yr LT)	-	-	(13.0)	5,079-6,127	-	874
Assoc Info Systems Analyst-Spec (2 yr LT)	-	-	(6.0)	4,619-5,897	-	379
Assoc Programmer Analyst-Spec (2 yr LT)	-	-	(5.0)	4,619-5,897	-	315
Assoc Govtl Prog Analyst (2 yr LT)	-	-	(41.0)	4,400-5,348	-	2,398
Assoc Acctg Analyst (2 yr LT)	-	-	(1.0)	4,619-5,616	-	61
Adm Assistant I (2 yr LT)	-	-	(1.0)	3,658-4,652	-	50
Asst Info Systems Analyst (2 yr LT)	-	-	(1.0)	3,106-4,903	-	48
Info Systems Techn Spec I (2 yr LT)	-	-	(1.0)	3,495-4,460	-	48
Office Techn-Typing (2 yr LT)	-	-	(1.0)	2,686-3,264	-	36
Temp Help & Overtime	-	-	-	-	-	2,520
Totals Proposed New Positions	-	9.3	23.9	\$-	\$596	\$11,808
Total Adjustments	-	9.3	23.9	\$-	-\$5,046	\$11,808
TOTALS, SALARIES AND WAGES	1,249.4	1,488.6	1,490.6	\$76,355	\$82,681	\$91,342

0845 Department of Insurance

The Insurance Commissioner regulates the largest insurance market in the United States, and the fourth largest insurance market in the World, with more than \$124 billion in direct premiums; enforces the California Insurance Code; and oversees the Department of Insurance.

The Department of Insurance conducts examinations and investigations of insurance companies and producers and works to ensure the financial solvency of companies so that they will meet their obligations to policyholders and claimants.

The Department of Insurance investigates more than 300,000 complaints annually and responds to consumer inquiries. The department reviews and approves insurance rates to enforce the requirement of California law that rates are not excessive or unfair. The department also administers the conservation and liquidation of insolvent and delinquent insurance companies and fights insurance fraud in conjunction with local and state law enforcement agencies.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Regulation of Insurance Companies and Insurance Producers	394.1	403.8	433.2	\$63,613	\$65,010	\$71,424
12 Consumer Protection	280.5	284.1	305.0	45,762	48,164	51,913
20 Fraud Control	254.8	274.6	288.1	96,936	93,520	99,680
30 General Fund Tax Collection and Audits	14.8	14.3	14.2	1,692	1,774	1,864
50.01 Administration	200.9	227.1	230.9	24,860	29,767	31,550
50.02 Distributed Administration	-	-	-	-24,860	-29,767	-31,550
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,145.1	1,203.9	1,271.4	\$208,003	\$208,468	\$224,881
FUNDING				2009-10*	2010-11*	2011-12*
0217 Insurance Fund				\$208,003	\$207,826	\$224,631
0995 Reimbursements				-	642	250
TOTALS, EXPENDITURES, ALL FUNDS				\$208,003	\$208,468	\$224,881

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

10-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16030, except as noted below.

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

12-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7*, 12921.1-12921.5, 12928, and 12930. *added effective 1/1/2011

20-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

30-General Fund Tax Collection and Audit:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Department of Insurance Workload	\$-	\$-	-	\$-	\$7,936	51.2
• Paperless Workflow System Project Phase III	-	-	-	-	2,606	-
• Health Insurance Premium Rate (SB 1163)	-	-	-	-	1,240	9.5
• Health Care Coverage (AB 2470)	-	-	-	-	642	5.7
• Health Benefit Exchange (AB 1602 and SB 900)	-	-	-	-	107	0.9
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$12,531	67.3
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$8,147	-	\$-	-\$1,001	-
• Retirement Rate Contribution	-	2,448	-	-	2,448	-
• One Time Cost Reductions	-	-	-	-	-2,299	-
• Deficiency for Litigation Costs	-	1,840	-	-	-	-
• Miscellaneous Adjustments	-	392	-	-	1,267	-
Totals, Other Workload Budget Adjustments	\$-	-\$3,467	-	\$-	\$415	-
Totals, Workload Budget Adjustments	\$-	-\$3,467	-	\$-	\$12,946	67.3
Totals, Budget Adjustments	\$-	-\$3,467	-	\$-	\$12,946	67.3

PROGRAM DESCRIPTIONS

10 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

The objectives of this program are: (1) to prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers; (2) to prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) to ensure that insurance rates are not excessive, inadequate, unfairly discriminatory, or otherwise in violation of the Insurance Code; and (4) to ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure.

12 - CONSUMER PROTECTION

The objective of this program is to provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers. The objectives also include protecting applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance.

20 - FRAUD CONTROL

The objective of this program is to protect the public from economic loss and distress by actively investigating and arresting those who commit insurance fraud and to reduce the overall incidence of insurance fraud through anti-fraud outreach to the public, private and governmental sectors. The program is staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases.

30 - GENERAL FUND TAX COLLECTION AND AUDIT

This General Fund tax collection program performs tax collection, accounting, and tax audits of insurance companies and

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

surplus line brokers. The program staff audits insurers' tax returns to determine compliance with the laws contained in both the California Insurance and Revenue and Taxation Codes and assists the Board of Equalization and the State Controller's Office with various refund, assessment, and accounting matters relative to the premium taxpayers. Tax collections from this program are deposited in the State General Fund.

50 - ADMINISTRATION

This program provides the overall policy direction for the Department, as well as all administrative functions including legal, human resources, financial management, information technology, personnel, budget, legislative, and business services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS			
State Operations:				
0217	Insurance Fund	\$63,613	\$64,368	\$71,174
0995	Reimbursements	-	642	250
Totals, State Operations		\$63,613	\$65,010	\$71,424
ELEMENT REQUIREMENTS				
10.30	Rate Regulation	\$17,898	\$17,698	\$18,457
State Operations:				
0217	Insurance Fund	17,898	17,056	18,207
0995	Reimbursements	-	642	250
10.40	Regulatory	\$16,853	\$22,099	\$25,141
State Operations:				
0217	Insurance Fund	16,853	22,099	25,141
10.51	Licensing	\$23,040	\$19,224	\$21,736
State Operations:				
0217	Insurance Fund	23,040	19,224	21,736
10.70	Special Programs	\$5,822	\$5,989	\$6,090
State Operations:				
0217	Insurance Fund	5,822	5,989	6,090
PROGRAM REQUIREMENTS				
12	CONSUMER PROTECTION			
State Operations:				
0217	Insurance Fund	\$44,609	\$46,664	\$50,413
Totals, State Operations		\$44,609	\$46,664	\$50,413
Local Assistance:				
0217	Insurance Fund	\$1,153	\$1,500	\$1,500
Totals, Local Assistance		\$1,153	\$1,500	\$1,500
ELEMENT REQUIREMENTS				
12.10	Legal Compliance	\$7,310	\$10,483	\$9,247
State Operations:				
0217	Insurance Fund	7,310	10,483	9,247
12.20	Investigations	\$13,831	\$14,555	\$16,622
State Operations:				
0217	Insurance Fund	12,678	13,055	15,122
Local Assistance:				
0217	Insurance Fund	1,153	1,500	1,500
12.30	Consumer Services and Market Conduct	\$24,621	\$23,126	\$26,044
State Operations:				
0217	Insurance Fund	24,621	23,126	26,044

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
20	FRAUD CONTROL			
	State Operations:			
0217	Insurance Fund	<u>\$39,897</u>	<u>\$39,629</u>	<u>\$45,789</u>
	Totals, State Operations	\$39,897	\$39,629	\$45,789
	Local Assistance:			
0217	Insurance Fund	<u>\$57,039</u>	<u>\$53,891</u>	<u>\$53,891</u>
	Totals, Local Assistance	\$57,039	\$53,891	\$53,891
ELEMENT REQUIREMENTS				
20.10	Fraud - Auto	\$44,057	\$40,716	\$43,633
	State Operations:			
0217	Insurance Fund	18,626	18,765	21,682
	Local Assistance:			
0217	Insurance Fund	25,431	21,951	21,951
20.20	Fraud - Workers' Compensation	\$47,051	\$47,404	\$50,075
	State Operations:			
0217	Insurance Fund	17,155	17,176	19,847
	Local Assistance:			
0217	Insurance Fund	29,896	30,228	30,228
20.30	Fraud - General Assessment	\$2,536	\$2,078	\$2,400
	State Operations:			
0217	Insurance Fund	2,536	2,078	2,400
20.40	Fraud - Disability and Healthcare	\$3,292	\$3,322	\$3,572
	State Operations:			
0217	Insurance Fund	1,580	1,610	1,860
	Local Assistance:			
0217	Insurance Fund	1,712	1,712	1,712
PROGRAM REQUIREMENTS				
30	GENERAL FUND TAX COLLECTION AND AUDITS			
	State Operations:			
0217	Insurance Fund	<u>1,692</u>	<u>1,774</u>	<u>1,864</u>
	Totals, State Operations	\$1,692	\$1,774	\$1,864
TOTALS, EXPENDITURES				
	State Operations	149,811	153,077	169,490
	Local Assistance	<u>58,192</u>	<u>55,391</u>	<u>55,391</u>
	Totals, Expenditures	\$208,003	\$208,468	\$224,881

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>Positions/Personnel Years</u>			<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,145.1	1,267.3	1,267.3	\$79,932	\$86,807	\$87,885
Total Adjustments	-	-	71.0	-	-5,882	4,705
Estimated Salary Savings	<u>-</u>	<u>-63.4</u>	<u>-66.9</u>	<u>-</u>	<u>-4,046</u>	<u>-4,630</u>
Net Totals, Salaries and Wages	1,145.1	1,203.9	1,271.4	\$79,932	\$76,879	\$87,960
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>28,978</u>	<u>28,173</u>	<u>30,768</u>
Totals, Personal Services	1,145.1	1,203.9	1,271.4	\$108,910	\$105,052	\$118,728
OPERATING EXPENSES AND EQUIPMENT				\$40,901	\$48,025	\$50,762

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$149,811	\$153,077	\$169,490

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Counties-District Attorneys	\$58,192	\$55,391	\$55,391
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$58,192	\$55,391	\$55,391

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$156,780	\$156,294	\$169,240
Allocation for employee compensation	-	345	-
Allocation for contingencies or emergencies	-	1,840	-
Adjustment per Section 3.60	141	2,448	-
Reduction per Section 3.90	-4,917	-	-
Reduction per Control Section 3.91	-	-8,492	-
Adjustment per Section 3.55	-225	-	-
Totals Available	\$151,779	\$152,435	\$169,240
Unexpended balance, estimated savings	-1,968	-	-
TOTALS, EXPENDITURES	\$149,811	\$152,435	\$169,240
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$642	\$250
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$149,811	\$153,077	\$169,490
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0217 Insurance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$59,041	\$55,391	\$55,391
Totals Available	\$59,041	\$55,391	\$55,391
Unexpended balance, estimated savings	-849	-	-
TOTALS, EXPENDITURES	\$58,192	\$55,391	\$55,391
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$58,192	\$55,391	\$55,391
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$208,003	\$208,468	\$224,881

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0217 Insurance Fund^s			
BEGINNING BALANCE	\$57,654	\$62,734	\$56,675
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
123100 Insurance Co License Fees & Penalties	34,649	33,537	35,214
123200 Insurance Company Examination Fees	22,208	19,519	22,491
127100 Insurance Department Fees, Prop 103	23,827	23,800	27,243

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

	2009-10*	2010-11*	2011-12*
127200 Insurance Department Fees, General	20,962	23,462	26,104
127300 Insurance Fraud Assessment, Workers Comp	52,399	48,061	47,733
127400 Insurance Fraud Assessment, Auto	46,233	46,931	49,287
127500 Insurance Fraud Assessment, General	6,326	6,399	6,587
141200 Sales of Documents	69	69	69
142500 Miscellaneous Services to the Public	13	13	13
150300 Income From Surplus Money Investments	323	324	324
161000 Escheat of Unclaimed Checks & Warrants	18	18	18
161400 Miscellaneous Revenue	6,212	206	206
161900 Other Revenue - Cost Recoveries	942	942	942
Total Revenues, Transfers, and Other Adjustments	<u>\$214,181</u>	<u>\$203,281</u>	<u>\$216,231</u>
Total Resources	\$271,835	\$266,015	\$272,906
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	143	346	189
0845 Department of Insurance			
State Operations	149,811	152,435	169,240
Local Assistance	58,192	55,391	55,391
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	955	1,062	1,166
8880 Financial Information System for California (State Operations)	-	106	672
Total Expenditures and Expenditure Adjustments	<u>\$209,101</u>	<u>\$209,340</u>	<u>\$226,658</u>
FUND BALANCE	\$62,734	\$56,675	\$46,248
Reserve for economic uncertainties	62,734	56,675	46,248

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	1,145.1	1,267.3	1,267.3	\$79,932	\$86,807	\$87,885
Furlough Adjustments	-	-	-	-	-4,536	-
PLP Adjustments	-	-	-	-	-1,346	-
Proposed New Positions:						
Administration & Licensing:						
Systems Software Spec III (Tech)	-	-	1.0	6,110-7,796	-	84
Executive Branch:						
Investment Officer I	-	-	1.0	3,185-5,874	-	54
Staff Services Analyst	-	-	1.0	2,817-4,446	-	44
Enforcement Branch:						
Investigations:						
Supv Insurance Investigator	-	-	1.0	5,364-6,478	-	71
Insurance Investigator	-	-	5.0	2,969-5,108	-	242
Fraud:						
Supv Fraud Investigator I	-	-	2.0	5,369-6,802	-	146
Fraud Investigator	-	-	12.0	3,902-6,194	-	727
Rate Regulation:						
Assoc Ins Rate Analyst	-	-	2.0	4,619-5,874	-	126
Consumer Services & Market Conduct:						
Supv Insurance Comp Officer	-	-	1.0	5,364-6,478	-	71
Senior Ins Comp Officer	-	-	2.0	5,113-6,170	-	135
Associate Insurance Rate Analyst	-	-	2.0	4,619-5,874	-	126

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Associate Insurance Comp Officer	-	-	4.0	4,619-5,616	-	246
Office Technician (T)	-	-	1.0	2,686-3,264	-	36
Legal Branch:						
Staff Counsel III	-	-	2.0	7,682-9,478	-	206
Staff Counsel (1.0 LT exp 6-30-12; 7.0 LT exp 6-30-13)	-	-	8.0	4,674-7,828	-	600
Staff Counsel (Perm)	-	-	6.0	4,674-7,828	-	450
Legal Analyst	-	-	5.0	3,841-4,670	-	255
Senior Legal Typist	-	-	2.0	2,589-3,516	-	73
Financial Surveillance Branch						
Supervising Life/Health Actuary (LT pos exp 6-30-13)	-	-	1.0	8,675-10,494	-	115
Senior Life/Health Actuary (LT pos exp 6-30-13)	-	-	2.0	7,667-10,177	-	214
Associate Life/Health Actuary (LT pos exp 6-30-13)	-	-	2.0	7,037-9,320	-	196
Investment Officer II	-	-	1.0	5,831-7,087	-	77
Senior Actuarial Statistician (Perm)	-	-	1.0	4,833-6,451	-	68
Senior Actuarial Statistician (LT pos exp 6-30-13)	-	-	2.0	4,833-6,451	-	136
Associate Insurance Examiner	-	-	2.0	4,619-6,774	-	137
Program Technician II	-	-	1.0	2,638-3,209	-	35
Office Technician-Gen (LT pos exp 6-30-13)	-	-	1.0	2,638-3,209	-	35
Totals Proposed New Positions	-	-	71.0	\$-	\$-	\$4,705
Total Adjustments	-	-	71.0	\$-	-\$5,882	\$4,705
TOTALS, SALARIES AND WAGES	1,145.1	1,267.3	1,338.3	\$79,932	\$80,925	\$92,590

0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is administered by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities.

The Act was amended by a legislative initiative In March 2000 to provide that one-half of the amount of the share allocated to public education in excess of the amount allocated to education in fiscal year 1997-98 be allocated to school and community college districts for the purchase of instructional materials.

In the 25 years since sales began in October 1985 through June 30, 2010, the California State Lottery has raised more than \$23 billion for public education, including \$1 billion in FY 2009-10.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2010-11 and 2011-12 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range.

0850 California State Lottery Commission - Continued

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

* Dollars in thousands, except in Salary Range.

0850 California State Lottery Commission - Continued**Statement of Operations**

	2009-10*	2010-11*	2011-12*
Lottery sales	\$3,040,960	\$3,519,000	\$3,519,000
Investment Proceeds from Portfolio Restructuring	45,251	-	-
Total, Resources	<u>3,086,211</u>	<u>3,519,000</u>	<u>3,519,000</u>
Less prizes	<u>1,611,371</u>	<u>1,982,359</u>	<u>1,982,359</u>
Resources after prizes	1,474,840	1,536,641	1,536,641
Less Game Costs:			
Retailer costs	214,484	240,606	240,606
Draw game costs	38,076	45,185	45,185
Instant ticket game costs	16,123	20,340	20,340
Total, Game Costs	<u>\$268,683</u>	<u>\$306,131</u>	<u>\$306,131</u>
Resources before operating expenses	\$1,206,157	\$1,230,510	\$1,230,510
Operating Expenses:			
Salaries, wages and benefits	46,011	51,622	51,622
Advertising	33,667	45,432	45,432
Promotion, public relations and point-of-sale	8,025	10,122	10,122
Other professional services	10,342	13,689	13,689
Depreciation and amortization	20,417	11,648	11,648
Other general and administrative expenses	18,279	18,826	18,826
Total, Operating Expenses	<u>\$136,741</u>	<u>\$151,339</u>	<u>\$151,339</u>
Income and Proceeds to Education	1,069,416	1,079,171	1,079,171
Interest Income	2,733	2,800	2,800
Other income	348	-	-
Net Resources	<u>\$1,072,497</u>	<u>\$1,081,971</u>	<u>\$1,081,971</u>
Unclaimed on-line prizes	<u>17,250</u>	<u>21,000</u>	<u>21,000</u>
TOTAL RESOURCES DUE TO EDUCATION FUND	\$1,089,747	\$1,102,971	\$1,102,971

* Dollars in thousands, except in Salary Range.

0850 California State Lottery Commission - Continued**Distribution of State Lottery Education Fund Revenues**

	2009-10*	2010-11*	2011-12*
Department of Education (K-12)	\$856,412	\$856,457	\$856,457
California Community Colleges	162,937	177,942	177,942
California State University/California Mar:	43,745	41,782	41,782
University of California	26,125	26,121	26,121
Other Public Colleges and Universities	145	147	147
Miscellaneous Educational Institutions	516	522	522
TOTALS	\$1,089,880	\$1,102,971	\$1,102,971

* Dollars in thousands, except in Salary Range.

0850 California State Lottery Commission - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0562 State Lottery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	(\$417,950)	(\$403,375)	(\$408,000)
Revised estimated expenditures	(-9,684)	(4,625)	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$-	\$-	\$-

0855 California Gambling Control Commission

The California Gambling Control Commission (Commission) has jurisdiction over gambling establishments (cardrooms), Tribal casinos, and charitable organizations that offer remote caller bingo, pursuant to its authority under State law and Tribal-State Gaming Compacts (Compacts).

There are 90 licensed cardrooms in California over which the Commission has regulatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) oversight of Class III gaming operations, which are primarily casino-type games, (2) distribution of Tribal gaming revenues to various State funds and to authorized, federally-recognized, non-Compact Tribes, (3) monitoring of Tribal gaming through periodic background checks of Tribal key employees, vendors, and financial sources, (4) validation of gaming operation standards through testing, auditing, and review, and (5) fiscal auditing of Tribal payments to the State pursuant to Compact provisions.

The Commission has fiduciary, regulatory, and administrative responsibilities related to remote caller bingo that include: (1) regulation of the licensure and operation of remote caller bingo, (2) validation of gaming operations standards through testing, auditing, and review, and (3) fiscal auditing of the organizations and vendors of equipment that conduct remote caller bingo.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Gambling Control Commission	74.2	76.4	72.9	\$84,533	\$138,280	\$108,415
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	74.2	76.4	72.9	\$84,533	\$138,280	\$108,415
FUNDING				2009-10*	2010-11*	2011-12*
0366 Indian Gaming Revenue Share Trust Fund				\$75,971	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund				6,327	38,058	8,596
0567 Gambling Control Fund				2,159	2,953	3,215
3131 California Bingo Fund				76	769	104
TOTALS, EXPENDITURES, ALL FUNDS				\$84,533	\$138,280	\$108,415

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012 et seq, 12012.25, 12012.35, 12012.40, 12012.45, 12710, 12711, 12712, 12713, 12714, 12715, 12716, 12717, 12718, and 63048.65

Penal Code Sections 326.3-326.5, and 337j

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Gambling Control Licenses (Chapter 553, Statutes of 2010; AB 2596, Portantino)	\$-	\$-	-	\$-	\$45	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$45	-
Other Workload Budget Adjustments						
• Miscellaneous Adjustments	\$-	\$-	-	\$-	\$425	-
• Retirement Rate Adjustment	-	152	-	-	152	-
• Continuation of Workload for Remote Caller Bingo	-	-	-	-	104	0.9
• Carryover/Reappropriation	-	98	-	-	-	-
• Employee Compensation	-	-523	-	-	-95	-
• Workforce Cap Adjustment	-	-329	-4.3	-	-329	-4.0
• Limited Term Positions/Expiring Programs	-	-	-	-	-769	-
• One Time Cost Reductions	-	-	-	-	-30,000	-
Totals, Other Workload Budget Adjustments	\$-	-\$602	-4.3	\$-	-\$30,512	-3.1
Totals, Workload Budget Adjustments	\$-	-\$602	-4.3	\$-	-\$30,467	-3.1
Totals, Budget Adjustments	\$-	-\$602	-4.3	\$-	-\$30,467	-3.1

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	74.2	85.0	80.0	\$4,548	\$5,819	\$5,712
Total Adjustments	-	-	0.9	-	-554	-75
Estimated Salary Savings	-	-8.6	-8.0	-	-569	-452
Net Totals, Salaries and Wages	74.2	76.4	72.9	\$4,548	\$4,696	\$5,185
Staff Benefits	-	-	-	1,587	2,225	2,082
Totals, Personal Services	74.2	76.4	72.9	\$6,135	\$6,921	\$7,267
OPERATING EXPENSES AND EQUIPMENT						
				\$2,427	\$4,761	\$4,648
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$8,562	\$11,682	\$11,915

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$75,971	\$126,598	\$96,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$75,971	\$126,598	\$96,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,264	\$8,477	\$8,596
Allocation for employee compensation	-	23	-

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Adjustment per Section 3.60	12	113	-
Reduction per Section 3.90	-674	-243	-
Reduction per Section 15.30	-259	-	-
Reduction per Section 3.91	-	-410	-
Totals Available	\$8,343	\$7,960	\$8,596
Unexpended balance, estimated savings	-2,016	-	-
TOTALS, EXPENDITURES	\$6,327	\$7,960	\$8,596
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,196	\$3,136	\$3,215
Allocation for employee compensation	-	8	-
Adjustment per Section 3.60	4	39	-
Reduction per Section 3.90	-231	-86	-
Reduction per Section 15.30	-91	-	-
Reduction per Section 3.91	-	-144	-
Adjustment per Section 3.55	-10	-	-
Totals Available	\$2,868	\$2,953	\$3,215
Unexpended balance, estimated savings	-709	-	-
TOTALS, EXPENDITURES	\$2,159	\$2,953	\$3,215
3131 California Bingo Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$769	\$769	\$104
Reduction per Section 3.90	-58	-	-
Totals Available	\$711	\$769	\$104
Unexpended balance, estimated savings	-635	-	-
TOTALS, EXPENDITURES	\$76	\$769	\$104
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$8,562	\$11,682	\$11,915
2 LOCAL ASSISTANCE			
0366 Indian Gaming Revenue Share Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$96,500	\$96,500	\$96,500
Totals Available	\$96,500	\$96,500	\$96,500
Unexpended balance, estimated savings	-20,529	-	-
TOTALS, EXPENDITURES	\$75,971	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
111 Budget Act appropriation (Trsf to Indian Gaming Revenue Sharing Trust Fd) as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	(\$50,000)	-	-
111 Budget Act appropriation (Trsf to Indian Gaming Revenue Sharing Trust Fd)	-	(\$50,000)	(\$50,000)
Pending Trailer Bill Legislation	-	30,000	-
Prior year balances available:			
Chapter 754, Statutes of 2008	98	98	-
Totals Available	\$98	\$30,098	\$-
Balance available in subsequent years	-98	-	-
TOTALS, EXPENDITURES	\$-	\$30,098	\$-
3132 Charity Bingo Mitigation Fund			
APPROPRIATIONS			
111 Budget Act appropriation (Transfer to the Indian Gaming Special Distribution Fund)	-	(\$3,467)	-

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
Prior year balances available:			
Chapter 748, Statutes of 2008	\$3,467	-	-
Totals Available	\$3,467	\$-	\$-
Unexpended balance, estimated savings	-3,467	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$75,971	\$126,598	\$96,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$84,533	\$138,280	\$108,415

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0367 Indian Gaming Special Distribution Fund ^s			
BEGINNING BALANCE	\$136,429	\$113,418	\$67,903
Prior year adjustments	-686	-	-
Adjusted Beginning Balance	\$135,743	\$113,418	\$67,903
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	51	40	40
125800 Renewal Fees	41	32	32
142500 Miscellaneous Services to the Public	649	699	699
150300 Income From Surplus Money Investments	724	821	821
162000 Tribal Gaming Revenues	37,670	41,057	41,057
Transfers and Other Adjustments:			
FO3132 From Charity Bingo Mitigation Fund per Item 0855-111-3132, Budget Act of 2010	-	3,467	-
TO0366 To Indian Gaming Revenue Share Trust Fund per Item 0855-001-0367, Budget Acts	-33,700	-31,200	-50,000
Total Revenues, Transfers, and Other Adjustments	\$5,435	\$14,916	-\$7,351
Total Resources	\$141,178	\$128,334	\$60,552
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	13,265	13,873	14,359
0840 State Controller (State Operations)	23	56	48
0855 California Gambling Control Commission			
State Operations	6,327	7,960	8,596
Local Assistance	-	30,098	-
4200 Department of Alcohol and Drug Programs			
State Operations	3,980	4,426	4,457
Local Assistance	4,000	4,000	4,000
8380 Department of Personnel Administration (State Operations)	165	-	-
8880 Financial Information System for California (State Operations)	-	18	116
Total Expenditures and Expenditure Adjustments	\$27,760	\$60,431	\$31,576
FUND BALANCE	\$113,418	\$67,903	\$28,976
Reserve for economic uncertainties	113,418	67,903	28,976
0567 Gambling Control Fund ^s			
BEGINNING BALANCE	\$7,985	\$14,511	\$17,223
Prior year adjustments	-232	-	-
Adjusted Beginning Balance	\$7,753	\$14,511	\$17,223
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	13,585	11,835	11,835

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

	2009-10*	2010-11*	2011-12*
125700 Other Regulatory Licenses and Permits	686	423	423
125800 Renewal Fees	650	680	680
125900 Delinquent Fees	16	23	23
142500 Miscellaneous Services to the Public	889	769	769
150300 Income From Surplus Money Investments	47	41	41
161000 Escheat of Unclaimed Checks & Warrants	2	-	-
161400 Miscellaneous Revenue	-	1	1
Transfers and Other Adjustments:			
TO3131 To California Bingo Fund Loan per Provision 1 of Item 0855-001-0567, Budget Acts	-457	-769	-85
Total Revenues, Transfers, and Other Adjustments	<u>\$15,418</u>	<u>\$13,003</u>	<u>\$13,687</u>
Total Resources	\$23,171	\$27,514	\$30,910
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	6,493	7,312	7,706
0840 State Controller (State Operations)	8	19	16
0855 California Gambling Control Commission (State Operations)	2,159	2,953	3,215
8880 Financial Information System for California (State Operations)	-	7	46
Total Expenditures and Expenditure Adjustments	<u>\$8,660</u>	<u>\$10,291</u>	<u>\$10,983</u>
FUND BALANCE	\$14,511	\$17,223	\$19,927
Reserve for economic uncertainties	14,511	17,223	19,927
3131 California Bingo Fund ^s			
BEGINNING BALANCE	\$336	\$733	\$752
Prior year adjustments	<u>2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$338	\$733	\$752
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	14	19	19
Transfers and Other Adjustments:			
FO0567 From Gambling Control Fund Loan per Provision 1 of Item 0855-001-0567, Budget Acts	457	769	85
Total Revenues, Transfers, and Other Adjustments	<u>\$471</u>	<u>\$788</u>	<u>\$104</u>
Total Resources	\$809	\$1,521	\$856
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	-	1
0855 California Gambling Control Commission (State Operations)	<u>76</u>	<u>769</u>	<u>104</u>
Total Expenditures and Expenditure Adjustments	<u>\$76</u>	<u>\$769</u>	<u>\$105</u>
FUND BALANCE	\$733	\$752	\$751
Reserve for economic uncertainties	733	752	751
3132 Charity Bingo Mitigation Fund ^s			
BEGINNING BALANCE	\$3,467	\$3,467	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
TO0367 To Indian Gaming Special Distribution Fund per Item 0855-111-3132, Budget Act of 2010	-	-3,467	-
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>-\$3,467</u>	<u>-</u>
Total Resources	<u>\$3,467</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$3,467	-	-

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

	2009-10*	2010-11*	2011-12*
Reserve for economic uncertainties	3,467	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	74.2	85.0	80.0	\$4,548	\$5,819	\$5,712
Furlough Adjustments	-	-	-	-	-240	-
PLP Adjustments	-	-	-	-	-314	-149
Workload and Administrative Adjustments:				Salary Range		
Workload Budget Change Proposals						
Licensing Division:						
Associate Governmental Program Analyst	-	-	0.9	4,400-5,348	-	50
Information Technology:						
Temporary Help	-	-	-	-	-	24
Totals, Workload & Admin Adjustments	-	-	0.9	\$-	\$-	\$74
Total Adjustments	-	-	0.9	\$-	-\$554	-\$75
TOTALS, SALARIES AND WAGES	74.2	85.0	80.9	\$4,548	\$5,265	\$5,637

0860 State Board of Equalization

The mission of the State Board of Equalization (BOE) is to serve the public through fair, effective, and efficient tax administration. The BOE administers agency tax and fee programs, adopts rules and regulations to clarify tax laws, acts as an appellate body for the review of property, business and income tax assessments, assesses and allocates property values of railroads and specified utilities, and oversees the property tax assessment practices of all 58 county assessors.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
15 County Assessment Standards Program	87.7	92.4	92.4	\$8,750	\$9,998	\$10,345
20 State-Assessed Property Program	62.5	67.3	67.3	6,923	7,766	7,888
25 Timber Tax Program	17.1	17.5	17.5	1,808	2,321	2,370
30 Sales and Use Tax Program	3,145.7	3,679.1	3,694.4	322,463	378,580	392,353
35 Hazardous Substances Tax Program	48.4	48.8	48.8	4,216	4,381	4,457
40 Alcoholic Beverage Tax Program	18.6	25.0	25.0	1,781	2,613	2,697
41 Tire Recycling Fee Program	16.8	16.8	16.8	1,355	1,550	1,575
45 Cigarette and Tobacco Products Tax Program	93.6	103.1	103.1	18,054	23,270	23,824
46 Cigarette and Tobacco Products Licensing Program	81.0	78.4	78.4	8,292	9,532	9,865
50 Transportation Fund Tax Program	177.8	195.8	195.8	20,864	23,972	24,600
56 Occupational Lead Poisoning Prevention Fee Program	7.6	8.3	8.3	651	737	756
57 Integrated Waste Management Program	3.1	5.4	5.4	384	480	492
58 Underground Storage Tank Fee Program	29.4	29.5	29.5	3,030	3,239	3,246
59 Oil Spill Prevention Program	1.8	1.7	1.7	214	265	269
60 Energy Resources Surcharge Program	2.2	2.5	2.5	224	255	260
61 Annual Water Rights Fee Program	4.0	4.7	4.7	378	427	441
62 Childhood Lead Poisoning Prevention Fee Program	3.4	5.1	5.1	435	515	523
63 Marine Invasive Species Program	3.7	4.3	4.3	387	431	439
65 Emergency Telephone Users Surcharge Program	13.6	13.9	13.9	1,330	1,401	1,552
66 E-Waste Recycling Fee Program	39.3	46.2	46.2	4,198	4,739	4,773
70 Insurance Tax Program	5.3	2.3	2.3	623	274	280

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
75 Natural Gas Surcharge Program	4.3	4.4	4.4	598	631	680
80 Appeals from Other Governmental Programs	15.9	17.4	17.4	1,869	2,298	2,316
85.01 Administration	380.0	435.8	435.8	39,456	50,010	50,010
85.02 Distributed Administration	-380.0	-435.8	-435.8	-39,437	-49,593	-49,593
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	3,882.8	4,469.9	4,485.2	\$408,846	\$480,092	\$496,418
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$229,587	\$271,672	\$283,348
0004 Breast Cancer Fund				550	761	776
0022 State Emergency Telephone Number Account				1,330	1,401	1,552
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				20,257	23,530	24,147
0070 Occupational Lead Poisoning Prevention Account				651	737	756
0080 Childhood Lead Poisoning Prevention Fund				435	515	523
0230 Cigarette and Tobacco Products Surtax Fund				6,888	9,456	9,650
0320 Oil Spill Prevention and Administration Fund				214	265	269
0387 Integrated Waste Management Account, Integrated Waste Management Fund				384	480	492
0439 Underground Storage Tank Cleanup Fund				3,030	3,239	3,246
0465 Energy Resources Programs Account				224	255	260
0623 California Children and Families First Trust Fund				11,738	16,604	16,944
0890 Federal Trust Fund				127	442	453
0965 Timber Tax Fund				1,808	2,321	2,370
0995 Reimbursements				125,801	141,848	144,950
3015 Gas Consumption Surcharge Fund				598	631	680
3058 Water Rights Fund				378	427	441
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund				4,198	4,739	4,773
3067 Cigarette and Tobacco Products Compliance Fund				648	769	788
TOTALS, EXPENDITURES, ALL FUNDS				\$408,846	\$480,092	\$496,418

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XIII, Section 17 of the California Constitution.

PROGRAM AUTHORITY

15-County Assessment Standards Program:

California Constitution-Article XIII, Section 18; Government Code sections 15606, 15608, 15611, 15612, 15624, and 15640-15646; Revenue and Taxation Code sections 63.1, 64, 69.5, 75.60, 169, 218.5, 251, 401.5, 407, 452, 480, 480.1, 482, 601, 602, 670-674, 1153, 1254, 1603, 5364, 5781, and 5840; California Administrative Code-Rules 101, 171, 202, 252, 282-283, 370, 371, 1045, and 1051.

20-State-Assessed Property Program:

California Constitution-Article XIII, Section 19; Revenue and Taxation Code-Division 1, Part 2; Division 2, Part 6.

25-Timber Tax Program:

Government Code Chapter 6.7 of Part 1 of Division 1 of Title 5; Revenue and Taxation Code Articles 1.5 and 1.7 of Chapter 3 of Part 2 of Division 1 and Part 18.5 of Division 2.

30-Sales and Use Tax Program:

Revenue and Taxation Code, Division 2, Parts 1, 1.5 and 1.6.

35-Hazardous Substances Tax Program:

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

Sections 25173.6, 25173.7, 25174, 25174.1, 25174.2, 25205.2, 25205.4, 25205.5, 25205.6, 25205.7, 25205.8, 25205.14, 25205.21 and 25205.22 of the Health and Safety Code, Part 22 of Division 2 of the Revenue and Taxation Code.

40-Alcoholic Beverage Tax Program:

Revenue and Taxation Code-Part 14, Division 2.

41-Tire Recycling Fee Program:

Public Resources Code-Sections 42860 through 42895.

45-Cigarette and Tobacco Products Tax Program:

Revenue and Taxation Code-Part 13, Division 2.

46-Cigarette and Tobacco Products Licensing Program:

Division 8.6 (commencing with Section 22970) of the Business and Professions Code.

50-Transportation Tax Program:

Revenue and Taxation Code-Parts 2, 3, and 31 of Division 2.

56-Occupational Lead Poisoning Prevention Fee Program:

Health and Safety Code Sections 105175, 105185, 105190 and Part 22 of Division 2 of the Revenue and Taxation Code.

57-Integrated Waste Management Program:

Division 30, Part 1-Public Resources Code, Division 2, Part 23-Revenue and Taxation Code.

58-Underground Storage Tank Fee Program:

Health and Safety Code-Sections 25299.10 and 25299.43.

59-Oil Spill Prevention Program:

Government Code-Sections 8670.40 and 8670.48.

60-Energy Resources Surcharge Program:

Part 19 of Division 2 of the Revenue and Taxation Code.

61-Annual Water Rights Fee Program:

Water Code-Part 2 of Division 2.

62-Childhood Lead Poisoning Prevention Fee Program:

Health and Safety Code Chapter 5 of Division 103, Section 105310, and Revenue and Taxation Code Sections 43507, 43152.14, and 43554. Title 17, California Code of Regulations Sections 33001, 33010, and 33030.

63-Marine Invasive Species Fee Program:

Public Resources Code-Division 36 (commencing with Section 71200).

65-Emergency Telephone Users Surcharge Program:

Part 20 of Division 2 of the Revenue and Taxation Code.

66-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10.2, Public Resource Code Sections 41516 and 42460-42486, and Fee Collection Procedures Law Sections 55001-55381.

70-Insurance Tax Program:

California Constitution, Article XIII, Section 28 and Part 7 of Division 2 of the Revenue and Taxation Code.

75-Natural Gas Surcharge Program:

Chapter 4 of Part 1 of Division 1, Article X-Public Utilities Code.

0860 State Board of Equalization - Continued

80-Appeals From Other Governmental Programs:

- Administration of Income and Franchise Tax Laws: Revenue and Taxation Code Section 18401-19802.
- Senior Citizens Homeowners and Renters Property Tax Assistance: Revenue and Taxation Code Section 20501-20646.
- Publicly Owned Property: Section 11 Article XIII of the California Constitution and Section 1840 and 1841 of the Revenue and Taxation Code. Procedural Regulations: Title 18, California Code of Regulations at section 5010 et seq.

MAJOR PROGRAM CHANGES

- The Governor's Budget provides \$14 million (\$10.2 million General Fund) to continue for an additional two years 147 limited-term positions in the Statewide Compliance and Outreach Program that are currently set to expire at the end of 2010-11. The positions are expected to generate \$45 million in General Fund revenues in 2011-12.
- The Governor's Budget provides 8 limited-term positions and \$4 million (\$2.8 million General Fund) to process refunds to consumers who were improperly charged sales tax when they purchased extended warranties on Dell computers. As many as 10 million purchasers may have been charged up to \$250 million in improper taxes.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Extend the Statewide Compliance and Outreach Program (SCOP)	\$-	\$-	-	\$10,233	\$3,924	139.6
• Placeholder - BOE Headquarters Building Rent Increase	-	-	-	1,122	1,012	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$11,355	\$4,936	139.6
Other Workload Budget Adjustments						
• Control Section 3.60 Adjustment	\$4,438	\$3,145	-	\$4,438	\$3,145	-
• Health Care Adjustments	930	673	-	1,595	1,154	-
• Price Increase per BL 10-25	-	-	-	1,321	887	-
• 2011-12 Budget Cycle Adjustment for ProRata	-	-	-	-	5,063	-
• Full Year Cost of Fuel Tax Swap	-	-	-	-	101	-
• 2011-12 Budget Cycle Adjustment for SWCAP	-	-	-	-	20	-
• Removal of 2010-11 Budget Cycle Adjustment for SWCAP	-	-	-	-	-9	-
• Removal of 2010-11 Budget Cycle Adjustment for ProRata	-	-	-	-	-4,653	-
• Removal of Price Increase per BL 10-25	-	-	-	-1,321	-887	-
• Control Section 3.91 Adjustment	-6,601	-5,164	-	-4,102	-3,209	-
• One-Time Cost Reductions from Expiring BCPs	-	-	-	-7,666	-4,575	-
Totals, Other Workload Budget Adjustments	-\$1,233	-\$1,346	-	-\$5,735	-\$2,963	-
Totals, Workload Budget Adjustments	-\$1,233	-\$1,346	-	\$5,620	\$1,973	139.6
Policy Adjustments						
• Placeholder – Dell Computers Settlement	\$-	\$-	-	\$2,829	\$1,331	7.6
• Billable Legal Services Conversion	-	-	-	1,994	-	-
Totals, Policy Adjustments	\$-	\$-	-	\$4,823	\$1,331	7.6
Totals, Budget Adjustments	-\$1,233	-\$1,346	-	\$10,443	\$3,304	147.2

PROGRAM DESCRIPTIONS

15 - COUNTY ASSESSMENT STANDARDS PROGRAM

The objective of the County Assessment Standards program is to ensure that taxable properties are assessed, allocated, and enrolled by county assessors in full conformity with the law.

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued**20 - STATE-ASSESSED PROPERTY PROGRAM**

The State-Assessed Property program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies.

25 - TIMBER TAX PROGRAM

The objective of this program is to administer the Timber Yield Tax Law by (1) collecting revenue, (2) establishing timber harvest value areas and the immediate harvest values for species of timber used to determine tax liability, (3) developing a standard method of timber measurement and conversion factors where the standard cannot be used, (4) controlling and auditing the reporting and self-assessment of the yield tax liability, and (5) providing the data for allocating revenues to the county of harvest.

30 - SALES AND USE TAX PROGRAM

The program objective is to administer the Sales and Use Tax Law and the Bradley-Burns Uniform Local Sales and Use Tax Law. The program also collects and distributes district transactions (sales) and use taxes on behalf of voter-approved special tax districts. The Sales and Use Tax Program ensures that all sales and use tax revenues are collected in an equitable and effective manner through the timely reporting of tax liability, detection and correction of errors in self-assessments, and the prompt collection of amounts determined to be due and economically recoverable.

35 - HAZARDOUS SUBSTANCES TAX PROGRAM

This program collects fees for the Department of Toxic Substances Control from generators of hazardous wastes, hazardous waste facilities, and certain California employers as identified by statute. Revenues are deposited into the Hazardous Waste Control Account and the Toxic Substances Control Account.

40 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects excise taxes imposed on the manufacturing, importation, and sale of alcoholic beverages.

41 - TIRE RECYCLING FEE PROGRAM

The Tire Recycling Fee program collects fees that pay for recycling and reclaiming used tires and tire components in order to reduce landfill waste and tire stockpiles.

45 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

The objective of this program is to collect the tax on cigarette and tobacco products to provide revenue for the General Fund, the Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund.

46 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM

The objective of the program, established by the California Cigarette and Tobacco Products Licensing Act of 2003, is to reduce tax evasion, smuggling, and counterfeiting of cigarette and tobacco products and tax stamps. It requires that manufacturers and importers, distributors, wholesalers, and retailers of cigarettes and tobacco products be licensed.

50 - TRANSPORTATION TAX PROGRAM

This program collects revenue for the Transportation Tax Fund, the Aeronautics Fund, the Harbors and Watercraft Revolving Fund, the Off-Highway Vehicle Trust Fund, and the Department of Agriculture Fund. Responsibility for the Motor Vehicle Fuel Program is shared with the State Controller who collects delinquent taxes and makes refunds to consumers who use tax-paid motor vehicle fuel in an exempt manner. Additionally, this program addresses the collection Motor Vehicle fuel, use fuel and diesel fuel tax.

56 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM

The objective of the program is to collect fees from employers in industries that the Department of Public Health Services has determined to have a potential for lead poisoning. The fee revenues fund the Occupational Lead Poisoning Prevention Program administered by the Department of Public Health.

57 - INTEGRATED WASTE MANAGEMENT PROGRAM

The objective of this program is to administer the collection of fees on all solid waste disposed of at solid waste landfills. These fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills. In addition, these fees support state and local landfill permit enforcement programs.

58 - UNDERGROUND STORAGE TANK MAINTENANCE FEE PROGRAM

This program collects a fee for each gallon of petroleum placed in an underground storage tank. This revenue provides funding to monitor and regulate underground storage tanks containing petroleum and to protect human health and the environment.

0860 State Board of Equalization - Continued

59 - OIL SPILL PREVENTION PROGRAM

This program collects two fees on all crude oil and petroleum products received in California via marine pipelines and terminals. The prevention and administration fees provide funding to implement oil spill prevention programs and to reimburse the member agencies of the State Inter-agency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install, and maintain emergency programs, equipment, and facilities to respond to, contain, and cleanup oil spills.

60 - ENERGY RESOURCES SURCHARGE PROGRAM

The energy resources surcharge is a tax on the consumption of electrical energy to provide revenue for support of the State Energy Resources Conservation and Development Commission.

61 - ANNUAL WATER RIGHTS FEE PROGRAM

The objective of the program is to collect an annual fee from owners of water rights based upon a schedule of fees adopted by the State Water Resources Control Board (SWRCB). This revenue is deposited into the Water Rights Fund and supports the activities of the SWRCB's Division of Water Rights.

62 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

This program collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. This revenue supports the Childhood Lead Poisoning Prevention Program administered by the Department of Public Health.

63 - MARINE INVASIVE SPECIES FEE PROGRAM

This program collects fees assessed upon the owner or operator of qualifying vessels that enter California ports from outside California. This fee provides funding to carry out the Marine Invasive Species Program's ballast water management program.

65 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

The objective of the Emergency Telephone Users Surcharge program is to provide revenue to fund a portion of the "911" emergency telephone number system by administering a surcharge on specified intrastate telephone communication services. The Office of the Chief Information Officer administers this program.

66 - E-WASTE RECYCLING FEE PROGRAM

This program provides revenue to fund the Covered Electronic Waste Recycling Fee Program by administering a recycling fee upon the purchase of a new or refurbished covered electronic device. The BOE is required to collect this fee from the retailers and consumers. The funds collected are deposited into the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund.

70 - INSURANCE TAX PROGRAM

This program assesses taxes on insurance premiums and marine profits of underwriters, and levies retaliatory assessments against "foreign" insurers. BOE, the Controller, and the Insurance Commissioner administer the program jointly. BOE is responsible for assessing the tax and adjudicating petitions for redetermination, claims for refund, and requests for relief from penalties.

75 - NATURAL GAS SURCHARGE PROGRAM

The objective of this program is to collect the surcharge from public utility gas corporations and consumers who receive natural gas through interstate pipelines in order to provide revenue for the Gas Consumption Surcharge Fund. That revenue is used to fund low-income assistance programs, energy efficiency programs, conservation activities and public interest research and development.

80 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

This program reviews assessments of income taxes and corporation taxes and eligibility determinations made in administering the Senior Citizens' Property Tax Assistance Program upon the filing of a written request by a taxpayer.

The program also acts as an impartial tribunal to settle disputes between entities of local government concerning property tax assessments of property owned by one local government entity but located outside its boundaries and within boundaries of another entity. Property owned by a local government outside its boundaries is subject to tax by the entity within whose boundaries the property is located.

85 - ADMINISTRATION PROGRAM

The Administration Program's objectives are to effectively and efficiently implement the policies and directives of the Board Members and to provide direction, leadership, planning, and support services for all Board programs.

0860 State Board of Equalization - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
15	COUNTY ASSESSMENT STANDARDS PROGRAM			
State Operations:				
0001	General Fund	\$8,750	\$9,998	\$10,166
0001	General Fund-DOJ Billable Hours	-	-	179
Totals, State Operations		\$8,750	\$9,998	\$10,345
ELEMENT REQUIREMENTS				
15.10	County Surveys	\$3,699	\$5,368	\$5,445
State Operations:				
0001	General Fund	3,699	5,368	5,445
15.20	Technical Advisory Services	\$2,935	\$2,748	\$2,976
State Operations:				
0001	General Fund	2,935	2,748	2,797
0001	General Fund-DOJ Billable Hours	-	-	179
15.30	Technical Services	\$2,116	\$1,882	\$1,924
State Operations:				
0001	General Fund	2,116	1,882	1,924
PROGRAM REQUIREMENTS				
20	STATE-ASSESSED PROPERTY PROGRAM			
State Operations:				
0001	General Fund	\$6,388	\$7,159	\$7,281
0995	Reimbursements	535	607	607
Totals, State Operations		\$6,923	\$7,766	\$7,888
ELEMENT REQUIREMENTS				
20.10	Assessment of Public Utilities	\$6,689	\$7,480	\$7,597
State Operations:				
0001	General Fund	6,154	6,873	6,990
0995	Reimbursements	535	607	607
20.20	Private Railroad Car Tax	\$234	\$286	\$291
State Operations:				
0001	General Fund	234	286	291
PROGRAM REQUIREMENTS				
25	TIMBER TAX PROGRAM			
State Operations:				
0965	Timber Tax Fund	\$1,808	\$2,321	\$2,370
Totals, State Operations		\$1,808	\$2,321	\$2,370
ELEMENT REQUIREMENTS				
25.10	Timber Valuation	\$799	\$912	\$922
State Operations:				
0965	Timber Tax Fund	799	912	922
25.20	Taxpayer Registration, Return Processing and Collection	\$635	\$862	\$894
State Operations:				
0965	Timber Tax Fund	635	862	894
25.30	Auditing	\$374	\$547	\$554
State Operations:				
0965	Timber Tax Fund	374	547	554

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS			
30 SALES AND USE TAX PROGRAM			
State Operations:			
0001 General Fund	\$203,654	\$244,118	\$253,346
0001 General Fund-DOJ Billable Hours	-	-	1,552
0995 Reimbursements	<u>118,809</u>	<u>134,462</u>	<u>137,455</u>
Totals, State Operations	\$322,463	\$378,580	\$392,353
ELEMENT REQUIREMENTS			
30.10 Registration of Taxpayers	\$66,672	\$78,862	\$91,344
State Operations:			
0001 General Fund	46,612	56,008	65,009
0995 Reimbursements	20,060	22,854	26,335
30.20 Processing Tax Returns	\$59,816	\$74,633	\$72,185
State Operations:			
0001 General Fund	25,955	32,985	31,812
0995 Reimbursements	33,861	41,648	40,373
30.30 Auditing Accounts	\$129,674	\$141,175	\$143,648
State Operations:			
0001 General Fund	84,398	95,207	95,755
0001 General Fund-DOJ Billable Hours	-	-	1,552
0995 Reimbursements	45,276	45,968	46,341
30.40 Collecting Taxes Receivable	\$66,301	\$83,910	\$85,176
State Operations:			
0001 General Fund	46,689	59,918	60,770
0995 Reimbursements	19,612	23,992	24,406
PROGRAM REQUIREMENTS			
35 HAZARDOUS SUBSTANCES TAX PROGRAM			
State Operations:			
0995 Reimbursements	<u>\$4,216</u>	<u>\$4,381</u>	<u>\$4,457</u>
Totals, State Operations	\$4,216	\$4,381	\$4,457
PROGRAM REQUIREMENTS			
40 ALCOHOLIC BEVERAGE TAX PROGRAM			
State Operations:			
0001 General Fund	<u>\$1,781</u>	<u>\$2,613</u>	<u>\$2,697</u>
Totals, State Operations	\$1,781	\$2,613	\$2,697
ELEMENT REQUIREMENTS			
40.10 Registration of Taxpayers	\$640	\$440	\$446
State Operations:			
0001 General Fund	640	440	446
40.20 Processing Tax Returns and Reports	\$187	\$625	\$634
State Operations:			
0001 General Fund	187	625	634
40.30 Auditing Accounts	\$760	\$1,279	\$1,344
State Operations:			
0001 General Fund	760	1,279	1,344
40.40 Collecting Taxes Receivable	\$194	\$269	\$273
State Operations:			
0001 General Fund	194	269	273
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
41 TIRE RECYCLING FEE PROGRAM			
State Operations:			
0995 Reimbursements	\$1,355	\$1,550	\$1,575
Totals, State Operations	\$1,355	\$1,550	\$1,575
PROGRAM REQUIREMENTS			
45 CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM			
State Operations:			
0001 General Fund	\$5,639	\$4,204	\$4,226
0001 General Fund-DOJ Billable Hours	\$?	\$?	263
0004 Breast Cancer Fund	374	560	567
0230 Cigarette and Tobacco Products Surtax Fund	4,687	6,938	7,042
0623 California Childhood and Families First Trust Fund	7,354	11,568	11,726
Totals, State Operations	\$18,054	\$23,270	\$23,824
ELEMENT REQUIREMENTS			
45.10 Registration of Taxpayers	\$2,274	\$2,548	\$2,583
State Operations:			
0001 General Fund	710	460	464
0004 Breast Cancer Fund	47	61	62
0230 Cigarette and Tobacco Products Surtax Fund	591	760	771
0623 California Childhood and Families First Trust Fund	926	1,267	1,286
45.20 Processing Tax Returns	\$2,235	\$2,203	\$2,226
State Operations:			
0001 General Fund	698	398	399
0004 Breast Cancer Fund	46	53	53
0230 Cigarette and Tobacco Products Surtax Fund	580	657	666
0623 California Childhood and Families First Trust Fund	911	1,095	1,108
45.30 Auditing Accounts	\$3,703	\$3,291	\$3,329
State Operations:			
0001 General Fund	1,157	595	598
0001 General Fund-DOJ Billable Hours	-	-	263
0004 Breast Cancer Fund	77	79	80
0230 Cigarette and Tobacco Products Surtax Fund	961	981	995
0623 California Childhood and Families First Trust Fund	1,508	1,636	1,656
45.40 Enforcement Activities	\$8,594	\$14,294	\$14,480
State Operations:			
0001 General Fund	2,684	2,582	2,595
0004 Breast Cancer Fund	178	344	349
0230 Cigarette and Tobacco Products Surtax Fund	2,231	4,262	4,329
0623 California Childhood and Families First Trust Fund	3,501	7,106	7,207
45.50 Collecting Taxes Receivable	\$1,248	\$934	\$943
State Operations:			
0001 General Fund	390	169	170
0004 Breast Cancer Fund	26	23	23
0230 Cigarette and Tobacco Products Surtax Fund	324	278	281
0623 California Childhood and Families First Trust Fund	508	464	469
PROGRAM REQUIREMENTS			
46 CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM			

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

	2009-10*	2010-11*	2011-12*
State Operations:			
0001 General Fund	\$883	\$1,008	\$1,042
0004 Breast Cancer Fund	176	201	209
0230 Cigarette and Tobacco Products Surtax Fund	2,201	2,518	2,608
0623 California Childhood and Families First Trust Fund	4,384	5,036	5,218
3067 Cigarette and Tobacco Products Compliance Fund	648	769	788
Totals, State Operations	\$8,292	\$9,532	\$9,865
PROGRAM REQUIREMENTS			
50 TRANSPORTATION FUND TAX PROGRAM			
State Operations:			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	\$20,257	\$23,530	\$24,147
0890 Federal Trust Fund	127	442	453
0995 Reimbursements	480	-	-
Totals, State Operations	\$20,864	\$23,972	\$24,600
ELEMENT REQUIREMENTS			
50.10 Registration of Taxpayers	\$2,722	\$2,308	\$2,378
State Operations:			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	2,684	2,265	2,334
0890 Federal Trust Fund	18	43	44
0995 Reimbursements	20	-	-
50.20 Processing Tax Returns	\$5,968	\$5,742	\$5,919
State Operations:			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	5,833	5,636	5,810
0890 Federal Trust Fund	38	106	109
0995 Reimbursements	97	-	-
50.30 Auditing Accounts	\$9,918	\$13,548	\$13,859
State Operations:			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	9,510	13,298	13,603
0890 Federal Trust Fund	56	250	256
0995 Reimbursements	352	-	-
50.40 Enforcement	\$946	\$1,090	\$1,121
State Operations:			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	929	1,070	1,100
0890 Federal Trust Fund	6	20	21
0995 Reimbursements	11	-	-
50.50 Collecting Taxes Receivable	\$1,310	\$1,284	\$1,323
State Operations:			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,301	1,261	1,300
0890 Federal Trust Fund	9	23	23
0995 Reimbursements	-	-	-
PROGRAM REQUIREMENTS			
56 OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM			
State Operations:			
0070 Occupational Lead Poisoning Prevention Account	651	737	756
Totals, State Operations	\$651	\$737	\$756
PROGRAM REQUIREMENTS			
57 INTEGRATED WASTE MANAGEMENT PROGRAM			
State Operations:			

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0001 General Fund	\$-	\$-	\$-
0387 Integrated Waste Management Account, Integrated Waste Management Fund	384	480	492
Totals, State Operations	\$384	\$480	\$492
PROGRAM REQUIREMENTS			
58 UNDERGROUND STORAGE TANK FEE PROGRAM			
State Operations:			
0439 Underground Storage Tank Cleanup Fund	\$3,030	\$3,239	\$3,246
Totals, State Operations	\$3,030	\$3,239	\$3,246
PROGRAM REQUIREMENTS			
59 OIL SPILL PREVENTION PROGRAM			
State Operations:			
0320 Oil Spill Prevention and Administration Fund	\$214	\$265	\$269
Totals, State Operations	\$214	\$265	\$269
PROGRAM REQUIREMENTS			
60 ENERGY RESOURCES SURCHARGE PROGRAM			
State Operations:			
0465 Energy Resources Programs Account	\$224	\$255	\$260
Totals, State Operations	\$224	\$255	\$260
PROGRAM REQUIREMENTS			
61 ANNUAL WATER RIGHTS FEE PROGRAM			
State Operations:			
3058 Water Rights Fund	\$378	\$427	\$441
Totals, State Operations	\$378	\$427	\$441
PROGRAM REQUIREMENTS			
62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM			
State Operations:			
0080 Childhood Lead Poisoning Prevention Fund	\$435	\$515	\$523
Totals, State Operations	\$435	\$515	\$523
PROGRAM REQUIREMENTS			
63 MARINE INVASIVE SPECIES PROGRAM			
State Operations:			
0995 Reimbursements	\$387	\$431	\$439
Totals, State Operations	\$387	\$431	\$439
PROGRAM REQUIREMENTS			
65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM			
State Operations:			
0001 General Fund	\$-	\$-	\$-
0022 State Emergency Telephone Number Account	1,330	1,401	1,552
Totals, State Operations	\$1,330	\$1,401	\$1,552
PROGRAM REQUIREMENTS			
66 E-WASTE RECYCLING FEE PROGRAM			
State Operations:			
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	\$4,198	\$4,739	\$4,773
Totals, State Operations	\$4,198	\$4,739	\$4,773
PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

		2009-10*	2010-11*	2011-12*
70	INSURANCE TAX PROGRAM			
	State Operations:			
0001	General Fund	\$623	\$274	\$280
	Totals, State Operations	\$623	\$274	\$280
	PROGRAM REQUIREMENTS			
75	NATURAL GAS SURCHARGE PROGRAM			
	State Operations:			
3015	Gas Consumption Surcharge Fund	\$598	\$631	\$680
	Totals, State Operations	\$598	\$631	\$680
	PROGRAM REQUIREMENTS			
80	APPEALS FROM OTHER GOVERNMENTAL PROGRAMS			
	State Operations:			
0001	General Fund	\$1,869	\$2,298	\$2,316
	Totals, State Operations	\$1,869	\$2,298	\$2,316
	ELEMENT REQUIREMENTS			
80.10	Franchise and Income Tax Appeals	\$1,868	\$2,297	\$2,315
	State Operations:			
0001	General Fund	1,868	2,297	2,315
80.20	Senior Citizens Property Tax Assistance	\$1	\$1	\$1
	State Operations:			
0001	General Fund	1	1	1
80.30	Intracounty Equalization	\$-	\$-	\$-
	State Operations:			
0001	General Fund	-	-	-
	PROGRAM REQUIREMENTS			
85	ADMINISTRATION			
	State Operations:			
0995	Reimbursements	\$19	\$417	\$417
	Totals, State Operations	\$19	\$417	\$417
	ELEMENT REQUIREMENTS			
85.01	Administration	39,456	50,010	50,010
85.02	Distributed Administration	-39,437	-49,593	-49,593
	TOTALS, EXPENDITURES			
	State Operations	408,846	480,092	496,418
	Totals, Expenditures	\$408,846	\$480,092	\$496,418

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures			
	Positions/Personnel Years	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	3,882.8	4,700.8	4,561.5	\$227,193	\$269,442	\$269,558	
Total Adjustments	-	-	155.0	-	-6,118	9,471	
Estimated Salary Savings	-	-230.9	-231.3	-	-13,299	-13,771	
Net Totals, Salaries and Wages	3,882.8	4,469.9	4,485.2	\$227,193	\$250,025	\$265,258	
Staff Benefits	-	-	-	84,593	106,321	100,296	
Totals, Personal Services	3,882.8	4,469.9	4,485.2	\$311,786	\$356,346	\$365,554	
OPERATING EXPENSES AND EQUIPMENT				\$97,060	\$123,746	\$130,864	

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$408,846	\$480,092	\$496,418

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$254,071	-	-
Adjustment per Section 3.60	399	-	-
Reduction per Section 3.90	-13,908	-	-
Adjustment per Section 4.04	-1,937	-	-
Adjustment per Section 3.55	-306	-	-
001 Budget Act appropriation	-	\$272,905	\$281,354
Allocation for employee compensation	-	930	-
Adjustment per Section 3.60	-	4,438	-
Reduction per Control Section 3.91	-	-6,601	-
005 Budget Act appropriation (Billable Legal Services Conversion)	-	-	1,994
Totals Available	\$238,319	\$271,672	\$283,348
Unexpended balance, estimated savings	-8,732	-	-
TOTALS, EXPENDITURES	\$229,587	\$271,672	\$283,348
0004 Breast Cancer Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$662	\$768	\$776
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	7	4	-
Reduction per Section 3.90	-15	-	-
Reduction per Control Section 3.91	-	-12	-
Adjustment per Section 3.55	-5	-	-
Totals Available	\$649	\$761	\$776
Unexpended balance, estimated savings	-99	-	-
TOTALS, EXPENDITURES	\$550	\$761	\$776
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,422	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-36	-	-
001 Budget Act appropriation	-	\$1,410	\$1,552
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	23	-
Reduction per Control Section 3.91	-	-37	-
Totals Available	\$1,387	\$1,401	\$1,552
Unexpended balance, estimated savings	-57	-	-
TOTALS, EXPENDITURES	\$1,330	\$1,401	\$1,552
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$21,066	-	-
Adjustment per Section 3.60	32	-	-
Reduction per Section 3.90	-282	-	-
Adjustment per Section 3.55	-21	-	-
001 Budget Act appropriation	-	\$23,677	\$24,147
Allocation for employee compensation	-	73	-
Adjustment per Section 3.60	-	349	-
Reduction per Control Section 3.91	-	-569	-
Totals Available	\$20,795	\$23,530	\$24,147
Unexpended balance, estimated savings	-538	-	-
TOTALS, EXPENDITURES	\$20,257	\$23,530	\$24,147
0070 Occupational Lead Poisoning Prevention Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$668	\$742	\$756
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	13	-
Reduction per Control Section 3.91	-	-21	-
Totals Available	\$668	\$737	\$756
Unexpended balance, estimated savings	-17	-	-
TOTALS, EXPENDITURES	\$651	\$737	\$756
0080 Childhood Lead Poisoning Prevention Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$479	\$518	\$523
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	9	-
Reduction per Section 3.90	-11	-	-
Reduction per Control Section 3.91	-	-14	-
Totals Available	\$468	\$515	\$523
Unexpended balance, estimated savings	-33	-	-
TOTALS, EXPENDITURES	\$435	\$515	\$523
0230 Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$8,385	\$9,544	\$9,650
Allocation for employee compensation	-	10	-
Adjustment per Section 3.60	14	50	-
Reduction per Section 3.90	-269	-	-
Reduction per Control Section 3.91	-	-148	-
Adjustment per Section 3.55	-2	-	-
Totals Available	\$8,128	\$9,456	\$9,650
Unexpended balance, estimated savings	-1,240	-	-
TOTALS, EXPENDITURES	\$6,888	\$9,456	\$9,650
0320 Oil Spill Prevention and Administration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$230	\$267	\$269
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	1	5	-
Reduction per Control Section 3.91	-	-8	-
Totals Available	\$231	\$265	\$269

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Unexpended balance, estimated savings	-17	-	-
TOTALS, EXPENDITURES	\$214	\$265	\$269
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$409	\$483	\$492
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	8	-
Reduction per Section 3.90	-3	-	-
Reduction per Control Section 3.91	-	-13	-
Totals Available	\$407	\$480	\$492
Unexpended balance, estimated savings	-23	-	-
TOTALS, EXPENDITURES	\$384	\$480	\$492
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,133	\$3,254	\$3,246
Allocation for employee compensation	-	10	-
Adjustment per Section 3.60	5	46	-
Reduction per Section 3.90	-90	-	-
Reduction per Control Section 3.91	-	-71	-
Adjustment per Section 3.55	-3	-	-
Totals Available	\$3,045	\$3,239	\$3,246
Unexpended balance, estimated savings	-15	-	-
TOTALS, EXPENDITURES	\$3,030	\$3,239	\$3,246
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$256	\$257	\$260
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	4	-
Reduction per Section 3.90	-32	-	-
Reduction per Control Section 3.91	-	-7	-
TOTALS, EXPENDITURES	\$224	\$255	\$260
0623 California Children and Families First Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$14,522	-	-
Adjustment per Section 3.60	26	-	-
Reduction per Section 3.90	-572	-	-
Adjustment per Section 3.55	-4	-	-
001 Budget Act appropriation	-	\$16,782	\$16,944
Allocation for employee compensation	-	20	-
Adjustment per Section 3.60	-	100	-
Reduction per Control Section 3.91	-	-298	-
Totals Available	\$13,972	\$16,604	\$16,944
Unexpended balance, estimated savings	-2,234	-	-
TOTALS, EXPENDITURES	\$11,738	\$16,604	\$16,944
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$825	\$442	\$453
Reduction per Section 3.90	-127	-	-

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Budget Adjustment	-571	-	-
TOTALS, EXPENDITURES	\$127	\$442	\$453
0965 Timber Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,321	\$2,333	\$2,370
Allocation for employee compensation	-	6	-
Adjustment per Section 3.60	3	30	-
Reduction per Section 3.90	-181	-	-
Reduction per Control Section 3.91	-	-48	-
Adjustment per Section 3.55	-9	-	-
Totals Available	\$2,134	\$2,321	\$2,370
Unexpended balance, estimated savings	-326	-	-
TOTALS, EXPENDITURES	\$1,808	\$2,321	\$2,370
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$125,801	\$141,848	\$144,950
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$621	-	-
Reduction per Section 3.90	-7	-	-
001 Budget Act appropriation	-	\$634	\$680
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	9	-
Reduction per Control Section 3.91	-	-14	-
Totals Available	\$614	\$631	\$680
Unexpended balance, estimated savings	-16	-	-
TOTALS, EXPENDITURES	\$598	\$631	\$680
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$397	\$429	\$441
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	1	7	-
Reduction per Section 3.90	-5	-	-
Reduction per Control Section 3.91	-	-10	-
Totals Available	\$393	\$427	\$441
Unexpended balance, estimated savings	-15	-	-
TOTALS, EXPENDITURES	\$378	\$427	\$441
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,401	\$4,767	\$4,773
Allocation for employee compensation	-	15	-
Adjustment per Section 3.60	8	72	-
Reduction per Section 3.90	-51	-	-
Reduction per Control Section 3.91	-	-115	-
Adjustment per Section 3.55	-2	-	-
Totals Available	\$4,356	\$4,739	\$4,773
Unexpended balance, estimated savings	-158	-	-

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$4,198	\$4,739	\$4,773
3067 Cigarette and Tobacco Products Compliance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$648	\$598	\$788
Allocation for employee compensation	-	26	-
Adjustment per Section 3.60	-	145	-
TOTALS, EXPENDITURES	<u>\$648</u>	<u>\$769</u>	<u>\$788</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$408,846	\$480,092	\$496,418

FUND CONDITION STATEMENTS

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0965 Timber Tax Fund ^N			
BEGINNING BALANCE	\$1,919	\$1,147	-
Prior year adjustments	<u>2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,921	\$1,147	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
213000 Property and Natural Resources (Timber Yield Tax)	2,965	3,500	\$4,500
215000 Income from Investments	<u>2</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,967</u>	<u>\$3,500</u>	<u>\$4,500</u>
Total Resources	\$4,888	\$4,647	\$4,500
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	1	-
0860 State Board of Equalization (State Operations)	1,808	2,321	2,370
3540 Department of Forestry and Fire Protection (State Operations)	3	33	35
Allocation to Counties (Local Assistance Expenditure not Reflected in Departmental Budget)	1,929	2,292	2,095
Total Expenditures and Expenditure Adjustments	<u>\$3,741</u>	<u>\$4,647</u>	<u>\$4,500</u>
FUND BALANCE	\$1,147	-	-
3067 Cigarette and Tobacco Products Compliance Fund ^S			
BEGINNING BALANCE	\$2,560	\$3,689	\$4,604
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
110500 Cigarette Tax	<u>1,778</u>	<u>1,687</u>	<u>1,687</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,778</u>	<u>\$1,687</u>	<u>\$1,687</u>
Total Resources	\$4,338	\$5,376	\$6,291
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	2	4
0860 State Board of Equalization (State Operations)	648	769	788
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>1</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$649</u>	<u>\$772</u>	<u>\$795</u>
FUND BALANCE	\$3,689	\$4,604	\$5,496
Reserve for economic uncertainties	3,689	4,604	5,496

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals, Authorized Positions	3,882.8	4,700.8	4,561.5	\$227,193	\$269,442	\$269,558

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Salary Adjustments	-	-	-	-	-6,118	-
Proposed New Positions:				Salary Range		
Executive Department						
Internal Security and Audit Division:						
Business Taxes Specialist II	-	-	1.0	5,573-7,113	-	76
Technology Services Department	-	-				
Staff Info Sys Analyst (Spec)	-	-	2.0	5,065-6,466	-	145
Assoc Info Sys Analyst (Spec)	-	-	2.0	4,619-5,897	-	132
Legal Department						
Tax & Fee Prog Division:						
Tax Counsel III	-	-	1.0	7,682-9,478	-	103
Investigation Division:						
Bus Taxes Admin II	-	-	1.0	5,844-7,414	-	80
Bus Taxes Spec I	-	-	11.0	5,328-6,476	-	779
Tax Tech III	-	-	1.0	2,951-3,588	-	39
Sales and Use Tax Department						
Bus Taxes Spec I	-	-	12.0	5,328-6,476	-	850
Bus Taxes Admin I	-	-	7.0	5,076-6,476	-	485
Supervising Tax Auditor I	-	-	3.0	5,076-6,476	-	208
Associate Tax Auditor	-	-	29.0	4,619-5,897	-	1,830
Bus Taxes Compliance Spec	-	-	56.0	4,619-5,616	-	3,439
Tax Tech III	-	-	7.0	2,951-3,588	-	275
Office Tech (T)	-	-	7.0	2,686-3,264	-	250
Audit Determination and Refunds:						
Associate Tax Auditor	-	-	1.0	4,619-5,897	-	63
Bus Taxes Spec I	-	-	1.0	5,328-6,476	-	71
Tax Tech III	-	-	3.0	2,951-3,588	-	118
Administration Department						
Financial Management Divison:						
Accountant Trainee	-	-	1.0	3,240-3,751	-	42
Human Resources Division:						
Assoc Personnel Analyst	-	-	1.0	4,400-5,348	-	59
Personnel Specialist	-	-	1.0	2,602-4,067	-	40
Administrative Support Division:						
Assoc Info Sys Analyst (Spec)	-	-	1.0	4,619-5,897	-	63
Assoc Bus Management Analyst	-	-	1.0	4,400-5,348	-	58
Associate Gov Prog Analyst	-	-	2.0	4,400-5,348	-	117
External Affairs Department						
Tax Tech II	-	-	2.0	2,638-3,209	-	70
Blanket Funds						
Overtime (Various)	-	-	-	-	-	13
Temporary Help	-	-	1.0	-	-	66
Totals Proposed New Positions	-	-	155.0	\$-	\$-	\$9,471
Total Adjustments	-	-	155.0	\$-	-\$6,118	\$9,471
TOTALS, SALARIES AND WAGES	3,882.8	4,700.8	4,716.5	\$227,193	\$263,324	\$279,029

* Dollars in thousands, except in Salary Range.

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office is also responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe At Home program, maintains the Domestic Partners and Advanced Health Care Directives Registries, provides support functions for the Citizens Redistricting Commission and Voter Modernization Board, and is home to the California Museum for History, Women and the Arts.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology and Management Services.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Filings and Registrations	258.2	260.9	256.7	\$43,246	\$46,870	\$49,802
20 Elections	59.2	59.8	59.2	23,655	114,867	100,851
30 Archives	25.4	26.5	26.0	10,399	10,706	10,865
50.01 Administration and Technology	111.8	99.8	99.6	15,132	23,158	23,830
50.02 Distributed Administration and Technology	-	-	-	-15,132	-23,158	-23,830
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	454.6	447.0	441.5	\$77,300	\$172,443	\$161,518
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$20,372	\$99,429	\$31,120
0228 Secretary of State's Business Fees Fund				36,165	37,307	39,056
0890 Federal Trust Fund				6,783	27,177	81,673
0995 Reimbursements				13,934	6,969	7,170
3042 Victims of Corporate Fraud Compensation Fund				46	1,561	2,499
TOTALS, EXPENDITURES, ALL FUNDS				\$77,300	\$172,443	\$161,518

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

10-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 1363, 6503.5, 6503.7, 6518, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12182, 12182.1, 12183-12197, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14230, 14233, 14240, 14241, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22370 et seq., 22443.1, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923; Civil Code, Sections 912, 1363.6, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, and 3344.1; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 488.375, 697.550, 697.570, 697.580, 697.640-697.670, 2101, and 2103-2105; Family Code, Section 297; Food and Agriculture Code, Sections 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, and 57561 et seq.; Probate Code, Section 4800; Vehicle Code, Section 40305.5; California Code of Regulations, Title 2, Sections 20800 et seq., 21900 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.; Government Code, Title 1, Division 7, Chapter 3.1; Family Code, Division 10, Part 1; and Penal Code, Title 15, Chapter 2.

20-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Government Code, Section 12172.5; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections law; Government Code, Title 9.

30-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, 14755-14756, and 14765-14768; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• HAVA VoteCal	\$-	\$-	-	\$-	\$11,635	-
• HAVA Amended Spending Plan	-	-	-	-	70,038	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$81,673	-
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$624	-\$1,552	-	-\$85	-\$174	-
• Retirement Rate Adjustment	200	487	-	200	470	-
• One Time Cost Reductions	-	-	-	-68,228	-26,269	-
• Miscellaneous Adjustments	1,467	539	-	764	1,158	-
Totals, Other Workload Budget Adjustments	\$1,043	-\$526	-	-\$67,349	-\$24,815	-
Totals, Workload Budget Adjustments	\$1,043	-\$526	-	-\$67,349	\$56,858	-
Policy Adjustments						
• Billable Legal Services Conversion	\$-	\$-	-	\$83	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$83	\$-	-
Totals, Budget Adjustments	\$1,043	-\$526	-	-\$67,266	\$56,858	-

PROGRAM DESCRIPTIONS

10 - FILINGS AND REGISTRATIONS

The Business Programs Division is the first stop for anyone wanting to do business in California. The California Business Portal provides online resources and services to businesses, connecting people with electronic versions of important documents and handbooks, searchable lists of registered businesses, a step-by-step guide to starting a business, and assistance for international businesses wanting to operate in California.

The Business Entities Section files articles of incorporation, foreign qualifications, and other related documents to ensure that corporations and other business entities are properly formed, merged, amended, and dissolved in compliance with California law.

The Uniform Commercial Code Section provides for the filing of documents that allow lending institutions to perfect a security interest in named collateral and to establish a priority scheme for property repossession in case of debtor default or bankruptcy.

The Notary Public and Special Filings Section appoints and commissions eligible notaries public. A notary public is a public official who performs services to the legal, business, financial and real estate communities by certifying or witnessing signatures on official documents. Special Filings include trademarks and service marks as well as bonds that certain business entities are required to file, joint power agreements, city and county charters, and claims for successor-in-interest. This Section also has disciplinary functions with regard to notaries public and immigration consultants.

The Safe at Home Program provides address protection, name change confidentiality, and confidential voter registration for survivors of domestic violence and stalking and their family members, and providers, employees, volunteers, and patients of reproductive health care facilities.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate to their medical treatment preferences if they cannot speak or make decisions for themselves. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California when they file a Declaration of Domestic Partnership.

The Victims of Corporate Fraud Compensation Fund provides restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

20 - ELECTIONS

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and establishing a procedure to allow voters to file and have complaints resolved about voting-related issues.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level campaign recipient, major donor, slate mailer, political party, and independent expenditure committees. The program also registers lobbyists, lobbying firms and lobbyist employers, reviews the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory both on paper and on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so voters can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

30 - ARCHIVES

The California State Archives acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires records that are legally required to be placed there, that possess legal, administrative or evidentiary value, or that have long-term historical significance. Materials of durable value are transferred to the Archives for long-term preservation.

50 - ADMINISTRATION AND TECHNOLOGY

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as ex officio member of the World Trade Commission and as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	FILINGS AND REGISTRATIONS			
	State Operations:			
0001	General Fund	\$280	\$1,041	\$1,077
0228	Secretary of State's Business Fees Fund	36,165	37,307	39,056
0995	Reimbursements	6,755	6,961	7,170
3042	Victims of Corporate Fraud Compensation Fund	46	1,561	2,499
	Totals, State Operations	\$43,246	\$46,870	\$49,802
PROGRAM REQUIREMENTS				
20	ELECTIONS			
	State Operations:			
0001	General Fund	\$9,693	\$19,454	\$19,178
0890	Federal Trust Fund	5,271	24,317	14,681
0995	Reimbursements	7,179	8	-
	Totals, State Operations	\$22,143	\$43,779	\$33,859
	Local Assistance:			
0001	General Fund	\$-	\$68,228	\$-
0890	Federal Trust Fund	1,512	2,860	66,992
	Totals, Local Assistance	\$1,512	\$71,088	\$66,992
PROGRAM REQUIREMENTS				
30	ARCHIVES			
	State Operations:			
0001	General Fund	\$10,399	\$10,706	\$10,865
	Totals, State Operations	\$10,399	\$10,706	\$10,865
TOTALS, EXPENDITURES				

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

	2009-10*	2010-11*	2011-12*
State Operations	75,788	101,355	94,526
Local Assistance	1,512	71,088	66,992
Totals, Expenditures	\$77,300	\$172,443	\$161,518

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	454.6	505.0	505.0	\$24,037	\$26,269	\$26,749
Total Adjustments	-	-	-	288	-2,580	-1,413
Estimated Salary Savings	-	-58.0	-63.5	-	-2,977	-4,153
Net Totals, Salaries and Wages	454.6	447.0	441.5	\$24,325	\$20,712	\$21,183
Staff Benefits	-	-	-	9,770	9,497	9,727
Totals, Personal Services	454.6	447.0	441.5	\$34,095	\$30,209	\$30,910
OPERATING EXPENSES AND EQUIPMENT				\$34,093	\$62,137	\$54,607
SPECIAL ITEMS OF EXPENSE						
Parallel Monitoring				\$-	\$95	\$95
Printing ballot pamphlets				3,648	3,137	3,137
Mailing ballot pamphlets				1,560	2,813	2,813
Printing registration cards				888	1,664	1,664
Mailing registration cards				1,396	1,095	1,095
Election night reporting				108	205	205
Totals, Special Items of Expense				\$7,600	\$9,009	\$9,009
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$75,788	\$101,355	\$94,526

2 Local Assistance

	Expenditures		
	2009-10*	2010-11*	2011-12*
May 19, 2009 Statewide Special Election	\$-	\$68,228	\$-
P.L. 107-252 - Help America Vote Act of 2002	1,512	2,860	66,992
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,512	\$71,088	\$66,992

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30,699	\$30,158	\$31,037
Allocation for employee compensation	-	49	-
Adjustment per Section 3.60	19	200	-
Adjustment per Section 4.04	-560	-	-
Adjustment per Section 4.30	225	764	-
Reduction per Control Section 3.91	-	-673	-
Adjustment per Section 3.55	-9	-	-
Transfer from Item 8640-001-0001 (Political Reform Act)	703	703	-
005 Budget Act appropriation	-	-	83
Totals Available	\$31,077	\$31,201	\$31,120

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Unexpended balance, estimated savings	<u>-10,705</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$20,372	\$31,201	\$31,120
0228 Secretary of State's Business Fees Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$37,126	\$37,720	\$39,056
Allocation for employee compensation	-	119	-
Adjustment per Section 3.60	39	395	-
Reduction per Section 3.90	-1,071	-	-
Adjustment per Section 4.30	132	446	-
Reduction per Control Section 3.91	-	-1,373	-
Adjustment per Section 3.55	<u>-20</u>	<u>-</u>	<u>-</u>
Totals Available	\$36,206	\$37,307	\$39,056
Unexpended balance, estimated savings	<u>-41</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$36,165	\$37,307	\$39,056
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,388	\$24,361	\$14,681
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	17	-
Reduction per Control Section 3.91	-	-63	-
Revised expenditure authority per Provision 4	3,662	-	-
Budget Adjustment	<u>-3,780</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$5,271	\$24,317	\$14,681
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$13,934	\$6,969	\$7,170
3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,557	\$1,561	\$2,499
011 Budget Act appropriation (Loan to the General Fund)	<u>-</u>	<u>(10,000)</u>	<u>-</u>
Totals Available	\$1,557	\$1,561	\$2,499
Unexpended balance, estimated savings	<u>-1,511</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$46	\$1,561	\$2,499
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$75,788	\$101,355	\$94,526
2 LOCAL ASSISTANCE			
0001 General Fund			
APPROPRIATIONS			
119 Budget Act appropriation	<u>-</u>	<u>\$68,228</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$68,228	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,000	\$2,860	\$66,992
Revised expenditure authority per Provision 1	554	-	-
Budget Adjustment	<u>-42</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,512	\$2,860	\$66,992
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,512	\$71,088	\$66,992
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$77,300	\$172,443	\$161,518

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0228 Secretary of State's Business Fees Fund ^s			
BEGINNING BALANCE	-	-	\$1,000
Prior year adjustments	\$205	-	-
Adjusted Beginning Balance	\$205	-	\$1,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
124100 Domestic Corporation Fees	7,330	\$7,640	7,640
124200 Foreign Corporation Fees	797	832	832
124300 Notary Public License Fees	852	852	852
124400 Filing Financing Statements	2,188	2,189	2,189
125600 Other Regulatory Fees	5,553	5,808	5,808
142000 General Fees--Secretary of State	21,976	22,148	22,151
150300 Income From Surplus Money Investments	17	17	17
161400 Miscellaneous Revenue	47	47	47
Transfers and Other Adjustments:			
TO0001 To General Fund per Government Code Section 12176	-2,770	-1,130	-432
Total Revenues, Transfers, and Other Adjustments	\$35,990	\$38,403	\$39,104
Total Resources	\$36,195	\$38,403	\$40,104
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	30	73	48
0890 Secretary of State (State Operations)	36,165	37,307	39,056
8880 Financial Information System for California (State Operations)	-	23	-
Total Expenditures and Expenditure Adjustments	\$36,195	\$37,403	\$39,104
FUND BALANCE	-	\$1,000	\$1,000
Reserve for economic uncertainties	-	1,000	1,000
3042 Victims of Corporate Fraud Compensation Fund ^s			
BEGINNING BALANCE	\$11,618	\$13,094	\$2,976
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142000 General Fees--Secretary of State	1,443	1,443	1,443
150300 Income From Surplus Money Investments	79	-	-
Transfers and Other Adjustments:			
TO0001 To General Fund Loan per Item 0890-011-3042, Budget Act of 2010	-	-10,000	-
Total Revenues, Transfers, and Other Adjustments	\$1,522	-\$8,557	\$1,443
Total Resources	\$13,140	\$4,537	\$4,419
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	46	1,561	2,499
Total Expenditures and Expenditure Adjustments	\$46	\$1,561	\$2,499
FUND BALANCE	\$13,094	\$2,976	\$1,920
Reserve for economic uncertainties	13,094	2,976	1,920

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	454.6	505.0	505.0	\$24,037	\$26,269	\$26,749
Adjustments	-	-	-	288	-1,034	-1,413

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Furlough/PLP Adjustments	-	-	-	-	-1,546	-
Total Adjustments	-	-	-	\$288	-\$2,580	-\$1,413
TOTALS, SALARIES AND WAGES	454.6	505.0	505.0	\$24,325	\$23,689	\$25,336

0911 Citizens Redistricting Initiative

The "Voters FIRST Act" (Proposition 11) reforms the redistricting process and establishes an independent 14-member Citizens Redistricting Commission to draw the new district boundaries for the state Legislature and the Board of Equalization beginning with the 2010 census. The "Voters FIRST Act for Congress" (Proposition 20) adds congressional districts (as a fourth map) to be drawn by the Commission.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Support	-	2.0	-	\$-	\$2,500	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	2.0	-	\$-	\$2,500	\$-
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$-	\$2,500	\$-
TOTALS, EXPENDITURES, ALL FUNDS				\$-	\$2,500	\$-

Of the \$3,000,000 initially appropriated in 2009-10, \$500,000 has been transferred to the Bureau of State Audits in 2009-10 and the remaining \$2,500,000 is available for expenditure in 2010-11.

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments pending as a result of Proposition 20.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Carryover/Reappropriation	\$500	\$-	-	-\$2,000	\$-	-
Totals, Other Workload Budget Adjustments	\$500	\$-	-	-\$2,000	\$-	-
Totals, Workload Budget Adjustments	\$500	\$-	-	-\$2,000	\$-	-
Totals, Budget Adjustments	\$500	\$-	-	-\$2,000	\$-	-

PROGRAM DESCRIPTIONS

10 - The Citizens Redistricting Commission shall draw the new district lines for congressional and state Assembly, Senate, and Board of Equalization districts based on strict, nonpartisan rules designed to ensure fair representation.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	SUPPORT			
	State Operations:			

* Dollars in thousands, except in Salary Range.

0911 Citizens Redistricting Initiative - Continued

	2009-10*	2010-11*	2011-12*
0001 General Fund	\$-	\$2,500	\$-
Totals, State Operations	\$-	\$2,500	\$-
TOTALS, EXPENDITURES			
State Operations	-	2,500	-
Totals, Expenditures	\$-	\$2,500	\$-

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	-	-	\$-	\$-	\$-
Total Adjustments	-	2.0	-	-	215	-
Net Totals, Salaries and Wages	-	2.0	-	\$-	\$215	\$-
Staff Benefits	-	-	-	-	69	-
Totals, Personal Services	-	2.0	-	\$-	\$284	\$-
OPERATING EXPENSES AND EQUIPMENT				\$-	\$2,216	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$-	\$2,500	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$3,000	-	-
Allocation to Bureau of State Audits per Provision 1	-500	-	-
Prior year balances available:			
Item 0911-001-0001, Budget Act of 2009	-	\$2,500	-
Totals Available	\$2,500	\$2,500	\$-
Balance available in subsequent years	-2,500	-	-
TOTALS, EXPENDITURES	\$-	\$2,500	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$-	\$2,500	\$-

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	-	-	-	\$-	\$-	\$-
Workload and Administrative Adjustments:				Salary Range		
Positions Established:						
Executive Director	-	0.5	-	\$10,672-11,554	67	-
Chief Counsel	-	0.5	-	10,672-11,554	67	-
Communications Officer	-	0.5	-	8,369-9,053	52	-
Administrative Assistant	-	0.5	-	4,400-5,348	29	-
Totals, Workload & Admin Adjustments	-	2.0	-	\$-	\$215	\$-
Total Adjustments	-	2.0	-	\$-	\$215	\$-
TOTALS, SALARIES AND WAGES	-	2.0	-	\$-	\$215	\$-

* Dollars in thousands, except in Salary Range.

0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants drawn by the State Controller and other state agencies.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Investment Services	16.8	17.1	17.1	\$2,718	\$2,994	\$3,109
20 Cash Management	41.5	48.7	48.7	7,737	8,035	8,364
30 Public Finance	48.9	51.3	51.3	7,097	7,350	7,697
35 Securities Management	27.1	28.2	28.2	4,918	4,821	5,006
50.01 Administration and Information Services	86.3	84.4	84.4	10,984	11,929	11,930
50.02 Distributed Administration	-	-	-	-8,248	-8,682	-8,678
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	220.6	229.7	229.7	\$25,206	\$26,447	\$27,428
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$1,819	\$4,678	\$4,721
0995 Reimbursements				21,701	19,609	20,354
9740 Central Service Cost Recovery Fund				1,686	2,160	2,353
TOTALS, EXPENDITURES, ALL FUNDS				\$25,206	\$26,447	\$27,428

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$200	-\$1,057	-	-\$44	-\$232	-
• Retirement Rate Adjustment	69	364	-	69	364	-
• Central Services Cost Recovery Fund Adjustment	-	-	-	-113	113	-
• Miscellaneous Adjustments	475	-	-	475	-	-
Totals, Other Workload Budget Adjustments	\$344	-\$693	-	\$387	\$245	-
Totals, Workload Budget Adjustments	\$344	-\$693	-	\$387	\$245	-
Totals, Budget Adjustments	\$344	-\$693	-	\$387	\$245	-

PROGRAM DESCRIPTIONS

10 - INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2009-10 fiscal year, this Division handled 10,074 security investment transactions totaling \$345.1 billion. The Pooled Money Investment Board program accounted for 5,960 of these transactions totaling \$292.8 billion; time deposits accounted for 1,720 transactions totaling \$38.1 billion. The remaining \$14.2 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Game, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the Pooled Money Investment Board program. In the 2009-10 fiscal year, 2,779 local agencies participated in LAIF, with deposits averaging \$23.7 billion for the fiscal year.

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

20 - CASH MANAGEMENT

The Cash Management Division is responsible for managing the state's cash resources as mandated by the Pooled Money Investment Board and Government Code Sections 16500 through 16510. The Division is also responsible for administering the Centralized Treasury System (CTS), which encompasses the Treasurer's demand bank accounts. The State Treasurer maintains demand bank accounts with eight statewide banks for the purpose of providing the necessary depository coverage for the remittance of funds collected by various state agencies.

The goal of the CTS is to have all idle State money invested each day. This goal is accomplished by: (1) completing daily, weekly and monthly forecasts of agency revenue collections and disbursements and analyzing their impact on the Treasurer's Pooled Money Investment Portfolio, (2) maintaining balances with each demand account bank to compensate the banks for banking services and to meet warrant redemption requirements, and (3) using compensating balances to allow for the variances in cash flow that are a natural consequence when forecasting the movement of cash.

The Division is also responsible for processing, redeeming, and reconciling state warrants and agency checks presented by the banks for payment, processing stop payment and forgery items, and reconciling all of the state's deposits within the Treasury System. The Division also administers the Electronic Fund Transfer (EFT) and Pre-Sort Deposit Contracts, both of which involve the collection of approximately \$136 billion in state revenue.

30 - PUBLIC FINANCE

The Public Finance Division is responsible for selling all State of California general obligation bonds, revenue anticipation notes, commercial paper notes, revenue bonds, and any other indebtedness including securitization of assets. The Division also provides trust services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt by investing and reinvesting bond sale proceeds as needed to meet federal yield restriction requirements, tracking expenditures, and computing and rebating arbitrage profit or other payments to the federal government.

35 - SECURITIES MANAGEMENT

The Securities Management Division consists of the Securities Clearance Section and the Debt and Collateral Management Section.

The Division is responsible for the clearance, settlement, income collection, and accountability of all securities:(1) purchased or sold for investment by the State Treasurer, (2) pledged to the State Treasurer to secure the performance of an act or duty, and (3) held as collateral for the Treasurer's Time and Demand Deposit Programs. Securities are held in outside depositories as well as the State Vault.

The Division manages the State's fiscal agent accounts, including debt service payments on Book-Entry bonds to the Trust Depository and certificated bonds to the fiscal agent bank, payments for Revenue Anticipation Notes (RANs) and Warrants (RAWs), and performs the accountability and reconciliation on Debt Service Reporting, Un-presented Accountability and Cash Accountability.

The Division is also responsible for: (1) safekeeping personal property and other items in the State Vault at the request of State Agencies, (2) providing custody and protection of securities inside the Vault, and (3) providing Vault tours for students, dignitaries and legislators.

50 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	INVESTMENT SERVICES			
	State Operations:			
0001	General Fund	\$427	\$432	\$435
0995	Reimbursements	2,061	2,331	2,422
9740	Central Service Cost Recovery Fund	<u>230</u>	<u>231</u>	<u>252</u>
	Totals, State Operations	\$2,718	\$2,994	\$3,109
PROGRAM REQUIREMENTS				
20	CASH MANAGEMENT			
	State Operations:			
0001	General Fund	-\$1,718	\$1,278	\$1,290

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0995 Reimbursements	8,759	6,035	6,290
9740 Central Service Cost Recovery Fund	696	722	784
Totals, State Operations	\$7,737	\$8,035	\$8,364
PROGRAM REQUIREMENTS			
30 PUBLIC FINANCE			
State Operations:			
0001 General Fund	\$980	\$1,044	\$1,061
0995 Reimbursements	5,566	5,713	5,990
9740 Central Service Cost Recovery Fund	551	593	646
Totals, State Operations	\$7,097	\$7,350	\$7,697
PROGRAM REQUIREMENTS			
35 SECURITIES MANAGEMENT			
State Operations:			
0001 General Fund	\$1,402	\$1,195	\$1,206
0995 Reimbursements	2,793	3,012	3,131
9740 Central Service Cost Recovery Fund	723	614	669
Totals, State Operations	\$4,918	\$4,821	\$5,006
PROGRAM REQUIREMENTS			
50 ADMINISTRATION AND INFORMATION SERVICES			
State Operations:			
0001 General Fund	\$213	\$730	\$731
0995 Reimbursements	2,523	2,517	2,521
Totals, State Operations	\$2,736	\$3,247	\$3,252
ELEMENT REQUIREMENTS			
50.01 Administration and Information Services	10,984	11,929	11,930
50.02 Distributed Administration	-8,248	-8,682	-8,678
TOTALS, EXPENDITURES			
State Operations	<u>25,206</u>	<u>26,447</u>	<u>27,428</u>
Totals, Expenditures	\$25,206	\$26,447	\$27,428

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>Positions/Personnel Years</u>			<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	220.6	241.8	241.8	\$14,114	\$15,618	\$15,971
Total Adjustments	-	-	-	-	-1,344	-
Estimated Salary Savings	-	-12.1	-12.1	-	-714	-798
Net Totals, Salaries and Wages	220.6	229.7	229.7	\$14,114	\$13,560	\$15,173
Staff Benefits	-	-	-	4,957	5,951	5,319
Totals, Personal Services	220.6	229.7	229.7	\$19,071	\$19,511	\$20,492
OPERATING EXPENSES AND EQUIPMENT				<u>\$6,135</u>	<u>\$6,936</u>	<u>\$6,936</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$25,206	\$26,447	\$27,428
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$4,964	-	-
Adjustment per Section 3.60	8	-	-
Reduction per Section 3.90	-142	-	-
Adjustment per Section 4.04	-77	-	-
Adjustment per Section 4.30	140	-	-
Reduction per Section 15.30	-2	-	-
Adjustment per Section 3.55	-3	-	-
001 Budget Act appropriation	-	\$4,334	\$4,721
Allocation for employee compensation	-	14	-
Adjustment per Section 3.60	-	69	-
Adjustment per Section 4.30	-	475	-
Reduction per Control Section 3.91	-	-214	-
Totals Available	\$4,888	\$4,678	\$4,721
Unexpended balance, estimated savings	-3,069	-	-
TOTALS, EXPENDITURES	\$1,819	\$4,678	\$4,721
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$21,701	\$19,609	\$20,354
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,742	-	-
Adjustment per Section 3.60	3	-	-
Reduction per Section 3.90	-58	-	-
Adjustment per Section 3.55	-1	-	-
001 Budget Act appropriation	-	\$2,227	\$2,353
Allocation for employee compensation	-	7	-
Adjustment per Section 3.60	-	35	-
Reduction per Control Section 3.91	-	-109	-
TOTALS, EXPENDITURES	\$1,686	\$2,160	\$2,353
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$25,206	\$26,447	\$27,428

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
3059 Fiscal Recovery Fund ^s			
BEGINNING BALANCE			
Prior year adjustments	\$339,809	\$328,001	\$318,601
Adjusted Beginning Balance	-26,331	-	-
Adjusted Beginning Balance	\$313,478	\$328,001	\$318,601
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
115100 Retail Sales and Use Tax-Fiscal Recovery	1,172,329	1,201,000	1,301,000
150300 Income From Surplus Money Investments	3,981	4,000	2,000
Transfers and Other Adjustments:			
FO6045 From Economic Recovery Fund per Government Code Section 99060(c)	12	-	-
Total Revenues, Transfers, and Other Adjustments	\$1,176,322	\$1,205,000	\$1,303,000
Total Resources	\$1,489,800	\$1,533,001	\$1,621,601
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

	2009-10*	2010-11*	2011-12*
Expenditures:			
9618 Economic Recovery Financing Committee			
State Operations	11,853	13,400	13,014
Unclassified	<u>1,149,946</u>	<u>1,201,000</u>	<u>1,288,407</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,161,799</u>	<u>\$1,214,400</u>	<u>\$1,301,421</u>
FUND BALANCE	\$328,001	\$318,601	\$320,180
Funds Available to Redeem Bonds	328,001	318,601	320,180

3090 Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget

Stabilization Account ^s

BEGINNING BALANCE	\$4	-	-
Prior year adjustments	<u>-4</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	8	\$4	\$4
160400 Sale of Fixed Assets	<u>2,954</u>	<u>46,426</u>	<u>105,948</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,962</u>	<u>\$46,430</u>	<u>\$105,952</u>
Total Resources	\$2,962	\$46,430	\$105,952
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9618 Economic Recovery Financing Committee (Unclassified)	<u>2,962</u>	<u>46,430</u>	<u>105,952</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,962</u>	<u>\$46,430</u>	<u>\$105,952</u>
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	220.6	241.8	241.8	\$14,114	\$15,618	\$15,971
Furlough Adjustments	-	-	-	-	-712	-
PLP Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-632</u>	<u>-</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>-\$1,344</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	220.6	241.8	241.8	\$14,114	\$14,274	\$15,971

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Programs (GSP) and the California Memorial Scholarship Program (CMS). These programs encourage Californians to save for college and pursue higher education goals. ScholarShare is a way for families to save for higher education costs utilizing a tax-advantaged 529 college savings plan. GSP granted academic achievement-based scholarships to students for use at eligible higher education institutions. CMS provided scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

3-YR EXPENDITURES AND PERSONNEL YEARS

	<u>Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Golden State Scholarshare Trust Program	7.8	9.0	9.0	\$2,052	\$2,447	\$2,458
20 Governor's Scholarship Program	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>531</u>	<u>487</u>	<u>440</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.8	10.0	10.0	\$2,583	\$2,934	\$2,898
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$531	\$487	\$440

* Dollars in thousands, except in Salary Range.

0954 Scholarshare Investment Board - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0564 Scholarshare Administrative Fund	2,052	2,447	2,458
TOTALS, EXPENDITURES, ALL FUNDS	\$2,583	\$2,934	\$2,898

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

10-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

20-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

30-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustment	-\$8	-\$35	-	-\$2	-\$16	-
• Retirement Rate Adjustment	2	15	-	2	15	-
• Removal of Price Adjustment	-	-	-	-7	-34	-
• Workforce Cap Adjustment	-4	-32	-	-4	-32	-
• Pro Rata Adjustment (Special Fund Cost Recovery)	-	-	-	-	-9	-
• Health Care Adjustment	-	3	-	-	4	-
• Align Governor's Scholarship Program Appropriation with Expected Costs	-	-	-	-53	-	-
• Price Adjustment	-	-	-	7	34	-
Totals, Other Workload Budget Adjustments	-\$10	-\$49	-	-\$57	-\$38	-
Totals, Workload Budget Adjustments	-\$10	-\$49	-	-\$57	-\$38	-
Totals, Budget Adjustments	-\$10	-\$49	-	-\$57	-\$38	-

PROGRAM DESCRIPTIONS

10 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established for the purpose of helping families save for higher education costs. Funds placed in the trust receive tax benefits when used for qualified higher education expenses, such as tuition, fees, supplies, books, and certain room and board expenses.

20 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students received a \$1,000 Governor's Scholars Award if they had earned scores on certain standardized tests in the years 2000, 2001 or 2002 that placed them: (1) in the top five percent of public school students statewide in their grade, or (2) in the top ten percent of students within their comprehensive public school in their grade. Students could also have earned a supplemental \$2,500 Governor's Distinguished Mathematics and Science Scholars Award when they attained specific scores on particular Advanced Placement or International Baccalaureate exams administered before December 31, 2002. GSP was made inoperative and repealed in fiscal year 2002-03; however, the ScholarShare Investment Board continues to administer 2000, 2001 and 2002 awards.

30 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provided scholarships to surviving dependents of California residents killed as

* Dollars in thousands, except in Salary Range.

0954 Scholarshare Investment Board - Continued

a result of the terrorist attacks of September 11, 2001. These scholarships are used to defray higher education costs and were funded through the sales of memorial license plates.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	GOLDEN STATE SCHOLARSHARE TRUST PROGRAM			
State Operations:				
0564	Scholarshare Administrative Fund	\$2,052	\$2,447	\$2,458
Totals, State Operations		\$2,052	\$2,447	\$2,458
PROGRAM REQUIREMENTS				
20	GOVERNOR'S SCHOLARSHIP PROGRAM			
State Operations:				
0001	General Fund	\$531	\$487	\$440
Totals, State Operations		\$531	\$487	\$440
TOTALS, EXPENDITURES				
State Operations		2,583	2,934	2,898
Totals, Expenditures		\$2,583	\$2,934	\$2,898

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years					
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8.8	10.0	10.0	\$516	\$607	\$625
Total Adjustments	-	-	-	-	-39	-
Net Totals, Salaries and Wages	8.8	10.0	10.0	\$516	\$568	\$625
Staff Benefits	-	-	-	181	199	219
Totals, Personal Services	8.8	10.0	10.0	\$697	\$767	\$844
OPERATING EXPENSES AND EQUIPMENT				\$1,886	\$2,167	\$2,054
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,583	\$2,934	\$2,898

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2009-10*	2010-11*	2011-12*
0001 General Fund				
APPROPRIATIONS				
001	Budget Act appropriation	\$695	\$497	\$440
	Adjustment per Section 3.60	-	2	-
	Reduction per Section 3.90	-7	-4	-
	Adjustment per Section 4.04	-28	-	-
	Reduction per Control Section 3.91	-	-8	-
Totals Available		\$660	\$487	\$440
Unexpended balance, estimated savings		-129	-	-
TOTALS, EXPENDITURES		\$531	\$487	\$440
0564 Scholarshare Administrative Fund				
APPROPRIATIONS				
001	Budget Act appropriation	\$2,440	\$2,496	\$2,458
	Allocation for employee compensation	-	3	-

* Dollars in thousands, except in Salary Range.

0954 Scholarshare Investment Board - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Adjustment per Section 3.60	2	15	-
Reduction per Section 3.90	-61	-32	-
Reduction per Control Section 3.91	-	-35	-
Totals Available	\$2,381	\$2,447	\$2,458
Unexpended balance, estimated savings	-329	-	-
TOTALS, EXPENDITURES	\$2,052	\$2,447	\$2,458
3033 California Memorial Scholarship Fund			
APPROPRIATIONS			
Prior year balances available:			
Chapter 677, Statutes of 2005	\$30	\$30	\$30
Totals Available	\$30	\$30	\$30
Balance available in subsequent years	-30	-30	-30
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,583	\$2,934	\$2,898

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
3033 California Memorial Scholarship Fund ^s			
BEGINNING BALANCE	\$39	\$39	\$39
FUND BALANCE	\$39	\$39	\$39
Reserve for economic uncertainties	39	39	39

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	8.8	10.0	10.0	\$516	\$607	\$625
PLP Adjustments	-	-	-	-	-39	-
Total Adjustments	-	-	-	\$-	-\$39	\$-
TOTALS, SALARIES AND WAGES	8.8	10.0	10.0	\$516	\$568	\$625

0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission (CDIAC) is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. CDIAC assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

3-YR EXPENDITURES AND PERSONNEL YEARS

	<u>Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Debt and Investment Advisory Commission	15.9	20.0	20.0	\$2,089	\$2,912	\$2,934
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	15.9	20.0	20.0	\$2,089	\$2,912	\$2,934
FUNDING				2009-10*	2010-11*	2011-12*
0171 California Debt and Investment Advisory Commission Fund				\$2,040	\$2,732	\$2,754
0995 Reimbursements				49	180	180
TOTALS, EXPENDITURES, ALL FUNDS				\$2,089	\$2,912	\$2,934

* Dollars in thousands, except in Salary Range.

0956 California Debt and Investment Advisory Commission - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$75	-	\$-	-\$25	-
• Retirement Rate Adjustment	-	34	-	-	34	-
• Workforce Cap Adjustment	-	-70	-	-	-70	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-28	-
Totals, Other Workload Budget Adjustments	\$-	-\$111	-	\$-	-\$89	-
Totals, Workload Budget Adjustments	\$-	-\$111	-	\$-	-\$89	-
Totals, Budget Adjustments	\$-	-\$111	-	\$-	-\$89	-

PROGRAM DESCRIPTIONS

10 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

CDIAC's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. CDIAC achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - CDIAC serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - CDIAC provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, CDIAC provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - CDIAC undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION			
	State Operations:			
0171	California Debt and Investment Advisory Commission Fund	\$2,040	\$2,732	\$2,754
0995	Reimbursements	49	180	180
	Totals, State Operations	\$2,089	\$2,912	\$2,934
TOTALS, EXPENDITURES				
	State Operations	2,089	2,912	2,934
	Totals, Expenditures	\$2,089	\$2,912	\$2,934

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*

PERSONAL SERVICES

* Dollars in thousands, except in Salary Range.

0956 California Debt and Investment Advisory Commission - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Authorized Positions (Equals Sch. 7A)	15.9	20.0	20.0	\$975	\$1,216	\$1,253
Total Adjustments	-	-	-	-	-57	-
Estimated Salary Savings	-	-	-	-	-49	-49
Net Totals, Salaries and Wages	15.9	20.0	20.0	\$975	\$1,110	\$1,204
Staff Benefits	-	-	-	309	390	390
Totals, Personal Services	15.9	20.0	20.0	\$1,284	\$1,500	\$1,594
OPERATING EXPENSES AND EQUIPMENT				\$805	\$1,412	\$1,340
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,089	\$2,912	\$2,934

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,885	\$2,843	\$2,754
Allocation for employee compensation	-	6	-
Adjustment per Section 3.60	4	34	-
Reduction per Section 3.90	-178	-70	-
Reduction per Control Section 3.91	-	-81	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$2,710	\$2,732	\$2,754
Unexpended balance, estimated savings	-670	-	-
TOTALS, EXPENDITURES	\$2,040	\$2,732	\$2,754
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$49	\$180	\$180
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,089	\$2,912	\$2,934

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0171 California Debt and Investment Advisory Commission Fund^s			
BEGINNING BALANCE	\$6,204	\$5,716	\$4,503
Prior year adjustments	-32	-	-
Adjusted Beginning Balance	\$6,172	\$5,716	\$4,503
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	1,547	1,491	1,491
150300 Income From Surplus Money Investments	38	31	31
Total Revenues, Transfers, and Other Adjustments	\$1,585	\$1,522	\$1,522
Total Resources	\$7,757	\$7,238	\$6,025
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	2	4
0956 California Debt and Investment Advisory Commission (State Operations)	2,040	2,732	2,754
8880 Financial Information System for California (State Operations)	-	1	12
Total Expenditures and Expenditure Adjustments	\$2,041	\$2,735	\$2,770
FUND BALANCE	\$5,716	\$4,503	\$3,255

* Dollars in thousands, except in Salary Range.

0956 California Debt and Investment Advisory Commission - Continued

	2009-10*	2010-11*	2011-12*
Reserve for economic uncertainties	5,716	4,503	3,255

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	15.9	20.0	20.0	\$975	\$1,216	\$1,253
Furlough Adjustments	-	-	-	-	-30	-
PLP Adjustments	-	-	-	-	-27	-
Total Adjustments	-	-	-	\$-	-\$57	\$-
TOTALS, SALARIES AND WAGES	15.9	20.0	20.0	\$975	\$1,159	\$1,253

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt private activity bond authority for the State of California. Private activity bonds may only be used by the private sector for projects and programs that provide a public benefit. The major public benefit in California is the creation of affordable housing.

The federal government limits the amount of tax-exempt private activity bond authority that can be issued in a state on an annual basis. The limit of bond authority in 2010 is calculated by multiplying the state population by \$90. California has the largest population, and thus has the largest debt (or tax-exempt bond) limit, which totaled over \$3.3 billion in 2010.

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities, and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or of the federal government.

The Committee administers six programs that are funded through the allocation and issuance of tax-exempt private activity bonds. Those programs are: (1) the Qualified Residential Rental Project Program, (2) the Single-Family Housing Program, (3) the Extra Credit Home Purchase Program, (4) the Industrial Development Bond Project Program, (5) the Exempt Facility Program, and (6) the Student Loan Program.

The Committee is also responsible for the reallocation of Recovery Zone Economic Development Bond (RZEDB), Recovery Zone Facility Bond (RZFB), and Qualified Energy Conservation Bond (QECB) authority originally provided to qualified localities, but later waived back to the State. These bond programs, made available through the American Recovery and Reinvestment Act of 2009, provide tax incentives and lower borrowing costs for local governments and private entities to promote job creation and economic recovery in areas particularly affected by employment decline; and for the QECB authority, to facilitate renewable energy conservation programs and projects throughout the State.

The Committee is comprised of the State Treasurer as Chairperson, the Governor, or upon his designation, the Director of Finance, and the State Controller. The Committee is funded on a fee-supported basis.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Debt Limit Allocation Committee	9.8	9.0	9.0	\$1,170	\$1,222	\$1,276
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	9.8	9.0	9.0	\$1,170	\$1,222	\$1,276
FUNDING				2009-10*	2010-11*	2011-12*
0169 California Debt Limit Allocation Committee Fund				\$1,170	\$1,222	\$1,276
TOTALS, EXPENDITURES, ALL FUNDS				\$1,170	\$1,222	\$1,276

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0959 California Debt Limit Allocation Committee - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$47	-	\$-	-\$9	-
• Retirement Rate Adjustment	-	16	-	-	16	-
• Workforce Cap Adjustment	-	-32	-	-	-32	-
• Miscellaneous Baseline Adjustments	-	-	-	-	16	-
Totals, Other Workload Budget Adjustments	\$-	-\$63	-	\$-	-\$9	-
Totals, Workload Budget Adjustments	\$-	-\$63	-	\$-	-\$9	-
Totals, Budget Adjustments	\$-	-\$63	-	\$-	-\$9	-

PROGRAM DESCRIPTIONS

10 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

Qualified Residential Rental Project Program:

State and local governmental agencies and joint powers authorities can issue tax-exempt housing revenue bonds. These bonds assist developers of multifamily rental housing units to acquire land and construct new units or purchase and rehabilitate existing units. The tax-exempt bonds lower the interest rate on a mortgage to be paid by the developers. The developers in turn produce affordable and market rate rental housing for low and very low-income households by reducing rental rates to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive four-percent tax credits.

Single-Family Housing Program:

State and local governmental agencies and joint powers authorities can issue tax-exempt mortgage revenue bonds (MRBs) or mortgage credit certificates (MCCs) to assist first-time homebuyers with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homebuyers may purchase single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits and must purchase a home that falls within the program's purchase price limitations.

Extra Credit Home Purchase Program:

State and local governmental agencies and joint powers authorities can issue MRBs or MCCs to assist teachers, principals and other eligible school staff with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCC to reduce their federal tax liability by applying the credit to their net tax due.

Industrial Development Bond Project Program:

Small-Issue Industrial Development Bonds (IDBs) are tax-exempt private activity bonds that are issued through state and local governmental agencies to assist manufacturing facilities finance capital expenditures. IDBs offer interest rate savings to small and midsize manufacturers in contrast to conventional loans. When used by manufacturers, IDBs serve to retain and create new jobs within their communities.

Exempt Facility Program:

Exempt Facility Bonds are tax-exempt private activity bonds that are issued by state and local governmental agencies to finance solid waste disposal and waste recycling facilities. The tax-exempt bonds provide facility owners with low-cost financing in the form of below-market interest rate loans. The interest rate savings enable the project owners to maintain lower customer rates or minimize customer rate increases, while at the same time assisting the communities they serve meet their mandated requirements to protect and enhance the environment.

Student Loan Program:

Student Loan Bonds are tax-exempt private activity bonds issued by authorized agencies for the purpose of either financing direct loans to college students and their parents or purchasing bundles of already-originated loans on the secondary market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to financially needy students via below-market interest rate loans. Financially needy students are borrowers for whom the cost to attend college exceeds their ability to pay, as determined by their school's financial aid office.

Recovery Zone Facility Bond Program:

Recovery Zone Facility Bonds (RZFBs) are tax-exempt private activity bonds issued by authorized agencies for the purpose of providing interest savings to trades or businesses in areas suffering from economic distress. RZFBs provide expanded eligibility beyond the limits of traditional exempt facility or small issue private activity bonds, as defined in the federal Internal Revenue Code. However, 95% of the RZFB bond proceeds must be used for the property. To qualify as a RZFB project, the property must be constructed, reconstructed, renovated or acquired after the area in which the project is located is designated a Recovery Zone by a locality. Currently, RZFBs must be issued no later than December 31, 2010.

* Dollars in thousands, except in Salary Range.

0959 California Debt Limit Allocation Committee - Continued

Recovery Zone Economic Development Bond Program:

Recovery Zone Economic Development Bonds (RZEDBs) are taxable bonds that provide issuers a direct federal subsidy equal to 45% of the interest payable on the bonds. Eligible expenditures include: 1) capital expenditures paid or incurred with respect to property located in a Recovery Zone; 2) expenditures for public infrastructure and construction of other public facilities that promote the development of other economic activity in a Recovery Zone; and 3) expenditures for job training and educational programs. Currently, RZEDBs must be issued no later than December 31, 2010.

Qualified Energy Conservation Bond Program:

Qualified Energy Conservation Bonds (QECBs) may be issued as either tax-exempt private activity bonds or governmental purpose taxable bonds. When issued as a governmental purpose taxable bond, QECBs provide the issuer with either a direct or tax credit interest subsidy. QECBs may be issued to finance a broad array of qualified conservation purposes such as capital expenditures, research facilities and research grant programs, mass commuting facilities, public education campaigns, and demonstration projects. There is no sunset date for this program.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE				
State Operations:				
0169 California Debt Limit Allocation Committee Fund		\$1,170	\$1,222	\$1,276
Totals, State Operations		\$1,170	\$1,222	\$1,276
TOTALS, EXPENDITURES				
State Operations		1,170	1,222	1,276
Totals, Expenditures		\$1,170	\$1,222	\$1,276

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	9.8	9.0	9.0	\$555	\$574	\$587
Total Adjustments	-	-	-	-	-39	-
Estimated Salary Savings	-	-	-	-	-24	-24
Net Totals, Salaries and Wages	9.8	9.0	9.0	\$555	\$511	\$563
Staff Benefits	-	-	-	307	167	140
Totals, Personal Services	9.8	9.0	9.0	\$862	\$678	\$703
OPERATING EXPENSES AND EQUIPMENT				\$308	\$544	\$573
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,170	\$1,222	\$1,276

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,268	\$1,285	\$1,276
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	2	16	-
Reduction per Section 3.90	-95	-32	-
Reduction per Control Section 3.91	-	-52	-
Totals Available	\$1,175	\$1,222	\$1,276
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$1,170	\$1,222	\$1,276

* Dollars in thousands, except in Salary Range.

0959 California Debt Limit Allocation Committee - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,170	\$1,222	\$1,276
<hr/>			
FUND CONDITION STATEMENTS	2009-10*	2010-11*	2011-12*
<hr/>			
0169 California Debt Limit Allocation Committee Fund ^s			
BEGINNING BALANCE	\$3,777	\$3,356	\$2,908
Prior year adjustments	3	-	-
Adjusted Beginning Balance	\$3,780	\$3,356	\$2,908
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	724	750	750
150300 Income From Surplus Money Investments	23	27	24
Total Revenues, Transfers, and Other Adjustments	\$747	\$777	\$774
Total Resources	\$4,527	\$4,133	\$3,682
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	2	-
0959 California Debt Limit Allocation Committee (State Operations)	1,170	1,222	1,276
8880 Financial Information System for California (State Operations)	-	1	6
Total Expenditures and Expenditure Adjustments	\$1,171	\$1,225	\$1,282
FUND BALANCE	\$3,356	\$2,908	\$2,400
Reserve for economic uncertainties	3,356	2,908	2,400

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	9.8	9.0	9.0	\$555	\$574	\$587
Furlough Adjustments	-	-	-	-	-21	-
PLP Adjustments	-	-	-	-	-18	-
Total Adjustments	-	-	-	\$-	-\$39	\$-
TOTALS, SALARIES AND WAGES	9.8	9.0	9.0	\$555	\$535	\$587

0964 California Transportation Financing Authority

The California Transportation Financing Authority (CTFA) was established by Chapter 474, Statutes of 2009 (AB 798) to increase the construction of new capacity or improvements for the state transportation system in a manner that will help meet the state's greenhouse gas reduction goals, air quality improvement goals, and natural resource conservation goals. The CTFA consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

10 - The California Transportation Financing Authority (CTFA) was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet these objectives, statutes allow CTFA to issue, or approve specified project sponsors to issue, revenue bonds to fund approved transportation projects, to be repaid, in whole or in part, by

* Dollars in thousands, except in Salary Range.

0964 California Transportation Financing Authority - Continued

various revenue streams of transportation funds, or toll revenues under certain conditions. Statutes provide CTFA with the authority to approve transportation financing plans, but not with the authority to plan or approve transportation projects. Approval of transportation projects is performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
6802 California Transportation Financing Authority Fund			
APPROPRIATIONS			
Chapter 474, Statutes of 2009	0	0	0
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$-	\$-	\$-

0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission (CIDFAC) creates employment opportunities and supports local economic development. CIDFAC meets this goal by approving local entities' issuance of Industrial Development Bonds (IDBs). The IDBs provide manufacturers and knowledge-based industries with a low-cost financing option to build, expand, or equip their facilities in California. CIDFAC independently reviews IDB applications to ensure compliance with federal and state laws and regulations and approves the sale of IDBs by local authorities. Additionally, CIDFAC provides technical assistance to local issuers of IDBs, including cities, counties, industrial development authorities, redevelopment agencies, and joint powers authorities.

The State Treasurer serves as chairperson of the CIDFAC. The other members are the Director of the Department of Finance, the State Controller, the Secretary of the Business, Transportation and Housing Agency, and the Commissioner of the Department of Corporations.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Industrial Development Financing Advisory Commission	1.0	1.0	1.0	\$197	\$352	\$333
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1.0	1.0	1.0	\$197	\$352	\$333
FUNDING				2009-10*	2010-11*	2011-12*
0215 Industrial Development Fund				\$122	\$277	\$258
0995 Reimbursements				75	75	75
TOTALS, EXPENDITURES, ALL FUNDS				\$197	\$352	\$333

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$11	-	\$-	-\$4	-
• Retirement Rate Adjustment	-	3	-	-	3	-
• Workforce Cap Adjustment	-	-7	-	-	-7	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-26	-

* Dollars in thousands, except in Salary Range.

0965 California Industrial Development Financing Advisory Commission - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Totals, Other Workload Budget Adjustments	\$-	-\$15	-	\$-	-\$34	-
Totals, Workload Budget Adjustments	\$-	-\$15	-	\$-	-\$34	-
Totals, Budget Adjustments	\$-	-\$15	-	\$-	-\$34	-

PROGRAM DESCRIPTIONS**10 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION**

The core program area is the Industrial Development Bond (IDB) Program, under which CIDFAC, as required by state law, serves as the mandatory approval agency for local IDB issuers. Once potential borrowers (manufacturers, processing companies and knowledge-based businesses) apply through their local government agency for low cost tax-exempt financing, CIDFAC carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. In addition, bond proceeds can also be used to produce intangible products such as patents, copyrights, formulas, processes, designs, trademarks, and know-how. The local issuer can be a city, county, economic development authority, redevelopment agency, or a joint power authority.

Federal law authorizes the issuance of IDBs in local Empowerment Zone Bonds (EZ Bonds). CIDFAC's EZ Bond Program augments the benefits of the IDB program to further support economic development in California's most distressed communities. The EZ Bond Program expands the eligibility of borrowers to manufacturers, retailers, and any service that operates in an Empowerment Zone. The EZ Bond program removes the maximum dollar restriction (applicable to IDBs) on the size of the issuance. There are five federally designated Empowerment Zones in California: Los Angeles, Santa Ana, San Diego, Fresno and an unincorporated section of Riverside County. These communities received this federal designation based on their high unemployment and high poverty rates.

The American Recovery and Reinvestment Act of 2009 (ARRA) created a new type of bond, the Recovery Zone Facility Bond (RZFB). RZFBs can be issued by counties and certain cities with populations that exceed 100,000. Projects financed with this type of bond must be located in an area formally designated as a "recovery zone" by the eligible city or county. To be designated as a "recovery zone," an area must suffer from significant poverty, unemployment, high home foreclosure rates or general economic dislocation. RZFBs issued by local industrial development authorities, or by eligible cities or counties that issue bonds under the California Industrial Development Act, are subject to a streamlined review and approval by CIDFAC. The RZFB program is scheduled to expire on December 31, 2010; however, legislation is currently pending before Congress to extend the program through 2011.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION			
State Operations:				
0215	Industrial Development Fund	\$122	\$277	\$258
0995	Reimbursements	75	75	75
Totals, State Operations		\$197	\$352	\$333
TOTALS, EXPENDITURES				
State Operations		197	352	333
Totals, Expenditures		\$197	\$352	\$333

EXPENDITURES BY CATEGORY

	1 State Operations	Positions/Personnel Years			Expenditures		
		2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES							
	Authorized Positions (Equals Sch. 7A)	1.0	1.0	1.0	\$120	\$120	\$120
	Total Adjustments	-	-	-	-	-9	-
	Estimated Salary Savings	-	-	-	-	-6	-6

* Dollars in thousands, except in Salary Range.

0965 California Industrial Development Financing Advisory Commission - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Net Totals, Salaries and Wages	1.0	1.0	1.0	\$120	\$105	\$114
Staff Benefits	-	-	-	32	32	30
Totals, Personal Services	1.0	1.0	1.0	\$152	\$137	\$144
OPERATING EXPENSES AND EQUIPMENT				\$45	\$215	\$189
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$197	\$352	\$333

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0215 Industrial Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$282	\$292	\$258
Adjustment per Section 3.60	-	3	-
Reduction per Section 3.90	-21	-7	-
Reduction per Control Section 3.91	-	-11	-
Totals Available	\$261	\$277	\$258
Unexpended balance, estimated savings	-139	-	-
TOTALS, EXPENDITURES	\$122	\$277	\$258
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$75	\$75	\$75
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$197	\$352	\$333

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0215 Industrial Development Fund ^s			
BEGINNING BALANCE	\$116	\$24	\$6
Prior year adjustments	1	-	-
Adjusted Beginning Balance	\$117	\$24	\$6
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	28	258	268
150300 Income From Surplus Money Investments	1	1	1
Total Revenues, Transfers, and Other Adjustments	\$29	\$259	\$269
Total Resources	\$146	\$283	\$275
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	122	277	258
8880 Financial Information System for California (State Operations)	-	-	1
Total Expenditures and Expenditure Adjustments	\$122	\$277	\$259
FUND BALANCE	\$24	\$6	\$16
Reserve for economic uncertainties	24	6	16

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	1.0	1.0	1.0	\$120	\$120	\$120
Furlough Adjustments	-	-	-	-	-6	-

* Dollars in thousands, except in Salary Range.

0965 California Industrial Development Financing Advisory Commission - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PLP Adjustments	-	-	-	-	-3	-
Total Adjustments	-	-	-	\$-	\$-9	\$-
TOTALS, SALARIES AND WAGES	1.0	1.0	1.0	\$120	\$111	\$120

0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee (CTCAC) is to fairly allocate federal and state tax credits to create and maintain safe quality affordable rental housing for low-income households in California by forming partnerships with developers, investors and public entities.

CTCAC works in public/private partnerships to assist with project development, while fulfilling its responsibilities as a credit agency through project compliance monitoring. CTCAC coordinates its functions with state and local housing fund providers and with private fund investors, when providing and maintaining quality, affordable housing.

The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Tax Credit Allocation Committee	31.6	35.0	37.0	\$810,188	\$4,782	\$5,194
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	31.6	35.0	37.0	\$810,188	\$4,782	\$5,194
FUNDING				2009-10*	2010-11*	2011-12*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$2,312	\$2,560	\$2,829
0457 Tax Credit Allocation Fee Account				2,970	2,162	2,305
0890 Federal Trust Fund				804,809	-	-
0995 Reimbursements				97	60	60
TOTALS, EXPENDITURES, ALL FUNDS				\$810,188	\$4,782	\$5,194

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; California Revenue and Taxation Code Sections 12205.5, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; California Health and Safety Code Section 50199.51; California Revenue and Taxation Code Sections 17053.14, 23608.2, and 23608.3; California Health and Safety Code Section 50199.70; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Compliance Monitoring Staff Augmentation for HUD and ARRA Reporting Mandates	\$-	\$-	-	\$-	\$282	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$282	2.0
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$160	-	\$-	-\$36	-
• Retirement Rate Adjustment	-	58	-	-	58	-
• Workforce Cap Adjustment	-	-119	-	-	-119	-

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
• Miscellaneous Baseline Adjustments	-	-	-	-	6	-
Totals, Other Workload Budget Adjustments	\$-	-\$221	-	\$-	-\$91	-
Totals, Workload Budget Adjustments	\$-	-\$221	-	\$-	\$191	2.0
Totals, Budget Adjustments	\$-	-\$221	-	\$-	\$191	2.0

PROGRAM DESCRIPTIONS**10 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE**

The Federal Low Income Housing Tax Credit Program:

Congress authorized the federal program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors.

As of 2010, each state has an annual housing credit ceiling of approximately \$2.10 per state resident, and may qualify for a share of credits available annually in a national pool comprised of states' unused credits. The annual housing credit ceiling is indexed for inflation. Investors can take the annual credit each year for a ten-year period.

The State Low Income Housing Tax Credit Program:

Recognizing the high cost of developing housing in California, the California Legislature authorized the State Low Income Housing Tax Credit Program to augment the federal tax credit program. Specifically, projects that are not receiving an increase in the federal credit basis may apply for and receive State credits. In addition, \$500,000 annually is available as State credit for projects housing farmworker populations.

The annual state credit ceiling is currently \$86 million, indexed for inflation (in addition to any unused or returned credits from previous years). Investors take the state credit over a four-year period in contrast to the ten-year federal allocation period. The full four-year state credit allocated to a project is deducted from the annual state credit ceiling, while only the annual federal credit allocated to a project is deducted from the federal ceiling.

Tax-Exempt Bond Financed Program:

Developments financed with tax-exempt bond proceeds may also receive federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual "cap" on the amount of credit that may be awarded by the state to such developments. The annual credit available is based on approximately four percent (instead of the nine percent for projects that are not financed by a federal subsidy) of the "qualified basis" of the development, that is, the costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

Under federal law, credit projects must remain affordable for at least 15 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

Farmworker Housing Tax Credit Program:

In 1996, the California Tax Credit Allocation Committee (CTCAC) received authorization from the California Legislature to administer an additional tax credit program to assist farmworkers, known as the Farmworker Housing Tax Credit Program. Annually, up to \$500,000 of state tax credits is available for the construction of farmworker housing.

Chapter 521, Statutes of 2008 (SB 1267) folded the Farmworker Housing Assistance tax credits into the general State low-income housing tax credit program. While \$500,000 annually continues to be authorized for State tax credits in support of farmworker housing, the program now operates under the rules of the general State low-income housing tax credit program.

20 COMMERCIAL REVITALIZATION DEDUCTION PROGRAM

In 2002, CTCAC received authorization to administer the Commercial Revitalization Deduction Program, a federal program designed to stimulate job growth and economic development in designated Renewal Communities nationwide. California currently has five Renewal Communities, which are portions of the Cities of San Francisco, Los Angeles, and San Diego, as well as the rural communities of Orange Cove and Parlier. CTCAC can allocate up to \$12 million in federal tax deductions to qualifying businesses in each of the Renewal Communities. These deductions are available to qualified businesses that acquire and renovate property, rehabilitate existing structures, or build property for commercial use.

By federal statute (IRC Title 26, § 1400I(g)), the program terminated on December 31, 2009. While the program was proposed to be extended by federal legislation in 2010, the tax extenders bill failed to pass out of Congress.

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

In 2009/10, CTCAC received approximately \$325 million in Tax Credit Assistance Program funds and approximately \$478 million in Section 1602 Grants in Lieu of Tax Credit funds as a result of the passage of the American Recovery and Reinvestment Act of 2009. These federal stimulus funds were awarded to 138 low income multi-family housing projects. Projects receiving the federal stimulus funds were either unable to sell their Low-Income Housing Tax Credits and therefore needed the cash in lieu of the tax credits, or were unable to sell the tax credits at a price that would make the project feasible and therefore needed financing to fill a funding gap.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE			
State Operations:				
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	\$2,312	\$2,560	\$2,829
0457	Tax Credit Allocation Fee Account	2,646	2,026	2,169
0995	Reimbursements	97	60	60
Totals, State Operations		\$5,055	\$4,646	\$5,058
Local Assistance:				
0457	Tax Credit Allocation Fee Account	\$324	\$136	\$136
0890	Federal Trust Fund	804,809	-	-
Totals, Local Assistance		\$805,133	\$136	\$136
TOTALS, EXPENDITURES				
State Operations		5,055	4,646	5,058
Local Assistance		805,133	136	136
Totals, Expenditures		\$810,188	\$4,782	\$5,194

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>Positions/Personnel Years</u>			<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	31.6	35.0	35.0	\$1,836	\$2,046	\$2,107
Total Adjustments	-	-	2.0	-	-140	282
Estimated Salary Savings	-	-	-	-	-95	-95
Net Totals, Salaries and Wages	31.6	35.0	37.0	\$1,836	\$1,811	\$2,294
Staff Benefits	-	-	-	655	848	726
Totals, Personal Services	31.6	35.0	37.0	\$2,491	\$2,659	\$3,020
OPERATING EXPENSES AND EQUIPMENT				\$2,564	\$1,981	\$2,032
SPECIAL ITEMS OF EXPENSE				\$-	\$6	\$6
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,055	\$4,646	\$5,058

	2 Local Assistance			Expenditures		
	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>			
Grants and Subventions	\$805,133	\$136	\$136			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$805,133	\$136	\$136			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,665	\$2,690	\$2,829
Allocation for employee compensation	-	9	-
Adjustment per Section 3.60	3	37	-
Reduction per Section 3.90	-142	-71	-
Reduction per Control Section 3.91	-	-105	-
011 Budget Act appropriation (Loan to the General Fund)	-	(25,000)	-
Totals Available	\$2,526	\$2,560	\$2,829
Unexpended balance, estimated savings	-214	-	-
TOTALS, EXPENDITURES	\$2,312	\$2,560	\$2,829
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$2,358	-	-
Adjustment per Section 3.60	3	-	-
Reduction per Section 3.90	-174	-	-
Adjustment per Section 3.55	-1	-	-
Revised expenditure authority per Provision 1	2,004	-	-
001 Budget Act appropriation	-	\$2,111	\$2,163
Allocation for employee compensation	-	6	-
Adjustment per Section 3.60	-	21	-
Reduction per Section 3.90	-	-48	-
Reduction per Control Section 3.91	-	-70	-
011 Budget Act appropriation (Loan to the General Fund)	-	(25,000)	-
Health and Safety Code Section 50199.9(b)	-	6	6
Totals Available	\$4,190	\$2,026	\$2,169
Unexpended balance, estimated savings	-1,544	-	-
TOTALS, EXPENDITURES	\$2,646	\$2,026	\$2,169
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$97	\$60	\$60
3038 Community Revitalization Fee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$49	-	-
Reduction per Section 3.90	-33	-	-
Totals Available	\$16	\$-	\$-
Unexpended balance, estimated savings	-16	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$5,055	\$4,646	\$5,058
2 LOCAL ASSISTANCE			
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Health and Safety Code Section 50199.9(b)	\$324	\$136	\$136
TOTALS, EXPENDITURES	\$324	\$136	\$136
0890 Federal Trust Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
101 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$517,000	-	-
Budget Adjustment	<u>287,809</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	<u>\$804,809</u>	<u>\$-</u>	<u>\$-</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	<u>\$805,133</u>	<u>\$136</u>	<u>\$136</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	<u>\$810,188</u>	<u>\$4,782</u>	<u>\$5,194</u>

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account ^s			
BEGINNING BALANCE	\$54,768	\$58,264	\$37,302
Prior year adjustments	<u>54</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$54,822	\$58,264	\$37,302
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	5,755	6,280	6,805
150300 Income From Surplus Money Investments	-	321	205
Transfers and Other Adjustments:			
TO0001 To General Fund loan per Item 0968-011-0448, Budget Act of 2010	<u>-</u>	<u>-25,000</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,755</u>	<u>-\$18,399</u>	<u>\$7,010</u>
Total Resources	\$60,577	\$39,865	\$44,312
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	2	2
0968 California Tax Credit Allocation Committee (State Operations)	2,312	2,560	2,829
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>1</u>	<u>11</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,313</u>	<u>\$2,563</u>	<u>\$2,842</u>
FUND BALANCE	\$58,264	\$37,302	\$41,470
Reserve for economic uncertainties	58,264	37,302	41,470
0457 Tax Credit Allocation Fee Account ^s			
BEGINNING BALANCE	\$38,257	\$39,700	\$17,254
Prior year adjustments	<u>-58</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$38,199	\$39,700	\$17,254
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	4,465	4,500	4,500
150300 Income From Surplus Money Investments	-	219	93
161000 Escheat of Unclaimed Checks & Warrants	7	-	-
Transfers and Other Adjustments:			
TO0001 To General Fund loan per Item 0968-011-0457, Budget Act of 2010	<u>-</u>	<u>-25,000</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,472</u>	<u>-\$20,281</u>	<u>\$4,593</u>
Total Resources	\$42,671	\$19,419	\$21,847
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	2	2
0968 California Tax Credit Allocation Committee			
State Operations	2,646	2,026	2,169
Local Assistance	324	136	136
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>1</u>	<u>9</u>

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Total Expenditures and Expenditure Adjustments	\$2,971	\$2,165	\$2,316
FUND BALANCE	\$39,700	\$17,254	\$19,531
Reserve for economic uncertainties	39,700	17,254	19,531
3038 Community Revitalization Fee Fund ⁵			
BEGINNING BALANCE	<u>\$3</u>	<u>\$3</u>	<u>\$3</u>
FUND BALANCE	\$3	\$3	\$3
Reserve for economic uncertainties	3	3	3

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals, Authorized Positions	31.6	35.0	35.0	\$1,836	\$2,046	\$2,107
Furlough Adjustments	-	-	-	-	-74	-
PLP Adjustments	-	-	-	-	-66	-
Proposed New Positions: HUD & ARRA BCP						
Assoc Govtl Prog Analyst	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>4,400-5,348</u>	<u>-</u>	<u>282</u>
Totals Proposed New Positions	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$282</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>\$-</u>	<u>-\$140</u>	<u>\$282</u>
TOTALS, SALARIES AND WAGES	31.6	35.0	37.0	\$1,836	\$1,906	\$2,389

0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, as the California Alternative Energy Source Financing Authority, to "promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources." Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the intent of the legislation was to promote energy sources designed to reduce the degradation of the environment. The Authority later became the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) in 1994, when the statute was amended to include development and commercialization of advanced transportation technologies.

CAEATFA consists of five members: the State Treasurer, who serves as the chairperson of CAEATFA, the State Controller, the Director of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission (the California Energy Commission), and the President of the Public Utilities Commission.

3-YR EXPENDITURES AND PERSONNEL YEARS

	<u>Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
10 California Alternative Energy and Advanced Transportation Financing Authority	1.0	7.0	7.0	\$276	\$16,678	\$25,832
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1.0	7.0	7.0	\$276	\$16,678	\$25,832
FUNDING				2009-10*	2010-11*	2011-12*
0382 Renewable Resource Trust Fund				\$-	\$50	\$15,220
0528 California Alternative Energy Authority Fund				276	1,628	1,612
0995 Reimbursements				-	15,000	9,000
TOTALS, EXPENDITURES, ALL FUNDS				\$276	\$16,678	\$25,832

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26017; 26020-26042.4; 26100-26106; 26120-26124; 26140-26141.

* Dollars in thousands, except in Salary Range.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

MAJOR PROGRAM CHANGES

- The Governor's Budget proposes \$15 million reimbursements in 2010-11 and \$9 million in 2011-12 from the California Energy Commission to assist the implementation of the California Ethanol Producer Incentive Program, created by Chapter 750, Statutes of 2007 (AB 118).
- The Governor's Budget includes \$15 million in 2011-12 from the Renewable Resource Trust Fund to implement the Property Assessed Clean Energy Program. Chapter 15, Statutes of 2010 (SB77) created the program and appropriated \$50 million available until December 31, 2014.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Implementation of Chapter 15, Statutes of 2010	\$-	\$-	-	\$-	\$15,000	-
• Implementation of Chapter 15, Statutes of 2010	-	50	-	-	220	-
• Employee Compensation Adjustments	-	-7	-	-	-7	-
• Retirement Rate Adjustment	-	11	-	-	11	-
• Workforce Cap Adjustment	-	-27	-	-	-27	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-16	-
Totals, Other Workload Budget Adjustments	\$-	\$27	-	\$-	\$15,181	-
Totals, Workload Budget Adjustments	\$-	\$27	-	\$-	\$15,181	-
Policy Adjustments						
• Implementation of the California Energy Commission's CEPIP	\$-	\$15,000	-	\$-	\$9,000	-
Totals, Policy Adjustments	\$-	\$15,000	-	\$-	\$9,000	-
Totals, Budget Adjustments	\$-	\$15,027	-	\$-	\$24,181	-

PROGRAM DESCRIPTIONS

10 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

The Authority is authorized to issue up to \$1 billion in total outstanding bond debt to finance alternative energy and advanced transportation projects pursuant to Public Resources Code Section 26020. As of June 30, 2010, CAEATFA has issued \$204.85 million in bonds, and \$71.2 million is outstanding.

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, insurance, guarantees or other credit enhancements or liquidity facilities, contributions of money, property, labor, or other items of value.

In 2010, CAEATFA launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (SB 71, Chapter 10, Statutes of 2010). The objective of CAEATFA's sales and use tax exclusion programs is to promote the creation of California-based manufacturing and businesses, California-based jobs, the reduction of greenhouse gases, air and water pollution, and energy consumption.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY			
	State Operations:			
0382	Renewable Resource Trust Fund	\$-	\$50	\$220
0528	California Alternative Energy Authority Fund	276	1,628	1,612

* Dollars in thousands, except in Salary Range.

0971 California Alternative Energy and Advanced Transportation Financing Authority
- Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
0995 Reimbursements	-	15,000	9,000
Totals, State Operations	\$276	\$16,678	\$10,832
Local Assistance:			
0382 Renewable Resource Trust Fund	-	-	15,000
Totals, Local Assistance	\$-	\$-	\$15,000
TOTALS, EXPENDITURES			
State Operations	276	16,678	10,832
Local Assistance	-	-	15,000
Totals, Expenditures	\$276	\$16,678	\$25,832

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1.0	7.0	7.0	\$110	\$399	\$414
Total Adjustments	-	-	-	-	-6	-
Estimated Salary Savings	-	-	-	-	-27	-27
Net Totals, Salaries and Wages	1.0	7.0	7.0	\$110	\$366	\$387
Staff Benefits	-	-	-	36	285	283
Totals, Personal Services	1.0	7.0	7.0	\$146	\$651	\$670
OPERATING EXPENSES AND EQUIPMENT				\$130	\$977	\$942
SPECIAL ITEMS OF EXPENSE				\$-	\$15,050	\$9,220
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$276	\$16,678	\$10,832

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$-	\$-	\$15,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$-	\$15,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0382 Renewable Resource Trust Fund			
APPROPRIATIONS			
For transfer to the California Alternative Energy Authority Fund	-	(\$2,409)	-
Revised expenditure authority per Provision 1 (Carryover)	(\$-)	(-1,011)	-
Public Resources Code Section 26140	300	-	-
Prior year balances available:			
For transfer to the California Alternative Energy Authority Fund	-	-	(\$1,011)
Public Resources Code Section 26140	-	300	250
Totals Available	\$300	\$300	\$250
Balance available in subsequent years	-300	-250	-30
TOTALS, EXPENDITURES	\$-	\$50	\$220
0528 California Alternative Energy Authority Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation	\$234	\$1,651	\$1,612
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	11	-
Reduction per Section 3.90	-19	-27	-
Reduction per Control Section 3.91	-	-10	-
Adjustment per Section 3.55	-2	-	-
Revised expenditure authority per Provision 1 (Carryover)	155	-	-
Totals Available	\$368	\$1,628	\$1,612
Unexpended balance, estimated savings	-92	-	-
TOTALS, EXPENDITURES	\$276	\$1,628	\$1,612
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$15,000	\$9,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$276	\$16,678	\$10,832
2 LOCAL ASSISTANCE			
0382 Renewable Resource Trust Fund			
APPROPRIATIONS			
Public Resources Code Section 26140	\$49,700	\$49,700	-
Prior year balances available:			
Public Resources Code Section 26140	-	-	\$49,700
Totals Available	\$49,700	\$49,700	\$49,700
Balance available in subsequent years	-49,700	-49,700	-34,700
TOTALS, EXPENDITURES	\$-	\$-	\$15,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$-	\$15,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$276	\$16,678	\$25,832

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	1.0	7.0	7.0	\$110	\$399	\$414
Furlough Adjustments	-	-	-	-	-3	-
PLP Adjustments	-	-	-	-	-3	-
Total Adjustments	-	-	-	\$-	\$-6	\$-
TOTALS, SALARIES AND WAGES	1.0	7.0	7.0	\$110	\$393	\$414

0974 California Pollution Control Financing Authority

The California Pollution Control Financing Authority (CPCFA) provides California businesses with a reasonable method of financing pollution control facilities and fosters compliance with government imposed environmental standards and requirements. Over the last thirty years, CPCFA has evolved to meet California's needs as follows:

- In the solid waste industry through its Pollution Control Tax-Exempt Bond Program.
- For small businesses through the California Capital Access Program (CaCAP).
- With the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).
- With financial assistance to cities and counties in their community planning and development efforts through the Sustainable Communities Grant and Loan Program (SCGL).

The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

* Dollars in thousands, except in Salary Range.

0974 California Pollution Control Financing Authority - Continued

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Pollution Control Tax-Exempt Bond Program	3.0	2.8	2.5	\$2,301	\$2,279	\$2,392
20 California Capital Access Program for Small Businesses	0.5	1.6	1.5	353	7,350	1,500
30 California Recycle Underutilized Sites Program	17.0	17.9	18.1	13,216	14,588	17,600
40 Sustainable Communities Grant and Loan Program	0.5	0.5	0.4	407	400	400
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	21.0	22.8	22.5	\$16,277	\$24,617	\$21,892
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$-	\$6,000	\$-
0930 Pollution Control Financing Authority Fund				16,277	18,617	21,892
TOTALS, EXPENDITURES, ALL FUNDS				\$16,277	\$24,617	\$21,892

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$100	-	\$-	-\$27	-
• Retirement Rate Adjustment	-	35	-	-	35	-
• Miscellaneous Adjustments	-	-	-	-6,000	3,202	-0.3
• Workforce Cap Adjustment	-	-52	-	-	-52	-
Totals, Other Workload Budget Adjustments	\$-	-\$117	-	-\$6,000	\$3,158	-0.3
Totals, Workload Budget Adjustments	\$-	-\$117	-	-\$6,000	\$3,158	-0.3
Totals, Budget Adjustments	\$-	-\$117	-	-\$6,000	\$3,158	-0.3

PROGRAM DESCRIPTIONS

10 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. CPCFA financing assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2010, bonds totaling \$12.7 billion have been issued by the CPCFA.

20 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses that fall just outside of conventional underwriting standards. CalCAP for Small Businesses is a form of loan portfolio insurance that may provide up to 100% coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The strength of CalCAP rests in its simplicity and its leverage of state funds. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The CPCFA funds this reserve together with the financial institution and the borrower. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts, especially in high unemployment areas. It is expected that the new funds will lead to a rapid and significant expansion of the CalCAP program. Additional incentives are provided to lend to businesses located in state-designated Enterprise Zones. CalCAP

* Dollars in thousands, except in Salary Range.

0974 California Pollution Control Financing Authority - Continued

has partnered with the Air Resources Board to assist diesel truck and equipment owners in meeting new clean air requirements. CalCAP has partnered with the Department of Resources, Recycling and Recovery to assist recycling related businesses.

30 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

This program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues (brownfields). CALReUSE addresses a funding and information gap in the development of brownfields to help bring these properties into productive reuse. The CPCFA works with selected strategic partners to administer the program and select projects throughout the state. The CALReUSE Assessment Program provides forgivable loans of up to \$300,000 per brownfield site, or \$500,000 for sites that will ultimately result in housing. The funds assist with brownfield site assessment and characterization, technical assistance, remedial action plans and site access. Eligible projects include sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean up costs, or concerns regarding time frames and the regulatory process. Priority is given to projects located in distressed neighborhoods with demonstrated community support. Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of CALReUSE. The CALReUSE Remediation Program provides up to \$5 million in loans and grants for the purpose of brownfield cleanup that promotes infill residential and mixed-used development, consistent with regional and local land use plans.

40 - SUSTAINABLE COMMUNITIES GRANT AND LOAN PROGRAM

The objective of this program is to assist cities and counties to develop and implement sustainable development growth policies, programs, and projects. Sustainable Communities Grant and Loan Program has funded specific plans, portions of specific plans, alternative transportation studies, finance plans, redevelopment plans, engineering studies, public projects, and other projects that promote sustainable development principles.

DETAILED EXPENDITURES BY PROGRAM

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
10 POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM			
State Operations:			
0904 Pollution Control Financing Authority Fund	\$2,301	\$2,279	\$2,392
Totals, State Operations	\$2,301	\$2,279	\$2,392
PROGRAM REQUIREMENTS			
20 CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES			
State Operations:			
0904 Pollution Control Financing Authority Fund	\$353	\$1,350	\$1,500
Totals, State Operations	\$353	\$1,350	\$1,500
Local Assistance:			
0001 General Fund	\$-	\$6,000	\$-
Totals, Local Assistance	\$-	\$6,000	\$-
PROGRAM REQUIREMENTS			
30 CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM			
State Operations:			
0904 Pollution Control Financing Authority Fund	\$13,216	\$14,588	\$17,600
Totals, State Operations	\$13,216	\$14,588	\$17,600
PROGRAM REQUIREMENTS			
40 SUSTAINABLE COMMUNITIES GRANT AND LOAN PROGRAM			
State Operations:			
0904 Pollution Control Financing Authority Fund	\$407	\$400	\$400
Totals, State Operations	\$407	\$400	\$400
TOTALS, EXPENDITURES			
State Operations	\$16,277	\$18,617	\$21,892
Local Assistance	-	6,000	-

* Dollars in thousands, except in Salary Range.

0974 California Pollution Control Financing Authority - Continued

	2009-10*	2010-11*	2011-12*
Totals, Expenditures	\$16,277	\$24,617	\$21,892

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	21.0	22.8	22.5	\$1,197	\$1,254	\$1,282
Total Adjustments	-	-	-	-	-84	-
Estimated Salary Savings	-	-	-	-	-42	-42
Net Totals, Salaries and Wages	21.0	22.8	22.5	\$1,197	\$1,128	\$1,240
Staff Benefits	-	-	-	381	452	491
Totals, Personal Services	21.0	22.8	22.5	\$1,578	\$1,580	\$1,731
OPERATING EXPENSES AND EQUIPMENT				\$14,699	\$17,037	\$20,161
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$16,277	\$18,617	\$21,892

2 Local Assistance

	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$-	\$6,000	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$6,000	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Government Code Section 17201	\$15,924	\$17,267	\$20,392
Government Code Section 17201 (California Capital Access Program for Small Business)	353	1,350	1,500
TOTALS, EXPENDITURES	\$16,277	\$18,617	\$21,892
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$16,277	\$18,617	\$21,892
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
Chapter 731, Statutes of 2010	-	\$6,000	-
TOTALS, EXPENDITURES	\$-	\$6,000	\$-
8501 California Capital Access Fund			
APPROPRIATIONS			
Chapter 731, Statutes of 2010 (Transfer from the General Fund)	-	\$6,000	-
TOTALS, EXPENDITURES	\$-	\$6,000	\$-
Less funding provided by the the General Fund	-	-6,000	-
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$-	\$6,000	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$16,277	\$24,617	\$21,892

CHANGES IN AUTHORIZED POSITIONS

* Dollars in thousands, except in Salary Range.

0974 California Pollution Control Financing Authority - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	21.0	22.8	22.5	\$1,197	\$1,254	\$1,282
Furlough Adjustments	-	-	-	-	-44	-
PLP Adjustments	-	-	-	-	-40	-
Total Adjustments	-	-	-	\$-	-\$84	\$-
TOTALS, SALARIES AND WAGES	21.0	22.8	22.5	\$1,197	\$1,170	\$1,282

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority (CHFFA) is to help eligible and creditworthy non-profit and public health facilities reduce their cost of capital, and promote important California health access, healthcare improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs. CHFFA issues revenue bonds to assist qualified private nonprofit corporations or associations, counties, and hospital districts in financing or refinancing the construction, equipping or acquiring of health facilities. CHFFA also administers the Children's Hospital Program established by Proposition 61 of 2004 and Proposition 3 of 2008, the Cedillo-Alarcon Clinic Grant Program of 2000, the Anthem WellPoint Grant Program of 2004 and the Health Expansion Loan Program II. CHFFA was established by Chapter 1033, Statutes of 1979, and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
30 Children's Hospital Program	3.8	4.0	4.0	\$263,817	\$187,476	\$235,477
40 Health Facilities Grants and Loans	11.7	12.5	12.5	7,301	8,100	8,100
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	15.5	16.5	16.5	\$271,118	\$195,576	\$243,577
FUNDING				2009-10*	2010-11*	2011-12*
0904 California Health Facilities Financing Authority Fund				\$7,301	\$8,100	\$8,100
6046 Children's Hospital Fund				143,797	72,321	85,321
6079 Children's Hospital Bond Act Fund				120,020	115,155	150,156
TOTALS, EXPENDITURES, ALL FUNDS				\$271,118	\$195,576	\$243,577

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43 and 1179.50-1179.72.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$82	-	\$-	-\$20	-
• Retirement Rate Adjustment	-	28	-	-	28	-
• Allocation of grants to Children's Hospitals	-	-	-	-	48,000	-
• Miscellaneous Adjustments	-	-	-	-	-61	-
• Workforce Cap Adjustment	-	-55	-	-	-55	-
Totals, Other Workload Budget Adjustments	\$-	-\$109	-	\$-	\$47,892	-
Totals, Workload Budget Adjustments	\$-	-\$109	-	\$-	\$47,892	-
Totals, Budget Adjustments	\$-	-\$109	-	\$-	\$47,892	-

* Dollars in thousands, except in Salary Range.

0977 California Health Facilities Financing Authority - Continued

PROGRAM DESCRIPTIONS

30 - CHILDREN'S HOSPITAL PROGRAM

On November 2, 2004, the voters approved Proposition 61, which established the Children's Hospital Program to be administered by CHFFA. Four years later, on November 4, 2008, the voters approved a similar proposition (Proposition 3) establishing the second Children's Hospital Program to be administered by CHFFA. The purpose of both Children's Hospital Program is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals.

CHFFA is authorized to grant \$750 million per Proposition 61 and \$980 million per Proposition 3 to eligible children's hospitals. Funding for these programs is provided through the issuance of general obligation bonds. CHFFA has adopted regulations for each program addressing appropriate selection criteria and a process for awarding the grants.

Applications and funding will be available through 2014 for Proposition 61 and through 2018 for Proposition 3, or until program funding is exhausted. In the event funding is not exhausted by the deadlines for each proposition, CHFFA will amend the regulations to extend the respective programs. As of June 30, 2010, CHFFA has disbursed \$522.9 million in Proposition 61 funds to eleven hospitals. As of June 30, 2010, CHFFA has disbursed \$119.9 million in Proposition 3 funds to two hospitals.

40 - HEALTH FACILITIES GRANTS AND LOANS

CHFFA serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions via a loan program referred to as HELP II and via two separate clinic grant programs referred to as Cedillo-Alarcon and Anthem WellPoint. To qualify for funding under any of these programs, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, county, or hospital district. Numerous statutes enacted between 1983 and 2002 have since broadened the types of health facilities that may receive financing from CHFFA.

Tax-Exempt Bond Program

CHFFA may authorize an unlimited amount of revenue bond financing. Prior to September 30, 1998, CHFFA was only authorized to have outstanding at any one point-in-time up to \$5.999 billion in tax-exempt revenue bonds. Chapter 1035, Statutes of 1998 eliminated this cap. As of June 30, 2010, bonds and notes in the amount of \$24.9 billion had been issued and \$9.5 billion was outstanding.

Bonds issued under this conduit program are not a debt or liability or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. The Federal Tax Reform Act of 1986 does not restrict conduit health facility bonds in terms of the state's "private activity" bond limit.

Clinic Grant Programs

Chapter 99, Statutes of 2000 established the Cedillo-Alarcon Community Clinic Investment Act of 2000 and allocated \$50 million dollars to CHFFA for the purpose of awarding grants to eligible primary care clinics for capital outlay projects. Funds of approximately \$50 million were disbursed. Residual funds remained and so the legislature amended the Act in 2005 to authorize CHFFA to disburse the remaining funds to eligible clinics. Though all funds have since been awarded, funds in the amount of \$226,256 remain to be disbursed and are contingent upon the clinics providing relevant documentation evidencing completion of their projects.

In 2004, as part of the Anthem-Well Point merger, \$35 million dollars was allocated to CHFFA for the purpose of awarding grants to eligible healthcare facilities providing service to underserved communities throughout California. Distribution of these funds was to be accomplished through the same process established for the above referenced Cedillo-Alarcon Community Clinic Investment Act of 2000. In 2005, the Insurance Commissioner entered into an memorandum of understanding with CHFFA authorizing CHFFA to disburse the funds to clinics. Though all funds have been awarded, funds in the amount of \$298,953 remain to be disbursed and are contingent upon the clinics providing relevant documentation evidencing completion of their projects.

Between these two clinic grant programs, as of June 30, 2010, CHFFA has disbursed the sum of \$92 million to 370 clinics throughout the state.

HELP II Loan Program

CHFFA administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining otherwise difficult and costly to obtain financing for their capital needs. The HELP II Financing Program provides three percent (3%), fixed interest loans of up to \$750,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, and purchase equipment or furnishings. Since the program's inception in 1988 and as of June 30, 2010, the Authority has loaned \$73 million to small and rural health facilities. In January 2007, the Authority board raised the maximum loan amount facilities can receive under HELP II from \$500,000 to \$750,000. Under a second change, small facilities with gross annual revenue of up to \$30 million can obtain loans. To further expand access to financing for rural facilities, the Authority board voted to exempt such facilities from the gross revenue limit. Applications are accepted on a

* Dollars in thousands, except in Salary Range.

0977 California Health Facilities Financing Authority - Continued

monthly basis.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
30	CHILDREN'S HOSPITAL PROGRAM			
State Operations:				
6046	Children's Hospital Fund	\$271	\$321	\$321
6079	Children's Hospital Bond Act Fund	125	155	156
Totals, State Operations		\$396	\$476	\$477
Local Assistance:				
6046	Children's Hospital Fund	\$143,526	\$72,000	\$85,000
6079	Children's Hospital Bond Act Fund	119,895	115,000	150,000
Totals, Local Assistance		\$263,421	\$187,000	\$235,000
PROGRAM REQUIREMENTS				
40	HEALTH FACILITIES GRANTS AND LOANS			
State Operations:				
0904	California Health Facilities Financing Authority Fund	\$1,803	\$2,600	\$2,600
Totals, State Operations		\$1,803	\$2,600	\$2,600
Local Assistance:				
0904	California Health Facilities Financing Authority Fund	\$5,498	\$5,500	\$5,500
Totals, Local Assistance		\$5,498	\$5,500	\$5,500
TOTALS, EXPENDITURES				
State Operations		2,199	3,076	3,077
Local Assistance		268,919	192,500	240,500
Totals, Expenditures		\$271,118	\$195,576	\$243,577

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel	Years		2009-10*	2010-11*	2011-12*
	2009-10	2010-11	2011-12			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	15.5	16.5	16.5	\$947	\$1,015	\$1,037
Total Adjustments	-	-	-	-	-68	-
Estimated Salary Savings	-	-	-	-	-43	-43
Net Totals, Salaries and Wages	15.5	16.5	16.5	\$947	\$904	\$994
Staff Benefits	-	-	-	433	433	416
Totals, Personal Services	15.5	16.5	16.5	\$1,380	\$1,337	\$1,410
OPERATING EXPENSES AND EQUIPMENT				\$819	\$1,739	\$1,667
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,199	\$3,076	\$3,077

	2 Local Assistance			Expenditures		
	2009-10*	2010-11*	2011-12*			
Grants and Subventions	\$268,919	\$192,500	\$240,500			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$268,919	\$192,500	\$240,500			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0977 California Health Facilities Financing Authority - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code Section 15439	<u>\$1,803</u>	<u>\$2,600</u>	<u>\$2,600</u>
TOTALS, EXPENDITURES	\$1,803	\$2,600	\$2,600
6046 Children's Hospital Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$323	\$324	\$321
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	5	-
Reduction per Section 3.90	<u>-28</u>	<u>-9</u>	<u>-</u>
Totals Available	\$295	\$321	\$321
Unexpended balance, estimated savings	<u>-24</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$271	\$321	\$321
6079 Children's Hospital Bond Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$157	\$157	\$156
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	3	-
Reduction per Section 3.90	<u>-17</u>	<u>-6</u>	<u>-</u>
Totals Available	\$140	\$155	\$156
Unexpended balance, estimated savings	<u>-15</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$125	\$155	\$156
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,199	\$3,076	\$3,077
2 LOCAL ASSISTANCE			
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code Section 15439 (Health Facilities Grants and Loans)	<u>\$5,498</u>	<u>\$5,500</u>	<u>\$5,500</u>
TOTALS, EXPENDITURES	\$5,498	\$5,500	\$5,500
6046 Children's Hospital Fund			
APPROPRIATIONS			
Health and Safety Code Section 1179.10-1179.43	<u>\$143,526</u>	<u>\$72,000</u>	<u>\$85,000</u>
TOTALS, EXPENDITURES	\$143,526	\$72,000	\$85,000
6079 Children's Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code Section 1179.53	<u>\$119,895</u>	<u>\$115,000</u>	<u>\$150,000</u>
TOTALS, EXPENDITURES	\$119,895	\$115,000	\$150,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$268,919	\$192,500	\$240,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$271,118	\$195,576	\$243,577

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	15.5	16.5	16.5	\$947	\$1,015	\$1,037
Furlough Adjustments	-	-	-	-	-36	-
PLP Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-32</u>	<u>-</u>
Total Adjustments	-	-	-	\$-	-\$68	\$-
TOTALS, SALARIES AND WAGES	15.5	16.5	16.5	\$947	\$947	\$1,037

* Dollars in thousands, except in Salary Range.

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. CUWARFA consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$7	-	\$-	-\$2	-
• Retirement Rate Adjustment	-	2	-	-	2	-
• Miscellaneous Adjustments	-	8	-	-	3	-
• Workforce Cap Adjustment	-	-3	-	-	-3	-
Totals, Other Workload Budget Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$-	-	\$-	\$-	-
Totals, Budget Adjustments	\$-	\$-	-	\$-	\$-	-

PROGRAM DESCRIPTIONS

10 - The California Urban Waterfront Restoration Financing Authority (CUWARFA) was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	1.0	1.0	\$-	\$74	\$74
Total Adjustments	-	-	-	-	-5	-
Estimated Salary Savings	-	-1.0	-1.0	-	-69	-74
Net Totals, Salaries and Wages	-	-	-	\$-	\$-	\$-

* Dollars in thousands, except in Salary Range.

0983 California Urban Waterfront Area Restoration Financing Authority - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	-	-	-	\$-	\$-	\$-
OPERATING EXPENSES AND EQUIPMENT				\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$-	\$-	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0982 California Urban Waterfront Area Restoration Fund			
APPROPRIATIONS			
Public Resources Code Section 32060	0	0	0
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$-	\$-	\$-

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	-	1.0	1.0	\$-	\$74	\$74
Furlough Adjustments	-	-	-	-	-3	-
PLP Adjustments	-	-	-	-	-2	-
Total Adjustments	-	-	-	\$-	\$-5	\$-
TOTALS, SALARIES AND WAGES	-	1.0	1.0	\$-	\$69	\$74

0985 California School Finance Authority

Created in 1985, the California School Finance Authority (CSFA) oversees the statewide system for the sale of revenue bonds to reconstruct, remodel or replace existing school buildings, and to acquire new school sites and buildings to be made available to public school districts, charter schools, and community colleges, and to provide access to financing for working capital and capital improvements. CSFA consists of the following three members: the State Treasurer who serves as chair, the Superintendent of Public Instruction, and the Director of the Department of Finance.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
20 Charter School Facilities Program	5.3	5.0	5.0	\$631	\$1,053	\$1,077
30 State Charter School Facilities Incentive Grants Program	-	-	-	10,671	20,125	20,125
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	5.3	5.0	5.0	\$11,302	\$21,178	\$21,202
FUNDING				2009-10*	2010-11*	2011-12*
0526 California School Finance Authority Fund				\$-	\$50	\$50
0890 Federal Trust Fund				10,671	20,125	20,125
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund				446	574	598
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund				185	429	429
TOTALS, EXPENDITURES, ALL FUNDS				\$11,302	\$21,178	\$21,202

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority - Continued

Education Code Sections 17170-17199.5 and 17078.52-17078.66.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$31	-	\$-	-\$7	-
• Retirement Rate Adjustment	-	9	-	-	9	-
• Workforce Cap Adjustment	-	-19	-	-	-19	-
Totals, Other Workload Budget Adjustments	\$-	-\$41	-	\$-	-\$17	-
Totals, Workload Budget Adjustments	\$-	-\$41	-	\$-	-\$17	-
Totals, Budget Adjustments	\$-	-\$41	-	\$-	-\$17	-

PROGRAM DESCRIPTIONS

10 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established the California School Finance Authority (CSFA) and authorized the issuance of \$400 million in revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, acquisition of portable/relocatable buildings, and to provide working capital. Effective January 1, 1997, Chapter 1071, Statutes of 1996, authorized the issuance of an additional \$400 million in revenue bonds per fiscal year for school districts and county offices of education that agreed to guarantee payment of the bonds with Proposition 98 funds. The total outstanding amount may not exceed \$4 billion at any one time. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the \$400 million annual issuance cap was eliminated. Based on program amendments effective January 1, 2007, Chapter 325, Statutes of 2006 (AB 2717), CSFA offers financing opportunities for charter schools for capital improvements and working capital needs.

20 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter Schools Facilities Program, which provides funding for the new construction or renovation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. The Charter School Facilities Program through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, and 2006, was funded \$100 million in bond proceeds from Proposition 47, \$300 million from Proposition 55, and \$500 million from Proposition 1D. To date, 65 schools have been awarded funding through the program's funding rounds.

The program provides a 50% state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

30 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, CSFA was awarded a grant under the United States Department of Education's State Charter School Facilities Incentive Grants Program to provide California charter schools with assistance for facilities costs. The \$50 million federal grant was allocated over a five-year period (through 2009) to eligible charter schools. Grant funds are used toward a charter school's cost of rent, lease, mortgage or debt service payments for existing or new facilities or toward the costs of acquiring land and constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted for the percentage of low-income students, percentage overcrowded, not-for-profit status, and demonstrated student performance. Eligible charter schools must, at a minimum, be in good standing with their chartering authority, provide site-based instruction, and have completed at least one school year of instructional operations. Additional requirements are listed in the program regulations.

In 2009, CSFA received an additional grant of \$48 million from the United States Department of Education's State Charter School Facilities Incentive Grants Program. The award will be allocated over a five-year period and the program eligibility and criteria are similar to those under the first award of \$50 million. Under this round, CSFA implemented a change in regulations to award charter schools preference points if the school is providing better educational opportunities than surrounding public schools. First time applicants are also given preference as compared to past recipients.

CREDIT ENHANCEMENT FOR CHARTER SCHOOL FACILITIES PROGRAM

In 2010, CSFA was awarded \$8.3 million by the Credit Enhancement for Charter School Facilities Program (CFDA 84.354A) authorized under Title V, Part B, Subpart 2 of the Elementary and Secondary Education Act, to enhance credit for charter schools and enable them to access non-Federal funds that will address the costs of renovating, acquiring, and constructing

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority - Continued

school facilities. The grant funds will act as a reserve against any shortfalls in debt service on bonds that are issued by CSFA.

QUALIFIED SCHOOL CONSTRUCTION BONDS (QSCB)

The American Recovery and Reinvestment Act of 2009 (ARRA) provided funding for the new construction or renovation of school facilities through the use of Qualified School Construction Bonds (QSCBs). Of California's \$773 million in "volume cap" allocation for the issuance of QSCBs, approximately \$73 million was reserved for use by charter schools through the issuance of conduit revenue bonds by CSFA which will be designated as QSCBs. To date, CSFA has allocated a total of approximately \$50 million of the \$73 million. Additionally, in 2010, CSFA was awarded an additional \$68 million for the issuance of QSCBs through Assembly Bill 2560 (Chapter 266, Statutes of 2010).

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
20	CHARTER SCHOOL FACILITIES PROGRAM			
	State Operations:			
0526	California School Finance Authority Fund	\$-	\$50	\$50
9734	2004 Charter School Facilities Account, 2004 State School Facilities Fund	446	574	598
9735	2006 Charter School Facilities Account, 2006 State School Facilities Fund	185	429	429
	Totals, State Operations	\$631	\$1,053	\$1,077
PROGRAM REQUIREMENTS				
30	STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM			
	State Operations:			
0890	Federal Trust Fund	\$110	\$125	\$125
	Totals, State Operations	\$110	\$125	\$125
	Local Assistance:			
0890	Federal Trust Fund	\$10,561	\$20,000	\$20,000
	Totals, Local Assistance	\$10,561	\$20,000	\$20,000
TOTALS, EXPENDITURES				
	State Operations	741	1,178	1,202
	Local Assistance	10,561	20,000	20,000
	Totals, Expenditures	\$11,302	\$21,178	\$21,202

EXPENDITURES BY CATEGORY

	1 State Operations	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
		<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PERSONAL SERVICES							
	Authorized Positions (Equals Sch. 7A)	5.3	5.0	5.0	\$364	\$323	\$330
	Total Adjustments	-	-	-	-	-25	-
	Estimated Salary Savings	-	-	-	-	-14	-14
	Net Totals, Salaries and Wages	5.3	5.0	5.0	\$364	\$284	\$316
	Staff Benefits	-	-	-	101	177	154
	Totals, Personal Services	5.3	5.0	5.0	\$465	\$461	\$470
OPERATING EXPENSES AND EQUIPMENT							
					\$276	\$717	\$732
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)					\$741	\$1,178	\$1,202

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority - Continued

2 Local Assistance

	Expenditures		
	2009-10*	2010-11*	2011-12*
Federal Grant Program	\$10,561	\$20,000	\$20,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$10,561	\$20,000	\$20,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0526 California School Finance Authority Fund			
APPROPRIATIONS			
Education Code Section 17181	-	\$50	\$50
TOTALS, EXPENDITURES	\$-	\$50	\$50
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$125	\$125	\$125
Budget Adjustment	-15	-	-
TOTALS, EXPENDITURES	\$110	\$125	\$125
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$614	\$615	\$598
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	9	-
Reduction per Section 3.90	-66	-19	-
Reduction per Control Section 3.91	-	-33	-
Totals Available	\$549	\$574	\$598
Unexpended balance, estimated savings	-103	-	-
TOTALS, EXPENDITURES	\$446	\$574	\$598
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$429	\$429	\$429
Totals Available	\$429	\$429	\$429
Unexpended balance, estimated savings	-244	-	-
TOTALS, EXPENDITURES	\$185	\$429	\$429
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$741	\$1,178	\$1,202
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$3,000	-	-
Budget Adjustment	7,561	-	-
101 Budget Act appropriation	-	\$20,000	\$20,000
TOTALS, EXPENDITURES	\$10,561	\$20,000	\$20,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$10,561	\$20,000	\$20,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$11,302	\$21,178	\$21,202

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	5.3	5.0	5.0	\$364	\$323	\$330
Furlough Adjustments	-	-	-	-	-13	-
PLP Adjustments	-	-	-	-	-12	-

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Total Adjustments	-	-	-	\$-	-\$25	\$-
TOTALS, SALARIES AND WAGES	5.3	5.0	5.0	\$364	\$298	\$330

0989 California Educational Facilities Authority

The mission of the California Educational Facilities Authority (CEFA) is to provide students with better access and broader opportunities in higher education by providing qualified non-profit higher education institutions with the assistance needed to reduce their capital costs of financing academic related facilities through a tax-exempt revenue bond program. CEFA provides funding to assist private non-profit institutions of higher learning in the expansion and construction of educational facilities. CEFA is also authorized to issue bonds, notes, and other forms of indebtedness to finance the costs of attendance (student loans) at institutions of higher education. CEFA is a public instrumentality of the state consisting of five members: the Director of the Department of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor, serving four year terms or until reappointed or a successor has been appointed.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Bond Financing	3.8	5.0	5.0	\$606	\$780	\$780
30 Student Loan Program	-	-	-	27	20	20
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	3.8	5.0	5.0	\$633	\$800	\$800
FUNDING				2009-10*	2010-11*	2011-12*
0911 Educational Facilities Authority Fund				\$633	\$800	\$800
TOTALS, EXPENDITURES, ALL FUNDS				\$633	\$800	\$800

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 94100-94213.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$22	-	\$-	-\$4	-
• Retirement Rate Adjustment	-	9	-	-	9	-
• Miscellaneous Adjustment	-	-	-	-	-18	-
• Workforce Cap Adjustment	-	-14	-	-	-14	-
Totals, Other Workload Budget Adjustments	\$-	-\$27	-	\$-	-\$27	-
Totals, Workload Budget Adjustments	\$-	-\$27	-	\$-	-\$27	-
Totals, Budget Adjustments	\$-	-\$27	-	\$-	-\$27	-

PROGRAM DESCRIPTIONS**10 - BOND FINANCING**

The California Educational Facilities Authority (CEFA) issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may provide more favorable financing terms to such private institutions than might otherwise be obtainable. Pursuant to Chapter 569, Statutes of 2001, CEFA may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on

* Dollars in thousands, except in Salary Range.

0989 California Educational Facilities Authority - Continued

the faith and credit or the taxing power of the State of California, or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986.

Prior to January 1, 1999, CEFA was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, there is now no limitation on the amount of bonds outstanding at any one time. As of June 30, 2010, bonds and notes in the amount of \$9.9 billion have been issued and \$4.7 billion were outstanding.

30 - STUDENT LOAN PROGRAMS

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations, and functions were transferred to CEFA. The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by CEFA. As of June 30, 2010, \$17 million in bonds were outstanding, from a total \$265.4 million issued. Bonds for this purpose are subject to the state's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee (CDLAC).

Chapter 318, Statutes of 2005, added the requirement that not-for-profit corporations must seek approval from CEFA before applying for an allocation of a portion of the state's annual private activity volume cap from CDLAC for the purposes of issuing Qualified Scholarship Funding Bonds for Student Loan Programs. This requirement does not pertain to entities that applied to CDLAC prior to January 1, 2006. Bonds issued for student loans are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	BOND FINANCING			
	State Operations:			
0911	Educational Facilities Authority Fund	\$606	\$780	\$780
	Totals, State Operations	\$606	\$780	\$780
PROGRAM REQUIREMENTS				
30	STUDENT LOAN PROGRAMS			
	State Operations:			
0911	Educational Facilities Authority Fund	\$27	\$20	\$20
	Totals, State Operations	\$27	\$20	\$20
TOTALS, EXPENDITURES				
	State Operations	633	800	800
	Totals, Expenditures	\$633	\$800	\$800

EXPENDITURES BY CATEGORY

	1 State Operations	Positions/Personnel Years			Expenditures		
		2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES							
	Authorized Positions (Equals Sch. 7A)	3.8	5.0	5.0	\$264	\$327	\$334
	Total Adjustments	-	-	-	-	-19	-
	Estimated Salary Savings	-	-	-	-	-11	-11
	Net Totals, Salaries and Wages	3.8	5.0	5.0	\$264	\$297	\$323
	Staff Benefits	-	-	-	105	112	114
	Totals, Personal Services	3.8	5.0	5.0	\$369	\$409	\$437
OPERATING EXPENSES AND EQUIPMENT					\$264	\$391	\$363
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS					\$633	\$800	\$800
(State Operations)							

* Dollars in thousands, except in Salary Range.

0989 California Educational Facilities Authority - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			
Government Code Section 17201	\$633	\$800	\$800
TOTALS, EXPENDITURES	\$633	\$800	\$800
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$633	\$800	\$800

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	3.8	5.0	5.0	\$264	\$327	\$334
Furlough Adjustments	-	-	-	-	-10	-
PLP Adjustments	-	-	-	-	-9	-
Total Adjustments	-	-	-	\$-	-\$19	\$-
TOTALS, SALARIES AND WAGES	3.8	5.0	5.0	\$264	\$308	\$334

* Dollars in thousands, except in Salary Range.