



Business, Transportation, and Housing

The Business, Transportation and Housing Agency oversees and coordinates the activities of various departments, offices and economic development programs with responsibility for maintaining the strength and efficiency of California's infrastructure and financial markets. These programs provide financial and programmatic regulation important to an efficient marketplace and community development, assistance in ensuring patients' rights, and transportation infrastructure for the safe and efficient flow of people and commerce.

2100 Department of Alcoholic Beverage Control

The Department of Alcoholic Beverage Control is vested with the exclusive power to license and regulate persons and businesses engaged in the manufacture, importation, distribution and sale of alcoholic beverages in the State of California. The Department's mission is to administer the provisions of the Alcoholic Beverage Control Act in a manner that fosters and protects the health, safety, welfare, and economic well being of the people of California.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Administration of the Alcoholic Beverage Control Act | 417.7 | 428.2 | 428.2 | \$47,070 | \$54,609 | \$57,171 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 417.7 | 428.2 | 428.2 | \$47,070 | \$54,609 | \$57,171 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0890 Federal Trust Fund | | | | \$529 | \$1,404 | \$1,362 |
| 0995 Reimbursements | | | | 1,895 | 1,047 | 1,047 |
| 3036 Alcohol Beverages Control Fund | | | | 44,646 | 52,158 | 54,762 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$47,070 | \$54,609 | \$57,171 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XX, Section 22 of the California Constitution and Division 9 of the Business and Professions Code.

PROGRAM AUTHORITY

Administration of the Alcoholic Beverage Control Act:

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|-----------------|-----------------|--------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Control Section 3.60 Adjustment | \$- | \$766 | - | \$- | \$766 | - |
| • Health Care Adjustments | - | 55 | - | - | 95 | - |
| • 2011-12 Budget Cycle Adjustment for SWCAP | - | - | - | - | 62 | - |
| • Removal of 2010-11 Budget Cycle Adjustment for SWCAP | - | - | - | - | -104 | - |
| • Control Section 3.91 Adjustment | - | -2,935 | - | - | -371 | - |
| • Control Section 3.90 Adjustment | - | -1,746 | -17.0 | - | -1,746 | -17.0 |
| Totals, Other Workload Budget Adjustments | \$- | -\$3,860 | -17.0 | \$- | -\$1,298 | -17.0 |
| Totals, Workload Budget Adjustments | \$- | -\$3,860 | -17.0 | \$- | -\$1,298 | -17.0 |
| Totals, Budget Adjustments | \$- | -\$3,860 | -17.0 | \$- | -\$1,298 | -17.0 |

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT

This program conducts three major activities:

- Licensing, which ensures that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages.
- Compliance, which ensures adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry.
- Administration, which provides staff support and conducts administrative hearings.

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---------------------------------|---|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT | | | |
| State Operations: | | | | |
| 0890 | Federal Trust Fund | \$529 | \$1,404 | \$1,362 |
| 0995 | Reimbursements | 1,895 | 1,047 | 1,047 |
| 3036 | Alcohol Beverages Control Fund | <u>42,647</u> | <u>49,158</u> | <u>51,762</u> |
| Totals, State Operations | | \$45,071 | \$51,609 | \$54,171 |
| Local Assistance: | | | | |
| 3036 | Alcohol Beverages Control Fund | <u>\$1,999</u> | <u>\$3,000</u> | <u>\$3,000</u> |
| Totals, Local Assistance | | \$1,999 | \$3,000 | \$3,000 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 45,071 | 51,609 | 54,171 |
| Local Assistance | | <u>1,999</u> | <u>3,000</u> | <u>3,000</u> |
| Totals, Expenditures | | \$47,070 | \$54,609 | \$57,171 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---|----------------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | <u>Positions/Personnel Years</u> | | | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
| | 2009-10 | 2010-11 | 2011-12 | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 417.7 | 460.2 | 460.2 | \$23,221 | \$29,274 | \$29,825 |
| Total Adjustments | - | - | - | - | -2,087 | - |
| Estimated Salary Savings | <u>-</u> | <u>-32.0</u> | <u>-32.0</u> | <u>-</u> | <u>-2,079</u> | <u>-2,096</u> |
| Net Totals, Salaries and Wages | 417.7 | 428.2 | 428.2 | \$23,221 | \$25,108 | \$27,729 |
| Staff Benefits | <u>-</u> | <u>-</u> | <u>-</u> | <u>10,616</u> | <u>12,047</u> | <u>13,050</u> |
| Totals, Personal Services | 417.7 | 428.2 | 428.2 | \$33,837 | \$37,155 | \$40,779 |
| OPERATING EXPENSES AND EQUIPMENT | | | | <u>\$11,234</u> | <u>\$14,454</u> | <u>\$13,392</u> |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$45,071 | \$51,609 | \$54,171 |

| | 2 Local Assistance | | | Expenditures | | |
|---|--------------------|-----------------|-----------------|--------------|--|--|
| | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> | | | |
| Local Law Enforcement Agency Grants | <u>\$1,999</u> | <u>\$3,000</u> | <u>\$3,000</u> | | | |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$1,999 | \$3,000 | \$3,000 | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | | 2009-10* | 2010-11* | 2011-12* |
|--------------------------------|--------------------------|--------------|----------------|----------------|
| 0890 Federal Trust Fund | | | | |
| APPROPRIATIONS | | | | |
| 001 | Budget Act appropriation | \$1,307 | \$1,404 | \$1,362 |
| | Budget Adjustment | <u>-778</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | | \$529 | \$1,404 | \$1,362 |
| 0995 Reimbursements | | | | |
| APPROPRIATIONS | | | | |
| | Reimbursements | \$1,895 | \$1,047 | \$1,047 |

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 3036 Alcohol Beverages Control Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$52,969 | \$53,018 | \$51,762 |
| Allocation for employee compensation | - | 55 | - |
| Adjustment per Section 3.60 | -16 | 766 | - |
| Reduction per Section 3.90 | -4,723 | -1,746 | - |
| Reduction per Section 15.30 | -210 | - | - |
| Reduction per Control Section 3.91 | - | -2,935 | - |
| Adjustment per Section 3.55 | -73 | - | - |
| Totals Available | \$47,947 | \$49,158 | \$51,762 |
| Unexpended balance, estimated savings | -5,300 | - | - |
| TOTALS, EXPENDITURES | \$42,647 | \$49,158 | \$51,762 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$45,071 | \$51,609 | \$54,171 |
| | | | |
| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
| 3036 Alcohol Beverages Control Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$3,000 | \$3,000 | \$3,000 |
| Totals Available | \$3,000 | \$3,000 | \$3,000 |
| Unexpended balance, estimated savings | -1,001 | - | - |
| TOTALS, EXPENDITURES | \$1,999 | \$3,000 | \$3,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$1,999 | \$3,000 | \$3,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$47,070 | \$54,609 | \$57,171 |
| | | | |
| FUND CONDITION STATEMENTS | 2009-10* | 2010-11* | 2011-12* |
| 3036 Alcohol Beverages Control Fund ^s | | | |
| BEGINNING BALANCE | \$13,087 | \$19,556 | \$20,029 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 121000 Liquor License Fees | 51,059 | 52,724 | 53,773 |
| 161000 Escheat of Unclaimed Checks & Warrants | 84 | 58 | 58 |
| 161400 Miscellaneous Revenue | 37 | 37 | 37 |
| Total Revenues, Transfers, and Other Adjustments | \$51,180 | \$52,819 | \$53,868 |
| Total Resources | \$64,267 | \$72,375 | \$73,897 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 65 | 157 | 105 |
| 2100 Department of Alcoholic Beverage Control | | | |
| State Operations | 42,647 | 49,158 | 51,762 |
| Local Assistance | 1,999 | 3,000 | 3,000 |
| 8880 Financial Information System for California (State Operations) | - | 31 | 227 |
| Total Expenditures and Expenditure Adjustments | \$44,711 | \$52,346 | \$55,094 |
| FUND BALANCE | \$19,556 | \$20,029 | \$18,803 |
| Reserve for economic uncertainties | 19,556 | 20,029 | 18,803 |

CHANGES IN AUTHORIZED POSITIONS

| | <u>Positions/Personnel Years</u> | | | <u>Expenditures</u> | | |
|------------------------------|----------------------------------|---------|---------|---------------------|----------|----------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 417.7 | 460.2 | 460.2 | \$23,221 | \$29,274 | \$29,825 |

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Furlough Adjustments | - | - | - | - | -1,675 | - |
| PLP Adjustments | - | - | - | - | -412 | - |
| Total Adjustments | - | - | - | \$- | -\$2,087 | \$- |
| TOTALS, SALARIES AND WAGES | 417.7 | 460.2 | 460.2 | \$23,221 | \$27,187 | \$29,825 |

2120 Alcoholic Beverage Control Appeals Board

The Alcoholic Beverage Control Appeals Board provides a forum of appeal to persons who are dissatisfied with the Department of Alcoholic Beverage Control's decisions to order penalties or issue, deny, condition, transfer, suspend, or revoke any alcoholic beverage license.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|------------|------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Administrative Review | 7.1 | 8.3 | 8.3 | \$748 | \$968 | \$1,029 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 7.1 | 8.3 | 8.3 | \$748 | \$968 | \$1,029 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0117 Alcoholic Beverage Control Appeals Fund | | | | \$748 | \$968 | \$1,029 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$748 | \$968 | \$1,029 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XX, Section 22, of the California Constitution and Division 9, Business and Professions Code.

PROGRAM AUTHORITY

Division 9 of Business and Professions Code.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|--------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • 2011-12 Budget Cycle Adjustment for ProRata | \$- | \$- | - | \$- | \$54 | - |
| • Control Section 3.60 Adjustment | - | 13 | - | - | 13 | - |
| • Health Care Adjustments | - | 3 | - | - | 5 | - |
| • Control Section 3.91 Adjustment | - | -49 | - | - | -8 | - |
| • Control Section 3.90 Adjustment | - | -31 | -0.5 | - | -31 | -0.5 |
| • Removal of 2010-11 Budget Cycle Adjustment for ProRata | - | - | - | - | -36 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$64 | -0.5 | \$- | -\$3 | -0.5 |
| Totals, Workload Budget Adjustments | \$- | -\$64 | -0.5 | \$- | -\$3 | -0.5 |
| Totals, Budget Adjustments | \$- | -\$64 | -0.5 | \$- | -\$3 | -0.5 |

PROGRAM DESCRIPTIONS

10 - ADMINISTRATIVE REVIEW

* Dollars in thousands, except in Salary Range.

2120 Alcoholic Beverage Control Appeals Board - Continued

This program provides an appeals process and issues decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. These decisions are based on administrative hearings that involve license applications or alleged violations of the Alcoholic Beverage Control Act.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|---|--------------|--------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | ADMINISTRATIVE REVIEW | | | |
| | State Operations: | | | |
| 0117 | Alcoholic Beverage Control Appeals Fund | \$748 | \$968 | \$1,029 |
| | Totals, State Operations | \$748 | \$968 | \$1,029 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 748 | 968 | 1,029 |
| | Totals, Expenditures | \$748 | \$968 | \$1,029 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---|---------------------------|------------|------------|--------------|--------------|----------------|
| | Positions/Personnel Years | | | 2009-10* | 2010-11* | 2011-12* |
| | 2009-10 | 2010-11 | 2011-12 | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 7.1 | 8.8 | 8.8 | \$357 | \$512 | \$516 |
| Total Adjustments | - | - | - | - | -35 | - |
| Estimated Salary Savings | - | -0.5 | -0.5 | - | -31 | -31 |
| Net Totals, Salaries and Wages | 7.1 | 8.3 | 8.3 | \$357 | \$446 | \$485 |
| Staff Benefits | - | - | - | 155 | 219 | 236 |
| Totals, Personal Services | 7.1 | 8.3 | 8.3 | \$512 | \$665 | \$721 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$236 | \$303 | \$308 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$748 | \$968 | \$1,029 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | | 2009-10* | 2010-11* | 2011-12* |
|---|--|--------------|--------------|----------------|
| 0117 Alcoholic Beverage Control Appeals Fund | | | | |
| APPROPRIATIONS | | | | |
| 001 Budget Act appropriation | | \$1,038 | \$1,032 | \$1,029 |
| Allocation for employee compensation | | - | 3 | - |
| Adjustment per Section 3.60 | | 1 | 13 | - |
| Reduction per Section 3.90 | | -72 | -31 | - |
| Reduction per Control Section 3.91 | | - | -49 | - |
| Adjustment per Section 3.55 | | -2 | - | - |
| Totals Available | | \$965 | \$968 | \$1,029 |
| Unexpended balance, estimated savings | | -217 | - | - |
| TOTALS, EXPENDITURES | | \$748 | \$968 | \$1,029 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | | \$748 | \$968 | \$1,029 |

FUND CONDITION STATEMENTS

| | | 2009-10* | 2010-11* | 2011-12* |
|--|--|----------|----------|----------|
| 0117 Alcoholic Beverage Control Appeals Fund [§] | | | | |
| BEGINNING BALANCE | | \$995 | \$1,260 | \$1,332 |
| Prior year adjustments | | -1 | - | - |

* Dollars in thousands, except in Salary Range.

2120 Alcoholic Beverage Control Appeals Board - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|----------------|----------------|
| Adjusted Beginning Balance | \$994 | \$1,260 | \$1,332 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 1,015 | 1,043 | 1,053 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,015</u> | <u>\$1,043</u> | <u>\$1,053</u> |
| Total Resources | \$2,009 | \$2,303 | \$2,385 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 1 | 2 | 1 |
| 2120 Alcoholic Beverage Control Appeals Board (State Operations) | 748 | 968 | 1,029 |
| 8880 Financial Information System for California (State Operations) | - | 1 | 4 |
| Total Expenditures and Expenditure Adjustments | <u>\$749</u> | <u>\$971</u> | <u>\$1,034</u> |
| FUND BALANCE | \$1,260 | \$1,332 | \$1,351 |
| Reserve for economic uncertainties | 1,260 | 1,332 | 1,351 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|---------------------------|------------|------------|--------------|--------------|--------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 7.1 | 8.8 | 8.8 | \$357 | \$512 | \$516 |
| Furlough Adjustments | - | - | - | - | -26 | - |
| PLP Adjustments | - | - | - | - | -9 | - |
| Total Adjustments | <u>-</u> | <u>-</u> | <u>-</u> | <u>\$-</u> | <u>-\$35</u> | <u>\$-</u> |
| TOTALS, SALARIES AND WAGES | 7.1 | 8.8 | 8.8 | \$357 | \$477 | \$516 |

2150 Department of Financial Institutions

The mission of the California Department of Financial Institutions is to promote the integrity and stability of California's financial services system through the regulation and supervision of financial institutions that are either required to be licensed by the state or that choose a state license.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Licensing and Supervision of Banks and Trust Companies | 128.5 | 119.7 | 119.7 | \$20,090 | \$21,562 | \$22,890 |
| 20 Money Transmitters | 24.4 | 21.6 | 21.6 | 2,810 | 2,987 | 3,153 |
| 40 Administration of Local Agency Security | 3.5 | 3.6 | 3.6 | 294 | 374 | 400 |
| 50 Supervision of California Business and Industrial Development Corporations | - | - | - | 20 | 33 | 33 |
| 60 Credit Unions | 53.0 | 50.4 | 50.4 | 5,672 | 6,988 | 7,464 |
| 70 Savings and Loan | - | - | - | 69 | 104 | 104 |
| 80 Industrial Banks | 5.8 | 7.2 | 7.2 | 439 | 951 | 1,010 |
| 90.01 Administration | 50.1 | 45.0 | 47.7 | 5,774 | 6,153 | 6,747 |
| 90.02 Distributed Administration | - | - | - | -5,774 | -6,153 | -6,747 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 265.3 | 247.5 | 250.2 | \$29,394 | \$32,999 | \$35,054 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0240 Local Agency Deposit Security Fund | | | | \$294 | \$374 | \$400 |
| 0298 Financial Institutions Fund | | | | 21,900 | 24,415 | 25,968 |
| 0299 Credit Union Fund | | | | 5,672 | 6,988 | 7,464 |

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

| FUNDING | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0995 Reimbursements | 1,528 | 1,222 | 1,222 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$29,394 | \$32,999 | \$35,054 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Financial Code, Division 1, Chapter 2.

PROGRAM AUTHORITY

10-Licensing and Supervision of Banks and Trust Companies:

California Financial Code, Division 1 (Banking Law).

20-Money Transmitters:

California Financial Code, Division 1, Chapters 14, 14A; Division 16.

40-Administration of Local Agency Security:

Government Code Sections 53630-53686.

50-Supervision of California Business and Industrial Development Corporations:

California Financial Code, Division 15.

60-Credit Unions:

California Financial Code, Division 5.

70-Savings and Loan:

California Financial Code, Division 2.

80-Industrial Banks:

California Financial Code, Division 1, Sections 1400 through 1412; Division 7.

90-Administration:

California Financial Code, Division 1, Chapter 2.

MAJOR PROGRAM CHANGES

- The 2011-12 Governor's Budget proposes \$352,000 and three positions to handle increased consumer complaints and inquiries concerning financial institutions. The Governor's Budget also converts to permanent status five Financial Institutions Examiner positions that were provided on a limited-term basis in 2009-10, and that would otherwise expire at the end of 2010-11.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|-----------------|-----------------|--------------|---------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Control Section 3.60 Adjustment | \$- | \$499 | - | \$- | \$499 | - |
| • Various Baseline Adjustments | - | - | - | - | 367 | - |
| • Health Care Adjustment (Item 9800) | - | 101 | - | - | 173 | - |
| • Control Section 3.91 Adjustment | - | -1,730 | - | - | -466 | - |
| • Control Section 3.90 Adjustment | - | -1,041 | -13.8 | - | -1,041 | -13.8 |
| Totals, Other Workload Budget Adjustments | \$- | -\$2,171 | -13.8 | \$- | -\$468 | -13.8 |
| Totals, Workload Budget Adjustments | \$- | -\$2,171 | -13.8 | \$- | -\$468 | -13.8 |
| Policy Adjustments | | | | | | |

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

| | 2010-11* | | | 2011-12* | | |
|---|--------------|-----------------|-----------------|--------------|---------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| • Problem Licensees: Banking Examination and Consumer Services Impact | \$- | \$- | 2.4 | \$- | \$352 | 2.8 |
| Totals, Policy Adjustments | \$- | \$- | 2.4 | \$- | \$352 | 2.8 |
| Totals, Budget Adjustments | \$- | -\$2,171 | -11.4 | \$- | -\$116 | -11.0 |

PROGRAM DESCRIPTIONS

10 - LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

The objective of this program is to promote the integrity and stability of state-licensed banks and trust companies through the regulation and supervision of these institutions. Program activities include examinations at least once every two years to ensure that business is conducted in a safe and sound manner, and investigation of new bank and trust company applications.

20 - MONEY TRANSMITTERS

The objective of this program is to promote the integrity and stability of businesses selling payment instruments (money orders), traveler's checks, and those licensed to transmit money abroad, through the regulation and supervision of these institutions. Examinations are conducted at any time to ensure the licensees are complying with the provisions of the law and operating in a safe and sound manner. In order to protect the public, a thorough examination of each new applicant is conducted before the license is issued.

40 - ADMINISTRATION OF LOCAL AGENCY SECURITY

As the Administrator of the Local Agency Security Program, the Commissioner monitors the amount and quality of collateral pledged to secure deposits of public funds made by approximately 1,500 local agencies. The Commissioner also administers local agency security for banks, savings and loans, credit unions, and industrial banks as well as federally-chartered financial institutions.

50 - SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

This program licenses and regulates non-fiduciary businesses and industrial development corporations. The program ensures that business is conducted in a financially sound manner through both periodic examinations and analyses of required reports.

60 - CREDIT UNIONS

The primary objective of this program is to promote the integrity and stability of credit unions through the regulation and supervision of these institutions, including examinations to ensure they are operating in a safe and sound manner and complying with the appropriate provisions of the Financial Code relating to their operation.

70 - SAVINGS AND LOAN

The Savings and Loan Program ensures that savings associations comply with applicable laws and regulations.

80 - INDUSTRIAL BANKS

The Industrial Banks Program administers and enforces the provisions of the Financial Code relating to industrial loan companies. This includes processing applications for new companies and conducting examinations to determine compliance with applicable laws and regulations.

90 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including executive, legal, legislative, policy, fiscal, business services, and information technology.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|---|----------|----------|----------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES | | | |
| | State Operations: | | | |
| 0298 | Financial Institutions Fund | \$18,562 | \$20,340 | \$21,668 |

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0995 Reimbursements | 1,528 | 1,222 | 1,222 |
| Totals, State Operations | \$20,090 | \$21,562 | \$22,890 |
| PROGRAM REQUIREMENTS | | | |
| 20 MONEY TRANSMITTERS | | | |
| State Operations: | | | |
| 0298 Financial Institutions Fund | \$2,810 | \$2,987 | \$3,153 |
| Totals, State Operations | \$2,810 | \$2,987 | \$3,153 |
| PROGRAM REQUIREMENTS | | | |
| 40 ADMINISTRATION OF LOCAL AGENCY SECURITY | | | |
| State Operations: | | | |
| 0240 Local Agency Security Deposit Fund | \$294 | \$374 | \$400 |
| Totals, State Operations | \$294 | \$374 | \$400 |
| PROGRAM REQUIREMENTS | | | |
| 50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS | | | |
| State Operations: | | | |
| 0298 Financial Institutions Fund | \$20 | \$33 | \$33 |
| Totals, State Operations | \$20 | \$33 | \$33 |
| PROGRAM REQUIREMENTS | | | |
| 60 CREDIT UNIONS | | | |
| State Operations: | | | |
| 0299 Credit Union Fund | \$5,672 | \$6,988 | \$7,464 |
| Totals, State Operations | \$5,672 | \$6,988 | \$7,464 |
| PROGRAM REQUIREMENTS | | | |
| 70 SAVINGS AND LOAN | | | |
| State Operations: | | | |
| 0298 Financial Institutions Fund | \$69 | \$104 | \$104 |
| Totals, State Operations | \$69 | \$104 | \$104 |
| PROGRAM REQUIREMENTS | | | |
| 80 INDUSTRIAL BANKS | | | |
| State Operations: | | | |
| 0298 Financial Institutions Fund | \$439 | \$951 | \$1,010 |
| Totals, State Operations | \$439 | \$951 | \$1,010 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 29,394 | 32,999 | 35,054 |
| Totals, Expenditures | \$29,394 | \$32,999 | \$35,054 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---------------------------------------|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 265.3 | 265.0 | 265.0 | \$16,690 | \$18,792 | \$19,333 |
| Total Adjustments | - | 10.0 | 13.0 | - | -416 | 544 |
| Estimated Salary Savings | - | -27.5 | -27.8 | - | -1,979 | -2,012 |
| Net Totals, Salaries and Wages | 265.3 | 247.5 | 250.2 | \$16,690 | \$16,397 | \$17,865 |
| Staff Benefits | - | - | - | 5,953 | 6,288 | 6,524 |
| Totals, Personal Services | 265.3 | 247.5 | 250.2 | \$22,643 | \$22,685 | \$24,389 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$6,751 | \$10,314 | \$10,665 |

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|--------------|----------|----------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$29,394 | \$32,999 | \$35,054 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 0240 Local Agency Deposit Security Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$409 | \$409 | \$400 |
| Allocation for employee compensation | - | 2 | - |
| Adjustment per Section 3.60 | 1 | 8 | - |
| Reduction per Section 3.90 | -45 | -17 | - |
| Reduction per Control Section 3.91 | - | -28 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Totals Available | \$364 | \$374 | \$400 |
| Unexpended balance, estimated savings | -70 | - | - |
| TOTALS, EXPENDITURES | \$294 | \$374 | \$400 |
| 0298 Financial Institutions Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$25,223 | \$26,038 | \$25,968 |
| Allocation for employee compensation | - | 76 | - |
| Adjustment per Section 3.60 | 41 | 375 | - |
| Reduction per Section 3.90 | -2,182 | -774 | - |
| Reduction per Control Section 3.91 | - | -1,300 | - |
| Adjustment per Section 3.55 | -33 | - | - |
| Totals Available | \$23,049 | \$24,415 | \$25,968 |
| Unexpended balance, estimated savings | -1,149 | - | - |
| TOTALS, EXPENDITURES | \$21,900 | \$24,415 | \$25,968 |
| 0299 Credit Union Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$7,022 | \$7,501 | \$7,464 |
| Allocation for employee compensation | - | 23 | - |
| Adjustment per Section 3.60 | 11 | 116 | - |
| Reduction per Section 3.90 | -617 | -249 | - |
| Reduction per Control Section 3.91 | - | -403 | - |
| Adjustment per Section 3.55 | -10 | - | - |
| Totals Available | \$6,406 | \$6,988 | \$7,464 |
| Unexpended balance, estimated savings | -734 | - | - |
| TOTALS, EXPENDITURES | \$5,672 | \$6,988 | \$7,464 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$1,528 | \$1,222 | \$1,222 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$29,394 | \$32,999 | \$35,054 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------|----------|----------|
| 0240 Local Agency Deposit Security Fund ^s | | | |
| BEGINNING BALANCE | \$452 | \$492 | \$458 |

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|--------------|--------------|--------------|
| Prior year adjustments | -6 | - | - |
| Adjusted Beginning Balance | \$446 | \$492 | \$458 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 121200 Other Regulatory Taxes | 315 | 315 | 315 |
| 150300 Income From Surplus Money Investments | 4 | 5 | 5 |
| 164300 Penalty Assessments | 21 | 20 | 20 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$340</u> | <u>\$340</u> | <u>\$340</u> |
| Total Resources | \$786 | \$832 | \$798 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | - | - | 1 |
| 2150 Department of Financial Institutions (State Operations) | 294 | 374 | 400 |
| 8880 Financial Information System for California (State Operations) | - | - | 2 |
| Total Expenditures and Expenditure Adjustments | <u>\$294</u> | <u>\$374</u> | <u>\$403</u> |
| FUND BALANCE | \$492 | \$458 | \$395 |
| Reserve for economic uncertainties | 492 | 458 | 395 |

0298 Financial Institutions Fund ^s

| | | | |
|---|-----------------|-----------------|-----------------|
| BEGINNING BALANCE | \$9,168 | \$11,554 | \$9,319 |
| Prior year adjustments | -63 | - | - |
| Adjusted Beginning Balance | \$9,105 | \$11,554 | \$9,319 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 121200 Other Regulatory Taxes | 22,879 | 20,800 | 20,800 |
| 125700 Other Regulatory Licenses and Permits | 141 | 153 | 153 |
| 150300 Income From Surplus Money Investments | 98 | 95 | 95 |
| 161400 Miscellaneous Revenue | 1,249 | 1,166 | 1,166 |
| 163000 Settlements/Judgments(not Anti-trust) | - | 25 | 25 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$24,367</u> | <u>\$22,239</u> | <u>\$22,239</u> |
| Total Resources | \$33,472 | \$33,793 | \$31,558 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 18 | 44 | 35 |
| 2150 Department of Financial Institutions (State Operations) | 21,900 | 24,415 | 25,968 |
| 8880 Financial Information System for California (State Operations) | - | 15 | 108 |
| Total Expenditures and Expenditure Adjustments | <u>\$21,918</u> | <u>\$24,474</u> | <u>\$26,111</u> |
| FUND BALANCE | \$11,554 | \$9,319 | \$5,447 |
| Reserve for economic uncertainties | 11,554 | 9,319 | 5,447 |

0299 Credit Union Fund ^s

| | | | |
|---|---------|---------|---------|
| BEGINNING BALANCE | \$2,588 | \$2,846 | \$1,998 |
| Prior year adjustments | -208 | - | - |
| Adjusted Beginning Balance | \$2,380 | \$2,846 | \$1,998 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 121200 Other Regulatory Taxes | 6,089 | 6,089 | 6,089 |
| 125700 Other Regulatory Licenses and Permits | 1 | 15 | 15 |
| 142500 Miscellaneous Services to the Public | - | 2 | 2 |
| 150300 Income From Surplus Money Investments | 53 | 50 | 50 |

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------|----------|----------|
| Total Revenues, Transfers, and Other Adjustments | \$6,143 | \$6,156 | \$6,156 |
| Total Resources | \$8,523 | \$9,002 | \$8,154 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 5 | 12 | 10 |
| 2150 Department of Financial Institutions (State Operations) | 5,672 | 6,988 | 7,464 |
| 8880 Financial Information System for California (State Operations) | - | 4 | 31 |
| Total Expenditures and Expenditure Adjustments | \$5,677 | \$7,004 | \$7,505 |
| FUND BALANCE | | | |
| Reserve for economic uncertainties | 2,846 | 1,998 | 649 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 265.3 | 265.0 | 265.0 | \$16,690 | \$18,792 | \$19,333 |
| Furlough Adjustments | - | - | - | - | -591 | - |
| PLP Adjustments | - | - | - | - | -526 | -302 |
| Salary Range | | | | | | |
| Proposed New Positions: | | | | | | |
| Banking Augmentation to Address Economic Deterioration: | | | | | | |
| Senior Financial Institutions Examiner | - | 10.0 | 10.0 | 5,561-7,097 | 701 | 701 |
| Consumer Services Impact: | | | | | | |
| Associate Governmental Program Analyst | - | - | 2.0 | 4,400-5,348 | - | 111 |
| Office Tech (Typing) | - | - | 1.0 | 2,686-3,264 | - | 34 |
| Totals Proposed New Positions | - | 10.0 | 13.0 | \$- | \$701 | \$846 |
| Total Adjustments | - | 10.0 | 13.0 | \$- | -\$416 | \$544 |
| TOTALS, SALARIES AND WAGES | 265.3 | 275.0 | 278.0 | \$16,690 | \$18,376 | \$19,877 |

2180 Department of Corporations

The Department of Corporations, under the direction of the California Corporations Commissioner, provides consumer and investor protections by regulating the conduct of a variety of businesses, including securities brokers and dealers, investment advisers and financial planners, and certain fiduciaries and lenders. The Department also oversees the offer and sale of securities, franchises and off-exchange commodities.

Given this responsibility, the Department has a three-part mission:

- Ensure an efficient and accessible financial services marketplace in California.
- Educate the public about the risks and rewards in investing and finances.
- Enforce California's financial services laws to protect the public from fraud.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Investment Program | 136.9 | 160.0 | 160.0 | \$15,726 | \$21,194 | \$24,337 |
| 20 Lender-Fiduciary Program | 151.6 | 153.8 | 153.8 | 15,650 | 20,229 | 23,060 |
| 50.01 Administration | 52.1 | 64.4 | 64.4 | 5,083 | 6,479 | 6,718 |
| 50.02 Distributed Administration | -52.1 | -64.4 | -64.4 | -5,083 | -6,479 | -6,718 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 288.5 | 313.8 | 313.8 | \$31,376 | \$41,423 | \$47,397 |

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

| FUNDING | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0067 State Corporations Fund | \$31,365 | \$41,273 | \$47,247 |
| 0942 Special Deposit Fund | 11 | - | - |
| 0995 Reimbursements | - | 150 | 150 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$31,376 | \$41,423 | \$47,397 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Corporations Code, Section 25600.

PROGRAM AUTHORITY

10-Investment Program:

Corporations Code Sections 25000-25707, 28000-28958, 29000-29201, 29500-29567, 31000-31516; Title 10, California Code of Regulations, Sections 250.1-250.70, 260.000-260.617, 280.100-280.700, 290.570-290.571, and 310.000-310.505.

20-Lender-Fiduciary Program:

Financial Code Sections 4050-4060, 4970-4979.8, 12000-12403, 17000-17702, 22000-22780, 23000-23106, 30000-30704, and 50000-50706; Title 10, California Code of Regulations, Sections 1400-1570, 1700-1769, 1770-1799, 1805.001-1805.213.1, 1950.122-1950.317, and 2020-2030.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|-----------------|-----------------|--------------|----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Control Section 3.60 Adjustment | \$- | \$616 | - | \$- | \$616 | - |
| • Various Baseline Adjustments | - | - | - | - | 476 | - |
| • Health Care Adjustment (Item 9800) | - | 123 | - | - | 210 | - |
| • Control Section 3.91 Contracts and Administrative Reduction | - | -2,034 | - | - | -503 | - |
| • Control Section 3.90 Workforce Cap Reduction | - | -1,256 | -12.0 | - | -1,256 | -12.0 |
| Totals, Other Workload Budget Adjustments | \$- | -\$2,551 | -12.0 | \$- | -\$457 | -12.0 |
| Totals, Workload Budget Adjustments | \$- | -\$2,551 | -12.0 | \$- | -\$457 | -12.0 |
| Policy Adjustments | | | | | | |
| • Information Technology Quality Network Replacement - FSR Year 3 of 3 | \$- | \$- | - | \$- | \$3,879 | - |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$3,879 | - |
| Totals, Budget Adjustments | \$- | -\$2,551 | -12.0 | \$- | \$3,422 | -12.0 |

PROGRAM DESCRIPTIONS

10 - INVESTMENT PROGRAM

The Investment Program protects investors in securities and franchise investment transactions and promotes efficient capital formation in California. The program qualifies the offer and sale of certain securities, registers the sale of franchises, and licenses and examines broker-dealers and investment advisers.

20 - LENDER-FIDUCIARY PROGRAM

The Lender-Fiduciary Program protects the public when borrowing and entering into financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and examines mortgage bankers, finance lenders, escrow companies, deferred deposit originators, bill payers and proraters.

50 - ADMINISTRATION

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

This program provides executive leadership and direction to the Department, including planning, policy development, budgeting, accounting, personnel, centralized support services, business and information technology services.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|---|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | INVESTMENT PROGRAM | | | |
| | State Operations: | | | |
| 0067 | State Corporations Fund | \$15,726 | \$21,044 | \$24,187 |
| 0995 | Reimbursements | - | 150 | 150 |
| | Totals, State Operations | \$15,726 | \$21,194 | \$24,337 |
| ELEMENT REQUIREMENTS | | | | |
| 10.10 | Corporate Securities Law | \$8,921 | \$13,671 | \$15,571 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 8,921 | 13,521 | 15,421 |
| 0995 | Reimbursements | - | 150 | 150 |
| 10.15 | Broker/Dealers | \$1,001 | \$3,102 | \$3,672 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 1,001 | 3,102 | 3,672 |
| 10.25 | Investment Advisers | \$3,673 | \$2,684 | \$3,085 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 3,673 | 2,684 | 3,085 |
| 10.35 | Agent Monitoring Law | \$213 | \$251 | \$294 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 213 | 251 | 294 |
| 10.65 | Franchise Investment Law | \$1,918 | \$1,486 | \$1,715 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 1,918 | 1,486 | 1,715 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | LENDER-FIDUCIARY PROGRAM | | | |
| | State Operations: | | | |
| 0067 | State Corporations Fund | \$15,650 | \$20,229 | \$23,060 |
| | Totals, State Operations | \$15,650 | \$20,229 | \$23,060 |
| ELEMENT REQUIREMENTS | | | | |
| 20.10 | Check Sellers, Bill Payers and Proraters | \$127 | \$20 | \$21 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 127 | 20 | 21 |
| 20.20 | Deferred Deposit Transaction Law | \$2,800 | \$4,106 | \$4,673 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 2,800 | 4,106 | 4,673 |
| 20.30 | Escrow Law | \$4,595 | \$5,566 | \$6,312 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 4,595 | 5,566 | 6,312 |
| 20.85 | California Mortgage Loan Originator | \$231 | \$1,223 | \$1,284 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 231 | 1,223 | 1,284 |
| 20.90 | California Finance Lenders Law | \$5,008 | \$6,057 | \$7,041 |
| | State Operations: | | | |
| 0067 | State Corporations Fund | 5,008 | 6,057 | 7,041 |
| 20.95 | Mortgage Bankers Law | \$2,889 | \$3,257 | \$3,729 |

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|------------------------------|-----------------|-----------------|-----------------|
| State Operations: | | | |
| 0067 State Corporations Fund | 2,889 | 3,257 | 3,729 |
| TOTALS, EXPENDITURES | | | |
| State Operations | <u>31,376</u> | <u>41,423</u> | <u>47,397</u> |
| Totals, Expenditures | \$31,376 | \$41,423 | \$47,397 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 288.5 | 332.0 | 332.0 | \$16,375 | \$21,924 | \$22,370 |
| Total Adjustments | - | -12.0 | -12.0 | - | -2,215 | -873 |
| Estimated Salary Savings | <u>-</u> | <u>-6.2</u> | <u>-6.2</u> | <u>-</u> | <u>-547</u> | <u>-547</u> |
| Net Totals, Salaries and Wages | 288.5 | 313.8 | 313.8 | \$16,375 | \$19,162 | \$20,950 |
| Staff Benefits | <u>-</u> | <u>-</u> | <u>-</u> | <u>6,234</u> | <u>7,391</u> | <u>7,668</u> |
| Totals, Personal Services | 288.5 | 313.8 | 313.8 | \$22,609 | \$26,553 | \$28,618 |
| OPERATING EXPENSES AND EQUIPMENT | | | | <u>\$8,767</u> | <u>\$14,870</u> | <u>\$18,779</u> |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$31,376 | \$41,423 | \$47,397 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 0067 State Corporations Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$39,511 | \$43,824 | \$47,247 |
| Allocation for employee compensation | - | 123 | - |
| Adjustment per Section 3.60 | 61 | 616 | - |
| Reduction per Section 3.90 | -3,389 | -1,256 | - |
| Reduction per Section 15.30 | -9 | - | - |
| Reduction per Control Section 3.91 | - | -2,034 | - |
| Adjustment per Section 3.55 | -55 | - | - |
| Transfer from Item 2180-001-0067 per Provision 1 | 360 | - | - |
| 011 Budget Act appropriation (Transfer to the General Fund) | <u>-</u> | <u>(20,000)</u> | <u>-</u> |
| Totals Available | \$36,479 | \$41,273 | \$47,247 |
| Unexpended balance, estimated savings | <u>-5,114</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$31,365 | \$41,273 | \$47,247 |
| 0942 Special Deposit Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 16370 (Conservatorship Account) | <u>\$11</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$11 | \$- | \$- |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | <u>-</u> | <u>\$150</u> | <u>\$150</u> |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$31,376 | \$41,423 | \$47,397 |

FUND CONDITION STATEMENTS

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------|----------|----------|
| 0067 State Corporations Fund^s | | | |
| BEGINNING BALANCE | \$49,388 | \$71,519 | \$43,014 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | 27,648 | 29,761 | 29,000 |
| 125800 Renewal Fees | 1,032 | 928 | 1,000 |
| 141200 Sales of Documents | 1 | 2 | 2 |
| 142500 Miscellaneous Services to the Public | 11 | 13 | 10 |
| 150300 Income From Surplus Money Investments | 343 | 390 | 500 |
| 161000 Escheat of Unclaimed Checks & Warrants | 12 | 12 | 10 |
| 161900 Other Revenue - Cost Recoveries | 10 | 51 | 10 |
| 163000 Settlements/Judgments(not Anti-trust) | 24,162 | 1,500 | 1,500 |
| 164300 Penalty Assessments | 306 | 205 | 200 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund per Item 2180-011-0067, Budget Act of 2010 | - | -20,000 | - |
| Total Revenues, Transfers, and Other Adjustments | \$53,525 | \$12,862 | \$32,232 |
| Total Resources | \$102,913 | \$84,381 | \$75,246 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 29 | 70 | 43 |
| 2180 Department of Corporations (State Operations) | 31,365 | 41,273 | 47,247 |
| 8880 Financial Information System for California (State Operations) | - | 24 | - |
| Total Expenditures and Expenditure Adjustments | \$31,394 | \$41,367 | \$47,290 |
| FUND BALANCE | \$71,519 | \$43,014 | \$27,956 |
| Reserve for economic uncertainties | 71,519 | 43,014 | 27,956 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 288.5 | 332.0 | 332.0 | \$16,375 | \$21,924 | \$22,370 |
| Furlough Adjustments | - | - | - | - | -711 | - |
| PLP Adjustments | - | - | - | - | -631 | - |
| Workload and Administrative Adjustments | | | | | | |
| Workforce Reduction: | | | | | | |
| Staff Counsel IV | - | -1.0 | -1.0 | - | -126 | -126 |
| Staff Counsel III (was SC IV) | - | -1.0 | -1.0 | - | -114 | -114 |
| Supervising Corporation Examiner | - | -1.0 | -1.0 | - | -94 | -94 |
| Systems Software Spec II (Sup), LT | - | -1.0 | -1.0 | - | -89 | -89 |
| Corp Examiner IV - Specialist | - | -1.0 | -1.0 | - | -85 | -85 |
| Accounting Administrator I (Supervisor) | - | -1.0 | -1.0 | - | -73 | -73 |
| Associate Personnel Analyst | - | -1.0 | -1.0 | - | -64 | -64 |
| Staff Services Analyst | - | -2.0 | -2.0 | - | -107 | -107 |
| Auditor I | - | -1.0 | -1.0 | - | -48 | -48 |
| Program Tech II | - | -1.0 | -1.0 | - | -39 | -39 |
| Office Assistant - Typing | - | -1.0 | -1.0 | - | -34 | -34 |
| Totals Workload and Administrative Adjustments | - | -12.0 | -12.0 | \$- | -\$2,215 | -\$873 |
| Total Adjustments | - | -12.0 | -12.0 | \$- | -\$2,215 | -\$873 |
| TOTALS, SALARIES AND WAGES | 288.5 | 320.0 | 320.0 | \$16,375 | \$19,709 | \$21,497 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development

The mission of the Department of Housing and Community Development (HCD) is to preserve and expand safe and affordable housing opportunities and promote strong communities for all Californians. The HCD: (1) administers housing finance, economic development and community development programs; (2) develops housing policy and advocates for an adequate housing supply; and (3) develops building codes and regulates manufactured homes and mobilehome parks. The HCD also provides technical and financial assistance to local agencies to support housing development.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---|-----------------|--------------|--------------|------------------|------------------|------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Codes and Standards Program | 191.0 | 203.1 | 203.1 | \$20,919 | \$23,577 | \$25,913 |
| 20 Financial Assistance Program | 200.7 | 232.0 | 227.4 | 636,760 | 702,101 | 230,886 |
| 30 Housing Policy Development Program | 20.0 | 21.4 | 20.9 | 2,261 | 27,573 | 2,575 |
| 50.01 Administration Program | 113.7 | 118.3 | 117.3 | 9,821 | 11,435 | 12,027 |
| 50.02 Distributed Administration Program | - | - | - | -9,821 | -11,435 | -12,027 |
| 69 Loan Repayments Program | - | - | - | -4,359 | -7,245 | -3,404 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 525.4 | 574.8 | 568.7 | \$655,581 | \$746,006 | \$255,970 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0001 General Fund | | | | \$8,423 | \$8,600 | \$8,789 |
| 0115 Air Pollution Control Fund | | | | - | 55 | 98 |
| 0245 Mobilehome Park Revolving Fund | | | | 5,266 | 6,030 | 6,642 |
| 0530 Mobilehome Park Purchase Fund | | | | 3,410 | 6,168 | 6,453 |
| 0648 Mobilehome-Manufactured Home Revolving Fund | | | | 14,324 | 15,429 | 17,029 |
| 0714 Home Building and Rehabilitation Fund | | | | 252 | 550 | 550 |
| 0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund | | | | - | 75 | 75 |
| 0813 Self - Help Housing Fund | | | | 7,680 | 55,131 | 1,937 |
| 0890 Federal Trust Fund | | | | 303,732 | 216,082 | 178,262 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | | | | 8,747 | 31,743 | 6,306 |
| 0929 Housing Rehabilitation Loan Fund | | | | 25,923 | 214,402 | 11,406 |
| 0938 Rental Housing Construction Fund | | | | 4,514 | 6,496 | 6,218 |
| 0972 Manufactured Home Recovery Fund | | | | 150 | 507 | 518 |
| 0980 Predevelopment Loan Fund | | | | 1,382 | 2,742 | 1,017 |
| 0985 Emergency Housing and Assistance Fund | | | | 13,510 | 27,142 | 1,889 |
| 0995 Reimbursements | | | | 1,493 | 1,127 | 545 |
| 3006 Jobs-Housing Balance Improvement Account | | | | 345 | 200 | - |
| 3144 Building Standards Administration Special Revolving Fund | | | | 224 | 459 | 529 |
| 3165 Enterprise Zone Fund | | | | - | 1,080 | 1,161 |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund | | | | 12,718 | 34,147 | 736 |
| 6068 Affordable Housing Innovation Fund | | | | 16,599 | 78,657 | 861 |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | | | | 191,559 | 11,733 | 2,558 |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006 | | | | 595 | 26,004 | 1,068 |
| 9736 Transit-Oriented Development Implementation Fund | | | | 34,735 | 1,447 | 1,323 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$655,581 | \$746,006 | \$255,970 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 65580 et seq.; Health and Safety Code Sections 18000 et seq. and 50000 et seq.

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

MAJOR PROGRAM CHANGES

- The Budget proposes a \$99.0 million reduction in local assistance due to a pause in making bond awards for new housing projects.
- The Budget includes a shift of \$1.1 million from state operations to local assistance in the Community Development Block Grant Program to conform to federally allowable administrative costs, and an associated reduction of 10 positions.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|---------------|------------------|-----------------|--------------|-------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Community Development Block Grant Adjustment | \$- | \$- | - | \$- | \$954 | - |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$- | \$954 | - |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$178 | -\$2,942 | - | -\$22 | -\$468 | - |
| • Retirement Rate Adjustment | 55 | 919 | - | 55 | 919 | - |
| • Limited Term Positions/Expiring programs | - | - | - | - | -64,590 | - |
| • One Time Cost Reductions | - | - | - | - | -42,526 | - |
| • Full Year Cost of New/Expanded Programs | - | - | - | - | 52 | - |
| • Carryover/Reappropriation | - | 68,000 | - | - | - | - |
| • Miscellaneous Adjustments | - | 202,675 | - | 33 | -16,754 | - |
| • Workforce Cap Adjustment | -152 | -1,932 | -18.0 | -152 | -1,932 | -21.0 |
| • Annual Housing Bond Adjustments | - | - | - | - | -160 | - |
| Totals, Other Workload Budget Adjustments | -\$275 | \$266,720 | -18.0 | -\$86 | -\$125,459 | -21.0 |
| Totals, Workload Budget Adjustments | -\$275 | \$266,720 | -18.0 | -\$86 | -\$124,505 | -21.0 |
| Policy Adjustments | | | | | | |
| • Suspend Local Assistance Bond Expenditures | \$- | \$- | - | \$- | -\$99,000 | - |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | -\$99,000 | - |
| Totals, Budget Adjustments | -\$275 | \$266,720 | -18.0 | -\$86 | -\$223,505 | -21.0 |

PROGRAM DESCRIPTIONS

10 - CODES AND STANDARDS PROGRAM

The objective of this program is to protect the public's health, safety, and general welfare in buildings and structures designed for human occupancy through the enforcement of the relevant provisions of the California Health and Safety Code, including the State Housing Law, Employee Housing Act, Mobilehome Parks Act, Special Occupancy Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980. The program also enforces federal and state standards for the construction, safety, sales, and titling of manufactured homes, mobilehomes, and commercial modulars.

20 - FINANCIAL ASSISTANCE PROGRAM

The objectives of this program are to: (1) increase housing supply by providing loans and grants to develop and preserve affordable housing for lower-income households and (2) promote economic, community, and job development primarily by awarding state and federal housing funds, including funds authorized by the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C). The program also promotes economic and job development through administration of the state Enterprise Zone Program and federal Community Development Block Grant Program.

30 - HOUSING POLICY DEVELOPMENT PROGRAM

The objective of this program is to help ensure an adequate supply of housing affordable to all income groups through formulation of housing policy, technical assistance and oversight for local housing plans, direct assistance to private and public housing providers, administration of planning and incentive grant programs and information sharing.

50 - ADMINISTRATION PROGRAM

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

This program provides: (1) effective executive leadership in designing, implementing, and communicating housing programs and policies; (2) fiduciary oversight and sound fiscal management through its audit, accounting, and budget functions; (3) development, review, and implementation of legislation affecting the Department; (4) housing-specific legal expertise; and (5) support services in the areas of personnel, information technology solutions, business services, and contract management.

69 - LOAN REPAYMENTS PROGRAM

This program displays the estimated repayments of loans made under the Department's Financial Assistance Program.

DETAILED EXPENDITURES BY PROGRAM

| | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | |
| 10 CODES AND STANDARDS PROGRAM | | | |
| State Operations: | | | |
| 0001 General Fund | \$437 | \$471 | \$490 |
| 0245 Mobilehome Park Revolving Fund | 5,266 | 6,030 | 6,642 |
| 0648 Mobilehome-Manufactured Home Revolving Fund | 14,324 | 15,429 | 17,029 |
| 0890 Federal Trust Fund | 108 | 250 | 250 |
| 0972 Manufactured Home Recovery Fund | 145 | 257 | 268 |
| 0995 Reimbursements | 410 | 431 | 455 |
| 3144 Building Standards Administrative Special Revolving Fund | 224 | 459 | 529 |
| Totals, State Operations | \$20,914 | \$23,327 | \$25,663 |
| Local Assistance: | | | |
| 0972 Manufactured Home Recovery Fund | \$5 | \$250 | \$250 |
| Totals, Local Assistance | \$5 | \$250 | \$250 |
| PROGRAM REQUIREMENTS | | | |
| 20 FINANCIAL ASSISTANCE PROGRAM | | | |
| State Operations: | | | |
| 0001 General Fund | \$1,036 | \$1,186 | \$1,261 |
| 0530 Mobilehome Park Purchase Fund | 336 | 603 | 643 |
| 0714 Home Building and Rehabilitation Fund | 230 | 300 | 300 |
| 0813 Self - Help Housing Fund | 1,224 | 2,132 | 1,938 |
| 0890 Federal Trust Fund | 8,976 | 11,943 | 11,355 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | 1,852 | 2,324 | 2,887 |
| 0929 Housing Rehabilitation Loan Fund | 6,620 | 11,770 | 11,441 |
| 0938 Rental Housing Construction Fund | 1,140 | 2,681 | 2,569 |
| 0980 Predevelopment Loan Fund | 213 | 305 | 317 |
| 0985 Emergency Housing and Assistance Fund | 1,455 | 1,092 | 1,889 |
| 0995 Reimbursements | 1,083 | 696 | 90 |
| 3165 Enterprise Zone Fund | - | 1,080 | 1,161 |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund | 473 | 1,147 | 736 |
| 6068 Affordable Housing Innovation Fund | 324 | 657 | 861 |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | 1,559 | 2,458 | 2,558 |
| 9736 Transit-Oriented Development Implementation Fund | 735 | 1,447 | 1,323 |
| Totals, State Operations | \$27,256 | \$41,821 | \$41,329 |
| Local Assistance: | | | |
| 0001 General Fund | \$5,629 | \$5,629 | \$5,629 |
| 0530 Mobilehome Park Purchase Fund | 4,068 | 6,500 | 6,500 |
| 0714 Home Building and Rehabilitation Fund | 22 | 250 | 250 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| 0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund | - | 75 | 75 |
| 0813 Self - Help Housing Fund | 6,460 | 53,000 | - |
| 0890 Federal Trust Fund | 294,648 | 203,889 | 166,657 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | 6,980 | 29,446 | 3,446 |
| 0929 Housing Rehabilitation Loan Fund | 20,161 | 206,350 | 350 |
| 0938 Rental Housing Construction Fund | 3,411 | 3,816 | 3,650 |
| 0980 Predevelopment Loan Fund | 3,550 | 5,000 | 3,000 |
| 0985 Emergency Housing and Assistance Fund | 12,055 | 26,050 | - |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund | 12,245 | 33,000 | - |
| 6068 Affordable Housing Innovation Fund | 16,275 | 78,000 | - |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | 190,000 | 9,275 | - |
| 9736 Transit-Oriented Development Implementation Fund | 34,000 | - | - |
| Totals, Local Assistance | \$609,504 | \$660,280 | \$189,557 |
| PROGRAM REQUIREMENTS | | | |
| 30 HOUSING POLICY DEVELOPMENT PROGRAM | | | |
| State Operations: | | | |
| 0001 General Fund | \$1,321 | \$1,314 | \$1,409 |
| 0115 Air Pollution Control Fund | - | 55 | 98 |
| 3006 Jobs-Housing Balance Improvement Account | 345 | 200 | - |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006 | 595 | 1,004 | 1,068 |
| Totals, State Operations | \$2,261 | \$2,573 | \$2,575 |
| Local Assistance: | | | |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006 | - | 25,000 | - |
| Totals, Local Assistance | \$- | \$25,000 | \$- |
| PROGRAM REQUIREMENTS | | | |
| 69 LOAN REPAYMENTS PROGRAM | | | |
| Local Assistance: | | | |
| 0530 Mobilehome Park Purchase Fund | -\$994 | -\$935 | -\$690 |
| 0813 Self - Help Housing Fund | -4 | -1 | -1 |
| 0927 Joe Serna Jr. Farmworker Housing Grant Fund | -85 | -27 | -27 |
| 0929 Housing Rehabilitation Loan Fund | -858 | -3,718 | -385 |
| 0938 Rental Housing Construction Fund | -37 | -1 | -1 |
| 0980 Predevelopment Loan Fund | -2,381 | -2,563 | -2,300 |
| Totals, Local Assistance | -\$4,359 | -\$7,245 | -\$3,404 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 50,431 | 67,721 | 69,567 |
| Local Assistance | 605,150 | 678,285 | 186,403 |
| Totals, Expenditures | \$655,581 | \$746,006 | \$255,970 |

EXPENDITURES BY CATEGORY

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|---------|---------|--------------|----------|----------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |

PERSONAL SERVICES

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Authorized Positions (Equals Sch. 7A) | 525.4 | 622.1 | 617.6 | \$27,461 | \$36,375 | \$36,859 |
| Total Adjustments | - | -17.0 | -19.0 | - | -3,240 | -1,279 |
| Estimated Salary Savings | - | -30.3 | -29.9 | - | -1,961 | -1,956 |
| Net Totals, Salaries and Wages | 525.4 | 574.8 | 568.7 | \$27,461 | \$31,174 | \$33,624 |
| Staff Benefits | - | - | - | 11,185 | 12,470 | 13,448 |
| Totals, Personal Services | 525.4 | 574.8 | 568.7 | \$38,646 | \$43,644 | \$47,072 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$11,785 | \$24,077 | \$22,495 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$50,431 | \$67,721 | \$69,567 |

| 2 Local Assistance | Expenditures | | |
|---|------------------|------------------|------------------|
| | 2009-10* | 2010-11* | 2011-12* |
| Grants and Subventions | \$480,238 | \$460,855 | \$179,632 |
| Loans | 129,271 | 224,675 | 10,175 |
| Special Adjustments-Loan Repayments | -4,359 | -7,245 | -3,404 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$605,150 | \$678,285 | \$186,403 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,788 | \$3,246 | \$3,160 |
| Allocation for employee compensation | - | 15 | - |
| Adjustment per Section 3.60 | 5 | 55 | - |
| Reduction per Section 3.90 | -342 | -152 | - |
| Adjustment per Section 4.04 | -36 | - | - |
| Reduction per Section 15.30 | -1 | - | - |
| Reduction per Control Section 3.91 | - | -193 | - |
| Adjustment per Section 3.55 | -2 | - | - |
| 011 Budget Act appropriation (Loan to the Enterprize Zone Fund) | - | (510) | - |
| Totals Available | \$3,412 | \$2,971 | \$3,160 |
| Unexpended balance, estimated savings | -618 | - | - |
| TOTALS, EXPENDITURES | \$2,794 | \$2,971 | \$3,160 |
| 0115 Air Pollution Control Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | - | \$54 | \$98 |
| Adjustment per Section 3.60 | - | 1 | - |
| TOTALS, EXPENDITURES | \$- | \$55 | \$98 |
| 0245 Mobilehome Park Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$6,422 | \$6,312 | \$6,642 |
| Allocation for employee compensation | - | 20 | - |
| Adjustment per Section 3.60 | 7 | 107 | - |
| Reduction per Section 3.90 | -556 | - | - |
| Reduction per Section 15.30 | -43 | - | - |
| Reduction per Control Section 3.91 | - | -409 | - |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| Adjustment per Section 3.55 | -1 | - | - |
| Totals Available | \$5,829 | \$6,030 | \$6,642 |
| Unexpended balance, estimated savings | -563 | - | - |
| TOTALS, EXPENDITURES | \$5,266 | \$6,030 | \$6,642 |
| 0530 Mobilehome Park Purchase Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$601 | \$620 | \$643 |
| Allocation for employee compensation | - | 2 | - |
| Adjustment per Section 3.60 | 1 | 11 | - |
| Reduction per Section 3.90 | -58 | - | - |
| Reduction per Section 15.30 | -4 | - | - |
| Reduction per Control Section 3.91 | - | -30 | - |
| 011 Budget Act appropriation (Loan to the Mobilehome Park Revolving Fund) | (900) | - | - |
| 012 Budget Act appropriation (Loan to the Mobilehome Manufactured Home Revolving Fund) | (2,100) | - | - |
| Totals Available | \$540 | \$603 | \$643 |
| Unexpended balance, estimated savings | -204 | - | - |
| TOTALS, EXPENDITURES | \$336 | \$603 | \$643 |
| 0648 Mobilehome-Manufactured Home Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$17,398 | - | - |
| Adjustment per Section 3.60 | 19 | - | - |
| Reduction per Section 3.90 | -1,647 | - | - |
| Reduction per Section 15.30 | -127 | - | - |
| Adjustment per Section 3.55 | -37 | - | - |
| 001 Budget Act appropriation | - | \$16,070 | \$17,029 |
| Allocation for employee compensation | - | 65 | - |
| Adjustment per Section 3.60 | - | 272 | - |
| Reduction per Control Section 3.91 | - | -978 | - |
| Totals Available | \$15,606 | \$15,429 | \$17,029 |
| Unexpended balance, estimated savings | -1,282 | - | - |
| TOTALS, EXPENDITURES | \$14,324 | \$15,429 | \$17,029 |
| 0714 Home Building and Rehabilitation Fund | | | |
| APPROPRIATIONS | | | |
| Chapter 30 and 48, Statutes of 1988: Transfer from Local Assistance for Transfer to Rental Housing Construction Fund | \$125 | \$210 | \$210 |
| Prior year balances available: | | | |
| Chapter 30 and 48, Statutes of 1988: Transfer from Local Assistance for Transfer to Housing Rehabilitation Loan Fund | 105 | 90 | 90 |
| TOTALS, EXPENDITURES | \$230 | \$300 | \$300 |
| 0813 Self - Help Housing Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$128 | \$131 | \$119 |
| Adjustment per Section 3.60 | - | 3 | - |
| Reduction per Section 3.90 | -10 | - | - |
| Reduction per Section 15.30 | -1 | - | - |
| Reduction per Control Section 3.91 | - | -7 | - |
| Health and Safety Code Section 50697.1 (CalHome Program) | 52 | 64 | 65 |
| Health and Safety Code Section 53533 (a)(5)(A) | 338 | 468 | 364 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|-----------------|-----------------|
| Health and Safety Code Section 50697.1 and 53545 (a)(1)(D) (CalHome Program and Self-Help Housing Program) | 743 | 1,473 | 1,390 |
| Totals Available | \$1,250 | \$2,132 | \$1,938 |
| Unexpended balance, estimated savings | -26 | - | - |
| TOTALS, EXPENDITURES | \$1,224 | \$2,132 | \$1,938 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$11,291 | \$13,334 | \$11,605 |
| Allocation for employee compensation | - | 33 | - |
| Adjustment per Section 3.60 | 15 | 188 | - |
| Reduction per Section 3.90 | -1,189 | -954 | - |
| Reduction per Section 15.30 | -92 | - | - |
| Reduction per Control Section 3.91 | - | -408 | - |
| Adjustment per Section 3.55 | -12 | - | - |
| Adjustment per Control Section 8.55 | 275 | - | - |
| Revised expenditure authority per Provision 2 of Item 2240-101-0890 | 812 | - | - |
| Budget Adjustment | -2,016 | - | - |
| TOTALS, EXPENDITURES | \$9,084 | \$12,193 | \$11,605 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | | | |
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation (Transfer to the General Fund) | - | (\$965) | - |
| Health and Safety Code Section 50517.5 | \$347 | 480 | \$492 |
| Health and Safety Code Section 53533 (a)(4)(A) | 596 | 211 | 406 |
| Health and Safety Code Section 50517.5 and 53545 (a)(1)(C) (Joe Serna, Jr. Farmworker Housing Program) | 909 | 1,633 | 1,989 |
| TOTALS, EXPENDITURES | \$1,852 | \$2,324 | \$2,887 |
| 0929 Housing Rehabilitation Loan Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,467 | \$2,326 | \$2,762 |
| Allocation for employee compensation | - | 5 | - |
| Adjustment per Section 3.60 | 2 | 39 | - |
| Reduction per Section 3.90 | -130 | - | - |
| Reduction per Section 15.30 | -10 | - | - |
| Reduction per Control Section 3.91 | - | -86 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Health and Safety Code Section 50661 (Multi-Family Housing Program) | 436 | 856 | 3,074 |
| Health and Safety Code Section 50661 (Monitoring and Management) | 1,061 | 1,419 | 1,340 |
| Health and Safety Code Section 50661 | 105 | 90 | 90 |
| Health and Safety Code Section 53533 (1)(A) | 1,818 | 3,725 | 356 |
| Health and Safety Code Section 50661 and 53545 (a)(1)(A) and (B) Multifamily Housing Program and Homeless Youth Program and Supportive Housing) | 2,051 | 3,486 | 3,909 |
| Totals Available | \$7,799 | \$11,860 | \$11,531 |
| Unexpended balance, estimated savings | -1,074 | - | - |
| TOTALS, EXPENDITURES | \$6,725 | \$11,860 | \$11,531 |
| Less funding provided by the Home Building and Rehabilitation Fund | -105 | -90 | -90 |
| NET TOTALS, EXPENDITURES | \$6,620 | \$11,770 | \$11,441 |
| 0938 Rental Housing Construction Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$977 | \$1,115 | \$1,176 |
| Allocation for employee compensation | - | 2 | - |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60 | 1 | 18 | - |
| Reduction per Section 3.90 | -87 | - | - |
| Reduction per Section 15.30 | -7 | - | - |
| Reduction per Control Section 3.91 | - | -45 | - |
| Health and Safety Code Section 50740 (RHCP Original) | 390 | 1,591 | 1,393 |
| Health and Safety Code Section 50740 (RHCP Rental Housing Construction Program) | 79 | 110 | 110 |
| Health and Safety Code Section 50740 | <u>45</u> | <u>100</u> | <u>100</u> |
| Totals Available | \$1,398 | \$2,891 | \$2,779 |
| Unexpended balance, estimated savings | <u>-133</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,265 | \$2,891 | \$2,779 |
| Less funding provided by the Home Building and Rehabilitation Loan Fund | <u>-125</u> | <u>-210</u> | <u>-210</u> |
| NET TOTALS, EXPENDITURES | \$1,140 | \$2,681 | \$2,569 |
| 0972 Manufactured Home Recovery Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 18070.6 (Claims Against Dealers or Salespersons) | <u>\$145</u> | <u>\$257</u> | <u>\$268</u> |
| TOTALS, EXPENDITURES | \$145 | \$257 | \$268 |
| 0980 Predevelopment Loan Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$317 | \$310 | \$317 |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | - | 5 | - |
| Reduction per Section 3.90 | -24 | - | - |
| Reduction per Section 15.30 | -2 | - | - |
| Reduction per Control Section 3.91 | <u>-</u> | <u>-11</u> | <u>-</u> |
| Totals Available | \$291 | \$305 | \$317 |
| Unexpended balance, estimated savings | <u>-78</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$213 | \$305 | \$317 |
| 0985 Emergency Housing and Assistance Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$473 | - | \$162 |
| Reduction per Section 3.90 | -20 | - | - |
| Reduction per Section 15.30 | -2 | - | - |
| Health and Safety Code Section 50800.5 | - | \$81 | - |
| Health and Safety Code Section 53533 (a)(5)(A) | 565 | 77 | 1,161 |
| Health and Safety Code Section 53545 (a)(1)(H) | <u>559</u> | <u>934</u> | <u>566</u> |
| Totals Available | \$1,575 | \$1,092 | \$1,889 |
| Unexpended balance, estimated savings | <u>-120</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,455 | \$1,092 | \$1,889 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$1,493 | \$1,127 | \$545 |
| 3006 Jobs-Housing Balance Improvement Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$440 | \$294 | - |
| Adjustment per Section 3.60 | 1 | - | - |
| Reduction per Section 3.90 | -42 | -94 | - |
| Reduction per Section 15.30 | <u>-3</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$396 | \$200 | \$- |
| Unexpended balance, estimated savings | <u>-51</u> | <u>-</u> | <u>-</u> |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 1 STATE OPERATIONS | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$345 | \$200 | \$- |
| 3144 Building Standards Administration Special Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$280 | \$476 | \$529 |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | - | 8 | - |
| Reduction per Section 3.90 | -28 | - | - |
| Reduction per Section 15.30 | -2 | - | - |
| Reduction per Control Section 3.91 | - | -26 | - |
| Totals Available | \$250 | \$459 | \$529 |
| Unexpended balance, estimated savings | -26 | - | - |
| TOTALS, EXPENDITURES | \$224 | \$459 | \$529 |
| 3165 Enterprise Zone Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | - | \$1,120 | \$1,161 |
| Allocation for employee compensation | - | 4 | - |
| Adjustment per Section 3.60 | - | 19 | - |
| Reduction per Control Section 3.91 | - | -63 | - |
| TOTALS, EXPENDITURES | \$- | \$1,080 | \$1,161 |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$329 | \$282 | \$295 |
| Adjustment per Section 3.60 | - | 3 | - |
| Reduction per Section 3.90 | -18 | - | - |
| Reduction per Section 15.30 | -1 | - | - |
| Reduction per Control Section 3.91 | - | -12 | - |
| 002 Budget Act appropriation | 1,039 | 890 | 441 |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | 1 | 5 | - |
| Reduction per Section 3.90 | -51 | - | - |
| Reduction per Section 15.30 | -4 | - | - |
| Reduction per Control Section 3.91 | - | -22 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Totals Available | \$1,294 | \$1,147 | \$736 |
| Unexpended balance, estimated savings | -821 | - | - |
| TOTALS, EXPENDITURES | \$473 | \$1,147 | \$736 |
| 6068 Affordable Housing Innovation Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappropriated per 2240-490, Budget Act of 2010 | \$4,728 | \$4,322 | \$3,622 |
| Allocation for employee compensation | - | 3 | - |
| Adjustment per Section 3.60 | 1 | 9 | - |
| Reduction per Section 3.90 | -77 | - | - |
| Reduction per Section 15.30 | -6 | - | - |
| Reduction per Control Section 3.91 | - | -55 | - |
| Totals Available | \$4,646 | \$4,279 | \$3,622 |
| Unexpended balance, estimated savings | - | - | -2,761 |
| Balance available in subsequent years | -4,322 | -3,622 | - |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 1 STATE OPERATIONS | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|--|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$324 | \$657 | \$861 |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,555 | \$2,550 | \$2,558 |
| Allocation for employee compensation | - | 7 | - |
| Adjustment per Section 3.60 | 3 | 29 | - |
| Reduction per Section 3.90 | -239 | - | - |
| Reduction per Section 15.30 | -19 | - | - |
| Reduction per Control Section 3.91 | - | -128 | - |
| Adjustment per Section 3.55 | -2 | - | - |
| Totals Available | \$2,298 | \$2,458 | \$2,558 |
| Unexpended balance, estimated savings | -739 | - | - |
| TOTALS, EXPENDITURES | \$1,559 | \$2,458 | \$2,558 |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$908 | \$1,063 | \$1,068 |
| Adjustment per Section 3.60 | 1 | 18 | - |
| Reduction per Section 3.90 | -74 | - | - |
| Reduction per Section 15.30 | -6 | - | - |
| Reduction per Control Section 3.91 | - | -77 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Prior year balances available: | | | |
| Chapter 641, Statutes of 2008 | 397 | 397 | 397 |
| Totals Available | \$1,225 | \$1,401 | \$1,465 |
| Unexpended balance, estimated savings | -233 | - | -397 |
| Balance available in subsequent years | -397 | -397 | - |
| TOTALS, EXPENDITURES | \$595 | \$1,004 | \$1,068 |
| 9736 Transit-Oriented Development Implementation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,542 | \$1,499 | \$1,323 |
| Allocation for employee compensation | - | 3 | - |
| Adjustment per Section 3.60 | 1 | 6 | - |
| Reduction per Section 3.90 | -94 | - | - |
| Reduction per Section 15.30 | -7 | - | - |
| Reduction per Control Section 3.91 | - | -61 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Totals Available | \$1,441 | \$1,447 | \$1,323 |
| Unexpended balance, estimated savings | -706 | - | - |
| TOTALS, EXPENDITURES | \$735 | \$1,447 | \$1,323 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$50,431 | \$67,721 | \$69,567 |
| | | | |
| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$5,629 | - | - |
| 101 Budget Act appropriation | - | \$5,629 | \$5,629 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| TOTALS, EXPENDITURES | \$5,629 | \$5,629 | \$5,629 |
| 0530 Mobilehome Park Purchase Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50782 | \$4,068 | \$6,500 | \$6,500 |
| TOTALS, EXPENDITURES | \$4,068 | \$6,500 | \$6,500 |
| Loan repayments from local agencies | -994 | -935 | -690 |
| NET TOTALS, EXPENDITURES | \$3,074 | \$5,565 | \$5,810 |
| 0714 Home Building and Rehabilitation Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapters 30 and 48, Statutes of 1988 (Proposition 84, for transfer to various funds) | \$8,882 | \$8,631 | \$8,081 |
| Transfer to State Operations | -229 | -300 | - |
| Chapters 30 and 48, Statutes of 1988 (Proposition 107, for transfer to various funds) | 19,905 | 19,905 | 19,905 |
| Chapters 30 and 48, Statutes of 1988 (Proposition 107, for transfer to various funds) | - | - | -300 |
| Totals Available | \$28,558 | \$28,236 | \$27,686 |
| Balance available in subsequent years | -28,536 | -27,986 | -27,436 |
| TOTALS, EXPENDITURES | \$22 | \$250 | \$250 |
| 0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapter 27, Statutes of 1988 (transfer to Housing Rehabilitation Loan Fund) | \$6,675 | \$6,675 | \$6,600 |
| Totals Available | \$6,675 | \$6,675 | \$6,600 |
| Balance available in subsequent years | -6,675 | -6,600 | -6,525 |
| TOTALS, EXPENDITURES | \$- | \$75 | \$75 |
| 0813 Self - Help Housing Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 53545 (a) (1)(D) CalHome Program (Prop 1C) | \$5,820 | \$50,000 | - |
| Health and Safety Code Section 53545 (a) (1) (D) Self-Help Housing Program | 640 | 3,000 | - |
| TOTALS, EXPENDITURES | \$6,460 | \$53,000 | \$- |
| Loan repayments from local agencies | -4 | -1 | -\$1 |
| NET TOTALS, EXPENDITURES | \$6,456 | \$52,999 | -\$1 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$221,876 | - | - |
| Revised expenditure authority per Provision 2 | -812 | - | - |
| Budget Adjustment | -62,325 | - | - |
| 101 Budget Act appropriation | - | \$203,889 | \$166,657 |
| Prior year balances available: | | | |
| Item 2240-101-0890, Budget Act of 2008 | 207,638 | - | - |
| Budget Adjustment | -71,729 | - | - |
| TOTALS, EXPENDITURES | \$294,648 | \$203,889 | \$166,657 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50517.1 | \$3,578 | \$3,446 | \$3,446 |
| Health and Safety Code Section 50517.5 and 53545 (a)(1)(C) Joe Serna, Jr. Farmworker Housing Program | 3,402 | 26,000 | - |
| TOTALS, EXPENDITURES | \$6,980 | \$29,446 | \$3,446 |
| Loan repayments from local agencies | -85 | -27 | -27 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| 2 LOCAL ASSISTANCE | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---|-----------------|------------------|-----------------|
| NET TOTALS, EXPENDITURES | \$6,895 | \$29,419 | \$3,419 |
| 0929 Housing Rehabilitation Loan Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50661 (Default Reserve) | \$91 | \$350 | \$350 |
| Health and Safety Code Section 50661 (Default Payment Loan Program) | - | 75 | 75 |
| Health and Safety Code Section 50661 (Supportive Housing Program) | 750 | - | - |
| Health and Safety Code Section 53545 (a)(1)(H) | 13,412 | 4,000 | - |
| Health and Safety Code Section (a)(3)(A) Supportive Housing | - | 12,000 | - |
| Health and Safety Code Section 50661 and 53545 (a)(1)(A)(i) Multifamily Housing Program | - | 110,000 | - |
| Health and Safety Code Section 50661 and 53545 (a)(1)(B) Multifamily Housing Program-Supportive Housing (Prop 1C) | 5,908 | 51,000 | - |
| Health and Safety Code Section 50661 and 53545 (a)(1)(B) Multifamily Housing Program-Homeless Youth Housing (Prop 1C) | - | 29,000 | - |
| TOTALS, EXPENDITURES | \$20,161 | \$206,425 | \$425 |
| Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund | - | -75 | -75 |
| Loan repayments from local agencies | -858 | -3,718 | -385 |
| NET TOTALS, EXPENDITURES | \$19,303 | \$202,632 | -\$35 |
| 0938 Rental Housing Construction Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50771.10 (Default Reserve Account) | \$22 | \$250 | \$250 |
| Health and Safety Code Section 50740 (RHCP Original) | 3,411 | 3,816 | 3,650 |
| TOTALS, EXPENDITURES | \$3,433 | \$4,066 | \$3,900 |
| Less funding provided by the Home Building and Rehabilitation Fund | -22 | -250 | -250 |
| Loan repayments from local agencies | -37 | -1 | -1 |
| NET TOTALS, EXPENDITURES | \$3,374 | \$3,815 | \$3,649 |
| 0972 Manufactured Home Recovery Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 18070 | \$5 | \$250 | \$250 |
| TOTALS, EXPENDITURES | \$5 | \$250 | \$250 |
| 0980 Predevelopment Loan Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50531 | \$3,550 | \$5,000 | \$3,000 |
| TOTALS, EXPENDITURES | \$3,550 | \$5,000 | \$3,000 |
| Loan repayment from local agencies | -2,381 | -2,563 | -2,300 |
| NET TOTALS, EXPENDITURES | \$1,169 | \$2,437 | \$700 |
| 0985 Emergency Housing and Assistance Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50800.5 | - | \$6,050 | - |
| Health and Safety Code Section 53533(a)(2)(A) | - | 17,000 | - |
| Health and Safety Code Section 50800 and 53545 (a)(1)(H) Emergency Housing Assistance Program-Capital Development (Prop 1C) | \$12,055 | 3,000 | - |
| TOTALS, EXPENDITURES | \$12,055 | \$26,050 | \$- |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund | | | |
| APPROPRIATIONS | | | |
| 102 Budget Act appropriation | \$40,000 | \$5,000 | - |
| Prior year balances available: | | | |
| Item 2240-102-6038, Budget Act of 2008 as reappropriated by Item 2240-490, Budget Act of 2009 | 385 | - | - |
| Item 2240-102-6038, Budget Act of 2009 as reappropriated by Item 2240-491, Budget Act of 2010 | - | 40,000 | - |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| 2 LOCAL ASSISTANCE | | | |
| Totals Available | \$40,385 | \$45,000 | \$- |
| Unexpended balance, estimated savings | -28,140 | -12,000 | - |
| TOTALS, EXPENDITURES | \$12,245 | \$33,000 | \$- |
| 6068 Affordable Housing Innovation Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappropriated per Item 2240- 490, Budget Act of 2010 | \$95,000 | \$78,725 | \$725 |
| Totals Available | \$95,000 | \$78,725 | \$725 |
| Unexpended balance, estimated savings | - | - | -725 |
| Balance available in subsequent years | -78,725 | -725 | - |
| TOTALS, EXPENDITURES | \$16,275 | \$78,000 | \$- |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$190,000 | \$9,275 | - |
| TOTALS, EXPENDITURES | \$190,000 | \$9,275 | \$- |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$10,000 | \$25,000 | - |
| Totals Available | \$10,000 | \$25,000 | \$- |
| Unexpended balance, estimated savings | -10,000 | - | - |
| TOTALS, EXPENDITURES | \$- | \$25,000 | \$- |
| 9736 Transit-Oriented Development Implementation Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$34,000 | - | - |
| TOTALS, EXPENDITURES | \$34,000 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$605,150 | \$678,285 | \$186,403 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$655,581 | \$746,006 | \$255,970 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------|----------|----------|
| 0101 School Facilities Fee Assistance Fund ^s | | | |
| BEGINNING BALANCE | \$877 | \$890 | \$900 |
| Prior year adjustments | 7 | - | - |
| Adjusted Beginning Balance | \$884 | \$890 | \$900 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 6 | 10 | 10 |
| Total Revenues, Transfers, and Other Adjustments | \$6 | \$10 | \$10 |
| Total Resources | \$890 | \$900 | \$910 |
| FUND BALANCE | \$890 | \$900 | \$910 |
| Reserve for economic uncertainties | 890 | 900 | 910 |
| 0245 Mobilehome Park Revolving Fund ^s | | | |
| BEGINNING BALANCE | \$1,172 | \$1,809 | \$2,369 |
| Prior year adjustments | -361 | - | - |
| Adjusted Beginning Balance | \$811 | \$1,809 | \$2,369 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 125600 Other Regulatory Fees | 5,594 | 5,934 | 5,934 |
| 125700 Other Regulatory Licenses and Permits | 615 | 615 | 615 |
| 141200 Sales of Documents | 1 | 1 | 1 |
| 150300 Income From Surplus Money Investments | 5 | 5 | 5 |
| 161400 Miscellaneous Revenue | 20 | 20 | 20 |
| 161900 Other Revenue - Cost Recoveries | <u>36</u> | <u>36</u> | <u>36</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$6,271</u> | <u>\$6,611</u> | <u>\$6,611</u> |
| Total Resources | \$7,082 | \$8,420 | \$8,980 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 7 | 17 | 11 |
| 2240 Department of Housing and Community Development (State Operations) | 5,266 | 6,030 | 6,642 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>4</u> | <u>27</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$5,273</u> | <u>\$6,051</u> | <u>\$6,680</u> |
| FUND BALANCE | \$1,809 | \$2,369 | \$2,300 |
| Reserve for economic uncertainties | 1,809 | 2,369 | 2,300 |
| 0648 Mobilehome-Manufactured Home Revolving Fund ^s | | | |
| BEGINNING BALANCE | \$1,247 | \$2,360 | \$4,819 |
| Prior year adjustments | <u>-1,211</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$36 | \$2,360 | \$4,819 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 115400 Mobilehome In-Lieu Tax | 2,388 | 2,388 | 2,388 |
| 125600 Other Regulatory Fees | 1,984 | 1,983 | 1,983 |
| 125700 Other Regulatory Licenses and Permits | 9,866 | 11,146 | 11,146 |
| 141200 Sales of Documents | 10 | 10 | 10 |
| 142500 Miscellaneous Services to the Public | 965 | 965 | 965 |
| 150300 Income From Surplus Money Investments | 12 | 12 | 12 |
| 161000 Escheat of Unclaimed Checks & Warrants | 50 | 50 | 50 |
| 161400 Miscellaneous Revenue | 25 | 24 | 24 |
| 161900 Other Revenue - Cost Recoveries | 246 | 246 | 246 |
| 164300 Penalty Assessments | <u>1,122</u> | <u>1,122</u> | <u>1,122</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$16,668</u> | <u>\$17,946</u> | <u>\$17,946</u> |
| Total Resources | \$16,704 | \$20,306 | \$22,765 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 20 | 48 | 27 |
| 2240 Department of Housing and Community Development (State Operations) | 14,324 | 15,429 | 17,029 |
| 8880 Financial Information System for California (State Operations) | <u>-</u> | <u>10</u> | <u>69</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$14,344</u> | <u>\$15,487</u> | <u>\$17,125</u> |
| FUND BALANCE | \$2,360 | \$4,819 | \$5,640 |
| Reserve for economic uncertainties | 2,360 | 4,819 | 5,640 |
| 0813 Self - Help Housing Fund ⁿ | | | |
| BEGINNING BALANCE | \$167,437 | \$165,436 | \$110,306 |
| Prior year adjustments | <u>5,664</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$173,101 | \$165,436 | \$110,306 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------------|-----------------|----------------|
| 214600 Interest Income From Loans | 6 | - | - |
| 250300 Income From Surplus Money Investment Fund | 12 | 9 | 9 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$18</u> | <u>\$9</u> | <u>\$9</u> |
| Total Resources | \$173,119 | \$165,445 | \$110,315 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 3 | 7 | 4 |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 1,224 | 2,132 | 1,938 |
| Local Assistance | 6,460 | 53,000 | - |
| 8880 Financial Information System for California (State Operations) | - | 1 | 10 |
| Expenditure Adjustments: | | | |
| 2240 Department of Housing and Community Development | | | |
| Loan repayments from local agencies (Local Assistance) | <u>-4</u> | <u>-1</u> | <u>-1</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$7,683</u> | <u>\$55,139</u> | <u>\$1,951</u> |
| FUND BALANCE | \$165,436 | \$110,306 | \$108,364 |
| Reserve for Bond Funded Projects | 163,640 | 108,697 | 51,943 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund^N | | | |
| BEGINNING BALANCE | \$106,174 | \$102,658 | \$73,530 |
| Prior year adjustments | <u>1,593</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$107,767 | \$102,658 | \$73,530 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 214600 Interest Income from Loans | 60 | 20 | 20 |
| 215600 Income from Investments | 29 | 72 | 70 |
| 299900 Miscellaneous (Rental Receipts) | 3,552 | 3,496 | 3,446 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund per Item 2240-011-0927, Budget Act of 2010 | <u>-</u> | <u>-965</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3,641</u> | <u>\$2,623</u> | <u>\$3,536</u> |
| Total Resources | \$111,408 | \$105,281 | \$77,066 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 3 | 7 | 4 |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 1,852 | 2,324 | 2,887 |
| Local Assistance | 6,980 | 29,446 | 3,446 |
| 8880 Financial Information System for California (State Operations) | - | 1 | 10 |
| Expenditure Adjustments: | | | |
| 2240 Department of Housing and Community Development | | | |
| Loan repayments from local agencies (Local Assistance) | <u>-85</u> | <u>-27</u> | <u>-27</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$8,750</u> | <u>\$31,751</u> | <u>\$6,320</u> |
| FUND BALANCE | \$102,658 | \$73,530 | \$70,746 |
| Reserve for Bond Funded Projects | 97,272 | 69,428 | 67,033 |
| 0929 Housing Rehabilitation Loan Fund^N | | | |
| BEGINNING BALANCE | \$215,665 | \$243,098 | \$148,372 |
| Prior year adjustments | <u>45,169</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$260,834 | \$243,098 | \$148,372 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|------------------|-----------------|
| Revenues: | | | |
| 214000 Interest from Loans | 5,514 | 6,192 | 6,663 |
| 215600 Income from Investments | 506 | 266 | 124 |
| 250300 Income from Surplus Money Investment Fund | 308 | 259 | 250 |
| 299900 Misc Other Income | 1,873 | 113,000 | - |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund Loan repayment per Item 2240-011-0929, Budget Act of 2008 | - | - | -8,200 |
| FO0001 From General Fund Loan repayment per Chapter 3, Statutes of 2003 | - | - | -1,000 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$8,201</u> | <u>\$119,717</u> | <u>-\$2,163</u> |
| Total Resources | \$269,035 | \$362,815 | \$146,209 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 14 | 34 | 21 |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 6,725 | 11,860 | 11,531 |
| Local Assistance | 20,161 | 206,425 | 425 |
| 8880 Financial Information System for California (State Operations) | - | 7 | 54 |
| Expenditure Adjustments: | | | |
| 2240 Department of Housing and Community Development | | | |
| Less funding provided by the Home Building and Rehabilitation Fund (State Operations) | -105 | -90 | -90 |
| Loan repayments from local agencies (Local Assistance) | -858 | -3,718 | -385 |
| Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund (Local Assistance) | - | -75 | -75 |
| Total Expenditures and Expenditure Adjustments | <u>\$25,937</u> | <u>\$214,443</u> | <u>\$11,481</u> |
| FUND BALANCE | \$243,098 | \$148,372 | \$134,728 |
| Reserve for Bond Funded Projects | 220,760 | 120,549 | 116,283 |
| 0985 Emergency Housing and Assistance Fund ^N | | | |
| BEGINNING BALANCE | \$74,416 | \$66,254 | \$40,764 |
| Prior year adjustments | 5,301 | - | - |
| Adjusted Beginning Balance | <u>\$79,717</u> | <u>\$66,254</u> | <u>\$40,764</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 250300 Income from Surplus Money Investment Fund | 46 | 31 | 16 |
| 215600 Income from Investments | 3 | 10 | - |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2240-116-0985, Chapter 3, Statutes of 2003, 1st Ex Sess | - | 1,617 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$49</u> | <u>\$1,658</u> | <u>\$16</u> |
| Total Resources | \$79,766 | \$67,912 | \$40,780 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 5 | 2 |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 1,455 | 1,092 | 1,889 |
| Local Assistance | 12,055 | 26,050 | - |
| 8880 Financial Information System for California (State Operations) | - | 1 | 5 |
| Total Expenditures and Expenditure Adjustments | <u>\$13,512</u> | <u>\$27,148</u> | <u>\$1,896</u> |
| FUND BALANCE | \$66,254 | \$40,764 | \$38,884 |
| Reserve for Bond Funded Projects | 60,147 | 39,136 | 18,408 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------|----------|----------|
| 3006 Jobs-Housing Balance Improvement Account ^s | | | |
| BEGINNING BALANCE | \$2,267 | \$1,935 | \$1,735 |
| Prior year adjustments | 13 | - | - |
| Adjusted Beginning Balance | \$2,280 | \$1,935 | \$1,735 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2240 Department of Housing and Community Development (State Operations) | 345 | 200 | - |
| Total Expenditures and Expenditure Adjustments | \$345 | \$200 | - |
| FUND BALANCE | \$1,935 | \$1,735 | \$1,735 |
| Reserve for economic uncertainties | 1,935 | 1,735 | 1,735 |
| 3165 Enterprise Zone Fund ^s | | | |
| BEGINNING BALANCE | - | - | \$550 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | - | \$1,120 | 1,120 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund Loan per Item 2240-011-0001, Budget Act of 2010 | - | 510 | - |
| TO0001 To General Fund loan repayment per Item 2240-011-0001, Budget Act of 2010 | - | - | -170 |
| Total Revenues, Transfers, and Other Adjustments | - | \$1,630 | \$950 |
| Total Resources | - | \$1,630 | \$1,500 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2240 Department of Housing and Community Development (State Operations) | - | 1,080 | 1,161 |
| Total Expenditures and Expenditure Adjustments | - | \$1,080 | \$1,161 |
| FUND BALANCE | - | \$550 | \$339 |
| Reserve for economic uncertainties | - | 550 | 339 |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund ^B | | | |
| BEGINNING BALANCE | \$79,817 | \$68,658 | \$34,511 |
| Prior year adjustments | 1,559 | - | - |
| Adjusted Beginning Balance | \$81,376 | \$68,658 | \$34,511 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 473 | 1,147 | 736 |
| Local Assistance | 12,245 | 33,000 | - |
| Total Expenditures and Expenditure Adjustments | \$12,718 | \$34,147 | \$736 |
| FUND BALANCE | \$68,658 | \$34,511 | \$33,775 |
| Reserve for Bond Funded Projects | | | |
| 6068 Affordable Housing Innovation Fund ^B | | | |
| BEGINNING BALANCE | \$99,637 | \$83,047 | \$4,390 |
| Prior year adjustments | 9 | - | - |
| Adjusted Beginning Balance | \$99,646 | \$83,047 | \$4,390 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 324 | 657 | 861 |
| Local Assistance | 16,275 | 78,000 | - |
| Total Expenditures and Expenditure Adjustments | \$16,599 | \$78,657 | \$861 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------|-----------|-----------|
| FUND BALANCE | \$83,047 | \$4,390 | \$3,529 |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and | | | |
| Emergency Shelter Trust Fund of 2006 ^B | | | |
| BEGINNING BALANCE | \$246,861 | \$55,380 | \$43,647 |
| Prior year adjustments | 78 | - | - |
| Adjusted Beginning Balance | \$246,939 | \$55,380 | \$43,647 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 1,559 | 2,458 | 2,558 |
| Local Assistance | 190,000 | 9,275 | - |
| Total Expenditures and Expenditure Adjustments | \$191,559 | \$11,733 | \$2,558 |
| FUND BALANCE | \$55,380 | \$43,647 | \$41,089 |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency | | | |
| Shelter Trust Fund of 2006 ^B | | | |
| BEGINNING BALANCE | \$199,944 | \$199,349 | \$173,345 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 595 | 1,004 | 1,068 |
| Local Assistance | - | 25,000 | - |
| Total Expenditures and Expenditure Adjustments | \$595 | \$26,004 | \$1,068 |
| FUND BALANCE | \$199,349 | \$173,345 | \$172,277 |
| 9736 Transit-Oriented Development Implementation Fund ^N | | | |
| BEGINNING BALANCE | \$58,846 | \$24,361 | \$22,914 |
| Prior year adjustments | 250 | - | - |
| Adjusted Beginning Balance | \$59,096 | \$24,361 | \$22,914 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2240 Department of Housing and Community Development | | | |
| State Operations | 735 | 1,447 | 1,323 |
| Local Assistance | 34,000 | - | - |
| Total Expenditures and Expenditure Adjustments | \$34,735 | \$1,447 | \$1,323 |
| FUND BALANCE | \$24,361 | \$22,914 | \$21,591 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|---------------------|----------|----------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 525.4 | 622.1 | 617.6 | \$27,461 | \$36,375 | \$36,859 |
| Furlough Adjustments | - | - | - | - | -1,109 | - |
| PLP Adjustments | - | - | - | - | -986 | - |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Community Development Block Grant: | | | | | | |
| Financial Assistance Program: | | | | | | |
| Housing & Community Development Manager I | - | -2.0 | -2.0 | 5,079-6,127 | -147 | -147 |
| Housing & Community Development Rep II | - | -8.0 | -8.0 | 4,619-5,616 | -539 | -539 |
| Bond Workload Adjustments: | | | | | | |
| Financial Assistance Program: | | | | | | |
| Housing & Community Development Rep II | - | -5.0 | -7.0 | 4,619-5,616 | -325 | -459 |

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Housing Policy Development Program: | | | | | | |
| Housing & Community Development Rep II | - | -1.0 | -1.0 | 4,619-5,616 | -67 | -67 |
| Workforce Cap Adjustment | | | | | | |
| Housing Policy Development Program: | | | | | | |
| Housing & Community Development Rep II | - | -1.0 | -1.0 | 4,619-5,616 | -67 | -67 |
| Totals, Workload and Admin Adjustments | - | -17.0 | -19.0 | \$- | -\$1,145 | -\$1,279 |
| Total Adjustments | - | -17.0 | -19.0 | \$- | -\$3,240 | -\$1,279 |
| TOTALS, SALARIES AND WAGES | 525.4 | 605.1 | 598.6 | \$27,461 | \$33,135 | \$35,580 |

2260 California Housing Finance Agency

The mission of the California Housing Finance Agency, which was statutorily chartered in 1975 to be the State's affordable housing bank, is to create safe, decent, and affordable rental housing and to assist first-time homebuyers in achieving the dream of home ownership by providing affordable first and secondary mortgage loans and mortgage insurance. The agency is financially self-supporting, setting loan interest rates slightly above its cost and charging fees for specific services to cover its lending costs and risks.

The agency has \$11 billion in assets that are comprised mainly of loan receivables and investments related to bond proceeds. Each year, the agency produces an annual report by November 1st and is audited by independent outside auditors. The annual report and audited financial statements outline the lending activity and services provided by the agency and the financial results of those activities. These reports can be viewed using the following link:
<http://www.calhfa.ca.gov/about/publications/reports/index.htm>

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Lending Activity | 299.6 | 331.2 | 331.2 | \$42,814 | \$47,958 | \$49,233 |
| 20 Insurance Activity | 5.0 | 5.0 | 5.0 | 1,354 | 1,351 | 1,371 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 304.6 | 336.2 | 336.2 | \$44,168 | \$49,309 | \$50,604 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0501 California Housing Finance Fund | | | | \$42,814 | \$46,973 | \$48,430 |
| 0916 California Housing Loan Insurance Fund | | | | 1,354 | 1,351 | 1,371 |
| 0995 Reimbursements | | | | - | 985 | 803 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$44,168 | \$49,309 | \$50,604 |

The California Housing Finance Agency's (CalHFA) funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. Each spring the CalHFA Board adopts an updated five-year business plan and annual budget. The informational budget presented here reflects the Agency's best estimate of the proposed budget for fiscal year 2011-12. As a Public Enterprise Funded entity, CalHFA support comes from revenue bonds and is not supported by the full faith, credit or taxing power of the State of California. CalHFA continues to administer single and multifamily housing lending programs financed with revenue bond proceeds, and a number of down payment assistance programs from Proposition 46 and Proposition 1C general obligation bond proceeds.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 50000-52533.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

2260 California Housing Finance Agency - Continued

| | 2010-11* | | | 2011-12* | | |
|--|--------------|-----------------|-----------------|--------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Retirement Rate Adjustment | \$- | \$676 | - | \$- | \$676 | - |
| • Employee Compensation Adjustments | - | -767 | - | - | -428 | - |
| • Miscellaneous Adjustment | - | -2,846 | - | - | -1,890 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$2,937 | - | \$- | -\$1,642 | - |
| Totals, Workload Budget Adjustments | \$- | -\$2,937 | - | \$- | -\$1,642 | - |
| Totals, Budget Adjustments | \$- | -\$2,937 | - | \$- | -\$1,642 | - |

PROGRAM DESCRIPTIONS

10 - LENDING ACTIVITY

The objective of this program is to finance housing at affordable interest rates, using the proceeds of tax-exempt and taxable revenue bonds to make: (1) mortgage loans to qualified first-time homebuyers to finance the purchase of single family homes, (2) permanent loans for the creation and preservation of multifamily housing projects, and (3) special needs housing loans to facilitate the construction, rehabilitation and acquisition of housing needed to serve special needs populations.

20 - INSURANCE ACTIVITY

The objective of the Mortgage Insurance Services Division is to encourage the preservation of existing housing and improve new housing opportunities by offering mortgage insurance products to homebuyers who might not qualify for traditional lending programs. The program provides primary mortgage insurance at favorable rates by working with participating lenders, government agencies, government-sponsored enterprises, and the secondary mortgage market to develop and offer innovative homebuyer lending and mortgage insurance programs.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|---------------------------------|--|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | LENDING ACTIVITY | | | |
| State Operations: | | | | |
| 0501 | California Housing Finance Fund | \$42,814 | \$46,973 | \$48,430 |
| 0995 | Reimbursements | - | 985 | 803 |
| Totals, State Operations | | \$42,814 | \$47,958 | \$49,233 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | INSURANCE ACTIVITY | | | |
| State Operations: | | | | |
| 0916 | California Housing Loan Insurance Fund | \$1,354 | \$1,351 | \$1,371 |
| Totals, State Operations | | \$1,354 | \$1,351 | \$1,371 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 44,168 | 49,309 | 50,604 |
| Totals, Expenditures | | \$44,168 | \$49,309 | \$50,604 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | | |
|---------------------------------------|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|----------|
| | Positions/Personnel Years | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 304.6 | 336.2 | 336.2 | \$17,888 | \$23,913 | \$24,120 | |
| Total Adjustments | - | - | - | - | -657 | - | |
| Salary Savings | - | - | - | - | -963 | -963 | |
| Net Totals, Salaries and Wages | 304.6 | 336.2 | 336.2 | \$17,888 | \$22,293 | \$23,157 | |

* Dollars in thousands, except in Salary Range.

2260 California Housing Finance Agency - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Staff Benefits | - | - | - | 9,019 | 7,878 | 7,951 |
| Totals, Personal Services | 304.6 | 336.2 | 336.2 | \$26,907 | \$30,171 | \$31,108 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$17,261 | \$19,138 | \$19,496 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$44,168 | \$49,309 | \$50,604 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 0501 California Housing Finance Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 51000 | \$42,814 | \$46,973 | \$48,430 |
| TOTALS, EXPENDITURES | \$42,814 | \$46,973 | \$48,430 |
| 0916 California Housing Loan Insurance Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 51611 | \$1,354 | \$1,351 | \$1,371 |
| TOTALS, EXPENDITURES | \$1,354 | \$1,351 | \$1,371 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | - | \$985 | \$803 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$44,168 | \$49,309 | \$50,604 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|---------------------------|--------------|--------------|--------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 304.6 | 336.2 | 336.2 | \$17,888 | \$23,913 | \$24,120 |
| PLP Adjustments | - | - | - | - | -657 | - |
| Total Adjustments | - | - | - | \$- | -657 | \$- |
| TOTALS, SALARIES AND WAGES | 304.6 | 336.2 | 336.2 | \$- | \$23,256 | \$24,120 |

2310 Office of Real Estate Appraisers

The mission of the Office of Real Estate Appraisers is to protect public safety by ensuring the competency and integrity of real estate appraisers through a program of licensure and enforcement.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Administration of Real Estate Appraisers Program | 29.5 | 32.1 | 32.1 | \$3,734 | \$4,907 | \$5,031 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 29.5 | 32.1 | 32.1 | \$3,734 | \$4,907 | \$5,031 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0400 Real Estate Appraisers Regulation Fund | | | | \$3,695 | \$4,827 | \$4,951 |
| 0995 Reimbursements | | | | 39 | 80 | 80 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$3,734 | \$4,907 | \$5,031 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

Business and Professions Code, Division 4, Part 3, Sections 11300 et seq.; California Code of Regulations, Title 10, Chapter 6.5, Sections 3500 et seq.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|---|--------------|---------------|-----------------|--------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Control Section 3.60 Adjustment | \$- | \$54 | - | \$- | \$54 | - |
| • Health Care Adjustment (Item 9800) | - | 12 | - | - | 21 | - |
| • Various Baseline Adjustments | - | - | - | - | 2 | - |
| • Control Section 3.91 Contracts and Administrative Reduction | - | -169 | - | - | -56 | - |
| • Control Section 3.90 Workforce Cap Reduction | - | -115 | -1.7 | - | -115 | -1.7 |
| Totals, Other Workload Budget Adjustments | \$- | -\$218 | -1.7 | \$- | -\$94 | -1.7 |
| Totals, Workload Budget Adjustments | \$- | -\$218 | -1.7 | \$- | -\$94 | -1.7 |
| Totals, Budget Adjustments | \$- | -\$218 | -1.7 | \$- | -\$94 | -1.7 |

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM

The objectives of this program are to ensure that only qualified persons are licensed to conduct appraisals in federally related real estate loan transactions and that all real estate appraisers licensed by the state adhere to applicable laws, regulations, and standards.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|---------------------------------|---|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM | | | |
| State Operations: | | | | |
| 0400 | Real Estate Appraisers Regulation Fund | \$3,695 | \$4,827 | \$4,951 |
| 0995 | Reimbursements | 39 | 80 | 80 |
| Totals, State Operations | | \$3,734 | \$4,907 | \$5,031 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 3,734 | 4,907 | 5,031 |
| Totals, Expenditures | | \$3,734 | \$4,907 | \$5,031 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | | |
|---|---------------------------|-------------|-------------|----------------|----------------|----------------|----------|
| | Positions/Personnel Years | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 29.5 | 35.5 | 35.5 | \$1,634 | \$2,177 | \$2,218 | |
| Total Adjustments | - | - | - | - | -141 | - | |
| Estimated Salary Savings | - | -3.4 | -3.4 | - | -107 | -164 | |
| Net Totals, Salaries and Wages | 29.5 | 32.1 | 32.1 | \$1,634 | \$1,929 | \$2,054 | |
| Staff Benefits | - | - | - | 552 | 578 | 612 | |
| Totals, Personal Services | 29.5 | 32.1 | 32.1 | \$2,186 | \$2,507 | \$2,666 | |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$1,276 | \$1,969 | \$1,934 | |

* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|----------------|----------------|----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| SPECIAL ITEMS OF EXPENSE | | | | \$272 | \$431 | \$431 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$3,734 | \$4,907 | \$5,031 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|----------------|----------------|
| 0400 Real Estate Appraisers Regulation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,796 | \$5,045 | \$4,951 |
| Allocation for employee compensation | - | 12 | - |
| Adjustment per Section 3.60 | 6 | 54 | - |
| Reduction per Section 3.90 | -340 | -115 | - |
| Reduction per Section 15.30 | -1 | - | - |
| Reduction per Control Section 3.91 | - | -169 | - |
| Totals Available | \$4,461 | \$4,827 | \$4,951 |
| Unexpended balance, estimated savings | -766 | - | - |
| TOTALS, EXPENDITURES | \$3,695 | \$4,827 | \$4,951 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$39 | \$80 | \$80 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$3,734 | \$4,907 | \$5,031 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------|----------|----------|
| 0400 Real Estate Appraisers Regulation Fund^s | | | |
| BEGINNING BALANCE | \$1,925 | \$6,658 | \$4,871 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 123500 Real Estate License Fees | 2,221 | 2,200 | 1,831 |
| 125700 Other Regulatory Licenses and Permits | 679 | 522 | 432 |
| 150300 Income From Surplus Money Investments | 13 | 20 | 20 |
| 150500 Interest Income From Interfund Loans | 217 | - | - |
| 161400 Miscellaneous Revenue | 194 | 194 | 194 |
| 164300 Penalty Assessments | 104 | 104 | 104 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2310-011-0400, Budget Act of 2008 | 5,000 | - | - |
| Total Revenues, Transfers, and Other Adjustments | \$8,428 | \$3,040 | \$2,581 |
| Total Resources | \$10,353 | \$9,698 | \$7,452 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2310 Office of Real Estate Appraisers (State Operations) | 3,695 | 4,827 | 4,951 |
| Total Expenditures and Expenditure Adjustments | \$3,695 | \$4,827 | \$4,951 |
| FUND BALANCE | \$6,658 | \$4,871 | \$2,501 |
| Reserve for economic uncertainties | 6,658 | 4,871 | 2,501 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|------------------------------|---------------------------|---------|---------|--------------|----------|----------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 29.5 | 35.5 | 35.5 | \$1,634 | \$2,177 | \$2,218 |

* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Furlough Adjustments | - | - | - | - | -84 | - |
| PLP Adjustments | - | - | - | - | -57 | - |
| Totals, Adjustments | - | - | - | \$- | -\$141 | \$- |
| TOTALS, SALARIES AND WAGES | 29.5 | 35.5 | 35.5 | \$1,634 | \$2,036 | \$2,218 |

2320 Department of Real Estate

The mission of the Department of Real Estate is to safeguard and promote the public interests in real estate matters through licensure, regulation, education, and enforcement.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Licensing and Education | 57.8 | 74.6 | 74.6 | \$8,708 | \$11,078 | \$11,679 |
| 20 Enforcement and Recovery | 176.7 | 182.8 | 184.7 | 25,498 | 26,723 | 27,341 |
| 30 Subdivisions | 47.4 | 33.8 | 33.8 | 6,123 | 6,601 | 6,892 |
| 40.10 Administration | 52.4 | 49.7 | 49.7 | 6,864 | 7,136 | 7,687 |
| 40.20 Distributed Administration | - | - | - | -6,809 | -7,043 | -7,594 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 334.3 | 340.9 | 342.8 | \$40,384 | \$44,495 | \$46,005 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0317 Real Estate Fund | | | | \$40,016 | \$44,060 | \$45,570 |
| 0995 Reimbursements | | | | 368 | 435 | 435 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$40,384 | \$44,495 | \$46,005 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code, Division 4, Parts 1 and 2.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|---|--------------|-----------------|-----------------|--------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Control Section 3.60 Adjustment | \$- | \$531 | - | \$- | \$531 | - |
| • Health Care Adjustment (Item 9800) | - | 70 | - | - | 120 | - |
| • Control Section 3.91 Contracts and Administrative Reduction | - | -2,133 | - | - | -336 | - |
| • Various Baseline Adjustments | - | - | - | - | -553 | - |
| • Control Section 3.90 Workforce Cap Reduction | - | -1,156 | -18.9 | - | -1,156 | -18.9 |
| Totals, Other Workload Budget Adjustments | \$- | -\$2,688 | -18.9 | \$- | -\$1,394 | -18.9 |
| Totals, Workload Budget Adjustments | \$- | -\$2,688 | -18.9 | \$- | -\$1,394 | -18.9 |
| Policy Adjustments | | | | | | |
| • SB 36 Mortgage Loan Originator Licensure (SAFE Act) | \$- | \$- | - | \$- | \$216 | 1.9 |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$216 | 1.9 |
| Totals, Budget Adjustments | \$- | -\$2,688 | -18.9 | \$- | -\$1,178 | -17.0 |

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

PROGRAM DESCRIPTIONS

10 - LICENSING AND EDUCATION

The objective of the Licensing Program is to ensure that individuals who wish to engage in real estate business and act in the capacity of, or advertise as, a real estate broker or salesperson within California meet specified qualifications.

20 - ENFORCEMENT AND RECOVERY

The objective of this program is to investigate the actions of any person acting in the capacity of a real estate licensee, perform financial compliance audits of licensees and subdividers, and administratively prosecute violations of the Real Estate Law and Subdivided Lands Law.

30 - SUBDIVISIONS

The Subdivisions Program protects the public against fraud and misrepresentation in the sale or lease of subdivided land through the issuance of public reports which disclose vital information to prospective purchasers of subdivision interests.

40 - ADMINISTRATION

The Administration Program provides support services to the department's programs through the Fiscal and Business Services Section, Information Technology Section, and Human Resources Section.

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|-----------------------------|-----------------------------------|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | LICENSING AND EDUCATION | | | |
| | State Operations: | | | |
| 0317 | Real Estate Fund | \$8,465 | \$10,793 | \$11,394 |
| 0995 | Reimbursements | <u>243</u> | <u>285</u> | <u>285</u> |
| | Totals, State Operations | \$8,708 | \$11,078 | \$11,679 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | ENFORCEMENT AND RECOVERY | | | |
| | State Operations: | | | |
| 0317 | Real Estate Fund | <u>\$25,498</u> | <u>\$26,723</u> | <u>\$27,341</u> |
| | Totals, State Operations | \$25,498 | \$26,723 | \$27,341 |
| PROGRAM REQUIREMENTS | | | | |
| 30 | SUBDIVISIONS | | | |
| | State Operations: | | | |
| 0317 | Real Estate Fund | <u>\$6,123</u> | <u>\$6,601</u> | <u>\$6,892</u> |
| | Totals, State Operations | \$6,123 | \$6,601 | \$6,892 |
| PROGRAM REQUIREMENTS | | | | |
| 40.10 | ADMINISTRATION | | | |
| | State Operations: | | | |
| 0317 | Real Estate Fund | \$6,739 | \$6,986 | \$7,537 |
| 0995 | Reimbursements | <u>125</u> | <u>150</u> | <u>150</u> |
| | Totals, State Operations | \$6,864 | \$7,136 | \$7,687 |
| PROGRAM REQUIREMENTS | | | | |
| 40.20 | DISTRIBUTED ADMINISTRATION | | | |
| | State Operations: | | | |
| 0317 | Real Estate Fund | <u>-\$6,809</u> | <u>-\$7,043</u> | <u>-\$7,594</u> |
| | Totals, State Operations | -\$6,809 | -\$7,043 | -\$7,594 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | <u>40,384</u> | <u>44,495</u> | <u>46,005</u> |
| | Totals, Expenditures | \$40,384 | \$44,495 | \$46,005 |

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 334.3 | 378.7 | 378.7 | \$16,886 | \$21,446 | \$21,888 |
| Total Adjustments | - | - | 2.0 | - | -1,321 | 128 |
| Estimated Salary Savings | - | -37.8 | -37.9 | - | -1,072 | -1,817 |
| Net Totals, Salaries and Wages | 334.3 | 340.9 | 342.8 | \$16,886 | \$19,053 | \$20,199 |
| Staff Benefits | - | - | - | 6,399 | 7,155 | 7,730 |
| Totals, Personal Services | 334.3 | 340.9 | 342.8 | \$23,285 | \$26,208 | \$27,929 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$13,148 | \$15,287 | \$15,076 |
| SPECIAL ITEMS OF EXPENSE | | | | \$3,951 | \$3,000 | \$3,000 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$40,384 | \$44,495 | \$46,005 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0317 Real Estate Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$43,906 | - | - |
| Adjustment per Section 3.60 | 22 | - | - |
| Reduction per Section 3.90 | -3,155 | - | - |
| Reduction per Section 15.30 | -59 | - | - |
| Adjustment per Section 3.55 | -48 | - | - |
| 001 Budget Act appropriation | - | \$46,748 | \$45,570 |
| Allocation for employee compensation | - | 70 | - |
| Adjustment per Section 3.60 | - | 531 | - |
| Reduction per Section 3.90 | - | -1,156 | - |
| Reduction per Control Section 3.91 | - | -2,133 | - |
| Totals Available | \$40,666 | \$44,060 | \$45,570 |
| Unexpended balance, estimated savings | -650 | - | - |
| TOTALS, EXPENDITURES | \$40,016 | \$44,060 | \$45,570 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$368 | \$435 | \$435 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$40,384 | \$44,495 | \$46,005 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------|----------|----------|
| 0317 Real Estate Fund^s | | | |
| BEGINNING BALANCE | \$22,159 | \$26,978 | \$31,638 |
| Prior year adjustments | 1,283 | - | - |
| Adjusted Beginning Balance | \$23,442 | \$26,978 | \$31,638 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 123400 Real Estate Examination Fees | 3,194 | 3,264 | 3,305 |

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 123500 Real Estate License Fees | 34,775 | 39,676 | 41,019 |
| 123600 Subdivision Filing Fees | 4,381 | 4,575 | 4,575 |
| 125700 Other Regulatory Licenses and Permits | 201 | 201 | 201 |
| 141200 Sales of Documents | 33 | 33 | 33 |
| 142500 Miscellaneous Services to the Public | 136 | 136 | 136 |
| 150300 Income From Surplus Money Investments | 178 | 261 | 295 |
| 161000 Escheat of Unclaimed Checks & Warrants | 9 | 9 | 9 |
| 161400 Miscellaneous Revenue | 483 | 483 | 483 |
| 164300 Penalty Assessments | 200 | 200 | 200 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$43,590</u> | <u>\$48,838</u> | <u>\$50,256</u> |
| Total Resources | \$67,032 | \$75,816 | \$81,894 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 38 | 92 | 62 |
| 2320 Department of Real Estate (State Operations) | 40,016 | 44,060 | 45,570 |
| 8880 Financial Information System for California (State Operations) | - | 26 | 200 |
| Total Expenditures and Expenditure Adjustments | <u>\$40,054</u> | <u>\$44,178</u> | <u>\$45,832</u> |
| FUND BALANCE | \$26,978 | \$31,638 | \$36,062 |
| Reserve for economic uncertainties | 26,978 | 31,638 | 36,062 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--------------------------------------|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 334.3 | 378.7 | 378.7 | \$16,886 | \$21,446 | \$21,888 |
| Furlough Adjustments | - | - | - | - | -700 | - |
| PLP Adjustments | - | - | - | - | -621 | - |
| Proposed New Positions: | Salary Range | | | | | |
| Program 20: | | | | | | |
| Legal Counselor | - | - | 1.0 | 4,678 - 7,050 | - | 48 |
| General Auditor II | - | - | 1.0 | 3,841 - 4,903 | - | 80 |
| Totals Proposed New Positions | <u>-</u> | <u>-</u> | <u>2.0</u> | <u>\$-</u> | <u>\$-</u> | <u>\$128</u> |
| Total Adjustments | <u>-</u> | <u>-</u> | <u>2.0</u> | <u>\$-</u> | <u>-\$1,321</u> | <u>\$128</u> |
| TOTALS, SALARIES AND WAGES | <u>334.3</u> | <u>378.7</u> | <u>380.7</u> | <u>\$16,886</u> | <u>\$20,125</u> | <u>\$22,016</u> |

2400 Department of Managed Health Care

The mission of the Department of Managed Health Care is to help California consumers resolve problems with their Health Maintenance Organizations (HMOs) and to ensure a better, more solvent and stable managed health care system through:

- Administration and enforcement of California's HMO patient rights laws.
- Operating the 24-hour-a-day Help Center.
- Licensing and overseeing all HMOs in the state.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 30 Health Plan Program | 226.8 | 234.4 | 248.0 | \$38,030 | \$48,563 | \$52,381 |
| 50.01 Administration | 77.7 | 86.5 | 86.5 | 7,903 | 9,863 | 10,264 |
| 50.02 Distributed Administration | - | - | - | -7,903 | -9,863 | -10,264 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | <u>304.5</u> | <u>320.9</u> | <u>334.5</u> | <u>\$38,030</u> | <u>\$48,563</u> | <u>\$52,381</u> |

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

| FUNDING | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0890 Federal Trust Fund | \$- | \$1,000 | \$- |
| 0933 Managed Care Fund | 37,720 | 46,418 | 51,202 |
| 0995 Reimbursements | 310 | 1,145 | 1,179 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$38,030 | \$48,563 | \$52,381 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 1340-1399.818 inclusive; California Code of Regulations, Title 28, Sections 1000-1300.826.

MAJOR PROGRAM CHANGES

- The Governor's Budget proposes 13 positions and \$1.8 million for the Department of Managed Health Care (DMHC) to ensure health care plans implement requirements of the federal Patient Protection and Affordable Care Act (PPACA) that take effect in 2011-12. These include requirements that health care plans abide by minimum medical loss ratios and offer dependent care coverage until age 26. Beginning in 2011-12 the PPACA also will prohibit health plans from imposing lifetime benefits limits, imposing cost-sharing for preventive services, and failing to provide coverage to dependents under age 19 due to pre-existing conditions.
- The Governor's Budget includes two positions and \$1 million for the DMHC to ensure health care plans abide by PPACA requirements governing the imposition of unreasonable rate increases. Included is \$600,000 to contract for actuarial services to aid in the review of rate increases.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|-----------------|-----------------|--------------|----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Control Section 3.60 Adjustment | \$- | \$645 | - | \$- | \$645 | - |
| • Miscellaneous Adjustment for ProRata | - | - | - | - | 352 | - |
| • Health Care Adjustment (Item 9800) | - | 101 | - | - | 173 | - |
| • Control Section 3.91 Adjustment - Contracts and Administrative Reduction | - | -2,133 | - | - | -540 | - |
| • Control Section 3.90 Adjustment - Workforce Cap Reduction | - | -1,313 | -13.8 | - | -1,313 | -13.8 |
| Totals, Other Workload Budget Adjustments | \$- | -\$2,700 | -13.8 | \$- | -\$683 | -13.8 |
| Totals, Workload Budget Adjustments | \$- | -\$2,700 | -13.8 | \$- | -\$683 | -13.8 |
| Policy Adjustments | | | | | | |
| • Health Care Reform | \$- | \$- | - | \$- | \$1,776 | 12.0 |
| • SB 1163 Premium Rate Review | - | - | - | - | 1,024 | 1.9 |
| • Federal Grant for Insurance Premium Rate Review | - | 1,000 | - | - | - | - |
| Totals, Policy Adjustments | \$- | \$1,000 | - | \$- | \$2,800 | 13.9 |
| Totals, Budget Adjustments | \$- | -\$1,700 | -13.8 | \$- | \$2,117 | 0.1 |

PROGRAM DESCRIPTIONS

30 - HEALTH PLAN PROGRAM

The Health Plan Program's objective is to assure the accessibility and availability of medically necessary health care delivered to the public with appropriate quality-of-care oversight and through financially sound managed care plans. Through focused collaboration and coordination, these programs advance sound health policy and "The Right Care at the Right Time" for all Californian enrollees. The program licenses health care service plans, conducts routine and non-routine financial and medical surveys, works to implement the federal health care reform provisions in California including health plan premium rate review, and operates a consumer services toll-free complaint line (1-888-466-2219). Within this program,

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

the Office of the Patient Advocate helps educate consumers about their rights and responsibilities.

50 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including the Executive Office, Administrative Services, and Information Technology Support.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|-----------------------------------|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 30 | HEALTH PLAN PROGRAM | | | |
| | State Operations: | | | |
| 0890 | Federal Trust Fund | \$- | \$1,000 | \$- |
| 0933 | Managed Care Fund | 37,720 | 46,418 | 51,202 |
| 0995 | Reimbursements | <u>310</u> | <u>1,145</u> | <u>1,179</u> |
| | Totals, State Operations | \$38,030 | \$48,563 | \$52,381 |
| ELEMENT REQUIREMENTS | | | | |
| 30.10 | Health Care Service Plans | \$35,912 | \$46,265 | \$50,013 |
| | State Operations: | | | |
| 0890 | Federal Trust Fund | - | 1,000 | - |
| 0933 | Managed Care Fund | 35,702 | 44,120 | 48,834 |
| 0995 | Reimbursements | 210 | 1,145 | 1,179 |
| 30.20 | Office of Patient Advocate | \$2,118 | \$2,298 | \$2,368 |
| | State Operations: | | | |
| 0933 | Managed Care Fund | 2,018 | 2,298 | 2,368 |
| 0995 | Reimbursements | 100 | - | - |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | <u>38,030</u> | <u>48,563</u> | <u>52,381</u> |
| | Totals, Expenditures | \$38,030 | \$48,563 | \$52,381 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------------------|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | | |
| | Authorized Positions (Equals Sch. 7A) | 304.5 | 354.0 | 354.0 | \$18,351 | \$24,144 | \$24,785 |
| | Total Adjustments | - | - | 15.0 | - | -1,545 | 1,204 |
| | Estimated Salary Savings | <u>-</u> | <u>-33.1</u> | <u>-34.5</u> | <u>-</u> | <u>-1,067</u> | <u>-1,149</u> |
| | Net Totals, Salaries and Wages | 304.5 | 320.9 | 334.5 | \$18,351 | \$21,532 | \$24,840 |
| | Staff Benefits | <u>-</u> | <u>-</u> | <u>-</u> | <u>6,510</u> | <u>8,268</u> | <u>9,539</u> |
| | Totals, Personal Services | 304.5 | 320.9 | 334.5 | \$24,861 | \$29,800 | \$34,379 |
| OPERATING EXPENSES AND EQUIPMENT | | | | | <u>\$13,169</u> | <u>\$18,763</u> | <u>\$18,002</u> |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | | \$38,030 | \$48,563 | \$52,381 |
| (State Operations) | | | | | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | | 2009-10* | 2010-11* | 2011-12* |
|--------------------------------|--|------------|----------------|------------|
| 0890 Federal Trust Fund | | | | |
| APPROPRIATIONS | | | | |
| Federal Funds | | <u>-</u> | <u>\$1,000</u> | <u>-</u> |
| TOTALS, EXPENDITURES | | \$- | \$1,000 | \$- |

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 0933 Managed Care Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$44,894 | \$46,673 | \$48,834 |
| Allocation for employee compensation | - | 97 | - |
| Adjustment per Section 3.60 | 61 | 609 | - |
| Reduction per Section 3.90 | -3,682 | -1,242 | - |
| Reduction per Control Section 3.91 | - | -2,017 | - |
| Adjustment per Section 3.55 | -27 | - | - |
| 002 Budget Act appropriation | 2,655 | 2,387 | 2,368 |
| Allocation for employee compensation | - | 3 | - |
| Adjustment per Section 3.60 | 2 | 21 | - |
| Reduction per Section 3.90 | -138 | -43 | - |
| Reduction per Control Section 3.91 | - | -70 | - |
| Adjustment per Section 3.55 | -3 | - | - |
| Totals Available | \$43,762 | \$46,418 | \$51,202 |
| Unexpended balance, estimated savings | -6,042 | - | - |
| TOTALS, EXPENDITURES | \$37,720 | \$46,418 | \$51,202 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$310 | \$1,145 | \$1,179 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$38,030 | \$48,563 | \$52,381 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------|----------|----------|
| 0933 Managed Care Fund [§] | | | |
| BEGINNING BALANCE | \$8,889 | \$10,235 | \$5,271 |
| Prior year adjustments | 1,414 | - | - |
| Adjusted Beginning Balance | \$10,303 | \$10,235 | \$5,271 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | 36,291 | 40,188 | 47,173 |
| 142500 Miscellaneous Services to the Public | 1 | 1 | 1 |
| 150300 Income From Surplus Money Investments | 143 | 123 | 123 |
| 161000 Escheat of Unclaimed Checks & Warrants | 1 | 1 | 1 |
| 161900 Other Revenue - Cost Recoveries | 1,246 | 1,240 | 1,240 |
| Total Revenues, Transfers, and Other Adjustments | \$37,682 | \$41,553 | \$48,538 |
| Total Resources | \$47,985 | \$51,788 | \$53,809 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 30 | 73 | 47 |
| 2400 Department of Managed Health Care (State Operations) | 37,720 | 46,418 | 51,202 |
| 8880 Financial Information System for California (State Operations) | - | 26 | 209 |
| Total Expenditures and Expenditure Adjustments | \$37,750 | \$46,517 | \$51,458 |
| FUND BALANCE | \$10,235 | \$5,271 | \$2,351 |
| Reserve for economic uncertainties | 10,235 | 5,271 | 2,351 |
| 3133 Managed Care Administrative Fines and Penalties Fund [§] | | | |
| BEGINNING BALANCE | \$1,939 | \$3,421 | \$5,200 |
| Prior year adjustments | 907 | - | - |
| Adjusted Beginning Balance | \$2,846 | \$3,421 | \$5,200 |

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|----------------|-----------------|
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 15 | 18 | 8 |
| 164300 Penalty Assessments | 3,642 | 4,600 | 1,600 |
| Transfers and Other Adjustments: | | | |
| TO0313 To Major Risk Medical Insurance Fund per Item 4280-112-3133, Budget Acts | -2,082 | -1,839 | -3,554 |
| TO8034 To Medically Underserved Account for Physicians, Health Professions Education Fund per Chapter 607, Statutes 2008 Section 12(a) | -1,000 | -1,000 | -1,000 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$575</u> | <u>\$1,779</u> | <u>-\$2,946</u> |
| Total Resources | <u>\$3,421</u> | <u>\$5,200</u> | <u>\$2,254</u> |
| FUND BALANCE | \$3,421 | \$5,200 | \$2,254 |
| Reserve for economic uncertainties | 3,421 | 5,200 | 2,254 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|---------------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 304.5 | 354.0 | 354.0 | \$18,351 | \$24,144 | \$24,785 |
| Furlough Reductions | - | - | - | - | -818 | - |
| PLP Reductions | - | - | - | - | -727 | - |
| Proposed New Positions: | | | | Salary Range | | |
| Health Plan Oversight: | | | | | | |
| Staff Counsel III-Spec (3.0 LT pos exp 6-30-13) | - | - | 3.0 | \$7,682-9,478 | - | 309 |
| Assoc Life Actuary | - | - | 2.0 | 7,037-9,320 | - | 196 |
| Staff Counsel (5.0 LT pos exp 6-30-13) | - | - | 5.0 | 5,638-6,818 | - | 374 |
| Corporation Examiner (2.0 LT pos exp 6-30-13) | - | - | 2.0 | 5,309-6,450 | - | 141 |
| Help Center: | | | | | | |
| Staff Counsel (1.0 LT pos exp 6-30-13) | - | - | 1.0 | 5,638-6,818 | - | 75 |
| Consumer Assist Tech (1.0 LT pos exp 6-30-13) | - | - | 1.0 | 2,638-3,209 | - | 35 |
| Legal Services: | | | | | | |
| Staff Counsel (1.0 LT pos exp 6-30-13) | - | - | 1.0 | 5,638-6,818 | - | 74 |
| Totals Proposed New Positions | <u>-</u> | <u>-</u> | <u>15.0</u> | <u>\$-</u> | <u>\$-</u> | <u>\$1,204</u> |
| Total Adjustments | <u>-</u> | <u>-</u> | <u>15.0</u> | <u>\$-</u> | <u>-\$1,545</u> | <u>\$1,204</u> |
| TOTALS, SALARIES AND WAGES | 304.5 | 354.0 | 369.0 | \$18,351 | \$22,599 | \$25,989 |

2600 California Transportation Commission

The California Transportation Commission advises and assists the Secretary of the Business, Transportation and Housing Agency and the Legislature in formulating and evaluating state policies, plans, and funding for California's transportation programs.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---|-----------------|-------------|-------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Administration | 15.9 | 18.1 | 18.1 | \$2,744 | \$3,731 | \$3,914 |
| 30 Clean Air and Transportation | - | - | - | 2,351 | 25,000 | 25,000 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 15.9 | 18.1 | 18.1 | \$5,095 | \$28,731 | \$28,914 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0042 State Highway Account, State Transportation Fund | | | | \$742 | \$1,056 | \$1,175 |
| 0046 Public Transportation Account, State Transportation Fund | | | | 1,282 | 1,334 | 1,371 |

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

| FUNDING | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|-----------------|-----------------|
| 0703 Clean Air and Transportation Improvement Fund | 2,351 | 25,000 | 25,000 |
| 0995 Reimbursements | 366 | 506 | 507 |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 192 | 198 | 204 |
| 6056 Trade Corridors Improvement Fund | 141 | 191 | 198 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 179 | 183 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | 10 | 52 | 53 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 91 | 94 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 10 | 9 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 11 | 33 | 34 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 81 | 86 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$5,095 | \$28,731 | \$28,914 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 13990, and Title 2, Division 3, Part 5.3 (commencing with Section 14500).

Public Utilities Code, Sections 99612 and 99613, and Division 12, Chapter 4, Article 5 (commencing with Section 130300).

Streets and Highways Code, Section 30952.1.

MAJOR PROGRAM CHANGES

- The Commission Budget includes \$400,000 to contract with a consultant to provide financial feasibility analysis of Public Private Partnership Program projects.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|---------------|-----------------|--------------|---------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Retirement | \$- | \$44 | - | \$- | \$44 | - |
| • Miscellaneous Adjustments | - | - | - | - | -28 | - |
| • Employee Comensation Adjustments | - | -149 | - | - | -37 | - |
| • Workforce Cap Adjustment | - | -89 | -1.0 | - | -89 | -1.0 |
| • One Time Cost Reduction | - | - | - | - | -300 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$194 | -1.0 | \$- | -\$410 | -1.0 |
| Totals, Workload Budget Adjustments | \$- | -\$194 | -1.0 | \$- | -\$410 | -1.0 |
| Policy Adjustments | | | | | | |
| • Public Private Partnership (P3) Consultant | \$- | \$- | - | \$- | \$400 | - |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$400 | - |
| Totals, Budget Adjustments | \$- | -\$194 | -1.0 | \$- | -\$10 | -1.0 |

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF CALIFORNIA TRANSPORTATION COMMISSION

The objective of the Administration Program is to produce and update the State Transportation Improvement Program (STIP) and the STIP Fund Estimate, and to allocate transportation revenues and bond funds for the construction of highway, passenger rail and transit improvements in California.

30 - CLEAN AIR AND TRANSPORTATION IMPROVEMENT

The objective of the Clean Air and Transportation Improvement Program is to provide grants from specified bond funds to the Department of Transportation, the Department of Parks and Recreation, and local agencies to be used for the preservation, acquisition, construction, and improvement of rail infrastructure, paratransit vehicles, bicycle facilities, waterborne ferry vessels and facilities, public transit, and the California State Museum of Railroad Technology.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|--|----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | Administration | | | |
| | State Operations: | | | |
| 0042 | State Highway Account, State Transportation Fund | \$742 | \$1,056 | \$1,175 |
| 0046 | Public Transportation Account, State Transportation Fund | 1,282 | 1,334 | 1,371 |
| 0995 | Reimbursements | 366 | 506 | 507 |
| 6055 | Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 192 | 198 | 204 |
| 6056 | Trade Corridors Improvement Fund | 141 | 191 | 198 |
| 6058 | Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 179 | 183 |
| 6059 | Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006 | 10 | 52 | 53 |
| 6060 | State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 91 | 94 |
| 6062 | Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 10 | 9 |
| 6063 | Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 11 | 33 | 34 |
| 6064 | Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 81 | 86 |
| | Totals, State Operations | \$2,744 | \$3,731 | \$3,914 |
| PROGRAM REQUIREMENTS | | | | |
| 30 | Clean Air and Transportation | | | |
| | Local Assistance: | | | |
| 0703 | Clean Air and Transportation Improvement Fund | \$2,351 | \$25,000 | \$25,000 |
| | Totals, Local Assistance | \$2,351 | \$25,000 | \$25,000 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 2,744 | 3,731 | 3,914 |

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|----------------|-----------------|-----------------|
| Local Assistance | 2,351 | 25,000 | 25,000 |
| Totals, Expenditures | \$5,095 | \$28,731 | \$28,914 |

EXPENDITURES BY CATEGORY

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|----------------|----------------|----------------|
| 1 State Operations | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 15.9 | 20.0 | 20.0 | \$1,313 | \$1,711 | \$1,725 |
| Total Adjustments | - | - | - | - | -95 | - |
| Estimated Salary Savings | - | -1.9 | -1.9 | - | -144 | -144 |
| Net Totals, Salaries and Wages | 15.9 | 18.1 | 18.1 | \$1,313 | \$1,472 | \$1,581 |
| Staff Benefits | - | - | - | 472 | 525 | 530 |
| Totals, Personal Services | 15.9 | 18.1 | 18.1 | \$1,785 | \$1,997 | \$2,111 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$959 | \$1,734 | \$1,803 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$2,744 | \$3,731 | \$3,914 |

| | Expenditures | | |
|---|----------------|-----------------|-----------------|
| 2 Local Assistance | 2009-10* | 2010-11* | 2011-12* |
| Grants and Subventions | \$2,351 | \$25,000 | \$25,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$2,351 | \$25,000 | \$25,000 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------------|----------------|----------------|
| 1 STATE OPERATIONS | | | |
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$888 | \$1,122 | \$1,175 |
| Allocation for employee compensation | - | 2 | - |
| Adjustment per Section 3.60 | 1 | 9 | - |
| Reduction per Section 3.90 | -141 | -27 | - |
| Reduction per Control Section 3.91 | - | -50 | - |
| Totals Available | \$748 | \$1,056 | \$1,175 |
| Unexpended balance, estimated savings | -6 | - | - |
| TOTALS, EXPENDITURES | \$742 | \$1,056 | \$1,175 |
| 0046 Public Transportation Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,387 | \$1,411 | \$1,371 |
| Allocation for employee compensation | - | 3 | - |
| Adjustment per Section 3.60 | 2 | 16 | - |
| Reduction per Section 3.90 | -92 | -33 | - |
| Reduction per Section 15.30 | -2 | - | - |
| Reduction per Control Section 3.91 | - | -63 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Totals Available | \$1,294 | \$1,334 | \$1,371 |
| Unexpended balance, estimated savings | -12 | - | - |
| TOTALS, EXPENDITURES | \$1,282 | \$1,334 | \$1,371 |

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|--------------|--------------|--------------|
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$366 | \$506 | \$507 |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$208 | \$208 | \$204 |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | - | 3 | - |
| Reduction per Section 3.90 | - | -5 | - |
| Reduction per Control Section 3.91 | - | -9 | - |
| Totals Available | \$208 | \$198 | \$204 |
| Unexpended balance, estimated savings | -16 | - | - |
| TOTALS, EXPENDITURES | \$192 | \$198 | \$204 |
| 6056 Trade Corridors Improvement Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$203 | \$203 | \$198 |
| Adjustment per Section 3.60 | - | 2 | - |
| Reduction per Section 3.90 | -1 | -5 | - |
| Reduction per Control Section 3.91 | - | -9 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Totals Available | \$201 | \$191 | \$198 |
| Unexpended balance, estimated savings | -60 | - | - |
| TOTALS, EXPENDITURES | \$141 | \$191 | \$198 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$186 | \$187 | \$183 |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | - | 3 | - |
| Reduction per Section 3.90 | -12 | -4 | - |
| Reduction per Control Section 3.91 | - | -8 | - |
| Totals Available | \$174 | \$179 | \$183 |
| Unexpended balance, estimated savings | -174 | - | - |
| TOTALS, EXPENDITURES | \$- | \$179 | \$183 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$54 | \$54 | \$53 |
| Adjustment per Section 3.60 | - | 1 | - |
| Reduction per Section 3.90 | -4 | -1 | - |
| Reduction per Control Section 3.91 | - | -2 | - |
| Totals Available | \$50 | \$52 | \$53 |
| Unexpended balance, estimated savings | -40 | - | - |
| TOTALS, EXPENDITURES | \$10 | \$52 | \$53 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$96 | \$96 | \$94 |
| Adjustment per Section 3.60 | - | 1 | - |

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|----------------|-----------------|-----------------|
| Reduction per Section 3.90 | -7 | -2 | - |
| Reduction per Control Section 3.91 | - | -4 | - |
| Totals Available | \$89 | \$91 | \$94 |
| Unexpended balance, estimated savings | -89 | - | - |
| TOTALS, EXPENDITURES | \$- | \$91 | \$94 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$10 | \$10 | \$9 |
| Reduction per Section 3.90 | -1 | - | - |
| Totals Available | \$9 | \$10 | \$9 |
| Unexpended balance, estimated savings | -9 | - | - |
| TOTALS, EXPENDITURES | \$- | \$10 | \$9 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$35 | \$35 | \$34 |
| Adjustment per Section 3.60 | - | 1 | - |
| Reduction per Section 3.90 | -2 | -1 | - |
| Reduction per Control Section 3.91 | - | -2 | - |
| Totals Available | \$33 | \$33 | \$34 |
| Unexpended balance, estimated savings | -22 | - | - |
| TOTALS, EXPENDITURES | \$11 | \$33 | \$34 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$87 | \$87 | \$86 |
| Reduction per Section 3.90 | -6 | -2 | - |
| Reduction per Control Section 3.91 | - | -4 | - |
| Totals Available | \$81 | \$81 | \$86 |
| Unexpended balance, estimated savings | -81 | - | - |
| TOTALS, EXPENDITURES | \$- | \$81 | \$86 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,744 | \$3,731 | \$3,914 |
| | | | |
| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
| 0703 Clean Air and Transportation Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Public Utilities Code Sec 99612 | \$2,351 | \$25,000 | \$25,000 |
| TOTALS, EXPENDITURES | \$2,351 | \$25,000 | \$25,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$2,351 | \$25,000 | \$25,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$5,095 | \$28,731 | \$28,914 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|-------------------------------------|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 15.9 | 20.0 | 20.0 | \$1,313 | \$1,711 | \$1,725 |
| Furlough Adjustments | - | - | - | - | -50 | - |
| PLP Adjustments | - | - | - | - | -45 | - |
| Total Adjustments | - | -1.9 | -1.9 | \$- | -\$95 | \$- |
| TOTAL SALARIES AND WAGES | 15.9 | 18.1 | 18.1 | \$1,313 | \$1,616 | \$1,725 |

* Dollars in thousands, except in Salary Range.

2640 State Transit Assistance

The State Transit Assistance budget provides funding for allocation to local transit agencies to fund a portion of the operations and capital costs associated with local mass transportation programs.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---|-----------------|----------|----------|------------------|------------------|------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Administration of Transit Programs | - | - | - | \$463,923 | \$100,000 | \$829,587 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | - | - | - | \$463,923 | \$100,000 | \$829,587 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0046 Public Transportation Account, State Transportation Fund | | | | \$400,000 | \$- | \$329,587 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | | | | 63,923 | 100,000 | 500,000 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$463,923 | \$100,000 | \$829,587 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Utilities Code Sections 99312; Revenue and Taxation Code 7102; Government Code Section 29530.

MAJOR PROGRAM CHANGES

- Proposition 1B expenditures are based on recent and projected bond sales.
- The Budget proposes to use non-Article XIX revenues in the State Highway Account to offset General Fund debt service costs. The \$23 million in additional funding that was appropriated to State Transit Assistance in the tax swap from non-Article XIX revenues will instead be funded from the balance in the Public Transportation Account. \$12 million in 2012-13 will be funded from an adjustment in the diesel sales and excise tax rates.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|---------------------|-----------------|--------------|-------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Miscellaneous Adjustments | \$- | \$450,346 | - | \$- | \$329,587 | - |
| • Carryover/Reappropriation | - | -1,850,346 | - | - | -1,000,000 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$1,400,000 | - | \$- | -\$670,413 | - |
| Totals, Workload Budget Adjustments | \$- | -\$1,400,000 | - | \$- | -\$670,413 | - |
| Totals, Budget Adjustments | \$- | -\$1,400,000 | - | \$- | -\$670,413 | - |

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF TRANSIT PROGRAMS

The Administration Program provides funds to local agencies for the operation and construction of public mass transit systems and for street and road projects in rural areas.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|---|--|-----------|----------|-----------|
| PROGRAM REQUIREMENTS | | | | |
| 10 Administration of Transit Programs | | | | |
| Local Assistance: | | | | |
| 0046 Public Transportation Account, State Transportation Fund | | \$400,000 | \$- | \$329,587 |

* Dollars in thousands, except in Salary Range.

2640 State Transit Assistance - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | 63,923 | 100,000 | 500,000 |
| Totals, Local Assistance | \$463,923 | \$100,000 | \$829,587 |
| ELEMENT REQUIREMENTS | | | |
| 10.10 Administration | \$463,923 | \$100,000 | \$829,587 |
| Local Assistance: | | | |
| 0046 Public Transportation Account, State Transportation Fund | 400,000 | - | 329,587 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | 63,923 | 100,000 | 500,000 |
| TOTALS, EXPENDITURES | | | |
| Local Assistance | 463,923 | 100,000 | 829,587 |
| Totals, Expenditures | \$463,923 | \$100,000 | \$829,587 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|--------------------|--------------------|
| 0046 Public Transportation Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| Public Utilities Code Section 99312 | - | - | \$306,587 |
| Pending Legislation | - | - | 23,000 |
| Chapter 12, Statutes of 2009, Eight Extraordinary Session | \$400,000 | - | - |
| TOTALS, EXPENDITURES | \$400,000 | \$- | \$329,587 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | \$350,000 | \$1,500,000 | - |
| Prior year balances available: | | | |
| Item 2640-104-6059, Budget Act of 2007 | 34,692 | 15,332 | \$1 |
| Item 2640-104-6059, Budget Act of 2008 | 129,577 | 85,096 | 426 |
| Item 2640-104-6059, Budget Act of 2009 | - | 349,918 | 349,918 |
| Item 2640-104-6059, Budget Act of 2010 | - | - | 1,500,000 |
| Totals Available | \$514,269 | \$1,950,346 | \$1,850,345 |
| Balance available in subsequent years | -450,346 | -1,850,346 | -1,350,345 |
| TOTALS, EXPENDITURES | \$63,923 | \$100,000 | \$500,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$463,923 | \$100,000 | \$829,587 |

2660 Department of Transportation

The mission of the California Department of Transportation (Caltrans) is to improve mobility across California through safety, mobility, delivery, stewardship, and service.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---------------------------|-----------------|----------|----------|--------------|------------|------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Aeronautics | 22.7 | 24.9 | 24.9 | \$2,442 | \$7,496 | \$7,015 |
| 20 Highway Transportation | 15,671.5 | 17,413.2 | 17,205.1 | 10,750,161 | 12,158,068 | 11,593,784 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | Personnel Years | | | Expenditures | | |
|---|-----------------|-----------------|-----------------|---------------------|---------------------|---------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 20.10 Capital Outlay Support | 8,370.3 | 9,307.3 | 9,119.6 | 1,457,325 | 1,781,091 | 1,825,801 |
| 20.20 Capital Outlay Projects | - | - | - | 5,277,630 | 6,230,670 | 5,689,026 |
| 20.30 Local Assistance | 286.6 | 344.9 | 323.0 | 2,445,695 | 2,356,139 | 2,116,714 |
| 20.40 Program Development | 213.7 | 241.9 | 241.0 | 62,250 | 75,493 | 82,019 |
| 20.65 Legal | 251.5 | 280.2 | 280.2 | 109,778 | 120,886 | 122,226 |
| 20.70 Operations | 1,247.1 | 1,417.6 | 1,417.6 | 175,772 | 219,472 | 244,557 |
| 20.80 Maintenance | 5,302.3 | 5,821.3 | 5,823.7 | 1,221,711 | 1,374,317 | 1,513,441 |
| 30 Mass Transportation | 131.2 | 175.8 | 171.4 | 255,742 | 805,143 | 1,024,214 |
| 40 Transportation Planning | 526.9 | 617.7 | 634.8 | 152,314 | 169,883 | 183,142 |
| 50.10 Administration Program Costs | 1,456.9 | 1,689.5 | 1,636.4 | 96,908 | 446,713 | 484,298 |
| 50.20 Distributed Administration Program Costs | - | - | - | 109,464 | -446,713 | -484,298 |
| 50.60 Business, Information and Technical Services | - | - | - | 184,831 | - | - |
| 60.10 Equipment Service Program Costs | 596.4 | 704.3 | 704.3 | 153,619 | 227,542 | 255,445 |
| 60.20 Distributed Equipment Service Program Costs | - | - | - | -153,619 | -227,542 | -255,445 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 18,405.6 | 20,625.4 | 20,376.9 | \$11,551,862 | \$13,140,590 | \$12,808,155 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0001 General Fund | | | | \$1,520,037 | \$83,416 | \$83,416 |
| 0041 Aeronautics Account, State Transportation Fund | | | | 4,245 | 7,369 | 7,589 |
| 0042 State Highway Account, State Transportation Fund | | | | 2,849,323 | 3,342,518 | 3,572,846 |
| 0045 Bicycle Transportation Account, State Transportation Fund | | | | 7,220 | 7,210 | 7,210 |
| 0046 Public Transportation Account, State Transportation Fund | | | | 156,229 | 373,786 | 210,378 |
| 0052 Local Airport Loan Account | | | | -2,507 | -950 | -1,051 |
| 0183 Environmental Enhancement and Mitigation Program Fund | | | | 10,000 | 10,000 | 10,000 |
| 0365 Historic Property Maintenance Fund | | | | 1,619 | 1,641 | 1,640 |
| 0653 Seismic Retrofit Bond Fund of 1996 | | | | 3,034 | 9,679 | 8,045 |
| 0890 Federal Trust Fund | | | | 4,414,529 | 4,684,396 | 4,284,061 |
| 0942 Special Deposit Fund | | | | 8,626 | 11,074 | - |
| 0995 Reimbursements | | | | 1,656,632 | 1,323,321 | 1,124,625 |
| 3007 Traffic Congestion Relief Fund | | | | 162,009 | 93,295 | 107,164 |
| 3008 Transportation Investment Fund | | | | -722,083 | - | - |
| 3093 Transportation Deferred Investment Fund | | | | -74,027 | -83,416 | -83,416 |
| 6043 High - Speed Passenger Train Bond Fund | | | | - | 100,990 | 27,904 |
| 6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | | 2,819 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | | 1,031,208 | 1,110,802 | 1,287,329 |
| 6056 Trade Corridors Improvement Fund | | | | 82,435 | 490,065 | 938,728 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | | 250,203 | 503,217 | 293,009 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006 | | | | -760 | 63,708 | 122,660 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | | 68,891 | 263,563 | 315,855 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | | 11,377 | 9,986 | 23,653 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | | | | 25,383 | 187,450 | 22,123 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | | 68,181 | 141,220 | 117,797 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| FUNDING | 2009-10* | 2010-11* | 2011-12* |
|---|---------------------|---------------------|---------------------|
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 17,050 | 406,249 | 326,589 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 189 | 1 | 1 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$11,551,862 | \$13,140,590 | \$12,808,155 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Streets and Highways Code Section 90 et seq., Government Code Section 14000 et seq.

PROGRAM AUTHORITY

10-Aeronautics:

Public Utilities Code Section 21001-21707.

20-Highway Transportation:

Government Code Section 14000 et seq., Streets and Highways Code Section 100.1.

30-Mass Transportation:

Government Code Section 14000 et seq.

40-Transportation Planning:

Government Code Sections 14000, 14000.5, 14520.3(b), 14526(b), 14527(g), 14529, 65070 - 65073, and 65080.1- 65086.5, 65400, 65583, 65584.01, 65584.04, 65584.04, 65587, 65588 and 29532 et seq.

Public Resources Code Chapter 4.2 Division 13 Section 21155 and Section 75123

Health and Safety Code Division 25.5 Section 38500

Streets and Highways Code 164.6;

Federal Highway Act 23 U.S.C. 104(f), 106, 134, 135, 505; CFR 450.314

60-Equipment:

Streets and Highways Code Section 140.

MAJOR PROGRAM CHANGES

- The Budget proposes the use of \$262.4 million in weight fee revenues in 2010-11 and \$700 million in 2011-12 to reimburse the General Fund for debt service payments made on certain general obligation highway and transit bonds. The Budget proposes the use of \$77.5 million in revenues that are not restricted by the constitution, such as revenue from the rental of state property, in 2011-12 to reimburse the General Fund for debt service payments made on Proposition 116 transit bonds.
- The Budget proposes a loan of \$494 million in weight fee revenues to the General Fund in 2010-11 and \$166.3 million in 2011-12.
- The Budget proposes \$2.3 billion for capital funding of Proposition 1B bond funded projects including \$631.2 million for corridor mobility, \$972.3 million for trade corridors, \$117 million for public transit modernization, \$200 million for state-local partnership projects, \$22 million for local bridge seismic safety, and \$391.9 million for State Route 99.
- The Budget proposes an increase of \$62.1 million from the State Highway Account to replace 508 vehicles to comply with various federal and state air quality mandates.
- The Budget proposes a decrease of \$3 million and 35 positions in 2011-12 as a result of the workload reduction and efficiencies from implementing the Enterprise Resource Planning Financial Infrastructure program.
- The Budget proposes an increase of \$2.4 million and 18 positions to complete Project Initiation Documents for state and locally-funded projects on the state highway system. This includes a decrease of \$4.9 million in State Highway Account resources and an increase of \$7.2 million in reimbursements from locals to complete Project Initiation Documents on locally-funded projects.

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

- The Budget proposes a redirection of \$1.4 million in 2011-12 from local federal subvention funds and 9 positions for six-years limited-term to complete federally-mandated load ratings on local bridges.
- The Budget proposes an increase of \$274,000 and 3 limited-term positions in 2011-12 for three years to administer the Job Access Reverse Commute and New Freedom federal grant programs and process applications for new projects.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|------------------|-----------------|--------------|--------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Air Quality Mandates | \$- | \$- | - | \$- | \$63,272 | - |
| • Eureka District 1 Office Infrastructure Repairs Project - Construction | - | - | - | - | 8,716 | - |
| • Planning PID Program Workload - Zero Base Justification | - | - | - | - | 2,408 | 17.1 |
| • Fuel Cost Increase | - | - | - | - | 1,663 | - |
| • Job Access and Reverse Commute/New Freedom (JARC/NF) | - | - | - | - | 274 | 2.9 |
| • Load Rating of Local Bridges | - | - | - | - | - | 8.6 |
| • Proposition 1A - Commuter and Urban Rail | - | - | - | - | - | - |
| • PRSM Liquidation Period Extension | - | - | - | - | - | - |
| • E-FIS Reduction | - | - | - | - | -3,025 | -33.3 |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$- | \$73,308 | -4.7 |
| Other Workload Budget Adjustments | | | | | | |
| • Miscellaneous Baseline Adjustments | \$- | \$72,726 | - | \$- | \$108,653 | - |
| • Retirement Rate Adjustment | - | 43,358 | - | - | 43,358 | - |
| • Proposition 1B Redistribution of Audit Costs | - | - | - | - | - | - |
| • Lease Revenue Debt Service Adjustments | - | 2 | - | - | -4 | - |
| • Abolished Vacant Positions | - | -1,254 | - | - | -1,254 | - |
| • Employee Compensation Adjustments | - | -172,796 | - | - | -5,833 | - |
| • One-time Cost Reductions | - | - | - | - | -13,532 | - |
| • Workforce Cap Adjustment | - | -40,971 | -307.0 | - | -40,971 | -307.0 |
| • Carryover Adjustments | - | -110,464 | - | - | -129,285 | - |
| • Capital Outlay and Local Assistance Expenditure Adjustments | - | -480,614 | - | - | 4,389,986 | - |
| • Prop 1B Expenditure Adjustments | - | -271,859 | - | - | 1,669,467 | - |
| • Capital Outlay and Local Assistance Carryover Adjustments | - | 432,499 | - | - | -1,004,534 | - |
| • Prop 1B Carryover Adjustments | - | 1,033,229 | - | - | 1,009,985 | - |
| Totals, Other Workload Budget Adjustments | \$- | \$503,856 | -307.0 | \$- | \$6,026,036 | -307.0 |
| Totals, Workload Budget Adjustments | \$- | \$503,856 | -307.0 | \$- | \$6,099,344 | -311.7 |
| Totals, Budget Adjustments | \$- | \$503,856 | -307.0 | \$- | \$6,099,344 | -311.7 |

PROGRAM DESCRIPTIONS

10 - AERONAUTICS

The Division of Aeronautics supports California's aviation activities by promoting safe and effective use of existing airports and heliports. This program ensures that airports and heliports comply with safety regulations, provides engineering and financial assistance for safety and infrastructure improvements, maintains the California Aviation System Plan to reflect changes in the aviation network, provides guidance for land use compatibility in areas around airports, administers airport noise standards regulations, enhances goods movement to and from airports through improved ground access, and promotes and maintains aviation safety.

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

20 - HIGHWAY TRANSPORTATION

The Highway Transportation Program operates, maintains, and continues development of California's state highways. Development and delivery of capital projects make up the largest portion of these efforts. The program also meets its objectives through: (1) coordination and control required by federal and state law for implementing transportation projects, (2) furnishing assistance to city and county transportation programs, and (3) management of traffic through a system of monitoring, analysis, and control. In addition, this program strives to improve highway travel, safety, and the environment through testing, research, and technology development.

30 - MASS TRANSPORTATION

The objective of the Mass Transportation Program is to support the state's transportation system by providing leadership in the implementation of safe, effective public transportation, improved air quality, and environmental protection. The program achieves its objective through: (1) the administration of intercity rail service in California, including capital projects and rail car management, (2) management of state and federal capital and operations grant programs, and (3) planning, support, and coordination of mass transportation services, and (4) administering the Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA) of the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 (Bond Act). Additionally, the Mass Transportation Program serves to: (1) improve intercity bus passenger service through enhanced services and facilities, (2) improve public transportation needs for all persons, including the elderly, the disabled, and the economically-disadvantaged, (3) improve urban/commuter rail services, and (4) enhance mobility options in congested corridors.

40 - TRANSPORTATION PLANNING

The Transportation Planning Program implements statewide transportation policy through coordination at the local and regional levels and develops transportation plans and projects. The Department prepares the long-range state transportation plan required by state and federal laws and provides long-range transportation system planning and transportation planning studies as input to the regional transportation plans, the State Transportation Improvement Program (STIP), and departmental policies and programs such as Goods Movement, Climate Action, and Regional Blueprint Planning. The Department also prepares the Interregional Transportation Strategic Plan, which guides investment of the Interregional Improvement Program funds in the STIP.

50 - ADMINISTRATION

The Administration Program provides the functions required to support the programmatic responsibilities of the department. Major activities include accounting, budgeting, auditing, office facility operations and management, information technology, and a wide range of administrative services including human resources, procurement and contracting, training, workforce planning, and labor relations.

60 - EQUIPMENT

The Equipment Program provides mobile fleet equipment and services to other departmental programs through: (1) purchasing new vehicles, (2) receiving, servicing, and equipping new units, (3) assembling equipment components into completed units, (4) managing the fleet, (5) repairing and maintaining the fleet, including payments for fuel and insurance, and (6) disposing of used vehicles.

DETAILED EXPENDITURES BY PROGRAM

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | |
| 10 Aeronautics | | | |
| State Operations: | | | |
| 0041 Aeronautics Account, State Transportation Fund | \$2,609 | \$3,369 | \$3,589 |
| 0890 Federal Trust Fund | 784 | 1,036 | 436 |
| 0995 Reimbursements | 41 | 41 | 41 |
| Totals, State Operations | \$3,434 | \$4,446 | \$4,066 |
| Local Assistance: | | | |
| 0041 Aeronautics Account, State Transportation Fund | 1,515 | 4,000 | 4,000 |
| 0052 Local Airport Loan Account | -2,507 | -950 | -1,051 |
| Totals, Local Assistance | -\$992 | \$3,050 | \$2,949 |
| ELEMENT REQUIREMENTS | | | |
| 10.10 Safety and Local Assistance | \$2,295 | \$7,250 | \$6,769 |
| State Operations | | | |
| 0041 Aeronautics Account, State Transportation Fund | 2,462 | 3,123 | 3,343 |
| 0890 Federal Trust Fund | 784 | 1,036 | 436 |
| 0995 Reimbursements | 41 | 41 | 41 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| Local Assistance | | | |
| 0041 Aeronautics Account, State Transportation Fund | 1,515 | 4,000 | 4,000 |
| 0052 Local Airport Loan Account | -2,507 | -950 | -1,051 |
| 10.65 Legal | \$147 | \$246 | \$246 |
| State Operations | | | |
| 0041 Aeronautics Account, State Transportation Fund | 147 | 246 | 246 |
| PROGRAM REQUIREMENTS | | | |
| 20 Highway Transportation | | | |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | \$1,946,020 | \$2,498,023 | \$2,727,320 |
| 0045 Bicycle Transportation Account, State Transportation Fund | 10 | 10 | 10 |
| 0046 Public Transportation Account, State Transportation Fund | 360 | 153 | 153 |
| 0365 Historic Property Maintenance Fund | 1,616 | 1,641 | 1,640 |
| 0653 Seismic Retrofit Bond Fund of 1996 | 1,623 | 5,679 | 7,045 |
| 0890 Federal Trust Fund | 526,763 | 667,367 | 643,379 |
| 0995 Reimbursements | 268,726 | 314,916 | 323,533 |
| 3007 Traffic Congestion Relief Fund | 15,643 | 16,183 | 15,740 |
| 3008 Transportation Investment Fund | 199,608 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 26,306 | 40,722 | 42,203 |
| 6056 Trade Corridors Improvement Fund | 261 | 2,834 | 2,965 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 54,955 | 55,299 | 58,335 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006 | - | 24 | 19 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 197 | 682 | 727 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 61 | 1,752 | 1,653 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | - | 24 | 24 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 16,462 | 17,087 | 17,536 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 3,069 | 13,673 | 14,466 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 188 | - | - |
| Totals, State Operations | \$3,061,868 | \$3,636,069 | \$3,856,748 |
| Local Assistance: | | | |
| 0042 State Highway Account, State Transportation Fund | \$126,659 | \$266,958 | \$190,118 |
| 0045 Bicycle Transportation Account, State Transportation Fund | 7,200 | 7,200 | 7,200 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---|--------------------|--------------------|--------------------|
| 0183 Environmental Enhancement and Mitigation Program Fund | 10,000 | 10,000 | 10,000 |
| 0890 Federal Trust Fund | 1,985,986 | 1,315,473 | 1,270,177 |
| 3007 Traffic Congestion Relief Fund | 20,801 | - | - |
| 3008 Transportation Investment Fund | 66,166 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 2,370 | 28,835 | 28,835 |
| 6056 Trade Corridors Improvement Fund | 51,266 | 135,173 | 213,414 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 7,075 | 79,148 | 34,674 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 61,397 | 177,424 | 191,671 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 11,280 | 8,234 | 22,000 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 24,913 | 186,886 | 21,750 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 35,550 | 90,251 | 71,767 |
| Totals, Local Assistance | \$2,410,663 | \$2,305,582 | \$2,061,606 |
| Capital Outlay: | | | |
| 0042 State Highway Account, State Transportation Fund | \$374,863 | \$520,837 | \$595,974 |
| 0653 Seismic Retrofit Bond Fund of 1996 | 1,411 | 4,000 | 1,000 |
| 0890 Federal Trust Fund | 1,725,118 | 2,471,861 | 2,064,897 |
| 0942 Special Deposit Fund | 8,626 | 11,074 | - |
| 0995 Reimbursements | 1,357,300 | 993,202 | 786,080 |
| 3007 Traffic Congestion Relief Fund | 96,090 | 36,835 | 51,139 |
| 3008 Transportation Investment Fund | 448,764 | - | - |
| 3093 Transportation Deferred Investment Fund | 9,389 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 1,001,581 | 1,041,245 | 1,216,291 |
| 6056 Trade Corridors Improvement Fund | 30,449 | 297,134 | 380,431 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 187,290 | 368,770 | 200,000 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 7,214 | 40,000 | 34,000 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 15,913 | 33,882 | 28,494 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 13,621 | 392,576 | 312,123 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 1 | 1 | 1 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| Totals, Capital Outlay | \$5,277,630 | \$6,211,417 | \$5,670,430 |
| Unclassified: | | | |
| 0001 General Fund | \$1,520,037 | \$83,416 | \$83,416 |
| 0890 Federal Trust Fund | - | 5,000 | 5,000 |
| 3008 Transportation Investment Fund | -1,436,621 | - | - |
| 3093 Transportation Deferred Investment Fund | -83,416 | -83,416 | -83,416 |
| Totals, Unclassified | \$- | \$5,000 | \$5,000 |
| ELEMENT REQUIREMENTS | | | |
| 20.10 Capital Outlay Support | \$1,457,324 | \$1,781,091 | \$1,825,801 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 520,484 | 852,214 | 908,995 |
| 0365 Historic Property Maintenance Fund | 1,616 | 1,641 | 1,640 |
| 0653 Seismic Retrofit Bond Fund of 1996 | 1,623 | 5,560 | 6,926 |
| 0890 Federal Trust Fund | 386,658 | 506,766 | 481,925 |
| 0995 Reimbursements | 234,396 | 270,187 | 276,250 |
| 3007 Traffic Congestion Relief Fund | 15,643 | 16,183 | 15,740 |
| 3008 Transportation Investment Fund | 197,479 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 25,882 | 40,536 | 42,006 |
| 6056 Trade Corridors Improvement Fund | - | 2,551 | 2,663 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 54,276 | 55,158 | 58,166 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 16,101 | 16,682 | 17,089 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 2,979 | 13,613 | 14,401 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 188 | - | - |
| 20.20 Capital Outlay Projects | \$5,277,630 | \$6,230,670 | \$5,689,026 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | - | 3,900 | 3,900 |
| 0890 Federal Trust Fund | - | 14,694 | 14,695 |
| 0995 Reimbursements | - | 1 | 1 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 658 | - |
| Capital Outlay: | | | |
| 0042 State Highway Account, State Transportation Fund | 374,863 | 520,837 | 595,974 |
| 0653 Seismic Retrofit Bond Fund of 1996 | 1,411 | 4,000 | 1,000 |
| 0890 Federal Trust Fund | 1,725,118 | 2,471,861 | 2,064,897 |
| 0942 Special Deposit Fund | 8,626 | 11,074 | - |
| 0995 Reimbursements | 1,357,300 | 993,202 | 786,080 |
| 3007 Traffic Congestion Relief Fund | 96,090 | 36,835 | 51,139 |
| 3008 Transportation Investment Fund | 448,764 | - | - |
| 3093 Transportation Deferred Investment Fund | 9,389 | - | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 1,001,581 | 1,041,245 | 1,216,291 |
| 6056 Trade Corridors Improvement Fund | 30,449 | 297,134 | 380,431 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 187,290 | 368,770 | 200,000 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 7,214 | 40,000 | 34,000 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 15,913 | 33,882 | 28,494 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 13,621 | 392,576 | 312,123 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 1 | 1 | 1 |
| 20.30 Local Assistance | \$2,445,695 | \$2,356,139 | \$2,116,714 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 32,973 | 41,916 | 45,758 |
| 0045 Bicycle Transportation Account, State Transportation Fund | 10 | 10 | 10 |
| 0890 Federal Trust Fund | 175 | 206 | 206 |
| 0995 Reimbursements | 521 | 1,108 | 1,162 |
| 6056 Trade Corridors Improvement Fund | 261 | 135 | 144 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 543 | 96 | 113 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 197 | - | 701 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 61 | 1,728 | 1,627 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 291 | 358 | 387 |
| Local Assistance: | | | |
| 0042 State Highway Account, State Transportation Fund | 126,659 | 266,958 | 190,118 |
| 0045 Bicycle Transportation Account, State Transportation Fund | 7,200 | 7,200 | 7,200 |
| 0183 Environmental Enhancement and Mitigation Program Fund | 10,000 | 10,000 | 10,000 |
| 0890 Federal Trust Fund | 1,985,986 | 1,315,473 | 1,270,177 |
| 3007 Traffic Congestion Relief Fund | 20,801 | - | - |
| 3008 Transportation Investment Fund | 66,166 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 2,370 | 28,835 | 28,835 |
| 6056 Trade Corridors Improvement Fund | 51,266 | 135,173 | 213,414 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 7,075 | 79,148 | 34,674 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 61,397 | 177,424 | 191,671 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 11,280 | 8,234 | 22,000 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 24,913 | 186,886 | 21,750 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 35,550 | 90,251 | 71,767 |
| Unclassified: | | | |
| 0001 General Fund | 1,520,037 | 83,416 | 83,416 |
| 0890 Federal Trust Fund | - | 5,000 | 5,000 |
| 3008 Transportation Investment Fund | -1,436,621 | - | - |
| 3093 Transportation Deferred Investment Fund | -83,416 | -83,416 | -83,416 |
| 20.40 Program Development | \$62,250 | \$75,493 | \$82,019 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 33,064 | 38,058 | 43,606 |
| 0046 Public Transportation Account, State Transportation Fund | 360 | 1 | 1 |
| 0890 Federal Trust Fund | 26,072 | 35,992 | 36,921 |
| 0995 Reimbursements | 2,034 | 860 | 860 |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 424 | 186 | 197 |
| 6056 Trade Corridors Improvement Fund | - | 148 | 158 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 136 | 45 | 56 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006 | - | 24 | 19 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 24 | 26 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 24 | 26 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | - | 24 | 24 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 70 | 47 | 60 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 90 | 60 | 65 |
| 20.65 Legal | \$109,778 | \$120,886 | \$122,226 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 107,649 | 117,987 | 119,327 |
| 0653 Seismic Retrofit Bond Fund of 1996 | - | 119 | 119 |
| 0890 Federal Trust Fund | - | 2,297 | 2,297 |
| 0995 Reimbursements | - | 483 | 483 |
| 3008 Transportation Investment Fund | 2,129 | - | - |
| 20.70 Operations | \$175,772 | \$219,472 | \$244,557 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 152,704 | 189,139 | 212,466 |
| 0046 Public Transportation Account, State Transportation Fund | - | 152 | 152 |
| 0890 Federal Trust Fund | 2,429 | 2,059 | 2,059 |
| 0995 Reimbursements | 20,639 | 28,122 | 29,880 |
| 20.80 Maintenance | \$1,221,711 | \$1,374,317 | \$1,513,441 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 1,099,146 | 1,254,809 | 1,393,268 |
| 0890 Federal Trust Fund | 111,429 | 105,353 | 105,276 |
| 0995 Reimbursements | 11,136 | 14,155 | 14,897 |
| PROGRAM REQUIREMENTS | | | |
| 30 Mass Transportation | | | |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | \$392 | \$3,815 | \$2,236 |
| 0046 Public Transportation Account, State Transportation Fund | 129,045 | 138,513 | 142,125 |
| 0890 Federal Trust Fund | 2,422 | 3,528 | 3,518 |
| 0995 Reimbursements | 572 | 894 | 921 |
| 3007 Traffic Congestion Relief Fund | 249 | 277 | 285 |
| 6043 High - Speed Passenger Train Bond Fund | - | - | 94 |
| 6056 Trade Corridors Improvement Fund | 93 | 48 | 63 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | 788 | 1,184 | 1,142 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 360 | 540 | 349 |
| Totals, State Operations | \$133,921 | \$148,799 | \$150,733 |
| Local Assistance: | | | |
| 0046 Public Transportation Account, State Transportation Fund | 8,025 | 184,501 | 30,930 |
| 0890 Federal Trust Fund | 81,424 | 53,043 | 49,487 |
| 3007 Traffic Congestion Relief Fund | 40,000 | 40,000 | 40,000 |
| 6043 High - Speed Passenger Train Bond Fund | - | 38,500 | 7,000 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 45,457 | 89,457 |
| Totals, Local Assistance | \$129,449 | \$361,501 | \$216,874 |
| Capital Outlay: | | | |
| 0046 Public Transportation Account, State Transportation Fund | - | 30,712 | 15,000 |
| 0890 Federal Trust Fund | 5,000 | 74,626 | 152,806 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| 0995 Reimbursements | - | 10,000 | 5,000 |
| 3007 Traffic Congestion Relief Fund | -10,774 | - | - |
| 6043 High - Speed Passenger Train Bond Fund | - | 62,490 | 20,810 |
| 6056 Trade Corridors Improvement Fund | - | 54,515 | 341,492 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, HighwaySafety, TrafficReduction, Air Quality, & Port Security Fd of 2006 | -1,854 | 62,500 | 121,499 |
| Totals, Capital Outlay | -\$7,628 | \$294,843 | \$656,607 |
| ELEMENT REQUIREMENTS | | | |
| 30.10 State and Federal Mass Transit | \$138,536 | \$374,599 | \$230,580 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | - | 64 | 80 |
| 0046 Public Transportation Account, State Transportation Fund | 5,431 | 8,273 | 8,803 |
| 0890 Federal Trust Fund | 2,422 | 3,019 | 3,009 |
| 0995 Reimbursements | 572 | 660 | 687 |
| 3007 Traffic Congestion Relief Fund | 249 | 277 | 285 |
| 6043 High - Speed Passenger Train Bond Fund | - | - | 94 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, HighwaySafety, TrafficReduction, Air Quality, & Port Security Fd of 2006 | 413 | 805 | 748 |
| Local Assistance: | | | |
| 0046 Public Transportation Account, State Transportation Fund | 8,025 | 184,501 | 30,930 |
| 0890 Federal Trust Fund | 81,424 | 53,043 | 49,487 |
| 3007 Traffic Congestion Relief Fund | 40,000 | 40,000 | 40,000 |
| 6043 High - Speed Passenger Train Bond Fund | - | 38,500 | 7,000 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 45,457 | 89,457 |
| 30.20 Intercity Rail Passenger Program | \$117,132 | \$430,447 | \$793,537 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 392 | 3,751 | 2,156 |
| 0046 Public Transportation Account, State Transportation Fund | 123,540 | 130,143 | 133,225 |
| 0890 Federal Trust Fund | - | 509 | 509 |
| 0995 Reimbursements | - | 234 | 234 |
| 6056 Trade Corridors Improvement Fund | 93 | 48 | 63 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, HighwaySafety, TrafficReduction, Air Quality, & Port Security Fd of 2006 | 375 | 379 | 394 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 360 | 540 | 349 |
| Capital Outlay: | | | |
| 0046 Public Transportation Account, State Transportation Fund | - | 30,712 | 15,000 |
| 0890 Federal Trust Fund | 5,000 | 74,626 | 152,806 |
| 0995 Reimbursements | - | 10,000 | 5,000 |
| 3007 Traffic Congestion Relief Fund | -10,774 | - | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|------------------|
| 6043 High - Speed Passenger Train Bond Fund | - | 62,490 | 20,810 |
| 6056 Trade Corridors Improvement Fund | - | 54,515 | 341,492 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | -1,854 | 62,500 | 121,499 |
| 30.65 Legal | \$74 | \$97 | \$97 |
| State Operations: | | | |
| 0046 Public Transportation Account, State Transportation Fund | 74 | 97 | 97 |
| PROGRAM REQUIREMENTS | | | |
| 40 Transportation Planning | | | |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | \$28,451 | \$40,885 | \$45,198 |
| 0046 Public Transportation Account, State Transportation Fund | 15,614 | 19,907 | 22,170 |
| 0890 Federal Trust Fund | 24,117 | 28,462 | 31,361 |
| 0995 Reimbursements | 9,749 | 4,268 | 9,050 |
| 6056 Trade Corridors Improvement Fund | 127 | 361 | 363 |
| Totals, State Operations | \$78,058 | \$93,883 | \$108,142 |
| Local Assistance: | | | |
| 0042 State Highway Account, State Transportation Fund | \$11,662 | \$12,000 | \$12,000 |
| 0890 Federal Trust Fund | 62,594 | 64,000 | 63,000 |
| Totals, Local Assistance | \$74,256 | \$76,000 | \$75,000 |
| ELEMENT REQUIREMENTS | | | |
| 40.10 Statewide Planning | \$74,448 | \$87,449 | \$101,708 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 28,451 | 40,885 | 45,198 |
| 0046 Public Transportation Account, State Transportation Fund | 14,724 | 15,123 | 17,386 |
| 0890 Federal Trust Fund | 21,397 | 26,812 | 29,711 |
| 0995 Reimbursements | 9,749 | 4,268 | 9,050 |
| 6056 Trade Corridors Improvement Fund | 127 | 361 | 363 |
| 40.20 Regional Planning | \$77,866 | \$82,434 | \$81,434 |
| State Operations: | | | |
| 0046 Public Transportation Account, State Transportation Fund | 890 | 4,784 | 4,784 |
| 0890 Federal Trust Fund | 2,720 | 1,650 | 1,650 |
| Local Assistance: | | | |
| 0042 State Highway Account, State Transportation Fund | 11,662 | 12,000 | 12,000 |
| 0890 Federal Trust Fund | 62,594 | 64,000 | 63,000 |
| 50 Administration | | | |
| State Operations: | | | |
| 0041 Aeronautics Account, State Transportation Fund | \$121 | \$- | \$- |
| 0042 State Highway Account, State Transportation Fund | 361,276 | - | - |
| 0045 Bicycle Transportation Account, State Transportation Fund | 10 | - | - |
| 0046 Public Transportation Account, State Transportation Fund | 3,185 | - | - |
| 0365 Historic Property Maintenance Fund | 3 | - | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| 0890 Federal Trust Fund | 321 | - | - |
| 0995 Reimbursements | 20,244 | - | - |
| 6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 2,819 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 951 | - | - |
| 6056 Trade Corridors Improvement Fund | 239 | - | - |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 883 | - | - |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006 | 306 | - | - |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 83 | - | - |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 36 | - | - |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 110 | - | - |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 256 | - | - |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 360 | - | - |
| Totals, State Operations | \$391,203 | \$- | \$- |
| ELEMENT REQUIREMENTS | | | |
| 50.10 General Administration / Administration Program Costs | \$96,908 | \$446,713 | \$484,298 |
| State Operations: | | | |
| 0041 Aeronautics Account, State Transportation Fund | - | 427 | 524 |
| 0042 State Highway Account, State Transportation Fund | 69,915 | 406,597 | 444,719 |
| 0046 Public Transportation Account, State Transportation Fund | 414 | 6,703 | 6,538 |
| 0365 Historic Property Maintenance Fund | - | 12 | 11 |
| 0890 Federal Trust Fund | 321 | 15,075 | 15,077 |
| 0995 Reimbursements | 20,215 | 12,377 | 12,377 |
| 3007 Traffic Congestion Relief Fund | - | 238 | - |
| 6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 2,819 | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 951 | 1,413 | 1,561 |
| 6056 Trade Corridors Improvement Fund | 239 | 513 | 464 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 883 | 834 | 1,038 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---|------------------|-------------------|-------------------|
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | 306 | 226 | 269 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 83 | 109 | 109 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 36 | 658 | 475 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | 110 | 197 | 50 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 256 | 995 | 778 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 360 | 339 | 308 |
| 50.20 Central Administration / Distributed Administration Program Costs | \$109,464 | -\$446,713 | -\$484,298 |
| State Operations: | | | |
| 0041 Aeronautics Account, State Transportation Fund | 121 | -427 | -524 |
| 0042 State Highway Account, State Transportation Fund | 107,661 | -406,597 | -444,719 |
| 0045 Bicycle Transportation Account, State Transportation Fund | 10 | - | - |
| 0046 Public Transportation Account, State Transportation Fund | 1,669 | -6,703 | -6,538 |
| 0365 Historic Property Maintenance Fund | 3 | -12 | -11 |
| 0890 Federal Trust Fund | - | -15,075 | -15,077 |
| 0995 Reimbursements | - | -12,377 | -12,377 |
| 3007 Traffic Congestion Relief Fund | - | -238 | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | -1,413 | -1,561 |
| 6056 Trade Corridors Improvement Fund | - | -513 | -464 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | -834 | -1,038 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | - | -226 | -269 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | -109 | -109 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | -658 | -475 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | - | -197 | -50 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | -995 | -778 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------|------------|
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | -339 | -308 |
| 50.60 Business, Information and Technical Services | \$184,831 | \$- | \$- |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 183,700 | - | - |
| 0046 Public Transportation Account, State Transportation Fund | 1,102 | - | - |
| 0995 Reimbursements | 29 | - | - |
| ELEMENT REQUIREMENTS | | | |
| 50.10 Administration Program Costs | 96,908 | 446,713 | 484,298 |
| 0041-Aeronautics Account, State Transportation Fund | (-) | (427) | (524) |
| 0042-State Highway Account, State Transportation Fund | (-) | (406,597) | (444,719) |
| 0046-Public Transportation Account, State Transportation Fund | (-) | (6,703) | (6,538) |
| 0365-Historic Property Maintenance Fund | (-) | (12) | (11) |
| 0890-Federal Trust Fund | (-) | (15,075) | (15,077) |
| 0995-Reimbursements | (-) | (12,377) | (12,377) |
| 3007-Traffic Congestion Relief Fund | (-) | (238) | (-) |
| 6053-Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | (-) | (-) | (-) |
| 6055-Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | (-) | (1,413) | (1,561) |
| 6056-Trade Corridors Improvement Fund | (-) | (513) | (464) |
| 6058-Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | (-) | (834) | (1,038) |
| 6059-Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006 | (-) | (226) | (269) |
| 6060-State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | (-) | (109) | (109) |
| 6062-Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | (-) | (658) | (475) |
| 6063-Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | (-) | (197) | (50) |
| 6064-Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | (-) | (995) | (778) |
| 6072-State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | (-) | (339) | (308) |
| 50.20 Distributed Administration Program Costs | 109,464 | (446,713) | (484,298) |
| 10-Aeronautics | (-) | (428) | (525) |
| 20.10-Capital Outlay Support | (-) | (-234,662) | (-197,134) |
| 20.20-Capital Outlay Projects | (-) | (-18,595) | (-19,196) |
| 20.30-Local Assistance | (-) | (-7,893) | (-10,339) |
| 20.40-Program Development | (-) | (-5,324) | (-7,388) |
| 20.65-Legal | (-) | (-3,911) | (-5,492) |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|---------------------|---------------------|---------------------|
| 20.70-Operations | (-) | (-29,867) | (-41,967) |
| 20.80-Maintenance | (-) | (-118,699) | (-171,192) |
| 30-Mass Transportation | (-) | (-9,671) | (-6,439) |
| 40-Transportation Planning | (-) | (-17,663) | (-24,626) |
| 60 Equipment Program | | | |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | \$- | \$- | \$- |
| Totals, State Operations | \$- | \$- | \$- |
| ELEMENT REQUIREMENTS | | | |
| 60.10 Equipment Service Program Costs | \$153,619 | \$227,542 | \$255,445 |
| 0042-State Highway Account, State Transportation Fund | (153,619) | (-) | (255,095) |
| 0995-Reimbursements | (-) | (-) | (350) |
| 60.20 Distributed Equipment Service Program Costs | -153,619 | -227,542 | -255,445 |
| 10-Aeronautics | (-2) | (-4) | (-4) |
| 20.10-Capital Outlay Support | (-26,313) | (-35,574) | (-38,940) |
| 20.30-Local Assistance | (-171) | (-246) | (-265) |
| 20.40-Program Development | (-250) | (-382) | (-425) |
| 20.65-Legal | (-146) | (-193) | (-210) |
| 20.70-Operations | (-2,763) | (-3,839) | (-4,235) |
| 20.80-Maintenance | (-123,163) | (-187,032) | (-211,066) |
| 30-Mass Transportation | (-32) | (-76) | (-88) |
| 40-Transportation Planning | (-139) | (-196) | (-212) |
| 50-Administration | (-640) | (-) | (-) |
| TOTALS, EXPENDITURES | | | |
| State Operations | 3,668,484 | 3,883,197 | 4,119,689 |
| Local Assistance | 2,613,376 | 2,746,133 | 2,356,429 |
| Capital Expenditure | 5,270,002 | 6,506,260 | 6,327,037 |
| Unclassified | - | 5,000 | 5,000 |
| Totals, Expenditures | \$11,551,862 | \$13,140,590 | \$12,808,155 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-----------------|-----------------|--------------------|--------------------|--------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 18,405.6 | 21,640.8 | 21,584.9 | \$1,422,623 | \$1,649,936 | \$1,659,793 |
| Total Adjustments | - | - | -193.0 | - | -103,375 | -20,531 |
| Estimated Salary Savings | - | -1,015.4 | -1,015.0 | - | -92,572 | -92,986 |
| Net Totals, Salaries and Wages | 18,405.6 | 20,625.4 | 20,376.9 | \$1,422,623 | \$1,453,989 | \$1,546,276 |
| Staff Benefits | - | - | - | 515,369 | 452,855 | 476,095 |
| Totals, Personal Services | 18,405.6 | 20,625.4 | 20,376.9 | \$1,937,992 | \$1,906,844 | \$2,022,371 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$1,730,492 | \$1,976,353 | \$2,097,318 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$3,668,484 | \$3,883,197 | \$4,119,689 |

2 Local Assistance

| 2 Local Assistance | Expenditures | | |
|------------------------|--------------|-------------|-------------|
| | 2009-10* | 2010-11* | 2011-12* |
| Grants and Subventions | \$2,613,376 | \$2,746,133 | \$2,356,429 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 Local Assistance

| | Expenditures | | |
|---|--------------------|--------------------|--------------------|
| | 2009-10* | 2010-11* | 2011-12* |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$2,613,376 | \$2,746,133 | \$2,356,429 |

3 Capital Outlay

| | Expenditures | | |
|---|--------------------|--------------------|--------------------|
| | 2009-10* | 2010-11* | 2011-12* |
| Summary of Office Building Projects | | | |
| Eureka Office Building Project | \$3,695 | \$687 | \$8,716 |
| Totals, Office Building Capital Outlay Projects | \$3,695 | \$687 | \$8,716 |
| Transportation Capital Outlay Projects | \$5,266,307 | \$6,505,573 | \$6,318,321 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | \$5,270,002 | \$6,506,260 | \$6,327,037 |

4 Unclassified

| | Expenditures | | |
|---|--------------|----------------|----------------|
| | 2009-10* | 2010-11* | 2011-12* |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$- | \$5,000 | \$5,000 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|----------------|----------------|----------------|
| 0041 Aeronautics Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,549 | \$3,577 | \$3,589 |
| Adjustment per Section 3.60 | 7 | 49 | - |
| Reduction per Section 3.90 | -325 | -104 | - |
| Reduction per Control Section 3.91 | - | -153 | - |
| Adjustment per Section 3.55 | -6 | - | - |
| 011 Budget Act appropriation (Transfer to Public Transportation Account, State Transportation Fund) | (30) | (30) | (30) |
| Prior year balances available: | | | |
| Item 2660-002-0041, Budget Act of 2008 | 1,560 | 1,560 | 1,560 |
| Totals Available | \$4,785 | \$4,929 | \$5,149 |
| Unexpended balance, estimated savings | -495 | - | - |
| Balance available in subsequent years | -1,560 | -1,560 | -1,560 |
| TOTALS, EXPENDITURES | \$2,730 | \$3,369 | \$3,589 |
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$2,517,598 | - | - |
| Adjustment per Section 3.60 | 2,509 | - | - |
| Reduction per Section 3.90 | -132,645 | - | - |
| Adjustment per Section 4.30 | 2,653 | - | - |
| Reduction per Section 15.30 | -3 | - | - |
| Transfer to Legislative Claims (9670) | -2 | - | - |
| Adjustment per Section 3.55 | -2,835 | - | - |
| Revised expenditure authority per Provision 12 | 125 | - | - |
| 001 Budget Act appropriation | - | \$2,599,061 | \$2,660,556 |
| Allocation for employee compensation | - | 5,877 | - |
| Adjustment per Section 3.60 | - | 26,533 | - |
| Reduction per Section 3.90 | - | -36,063 | - |
| Adjustment per Section 4.30 | - | 509 | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| Transfer to Legislative Claims (9670) | - | -15 | - |
| Reduction per Control Section 3.91 | - | -152,907 | - |
| 002 Budget Act appropriation | 600 | 600 | 600 |
| 005 Budget Act appropriation | 15,966 | 14,732 | 14,728 |
| Adjustment per Section 4.30 | 10 | 2 | - |
| 007 Budget Act appropriation | 95,934 | 95,936 | 95,570 |
| Adjustment per Section 3.60 | 2 | 33 | - |
| Reduction per Section 3.90 | - | -46 | - |
| Reduction per Control Section 3.91 | - | -1,375 | - |
| Adjustment per Section 3.55 | -58 | - | - |
| 011 Budget Act appropriation (Loan to the General Fund) as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | (135,000) | - | - |
| 011 Budget Act appropriation (Loan to the General Fund) | - | (80,000) | - |
| 012 Budget Act appropriation (Deficiencies) | (40,000) | (40,000) | (40,000) |
| 015 Budget Act appropriation | - | 100,000 | - |
| Item 2660-015-0042, Budget Act of 2010 | - | - | 96,700 |
| 021 Budget Act appropriation (Transfer to Public Transportation Account, State Transportation Fund) | (24,459) | (25,046) | (25,046) |
| 022 Budget Act appropriation (Transfer to Environmental Enhancement and Mitigation Program Fund) | (10,000) | (10,000) | (10,000) |
| Totals Available | \$2,499,854 | \$2,652,877 | \$2,868,154 |
| Unexpended balance, estimated savings | -163,715 | -13,454 | - |
| Balance available in subsequent years | - | -96,700 | -93,400 |
| TOTALS, EXPENDITURES | \$2,336,139 | \$2,542,723 | \$2,774,754 |
| 0045 Bicycle Transportation Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$20 | \$10 | \$10 |
| TOTALS, EXPENDITURES | \$20 | \$10 | \$10 |
| 0046 Public Transportation Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$160,945 | \$161,624 | \$164,448 |
| Allocation for employee compensation | - | 145 | - |
| Adjustment per Section 3.60 | 93 | 353 | - |
| Reduction per Section 3.90 | -5,830 | -329 | - |
| Reduction per Control Section 3.91 | - | -2,945 | - |
| Adjustment per Section 3.55 | -46 | - | - |
| 011 Budget Act appropriation (Loan to the General Fund) | - | (29,081) | - |
| Totals Available | \$155,162 | \$158,848 | \$164,448 |
| Unexpended balance, estimated savings | -6,958 | -275 | - |
| TOTALS, EXPENDITURES | \$148,204 | \$158,573 | \$164,448 |
| 0062 Highway Users Tax Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation (Loan to the General Fund) | - | (\$761,639) | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0365 Historic Property Maintenance Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,632 | \$1,641 | \$1,640 |
| Totals Available | \$1,632 | \$1,641 | \$1,640 |
| Unexpended balance, estimated savings | -13 | - | - |
| TOTALS, EXPENDITURES | \$1,619 | \$1,641 | \$1,640 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| 0653 Seismic Retrofit Bond Fund of 1996 | | | |
| APPROPRIATIONS | | | |
| Government Code Section 8879.3 | \$1,476 | \$5,679 | \$7,045 |
| Government Code Section 16312 (Interest on PMIA Loan) | 147 | - | - |
| TOTALS, EXPENDITURES | \$1,623 | \$5,679 | \$7,045 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$495,617 | - | - |
| Adjustment per Section 3.60 | 575 | - | - |
| Reduction per Section 3.90 | -49,841 | - | - |
| Adjustment per Section 3.55 | -768 | - | - |
| Budget Adjustment | -16,412 | - | - |
| 001 Budget Act appropriation | - | \$592,483 | \$591,100 |
| Allocation for employee compensation | - | 1,250 | - |
| Adjustment per Section 3.60 | - | 3,119 | - |
| Reduction per Section 3.90 | - | -921 | - |
| Reduction per Control Section 3.91 | - | -9,153 | - |
| Budget Adjustment | - | 600 | - |
| 002 Budget Act appropriation (GARVEE) as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | 675,000 | - | - |
| 002 Budget Act appropriation (GARVEE) | - | 1 | 1 |
| 015 Budget Act appropriation | - | 100,000 | - |
| Item 2660-015-0890, Budget Act of 2010 | - | - | 96,700 |
| Federal Funds | 26,221 | - | - |
| Adjustment per Section 3.60 | 401 | - | - |
| Reduction per Section 3.90 | -2,298 | - | - |
| Budget Adjustment | -24,324 | - | - |
| Prior year balances available: | | | |
| Item 2660-002-0890, Budget Act of 2004 (GARVEE) | 427,514 | 354,615 | 281,716 |
| Item 2660-002-0890, Budget Act of 2008 | 176,022 | 122,327 | 110,933 |
| Budget Adjustment | -53,695 | - | - |
| Item 2660-002-0890, Budget Act of 2010 | - | - | 1 |
| Streets and Highways Code Sec. 2423(a) | 77,759 | 25,422 | - |
| Totals Available | \$1,731,771 | \$1,189,743 | \$1,080,451 |
| Unexpended balance, estimated savings | -675,000 | - | - |
| Balance available in subsequent years | -502,364 | -489,350 | -401,757 |
| TOTALS, EXPENDITURES | \$554,407 | \$700,393 | \$678,694 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$299,332 | \$320,119 | \$333,545 |
| 3007 Traffic Congestion Relief Fund | | | |
| APPROPRIATIONS | | | |
| 002 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$16,393 | - | - |
| Reduction per Section 3.90 | -32 | - | - |
| Adjustment per Section 3.55 | -1 | - | - |
| 002 Budget Act appropriation | - | \$16,325 | \$16,025 |
| Allocation for employee compensation | - | 33 | - |
| Adjustment per Section 3.60 | - | 117 | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|-----------------|-----------------|
| Reduction per Control Section 3.91 | - | -15 | - |
| Totals Available | \$16,360 | \$16,460 | \$16,025 |
| Unexpended balance, estimated savings | -468 | - | - |
| TOTALS, EXPENDITURES | \$15,892 | \$16,460 | \$16,025 |
| 3008 Transportation Investment Fund | | | |
| APPROPRIATIONS | | | |
| 002 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$289,277 | - | - |
| Adjustment per Section 3.60 | 616 | - | - |
| Reduction per Section 3.90 | -58,916 | - | - |
| Adjustment per Section 3.55 | -528 | - | - |
| Totals Available | \$230,449 | \$- | \$- |
| Unexpended balance, estimated savings | -30,841 | - | - |
| TOTALS, EXPENDITURES | \$199,608 | \$- | \$- |
| 6043 High - Speed Passenger Train Bond Fund | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation | - | - | \$94 |
| TOTALS, EXPENDITURES | \$- | \$- | \$94 |
| 6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| Government Code Section 8879.31 (Interest on PMIA Loan) | \$2,819 | - | - |
| TOTALS, EXPENDITURES | \$2,819 | \$- | \$- |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$40,642 | - | - |
| Adjustment per Section 3.60 | 152 | - | - |
| Reduction per Section 3.90 | -10,273 | - | - |
| Adjustment per Section 3.55 | -142 | - | - |
| 004 Budget Act appropriation | - | \$42,108 | \$42,203 |
| Allocation for employee compensation | - | 86 | - |
| Adjustment per Section 3.60 | - | 646 | - |
| Reduction per Section 3.90 | - | -70 | - |
| Reduction per Control Section 3.91 | - | -2,048 | - |
| Totals Available | \$30,379 | \$40,722 | \$42,203 |
| Unexpended balance, estimated savings | -3,122 | - | - |
| TOTALS, EXPENDITURES | \$27,257 | \$40,722 | \$42,203 |
| 6056 Trade Corridors Improvement Fund | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$3,487 | - | - |
| Reduction per Section 3.90 | -191 | - | - |
| Adjustment per Section 3.55 | -5 | - | - |
| 004 Budget Act appropriation | - | \$3,450 | \$3,391 |
| Allocation for employee compensation | - | 5 | - |
| Adjustment per Section 3.60 | - | 82 | - |
| Reduction per Section 3.90 | - | -62 | - |
| Reduction per Control Section 3.91 | - | -232 | - |
| Totals Available | \$3,291 | \$3,243 | \$3,391 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| Unexpended balance, estimated savings | -2,571 | - | - |
| TOTALS, EXPENDITURES | \$720 | \$3,243 | \$3,391 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$57,427 | - | - |
| Adjustment per Section 3.60 | 23 | - | - |
| Reduction per Section 3.90 | -207 | - | - |
| Adjustment per Section 3.55 | -58 | - | - |
| 004 Budget Act appropriation | - | \$54,378 | \$58,335 |
| Allocation for employee compensation | - | 116 | - |
| Adjustment per Section 3.60 | - | 4,734 | - |
| Reduction per Section 3.90 | - | -19 | - |
| Reduction per Control Section 3.91 | - | -3,910 | - |
| Totals Available | \$57,185 | \$55,299 | \$58,335 |
| Unexpended balance, estimated savings | -1,347 | - | - |
| TOTALS, EXPENDITURES | \$55,838 | \$55,299 | \$58,335 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation | \$1,312 | \$1,403 | \$1,161 |
| Allocation for employee compensation | - | 1 | - |
| Adjustment per Section 3.60 | 2 | - | - |
| Reduction per Section 3.90 | -84 | -156 | - |
| Reduction per Control Section 3.91 | - | -40 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Totals Available | \$1,229 | \$1,208 | \$1,161 |
| Unexpended balance, estimated savings | -135 | - | - |
| TOTALS, EXPENDITURES | \$1,094 | \$1,208 | \$1,161 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation | \$496 | \$753 | \$727 |
| Allocation for employee compensation | - | 2 | - |
| Adjustment per Section 3.60 | - | 6 | - |
| Reduction per Section 3.90 | -60 | -33 | - |
| Reduction per Control Section 3.91 | - | -46 | - |
| Adjustment per Section 3.55 | -7 | - | - |
| Totals Available | \$429 | \$682 | \$727 |
| Unexpended balance, estimated savings | -149 | - | - |
| TOTALS, EXPENDITURES | \$280 | \$682 | \$727 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation | \$232 | \$1,868 | \$1,653 |
| Allocation for employee compensation | - | 4 | - |
| Adjustment per Section 3.60 | - | 19 | - |
| Reduction per Section 3.90 | -2 | -54 | - |
| Reduction per Control Section 3.91 | - | -85 | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 1 STATE OPERATIONS | | | |
| Totals Available | \$230 | \$1,752 | \$1,653 |
| Unexpended balance, estimated savings | -133 | - | - |
| TOTALS, EXPENDITURES | \$97 | \$1,752 | \$1,653 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation | \$636 | \$576 | \$373 |
| Reduction per Section 3.90 | -8 | -10 | - |
| Reduction per Control Section 3.91 | - | -2 | - |
| Adjustment per Section 3.55 | -2 | - | - |
| Totals Available | \$626 | \$564 | \$373 |
| Unexpended balance, estimated savings | -156 | - | - |
| TOTALS, EXPENDITURES | \$470 | \$564 | \$373 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$18,175 | - | - |
| Reduction per Section 3.90 | -106 | - | - |
| Adjustment per Section 3.55 | -70 | - | - |
| 004 Budget Act appropriation | - | \$17,055 | \$17,536 |
| Allocation for employee compensation | - | 38 | - |
| Adjustment per Section 3.60 | - | 936 | - |
| Reduction per Section 3.90 | - | -25 | - |
| Reduction per Control Section 3.91 | - | -917 | - |
| Totals Available | \$17,999 | \$17,087 | \$17,536 |
| Unexpended balance, estimated savings | -1,281 | - | - |
| TOTALS, EXPENDITURES | \$16,718 | \$17,087 | \$17,536 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$6,246 | - | - |
| Reduction per Section 3.90 | -50 | - | - |
| Adjustment per Section 3.55 | -1 | - | - |
| 004 Budget Act appropriation | - | \$14,434 | \$14,466 |
| Allocation for employee compensation | - | 38 | - |
| Adjustment per Section 3.60 | - | 140 | - |
| Reduction per Section 3.90 | - | -22 | - |
| Reduction per Control Section 3.91 | - | -917 | - |
| Totals Available | \$6,195 | \$13,673 | \$14,466 |
| Unexpended balance, estimated savings | -2,766 | - | - |
| TOTALS, EXPENDITURES | \$3,429 | \$13,673 | \$14,466 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$594 | - | - |
| Totals Available | \$594 | \$- | \$- |
| Unexpended balance, estimated savings | -406 | - | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 1 STATE OPERATIONS | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---|--------------------|--------------------|--------------------|
| TOTALS, EXPENDITURES | \$188 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$3,668,484 | \$3,883,197 | \$4,119,689 |
| | | | |
| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
| 0041 Aeronautics Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| Public Utilities Code Section 21680 | <u>\$1,515</u> | <u>\$4,000</u> | <u>\$4,000</u> |
| TOTALS, EXPENDITURES | \$1,515 | \$4,000 | \$4,000 |
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$10,000 | \$92,892 | \$36,289 |
| 102 Budget Act appropriation | 140,314 | 140,313 | 140,313 |
| Streets and Highways Code Section 188.6 (b)(4)(A) | - | 53,000 | 50,000 |
| Prior year balances available: | | | |
| Item 2660-101-0042, Budget Act of 2004 | 36,181 | - | - |
| Item 2660-101-0042, Budget Act of 2005 | 22,957 | 22,090 | - |
| Item 2660-101-0042, Budget Act of 2006 | 67,039 | 67,042 | 67,041 |
| Item 2660-101-0042, Budget Act of 2007 | 29,884 | 29,882 | 29,881 |
| Item 2660-101-0042, Budget Act of 2008 | 23,963 | 23,963 | 23,963 |
| Item 2660-101-0042, Budget Act of 2009 | - | 10,000 | - |
| Item 2660-102-0042, Budget Act of 2004 | 7,567 | - | - |
| Item 2660-102-0042, Budget Act of 2005 | 3,856 | 4,606 | - |
| Item 2660-102-0042, Budget Act of 2006 | 256 | 309 | 309 |
| Item 2660-102-0042, Budget Act of 2007 | 14,450 | 6,789 | 6,789 |
| Item 2660-102-0042, Budget Act of 2008 | 57,527 | 19,682 | 6,495 |
| Item 2660-102-0042, Budget Act of 2009 | - | 45,259 | 15,387 |
| Item 2660-102-0042, Budget Act of 2010 | <u>-</u> | <u>-</u> | <u>60,308</u> |
| Totals Available | \$413,994 | \$515,827 | \$436,775 |
| Unexpended balance, estimated savings | -46,051 | -26,696 | -67,350 |
| Balance available in subsequent years | <u>-229,622</u> | <u>-210,173</u> | <u>-167,307</u> |
| TOTALS, EXPENDITURES | \$138,321 | \$278,958 | \$202,118 |
| 0045 Bicycle Transportation Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | <u>\$7,200</u> | <u>\$7,200</u> | <u>\$7,200</u> |
| TOTALS, EXPENDITURES | \$7,200 | \$7,200 | \$7,200 |
| 0046 Public Transportation Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$113,033 | \$305,827 | \$161,484 |
| 105 Budget Act appropriation | 3,026 | 3,056 | 3,087 |
| Prior year balances available: | | | |
| Item 2660-101-0046, Budget Act of 2007 | 165,806 | - | - |
| Item 2660-101-0046, Budget Act of 2009 | - | 108,033 | - |
| Item 2660-101-0046, Budget Act of 2010 | <u>-</u> | <u>-</u> | <u>232,415</u> |
| Totals Available | \$281,865 | \$416,916 | \$396,986 |
| Unexpended balance, estimated savings | -165,807 | - | - |
| Balance available in subsequent years | <u>-108,033</u> | <u>-232,415</u> | <u>-366,056</u> |
| TOTALS, EXPENDITURES | \$8,025 | \$184,501 | \$30,930 |
| 0052 Local Airport Loan Account | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| Public Utilities Code Section 21602 | - | \$1,450 | \$1,450 |
| TOTALS, EXPENDITURES | \$- | \$1,450 | \$1,450 |
| Loan repayments from local agencies | -\$2,507 | -2,400 | -2,501 |
| NET TOTALS, EXPENDITURES | -\$2,507 | -\$950 | -\$1,051 |
| 0183 Environmental Enhancement and Mitigation Program Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$10,000 | \$10,000 | \$10,000 |
| TOTALS, EXPENDITURES | \$10,000 | \$10,000 | \$10,000 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$70,737 | \$66,828 | \$122,105 |
| 102 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | 1,462,711 | - | - |
| Budget Adjustment | -2,506 | - | - |
| 102 Budget Act appropriation | - | 1,461,105 | 1,567,297 |
| Budget Adjustment | - | 44,100 | - |
| Streets and Highways Code Section 2422(a) | 3,573 | - | - |
| Prior year balances available: | | | |
| Item 2660-101-0890, Budget Act of 2007 | 16,270 | - | - |
| Budget Adjustment | -14,423 | - | - |
| Item 2660-101-0890, Budget Act of 2008 | 62,189 | 49,372 | 49,371 |
| Item 2660-101-0890, Budget Act of 2009 | - | 67,357 | 56,029 |
| Item 2660-101-0890, Budget Act of 2010 | - | - | 43,057 |
| Item 2660-102-0890, Budget Act of 2007 | 76,249 | - | - |
| Budget Adjustment | -41,598 | - | - |
| Item 2660-102-0890, Budget Act of 2008 | 976,381 | 130,532 | 120,104 |
| Item 2660-102-0890, Budget Act of 2009 | - | 969,362 | 213,129 |
| Item 2660-102-0890, Budget Act of 2010 | - | - | 982,912 |
| Streets and Highways Code Sec. 2422(a) | 845,506 | 108,462 | - |
| Totals Available | \$3,455,089 | \$2,897,118 | \$3,154,004 |
| Balance available in subsequent years | -1,325,085 | -1,464,602 | -1,771,340 |
| TOTALS, EXPENDITURES | \$2,130,004 | \$1,432,516 | \$1,382,664 |
| 3007 Traffic Congestion Relief Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 14556.5 | \$60,801 | \$40,000 | \$40,000 |
| TOTALS, EXPENDITURES | \$60,801 | \$40,000 | \$40,000 |
| 3008 Transportation Investment Fund | | | |
| APPROPRIATIONS | | | |
| Revenue and Taxation Code Sections 7104 and 7107 | \$66,166 | - | - |
| TOTALS, EXPENDITURES | \$66,166 | \$- | \$- |
| 6043 High - Speed Passenger Train Bond Fund | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | - | \$38,500 | \$7,000 |
| TOTALS, EXPENDITURES | \$- | \$38,500 | \$7,000 |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | \$17,324 | \$40,346 | \$1 |
| Prior year balances available: | | | |
| Item 2660-104-6055, Budget Act of 2007 | 1 | 1 | 1 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| Item 2660-104-6055, Budget Act of 2008 | 20,000 | - | - |
| Item 2660-104-6055, Budget Act of 2009 | - | 17,324 | 8,662 |
| Item 2660-104-6055, Budget Act of 2010 | - | - | <u>20,173</u> |
| Totals Available | \$37,325 | \$57,671 | \$28,837 |
| Unexpended balance, estimated savings | -17,630 | - | -1 |
| Balance available in subsequent years | <u>-17,325</u> | <u>-28,836</u> | <u>-1</u> |
| TOTALS, EXPENDITURES | \$2,370 | \$28,835 | \$28,835 |
| 6056 Trade Corridors Improvement Fund | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | \$89,000 | \$181,346 | \$156,483 |
| Prior year balances available: | | | |
| Item 2660-104-6056, Budget Act of 2008 | 254,860 | - | - |
| Item 2660-104-6056, Budget Act of 2009 | - | 89,000 | 44,500 |
| Item 2660-104-6056, Budget Act of 2010 | - | - | <u>90,673</u> |
| Totals Available | \$343,860 | \$270,346 | \$291,656 |
| Unexpended balance, estimated savings | -203,594 | - | - |
| Balance available in subsequent years | <u>-89,000</u> | <u>-135,173</u> | <u>-78,242</u> |
| TOTALS, EXPENDITURES | \$51,266 | \$135,173 | \$213,414 |
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | - | \$69,349 | - |
| Prior year balances available: | | | |
| Item 2660-104-6058, Budget Act of 2007 | \$3,918 | 918 | \$917 |
| Item 2660-104-6058, Budget Act of 2008 | 60,568 | 44,472 | - |
| Item 2660-104-6058, Budget Act of 2010 | - | - | <u>34,674</u> |
| Totals Available | \$64,486 | \$114,739 | \$35,591 |
| Unexpended balance, estimated savings | -12,021 | - | -749 |
| Balance available in subsequent years | <u>-45,390</u> | <u>-35,591</u> | <u>-168</u> |
| TOTALS, EXPENDITURES | \$7,075 | \$79,148 | \$34,674 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | \$1 | \$1 | - |
| Prior year balances available: | | | |
| Item 2660-104-6059, Budget Act of 2007 | 1 | 1 | \$1 |
| Item 2660-104-6059, Budget Act of 2008 | 1 | 1 | 1 |
| Item 2660-104-6059, Budget Act of 2009 | - | 1 | 1 |
| Item 2660-104-6059, Budget Act of 2010 | - | - | <u>1</u> |
| Totals Available | \$3 | \$4 | \$4 |
| Unexpended balance, estimated savings | - | - | -3 |
| Balance available in subsequent years | <u>-3</u> | <u>-4</u> | <u>-1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | \$199,999 | \$240,246 | \$132,000 |
| Transfer to Item 2660-304-6060 per Provision 2 | -7,214 | - | - |
| Prior year balances available: | | | |
| Item 2660-104-6060, Budget Act of 2008 | 154,745 | 7,754 | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| 2 LOCAL ASSISTANCE | | | |
| Item 2660-104-6060, Budget Act of 2009 | - | 190,009 | 95,005 |
| Item 2660-104-6060, Budget Act of 2010 | - | - | 120,123 |
| Totals Available | \$347,530 | \$438,009 | \$347,128 |
| Unexpended balance, estimated savings | -88,370 | - | - |
| Balance available in subsequent years | -197,763 | -215,128 | -66,000 |
| TOTALS, EXPENDITURES | \$61,397 | \$222,881 | \$281,128 |
| 6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | \$31,000 | \$5,610 | \$22,000 |
| Prior year balances available: | | | |
| Item 2660-104-6062, Budget Act of 2007 | 774 | 639 | 173 |
| Item 2660-104-6062, Budget Act of 2008 | 17,680 | 16,829 | 16,577 |
| Item 2660-104-6062, Budget Act of 2009 | - | 20,706 | 18,800 |
| Totals Available | \$49,454 | \$43,784 | \$57,550 |
| Unexpended balance, estimated savings | - | - | -35,550 |
| Balance available in subsequent years | -38,174 | -35,550 | - |
| TOTALS, EXPENDITURES | \$11,280 | \$8,234 | \$22,000 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | - | \$216,424 | - |
| Prior year balances available: | | | |
| Item 2660-104-6063, Budget Act of 2007 | \$185,499 | 88,518 | - |
| Item 2660-104-6063, Budget Act of 2008 | 55,299 | 33,118 | - |
| Item 2660-104-6063, Budget Act of 2010 | - | - | \$151,174 |
| Totals Available | \$240,798 | \$338,060 | \$151,174 |
| Unexpended balance, estimated savings | -94,249 | - | - |
| Balance available in subsequent years | -121,636 | -151,174 | -129,424 |
| TOTALS, EXPENDITURES | \$24,913 | \$186,886 | \$21,750 |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | - | \$181,543 | - |
| Prior year balances available: | | | |
| Item 2660-104-6064, Budget Act of 2007 | \$122,500 | 18,484 | - |
| Item 2660-104-6064, Budget Act of 2008 | 78,569 | - | - |
| Item 2660-104-6064, Budget Act of 2010 | - | - | \$109,776 |
| Totals Available | \$201,069 | \$200,027 | \$109,776 |
| Unexpended balance, estimated savings | -147,035 | - | - |
| Balance available in subsequent years | -18,484 | -109,776 | -38,009 |
| TOTALS, EXPENDITURES | \$35,550 | \$90,251 | \$71,767 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 104 Budget Act appropriation | \$1 | \$1 | \$1 |
| Prior year balances available: | | | |
| Item 2660-104-6072, Budget Act of 2007 | 1 | 1 | 1 |
| Item 2660-104-6072, Budget Act of 2008 | 1 | 1 | 1 |
| Item 2660-104-6072, Budget Act of 2009 | - | 1 | 1 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
|--|--------------------|--------------------|--------------------|
| Item 2660-104-6072, Budget Act of 2010 | - | - | 1 |
| Totals Available | \$3 | \$4 | \$5 |
| Unexpended balance, estimated savings | - | - | -3 |
| Balance available in subsequent years | -3 | -4 | -2 |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$2,613,376 | \$2,746,133 | \$2,356,429 |
| | | | |
| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | \$2,000 | \$219,108 | \$192,708 |
| 302 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | 369,687 | - | - |
| 302 Budget Act appropriation | - | 185,443 | 543,542 |
| 303 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | 48,500 | - | - |
| 303 Budget Act appropriation | - | 18,050 | 14,200 |
| 311 Budget Act appropriation | 695 | 687 | 8,716 |
| Allocation from Item 2660-399-0042 | 5,000 | 5,000 | 5,000 |
| Prior year balances available: | | | |
| Item 2660-301-0042, Budget Act of 2004 | 55,691 | - | - |
| Item 2660-301-0042, Budget Act of 2005 | 8,358 | 1,508 | - |
| Item 2660-301-0042, Budget Act of 2006 | 68,351 | 17,276 | 17,276 |
| Item 2660-301-0042, Budget Act of 2007 | 8,755 | 13,369 | 13,369 |
| Item 2660-301-0042, Budget Act of 2008 | 19,226 | 17,856 | 17,855 |
| Item 2660-301-0042, Budget Act of 2009 | - | 276 | 275 |
| Item 2660-301-0042, Budget Act of 2010 | - | - | 81,408 |
| Item 2660-302-0042, Budget Act of 2000 | 1,709 | 2,719 | 1,903 |
| Item 2660-302-0042, Budget Act of 2004 | 78,800 | - | - |
| Item 2660-302-0042, Budget Act of 2005 | 225,686 | 79,485 | - |
| Item 2660-302-0042, Budget Act of 2006 | 996,834 | 101,457 | 101,456 |
| Item 2660-302-0042, Budget Act of 2007 as reverted per Item 2660-495, Budget Act of 2009 | 613,051 | 65,030 | - |
| Item 2660-302-0042, Budget Act of 2007 | - | - | 65,029 |
| Item 2660-302-0042, Budget Act of 2008 as reverted per Item 2660-495, Budget Act of 2009 | 399,155 | 127,624 | - |
| Item 2660-302-0042, Budget Act of 2008 | - | - | 12,000 |
| Item 2660-302-0042, Budget Act of 2009 | - | 188,401 | 93,000 |
| Item 2660-302-0042, Budget Act of 2010 | - | - | 60,000 |
| Item 2660-303-0042, Budget Act of 2007 | 28,973 | - | - |
| Item 2660-303-0042, Budget Act of 2008 | 28,788 | 25,062 | - |
| Item 2660-303-0042, Budget Act of 2009 | - | 34,001 | 14,613 |
| Item 2660-303-0042, Budget Act of 2010 | - | - | 13,050 |
| Item 2660-311-0042, Budget Act of 2007 | 0 | - | - |
| Augmentation per Government Code Sections 16352, 16409 and 16354 Streets and Highways Code Section 2423(b)(2)(A) | 3,000 | - | - |
| | 0 | 0 | - |
| Totals Available | \$2,962,259 | \$1,102,352 | \$1,255,400 |
| Unexpended balance, estimated savings | -1,913,332 | -90,281 | -133,345 |
| Balance available in subsequent years | -674,064 | -491,234 | -526,081 |
| TOTALS, EXPENDITURES | \$374,863 | \$520,837 | \$595,974 |
| | | | |
| 0046 Public Transportation Account, State Transportation Fund | | | |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|--|--------------------|--------------------|--------------------|
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | \$24,263 | \$16,400 | \$65,500 |
| Prior year balances available: | | | |
| Item 2660-301-0046, Budget Act of 2007 | 36,400 | - | - |
| Item 2660-301-0046, Budget Act of 2008 | 1 | 1 | 1 |
| Item 2660-301-0046, Budget Act of 2009 | - | 24,263 | - |
| Item 2660-301-0046, Budget Act of 2010 | - | - | 9,951 |
| Totals Available | \$60,664 | \$40,664 | \$75,452 |
| Unexpended balance, estimated savings | -36,400 | - | - |
| Balance available in subsequent years | -24,264 | -9,952 | -60,452 |
| TOTALS, EXPENDITURES | \$- | \$30,712 | \$15,000 |
| 0653 Seismic Retrofit Bond Fund of 1996 | | | |
| APPROPRIATIONS | | | |
| Government Code Section 8879.3 | \$1,411 | \$4,000 | \$1,000 |
| TOTALS, EXPENDITURES | \$1,411 | \$4,000 | \$1,000 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | \$20,000 | \$158,902 | \$693,941 |
| Budget Adjustment | 5,000 | 72,000 | - |
| 302 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | 1,209,652 | - | - |
| 302 Budget Act appropriation | - | 956,757 | 1,922,424 |
| 303 Budget Act appropriation | 1 | 1 | 1 |
| 315 Budget Act appropriation | - | 930,070 | - |
| Prior year balances available: | | | |
| Item 2660-301-0890, Budget Act of 2007 | 105,616 | - | - |
| Budget Adjustment | -43,900 | - | - |
| Item 2660-301-0890, Budget Act of 2008 | 136,602 | 74,629 | 356 |
| Item 2660-301-0890, Budget Act of 2009 | - | 14,011 | 7,787 |
| Item 2660-301-0890, Budget Act of 2010 | - | - | 24,876 |
| Item 2660-302-0890, Budget Act of 2000 | 79,452 | 79,452 | 75,479 |
| Item 2660-302-0890, Budget Act of 2007 | 41,090 | - | - |
| Budget Adjustment | -9,101 | - | - |
| Item 2660-302-0890, Budget Act of 2008 | 1,408,973 | 832,177 | 501,842 |
| Item 2660-302-0890, Budget Act of 2009 | - | 1,107,569 | 220,928 |
| Item 2660-302-0890, Budget Act of 2010 | - | - | 112,863 |
| Item 2660-303-0890, Budget Act of 2008 | 180 | 180 | - |
| Budget Adjustment | - | -180 | - |
| Item 2660-303-0890, Budget Act of 2009 | - | 1 | - |
| Budget Adjustment | - | -1 | - |
| Item 2660-303-0890, Budget Act of 2010 | - | - | 1 |
| Item 2660-315-0890, Budget Act of 2010 | - | - | 899,070 |
| Streets and Highways Code Section 2423(b)(2)(A) | 304,755 | 79,275 | 37,000 |
| Streets and Highways Code Section 2423(b)(2)(B) | 310,000 | 70,421 | 25,421 |
| Streets and Highways Code Sec. 2423(a) | 491,011 | 80,648 | 18,001 |
| Streets and Highways Code Section 2423(b)(2)(C) | 25,845 | 16,695 | 2,495 |
| Totals Available | \$4,085,176 | \$4,472,607 | \$4,542,485 |
| Balance available in subsequent years | -2,355,058 | -1,926,120 | -2,324,782 |
| TOTALS, EXPENDITURES | \$1,730,118 | \$2,546,487 | \$2,217,703 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| 0942 Special Deposit Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 2660-306-0942, Budget Act of 2008 | \$19,700 | \$11,074 | - |
| Totals Available | \$19,700 | \$11,074 | \$- |
| Balance available in subsequent years | -11,074 | - | - |
| TOTALS, EXPENDITURES | \$8,626 | \$11,074 | \$- |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$1,357,300 | \$1,003,202 | \$791,080 |
| 3007 Traffic Congestion Relief Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 14556.5(2) | \$85,316 | \$36,835 | \$51,139 |
| TOTALS, EXPENDITURES | \$85,316 | \$36,835 | \$51,139 |
| 3008 Transportation Investment Fund | | | |
| APPROPRIATIONS | | | |
| Revenue and Tax Code Section 7104 and 7107 | \$448,764 | - | - |
| TOTALS, EXPENDITURES | \$448,764 | \$- | \$- |
| 3093 Transportation Deferred Investment Fund | | | |
| APPROPRIATIONS | | | |
| Revenue and Taxation Code 7104 and 7106 | \$9,389 | - | - |
| TOTALS, EXPENDITURES | \$9,389 | \$- | \$- |
| 6043 High - Speed Passenger Train Bond Fund | | | |
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | - | \$62,490 | \$20,810 |
| TOTALS, EXPENDITURES | \$- | \$62,490 | \$20,810 |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | \$1,310,000 | \$496,829 | \$631,118 |
| Prior year balances available: | | | |
| Item 2660-304-6055, Budget Act of 2007 | 188,587 | 2,276 | - |
| Item 2660-304-6055, Budget Act of 2008 | 1,462,856 | 138,236 | - |
| Item 2660-304-6055, Budget Act of 2009 | - | 1,304,636 | 652,317 |
| 304 Budget Act appropriation | - | - | 248,415 |
| Totals Available | \$2,961,443 | \$1,941,977 | \$1,531,850 |
| Unexpended balance, estimated savings | -514,714 | - | - |
| Balance available in subsequent years | -1,445,148 | -900,732 | -315,559 |
| TOTALS, EXPENDITURES | \$1,001,581 | \$1,041,245 | \$1,216,291 |
| 6056 Trade Corridors Improvement Fund | | | |
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | \$398,000 | \$109,031 | \$815,861 |
| Prior year balances available: | | | |
| Item 2660-304-6056, Budget Act of 2008 | 158,350 | 98,134 | - |
| Item 2660-304-6056, Budget Act of 2009 | - | 398,000 | 199,000 |
| Item 2660-304-6056, Budget Act of 2010 | - | - | 54,516 |
| Totals Available | \$556,350 | \$605,165 | \$1,069,377 |
| Unexpended balance, estimated savings | -29,767 | - | - |
| Balance available in subsequent years | -496,134 | -253,516 | -347,454 |
| TOTALS, EXPENDITURES | \$30,449 | \$351,649 | \$721,923 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| 6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | - | \$400,000 | - |
| Prior year balances available: | | | |
| Item 2660-304-6058, Budget Act of 2007 | \$114,681 | 59,131 | - |
| Item 2660-304-6058, Budget Act of 2008 | 724,346 | 109,639 | - |
| Item 2660-304-6058, Budget Act of 2010 | - | - | \$200,000 |
| Totals Available | \$839,027 | \$568,770 | \$200,000 |
| Unexpended balance, estimated savings | -482,967 | - | - |
| Balance available in subsequent years | -168,770 | -200,000 | - |
| TOTALS, EXPENDITURES | \$187,290 | \$368,770 | \$200,000 |
| 6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 | | | |
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | \$124,999 | \$1 | \$117,000 |
| Prior year balances available: | | | |
| Item 2660-304-6059, Budget Act of 2007 | 131,243 | - | - |
| Item 2660-304-6059, Budget Act of 2008 | 30,499 | - | - |
| Item 2660-304-6059, Budget Act of 2009 | - | 124,999 | 62,499 |
| Item 2660-304-6059, Budget Act of 2010 | - | - | 1 |
| Totals Available | \$286,741 | \$125,000 | \$179,500 |
| Unexpended balance, estimated savings | -163,596 | - | - |
| Balance available in subsequent years | -124,999 | -62,500 | -58,001 |
| TOTALS, EXPENDITURES | -\$1,854 | \$62,500 | \$121,499 |
| 6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | \$1 | \$1 | \$68,000 |
| Transfer from Item 2660-104-6060 per Provision 2 | 7,214 | - | - |
| Prior year balances available: | | | |
| Item 2660-304-6060, Budget Act of 2008 | 45,255 | 40,000 | - |
| Item 2660-304-6060, Budget Act of 2009 | - | 1 | 1 |
| Item 2660-304-6060, Budget Act of 2010 | - | - | 1 |
| Totals Available | \$52,470 | \$40,002 | \$68,002 |
| Unexpended balance, estimated savings | -5,255 | - | -1 |
| Balance available in subsequent years | -40,001 | -2 | -34,001 |
| TOTALS, EXPENDITURES | \$7,214 | \$40,000 | \$34,000 |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 2660-304-6063, Budget Act of 2007 | \$1 | \$1 | \$1 |
| Item 2660-304-6063, Budget Act of 2008 | 1 | 1 | 1 |
| Totals Available | \$2 | \$2 | \$2 |
| Unexpended balance, estimated savings | - | - | -2 |
| Balance available in subsequent years | -2 | -2 | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | \$57,000 | \$1 | - |
| Prior year balances available: | | | |
| Item 2660-304-6064, Budget Act of 2007 | 64,705 | 4,712 | - |
| Item 2660-304-6064, Budget Act of 2008 | 69,752 | 674 | - |
| Item 2660-304-6064, Budget Act of 2009 | - | 56,990 | \$28,494 |
| Item 2660-304-6064, Budget Act of 2010 | - | - | 1 |
| Totals Available | \$191,457 | \$62,377 | \$28,495 |
| Unexpended balance, estimated savings | -113,168 | - | - |
| Balance available in subsequent years | -62,376 | -28,495 | -1 |
| TOTALS, EXPENDITURES | \$15,913 | \$33,882 | \$28,494 |
| 6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 304 Budget Act appropriation | \$426,999 | \$68,071 | \$391,928 |
| Prior year balances available: | | | |
| Item 2660-304-6072, Budget Act of 2007 | 5,974 | 5,974 | - |
| Item 2660-304-6072, Budget Act of 2008 | 98,322 | 16,252 | - |
| Item 2660-304-6072, Budget Act of 2009 | - | 418,438 | 82,123 |
| Item 2660-304-6072, Budget Act of 2010 | - | - | 34,036 |
| Totals Available | \$531,295 | \$508,735 | \$508,087 |
| Unexpended balance, estimated savings | -77,010 | - | - |
| Balance available in subsequent years | -440,664 | -116,159 | -195,964 |
| TOTALS, EXPENDITURES | \$13,621 | \$392,576 | \$312,123 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 14554(a) | \$1 | \$1 | \$1 |
| TOTALS, EXPENDITURES | \$1 | \$1 | \$1 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | \$5,270,002 | \$6,506,260 | \$6,327,037 |
| 4 UNCLASSIFIED | | | |
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| Article XIX B of the California Constitution (Transfer to Transportation Investment Fund and Transportation Deferred Investment Fund) | \$1,520,037 | \$83,416 | \$83,416 |
| TOTALS, EXPENDITURES | \$1,520,037 | \$83,416 | \$83,416 |
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 399 Budget Act appropriation | \$5,000 | \$5,000 | \$5,000 |
| Allocation to Capital Outlay | -5,000 | -5,000 | - |
| Allocation to Capital Outlay | - | - | -5,000 |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 399 Budget Act appropriation | \$5,000 | \$5,000 | \$5,000 |
| Budget Adjustment | -5,000 | - | - |
| TOTALS, EXPENDITURES | \$- | \$5,000 | \$5,000 |
| 3008 Transportation Investment Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| 4 UNCLASSIFIED | 2009-10* | 2010-11* | 2011-12* |
|--|---------------------|---------------------|---------------------|
| Less funding provided by the General Fund | -\$1,436,621 | - | - |
| TOTALS, EXPENDITURES | -\$1,436,621 | \$- | \$- |
| 3093 Transportation Deferred Investment Fund | | | |
| APPROPRIATIONS | | | |
| Less funding provided by the General Fund | -\$83,416 | -\$83,416 | -\$83,416 |
| TOTALS, EXPENDITURES | -\$83,416 | -\$83,416 | -\$83,416 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$- | \$5,000 | \$5,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance, Capital Outlay and Unclassified) | \$11,551,862 | \$13,140,590 | \$12,808,155 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| 0041 Aeronautics Account, State Transportation Fund^s | | | |
| BEGINNING BALANCE | \$7,050 | \$7,928 | \$1,965 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 141200 Sales of Documents | 2 | 4 | 3 |
| 150300 Income From Surplus Money Investments | 71 | 41 | 31 |
| Transfers and Other Adjustments: | | | |
| FO0061 From Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code Section 8352.3 | 5,085 | 5,405 | 5,746 |
| TO0001 To General Fund loan per Public Utilities Code 21683.3b | - | -4,000 | - |
| TO0046 To Public Transportation Account, State Transportation Fund per Item 2660-011-0041, Budget Acts | -30 | -30 | -30 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$5,128</u> | <u>\$1,420</u> | <u>\$5,750</u> |
| Total Resources | \$12,178 | \$9,348 | \$7,715 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 5 | 12 | 8 |
| 2660 Department of Transportation | | | |
| State Operations | 2,730 | 3,369 | 3,589 |
| Local Assistance | 1,515 | 4,000 | 4,000 |
| 8880 Financial Information System for California (State Operations) | - | 2 | 3 |
| Total Expenditures and Expenditure Adjustments | <u>\$4,250</u> | <u>\$7,383</u> | <u>\$7,600</u> |
| FUND BALANCE | \$7,928 | \$1,965 | \$115 |
| Reserve for economic uncertainties | 7,928 | 1,965 | 115 |
| 0042 State Highway Account, State Transportation Fund^s | | | |
| BEGINNING BALANCE | \$481,101 | \$181,000 | \$341,650 |
| Prior year adjustments | -146,995 | - | - |
| Adjusted Beginning Balance | <u>\$334,106</u> | <u>\$181,000</u> | <u>\$341,650</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 114100 Motor Vehicle Registration | 913,448 | 903,500 | 910,000 |
| 125700 Other Regulatory Licenses and Permits | 8,389 | 11,367 | 11,622 |
| 141200 Sales of Documents | 624 | 824 | 822 |
| 150300 Income From Surplus Money Investments | 2,430 | 1,453 | 1,529 |
| 150500 Interest Income From Interfund Loans | - | - | 20,285 |
| 151200 Income From Condemnation Deposits Fund | 507 | 1,946 | 1,861 |
| 152200 Rentals of State Property | 45,830 | 41,005 | 41,503 |
| 152300 Misc Revenue From Use of Property & Money | 11,726 | 29,068 | 29,179 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| 161000 Escheat of Unclaimed Checks & Warrants | 1,568 | 1,806 | 1,858 |
| 161400 Miscellaneous Revenue | 1,820 | 2,861 | 2,935 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2660-011-0062, Budget Act of 2008 | - | - | 200,000 |
| FO0044 From Motor Vehicle Account, State Transportation Fund per Government Code Section 16475 | 471 | 500 | 500 |
| FO0046 From Public Transportation Account, State Transportation Fund per Streets and Highways Code Section 183.1(b)(2) | 78,903 | - | - |
| FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Sections 2104.1 and 2107.6 | 5,000 | 5,000 | 5,000 |
| FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108 | 1,962,789 | 1,960,676 | 1,932,411 |
| FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2103 | - | 653,222 | 931,778 |
| FO0062 From Highway Users Tax Account, Transportation Tax Fund per pending legislation | - | 433,332 | - |
| FO0062 From Highway Users Tax Account, Transportation Tax Fund Per pending legislation | - | 281,646 | 726,700 |
| TO0001 To General Fund loan per pending legislation | - | -493,969 | -166,302 |
| TO0001 To General Fund loan per Item 2660-011-0042, Budget Acts | -135,000 | -80,000 | - |
| TO0046 To Public Transportation Account, State Transportation Fund per Item 2660-021-0042, Budget Acts | -24,459 | -25,046 | -25,046 |
| TO0046 To Public Transportation Account, State Transportation Fund per Streets and Highways Code Section 183.1 | -78,903 | - | - |
| TO0183 To Environmental Enhancement and Mitigation Program Fund per Item 2660-022-0042, Budget Acts | -10,000 | -10,000 | -10,000 |
| TO0308 To Earthquake Risk Reduction Fund of 1996 per Item 6440-011-0042, Budget Acts | -1,000 | -1,000 | -1,000 |
| TO3107 To Transportation Debt Service Fund per pending legislation | - | -262,427 | -777,509 |
| TO3107 To Transportation Debt Service Fund per Streets and Highways Code Section 183.1 | -78,903 | -61,795 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$2,705,240</u> | <u>\$3,393,969</u> | <u>\$3,838,126</u> |
| Total Resources | \$3,039,346 | \$3,574,969 | \$4,179,776 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0502 California Technology Agency (Capital Outlay) | - | 555 | - |
| 0840 State Controller (State Operations) | 3,463 | 8,377 | 5,962 |
| 2600 California Transportation Commission (State Operations) | 742 | 1,056 | 1,175 |
| 2660 Department of Transportation | | | |
| State Operations | 2,336,139 | 2,542,723 | 2,774,754 |
| Local Assistance | 138,321 | 278,958 | 202,118 |
| Capital Outlay | 374,863 | 520,837 | 595,974 |
| 2720 Department of the California Highway Patrol (State Operations) | 51,340 | 59,552 | 59,746 |
| 2740 Department of Motor Vehicles | | | |
| State Operations | 47,007 | 53,170 | 47,201 |
| Capital Outlay | 3,095 | 635 | 1,306 |
| 3480 Department of Conservation (State Operations) | 12 | 12 | 12 |
| 8660 Public Utilities Commission (State Operations) | 3,764 | 3,562 | 3,610 |
| 8880 Financial Information System for California (State Operations) | - | 1,681 | 2,578 |
| 9625 Interest Payments to the Federal Government (State Operations) | 60 | 1,000 | 1,000 |
| 9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations) | 2 | 15 | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|-------------|-------------|-------------|
| Total Expenditures and Expenditure Adjustments | \$2,958,808 | \$3,472,133 | \$3,695,436 |
| Adjustment for Unfunded Encumbrances | -\$100,462 | -\$238,814 | \$229,325 |
| FUND BALANCE | \$181,000 | \$341,650 | \$255,015 |
| Reserve for economic uncertainties | 181,000 | 341,650 | 255,015 |
| Reserve for unencumbered balance of continuing appropriations | | | |
| Reserve for cash outlays in advance of federal reimbursements | | | |
| 0045 Bicycle Transportation Account, State Transportation Fund^s | | | |
| BEGINNING BALANCE | \$1,531 | \$1,629 | \$1,770 |
| Prior year adjustments | -6 | - | - |
| Adjusted Beginning Balance | \$1,525 | \$1,629 | \$1,770 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 124 | 151 | 331 |
| 150500 Interest Income From Interfund Loans | - | - | 582 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2660-011-0045, Budget Act of 2008 | - | - | 6,000 |
| FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2106 | 7,200 | 7,200 | 7,200 |
| Total Revenues, Transfers, and Other Adjustments | \$7,324 | \$7,351 | \$14,113 |
| Total Resources | \$8,849 | \$8,980 | \$15,883 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2660 Department of Transportation | | | |
| State Operations | 20 | 10 | 10 |
| Local Assistance | 7,200 | 7,200 | 7,200 |
| Total Expenditures and Expenditure Adjustments | \$7,220 | \$7,210 | \$7,210 |
| FUND BALANCE | \$1,629 | \$1,770 | \$8,673 |
| Reserve for economic uncertainties | 1,629 | 1,770 | 8,673 |
| 0046 Public Transportation Account, State Transportation Fund^s | | | |
| BEGINNING BALANCE | \$292,545 | \$588,808 | \$325,005 |
| Prior year adjustments | -24,343 | - | - |
| Adjusted Beginning Balance | \$268,202 | \$588,808 | \$325,005 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 114900 Retail Sales and Use Taxes | 928,374 | 306,894 | 408,783 |
| 150300 Income From Surplus Money Investments | 2,689 | 2,911 | 1,556 |
| Transfers and Other Adjustments: | | | |
| FO0041 From Aeronautics Account, State Transportation Fund per Item 2660-011-0041, Budget Acts | 30 | 30 | 30 |
| FO0042 From State Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1 | 78,903 | - | - |
| FO0042 From State Highway Account, State Transportation Fund per Item 2660-021-0042, Budget Acts | 24,459 | 25,046 | 25,046 |
| FO3008 From Transportation Investment Fund per Revenue and Taxation Code Section 7104 | 287,324 | - | - |
| TO0001 To General Fund loan per Item 2660-011-0046, Budget Act of 2010 | - | -29,081 | - |
| TO0042 To State Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1(b)(2) | -78,903 | - | - |
| TO3007 To Traffic Congestion Relief Fund loan repayment per GCS 14556.85 | - | -60,000 | - |
| TO3107 To Transportation Debt Service Fund per Public Utilities Code Section 99315 | -70,650 | -90,886 | - |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|--|-----------------|-----------------|-----------------|
| Total Revenues, Transfers, and Other Adjustments | \$1,172,226 | \$154,914 | \$435,415 |
| Total Resources | \$1,440,428 | \$743,722 | \$760,420 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 254 | 597 | 362 |
| 2600 California Transportation Commission (State Operations) | 1,282 | 1,334 | 1,371 |
| 2640 State Transit Assistance (Local Assistance) | 400,000 | - | 329,587 |
| 2660 Department of Transportation | | | |
| State Operations | 148,204 | 158,573 | 164,448 |
| Local Assistance | 8,025 | 184,501 | 30,930 |
| Capital Outlay | - | 30,712 | 15,000 |
| 2830 General Obligation Bonds-BT&H (State Operations) | 71,409 | - | - |
| 6440 University of California (State Operations) | 980 | 980 | 980 |
| 8660 Public Utilities Commission (State Operations) | 3,909 | 4,008 | 4,055 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | - | 255 | - |
| Total Expenditures and Expenditure Adjustments | \$634,063 | \$380,960 | \$546,733 |
| Adjustment for Unfunded Encumbrances | \$217,557 | \$37,757 | -\$61,184 |
| FUND BALANCE | \$588,808 | \$325,005 | \$274,871 |
| Reserve for economic uncertainties | 588,808 | 325,005 | 274,871 |
| 0052 Local Airport Loan Account ^s | | | |
| BEGINNING BALANCE | \$4,269 | \$8,125 | \$10,430 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131200 Interest on Loans to Local Agencies | 1,304 | 1,313 | 1,339 |
| 150300 Income From Surplus Money Investments | 45 | 42 | 47 |
| 150500 Interest Income From Interfund Loans | - | - | 737 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2660-011-0052, Budget Act of 2008 | - | - | 7,500 |
| Total Revenues, Transfers, and Other Adjustments | \$1,349 | \$1,355 | \$9,623 |
| Total Resources | \$5,618 | \$9,480 | \$20,053 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2660 Department of Transportation (Local Assistance) | - | 1,450 | 1,450 |
| Expenditure Adjustments: | | | |
| 2660 Department of Transportation | | | |
| Loan repayments from local agencies (Local Assistance) | -2,507 | -2,400 | -2,501 |
| Total Expenditures and Expenditure Adjustments | -\$2,507 | -\$950 | -\$1,051 |
| FUND BALANCE | \$8,125 | \$10,430 | \$21,104 |
| Reserve for economic uncertainties | 8,125 | 10,430 | 21,104 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund ^s | | | |
| BEGINNING BALANCE | \$37,083 | \$39,237 | \$22,779 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 113800 Motor Vehicle Fuel Tax (Gasoline) | 2,665,421 | 5,220,441 | 5,089,446 |
| 113900 Jet Fuel Tax | 2,099 | 2,933 | 2,933 |
| 114000 Motor Vehicle Fuel Tax (Diesel) | 496,174 | 511,567 | 402,885 |
| 125700 Other Regulatory Licenses and Permits | 3,386 | 313 | 313 |
| 150300 Income From Surplus Money Investments | 1,266 | 5,984 | 5,984 |
| 150500 Interest Income From Interfund Loans | - | - | 786 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 161000 Escheat of Unclaimed Checks & Warrants | 159 | 137 | 137 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2660-011-0061, Budget Act of 2008 | - | - | 8,000 |
| TO0041 To Aeronautics Account, State Transportation Fund per Revenue and Taxation Code Section 8352.3 | -5,085 | -5,405 | -5,746 |
| TO0062 To Highway Users Tax Account, Transportation Tax Fund per Revenue and Taxation Code Section 8353 | -2,987,427 | -3,017,413 | -2,957,466 |
| TO0062 To Highway Users Tax Account, Transportation Tax Fund per Revenue and Taxation Code Section 7360 and 7361.1 | - | -2,559,444 | -2,390,573 |
| TO0111 To Department of Agriculture Account, Department of Food and Agriculture Fund per Revenue and Taxation Code Section 8352.5 | -38,167 | -38,171 | -38,171 |
| TO0263 To Off-Highway Vehicle Trust Fund per Revenue and Taxation Code Section 8352.6 | -65,196 | -65,000 | -65,000 |
| TO0392 To State Parks and Recreation Fund per Item 3790-012-0061, various Budget Acts | -26,649 | -26,649 | -26,649 |
| TO0516 To Harbors and Watercraft Revolving Fund per Revenue and Taxation Code Section 8352.4 | -19,822 | -17,891 | -20,424 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$26,159</u> | <u>\$11,402</u> | <u>\$6,455</u> |
| Total Resources | \$63,242 | \$50,639 | \$29,234 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 3,748 | 4,314 | 4,445 |
| 0860 State Board of Equalization (State Operations) | 20,257 | 23,530 | 24,147 |
| 8880 Financial Information System for California (State Operations) | - | 16 | - |
| Total Expenditures and Expenditure Adjustments | <u>\$24,005</u> | <u>\$27,860</u> | <u>\$28,592</u> |
| FUND BALANCE | \$39,237 | \$22,779 | \$642 |
| Reserve for economic uncertainties | 39,237 | 22,779 | 642 |
| 0183 Environmental Enhancement and Mitigation Program Fund ^s | | | |
| BEGINNING BALANCE | \$3,373 | \$3,516 | \$3,463 |
| Prior year adjustments | 118 | - | - |
| Adjusted Beginning Balance | <u>\$3,491</u> | <u>\$3,516</u> | <u>\$3,463</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 162 | 70 | 91 |
| 150500 Interest Income From Interfund Loans | - | - | 432 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2660-011-0183, Budget Act of 2008 | - | - | 4,400 |
| FO0042 From State Highway Account, State Transportation Fund per Item 2660-022-0042, Budget Acts | 10,000 | 10,000 | 10,000 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$10,162</u> | <u>\$10,070</u> | <u>\$14,923</u> |
| Total Resources | \$13,653 | \$13,586 | \$18,386 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0540 Secretary of the Natural Resources Agency (State Operations) | 137 | 123 | 128 |
| 2660 Department of Transportation (Local Assistance) | 10,000 | 10,000 | 10,000 |
| Total Expenditures and Expenditure Adjustments | <u>\$10,137</u> | <u>\$10,123</u> | <u>\$10,128</u> |
| FUND BALANCE | \$3,516 | \$3,463 | \$8,258 |
| Reserve for economic uncertainties | 3,516 | 3,463 | 8,258 |
| 0365 Historic Property Maintenance Fund ^s | | | |
| BEGINNING BALANCE | \$584 | \$331 | \$109 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------------|----------------|----------------|
| Prior year adjustments | -8 | - | - |
| Adjusted Beginning Balance | \$576 | \$331 | \$109 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 8 | 5 | 4 |
| 150500 Interest Income From Interfund Loans | - | - | 295 |
| 152200 Rentals of State Property | 1,368 | 1,420 | 1,409 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2660-011-0365, Budget Act of 2008 | - | - | 3,000 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,376</u> | <u>\$1,425</u> | <u>\$4,708</u> |
| Total Resources | \$1,952 | \$1,756 | \$4,817 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 5 | 3 |
| 2660 Department of Transportation (State Operations) | 1,619 | 1,641 | 1,640 |
| 8880 Financial Information System for California (State Operations) | - | 1 | 1 |
| Total Expenditures and Expenditure Adjustments | <u>\$1,621</u> | <u>\$1,647</u> | <u>\$1,644</u> |
| FUND BALANCE | \$331 | \$109 | \$3,173 |
| Reserve for economic uncertainties | 331 | 109 | 3,173 |
| 2500 Pedestrian Safety Account, State Transportation Fund ^s | | | |
| BEGINNING BALANCE | \$10 | \$10 | \$10 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150500 Interest Income From Interfund Loans | - | - | 143 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2660-011-2500, Budget Act of 2008 | - | - | 1,715 |
| Total Revenues, Transfers, and Other Adjustments | <u>-</u> | <u>-</u> | <u>\$1,858</u> |
| Total Resources | <u>\$10</u> | <u>\$10</u> | <u>\$1,868</u> |
| FUND BALANCE | \$10 | \$10 | \$1,868 |
| Reserve for economic uncertainties | 10 | 10 | 1,868 |
| 2501 Local Transportation Loan Account, State Highway Account, State Transportation Fund ^s | | | |
| BEGINNING BALANCE | \$3,339 | \$3,965 | \$3,982 |
| Prior year adjustments | 599 | - | - |
| Adjusted Beginning Balance | \$3,938 | \$3,965 | \$3,982 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131200 Interest on Loans to Local Agencies | 5 | - | - |
| 150300 Income From Surplus Money Investments | 22 | 17 | 12 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$27</u> | <u>\$17</u> | <u>\$12</u> |
| Total Resources | <u>\$3,965</u> | <u>\$3,982</u> | <u>\$3,994</u> |
| FUND BALANCE | \$3,965 | \$3,982 | \$3,994 |
| Reserve for economic uncertainties | 3,965 | 3,982 | 3,994 |
| 3007 Traffic Congestion Relief Fund ^s | | | |
| BEGINNING BALANCE | \$616,724 | \$342,916 | \$238,062 |
| Prior year adjustments | -128,281 | - | - |
| Adjusted Beginning Balance | \$488,443 | \$342,916 | \$238,062 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|-------------------|------------------|------------------|
| Transfers and Other Adjustments: | | | |
| FO0046 From Public Transportation Account, State Transportation Fund loan repayment per GCS 14556.85 | - | 60,000 | - |
| FO3093 From Transportation Deferred Investment Fund per Government Section 14557.1, Revenue and Taxation Code 7104, 7105, and 7106 | 83,416 | 83,178 | 83,178 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$83,416</u> | <u>\$143,178</u> | <u>\$83,178</u> |
| Total Resources | \$571,859 | \$486,094 | \$321,240 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 34 | 82 | 34 |
| 2660 Department of Transportation | | | |
| State Operations | 15,892 | 16,460 | 16,025 |
| Local Assistance | 60,801 | 40,000 | 40,000 |
| Capital Outlay | 85,316 | 36,835 | 51,139 |
| 8880 Financial Information System for California (State Operations) | - | 16 | - |
| Total Expenditures and Expenditure Adjustments | <u>\$162,043</u> | <u>\$93,393</u> | <u>\$107,198</u> |
| Adjustment for Unfunded Encumbrances | <u>\$66,900</u> | <u>\$154,639</u> | <u>\$48,407</u> |
| FUND BALANCE | \$342,916 | \$238,062 | \$165,635 |
| Reserve for unencumbered balance of continuing appropriations | | | |
| Reserve for economic uncertainties | 342,916 | 238,062 | 165,635 |
| 3008 Transportation Investment Fund ^s | | | |
| BEGINNING BALANCE | \$311,686 | \$578,161 | \$207,682 |
| Prior year adjustments | 243,468 | - | - |
| Adjusted Beginning Balance | <u>\$555,154</u> | <u>\$578,161</u> | <u>\$207,682</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| TO0046 To Public Transportation Account, State Transportation Fund per Revenue and Taxation Code Section 7104 | -287,324 | - | - |
| Total Revenues, Transfers, and Other Adjustments | <u>-\$287,324</u> | <u>-</u> | <u>-</u> |
| Total Resources | \$267,830 | \$578,161 | \$207,682 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 301 | 728 | - |
| 2660 Department of Transportation | | | |
| State Operations | 199,608 | - | - |
| Local Assistance | 66,166 | - | - |
| Capital Outlay | 448,764 | - | - |
| Unclassified | -1,436,621 | - | - |
| 9535 Apportionment of Local Transportation Funding (Local Assistance) | 574,648 | - | - |
| Total Expenditures and Expenditure Adjustments | <u>-\$147,134</u> | <u>\$728</u> | <u>-</u> |
| Adjustment for Unfunded Encumbrances | <u>-\$163,197</u> | <u>\$369,751</u> | <u>\$169,191</u> |
| FUND BALANCE | \$578,161 | \$207,682 | \$38,491 |
| Reserve for economic uncertainties | 578,161 | 207,682 | 38,491 |
| 3093 Transportation Deferred Investment Fund ^s | | | |
| BEGINNING BALANCE | \$157,743 | \$85,343 | \$24,736 |
| Prior year adjustments | 2,000 | - | - |
| Adjusted Beginning Balance | <u>\$159,743</u> | <u>\$85,343</u> | <u>\$24,736</u> |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| TO3007 To Traffic Congestion Relief Fund per Government Section 14557.1, Revenue and Taxation Code 7104, 7105, and 7106 | -83,416 | -83,178 | -83,178 |
| Total Revenues, Transfers, and Other Adjustments | <u>-\$83,416</u> | <u>-\$83,178</u> | <u>-\$83,178</u> |
| Total Resources | \$76,327 | \$2,165 | -\$58,442 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2660 Department of Transportation | | | |
| Capital Outlay | 9,389 | - | - |
| Unclassified | <u>-83,416</u> | <u>-83,416</u> | <u>-83,416</u> |
| Total Expenditures and Expenditure Adjustments | -\$74,027 | -\$83,416 | -\$83,416 |
| Adjustment for Unfunded Encumbrances | <u>\$65,011</u> | <u>\$60,845</u> | <u>\$24,832</u> |
| FUND BALANCE | \$85,343 | \$24,736 | \$142 |
| Reserve for economic uncertainties | 85,343 | 24,736 | 142 |

3107 Transportation Debt Service Fund [§]

| | | | |
|--|------------------|------------------|------------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO0042 From State Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1 | \$78,903 | \$61,795 | - |
| FO0042 From State Highway Account, State Transportation Fund per pending legislation | - | 262,427 | 777,509 |
| FO0046 From Public Transportation Account, State Transportation Fund per Public Utilities Code Section 99315 | 70,650 | 90,886 | - |
| FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2103 | - | 209,539 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$149,553</u> | <u>\$624,647</u> | <u>\$777,509</u> |
| Total Resources | \$149,553 | \$624,647 | \$777,509 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2830 General Obligation Bonds-BT&H (State Operations) | 149,553 | 624,647 | 777,509 |
| Debt Service Reimbursement | | | |
| Total Expenditures and Expenditure Adjustments | <u>\$149,553</u> | <u>\$624,647</u> | <u>\$777,509</u> |
| FUND BALANCE | - | - | - |

3116 Mass Transportation Fund [§]

| | | | |
|---|----------|----------|----------|
| BEGINNING BALANCE | - | - | - |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| Proposition 1A Debt Payment Reimbursement | | | |
| Total Expenditures and Expenditure Adjustments | <u>-</u> | <u>-</u> | <u>-</u> |
| FUND BALANCE | - | - | - |

6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B

| | | | |
|---|-------------|-------------|-------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 520000 Proceeds from the Sale of Bonds and Notes | \$2,287,213 | \$3,749,785 | \$4,268,015 |
| 250300 Income from Surplus Money Investment | | | |
| Transfers and Other Adjustments: | | | |
| TO6054 California Ports Infrastructure, Security, and Air Quality Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -203,249 | -240,825 | -282,381 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| TO6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -1,031,400 | -1,111,000 | -1,287,533 |
| TO6056 Trade Corridors Improvement Fund per Government Code Section 8879.23 | -82,576 | -490,256 | -938,926 |
| TO6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -250,203 | -503,396 | -293,192 |
| TO6059 Public Transportation Modernization, Improvement and Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -63,173 | -163,760 | -622,713 |
| TO6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -68,891 | -263,654 | -315,949 |
| TO6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -11,377 | -9,996 | -23,662 |
| TO6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -25,394 | -187,483 | -22,157 |
| TO6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -68,181 | -141,301 | -117,883 |
| TO6065 Local Streets and Road Improvement, Congestion Relief, and Traffic Safety Account of 2006, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | -459,632 | -231,865 | -37,030 |
| TO6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund per Government Code Section 8879.23 | -17,050 | -406,249 | -326,589 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$6,087</u> | <u>-</u> | <u>-</u> |
| Total Resources | <u>\$6,087</u> | <u>-</u> | <u>-</u> |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2660 Department of Transportation (State Operations) | 2,819 | - | - |
| 3900 Air Resources Board (State Operations) | <u>3,268</u> | <u>-</u> | <u>-</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$6,087</u> | <u>-</u> | <u>-</u> |
| FUND BALANCE | - | - | - |
| 6054 CA Ports Infrastructure, Security, & AirQuality Improvement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006^B | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$203,249 | \$240,825 | \$282,381 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$203,249</u> | <u>\$240,825</u> | <u>\$282,381</u> |
| Total Resources | <u>\$203,249</u> | <u>\$240,825</u> | <u>\$282,381</u> |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 3900 Air Resources Board (State Operations) | <u>203,249</u> | <u>240,825</u> | <u>282,381</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$203,249</u> | <u>\$240,825</u> | <u>\$282,381</u> |
| FUND BALANCE | - | - | - |
| 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$1,031,400 | \$1,111,000 | \$1,287,533 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|--|--------------------|--------------------|--------------------|
| Total Revenues, Transfers, and Other Adjustments | \$1,031,400 | \$1,111,000 | \$1,287,533 |
| Total Resources | \$1,031,400 | \$1,111,000 | \$1,287,533 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | 192 | 198 | 204 |
| 2660 Department of Transportation | | | |
| State Operations | 27,257 | 40,722 | 42,203 |
| Local Assistance | 2,370 | 28,835 | 28,835 |
| Capital Outlay | <u>1,001,581</u> | <u>1,041,245</u> | <u>1,216,291</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$1,031,400</u> | <u>\$1,111,000</u> | <u>\$1,287,533</u> |
| FUND BALANCE | - | - | - |

6056 Trade Corridors Improvement Fund ^B

| | | | |
|---|-----------------|------------------|------------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$82,576 | \$490,256 | \$938,926 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$82,576</u> | <u>\$490,256</u> | <u>\$938,926</u> |
| Total Resources | \$82,576 | \$490,256 | \$938,926 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | 141 | 191 | 198 |
| 2660 Department of Transportation | | | |
| State Operations | 720 | 3,243 | 3,391 |
| Local Assistance | 51,266 | 135,173 | 213,414 |
| Capital Outlay | <u>30,449</u> | <u>351,649</u> | <u>721,923</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$82,576</u> | <u>\$490,256</u> | <u>\$938,926</u> |
| FUND BALANCE | - | - | - |

6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B

| | | | |
|---|------------------|------------------|------------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$250,203 | \$503,396 | \$293,192 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$250,203</u> | <u>\$503,396</u> | <u>\$293,192</u> |
| Total Resources | \$250,203 | \$503,396 | \$293,192 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | - | 179 | 183 |
| 2660 Department of Transportation | | | |
| State Operations | 55,838 | 55,299 | 58,335 |
| Local Assistance | 7,075 | 79,148 | 34,674 |
| Capital Outlay | <u>187,290</u> | <u>368,770</u> | <u>200,000</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$250,203</u> | <u>\$503,396</u> | <u>\$293,192</u> |
| FUND BALANCE | - | - | - |

6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 ^B

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|------------------|------------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$63,173 | \$163,760 | \$622,713 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$63,173</u> | <u>\$163,760</u> | <u>\$622,713</u> |
| Total Resources | \$63,173 | \$163,760 | \$622,713 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | 10 | 52 | 53 |
| 2640 State Transit Assistance (Local Assistance) | 63,923 | 100,000 | 500,000 |
| 2660 Department of Transportation | | | |
| State Operations | 1,094 | 1,208 | 1,161 |
| Capital Outlay | <u>-1,854</u> | <u>62,500</u> | <u>121,499</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$63,173</u> | <u>\$163,760</u> | <u>\$622,713</u> |
| FUND BALANCE | - | - | - |

6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B

| | | | |
|---|-----------------|------------------|------------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$68,891 | \$263,654 | \$315,949 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$68,891</u> | <u>\$263,654</u> | <u>\$315,949</u> |
| Total Resources | \$68,891 | \$263,654 | \$315,949 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | - | 91 | 94 |
| 2660 Department of Transportation | | | |
| State Operations | 280 | 682 | 727 |
| Local Assistance | 61,397 | 222,881 | 281,128 |
| Capital Outlay | <u>7,214</u> | <u>40,000</u> | <u>34,000</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$68,891</u> | <u>\$263,654</u> | <u>\$315,949</u> |
| FUND BALANCE | - | - | - |

6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B

| | | | |
|---|-----------------|----------------|-----------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$11,377 | \$9,996 | \$23,662 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$11,377</u> | <u>\$9,996</u> | <u>\$23,662</u> |
| Total Resources | \$11,377 | \$9,996 | \$23,662 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | - | 10 | 9 |
| 2660 Department of Transportation | | | |
| State Operations | 97 | 1,752 | 1,653 |
| Local Assistance | 11,280 | 8,234 | 22,000 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | <u>2009-10*</u> | <u>2010-11*</u> | <u>2011-12*</u> |
|---|------------------|------------------|------------------|
| Total Expenditures and Expenditure Adjustments | \$11,377 | \$9,996 | \$23,662 |
| FUND BALANCE | - | - | - |
| 6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, | | | |
| Air Quality and Port Security Fund of 2006 ^B | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$25,394 | \$187,483 | \$22,157 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$25,394</u> | <u>\$187,483</u> | <u>\$22,157</u> |
| Total Resources | \$25,394 | \$187,483 | \$22,157 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | 11 | 33 | 34 |
| 2660 Department of Transportation | | | |
| State Operations | 470 | 564 | 373 |
| Local Assistance | <u>24,913</u> | <u>186,886</u> | <u>21,750</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$25,394</u> | <u>\$187,483</u> | <u>\$22,157</u> |
| FUND BALANCE | - | - | - |
| 6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, | | | |
| Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$68,181 | \$141,301 | \$117,883 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$68,181</u> | <u>\$141,301</u> | <u>\$117,883</u> |
| Total Resources | \$68,181 | \$141,301 | \$117,883 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2600 California Transportation Commission (State Operations) | - | 81 | 86 |
| 2660 Department of Transportation | | | |
| State Operations | 16,718 | 17,087 | 17,536 |
| Local Assistance | 35,550 | 90,251 | 71,767 |
| Capital Outlay | <u>15,913</u> | <u>33,882</u> | <u>28,494</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$68,181</u> | <u>\$141,301</u> | <u>\$117,883</u> |
| FUND BALANCE | - | - | - |
| 6065 Local Streets & Road Improvement Congestion Relief & Traffic Safety Acct of | | | |
| 2006 Highway Safety, Traffic Reduction, Air Quality & Port Security Fd of 2006 ^B | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$459,632 | \$231,865 | \$37,030 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$459,632</u> | <u>\$231,865</u> | <u>\$37,030</u> |
| Total Resources | \$459,632 | \$231,865 | \$37,030 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|-----------------|
| 8860 Department of Finance (State Operations) | 83 | 28 | 30 |
| 9350 Shared Revenues (Local Assistance) | 459,549 | 231,837 | 37,000 |
| Total Expenditures and Expenditure Adjustments | <u>\$459,632</u> | <u>\$231,865</u> | <u>\$37,030</u> |
| FUND BALANCE | - | - | - |

6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port

Security Fund of 2006 ^B

| | | | |
|---|-----------------|------------------|------------------|
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23 | \$17,050 | \$406,249 | \$326,589 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$17,050</u> | <u>\$406,249</u> | <u>\$326,589</u> |
| Total Resources | \$17,050 | \$406,249 | \$326,589 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2660 Department of Transportation | | | |
| State Operations | 3,429 | 13,673 | 14,466 |
| Capital Outlay | 13,621 | 392,576 | 312,123 |
| Total Expenditures and Expenditure Adjustments | <u>\$17,050</u> | <u>\$406,249</u> | <u>\$326,589</u> |
| FUND BALANCE | - | - | - |

6801 Transportation Financing Subaccount, State Highway Account, State

Transportation Fund ^N

| | | | |
|--|--------------|------------|------------|
| BEGINNING BALANCE | \$52,143 | \$51,953 | \$51,950 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 520000 Proceeds from Sale of Bonds | - | - | - |
| Total Revenues, Transfers, and Other Adjustments | <u>-</u> | <u>-</u> | <u>-</u> |
| Total Resources | \$52,143 | \$51,953 | \$51,950 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 1 | 2 | - |
| 2660 Department of Transportation | | | |
| State Operations | 188 | - | - |
| Capital Outlay | 1 | 1 | 1 |
| Total Expenditures and Expenditure Adjustments | <u>\$190</u> | <u>\$3</u> | <u>\$1</u> |
| FUND BALANCE | \$51,953 | \$51,950 | \$51,949 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|----------|---------------|---------------------|-------------|------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 18,405.6 | 21,640.8 | 21,584.9 | \$1,422,623 | \$1,649,936 | \$1,659,793 |
| Furlough Adjustments | - | - | - | - | -65,669 | - |
| PLP Adjustments | - | - | - | - | -37,706 | - |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Budget Year Component of COS Reduction | - | - | -188.0 | - | - | -21,306 |
| Totals, Workload & Admin Adjustments | - | - | -188.0 | \$- | \$- | -\$21,306 |
| Proposed New Positions: | | | | | | |
| Load Rating of Local Bridges: | | | | | | |
| Senior Transportation Engineer | - | - | 2.0 | 8,122-9,870 | - | 210 |

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|-----------------|-----------------|--------------------|--------------------|--------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Transportation Engineer | - | - | 7.0 | 6,897-8,379 | - | 704 |
| Planning PID Workload Base Line Justification: | | | | | | |
| Senior Transportation Engineer | - | - | 2.0 | 8,122-9,870 | - | 216 |
| Transportation Engineer | - | - | 16.0 | 6,897-8,379 | - | 1,466 |
| JARC/New Freedom: | | | | | | |
| Associate Transportation Planner | - | - | 3.0 | 4,619-5,616 | - | 184 |
| E-FIS Reduction: | | | | | | |
| Accounting Administrator II | - | - | -1.0 | 5,576-6,727 | - | -74 |
| Accounting Administrator I (Supv) | - | - | -3.0 | 5,079-6,127 | - | -202 |
| Accounting Officer (Spec) | - | - | -19.0 | 3,841-4,670 | - | -970 |
| Assistant Information System Analyst (Spec) | - | - | -1.0 | 3,106-3,596 | - | -40 |
| Associate Information System Analyst (Spec) | - | - | -6.0 | 4,619-5,897 | - | -379 |
| Associate Programmer Analyst (Spec) | - | - | -1.0 | 4,619-5,897 | - | -63 |
| Staff Information System Analyst (Spec) | - | - | -3.0 | 5,065-6,466 | - | -208 |
| Staff Programmer Analyst (Spec) | - | - | -1.0 | 5,065-6,466 | - | -69 |
| Totals, Proposed New Positions | - | - | -5.0 | \$- | \$- | \$775 |
| Total Adjustments | - | - | -193.0 | \$- | -\$103,375 | -\$20,531 |
| TOTAL SALARIES AND WAGES | 18,405.6 | 21,640.8 | 21,391.9 | \$1,422,623 | \$1,546,561 | \$1,639,262 |

2665 High-Speed Rail Authority

The California High-Speed Rail Authority's mission is to plan, design, build, and operate a high-speed train system for California.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Authority's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|------------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Administration | 10.3 | 37.1 | 37.1 | \$140,204 | \$5,733 | \$7,131 |
| 20 Program Management and Oversight Contracts | - | - | - | - | 39,036 | 3,000 |
| 30 Public Information and Communications Contracts | - | - | - | - | 1,800 | 1,800 |
| 40 Fiscal and Other External Contracts | - | - | - | - | 10,055 | 750 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 10.3 | 37.1 | 37.1 | \$140,204 | \$56,624 | \$12,681 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0995 Reimbursements | | | | \$1,500 | \$- | \$- |
| 6043 High - Speed Passenger Train Bond Fund | | | | 138,704 | 56,624 | 12,681 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$140,204 | \$56,624 | \$12,681 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Utilities Code, Division 19.5 (commencing with Section 185000).

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

| | 2010-11* | | | 2011-12* | | |
|--|--------------|---------------|-----------------|--------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Retirement Rate Adjustment | \$- | \$94 | - | \$- | \$94 | - |
| • Employee Compensation Adjustments | - | -305 | - | - | -43 | - |
| • Workforce Cap Adjustment | - | -196 | -2.5 | - | -196 | -2.5 |
| • One Time Cost Reductions | - | - | - | - | -50,891 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$407 | -2.5 | \$- | -\$51,036 | -2.5 |
| Totals, Workload Budget Adjustments | \$- | -\$407 | -2.5 | \$- | -\$51,036 | -2.5 |
| Policy Adjustments | | | | | | |
| • Program Management Oversight | \$- | \$- | - | \$- | \$3,000 | - |
| • Public Information and Communication | - | - | - | - | 1,800 | - |
| • Staff Increase/Baseline Adjustment | - | - | - | - | 1,136 | - |
| • Financial Consulting Services and Public Private Partnership Program | - | - | - | - | 750 | - |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$6,686 | - |
| Totals, Budget Adjustments | \$- | -\$407 | -2.5 | \$- | -\$44,350 | -2.5 |

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF HIGH-SPEED RAIL AUTHORITY

The Administration Program is responsible for developing and implementing a statewide high-speed train system for California.

20 - PROGRAM MANAGEMENT AND OVERSIGHT CONTRACTS

The Program Management and Oversight Contracts Program is responsible for providing evaluation and review of services and products generated by the Program Management Team and Regional Consultants. This incorporates Project/Program monitoring, technical review, and programmatic review to be utilized by the Authority and shareholders.

30 - PUBLIC INFORMATION AND COMMUNICATIONS

The Public Information and Communications Program is responsible for providing information and communication services to the public by coordinating various regional outreach activities related to the environmental review process and supplements those efforts.

40 - FISCAL AND OTHER EXTERNAL CONTRACTS

The Fiscal and Other External Contracts Program is responsible for providing cost-effective services through contractual agreements.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|--|------------------|-----------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | Administration | | | |
| | State Operations: | | | |
| 0995 | Reimbursements | \$1,500 | \$- | \$- |
| 6043 | High - Speed Passenger Train Bond Fund | 138,704 | 5,733 | 7,131 |
| | Totals, State Operations | \$140,204 | \$5,733 | \$7,131 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | Program Management and Oversight Contracts | | | |
| | State Operations: | | | |
| 6043 | High - Speed Passenger Train Bond Fund | \$- | \$39,036 | \$3,000 |
| | Totals, State Operations | \$- | \$39,036 | \$3,000 |
| PROGRAM REQUIREMENTS | | | | |
| 30 | Public Information and Communications Contracts | | | |
| | State Operations: | | | |

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|-----------------|-----------------|
| 6043 High - Speed Passenger Train Bond Fund | \$- | \$1,800 | \$1,800 |
| Totals, State Operations | \$- | \$1,800 | \$1,800 |
| PROGRAM REQUIREMENTS | | | |
| 40 Fiscal and Other External Contracts | | | |
| State Operations: | | | |
| 6043 High - Speed Passenger Train Bond Fund | \$- | \$10,055 | \$750 |
| Totals, State Operations | \$- | \$10,055 | \$750 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 140,204 | 56,624 | 12,681 |
| Totals, Expenditures | \$140,204 | \$56,624 | \$12,681 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|------------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 10.3 | 41.5 | 41.5 | \$817 | \$3,563 | \$3,638 |
| Total Adjustments | - | -2.5 | -2.5 | - | -401 | -145 |
| Estimated Salary Savings | - | -1.9 | -1.9 | - | -171 | -171 |
| Net Totals, Salaries and Wages | 10.3 | 37.1 | 37.1 | \$817 | \$2,991 | \$3,322 |
| Staff Benefits | - | - | - | 266 | 1,107 | 1,131 |
| Totals, Personal Services | 10.3 | 37.1 | 37.1 | \$1,083 | \$4,098 | \$4,454 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$139,121 | \$52,526 | \$8,227 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$140,204 | \$56,624 | \$12,681 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|-----------------|-----------------|
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$1,500 | - | - |
| 6043 High - Speed Passenger Train Bond Fund | | | |
| APPROPRIATIONS | | | |
| 004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$139,180 | - | - |
| Adjustment per Section 3.60 | 3 | - | - |
| Reduction per Section 3.90 | -118 | - | - |
| Adjustment per Section 3.55 | -1 | - | - |
| 004 Budget Act appropriation | - | \$57,031 | \$12,681 |
| Allocation for employee compensation | - | 20 | - |
| Adjustment per Section 3.60 | - | 94 | - |
| Reduction per Section 3.90 | - | -196 | - |
| Reduction per Control Section 3.91 | - | -325 | - |
| Totals Available | \$139,064 | \$56,624 | \$12,681 |
| Unexpended balance, estimated savings | -360 | - | - |
| TOTALS, EXPENDITURES | \$138,704 | \$56,624 | \$12,681 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$140,204 | \$56,624 | \$12,681 |

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|---|-----------|-----------|-----------|
| 6043 High - Speed Passenger Train Bond Fund ^B | | | |
| BEGINNING BALANCE | - | \$90,591 | - |
| Prior year adjustments | \$60,945 | - | - |
| Adjusted Beginning Balance | \$60,945 | \$90,591 | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| Proceeds from the Sale of Bonds and Notes | 168,350 | 153,773 | \$130,257 |
| Total Revenues, Transfers, and Other Adjustments | \$168,350 | \$153,773 | \$130,257 |
| Total Resources | \$229,295 | \$244,364 | \$130,257 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2660 Department of Transportation | | | |
| State Operations | - | - | 94 |
| Local Assistance | - | 38,500 | 7,000 |
| Capital Outlay | - | 62,490 | 20,810 |
| 2665 High-Speed Rail Authority | | | |
| State Operations | 138,704 | 56,624 | 12,681 |
| Capital Outlay | - | 86,750 | 89,672 |
| Total Expenditures and Expenditure Adjustments | \$138,704 | \$244,364 | \$130,257 |
| FUND BALANCE | \$90,591 | - | - |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|-------------|-------------|---------------------|----------------|----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 10.3 | 41.5 | 41.5 | \$817 | \$3,563 | \$3,638 |
| Furlough Adjustments | - | - | - | - | -136 | - |
| PLP Adjustments | - | - | - | - | -120 | - |
| Workforce and Administrative Adjustments: | | | | Salary Range | | |
| Civil Engineer Associate | - | -1.0 | -1.0 | 4,960-6,027 | -66 | -66 |
| Associate Transportation Planner | - | -1.0 | -1.0 | 4,619-5,616 | -61 | -61 |
| Office Technician | - | -0.5 | -0.5 | 2,686-3,624 | -18 | -18 |
| Totals, Workforce and Admin. Adjustments | - | -2.5 | -2.5 | \$- | -\$145 | -\$145 |
| Total Adjustments | - | -2.5 | -2.5 | \$- | -\$401 | -\$145 |
| TOTAL SALARIES AND WAGES | 10.3 | 39.0 | 39.0 | \$817 | \$3,162 | \$3,493 |

INFRASTRUCTURE OVERVIEW

The High-Speed Rail Authority is in the process of acquiring real property and right-of-way accesses to enable the development of a high-speed train system between Anaheim and San Francisco with extensions to Sacramento and San Diego.

MAJOR PROJECT CHANGES

- The Governor's Budget provides \$179.3 million (50 percent High-Speed Passenger Train Bond Fund and 50 percent federal funds) for preliminary engineering and environmental review along the San Francisco to Anaheim sections of the high-speed rail system.

SUMMARY OF PROJECTS

| | State Building Program Expenditures | 2009-10* | 2010-11* | 2011-12* |
|----|-------------------------------------|----------|----------|----------|
| 20 | CAPITAL OUTLAY | | | |
| | Major Projects | | | |

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

| State Building Program Expenditures | | 2009-10* | 2010-11* | 2011-12* |
|---|--|-----------------|------------------------|------------------------|
| 20.15 | San Francisco to San Jose | \$- | \$26,150 | \$12,390 |
| 20.15.010 | San Francisco to San Jose | - | 26,150 ^{ADbf} | 12,390 ^{ADbf} |
| 20.25 | San Jose to Merced | \$- | \$26,120 | \$34,920 |
| 20.25.010 | San Jose to Merced | - | 26,120 ^{ADbf} | 34,920 ^{ADbf} |
| 20.30 | Merced to Fresno | \$- | \$16,139 | \$13,358 |
| 20.30.010 | Merced to Fresno | - | 16,139 ^{ADbf} | 13,358 ^{ADbf} |
| 20.40 | Fresno to Bakersfield | \$- | \$38,466 | \$13,884 |
| 20.40.010 | Fresno to Bakersfield | - | 38,466 ^{ADbf} | 13,884 ^{ADbf} |
| 20.45 | Bakersfield to Palmdale | \$- | \$1,815 | \$51,050 |
| 20.45.010 | Bakersfield to Palmdale | - | 1,815 ^{ADbf} | 51,050 ^{ADbf} |
| 20.50 | Palmdale to Los Angeles | \$- | \$35,225 | \$45,392 |
| 20.50.010 | Palmdale to Los Angeles | - | 35,225 ^{ADbf} | 45,392 ^{ADbf} |
| 20.60 | Los Angeles to Anaheim | \$- | \$11,085 | \$8,350 |
| 20.60.010 | Los Angeles to Anaheim | - | 11,085 ^{ADbf} | 8,350 ^{ADbf} |
| 20.70 | Los Angeles to San Diego | \$- | \$3,700 | \$- |
| 20.70.010 | Los Angeles to San Diego | - | 3,700 ^{ADbf} | - |
| 20.80 | Merced to Sacramento | \$- | \$2,800 | \$- |
| 20.80.010 | Merced to Sacramento | - | 2,800 ^{ADbf} | - |
| 20.90 | Altamont Pass | \$- | \$2,750 | \$- |
| 20.90.010 | Altamont Pass | - | 2,750 ^{ADbf} | - |
| Totals, Major Projects | | \$- | \$164,250 | \$179,344 |
| TOTALS, EXPENDITURES, ALL PROJECTS | | \$- | \$164,250 | \$179,344 |
| FUNDING | | 2009-10* | 2010-11* | 2011-12* |
| 0890 | Federal Trust Fund | \$- | \$77,500 | \$89,672 |
| 6043 | High - Speed Passenger Train Bond Fund | - | 86,750 | 89,672 |
| TOTALS, EXPENDITURES, ALL FUNDS | | \$- | \$164,250 | \$179,344 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 3 CAPITAL OUTLAY | | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------------|------------|------------------|------------------|
| 0890 Federal Trust Fund | | | | |
| APPROPRIATIONS | | | | |
| 304 | Budget Act appropriation | - | \$25,000 | \$22,469 |
| 305 | Budget Act appropriation | - | 52,500 | 67,203 |
| TOTALS, EXPENDITURES | | \$- | \$77,500 | \$89,672 |
| 6043 High - Speed Passenger Train Bond Fund | | | | |
| APPROPRIATIONS | | | | |
| 304 | Budget Act appropriation | - | \$28,345 | \$22,469 |
| 305 | Budget Act appropriation | - | 58,405 | 67,203 |
| TOTALS, EXPENDITURES | | \$- | \$86,750 | \$89,672 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | | \$- | \$164,250 | \$179,344 |

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun

The Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun licenses and regulates maritime pilots who guide vessels entering or leaving those bays. The seven members of the Board are appointed by the Governor with the consent of the Senate. All expenses of the Board (except for pilot training and pilot trainee training) are funded by a surcharge on pilotage fees set by the Board. Pilot training programs are funded by a separate surcharge on vessel

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

movements.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|------------|------------|-----------------|-----------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Board of Pilot Commissioners | 3.2 | 4.0 | 4.0 | \$2,448 | \$2,602 | \$2,190 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 3.2 | 4.0 | 4.0 | \$2,448 | \$2,602 | \$2,190 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0290 Board of Pilot Commissioners' Special Fund | | | | \$2,448 | \$2,602 | \$2,190 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$2,448 | \$2,602 | \$2,190 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Harbors and Navigation Code, Section 1150 et seq.

On January 1, 2009, the Board was placed within the Business, Transportation, and Housing Agency, pursuant to Chapter 567, Statutes of 2008 (SB 1627).

MAJOR PROGRAM CHANGES

- The 2011-12 Budget reflects the removal of \$233,000 in limited-term funding associated with the Cosco Busan lawsuits, which are anticipated to be settled in 2010-11.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|--------------|-----------------|--------------|---------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Retirement Rate Adjustment | \$- | \$8 | - | \$- | \$8 | - |
| • Employee Compensation Adjustments | - | -16 | - | - | -5 | - |
| • Workforce Cap Adjustment | - | -17 | -0.2 | - | -17 | -0.2 |
| • Miscellaneous Adjustments | - | - | - | - | -21 | - |
| • One Time Cost Reductions | - | - | - | - | -402 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$25 | -0.2 | \$- | -\$437 | -0.2 |
| Totals, Workload Budget Adjustments | \$- | -\$25 | -0.2 | \$- | -\$437 | -0.2 |
| Totals, Budget Adjustments | \$- | -\$25 | -0.2 | \$- | -\$437 | -0.2 |

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|--|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | BOARD OF PILOT COMMISSIONERS | | | |
| | State Operations: | | | |
| 0290 | Board of Pilot Commissioners' Special Fund | \$2,448 | \$2,602 | \$2,190 |
| | Totals, State Operations | \$2,448 | \$2,602 | \$2,190 |
| ELEMENT REQUIREMENTS | | | | |
| 10.01 | Support | \$1,384 | \$1,311 | \$1,061 |
| | State Operations: | | | |
| 0290 | Board of Pilot Commissioners' Special Fund | 1,384 | 1,311 | 1,061 |

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|----------------|----------------|
| 10.03 Training | \$1,064 | \$1,291 | \$1,129 |
| State Operations: | | | |
| 0290 Board of Pilot Commissioners' Special Fund | 1,064 | 1,291 | 1,129 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 2,448 | 2,602 | 2,190 |
| Totals, Expenditures | \$2,448 | \$2,602 | \$2,190 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---|---------------------------|------------|------------|----------------|----------------|----------------|
| | Positions/Personnel Years | | | | | |
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 3.2 | 4.2 | 4.2 | \$234 | \$286 | \$290 |
| Total Adjustments | - | - | - | - | -10 | - |
| Estimated Salary Savings | - | -0.2 | -0.2 | - | -13 | -13 |
| Net Totals, Salaries and Wages | 3.2 | 4.0 | 4.0 | \$234 | \$263 | \$277 |
| Staff Benefits | - | - | - | 104 | 105 | 111 |
| Totals, Personal Services | 3.2 | 4.0 | 4.0 | \$338 | \$368 | \$388 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$2,110 | \$2,234 | \$1,802 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$2,448 | \$2,602 | \$2,190 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|----------------|----------------|----------------|
| 0290 Board of Pilot Commissioners' Special Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$3,136 | - | - |
| Adjustment per Section 3.60 | 1 | - | - |
| Reduction per Section 3.90 | -27 | - | - |
| Adjustment per Section 3.55 | -2 | - | - |
| 001 Budget Act appropriation | - | \$2,627 | \$2,190 |
| Allocation for employee compensation | - | 2 | - |
| Adjustment per Section 3.60 | - | 8 | - |
| Reduction per Section 3.90 | - | -17 | - |
| Reduction per Control Section 3.91 | - | -18 | - |
| Totals Available | \$3,108 | \$2,602 | \$2,190 |
| Unexpended balance, estimated savings | -660 | - | - |
| TOTALS, EXPENDITURES | \$2,448 | \$2,602 | \$2,190 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,448 | \$2,602 | \$2,190 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------|----------|----------|
| 0290 Board of Pilot Commissioners' Special Fund[§] | | | |
| BEGINNING BALANCE | \$904 | \$1,258 | \$975 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|----------------|----------------|----------------|
| 125700 Other Regulatory Licenses and Permits | 3,046 | 2,315 | 2,315 |
| 150300 Income From Surplus Money Investments | 6 | 4 | 4 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3,052</u> | <u>\$2,319</u> | <u>\$2,319</u> |
| Total Resources | \$3,956 | \$3,577 | \$3,294 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisu (State Operations) | 2,448 | 2,602 | 2,190 |
| 8855 Bureau of State Audits (State Operations) | 250 | - | - |
| Total Expenditures and Expenditure Adjustments | <u>\$2,698</u> | <u>\$2,602</u> | <u>\$2,190</u> |
| FUND BALANCE | \$1,258 | \$975 | \$1,104 |
| Reserve for economic uncertainties | 1,258 | 975 | 1,104 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|---------------------------|------------|------------|--------------|--------------|--------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 3.2 | 4.2 | 4.2 | \$234 | \$286 | \$290 |
| Furlough Adjustments | - | - | - | - | -5 | - |
| PLP Adjustments | - | - | - | - | -5 | - |
| Total Adjustments | <u>-</u> | <u>-</u> | <u>-</u> | <u>\$-</u> | <u>-\$10</u> | <u>\$-</u> |
| TOTALS, SALARIES AND WAGES | 3.2 | 4.2 | 4.2 | \$234 | \$276 | \$290 |

2700 Office of Traffic Safety

The California Office of Traffic Safety's mission is to obtain and effectively administer traffic safety grant funds to reduce deaths, injuries, and economic losses resulting from traffic collisions.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|-----------------|------------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 California Traffic Safety Program | 33.6 | 33.0 | 33.0 | \$96,961 | \$189,537 | \$96,945 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 33.6 | 33.0 | 33.0 | \$96,961 | \$189,537 | \$96,945 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0044 Motor Vehicle Account, State Transportation Fund | | | | \$366 | \$406 | \$428 |
| 0890 Federal Trust Fund | | | | 96,595 | 189,131 | 96,517 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$96,961 | \$189,537 | \$96,945 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapter 5, Article 1.

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|-----------------------------------|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

| | 2010-11* | | | 2011-12* | | |
|--|--------------|-----------------|-----------------|--------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| • Employee Compensation Adjustments | \$- | -\$176 | - | \$- | -\$34 | - |
| • Retirement Rate Adjustment | - | 61 | - | - | 61 | - |
| • Carryover/Reappropriation | - | 42,107 | - | - | -51,176 | - |
| • Miscellaneous Adjustments | - | - | - | - | 549 | - |
| • Workforce Cap Adjustment | - | -123 | -1.0 | - | -123 | -1.0 |
| Totals, Other Workload Budget Adjustments | \$- | \$41,869 | -1.0 | \$- | -\$50,723 | -1.0 |
| Totals, Workload Budget Adjustments | \$- | \$41,869 | -1.0 | \$- | -\$50,723 | -1.0 |
| Totals, Budget Adjustments | \$- | \$41,869 | -1.0 | \$- | -\$50,723 | -1.0 |

PROGRAM DESCRIPTIONS

10 - CALIFORNIA TRAFFIC SAFETY PROGRAM

This program develops the California Highway Safety Plan, which: (1) identifies major traffic safety problems and appropriate programs to address these problems using available state and federal funds; (2) administers grants to state departments and local governments; and (3) coordinates statewide traffic safety programs and activities.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|---------------------------------|--|-----------------|------------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | CALIFORNIA TRAFFIC SAFETY PROGRAM | | | |
| State Operations: | | | | |
| 0044 | Motor Vehicle Account, State Transportation Fund | \$366 | \$406 | \$428 |
| 0890 | Federal Trust Fund | 58,560 | 121,569 | 59,524 |
| Totals, State Operations | | \$58,926 | \$121,975 | \$59,952 |
| Local Assistance: | | | | |
| 0890 | Federal Trust Fund | \$38,035 | \$67,562 | \$36,993 |
| Totals, Local Assistance | | \$38,035 | \$67,562 | \$36,993 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 58,926 | 121,975 | 59,952 |
| Local Assistance | | 38,035 | 67,562 | 36,993 |
| Totals, Expenditures | | \$96,961 | \$189,537 | \$96,945 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|-----------------|------------------|-----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 33.6 | 35.0 | 35.0 | \$1,761 | \$2,208 | \$2,239 |
| Total Adjustments | - | - | - | - | -152 | - |
| Estimated Salary Savings | - | -2.0 | -2.0 | - | -148 | -146 |
| Net Totals, Salaries and Wages | 33.6 | 33.0 | 33.0 | \$1,761 | \$1,908 | \$2,093 |
| Staff Benefits | - | - | - | 750 | 837 | 826 |
| Totals, Personal Services | 33.6 | 33.0 | 33.0 | \$2,511 | \$2,745 | \$2,919 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$2,957 | \$2,731 | \$3,231 |
| SPECIAL ITEMS OF EXPENSE | | | | \$53,458 | \$116,499 | \$53,802 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$58,926 | \$121,975 | \$59,952 |

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

2 Local Assistance

| | Expenditures | | |
|---|-----------------|-----------------|-----------------|
| | 2009-10* | 2010-11* | 2011-12* |
| Other | \$38,035 | \$67,562 | \$36,993 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$38,035 | \$67,562 | \$36,993 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|------------------|-----------------|
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$433 | \$435 | \$428 |
| Allocation for employee compensation | - | 2 | - |
| Adjustment per Section 3.60 | 1 | 7 | - |
| Reduction per Section 3.90 | -34 | -12 | - |
| Reduction per Control Section 3.91 | - | -26 | - |
| Totals Available | \$400 | \$406 | \$428 |
| Unexpended balance, estimated savings | -34 | - | - |
| TOTALS, EXPENDITURES | \$366 | \$406 | \$428 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$58,842 | \$59,064 | \$59,524 |
| Allocation for employee compensation | - | 16 | - |
| Adjustment per Section 3.60 | 5 | 54 | - |
| Reduction per Section 3.90 | -318 | -111 | - |
| Reduction per Section 15.30 | -5 | - | - |
| Reduction per Control Section 3.91 | - | -168 | - |
| Adjustment per Section 3.55 | -1 | - | - |
| Budget Adjustment | 55 | - | - |
| Prior year balances available: | | | |
| Item 2700-001-0890, Budget Act of 2005, as reappropriated by Item 2700-491, Budget Act of 2010 | - | 35,006 | - |
| Item 2700-001-0890, Budget Act of 2006 as reappropriated by Item 2700-491, Budget Act of 2010 | - | 27,663 | - |
| Item 2700-001-0890, Budget Act of 2007 as reappropriated by Item 2700-491, Budget Act of 2010 | - | 27 | - |
| Item 2700-001-0890, Budget Act of 2009 | - | 18 | - |
| Totals Available | \$58,578 | \$121,569 | \$59,524 |
| Balance available in subsequent years | -18 | - | - |
| TOTALS, EXPENDITURES | \$58,560 | \$121,569 | \$59,524 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$58,926 | \$121,975 | \$59,952 |
| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$36,993 | \$36,993 | \$36,993 |
| Budget Adjustment | 1,042 | - | - |
| Prior year balances available: | | | |
| Item 2700-101-0890, Budget Act of 2005 as reappropriated by Item 2700-491, Budget Act of 2010 | - | 16,170 | - |
| Item 2700-101-0890, Budget Act of 2006 as reappropriated by Item 2700-491, Budget Act of 2010 | - | 14,397 | - |

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|------------------|-----------------|
| Item 2700-101-0890, Budget Act of 2007 as reappropriated by Item 2700-491, Budget Act of 2010 | - | 2 | - |
| TOTALS, EXPENDITURES | \$38,035 | \$67,562 | \$36,993 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$38,035 | \$67,562 | \$36,993 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$96,961 | \$189,537 | \$96,945 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 33.6 | 35.0 | 35.0 | \$1,761 | \$2,208 | \$2,239 |
| Furlough Adjustments | - | - | - | - | -80 | - |
| PLP Adjustments | - | - | - | - | -72 | - |
| Total Adjustments | - | - | - | \$- | -\$152 | \$- |
| TOTALS, SALARIES AND WAGES | 33.6 | 35.0 | 35.0 | \$1,761 | \$2,056 | \$2,239 |

2720 Department of the California Highway Patrol

The California Highway Patrol's (CHP's) mission is to ensure the safe, convenient, and efficient transportation of people and goods across the state's highway system and to provide the highest level of safety and security to the facilities and employees of the State of California.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on CHP's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-----------------|-----------------|--------------------|--------------------|--------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 10 Traffic Management | 9,279.9 | 8,969.2 | 8,948.2 | \$1,658,472 | \$1,731,072 | \$1,659,585 |
| 20 Regulation and Inspection | 763.4 | 1,068.7 | 1,067.7 | 136,429 | 206,157 | 193,731 |
| 30 Vehicle Security | 219.0 | 238.6 | 238.6 | 39,137 | 46,032 | 43,194 |
| 40.01 Administration | 1,066.1 | 1,132.2 | 1,126.2 | 111,877 | 305,859 | 230,925 |
| 40.02 Distributed Administration | - | - | - | -111,256 | -305,238 | -230,304 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 11,328.4 | 11,408.7 | 11,380.7 | \$1,834,659 | \$1,983,882 | \$1,897,131 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0042 State Highway Account, State Transportation Fund | | | | \$51,340 | \$59,552 | \$59,746 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | | 1,671,381 | 1,778,903 | 1,699,617 |
| 0293 Motor Carriers Safety Improvement Fund | | | | 1,867 | 2,591 | 2,054 |
| 0840 California Motorcyclist Safety Fund | | | | 1,464 | 2,229 | 2,278 |
| 0890 Federal Trust Fund | | | | 14,127 | 17,991 | 18,296 |
| 0942 Special Deposit Fund | | | | 1,510 | 2,329 | 2,329 |
| 0974 California Peace Officer Memorial Foundation Fund | | | | 167 | 300 | 300 |
| 0995 Reimbursements | | | | 92,803 | 119,987 | 112,511 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$1,834,659 | \$1,983,882 | \$1,897,131 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapters 2, 2.5 and 4, Division 3, Chapters 1 and 6, Division 4, Chapters 1 and 1.5, Division 6, Chapters 1 and 2, Division 11, Chapters 3 and 9, Division 13, Chapter 5, Division 14.1, Chapter 1, Division 14.7, and Division 14.8, and Education Code Section 39831.

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|--|--------------|---------------|-----------------|--------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Motor Carriers Safety: Remove Excess Authority | \$- | \$- | - | \$- | -\$545 | - |
| • CAD Project Extension | - | - | - | - | 7,383 | - |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$- | \$6,838 | - |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compension Adjustment | \$- | -\$1,557 | - | \$- | \$1,979 | - |
| • Retirement Rate Adjustment | - | 36,021 | - | - | 36,021 | - |
| • One Time Cost Reductions | - | - | - | - | -110,187 | - |
| • Full Year Cost of New/Expanded Programs | - | - | - | - | 15,574 | - |
| • Miscellaneous Adjustments | - | - | - | - | 24,489 | - |
| • Lease Revenue Debt Service Adjustment | - | - | - | - | -1 | - |
| • Workforce Cap Adjustment | - | -34,792 | - | - | -61,792 | -276.0 |
| Totals, Other Workload Budget Adjustments | \$- | -\$328 | - | \$- | -\$93,917 | -276.0 |
| Totals, Workload Budget Adjustments | \$- | -\$328 | - | \$- | -\$87,079 | -276.0 |
| Totals, Budget Adjustments | \$- | -\$328 | - | \$- | -\$87,079 | -276.0 |

PROGRAM DESCRIPTIONS

10 - TRAFFIC MANAGEMENT

The objectives of this program are to minimize deaths, injuries, and property losses due to traffic accidents; to reduce traffic delays to the motoring public; to provide protection and assistance to the motoring public, state employees and property including protection of the State Capitol and the surrounding grounds, state constitutional officers and visiting dignitaries; and to curtail the potential for terrorist threat as part of state and federal homeland security efforts. These objectives are achieved through both ground and flight operations.

20 - REGULATION AND INSPECTION

The CHP operates 16 inspection facilities statewide with the objectives of:

- Reducing the incidence of truck and bus accidents attributable to defective vehicle equipment, improper maintenance, loading or securement of cargo, or disqualified drivers.
- Protecting the public from spills of hazardous materials or specially regulated loads and from the improper operation of specified vehicles such as ambulances and armored cars.
- Protecting farm workers transported in farm labor vehicles and children transported in school buses.
- Ensuring that proper registration fees are paid, and protecting highways from excessive weights.

30 - VEHICLE OWNERSHIP SECURITY

This program protects the public from vehicle theft through:

- Investigation and prosecution of the professional vehicle thief.
- Assistance and training of CHP and allied agency personnel.
- Prevention of vehicle theft through public awareness and coordination with the insurance, trucking, construction, auto manufacturing, and auto sale industries.

40 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including executive, administrative, legal, legislative, policy, and information support.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|---------------------------|----------|----------|----------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | TRAFFIC MANAGEMENT | | | |
| | State Operations: | | | |

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|--------------------|--------------------|--------------------|
| 0042 State Highway Account, State Transportation Fund | \$21,418 | \$21,807 | \$21,882 |
| 0044 Motor Vehicle Account, State Transportation Fund | 1,544,345 | 1,591,081 | 1,523,763 |
| 0840 California Motorcyclist Safety Fund | 1,464 | 2,199 | 2,246 |
| 0890 Federal Trust Fund | 536 | 2,623 | 1,740 |
| 0942 Special Deposit Fund | 748 | 1,058 | 1,058 |
| 0995 Reimbursements | <u>89,794</u> | <u>112,004</u> | <u>108,596</u> |
| Totals, State Operations | \$1,658,305 | \$1,730,772 | \$1,659,285 |
| Local Assistance: | | | |
| 0974 California Peace Officer Memorial Foundation Fund | <u>167</u> | <u>300</u> | <u>300</u> |
| Totals, Local Assistance | \$167 | \$300 | \$300 |
| ELEMENT REQUIREMENTS | | | |
| 10.10 Ground Operations | \$1,610,761 | \$1,686,085 | \$1,616,768 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 21,049 | 21,432 | 21,505 |
| 0044 Motor Vehicle Account, State Transportation Fund | 1,497,003 | 1,546,492 | 1,481,345 |
| 0840 California Motorcyclist Safety Fund | 1,464 | 2,199 | 2,246 |
| 0890 Federal Trust Fund | 536 | 2,623 | 1,740 |
| 0942 Special Deposit Fund | 748 | 1,058 | 1,058 |
| 0995 Reimbursements | 89,794 | 111,981 | 108,574 |
| Local Assistance: | | | |
| 0974 California Peace Officer Memorial Foundation Fund | 167 | 300 | 300 |
| 10.20 Flight Operations | \$47,711 | \$44,987 | \$42,817 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 369 | 375 | 377 |
| 0044 Motor Vehicle Account, State Transportation Fund | 47,342 | 44,589 | 42,418 |
| 0995 Reimbursements | - | 23 | 22 |
| PROGRAM REQUIREMENTS | | | |
| 20 REGULATION AND INSPECTION | | | |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | \$29,922 | \$37,745 | \$37,864 |
| 0044 Motor Vehicle Account, State Transportation Fund | 89,671 | 144,679 | 135,495 |
| 0293 Motor Carriers Safety Improvement Fund | 1,867 | 2,591 | 2,054 |
| 0840 California Motorcyclist Safety Fund | - | 22 | 23 |
| 0890 Federal Trust Fund | 13,591 | 15,368 | 16,556 |
| 0942 Special Deposit Fund | 13 | 213 | 213 |
| 0995 Reimbursements | <u>1,365</u> | <u>5,539</u> | <u>1,526</u> |
| Totals, State Operations | \$136,429 | \$206,157 | \$193,731 |
| ELEMENT REQUIREMENTS | | | |
| 20.05 School Pupil Transportation Safety | \$11,193 | \$12,986 | \$12,127 |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | 11,193 | 12,964 | 12,104 |
| 0840 California Motorcyclist Safety Fund | - | 22 | 23 |
| 20.10 Regulated Special Purpose Vehicles | \$2,473 | \$2,841 | \$2,653 |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | 2,473 | 2,841 | 2,653 |
| 20.15 Transportation of Hazardous Materials | \$9,531 | \$11,212 | \$10,751 |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | 9,518 | 10,999 | 10,538 |
| 0942 Special Deposit Fund | 13 | 213 | 213 |

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|--------------------|--------------------|--------------------|
| 20.20 Farm Labor Transportation Safety | \$7 | \$5,001 | \$4,920 |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | 7 | 5,001 | 4,920 |
| 20.25 Commercial Vehicle Inspection Enforcement | \$81,492 | \$133,858 | \$122,952 |
| State Operations: | | | |
| 0042 State Highway Account, State Transportation Fund | 29,922 | 37,745 | 37,864 |
| 0044 Motor Vehicle Account, State Transportation Fund | 43,170 | 83,224 | 76,660 |
| 0293 Motor Carriers Safety Improvement Fund | 1,867 | 2,591 | 2,054 |
| 0890 Federal Trust Fund | 5,168 | 4,759 | 4,848 |
| 0995 Reimbursements | 1,365 | 5,539 | 1,526 |
| 20.45 Motor Carrier Safety Operations | \$31,733 | \$40,259 | \$40,328 |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | 23,310 | 29,650 | 28,620 |
| 0890 Federal Trust Fund | 8,423 | 10,609 | 11,708 |
| PROGRAM REQUIREMENTS | | | |
| 30 VEHICLE SECURITY | | | |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | \$37,365 | \$43,143 | \$40,359 |
| 0840 California Motorcyclist Safety Fund | - | 8 | 9 |
| 0942 Special Deposit Fund | 749 | 1,058 | 1,058 |
| 0995 Reimbursements | 1,023 | 1,823 | 1,768 |
| Totals, State Operations | \$39,137 | \$46,032 | \$43,194 |
| ELEMENT REQUIREMENTS | | | |
| 30.10 Vehicle Theft Control | \$35,178 | \$41,489 | \$38,964 |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | 33,406 | 38,600 | 36,129 |
| 0840 California Motorcyclist Safety Fund | - | 8 | 9 |
| 0942 Special Deposit Fund | 749 | 1,058 | 1,058 |
| 0995 Reimbursements | 1,023 | 1,823 | 1,768 |
| 30.20 Vehicle Identification Numbering Program | \$3,959 | \$4,543 | \$4,230 |
| State Operations: | | | |
| 0044 Motor Vehicle Account, State Transportation Fund | 3,959 | 4,543 | 4,230 |
| PROGRAM REQUIREMENTS | | | |
| 40 ADMINISTRATION | | | |
| State Operations: | | | |
| 0995 Reimbursements | \$621 | \$621 | \$621 |
| Totals, State Operations | \$621 | \$621 | \$621 |
| ELEMENT REQUIREMENTS | | | |
| 40.01 Administration | 111,877 | 305,859 | 230,925 |
| 40.02 Distributed Administration | -111,256 | -305,238 | -230,304 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 1,834,492 | 1,983,582 | 1,896,831 |
| Local Assistance | 167 | 300 | 300 |
| Totals, Expenditures | \$1,834,659 | \$1,983,882 | \$1,897,131 |

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-----------------|-----------------|--------------------|--------------------|--------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 11,328.4 | 11,658.2 | 11,838.2 | \$1,007,585 | \$1,053,673 | \$1,072,630 |
| Total Adjustments | - | - | -14.0 | - | -3,043 | -536 |
| Estimated Salary Savings | - | -249.5 | -443.5 | - | -13,704 | -32,564 |
| Net Totals, Salaries and Wages | 11,328.4 | 11,408.7 | 11,380.7 | \$1,007,585 | \$1,036,926 | \$1,039,530 |
| Staff Benefits | - | - | - | 338,665 | 505,555 | 471,223 |
| Totals, Personal Services | 11,328.4 | 11,408.7 | 11,380.7 | \$1,346,250 | \$1,542,481 | \$1,510,753 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$488,242 | \$441,101 | \$386,078 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$1,834,492 | \$1,983,582 | \$1,896,831 |

| 2 Local Assistance | Expenditures | | |
|---|--------------|--------------|--------------|
| | 2009-10* | 2010-11* | 2011-12* |
| Grants and Subventions | \$167 | \$300 | \$300 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$167 | \$300 | \$300 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Ch. 1, Statutes of 2009, Fourth Extraordinary Session | \$60,404 | - | - |
| Adjustment per Section 3.60 | -819 | - | - |
| Reduction per Section 3.90 | -639 | - | - |
| Adjustment per Section 3.55 | -19 | - | - |
| 001 Budget Act appropriation | - | \$59,641 | \$59,746 |
| Allocation for employee compensation | - | 376 | - |
| Adjustment per Section 3.60 | - | 1,085 | - |
| Reduction per Section 3.90 | - | -1,127 | - |
| Reduction per Control Section 3.91 | - | -423 | - |
| Totals Available | \$58,927 | \$59,552 | \$59,746 |
| Unexpended balance, estimated savings | -7,587 | - | - |
| TOTALS, EXPENDITURES | \$51,340 | \$59,552 | \$59,746 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$1,791,293 | - | - |
| Adjustment per Section 3.60 | -24,285 | - | - |
| Reduction per Section 3.90 | -16,895 | - | - |
| Reduction per Section 15.30 | -1,128 | - | - |
| Adjustment per Section 3.55 | -557 | - | - |
| 001 Budget Act appropriation | - | \$1,780,599 | \$1,698,669 |
| Allocation for employee compensation | - | 11,239 | - |
| Adjustment per Section 3.60 | - | 32,421 | - |
| Reduction per Section 3.90 | - | -33,665 | - |
| Reduction per Control Section 3.91 | - | -12,640 | - |

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|--------------------|--------------------|--------------------|
| 003 Budget Act appropriation (lease revenue debt) | 947 | 949 | 948 |
| Adjustment per Section 4.30 | -17 | - | - |
| 011 Budget Act appropriation (Advanced Authorization) | (10,000) | (10,000) | (10,000) |
| 021 Budget Act appropriation (Advanced Authorization) | <u>(5,000)</u> | <u>(5,000)</u> | <u>(5,000)</u> |
| Totals Available | \$1,749,358 | \$1,778,903 | \$1,699,617 |
| Unexpended balance, estimated savings | <u>-77,977</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,671,381 | \$1,778,903 | \$1,699,617 |
| 0293 Motor Carriers Safety Improvement Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,575 | \$2,547 | \$2,054 |
| Allocation for employee compensation | - | 16 | - |
| Adjustment per Section 3.60 | -35 | 46 | - |
| Reduction per Section 3.90 | -26 | - | - |
| Reduction per Control Section 3.91 | - | -18 | - |
| Adjustment per Section 3.55 | <u>-1</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$2,513 | \$2,591 | \$2,054 |
| Unexpended balance, estimated savings | <u>-646</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,867 | \$2,591 | \$2,054 |
| 0840 California Motorcyclist Safety Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$1,662 | - | - |
| 001 Budget Act appropriation | <u>-</u> | <u>\$2,229</u> | <u>\$2,278</u> |
| Totals Available | \$1,662 | \$2,229 | \$2,278 |
| Unexpended balance, estimated savings | <u>-198</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,464 | \$2,229 | \$2,278 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$19,222 | - | - |
| Adjustment per Section 3.60 | -260 | - | - |
| Reduction per Section 3.90 | -171 | - | - |
| Adjustment per Section 3.55 | -5 | - | - |
| Budget Adjustment | -4,659 | - | - |
| 001 Budget Act appropriation | - | \$17,682 | \$18,296 |
| Allocation for employee compensation | - | 112 | - |
| Adjustment per Section 3.60 | - | 322 | - |
| Reduction per Control Section 3.91 | <u>-</u> | <u>-125</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$14,127 | \$17,991 | \$18,296 |
| 0903 State Penalty Fund | | | |
| APPROPRIATIONS | | | |
| 012 Budget Act appropriation (transfer to California Motorcyclist Safety Fund) | <u>(\$250)</u> | <u>(\$250)</u> | <u>(\$250)</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0942 Special Deposit Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Hazardous Substance Account) | \$213 | \$213 | \$213 |
| 011 Budget Act appropriation (Asset Forfeiture Account) | <u>2,116</u> | <u>2,116</u> | <u>2,116</u> |
| Totals Available | \$2,329 | \$2,329 | \$2,329 |
| Unexpended balance, estimated savings | <u>-819</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,510 | \$2,329 | \$2,329 |

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|--------------------|--------------------|--------------------|
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$92,803 | \$119,987 | \$112,511 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$1,834,492 | \$1,983,582 | \$1,896,831 |
| | | | |
| 2 LOCAL ASSISTANCE | 2009-10* | 2010-11* | 2011-12* |
| 0974 California Peace Officer Memorial Foundation Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$300 | \$300 | \$300 |
| Totals Available | \$300 | \$300 | \$300 |
| Unexpended balance, estimated savings | -133 | - | - |
| TOTALS, EXPENDITURES | \$167 | \$300 | \$300 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$167 | \$300 | \$300 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$1,834,659 | \$1,983,882 | \$1,897,131 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|---|----------|----------|----------|
| 0293 Motor Carriers Safety Improvement Fund ^s | | | |
| BEGINNING BALANCE | \$3,655 | \$3,377 | \$2,143 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | 1,549 | 1,333 | 1,333 |
| 150300 Income From Surplus Money Investments | 24 | 19 | 19 |
| Transfers and Other Adjustments: | | | |
| FO0412 From Transportation Rate Fund per Public Utilities Code Section 5003.1 | 20 | 17 | 17 |
| Total Revenues, Transfers, and Other Adjustments | \$1,593 | \$1,369 | \$1,369 |
| Total Resources | \$5,248 | \$4,746 | \$3,512 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 4 | 10 | 6 |
| 2720 Department of the California Highway Patrol (State Operations) | 1,867 | 2,591 | 2,054 |
| 8880 Financial Information System for California (State Operations) | - | 2 | 11 |
| Total Expenditures and Expenditure Adjustments | \$1,871 | \$2,603 | \$2,071 |
| FUND BALANCE | \$3,377 | \$2,143 | \$1,441 |
| Reserve for economic uncertainties | 3,377 | 2,143 | 1,441 |

CHANGES IN AUTHORIZED POSITIONS

| | <u>Positions/Personnel Years</u> | | | <u>Expenditures</u> | | |
|---|----------------------------------|----------|----------|-----------------------|-------------|-------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 11,328.4 | 11,658.2 | 11,838.2 | \$1,007,585 | \$1,053,673 | \$1,072,630 |
| PLP Adjustments | - | - | - | - | -3,043 | - |
| Workload and Administrative Adjustments: | | | | \$Salary Range | | |
| Reductions in Authorized Positions: | | | | | | |
| Assoc Auto Equipt Standards Engineer | - | - | -1.0 | \$6,898-8,378 | - | -92 |
| Assoc Govtl Program Analyst | - | - | -1.0 | \$4,400-5,348 | - | -58 |
| Executive Secretary | - | - | -1.0 | \$3,020-3,672 | - | -40 |
| Supervising Program Techn I | - | - | -1.0 | \$2,745-3,334 | - | -36 |
| Office Techn-Typing | - | - | -3.0 | \$2,686-3,264 | - | -107 |
| Maintenance Worker | - | - | -1.0 | \$2,391-2,805 | - | -31 |
| Office Asst-Typing | - | - | -4.0 | \$2,143-2,826 | - | -119 |

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|-----------------|-----------------|--------------------|--------------------|--------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Custodian | - | - | -1.0 | \$2,098-2,549 | - | -28 |
| Asst Clerk | - | - | -1.0 | \$1,852-2,251 | - | -25 |
| Totals, Workload & Admin Adjustments: | - | - | -14.0 | - | - | -536 |
| Total Adjustments | - | - | -14.0 | \$- | -\$3,043 | -\$536 |
| TOTALS, SALARIES AND WAGES | 11,328.4 | 11,658.2 | 11,824.2 | \$1,007,585 | \$1,050,630 | \$1,072,094 |

INFRASTRUCTURE OVERVIEW

The California Highway Patrol utilizes over 500 facilities of varying types statewide, which include 10 field division offices, 102 area commands, 8 air operations offices, 34 resident posts, 31 commercial vehicle inspection/scale facilities, 23 platform scales sites, 25 communications centers, 272 telecommunication sites, a training academy and various administrative facilities. These facilities comprise approximately 1.4 million gross square feet of state-owned properties and 400,000 gross square feet of leased property and support the Department's mission to provide the highest level of safety, service, and security to the people of California.

SUMMARY OF PROJECTS

| State Building Program Expenditures | | 2009-10* | 2010-11* | 2011-12* |
|---|--|----------------------|-----------------------|-----------------------|
| 50 | CAPITAL OUTLAY | | | |
| | Major Projects | | | |
| 50.04 | CALIFORNIA HIGHWAY PATROL ENHANCED RADIO SYSTEM | \$4,012 | \$9,946 | \$33,658 |
| 50.04.004 | Replace Towers and Vaults - Phase 1 | 4,012 ^{PWs} | 7,126 ^{PWcs} | 21,362 ^{ACs} |
| 50.04.005 | Replace Towers and Vaults - Phase 2 | - | 2,820 ^{PWs} | 12,296 ^{ACs} |
| 50.40 | OAKHURST | \$- | \$10,171 | \$- |
| 50.40.400 | Replacement Facility | - | 10,171 ^{Cs} | - |
| 50.57 | SANTA FE SPRINGS | \$76 | \$6,480 | \$19,575 |
| 50.57.507 | Replacement Facility | 76 ^{Ps} | 6,480 ^{AWs} | 19,575 ^{Cs} |
| 50.63 | OCEANSIDE | \$- | \$1,544 | \$18,317 |
| 50.63.603 | Replacement Facility | - | 1,544 ^{Ws} | 18,317 ^{Cs} |
| | Totals, Major Projects | \$4,088 | \$28,141 | \$71,550 |
| TOTALS, EXPENDITURES, ALL PROJECTS | | \$4,088 | \$28,141 | \$71,550 |
| FUNDING | | 2009-10* | 2010-11* | 2011-12* |
| 0044 | Motor Vehicle Account, State Transportation Fund | \$4,088 | \$28,141 | \$71,550 |
| TOTALS, EXPENDITURES, ALL FUNDS | | \$4,088 | \$28,141 | \$71,550 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$6,334 | - | - |
| 301 Budget Act appropriation | - | \$42,192 | \$50,388 |
| Prior year balances available: | | | |
| Item 2720-301-0044, Budget Act of 2007, as reappropriated by Item 2720-491, Budget Act of 2010 | 5,230 | 5,154 | - |
| Item 2720-301-0044, Budget Act of 2009, as partially reverted by Item 2720-495, Budget Act of 2010 | - | 2,114 | - |
| 301 Budget Act appropriation | - | - | 21,162 |
| Totals Available | \$11,564 | \$49,460 | \$71,550 |
| Unexpended balance, estimated savings | -208 | -157 | - |

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|---|----------------|-----------------|-----------------|
| Balance available in subsequent years | -7,268 | -21,162 | - |
| TOTALS, EXPENDITURES | \$4,088 | \$28,141 | \$71,550 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | \$4,088 | \$28,141 | \$71,550 |

2740 Department of Motor Vehicles

The mission of the Department of Motor Vehicles (DMV) is to serve the public by providing licensing and motor vehicle-related services.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on DMV's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|----------------|----------------|------------------|------------------|------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| 11 Vehicle/Vessel Identification and Compliance | 4,046.6 | 4,025.2 | 4,009.0 | \$472,330 | \$502,937 | \$508,934 |
| 22 Driver Licensing and Personal Identification | 2,040.0 | 2,015.1 | 2,011.9 | 221,764 | 245,802 | 244,286 |
| 25 Driver Safety | 1,173.3 | 1,175.3 | 1,182.0 | 104,658 | 114,566 | 116,646 |
| 32 Occupational Licensing and Investigative Services | 457.2 | 451.2 | 450.5 | 43,042 | 46,686 | 49,659 |
| 35 New Motor Vehicle Board | 12.6 | 20.7 | 20.7 | 1,670 | 1,972 | 2,036 |
| 41.01 Administration | 586.3 | 579.0 | 576.8 | 86,666 | 102,486 | 103,439 |
| 41.02 Distributed Administration | - | - | - | -86,666 | -102,486 | -103,439 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 8,316.0 | 8,266.5 | 8,250.9 | \$843,464 | \$911,963 | \$921,561 |
| FUNDING | | | | 2009-10* | 2010-11* | 2011-12* |
| 0042 State Highway Account, State Transportation Fund | | | | \$47,007 | \$53,170 | \$47,201 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | | 470,505 | 525,714 | 525,115 |
| 0054 New Motor Vehicle Board Account | | | | 1,670 | 1,972 | 2,036 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | | | | 305,785 | 307,736 | 325,053 |
| 0516 Harbors and Watercraft Revolving Fund | | | | 3,660 | 1,539 | 4,252 |
| 0890 Federal Trust Fund | | | | 801 | 8,173 | 3,832 |
| 0995 Reimbursements | | | | 14,036 | 13,544 | 13,887 |
| 3162 Gold Star License Plate Account, Specialized License Plate Fund | | | | - | 115 | 185 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$843,464 | \$911,963 | \$921,561 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapters 1 and 6, Divisions 3, 3.5, 5, 6, 6.5, 6.7, 7, 9, 10, Sections 20012 and 20014, 11.5, 14.85, 16.5, Chapters 1, 2, and 16.7; Revenue and Taxation Code, Division 2, Part 5; The National Voter Registration Act of 1993, Title 42 US Code; The Help America Vote Act of 2002, Family Code Section 17520; Administrative Procedures Act; Government Code, Title 2, Division 3, Part 1, Chapter 4; Health and Safety Code Section 103900; Code of Civil Procedure, Sections 1985, 1985.1, 1985.2, 1985.3, 1985.4, 1985.6, 1987; Evidence Code, Divisions 2, 3, 5, 6, 7, 8, 9, 10, and 11.

MAJOR PROGRAM CHANGES

- The Budget transfers \$71.6 million in non-Article XIX revenues from the Motor Vehicle Account to the General Fund.
- The Budget includes \$2.3 million to implement revised licensing standards for traffic violator schools.
- The Budget includes \$1.4 million for permanent workload to process temporary operating permits and administer ignition interlock device requirements.

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

DETAILED BUDGET ADJUSTMENTS

| | 2010-11* | | | 2011-12* | | |
|---|--------------|------------------|-----------------|-------------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Traffic Violator School Program (Ch. 599/2010) | \$- | \$- | - | \$- | \$2,308 | - |
| • Privacy and Security Enhancement Project | - | - | - | - | 918 | - |
| • Ignition Interlock Device Workload | - | - | - | - | 511 | 8.5 |
| • Temporary Operating Permit Workload | - | - | - | - | 369 | 6.6 |
| • Consolidated Commercial Driver License Center-- Southern Los Angeles | - | - | - | - | 20 | - |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$- | \$4,126 | 15.1 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$33,459 | - | \$- | -\$3,285 | - |
| • Retirement Rate Adjustment | - | 10,791 | - | - | 10,791 | - |
| • Limited Term Positions/Expiring Programs | - | - | - | - | -2,386 | - |
| • Expiring Vehicle License Fee for Local Public Safety Programs | - | - | - | -441,516 | 441,516 | - |
| • One Time Cost Reductions | - | - | - | - | -5,628 | - |
| • Full Year Cost of New/Expanded Programs | - | - | - | - | 2,672 | - |
| • Expenditure Transfers | - | -8 | - | - | - | - |
| • Miscellaneous Adjustments | - | - | - | - | -19,368 | - |
| • Workforce Cap Adjustment | - | -24,533 | -183.6 | - | -24,533 | -183.6 |
| Totals, Other Workload Budget Adjustments | \$- | -\$47,209 | -183.6 | -\$441,516 | \$399,779 | -183.6 |
| Totals, Workload Budget Adjustments | \$- | -\$47,209 | -183.6 | -\$441,516 | \$403,905 | -168.5 |
| Totals, Budget Adjustments | \$- | -\$47,209 | -183.6 | -\$441,516 | \$403,905 | -168.5 |

PROGRAM DESCRIPTIONS

11 - VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE

The objective of this program is to establish identification and ownership of vehicles and vessels of California residents, assure compliance with various related laws, collect revenue for various state and local government programs, and provide information from vehicle and vessel records to state and local agencies.

22 - DRIVER LICENSING AND PERSONAL IDENTIFICATION

The objective of this program is to evaluate the eligibility and ability of applicants for original and renewal driver licenses, to issue driver licenses and/or identification cards to those who meet specific criteria, and to provide information from driver license and identification card records to state and local law enforcement agencies.

25 - DRIVER SAFETY

The objective of the Driver Safety program is to enhance safety for the motoring public by monitoring, suspending, and revoking the driving privilege of unsafe licensed drivers operating on public roadways.

32 - OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

The objective of this program is to enhance consumer protection by licensing and regulating principal segments of motor vehicle-related businesses that provide services related to the sale and use of vehicles in California and enforce laws within the Department's jurisdiction by means of criminal and administrative investigations.

35 - NEW MOTOR VEHICLE BOARD

The primary objectives of this Board are to enhance relations between the dealers and manufacturers throughout the state by resolving disputes in the new motor vehicle industry in an efficient, fair and cost-effective manner and to assist consumers in mediating disputes with dealers and manufacturers.

41 - ADMINISTRATION

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

The Administration Program provides services to support programmatic responsibilities of the department including executive, administrative, legal, legislative, policy, and information support. Support services include accounting, budgeting, facility maintenance and operations, human resources, mail operations, printing services, procurement and contracting, training, and labor relations.

DETAILED EXPENDITURES BY PROGRAM

| | | 2009-10* | 2010-11* | 2011-12* |
|-----------------------------|---|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | | |
| 11 | VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE | | | |
| | State Operations: | | | |
| 0042 | State Highway Account, State Transportation Fund | \$47,007 | \$53,170 | \$47,201 |
| 0044 | Motor Vehicle Account, State Transportation Fund | 104,009 | 128,368 | 120,633 |
| 0064 | Motor Vehicle License Fee Account, Transportation Tax Fund | 305,785 | 307,736 | 325,053 |
| 0516 | Harbors and Watercraft Revolving Fund | 3,660 | 1,539 | 4,252 |
| 0890 | Federal Trust Fund | - | 1,090 | 518 |
| 0995 | Reimbursements | 11,869 | 10,919 | 11,092 |
| 3162 | Gold Star License Plate Account, Specialized License Plate Fund | - | 115 | 185 |
| | Totals, State Operations | \$472,330 | \$502,937 | \$508,934 |
| PROGRAM REQUIREMENTS | | | | |
| 22 | DRIVER LICENSING AND PERSONAL IDENTIFICATION | | | |
| | State Operations: | | | |
| 0044 | Motor Vehicle Account, State Transportation Fund | \$220,764 | \$238,426 | \$240,711 |
| 0890 | Federal Trust Fund | 711 | 6,689 | 2,718 |
| 0995 | Reimbursements | 289 | 687 | 857 |
| | Totals, State Operations | \$221,764 | \$245,802 | \$244,286 |
| PROGRAM REQUIREMENTS | | | | |
| 25 | DRIVER SAFETY | | | |
| | State Operations: | | | |
| 0044 | Motor Vehicle Account, State Transportation Fund | \$103,625 | \$112,619 | \$114,716 |
| 0890 | Federal Trust Fund | - | 17 | - |
| 0995 | Reimbursements | 1,033 | 1,930 | 1,930 |
| | Totals, State Operations | \$104,658 | \$114,566 | \$116,646 |
| PROGRAM REQUIREMENTS | | | | |
| 32 | OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES | | | |
| | State Operations: | | | |
| 0044 | Motor Vehicle Account, State Transportation Fund | \$42,107 | \$46,301 | \$49,055 |
| 0890 | Federal Trust Fund | 90 | 377 | 596 |
| 0995 | Reimbursements | 845 | 8 | 8 |
| | Totals, State Operations | \$43,042 | \$46,686 | \$49,659 |
| PROGRAM REQUIREMENTS | | | | |
| 35 | NEW MOTOR VEHICLE BOARD | | | |
| | State Operations: | | | |
| 0054 | New Motor Vehicle Board Account | \$1,670 | \$1,972 | \$2,036 |
| | Totals, State Operations | \$1,670 | \$1,972 | \$2,036 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 843,464 | 911,963 | 921,561 |
| | Totals, Expenditures | \$843,464 | \$911,963 | \$921,561 |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|----------------|----------------|------------------|------------------|------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 8,316.0 | 8,672.8 | 8,640.3 | \$340,725 | \$405,895 | \$410,488 |
| Total Adjustments | - | - | -145.0 | - | -21,476 | -10,878 |
| Estimated Salary Savings | - | -406.3 | -244.4 | - | -25,148 | -18,613 |
| Net Totals, Salaries and Wages | 8,316.0 | 8,266.5 | 8,250.9 | \$340,725 | \$359,271 | \$380,997 |
| Staff Benefits | - | - | - | 162,215 | 184,795 | 192,997 |
| Totals, Personal Services | 8,316.0 | 8,266.5 | 8,250.9 | \$502,940 | \$544,066 | \$573,994 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$340,524 | \$367,897 | \$347,567 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$843,464 | \$911,963 | \$921,561 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$52,731 | - | - |
| Adjustment per Section 3.60 | 49 | - | - |
| Reduction per Section 3.90 | -3,755 | - | - |
| Reduction per Section 15.30 | -340 | - | - |
| Adjustment per Section 3.55 | -41 | - | - |
| 001 Budget Act appropriation | - | \$55,821 | \$47,201 |
| Allocation for employee compensation | - | 207 | - |
| Adjustment per Section 3.60 | - | 606 | - |
| Reduction per Section 3.90 | - | -1,378 | - |
| Reduction per Control Section 3.91 | - | -2,086 | - |
| Totals Available | \$48,644 | \$53,170 | \$47,201 |
| Unexpended balance, estimated savings | -1,637 | - | - |
| TOTALS, EXPENDITURES | \$47,007 | \$53,170 | \$47,201 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$532,386 | - | - |
| Adjustment per Section 3.60 | 507 | - | - |
| Reduction per Section 3.90 | -38,471 | - | - |
| Reduction per Section 15.30 | -5,397 | - | - |
| Transfer to Legislative Claims (9670) | -1 | - | - |
| Adjustment per Section 3.55 | -416 | - | - |
| 001 Budget Act appropriation | - | \$552,895 | \$525,115 |
| Allocation for employee compensation | - | 2,125 | - |
| Adjustment per Section 3.60 | - | 6,206 | - |
| Reduction per Section 3.90 | - | -14,126 | - |
| Transfer to Legislative Claims (9670) | - | -8 | - |
| Reduction per Control Section 3.91 | - | -21,378 | - |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| 002 Budget Act appropriation | 7,926 | - | - |
| 011 Budget Act appropriation (transfer to the General Fund) as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | (70,000) | - | - |
| 011 Budget Act appropriation (transfer to the General Fund) | - | (72,200) | (71,600) |
| 012 Budget Act appropriation (transfer to General Fund) | - | (180,000) | - |
| Totals Available | \$496,534 | \$525,714 | \$525,115 |
| Unexpended balance, estimated savings | -26,029 | - | - |
| TOTALS, EXPENDITURES | \$470,505 | \$525,714 | \$525,115 |
| 0054 New Motor Vehicle Board Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,076 | \$2,098 | \$2,036 |
| Allocation for employee compensation | - | 13 | - |
| Adjustment per Section 3.60 | 3 | 39 | - |
| Reduction per Section 3.90 | -177 | -62 | - |
| Reduction per Control Section 3.91 | - | -116 | - |
| Totals Available | \$1,902 | \$1,972 | \$2,036 |
| Unexpended balance, estimated savings | -232 | - | - |
| TOTALS, EXPENDITURES | \$1,670 | \$1,972 | \$2,036 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session | \$343,024 | - | - |
| Adjustment per Section 3.60 | 322 | - | - |
| Reduction per Section 3.90 | -24,424 | - | - |
| Reduction per Section 15.30 | -2,211 | - | - |
| Adjustment per Section 3.55 | -264 | - | - |
| 001 Budget Act appropriation | - | \$324,987 | \$325,053 |
| Allocation for employee compensation | - | 1,349 | - |
| Adjustment per Section 3.60 | - | 3,940 | - |
| Reduction per Section 3.90 | - | -8,967 | - |
| Reduction per Control Section 3.91 | - | -13,573 | - |
| Totals Available | \$316,447 | \$307,736 | \$325,053 |
| Unexpended balance, estimated savings | -10,662 | - | - |
| TOTALS, EXPENDITURES | \$305,785 | \$307,736 | \$325,053 |
| 0516 Harbors and Watercraft Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,405 | \$1,539 | \$4,252 |
| Totals Available | \$4,405 | \$1,539 | \$4,252 |
| Unexpended balance, estimated savings | -745 | - | - |
| TOTALS, EXPENDITURES | \$3,660 | \$1,539 | \$4,252 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,435 | \$8,173 | \$3,832 |
| Budget Adjustment | -1,634 | - | - |
| TOTALS, EXPENDITURES | \$801 | \$8,173 | \$3,832 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$14,036 | \$13,544 | \$13,887 |
| 3162 Gold Star License Plate Account, Specialized License Plate Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

| 1 STATE OPERATIONS | 2009-10* | 2010-11* | 2011-12* |
|---|------------------|------------------|------------------|
| 001 Budget Act appropriation | - | \$115 | \$185 |
| TOTALS, EXPENDITURES | \$- | \$115 | \$185 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$843,464 | \$911,963 | \$921,561 |

FUND CONDITION STATEMENTS

| | 2009-10* | 2010-11* | 2011-12* |
|--|-----------|-----------|-----------|
| 0044 Motor Vehicle Account, State Transportation Fund^s | | | |
| BEGINNING BALANCE | \$180,385 | \$369,067 | \$110,846 |
| Prior year adjustments | 102,445 | - | - |
| Adjusted Beginning Balance | \$282,830 | \$369,067 | \$110,846 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 114100 Motor Vehicle Registration | 2,037,041 | 2,052,000 | 2,100,000 |
| 114200 Driver's License Fees | 241,782 | 237,000 | 194,500 |
| 114300 Other Motor Vehicle Fees | 36,801 | 38,033 | 37,533 |
| 114400 Identification Card Fees | 25,296 | 25,500 | 26,000 |
| 114500 Lien Sale Application Fees | 1,097 | 1,108 | 1,119 |
| 120900 Off-Highway Vehicle Fees | 6,128 | 6,000 | 6,500 |
| 121000 Liquor License Fees | 364 | 367 | 371 |
| 125600 Other Regulatory Fees | 6,471 | 6,536 | 6,601 |
| 125700 Other Regulatory Licenses and Permits | 17,849 | 18,028 | 18,208 |
| 131700 Misc Revenue From Local Agencies | 31 | 31 | 31 |
| 131900 Rev Local Govt Agencies-Cost Recoveries | 8,850 | 8,939 | 9,028 |
| 140900 Parking Lot Revenues | 484 | 489 | 494 |
| 141200 Sales of Documents | 3,066 | 3,097 | 3,128 |
| 142500 Miscellaneous Services to the Public | 66,955 | 67,500 | 68,500 |
| 143000 Personalized License Plates | 5 | 5 | 5 |
| 150300 Income From Surplus Money Investments | 2,762 | 3,000 | 3,000 |
| 152200 Rentals of State Property | 53 | 54 | 54 |
| 161000 Escheat of Unclaimed Checks & Warrants | 2,304 | 2,326 | 2,350 |
| 161400 Miscellaneous Revenue | 3,322 | 3,355 | 3,388 |
| 163000 Settlements/Judgments(not Anti-trust) | 216 | 218 | 221 |
| 164000 Uninsured Motorist Fees | 445 | 449 | 454 |
| 164100 Traffic Violations | 10,108 | 10,209 | 10,311 |
| 164400 Civil & Criminal Violation Assessment | 11,983 | 12,103 | 12,224 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 2740-012-0044, Budget Act of 2010 | - | - | 40,000 |
| FO0115 From Air Pollution Control Fund loan repayment per Item 3900-011-0044, Budget Act of 2007 | - | 5,500 | 5,500 |
| FO0115 From Air Pollution Control Fund loan repayment per Item 0555-011-0044, Budget Act of 2007 | - | 100 | 100 |
| FO0140 From California Environmental License Plate Fund per Public Resources Code Section 21191 | 3,890 | 3,890 | 3,890 |
| TO0001 To General Fund loan per Item 2740-012-0044, Budget Act of 2010 | - | -180,000 | - |
| TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2009 | -70,000 | - | - |
| TO0001 To General Fund per Government Code Section 16475 | -737 | -60 | -60 |
| TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2011 | - | - | -71,600 |
| TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2010 | - | -72,200 | - |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|---|--------------------|--------------------|--------------------|
| TO0042 To State Highway Account, State Transportation Fund per Government Code Section 16475 | -471 | -500 | -500 |
| TO0064 To Motor Vehicle License Fee Account, Transportation Tax Fund per Government Code Section 16475 | -253 | -300 | -300 |
| TO0140 To California Environmental License Plate Fund per Government Code Section 16475 | -21 | -25 | -25 |
| TO0261 To Off Highway License Fee Fund per Government Code Section 16475 | -1 | -5 | -5 |
| TO0263 To Off-Highway Vehicle Trust Fund per Government Code Section 16475 | -9 | -9 | -9 |
| TO0840 To California Motorcyclist Safety Fund per Government Code Section 16475 | -1 | -5 | -5 |
| TO8038 To Donate Life California Trust Subaccount per Government | - | -5 | -5 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$2,415,810</u> | <u>\$2,252,728</u> | <u>\$2,481,001</u> |
| Total Resources | \$2,698,640 | \$2,621,795 | \$2,591,847 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch (State Operations) | 176 | 190 | 194 |
| 0502 California Technology Agency (Capital Outlay) | - | 1,406 | - |
| 0520 Secretary for Business, Transportation and Housing (State Operations) | 1,433 | 1,433 | 1,571 |
| 0555 Secretary for Environmental Protection (State Operations) | 1,813 | 1,838 | 1,869 |
| 0820 Department of Justice (State Operations) | 23,690 | 24,146 | 24,709 |
| 0840 State Controller (State Operations) | 3,714 | 8,984 | 4,927 |
| 1730 Franchise Tax Board (State Operations) | 2,218 | 2,913 | 3,014 |
| 2700 Office of Traffic Safety (State Operations) | 366 | 406 | 428 |
| 2720 Department of the California Highway Patrol | | | |
| State Operations | 1,671,381 | 1,778,903 | 1,699,617 |
| Capital Outlay | 4,088 | 28,141 | 71,550 |
| 2740 Department of Motor Vehicles | | | |
| State Operations | 470,505 | 525,714 | 525,115 |
| Capital Outlay | 33,031 | 6,584 | 13,532 |
| 3360 Energy Resources Conservation and Development Commission (State Operations) | 139 | 141 | 140 |
| 3900 Air Resources Board | | | |
| State Operations | 92,377 | 101,353 | 107,180 |
| Local Assistance | 10,111 | 10,111 | 10,111 |
| 3980 Office of Environmental Health Hazard Assessment (State Operations) | 2,702 | 3,675 | 3,988 |
| 4265 Department of Public Health (State Operations) | 1,032 | 1,535 | 1,590 |
| 8570 Department of Food and Agriculture (State Operations) | 5,431 | 6,533 | 6,612 |
| 8880 Financial Information System for California (State Operations) | - | 1,508 | 2,117 |
| 8885 Commission on State Mandates (Local Assistance) | 2,150 | 2,625 | 2,940 |
| 9651 Prefunding Health and Dental Benefits for Annuitants (State Operations) | 3,215 | 2,802 | - |
| 9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations) | 1 | 8 | - |
| Total Expenditures and Expenditure Adjustments | <u>\$2,329,573</u> | <u>\$2,510,949</u> | <u>\$2,481,204</u> |
| FUND BALANCE | \$369,067 | \$110,846 | \$110,643 |
| Reserve for economic uncertainties | 369,067 | 110,846 | 110,643 |
| 0054 New Motor Vehicle Board Account ^s | | | |
| BEGINNING BALANCE | \$2,064 | \$1,504 | \$760 |
| Prior year adjustments | <u>27</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$2,091 | \$1,504 | \$760 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

| | 2009-10* | 2010-11* | 2011-12* |
|--|------------------|------------------|------------------|
| 121300 New Motor Vehicle Dealer License Fee | 1,076 | 1,220 | 1,281 |
| 142500 Miscellaneous Services to the Public | 2 | 3 | 3 |
| 161400 Miscellaneous Revenue | 5 | 5 | 5 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,083</u> | <u>\$1,228</u> | <u>\$1,289</u> |
| Total Resources | \$3,174 | \$2,732 | \$2,049 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 2740 Department of Motor Vehicles (State Operations) | <u>1,670</u> | <u>1,972</u> | <u>2,036</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$1,670</u> | <u>\$1,972</u> | <u>\$2,036</u> |
| FUND BALANCE | \$1,504 | \$760 | \$13 |
| Reserve for economic uncertainties | 1,504 | 760 | 13 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund^s | | | |
| BEGINNING BALANCE | -\$23,368 | \$6,119 | \$1 |
| Prior year adjustments | <u>31,867</u> | - | - |
| Adjusted Beginning Balance | \$8,499 | \$6,119 | \$1 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 113600 Motor Vehicle License (In-Lieu) Fees | 481,581 | 490,398 | 514,923 |
| 150300 Income From Surplus Money Investments | 74 | 100 | 100 |
| Transfers and Other Adjustments: | | | |
| FO0044 From Motor Vehicle Account, State Transportation Fund per Government Code Section 16475 | 253 | 300 | 300 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$481,908</u> | <u>\$490,798</u> | <u>\$515,323</u> |
| Total Resources | \$490,407 | \$496,917 | \$515,324 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 18 | 27 | 482 |
| 1730 Franchise Tax Board (State Operations) | 4,164 | 5,475 | 5,662 |
| 2740 Department of Motor Vehicles | | | |
| State Operations | 305,785 | 307,736 | 325,053 |
| Capital Outlay | 22,837 | 4,618 | 9,519 |
| 8880 Financial Information System for California (State Operations) | - | 163 | 1,408 |
| 9430 Apportionment of Motor Vehicle License Fees (Local Assistance) | <u>151,484</u> | <u>178,897</u> | <u>173,199</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$484,288</u> | <u>\$496,916</u> | <u>\$515,323</u> |
| FUND BALANCE | \$6,119 | \$1 | \$1 |
| Reserve for economic uncertainties | 6,119 | 1 | 1 |
| 0487 Financial Responsibility Penalty Account^s | | | |
| BEGINNING BALANCE | \$1,100 | \$904 | \$904 |
| Prior year adjustments | <u>-7</u> | - | - |
| Adjusted Beginning Balance | \$1,093 | \$904 | \$904 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 164100 Traffic Violations | 890 | 1,000 | 1,000 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund per Vehicle Code Section 16072 | <u>-1,079</u> | <u>-1,000</u> | <u>-1,000</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>-\$189</u> | - | - |
| Total Resources | <u>\$904</u> | <u>\$904</u> | <u>\$904</u> |
| FUND BALANCE | \$904 | \$904 | \$904 |
| Reserve for economic uncertainties | 904 | 904 | 904 |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|----------------|----------------|---------------------|------------------|------------------|
| | 2009-10 | 2010-11 | 2011-12 | 2009-10* | 2010-11* | 2011-12* |
| Totals, Authorized Positions | 8,316.0 | 8,672.8 | 8,640.3 | \$340,725 | \$405,895 | \$410,488 |
| Furlough Adjustments | - | - | - | - | -13,458 | - |
| PLP Adjustments | - | - | - | - | -8,018 | - |
| Workload and Administrative Adjustments | | | | Salary Range | | |
| Licensing Operations Division | | | | | | |
| Driver Safety Branch | | | | | | |
| Senior Motor Vehicle Technician | - | - | 6.0 | 2,951-3,588 | - | 235 |
| Motor Vehicle Technician | - | - | 3.0 | 2,450-3,209 | - | 102 |
| Field Operations Division | | | | | | |
| General Administration | | | | | | |
| Manager I | - | - | 1.0 | 3,338-4,055 | - | 44 |
| Motor Vehicle Field Representative | - | - | 6.0 | 2,280-3,209 | - | 198 |
| Workforce Cap Adjustment | | | | | | |
| Temporary Help | - | - | -161.0 | 2,280-3,209 | - | -6,215 |
| Overtime | - | - | - | - | - | -5,242 |
| Totals, Workload and Admin Adjustments | - | - | -145.0 | \$- | -\$21,476 | -\$10,878 |
| Total Adjustments | - | - | -145.0 | \$- | -\$21,476 | -\$10,878 |
| TOTALS, SALARIES AND WAGES | 8,316.0 | 8,672.8 | 8,495.3 | \$340,725 | \$384,419 | \$399,610 |

INFRASTRUCTURE OVERVIEW

The Department of Motor Vehicles operates 229 facilities statewide consisting of an estimated 1.6 million gross square feet of state-owned properties and 1.0 million gross square feet of agency-leased properties. Many offices contain multiple programs, which include vehicle/vessel identification and compliance, driver license and personal identification, driver safety, occupational licensing and investigation. These properties support the Department's mission to protect the public's interest in vehicle management, ownership and safety as well as the regulation of the motor vehicle industry and the protection of personal information and identity.

SUMMARY OF PROJECTS

| | | State Building Program Expenditures | 2009-10* | 2010-11* | 2011-12* |
|--------------|--|-------------------------------------|----------------------|---------------------|----------------------|
| 71 | CAPITAL OUTLAY | | | | |
| | Major Projects | | | | |
| 71.03 | SACRAMENTO HEADQUARTERS BUILDING | | \$58,922 | \$878 | \$- |
| 71.03.018 | 1st Floor Asbestos Removal and Seismic Retrofit | | - | 878 ^{Cs} | - |
| 71.03.024 | 6th Floor Asbestos Removal, Seismic Retrofit, and Building Re-skin | | 58,922 ^{Cs} | - | - |
| 71.06 | REDDING | | \$- | \$237 | \$2,912 |
| 71.06.020 | Field Office Reconfiguration Project | | - | 237 ^{Ws} | 2,912 ^{Cs} |
| 71.20 | SAN BERNARDINO | | \$41 | \$2,239 | \$- |
| 71.20.020 | Field Office Reconfiguration Project | | 41 ^{Ws} | 2,239 ^{Cs} | - |
| 71.31 | GRASS VALLEY | | \$- | \$- | \$648 |
| 71.31.010 | Field Office Replacement Project | | - | - | 648 ^{Ps} |
| 71.37 | OAKLAND | | \$- | \$155 | \$2,078 |
| 71.37.011 | Second Floor Reconfiguration Project-Field Office Project | | - | 155 ^{Ws} | 2,078 ^{Cs} |
| 71.43 | STOCKTON | | \$- | \$3,495 | \$- |
| 71.43.020 | Field Office Reconfiguration Project | | - | 3,495 ^{Cs} | - |
| 71.61 | FRESNO | | \$- | \$1,174 | \$18,719 |
| 71.61.010 | Field Office Replacement Project | | - | 1,174 ^{Ws} | 18,719 ^{Cs} |
| 71.63 | VICTORVILLE | | \$- | \$3,659 | \$- |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

| State Building Program Expenditures | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|---------------------|-----------------|
| 71.63.010 Field Office Reconfiguration Project | - | 3,659 ^{Cs} | - |
| Totals, Major Projects | \$58,963 | \$11,837 | \$24,357 |
| TOTALS, EXPENDITURES, ALL PROJECTS | \$58,963 | \$11,837 | \$24,357 |

| FUNDING | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| 0042 State Highway Account, State Transportation Fund | \$3,095 | \$635 | \$1,306 |
| 0044 Motor Vehicle Account, State Transportation Fund | 33,031 | 6,584 | 13,532 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | <u>22,837</u> | <u>4,618</u> | <u>9,519</u> |
| TOTALS, EXPENDITURES, ALL FUNDS | \$58,963 | \$11,837 | \$24,357 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|--|-----------------|-----------------|-----------------|
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | - | \$1,853 | \$39 |
| Prior year balances available: | | | |
| Item 2740-301-0042, Budget Act of 2002 | - | 0 | - |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | - | 49 | - |
| Item 2740-301-0042, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008 | \$4,326 | - | - |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | 2 | - | - |
| Item 2740-301-0042, Budget Act of 2010 | - | - | <u>1,267</u> |
| Totals Available | \$4,328 | \$1,902 | \$1,306 |
| Unexpended balance, estimated savings | -1,233 | - | - |
| Balance available in subsequent years | - | <u>-1,267</u> | - |
| TOTALS, EXPENDITURES | \$3,095 | \$635 | \$1,306 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | - | \$19,226 | \$383 |
| Prior year balances available: | | | |
| Item 2740-301-0044, Budget Act of 2002 | - | 0 | - |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | - | 507 | - |
| Item 2740-301-0044, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008 | \$46,155 | - | - |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | 23 | - | - |
| Item 2740-301-0044, Budget Act of 2010 | - | - | <u>13,149</u> |
| Totals Available | \$46,178 | \$19,733 | \$13,532 |
| Unexpended balance, estimated savings | -13,147 | - | - |
| Balance available in subsequent years | - | <u>-13,149</u> | - |
| TOTALS, EXPENDITURES | \$33,031 | \$6,584 | \$13,532 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | - | \$13,589 | \$226 |
| Prior year balances available: | | | |
| Item 2740-301-0064, Budget Act of 2002 | - | 0 | - |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | - | 322 | - |
| Item 2740-301-0064, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008 | \$31,910 | - | - |
| Augmentation per Government Code Sections 16352, 16409 and 16354 | 16 | - | - |

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

| 3 CAPITAL OUTLAY | 2009-10* | 2010-11* | 2011-12* |
|---|-----------------|-----------------|-----------------|
| Item 2740-301-0064, Budget Act of 2010 | - | - | 9,293 |
| Totals Available | \$31,926 | \$13,911 | \$9,519 |
| Unexpended balance, estimated savings | -9,089 | - | - |
| Balance available in subsequent years | - | -9,293 | - |
| TOTALS, EXPENDITURES | \$22,837 | \$4,618 | \$9,519 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | \$58,963 | \$11,837 | \$24,357 |

* Dollars in thousands, except in Salary Range.