



# **Legislative, Judicial, and Executive**

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Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

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## 0100 Legislature

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Each Senator serves no more than two terms. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

### 3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10	Senate	40.0	40.0	40.0	\$109,350	\$115,692	\$115,692
20	Assembly	80.0	80.0	80.0	146,716	152,438	152,438
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>120.0</b>	<b>120.0</b>	<b>120.0</b>	<b>\$256,066</b>	<b>\$268,130</b>	<b>\$268,130</b>
<b>FUNDING</b>					<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001	General Fund				\$256,066	\$268,130	\$268,130
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>					<b>\$256,066</b>	<b>\$268,130</b>	<b>\$268,130</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 2 and 7.5.

\* Dollars in thousands, except in Salary Range.

## 0100 Legislature - Continued

## Senate Expenditures by Category

	2012-13*	2013-14*	2014-15*
<b>General Fund Expenses:</b>			
Salaries of Senators	\$4,273	\$4,764	\$4,865
Mileage of Senators	11	11	11
Session Per Diem	999	1,600	1,650
<b>Totals, General Fund Expenses</b>	<b>\$5,283</b>	<b>\$6,375</b>	<b>\$6,526</b>
<b>Operating Fund Expenses:</b>			
Salaries and Employee Benefits	\$94,481	\$98,860	\$98,860
Travel and Per Diem	1,257	1,251	1,251
Automotive Expenses	253	344	253
Automotive Repairs	50	45	50
Telephone	117	67	68
Postage	50	613	613
Freight	62	61	63
Office Supplies	155	157	158
Printing	501	478	501
Publications	68	60	69
Building Expense	2,073	2,038	2,114
Office Alterations	0	0	0
Furniture and Equipment Expense	106	104	106
Contracts	492	547	536
Meals	36	46	36
Ceremonies and Events	24	21	24
All Other Expenses	573	709	548
<b>Total, Operating Fund Expenses</b>	<b>\$100,298</b>	<b>\$105,401</b>	<b>\$105,250</b>
<b>Operating Fund Transfers:</b>			
Legislative Analyst	\$3,769	\$3,916	\$3,916
<b>Total, Fund Transfers</b>	<b>\$3,769</b>	<b>\$3,916</b>	<b>\$3,916</b>
<b>TOTAL, Senate Expenses</b>	<b>\$109,350</b>	<b>\$115,692</b>	<b>\$115,692</b>

\* Dollars in thousands, except in Salary Range.

0100 Legislature - Continued

Assembly Expenditures By Category

	2012-13*	2013-14*	2014-15*
<b>General Fund Expenses:</b>			
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<b>Totals, General Fund Expenses</b>	<b>\$10,761</b>	<b>\$11,259</b>	<b>\$11,579</b>
<b>Operating Fund Expenses:</b>			
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+GD),)D%F& I&B"%\$'	>4	>4	>5
AJG%B,&:D " :@ KG\$:%DG\$&	?66	?46	?46
CG#%@%:9 LD%#%D%&2 /"%:D&:"M&2 " :@ I&:D	?2?8?	?2?8?	?2?8?
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P),,G:%M"D%):'	42?55	428>8	42??7
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;G-#%M"D%):'	63	63	63
!DG@. P):D\$'MD'	7	87	87
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<b>Total, Operating Fund Expenses</b>	<b>\$114,148</b>	<b>\$115,175</b>	<b>\$115,480</b>
<b>Operating Fund Transfers:</b>			
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<b>Total, Fund Transfers</b>	<b>\$21,807</b>	<b>\$26,004</b>	<b>\$25,379</b>
<b>TOTAL, Assembly Expenses</b>	<b>\$146,716</b>	<b>\$152,438</b>	<b>\$152,438</b>

\* Dollars in thousands, except in Salary Range.

## 0100 Legislature - Continued

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	\$109,350	\$115,692	\$115,692
011 Budget Act appropriation (Assembly)	146,716	152,438	152,438
021 Budget Act appropriation	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$256,066</b>	<b>\$268,130</b>	<b>\$268,130</b>
<b>0125 Assembly Operating Fund</b>			
APPROPRIATIONS			
Government Code Section 9129	<u>\$146,716</u>	<u>\$152,438</u>	<u>\$152,438</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$146,716</b>	<b>\$152,438</b>	<b>\$152,438</b>
Less funding provided by the General Fund	<u>-146,716</u>	<u>-152,438</u>	<u>-152,438</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0348 Senate Operating Fund</b>			
APPROPRIATIONS			
Government Code Section 9129	<u>\$109,350</u>	<u>\$115,692</u>	<u>\$115,692</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$109,350</b>	<b>\$115,692</b>	<b>\$115,692</b>
Less funding provided by the General Fund	<u>-109,350</u>	<u>-115,692</u>	<u>-115,692</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$256,066</b>	<b>\$268,130</b>	<b>\$268,130</b>

## FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>0125 Assembly Operating Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$153	\$134	\$134
Prior year adjustments	<u>-19</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$134	\$134	\$134
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0120 Assembly (State Operations)	146,716	152,438	152,438
Expenditure Adjustments:			
0120 Assembly			
Less funding provided by the General Fund (State Operations)	<u>-146,716</u>	<u>-152,438</u>	<u>-152,438</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$134	\$134	\$134
Reserve for economic uncertainties	134	134	134
<b>0160 Operating Funds of the Assembly and Senate <sup>s</sup></b>			
BEGINNING BALANCE	\$32	\$23	\$23
Prior year adjustments	<u>-9</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$23</u>	<u>\$23</u>	<u>\$23</u>
FUND BALANCE	\$23	\$23	\$23
Reserve for economic uncertainties	23	23	23
<b>0348 Senate Operating Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$9	\$9	\$9
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0110 Senate (State Operations)	109,350	115,692	115,692

\* Dollars in thousands, except in Salary Range.

**0100 Legislature - Continued**

	2012-13*	2013-14*	2014-15*
Expenditure Adjustments:			
0110 Senate			
Less funding provided by the General Fund (State Operations)	-109,350	-115,692	-115,692
Total Expenditures and Expenditure Adjustments	-	-	-
FUND BALANCE	\$9	\$9	\$9
Reserve for economic uncertainties	9	9	9

**0150 Contributions to the Legislators' Retirement System**

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings. Member contributions are dependent upon the member's first enrollment date in the Legislators' Retirement System. Members enrolled prior to March 4, 1972, contribute 4 percent of salary while members enrolled after that date contribute 8 percent of salary. The state's contribution to the Legislators' Retirement System varies each year and is based upon the amount needed to pay future benefits. In years when member contributions and investment earnings exceed the amount needed to pay benefits, the state does not make any contributions. Between 2000-01 and 2011-12, the Legislators' Retirement System was super-funded and neither the state nor members were required to contribute. Beginning in 2012-13, contributions resumed for the state and members.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990.

Effective January 1, 2013, the Legislators' Retirement System was closed to all new members by the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340). New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Legislators' Retirement System	-	-	-	\$7,400	\$7,398	\$7,938
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	-	-	-	<b>\$7,400</b>	<b>\$7,398</b>	<b>\$7,938</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0820 Legislators' Retirement Fund				\$7,400	\$7,398	\$7,938
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$7,400</b>	<b>\$7,398</b>	<b>\$7,938</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1.

**DETAILED BUDGET ADJUSTMENTS**

	<u>2013-14*</u>			<u>2014-15*</u>		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Miscellaneous Adjustments	\$-	-\$449	-	\$-	\$91	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>-\$449</b>	<b>-</b>	<b>\$-</b>	<b>\$91</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>-\$449</b>	<b>-</b>	<b>\$-</b>	<b>\$91</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>-\$449</b>	<b>-</b>	<b>\$-</b>	<b>\$91</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range.

**0150 Contributions to the Legislators' Retirement System - Continued**

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\* Dollars in thousands, except in Salary Range.



**0150 Contributions to the Legislators' Retirement System - Continued****0820 Legislators' Retirement Fund Summary**

<b>0820 Legislators' Retirement Fund</b>	<b>PY</b> <b>2012-13</b>	<b>CY</b> <b>2013-14</b>	<b>BY</b> <b>2014-15</b>
<b>Beginning Balance</b>	\$122,975	\$122,315	\$122,077
<b>Revenues:</b>			
Investment Income	\$7,018	\$7,033	\$7,019
State Contributions	80	761	761
Member Contributions	31	11	15
Contribution Refunds	-	(213)	(138)
<b>Total Revenues</b>	<b>\$7,129</b>	<b>\$7,592</b>	<b>\$7,657</b>
<b>Expenditures:</b>			
Pension Benefit Payments	\$7,400	\$7,398	\$7,938
Administrative Expenditures	389	432	432
<b>Total Expenditures</b>	<b>\$7,789</b>	<b>\$7,830</b>	<b>\$8,370</b>
<b>Ending Fund Balance</b>	<b>\$122,315</b>	<b>\$122,077</b>	<b>\$121,364</b>

\* Dollars in thousands, except in Salary Range.

## 0150 Contributions to the Legislators' Retirement System - Continued

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

<b>4 UNCLASSIFIED</b>	2012-13*	2013-14*	2014-15*
<b>0820 Legislators' Retirement Fund</b>			
APPROPRIATIONS			
Government Code Section 9359.1	<u>\$7,400</u>	<u>\$7,398</u>	<u>\$7,938</u>
<b>TOTALS, EXPENDITURES</b>	<u><b>\$7,400</b></u>	<u><b>\$7,398</b></u>	<u><b>\$7,938</b></u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)</b>	<b>\$7,400</b>	<b>\$7,398</b>	<b>\$7,938</b>

### 0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending as counsel meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Legislative Counsel Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Support	<u>541.5</u>	<u>630.0</u>	<u>630.0</u>	<u>\$88,823</u>	<u>\$92,671</u>	<u>\$92,718</u>
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>541.5</b>	<b>630.0</b>	<b>630.0</b>	<b>\$88,823</b>	<b>\$92,671</b>	<b>\$92,718</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$73,064	\$76,332	\$75,922
0995 Reimbursements				232	131	131
9740 Central Service Cost Recovery Fund				<u>15,527</u>	<u>16,208</u>	<u>16,665</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$88,823</b>	<b>\$92,671</b>	<b>\$92,718</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code, Sections 10200-10248 and 10270-10282.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$784	\$172	-	\$823	\$180	-
• Retirement Rate Adjustment	245	54	-	245	54	-
• Miscellaneous Adjustments	-	-	-	-449	449	-
<b>Totals, Other Workload Budget Adjustments</b>	<u><b>\$1,029</b></u>	<u><b>\$226</b></u>	<b>-</b>	<u><b>\$619</b></u>	<u><b>\$683</b></u>	<b>-</b>

\* Dollars in thousands, except in Salary Range.

**0160 Legislative Counsel Bureau - Continued**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Workload Budget Adjustments	\$1,029	\$226	-	\$619	\$683	-
Totals, Budget Adjustments	\$1,029	\$226	-	\$619	\$683	-

**DETAILED EXPENDITURES BY PROGRAM**

		2012-13*	2013-14*	2014-15*
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>SUPPORT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$73,064	\$76,332	\$75,922
0995	Reimbursements	232	131	131
9740	Central Service Cost Recovery Fund	15,527	16,208	16,665
	<b>Totals, State Operations</b>	<b>\$88,823</b>	<b>\$92,671</b>	<b>\$92,718</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	88,823	92,671	92,718
	<b>Totals, Expenditures</b>	<b>\$88,823</b>	<b>\$92,671</b>	<b>\$92,718</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	541.5	630.0	630.0	\$40,621	\$45,405	\$45,938
Total Adjustments	-	-	-	-	682	682
<b>Net Totals, Salaries and Wages</b>	<b>541.5</b>	<b>630.0</b>	<b>630.0</b>	<b>\$40,621</b>	<b>\$46,087</b>	<b>\$46,620</b>
Staff Benefits	-	-	-	17,403	19,744	19,972
<b>Totals, Personal Services</b>	<b>541.5</b>	<b>630.0</b>	<b>630.0</b>	<b>\$58,024</b>	<b>\$65,831</b>	<b>\$66,592</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				<b>\$30,799</b>	<b>\$26,840</b>	<b>\$26,126</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$88,823</b>	<b>\$92,671</b>	<b>\$92,718</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$74,064	\$75,303	\$75,922
Allocation for employee compensation	227	784	-
Adjustment per Section 3.60	820	245	-
Adjustment per Section 4.05	-2,047	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$73,064</b>	<b>\$76,332</b>	<b>\$75,922</b>
<b>0995 Reimbursements</b>			
<b>APPROPRIATIONS</b>			
Reimbursements	\$232	\$131	\$131
<b>9740 Central Service Cost Recovery Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$15,746	\$15,982	\$16,665
Allocation for employee compensation	50	172	-

\* Dollars in thousands, except in Salary Range.

## 0160 Legislative Counsel Bureau - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Adjustment per Section 3.60	180	54	-
Adjustment per Section 4.05	-449	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$15,527</b>	<b>\$16,208</b>	<b>\$16,665</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$88,823</b>	<b>\$92,671</b>	<b>\$92,718</b>

### CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	541.5	630.0	630.0	\$40,621	\$45,405	\$45,938
Salary Adjustments	-	-	-	-	682	682
<b>Total Adjustments</b>	-	-	-	-	<b>682</b>	<b>682</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>541.5</b>	<b>630.0</b>	<b>630.0</b>	<b>\$40,621</b>	<b>\$46,087</b>	<b>\$46,620</b>

## 0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, Statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007. The Trial Court Facilities Act of 2002 also established several new revenue sources, which went into effect on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Judicial Branch's Capital Outlay Program see "Infrastructure Overview."

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Supreme Court	144.4	170.4	170.4	\$42,678	\$44,262	\$44,927
20 Courts of Appeal	765.4	866.5	866.5	202,020	207,824	211,211
30 Judicial Council	599.5	682.6	682.6	134,775	141,528	140,943
35 Judicial Branch Facility Program	107.3	152.5	152.5	195,105	266,771	331,637
45 State Trial Court Funding	-	-	-	2,237,495	2,442,708	2,531,164
50 Habeas Corpus Resource Center	79.3	92.0	92.0	12,617	13,775	13,964
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>1,695.9</b>	<b>1,964.0</b>	<b>1,964.0</b>	<b>\$2,824,690</b>	<b>\$3,116,868</b>	<b>\$3,273,846</b>

FUNDING		2012-13*	2013-14*	2014-15*
0001	General Fund	\$747,578	\$1,219,757	\$1,325,043
0044	Motor Vehicle Account, State Transportation Fund	188	198	195
0159	State Trial Court Improvement and Modernization Fund	26,090	28,992	33,507

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

<b>FUNDING</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0327 Court Interpreters' Fund	164	166	164
0587 Family Law Trust Fund	1,324	2,656	2,656
0890 Federal Trust Fund	3,543	6,826	6,804
0932 Trial Court Trust Fund	1,544,517	1,465,688	1,448,267
0995 Reimbursements	71,247	98,660	85,180
3037 State Court Facilities Construction Fund	59,640	68,955	129,525
3060 Appellate Court Trust Fund	6,459	6,600	6,791
3066 Court Facilities Trust Fund	96,266	104,955	101,756
3085 Mental Health Services Fund	1,061	1,052	1,037
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	266,912	111,061	131,919
8059 State Community Corrections Performance Incentive Fund	734	1,300	1,000
9728 Judicial Branch Workers' Compensation Fund	-1,033	2	2
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>	<b>\$2,824,690</b>	<b>\$3,116,868</b>	<b>\$3,273,846</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

California Constitution, Article VI.

PROGRAM AUTHORITY

45-State Trial Court Funding:

California Constitution, Article VI, Section 4.

45.45 Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

50-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

**MAJOR PROGRAM CHANGES**

- Support for Trial Court Operations-The Budget includes an increase of \$100 million General Fund to support trial court operations that will be allocated using the new Workload-based Allocation and Funding Methodology.
- State-Level Judiciary-The Budget includes an increase of \$5 million General Fund for the state-level judiciary to support court operations and for the Judicial Council to provide statewide support and oversight of state funds.
- Facility Modification Program-The Budget includes an increase of \$15 million State Court Facilities Construction Fund to support trial court facility maintenance and modification projects.

**DETAILED BUDGET ADJUSTMENTS**

	<b>2013-14*</b>			<b>2014-15*</b>		
	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Judicial Branch Augmentation	\$-	\$-	-	\$105,000	\$-	-
• Trial Court Facility Modification Program	-	-	-	-	15,000	-
• Temporary Space-Renovation of Willows Courthouse	-	-	-	-	807	-
• Special Notice Fee-Probate (Chapter 382, Statutes 2013)	-	-	-	-	190	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$105,000</b>	<b>\$15,997</b>	<b>-</b>
<b>Other Workload Budget Adjustments</b>						

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Retirement Rate Adjustment	\$985	\$237	-	\$985	\$237	-
• Full Year Cost of New/Expanded Programs	-	-	-	389	19,382	-
• Carryover/Reappropriation	-	2,081	-	-	-	-
• Miscellaneous Adjustments	729	-33,072	-15.9	729	-61,447	-15.9
• Lease Revenue Debt Service Adjustment	-	113	-	-104	46,882	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$1,714</b>	<b>-\$30,641</b>	<b>-15.9</b>	<b>\$1,999</b>	<b>\$5,054</b>	<b>-15.9</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$1,714</b>	<b>-\$30,641</b>	<b>-15.9</b>	<b>\$106,999</b>	<b>\$21,051</b>	<b>-15.9</b>
<b>Totals, Budget Adjustments</b>	<b>\$1,714</b>	<b>-\$30,641</b>	<b>-15.9</b>	<b>\$106,999</b>	<b>\$21,051</b>	<b>-15.9</b>

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

### Trial Court Funding, Expenditures, and Positions - 2012-13 and 2013-14

**Trial Court Funding, Expenditures, and Positions**  
2012-13 and 2013-14

	2012-13 Actual				2013-14 Estimated			
	State Funding <sup>1</sup> (Program 45)	Non-State Funding <sup>1</sup>	Total Court Expenditures <sup>1</sup>	Filled Positions as of 7/1/2012 <sup>2</sup>	State Funding <sup>3</sup> (Program 45)	Non-State Funding <sup>3</sup>	Total Court Expenditures <sup>3</sup>	Filled Positions as of 7/1/2013 <sup>2</sup>
Alameda	\$82,979,172	\$5,381,089	\$89,801,341	731	\$85,346,875	\$4,476,277	\$95,964,859	684
Alpine	299,378	35,927	424,614	4	Pending	Pending	Pending	3
Amador	2,155,466	83,142	2,930,329	34	2,492,673	105,184	2,773,393	31
Butte	8,744,200	899,728	13,426,769	112	10,665,742	916,795	12,394,975	112
Calaveras	1,885,934	185,868	2,999,045	29	2,533,652	171,850	3,472,460	29
Colusa	1,033,552	272,877	2,327,674	15	1,689,813	262,733	2,635,297	15
Contra Costa	44,876,619	4,840,668	57,353,222	324	49,828,073	4,910,814	63,331,347	297
Del Norte	1,232,724	157,181	3,590,915	28	2,952,347	143,353	4,285,998	27
El Dorado	6,768,737	507,064	8,874,119	84	7,511,177	445,597	8,597,142	71
Fresno	51,662,476	3,890,439	56,269,097	471	51,429,967	3,910,984	58,157,064	429
Glenn	2,219,493	622,430	3,022,182	28	2,267,564	610,732	3,457,199	23
Humboldt	7,199,964	227,112	7,650,397	84	7,045,238	224,605	8,492,496	86
Imperial	6,184,162	1,834,891	12,299,907	142	9,496,625	1,750,525	11,805,221	130
Inyo	1,061,671	222,956	3,077,834	21	2,400,387	222,658	3,476,685	19
Kern	39,781,070	14,341,580	58,849,459	442	43,774,511	14,392,790	64,973,513	353
Kings	7,445,466	801,709	9,029,763	89	8,036,690	1,000,210	9,358,467	86
Lake	3,642,176	53,085	3,701,630	30	3,589,329	57,066	4,120,979	29
Lassen	2,306,499	351,580	3,273,961	36	2,806,227	234,120	3,038,414	31
Los Angeles	563,710,154	36,944,276	667,068,283	4,852	605,938,000	33,811,000	692,702,000	4,409
Madera	7,299,221	316,111	8,909,833	97	8,181,526	259,486	10,003,236	97
Marin	14,725,702	526,508	17,658,528	132	15,062,243	505,675	17,448,210	125
Mariposa	961,863	103,277	1,348,398	13	1,232,573	165,852	1,713,887	13
Mendocino	6,145,732	323,756	5,924,878	58	5,710,053	251,600	6,478,947	51
Merced	11,956,177	480,410	13,232,353	138	14,018,229	484,484	16,718,133	118
Modoc	1,084,001	77,426	1,214,185	13	1,079,984	79,327	1,243,301	11
Mono	929,074	144,110	1,915,832	15	1,458,034	166,081	2,102,614	16
Monterey	16,731,966	633,151	20,603,856	190	19,661,662	941,502	21,102,614	180
Napa	7,930,031	683,913	9,161,372	72	8,348,499	649,705	10,417,586	72
Nevada	6,292,616	368,047	7,073,388	59	5,980,167	656,541	8,789,632	57
Orange	150,933,035	22,995,952	194,323,095	1,566	161,778,412	24,767,048	205,654,003	1,477
Placer	15,112,187	542,661	16,715,746	124	15,328,286	615,569	17,000,256	107
Plumas	1,239,905	14,161	1,728,049	15	1,656,319	16,052	2,194,348	13
Riverside	95,944,767	21,755,525	125,806,609	1,115	102,814,282	22,179,256	129,466,469	1,078
Sacramento	75,296,394	4,598,552	86,671,173	672	79,762,923	4,075,235	94,852,129	637
San Benito	2,209,722	98,720	3,442,734	28	3,055,913	61,200	3,579,964	26
San Bernardino	77,488,610	6,544,856	99,600,098	984	91,544,150	5,834,286	112,819,968	877
San Diego	156,389,091	12,650,376	176,043,706	1,324	153,971,370	12,013,226	170,895,963	1,259
San Francisco	71,497,578	5,168,863	73,494,102	414	68,665,433	3,488,516	76,556,350	451
San Joaquin	30,284,924	2,495,256	31,506,603	266	29,952,013	2,425,679	33,181,321	249
San Luis Obispo	13,193,244	1,418,768	16,082,159	140	16,015,694	1,332,751	19,815,924	130
San Mateo	34,795,448	1,186,812	39,260,469	269	36,324,814	1,072,430	41,075,976	262
Santa Barbara	22,925,661	2,823,280	29,609,774	267	24,740,287	2,537,723	29,907,242	250
Santa Clara	85,219,297	9,280,130	109,687,355	815	89,726,771	8,494,980	106,886,718	735
Santa Cruz	12,671,589	998,606	13,946,016	114	13,335,569	922,305	14,554,053	119
Shasta	10,968,268	2,924,914	16,008,191	166	12,422,144	2,966,121	16,117,491	185
Sierra	555,048	70,085	740,005	4	587,448	46,000	645,076	4
Siskiyou	3,327,816	378,594	5,003,028	45	4,111,570	345,526	5,637,011	41
Solano	20,985,685	1,238,666	21,762,779	212	21,252,027	1,153,015	23,833,429	209
Sonoma	23,248,905	2,113,520	27,689,377	184	24,687,818	2,324,140	30,501,750	174
Stanislaus	16,687,865	(283,702)	21,715,499	230	20,485,188	1,949,847	23,916,591	206
Sutter	4,554,829	446,981	5,426,730	58	5,020,985	401,539	6,577,926	50
Tehama	2,815,436	29,390	4,102,622	37	3,358,105	30,640	3,940,366	37
Trinity	1,426,489	38,754	1,935,793	14	1,689,524	30,800	1,958,665	15
Tulare	18,398,951	3,352,020	22,578,513	238	19,044,225	3,515,154	23,284,077	222
Tuolumne	3,205,330	262,104	3,956,095	35	3,385,651	164,216	3,974,417	36
Ventura	32,382,664	8,862,376	43,112,919	352	33,693,185	9,159,816	46,136,915	352
Yolo	8,424,052	1,331,219	11,770,910	89	10,141,038	1,366,606	12,946,788	93
Yuba	4,165,857	728,086	5,407,729	52	4,318,601	654,197	5,488,189	48
<b>Total</b>	<b>\$1,905,593,942</b>	<b>\$189,375,836</b>	<b>\$2,302,141,040</b>	<b>18,170</b>	<b>\$2,036,407,605</b>	<b>\$185,751,419</b>	<b>\$2,410,355,044</b>	<b>16,951</b>
<b>Pending Allocations <sup>4</sup></b>								
Return of 2 percent Set-Aside Reserve <sup>5</sup>	-	-	-	-	35,178,540	-	-	-
Parole Revocation Hearings Workload <sup>6</sup>	-	-	-	-	4,604,910	-	-	-
<b>Funds disbursed on behalf of trial courts <sup>7</sup></b>								
Direct Payments for Court-Appointed Dependency Counsel	74,439,511	-	-	-	70,022,922	-	-	-
State Trial Court Improvement and Modernization Fund Statewide Projects/Programs	40,689,424	-	-	-	44,359,000	-	-	-
Compensation of Superior Court Judges - Non-Trial Court Reimbursement <sup>8</sup>	199,598,314	-	-	-	210,142,930	-	-	-
Assigned Judges	24,624,238	-	-	-	24,797,000	-	-	-
Court-Appointed Special Advocate Program	2,212,950	-	-	-	2,213,000	-	-	-
Equal Access Fund	16,009,304	-	-	-	15,912,000	-	-	-
Other <sup>9</sup>	(25,672,493)	-	-	-	(930,076)	-	-	-
<b>State Trial Court Funding Total <sup>10</sup></b>	<b>\$2,237,495,189</b>				<b>\$2,442,707,831</b>			

<sup>1</sup> Reflects the Fiscal Year 2012-13 4th Quarter Financial Statement information submitted by the Superior Courts. A detailed breakdown of this data can be found in the Report of Trial Court Revenue, Expenditure, and Fund Balance Constraints for Fiscal Year 2012-13. Non-state funds include local fees and non-fee revenue, enhanced collections and other reimbursements, grants from non-state entities, etc.

<sup>2</sup> Reflects the filled full-time equivalent positions reported on the Schedule 7A submitted by the superior courts. Does not include judges who are constitutional officers and not court employees.

<sup>3</sup> Reflects the budgets of 57 of 58 superior courts based on courts' Fiscal Year 2013-14 Schedule 1 submissions as of December 19, 2013.

<sup>4</sup> Reflects funding pending allocation from the Judicial Council. Courts may have budgeted for some of these funds in anticipation of their allocation above, but amounts are unknown.

<sup>5</sup> Government Code Section 88502.5(c)(2)(B) requires 2 percent of the amount appropriated to Program 45.10 in the Budget Act to be set-aside by the Judicial Council for allocation to the trial courts. Any amounts unallocated by March 15 are to be returned to the courts on a pro-rata basis.

<sup>6</sup> Reflects roughly half of total funding (\$9.223 million). Remaining funds will be allocated after approval of workload metrics by the Judicial Council in Fiscal Year 2013-14.

<sup>7</sup> Funds for these programs/categories are disbursed on behalf of the trial courts and not included in their allocation or expenditures.

<sup>8</sup> This amount excludes \$104.4 million in 2012-13 and \$102.1 million in 2013-14 for judicial salaries paid by the county and reimbursed by the State Controller's Office.

<sup>9</sup> Difference in unallocated appropriations, pending allocations, and the posting of prior year expenditures due to timing and accounting practices, such as \$14.4 million for health benefit and retirement rate cost adjustments attributable to 2011-12, but received by trial courts in 2012-13. In Fiscal Year 2013-14, this category reflects either differences in local versus state revenue projections, pending budgets, or unallocated appropriations.

<sup>10</sup> Total state funding for trial courts ties to actual expenditures for Fiscal Year 2012-13 and estimated expenditures for Fiscal Year 2013-14 for Program 45-State Trial Court Funding included in the 2014-15 Governor's Budget.

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

### PROGRAM DESCRIPTIONS

#### 10 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

#### 20 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

#### 30 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 6 advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

Consistent with the judiciary's mission, the Judicial Council is guided by the following principles:

- To make decisions in the best interests of the public and the court system as a whole.
- To conduct the Council's business based on an underlying commitment to equal and timely justice and public access to an independent forum for the resolution of disputes.
- To provide leadership in the administration of justice by planning and advocating for policies and resources that are necessary for courts to fulfill their mission.
- To ensure the continued development of an accessible, independent court system through planning, research, and evaluation programs, and through the use of modern management approaches and technological developments.
- To provide leadership in the administration of justice by establishing broad and consistent policies for the operation of the courts and appropriate uniform statewide rules and forms.
- To promote a competent, responsive, and ethical judiciary and staff through a comprehensive program of judicial education and training for court employees.
- To contribute to the public's understanding of the judicial process through a continuing program of public education.
- To provide assistance to the courts in developing action plans that are consistent with the Council's Strategic Plan and that address local needs and priorities.

#### 35 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, and new courthouse planning, design, and construction.

#### 45 - STATE TRIAL COURT FUNDING

##### 45.10 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of Superior Court judges, compensation for assigned judges, and support for language interpreters.

##### 45.25 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

##### 45.35 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

##### 45.45 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.



## 0250 Judicial Branch - Continued

## 50 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

## DETAILED EXPENDITURES BY PROGRAM

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>10 SUPREME COURT</b>			
<b>State Operations:</b>			
0001 General Fund	\$41,735	\$43,128	\$43,769
3060 Appellate Court Trust Fund	999	1,134	1,158
9728 Judicial Branch Workers' Compensation Fund	-56	-	-
<b>Totals, State Operations</b>	<b>\$42,678</b>	<b>\$44,262</b>	<b>\$44,927</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>20 COURTS OF APPEAL</b>			
<b>State Operations:</b>			
0001 General Fund	\$196,535	\$202,357	\$205,577
0995 Reimbursements	137	1	1
3060 Appellate Court Trust Fund	5,460	5,466	5,633
9728 Judicial Branch Workers' Compensation Fund	-112	-	-
<b>Totals, State Operations</b>	<b>\$202,020</b>	<b>\$207,824</b>	<b>\$211,211</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>30 JUDICIAL COUNCIL</b>			
<b>State Operations:</b>			
0001 General Fund	\$79,672	\$82,760	\$83,990
0044 Motor Vehicle Account, State Transportation Fund	188	198	195
0159 State Trial Court Improvement and Modernization Fund	12,502	13,410	9,216
0327 Court Interpreters' Fund	164	166	164
0587 Family Law Trust Fund	1,324	2,656	2,656
0890 Federal Trust Fund	2,623	3,525	3,503
0932 Trial Court Trust Fund	23,610	21,627	24,459
0995 Reimbursements	5,803	7,670	7,670
3037 State Court Facilities Construction Fund	7,449	7,162	7,051
3085 Mental Health Services Fund	1,061	1,052	1,037
8059 State Community Corrections Performance Incentive Fund	734	1,300	1,000
9728 Judicial Branch Workers' Compensation Fund	-355	2	2
<b>Totals, State Operations</b>	<b>\$134,775</b>	<b>\$141,528</b>	<b>\$140,943</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>35 JUDICIAL BRANCH FACILITY PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$8,999	\$8,480	\$8,486
0995 Reimbursements	10,737	30,482	17,002
3037 State Court Facilities Construction Fund	52,191	61,793	122,474
3066 Court Facilities Trust Fund	96,266	104,955	101,756
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	26,912	61,061	81,919

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Totals, State Operations</b>	<b>\$195,105</b>	<b>\$266,771</b>	<b>\$331,637</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>45 STATE TRIAL COURT FUNDING</b>			
<b>Local Assistance:</b>			
0001 General Fund	\$408,119	\$870,283	\$970,283
0159 State Trial Court Improvement and Modernization Fund	13,588	15,582	24,291
0890 Federal Trust Fund	795	2,275	2,275
0932 Trial Court Trust Fund	1,520,907	1,444,061	1,423,808
0995 Reimbursements	54,570	60,507	60,507
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	240,000	50,000	50,000
9728 Judicial Branch Workers' Compensation Fund	-484	-	-
<b>Totals, Local Assistance</b>	<b>\$2,237,495</b>	<b>\$2,442,708</b>	<b>\$2,531,164</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>45.10 Support for Operation of Trial Courts</b>	<b>\$1,745,595</b>	<b>\$1,930,640</b>	<b>\$2,015,745</b>
<b>Local Assistance:</b>			
0001 General Fund	132,876	421,034	517,645
0159 State Trial Court Improvement and Modernization Fund	13,588	15,582	24,291
0932 Trial Court Trust Fund	1,361,109	1,444,023	1,423,808
0995 Reimbursements	356	1	1
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund	240,000	50,000	50,000
9728 Judicial Branch Workers' Compensation Fund	-2,334	-	-
<b>45.25 Compensation of Superior Court Judges</b>	<b>\$306,268</b>	<b>\$312,276</b>	<b>\$312,415</b>
<b>Local Assistance:</b>			
0001 General Fund	154,584	312,276	312,415
0932 Trial Court Trust Fund	149,834	-	-
9728 Judicial Branch Workers' Compensation Fund	1,850	-	-
<b>45.35 Assigned Judges</b>	<b>\$24,624</b>	<b>\$24,797</b>	<b>\$26,047</b>
<b>Local Assistance:</b>			
0001 General Fund	24,624	24,797	26,047
<b>45.45 Court Interpreters</b>	<b>\$84,483</b>	<b>\$90,795</b>	<b>\$92,795</b>
<b>Local Assistance:</b>			
0001 General Fund	84,483	90,795	92,795
<b>45.55 Grants</b>	<b>\$76,525</b>	<b>\$84,200</b>	<b>\$84,162</b>
<b>Local Assistance:</b>			
0001 General Fund	11,552	21,381	21,381
0890 Federal Trust Fund	795	2,275	2,275
0932 Trial Court Trust Fund	9,964	38	-
0995 Reimbursements	54,214	60,506	60,506
<b>PROGRAM REQUIREMENTS</b>			
<b>50 HABEAS CORPUS RESOURCE CENTER</b>			
<b>State Operations:</b>			
0001 General Fund	\$12,518	\$12,749	\$12,938
0890 Federal Trust Fund	125	1,026	1,026
9728 Judicial Branch Workers' Compensation Fund	-26	-	-
<b>Totals, State Operations</b>	<b>\$12,617</b>	<b>\$13,775</b>	<b>\$13,964</b>
<b>TOTALS, EXPENDITURES</b>			

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
State Operations	587,195	674,160	742,682
Local Assistance	<u>2,237,495</u>	<u>2,442,708</u>	<u>2,531,164</u>
<b>Totals, Expenditures</b>	<b>\$2,824,690</b>	<b>\$3,116,868</b>	<b>\$3,273,846</b>

## EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>10 Supreme Court</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	<u>144.4</u>	<u>170.4</u>	<u>170.4</u>	<u>\$17,425</u>	<u>\$19,286</u>	<u>\$19,459</u>
<b>Net Totals, Salaries and Wages</b>	<b>144.4</b>	<b>170.4</b>	<b>170.4</b>	<b>\$17,425</b>	<b>\$19,286</b>	<b>\$19,459</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>5,836</u>	<u>6,569</u>	<u>6,437</u>
<b>Totals, Personal Services</b>	<b>144.4</b>	<b>170.4</b>	<b>170.4</b>	<b>\$23,261</b>	<b>\$25,855</b>	<b>\$25,896</b>
OPERATING EXPENSES AND EQUIPMENT				\$6,937	\$3,834	\$4,458
SPECIAL ITEMS OF EXPENSE						
Court Appointed Counsel				<u>\$12,480</u>	<u>\$14,573</u>	<u>\$14,573</u>
<b>Totals, Special Items of Expense</b>				<b>\$12,480</b>	<b>\$14,573</b>	<b>\$14,573</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$42,678</b>	<b>\$44,262</b>	<b>\$44,927</b>
<b>20 Courts of Appeal</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	<u>765.4</u>	<u>866.5</u>	<u>866.5</u>	<u>\$87,259</u>	<u>\$94,837</u>	<u>\$95,765</u>
<b>Net Totals, Salaries and Wages</b>	<b>765.4</b>	<b>866.5</b>	<b>866.5</b>	<b>\$87,259</b>	<b>\$94,837</b>	<b>\$95,765</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>26,796</u>	<u>31,920</u>	<u>32,323</u>
<b>Totals, Personal Services</b>	<b>765.4</b>	<b>866.5</b>	<b>866.5</b>	<b>\$114,055</b>	<b>\$126,757</b>	<b>\$128,088</b>
OPERATING EXPENSES AND EQUIPMENT				\$24,449	\$17,510	\$19,566
SPECIAL ITEMS OF EXPENSE						
Court Appointed Counsel				<u>\$63,516</u>	<u>\$63,557</u>	<u>\$63,557</u>
<b>Totals, Special Items of Expense</b>				<b>\$63,516</b>	<b>\$63,557</b>	<b>\$63,557</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$202,020</b>	<b>\$207,824</b>	<b>\$211,211</b>
<b>30 Judicial Council</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	<u>599.5</u>	<u>682.6</u>	<u>682.6</u>	<u>\$54,528</u>	<u>\$63,021</u>	<u>\$64,015</u>
<b>Net Totals, Salaries and Wages</b>	<b>599.5</b>	<b>682.6</b>	<b>682.6</b>	<b>\$54,528</b>	<b>\$63,021</b>	<b>\$64,015</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>22,781</u>	<u>25,805</u>	<u>26,218</u>
<b>Totals, Personal Services</b>	<b>599.5</b>	<b>682.6</b>	<b>682.6</b>	<b>\$77,309</b>	<b>\$88,826</b>	<b>\$90,233</b>
OPERATING EXPENSES AND EQUIPMENT				<u>\$57,466</u>	<u>\$52,702</u>	<u>\$50,710</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$134,775</b>	<b>\$141,528</b>	<b>\$140,943</b>
<b>35 Judicial Branch Facility Program</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	<u>107.3</u>	<u>152.5</u>	<u>152.5</u>	<u>\$9,810</u>	<u>\$13,461</u>	<u>\$13,790</u>
<b>Net Totals, Salaries and Wages</b>	<b>107.3</b>	<b>152.5</b>	<b>152.5</b>	<b>\$9,810</b>	<b>\$13,461</b>	<b>\$13,790</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>4,011</u>	<u>5,676</u>	<u>5,815</u>
<b>Totals, Personal Services</b>	<b>107.3</b>	<b>152.5</b>	<b>152.5</b>	<b>\$13,821</b>	<b>\$19,137</b>	<b>\$19,605</b>
OPERATING EXPENSES AND EQUIPMENT				\$181,284	\$247,634	\$312,032

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$195,105</b>	<b>\$266,771</b>	<b>\$331,637</b>
<b>50 Habeas Corpus Resource Center</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	79.3	92.0	92.0	\$6,303	\$6,862	\$7,038
<b>Net Totals, Salaries and Wages</b>	<b>79.3</b>	<b>92.0</b>	<b>92.0</b>	<b>\$6,303</b>	<b>\$6,862</b>	<b>\$7,038</b>
Staff Benefits	-	-	-	2,459	2,923	2,999
<b>Totals, Personal Services</b>	<b>79.3</b>	<b>92.0</b>	<b>92.0</b>	<b>\$8,762</b>	<b>\$9,785</b>	<b>\$10,037</b>
OPERATING EXPENSES AND EQUIPMENT				\$3,855	\$3,990	\$3,927
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$12,617</b>	<b>\$13,775</b>	<b>\$13,964</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$587,195</b>	<b>\$674,160</b>	<b>\$742,682</b>

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$325,378	\$335,185	\$341,660
Allocation for employee compensation	-	49	-
Adjustment per Section 3.60	3,207	1,036	-
Adjustment per Section 15.25	-1	-	-
Revised expenditure authority per Provision 3	-1,071	-	-
003 Budget Act appropriation	5,057	5,150	5,046
Adjustment per Section 4.30	45	-	-
011 Budget Act appropriation (transfer to the Judicial Branch Workers' Compensation Fund)	1	1	1
Revised expenditure authority per Provision 5 of Item 0250-001-0001	1,071	-	-
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	8,053	8,053	8,053
<b>Totals Available</b>	<b>\$341,740</b>	<b>\$349,474</b>	<b>\$354,760</b>
Unexpended balance, estimated savings	-2,281	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$339,459</b>	<b>\$349,474</b>	<b>\$354,760</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$193	\$197	\$195
Adjustment per Section 3.60	2	1	-
<b>Totals Available</b>	<b>\$195</b>	<b>\$198</b>	<b>\$195</b>
Unexpended balance, estimated savings	-7	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$188</b>	<b>\$198</b>	<b>\$195</b>
<b>0159 State Trial Court Improvement and Modernization Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,007	\$9,145	\$9,216
Adjustment per Section 3.60	138	71	-
Revised expenditure authority per Provision 1	4,500	4,194	-
<b>Totals Available</b>	<b>\$13,645</b>	<b>\$13,410</b>	<b>\$9,216</b>
Unexpended balance, estimated savings	-1,143	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$12,502</b>	<b>\$13,410</b>	<b>\$9,216</b>

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0327 Court Interpreters' Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$164	\$166	\$164
<b>TOTALS, EXPENDITURES</b>	<b>\$164</b>	<b>\$166</b>	<b>\$164</b>
<b>0587 Family Law Trust Fund</b>			
APPROPRIATIONS			
Family Code Section 1852	\$1,324	\$2,656	\$2,656
<b>TOTALS, EXPENDITURES</b>	<b>\$1,324</b>	<b>\$2,656</b>	<b>\$2,656</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,503	\$4,537	\$4,529
Adjustment per Section 3.60	34	14	-
Budget Adjustment	-1,789	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,748</b>	<b>\$4,551</b>	<b>\$4,529</b>
<b>0932 Trial Court Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 29, Statutes of 2012	\$35,290	\$-	\$-
Adjustment per Section 3.60	153	-	-
001 Budget Act appropriation	-	35,444	24,459
Adjustment per Section 3.60	-	15	-
<b>Totals Available</b>	<b>\$35,443</b>	<b>\$35,459</b>	<b>\$24,459</b>
Unexpended balance, estimated savings	-11,833	-13,832	-
<b>TOTALS, EXPENDITURES</b>	<b>\$23,610</b>	<b>\$21,627</b>	<b>\$24,459</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$16,677	\$38,153	\$24,673
<b>3037 State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$60,335	\$63,983	\$78,428
Adjustment per Section 3.60	368	114	-
003 Budget Act appropriation	3,018	4,745	51,097
Adjustment per Section 4.30	32	113	-
<b>Totals Available</b>	<b>\$63,753</b>	<b>\$68,955</b>	<b>\$129,525</b>
Unexpended balance, estimated savings	-4,113	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$59,640</b>	<b>\$68,955</b>	<b>\$129,525</b>
<b>3060 Appellate Court Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,265	\$6,597	\$6,791
Adjustment per Section 3.60	10	3	-
Revised expenditure authority per Provision 1	2,677	-	-
<b>Totals Available</b>	<b>\$6,952</b>	<b>\$6,600</b>	<b>\$6,791</b>
Unexpended balance, estimated savings	-493	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$6,459</b>	<b>\$6,600</b>	<b>\$6,791</b>
<b>3066 Court Facilities Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$109,809	\$109,809	\$109,809
Revised expenditure authority per Provision 1	-	3,199	-
<b>Totals Available</b>	<b>\$109,809</b>	<b>\$113,008</b>	<b>\$109,809</b>
Unexpended balance, estimated savings	-5,490	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$104,319</b>	<b>\$113,008</b>	<b>\$109,809</b>

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Less funding provided by General Fund	<u>-8,053</u>	<u>-8,053</u>	<u>-8,053</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$96,266</b>	<b>\$104,955</b>	<b>\$101,756</b>
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,048	\$1,049	\$1,037
Adjustment per Section 3.60	<u>13</u>	<u>3</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,061</b>	<b>\$1,052</b>	<b>\$1,037</b>
<b>3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$27,393	\$26,229	\$27,177
002 Budget Act appropriation	-	34,832	54,214
003 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>528</u>
<b>Totals Available</b>	<b>\$27,393</b>	<b>\$61,061</b>	<b>\$81,919</b>
Unexpended balance, estimated savings	<u>-481</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$26,912</b>	<b>\$61,061</b>	<b>\$81,919</b>
<b>8059 State Community Corrections Performance Incentive Fund</b>			
APPROPRIATIONS			
Penal Code Section 1233.6	<u>\$734</u>	<u>\$1,000</u>	<u>\$1,000</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$734</b>	<b>\$1,300</b>	<b>\$1,000</b>
<b>9728 Judicial Branch Workers' Compensation Fund</b>			
APPROPRIATIONS			
Government Code Section 68114.10	<u>\$522</u>	<u>\$3</u>	<u>\$3</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$522</b>	<b>\$3</b>	<b>\$3</b>
Less funding provided by General Fund	<u>-1,071</u>	<u>-1</u>	<u>-1</u>
<b>NET TOTALS, EXPENDITURES</b>	<b><u>\$-549</u></b>	<b><u>\$2</u></b>	<b><u>\$2</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$587,195</b>	<b>\$674,160</b>	<b>\$742,682</b>
<b>2 LOCAL ASSISTANCE</b>			
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$17,753	\$17,753	\$17,753
102 Budget Act appropriation	71,502	71,502	71,502
Revised expenditure authority per Provision 2	21,532	-	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	263,691	741,691	842,319
Allocation for employee compensation	-	628	-
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	38,709	38,709	38,709
<b>Totals Available</b>	<b>\$413,187</b>	<b>\$870,283</b>	<b>\$970,283</b>
Unexpended balance, estimated savings	<u>-5,068</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$408,119</b>	<b>\$870,283</b>	<b>\$970,283</b>
<b>0159 State Trial Court Improvement and Modernization Fund</b>			
APPROPRIATIONS			
102 Budget Act appropriation	\$71,309	\$71,309	\$63,000
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(27,223)	(20,594)	(20,594)
Government Code Section 77209(j)	<u>(13,397)</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$71,309</b>	<b>\$71,309</b>	<b>\$63,000</b>
Unexpended balance, estimated savings	<u>-19,012</u>	<u>-17,018</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$52,297</b>	<b>\$54,291</b>	<b>\$63,000</b>
Less funding provided by the General Fund	<u>-38,709</u>	<u>-38,709</u>	<u>-38,709</u>

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

<b>2 LOCAL ASSISTANCE</b>	<u><b>2012-13*</b></u>	<u><b>2013-14*</b></u>	<u><b>2014-15*</b></u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$13,588</b>	<b>\$15,582</b>	<b>\$24,291</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,275	\$2,275	\$2,275
Budget Adjustment	<u>-1,480</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$795</b>	<b>\$2,275</b>	<b>\$2,275</b>
<b>0932 Trial Court Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation as amended by Chapter 29, Statutes of 2012	\$1,826,195	\$-	\$-
Adjustment per Section 15.25	-1	-	-
Revised expenditure authority per Provision 5	-16,929	-	-
Revised expenditure authority per Provision 9	431	-	-
Amended by Chapter 630, Statutes of 2012	-29,134	-	-
101 Budget Act appropriation	-	2,194,425	2,266,126
Revised expenditure authority per Provision 8	-	38	-
Revised expenditure authority per Provision 4	-	628	-
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	1	1	1
Revised expenditure authority per Provision 5 of Item 0250-101-0932	16,929	-	-
Chapter 26, Statutes of 2012 Section 38	2,000	-	-
Prior year balances available:			
Chapter 26, Statutes of 2012 Section 38	-	1,779	-
Chapter 36, Statutes of 2011	1	1	-
Chapter 193, Statutes of 2011	<u>1</u>	<u>1</u>	<u>-</u>
<b>Totals Available</b>	<b>\$1,799,494</b>	<b>\$2,196,873</b>	<b>\$2,266,127</b>
Unexpended balance, estimated savings	-13,115	-10,493	-
Balance available in subsequent years	<u>-1,781</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,784,598</b>	<b>\$2,186,380</b>	<b>\$2,266,127</b>
Less funding provided by the General Fund	<u>-263,691</u>	<u>-742,319</u>	<u>-842,319</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$1,520,907</b>	<b>\$1,444,061</b>	<b>\$1,423,808</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$54,570	\$60,507	\$60,507
<b>3037 State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	<u>(\$59,486)</u>	<u>(\$5,486)</u>	<u>(\$5,486)</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$240,000	\$50,000	\$50,000
111 Budget Act appropriation (transfer to the General Fund)	<u>-</u>	<u>(200,000)</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$240,000</b>	<b>\$50,000</b>	<b>\$50,000</b>
<b>9728 Judicial Branch Workers' Compensation Fund</b>			
APPROPRIATIONS			
Government Code Section 68114.10	<u>\$16,445</u>	<u>\$1</u>	<u>\$1</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$16,445</b>	<b>\$1</b>	<b>\$1</b>
Less funding provided by the Trial Court Trust Fund	<u>-16,929</u>	<u>-1</u>	<u>-1</u>
<b>NET TOTALS, EXPENDITURES</b>	<b><u>\$-484</u></b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b><u>\$2,237,495</u></b>	<b><u>\$2,442,708</u></b>	<b><u>\$2,531,164</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$2,824,690</b>	<b>\$3,116,868</b>	<b>\$3,273,846</b>

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

## FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>0159 State Trial Court Improvement and Modernization Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$40,247	\$44,827	\$24,619
Prior year adjustments	<u>2,276</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$42,523	\$44,827	\$24,619
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
141200 Sales of Documents	519	445	445
150300 Income From Surplus Money Investments	201	135	135
161000 Escheat of Unclaimed Checks & Warrants	1	-	-
161400 Miscellaneous Revenue	2	-	-
164600 Fines and Forfeitures	43,563	42,777	42,777
164800 Penalty Assessments on Criminal Fines	11	-	-
Transfers and Other Adjustments:			
FO0556 From Judicial Administration Efficiency and Modernization Fund per Chapter 41, Statutes of 2012 section 60	24,888	-	-
TO0932 To Trial Court Trust Fund Per Item 0250-111-0159, Budget Acts of 2012 & 2013	-27,223	-20,594	-20,594
TO0932 To Trial Court Trust Fund per Government Code Section 77209 (j)	<u>-13,397</u>	<u>-13,397</u>	<u>-13,397</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$28,565</u>	<u>\$9,366</u>	<u>\$9,366</u>
Total Resources	\$71,088	\$54,193	\$33,985
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch			
State Operations	12,502	13,410	9,216
Local Assistance	52,297	54,291	63,000
0840 State Controller (State Operations)	8	1	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	163	581	298
Expenditure Adjustments:			
0250 Judicial Branch			
Less funding provided by the General Fund (Local Assistance)	<u>-38,709</u>	<u>-38,709</u>	<u>-38,709</u>
Total Expenditures and Expenditure Adjustments	<u>\$26,261</u>	<u>\$29,574</u>	<u>\$33,805</u>
FUND BALANCE	\$44,827	\$24,619	\$180
Reserve for economic uncertainties	44,827	24,619	180
<b>0327 Court Interpreters' Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$265	\$334	\$368
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$263	\$334	\$368
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	<u>235</u>	<u>202</u>	<u>202</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$235</u>	<u>\$202</u>	<u>\$202</u>
Total Resources	\$498	\$536	\$570
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	164	166	164
0840 State Controller (State Operations)	-	1	-
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>1</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	\$164	\$168	\$164

\* Dollars in thousands, except in Salary Range.



## 0250 Judicial Branch - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
FUND BALANCE	\$334	\$368	\$406
Reserve for economic uncertainties	334	368	406
<b>0556 Judicial Administration Efficiency and Modernization Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$7,881	-	-
Prior year adjustments	<u>17,007</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$24,888	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
TO0159 To State Trial Court Improvement and Modernization Fund per Chapter 41, Statutes of 2012 section 60	-24,888	-	-
Total Revenues, Transfers, and Other Adjustments	<u>-\$24,888</u>	<u>-</u>	<u>-</u>
Total Resources	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-
<b>0587 Family Law Trust Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$1,836	\$2,388	\$1,583
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,834	\$2,388	\$1,583
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	6	5	5
161400 Miscellaneous Revenue	<u>2,006</u>	<u>2,008</u>	<u>2,008</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,012</u>	<u>\$2,013</u>	<u>\$2,013</u>
Total Resources	\$3,846	\$4,401	\$3,596
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	1,324	2,656	2,656
0840 State Controller (State Operations)	3	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	<u>131</u>	<u>162</u>	<u>119</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,458</u>	<u>\$2,818</u>	<u>\$2,775</u>
FUND BALANCE	\$2,388	\$1,583	\$821
Reserve for economic uncertainties	2,388	1,583	821
<b>0932 Trial Court Trust Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$105,535	\$82,346	\$36,429
Prior year adjustments	<u>19,189</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$124,724	\$82,346	\$36,429
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131700 Misc Revenue From Local Agencies	498,600	498,600	498,600
150300 Income From Surplus Money Investments	219	58	58
161000 Escheat of Unclaimed Checks & Warrants	285	50	50
161400 Miscellaneous Revenue	503	40	40
164300 Penalty Assessments	25,194	27,931	27,931
164400 Civil & Criminal Violation Assessment	149,101	155,694	155,694
164600 Fines and Forfeitures	161,778	161,574	161,574
164700 Court Filing Fees and Surcharges	564,745	536,940	536,940
Transfers and Other Adjustments:			
FO0159 From State Trial Court Improvement and Modernization Fund per Government Code Section 77209 (j)	13,397	13,397	13,397

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

	2012-13*	2013-14*	2014-15*
FO0159 From State Trial Court Improvement and Modernization Fund Per Item 0250-111-0159, Budget Acts of 2012 & 2013	27,223	20,594	20,594
FO3037 From State Court Facilities Construction Fund per Item 0250-111-3037, Budget Act of 2011	59,486	5,486	5,486
Total Revenues, Transfers, and Other Adjustments	<u>\$1,500,531</u>	<u>\$1,420,364</u>	<u>\$1,420,364</u>
Total Resources	\$1,625,255	\$1,502,710	\$1,456,793
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0250 Judicial Branch			
State Operations	23,610	21,627	24,459
Local Assistance	1,784,598	2,186,380	2,266,127
0840 State Controller (State Operations)	180	178	174
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	212	415	3,103
Expenditure Adjustments:			
0250 Judicial Branch			
Less funding provided by the General Fund (Local Assistance)	-263,691	-742,319	-842,319
8860 Department of Finance			
Less funding provided by General Fund (State Operations)	<u>-2,000</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,542,909</u>	<u>\$1,466,281</u>	<u>\$1,451,544</u>
FUND BALANCE	\$82,346	\$36,429	\$5,249
Reserve for economic uncertainties	82,346	36,429	5,249
<b>3037 State Court Facilities Construction Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$70,229	\$80,725	\$121,264
Prior year adjustments	<u>8,398</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$78,627	\$80,725	\$121,264
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
131700 Misc Revenue From Local Agencies	6,301	18	18
150300 Income From Surplus Money Investments	125	125	125
161000 Escheat of Unclaimed Checks & Warrants	1	-	-
161400 Miscellaneous Revenue	49	50	50
164300 Penalty Assessments	12,549	12,546	12,546
164700 Court Filing Fees and Surcharges	26,212	26,212	26,212
164800 Penalty Assessments on Criminal Fines	76,037	76,037	76,037
Transfers and Other Adjustments:			
FO0001 From General Fund Loan repayment per Item 0250-012-3037, Budget Act of 2011	-	-	130,000
TO0932 To Trial Court Trust Fund per Item 0250-111-3037, Budget Act of 2011	<u>-59,486</u>	<u>-5,486</u>	<u>-5,486</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$61,788</u>	<u>\$109,502</u>	<u>\$239,502</u>
Total Resources	\$140,415	\$190,227	\$360,766
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0250 Judicial Branch			
State Operations	59,640	68,955	129,525
Capital Outlay	-	-	3,083
0840 State Controller (State Operations)	<u>50</u>	<u>8</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$59,690</u>	<u>\$68,963</u>	<u>\$132,608</u>
FUND BALANCE	\$80,725	\$121,264	\$228,158
Reserve for economic uncertainties	80,725	121,264	228,158

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

	2012-13*	2013-14*	2014-15*
<b>3060 Appellate Court Trust Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$4,739	\$4,243	\$3,593
Prior year adjustments	<u>7</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,746	\$4,243	\$3,593
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	19	10	10
164700 Court Filing Fees and Surcharges	<u>5,941</u>	<u>5,941</u>	<u>5,941</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,960</u>	<u>\$5,951</u>	<u>\$5,951</u>
Total Resources	\$10,706	\$10,194	\$9,544
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	6,459	6,600	6,791
0840 State Controller (State Operations)	<u>4</u>	<u>1</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$6,463</u>	<u>\$6,601</u>	<u>\$6,791</u>
FUND BALANCE	\$4,243	\$3,593	\$2,753
Reserve for economic uncertainties	4,243	3,593	2,753
<b>3066 Court Facilities Trust Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$2,907	\$6,577	\$3,706
Prior year adjustments	<u>2,979</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$5,886	\$6,577	\$3,706
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131700 Misc Revenue From Local Agencies	93,073	97,763	98,617
150300 Income From Surplus Money Investments	95	95	95
152200 Rentals of State Property	3,777	4,216	6,616
161000 Escheat of Unclaimed Checks & Warrants	5	8	8
161400 Miscellaneous Revenue	<u>7</u>	<u>2</u>	<u>2</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$96,957</u>	<u>\$102,084</u>	<u>\$105,338</u>
Total Resources	\$102,843	\$108,661	\$109,044
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	104,319	113,008	109,809
Expenditure Adjustments:			
0250 Judicial Branch			
Less funding provided by General Fund (State Operations)	<u>-8,053</u>	<u>-8,053</u>	<u>-8,053</u>
Total Expenditures and Expenditure Adjustments	<u>\$96,266</u>	<u>\$104,955</u>	<u>\$101,756</u>
FUND BALANCE	\$6,577	\$3,706	\$7,288
Reserve for economic uncertainties	6,577	3,706	7,288
<b>3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$61,061	\$108,476	\$32,305
Prior year adjustments	<u>31,641</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$92,702	\$108,476	\$32,305
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	168	168	168
161400 Miscellaneous Revenue	21,091	21,534	22,800
164100 Traffic Violations	28,886	28,886	28,446

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

	2012-13*	2013-14*	2014-15*
164300 Penalty Assessments	26,440	26,440	26,440
164600 Fines and Forfeitures	120	-	-
164700 Court Filing Fees and Surcharges	29,776	29,776	29,776
164800 Penalty Assessments on Criminal Fines	176,205	176,205	176,205
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 0250-111-3138, Budget Act of 2013	-	-200,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$282,686</u>	<u>\$83,009</u>	<u>\$283,835</u>
Total Resources	\$375,388	\$191,485	\$316,140
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0250 Judicial Branch			
State Operations	26,912	61,061	81,919
Local Assistance	240,000	50,000	50,000
Capital Outlay	-	48,119	105,563
Total Expenditures and Expenditure Adjustments	<u>\$266,912</u>	<u>\$159,180</u>	<u>\$237,482</u>
FUND BALANCE	\$108,476	\$32,305	\$78,658
Reserve for economic uncertainties	108,476	32,305	78,658

## INFRASTRUCTURE OVERVIEW

The Judicial Council facilities consist of the Supreme Court, Appellate Courts, Trial Courts, and the Administrative Office of the Courts. The Supreme Court is located within the San Francisco Civic Center Plaza (98,155 square feet) and the Ronald Reagan State Office Building in Los Angeles (7,598 sf). The Courts of Appeal are organized into six districts, operate in 10 different locations, and consist of 508,386 sf. The Trial Courts are located in 58 counties statewide consisting of more than 500 buildings, 2,100 courtrooms, and approximately 12.5 million (sf) of usable area. The space includes public courtrooms, judges' chambers, staff workspace, storage space, training rooms, and conference rooms. The Administrative Office of the Courts facilities are primarily located in San Francisco (Headquarters), Burbank, and Sacramento and occupy 261,935 sf.

## SUMMARY OF PROJECTS

State Building Program Expenditures		2012-13*	2013-14*	2014-15*
<b>91</b>	<b>CAPITAL OUTLAY</b>			
	<b>Major Projects</b>			
<b>91.04</b>	<b>BUTTE COUNTY</b>	<b>\$51,324</b>	<b>\$-</b>	<b>\$-</b>
91.04.001	Butte County-New North County Courthouse	51,324 <sup>Cn</sup>	-	-
<b>91.05</b>	<b>CALAVERAS COUNTY</b>	<b>\$-</b>	<b>\$1,188</b>	<b>\$-</b>
91.05.001	Calaveras County-New San Andreas Courthouse	-	1,188 <sup>Cn</sup>	-
<b>91.09</b>	<b>EL DORADO COUNTY</b>	<b>\$-</b>	<b>\$1,084</b>	<b>\$3,696</b>
91.09.001	El Dorado County-New Placerville Courthouse	-	1,084 <sup>As</sup>	3,696 <sup>Ps</sup>
<b>91.11</b>	<b>GLENN COUNTY</b>	<b>\$-</b>	<b>\$2,600</b>	<b>\$34,793</b>
91.11.001	Glenn County-Renovation and Addition to Willows Courthouse	-	2,600 <sup>Ws</sup>	34,793 <sup>Cn</sup>
<b>91.13</b>	<b>IMPERIAL COUNTY</b>	<b>\$-</b>	<b>\$3,344</b>	<b>\$-</b>
91.13.001	Imperial County-New El Centro Courthouse	-	3,344 <sup>Ws</sup>	-
<b>91.14</b>	<b>INYO COUNTY</b>	<b>\$-</b>	<b>\$-</b>	<b>\$1,930</b>
91.14.001	Inyo County-New Inyo County Courthouse	-	-	1,930 <sup>APs</sup>
<b>91.16</b>	<b>KINGS COUNTY</b>	<b>\$99,497</b>	<b>\$-</b>	<b>\$-</b>
91.16.001	Kings County-New Hanford Courthouse	99,497 <sup>Cn</sup>	-	-
<b>91.17</b>	<b>LAKE COUNTY</b>	<b>\$-</b>	<b>\$-</b>	<b>\$3,550</b>
91.17.001	Lake County-New Lakeport Courthouse	-	-	3,550 <sup>Ws</sup>
<b>91.19</b>	<b>LOS ANGELES COUNTY</b>	<b>\$-</b>	<b>\$-</b>	<b>\$52,348</b>
91.19.006	Los Angeles County-New Mental Health Courthouse	-	-	33,457 <sup>As</sup>
91.19.007	Los Angeles County-New Eastlake Juvenile Courthouse	-	-	18,891 <sup>As</sup>
<b>91.20</b>	<b>MADERA COUNTY</b>	<b>\$90,810</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0250 Judicial Branch - Continued**

State Building Program Expenditures		2012-13*	2013-14*	2014-15*
91.20.001	Madera County-New Madera Courthouse	90,810 <sup>Cn</sup>	-	-
<b>91.23</b>	<b>MENDOCINO COUNTY</b>	<b>\$-</b>	<b>\$3,466</b>	<b>\$4,550</b>
91.23.001	Mendocino County-New Ukiah Courthouse	-	3,466 <sup>As</sup>	4,550 <sup>Ps</sup>
<b>91.24</b>	<b>MERCED COUNTY</b>	<b>\$-</b>	<b>\$1,974</b>	<b>\$21,889</b>
91.24.002	Merced County-New Los Banos Courthouse	-	1,974 <sup>Ws</sup>	21,889 <sup>Cn</sup>
<b>91.33</b>	<b>RIVERSIDE COUNTY</b>	<b>\$-</b>	<b>\$3,898</b>	<b>\$4,259</b>
91.33.002	Riverside County-New Indio Juvenile and Family Courthouse	-	3,484 <sup>Ws</sup>	-
91.33.003	Riverside County-New Mid-County Civil Courthouse	-	414 <sup>As</sup>	4,259 <sup>Ps</sup>
<b>91.34</b>	<b>SACRAMENTO COUNTY</b>	<b>\$-</b>	<b>\$10,000</b>	<b>\$-</b>
91.34.001	Sacramento County-New Sacramento Criminal Courthouse	-	10,000 <sup>As</sup>	-
<b>91.35</b>	<b>SAN BENITO COUNTY</b>	<b>\$52</b>	<b>\$1,099</b>	<b>\$-</b>
91.35.001	San Benito County-New Hollister Courthouse	52 <sup>Cn</sup>	1,099 <sup>Cn</sup>	-
<b>91.37</b>	<b>SAN DIEGO COUNTY</b>	<b>\$-</b>	<b>\$515,997</b>	<b>\$-</b>
91.37.001	San Diego County-New San Diego Courthouse	-	515,997 <sup>Cns</sup>	-
<b>91.39</b>	<b>SAN JOAQUIN COUNTY</b>	<b>\$-</b>	<b>\$246,471</b>	<b>\$-</b>
91.39.001	San Joaquin County-New Stockton Courthouse	-	243,266 <sup>Cns</sup>	-
91.39.002	San Joaquin County-Renovate and Expand Juvenile Justice Center	-	3,205 <sup>Cs</sup>	-
<b>91.42</b>	<b>SANTA BARBARA COUNTY</b>	<b>\$-</b>	<b>\$-</b>	<b>\$4,411</b>
91.42.001	Santa Barbara County-New Santa Barbara Criminal Courthouse	-	-	4,411 <sup>Ps</sup>
<b>91.43</b>	<b>SANTA CLARA COUNTY</b>	<b>\$-</b>	<b>\$205,258</b>	<b>\$-</b>
91.43.001	Santa Clara County-New Family Justice Center	-	205,258 <sup>Cn</sup>	-
<b>91.45</b>	<b>SHASTA COUNTY</b>	<b>\$-</b>	<b>\$-</b>	<b>\$6,028</b>
91.45.001	Shasta County-New Redding Courthouse	-	-	6,028 <sup>Ps</sup>
<b>91.47</b>	<b>SISKIYOU COUNTY</b>	<b>\$-</b>	<b>\$3,277</b>	<b>\$4,518</b>
91.47.001	Siskiyou County-New Yreka Courthouse	-	3,277 <sup>Pr</sup>	4,518 <sup>Ws</sup>
<b>91.48</b>	<b>SOLANO COUNTY</b>	<b>\$21,926</b>	<b>\$-</b>	<b>\$-</b>
91.48.001	Solano County-Renovation to Fairfield Old Solano Courthouse	21,926 <sup>Cn</sup>	-	-
<b>91.49</b>	<b>SONOMA COUNTY</b>	<b>\$-</b>	<b>\$-</b>	<b>\$7,670</b>
91.49.001	Sonoma County-New Santa Rosa Criminal Courthouse	-	-	7,670 <sup>Ps</sup>
<b>91.50</b>	<b>STANISLAUS COUNTY</b>	<b>\$-</b>	<b>\$6,860</b>	<b>\$11,026</b>
91.50.001	Stanislaus County-New Modesto Courthouse	-	6,860 <sup>As</sup>	11,026 <sup>Ps</sup>
<b>91.51</b>	<b>SUTTER COUNTY</b>	<b>\$-</b>	<b>\$51,308</b>	<b>\$-</b>
91.51.001	Sutter County-New Yuba City Courthouse	-	51,308 <sup>Cn</sup>	-
<b>91.52</b>	<b>TEHAMA COUNTY</b>	<b>\$-</b>	<b>\$3,982</b>	<b>\$46,662</b>
91.52.001	Tehama County-New Red Bluff Courthouse	-	3,982 <sup>Ws</sup>	46,662 <sup>Cn</sup>
<b>91.55</b>	<b>TUOLUMNE COUNTY</b>	<b>\$-</b>	<b>\$-</b>	<b>\$3,049</b>
91.55.001	Tuolumne County-New Sonora Courthouse	-	-	3,049 <sup>Ps</sup>
<b>91.57</b>	<b>YOLO COUNTY</b>	<b>\$121,450</b>	<b>\$-</b>	<b>\$-</b>
91.57.001	Yolo County-New Woodland Courthouse	121,450 <sup>Cn</sup>	-	-
<b>Totals, Major Projects</b>		<b>\$385,059</b>	<b>\$1,061,806</b>	<b>\$210,379</b>
<b>TOTALS, EXPENDITURES, ALL PROJECTS</b>		<b>\$385,059</b>	<b>\$1,061,806</b>	<b>\$210,379</b>
<b>FUNDING</b>		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0660	Public Buildings Construction Fund	\$112,789	\$242,470	\$-
0668	Public Buildings Construction Fund Subaccount	272,270	767,940	101,733
0995	Reimbursements	-	3,277	-
3037	State Court Facilities Construction Fund	-	-	3,083
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	-	48,119	105,563
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$385,059</b>	<b>\$1,061,806</b>	<b>\$210,379</b>

\* Dollars in thousands, except in Salary Range.

## 0250 Judicial Branch - Continued

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2012-13*	2013-14*	2014-15*
<b>0660 Public Buildings Construction Fund</b>			
APPROPRIATIONS			
Prior year balances available:			
Item 0250-301-0660, Budget Act of 2010, as reapprop by 0250-490 and 0250-491, BA of 2011 and as partially reapprop by Item 0250-490, BA of 2013	\$379,528	\$266,219	\$-
Augmentation per Government Code Sections 16352, 16409 and 16354	<u>2,563</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$382,091</b>	<b>\$266,219</b>	<b>\$-</b>
Unexpended balance, estimated savings	-3,083	-23,749	-
Balance available in subsequent years	<u>-266,219</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$112,789</b>	<b>\$242,470</b>	<b>\$-</b>
<b>0668 Public Buildings Construction Fund Subaccount</b>			
APPROPRIATIONS			
301 Budget Act appropriation	\$364,789	\$511,374	\$101,733
301 Budget Act appropriation as added by Chapter 29, Statutes of 2012	208,144	-	-
Prior year balances available:			
Item 0250-301-0668, Budget Act of 2012	-	92,519	41,210
Item 0250-302-0668, Budget Act of 2012 as added by Chapter 29, Statutes of 2012	<u>-</u>	<u>208,144</u>	<u>2,886</u>
<b>Totals Available</b>	<b>\$572,933</b>	<b>\$812,037</b>	<b>\$145,829</b>
Balance available in subsequent years	<u>-300,663</u>	<u>-44,097</u>	<u>-44,096</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$272,270</b>	<b>\$767,940</b>	<b>\$101,733</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$-	\$3,277	\$-
<b>3037 State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
301 Budget Act appropriation	<u>\$-</u>	<u>\$-</u>	<u>\$3,083</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$3,083</b>
<b>3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
301 Budget Act appropriation	\$116,306	\$26,295	\$57,638
Prior year balances available:			
Item 0250-301-3138, Budget Act of 2009, as partially reverted by Item 0250-495, BAs of 2010 and 2013, and as reapprop by Item 0250-490, BA of 2012	22,728	21,139	-
Item 0250-301-3138, Budget Act of 2012 as reverted by Item 0250-495, Budget Act of 2013	<u>-</u>	<u>87,424</u>	<u>47,925</u>
<b>Totals Available</b>	<b>\$139,034</b>	<b>\$134,858</b>	<b>\$105,563</b>
Unexpended balance, estimated savings	-30,471	-38,814	-
Balance available in subsequent years	<u>-108,563</u>	<u>-47,925</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$48,119</b>	<b>\$105,563</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)</b>	<b>\$385,059</b>	<b>\$1,061,806</b>	<b>\$210,379</b>

## 0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

\* Dollars in thousands, except in Salary Range.

**0280 Commission on Judicial Performance - Continued**

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court, 2 attorneys appointed by the Governor, and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

**3-YR EXPENDITURES AND POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
10 Commission on Judicial Performance	19.2	22.0	22.0	\$4,014	\$4,257	\$4,292
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>19.2</b>	<b>22.0</b>	<b>22.0</b>	<b>\$4,014</b>	<b>\$4,257</b>	<b>\$4,292</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$4,019	\$4,214	\$4,214
0995 Reimbursements				-	44	79
9728 Judicial Branch Workers' Compensation Fund				-5	-1	-1
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$4,014</b>	<b>\$4,257</b>	<b>\$4,292</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

**DETAILED BUDGET ADJUSTMENTS**

	<b>2013-14*</b>			<b>2014-15*</b>		
	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Retirement Rate Adjustment	\$15	\$-	-	\$15	\$-	-
• Miscellaneous Adjustments	-	44	-	-	79	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$15</b>	<b>\$44</b>	<b>-</b>	<b>\$15</b>	<b>\$79</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$15</b>	<b>\$44</b>	<b>-</b>	<b>\$15</b>	<b>\$79</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$15</b>	<b>\$44</b>	<b>-</b>	<b>\$15</b>	<b>\$79</b>	<b>-</b>

**DETAILED EXPENDITURES BY PROGRAM**

		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>COMMISSION ON JUDICIAL PERFORMANCE</b>			
	<b>State Operations:</b>			
0001	General Fund	\$4,019	\$4,214	\$4,214
0995	Reimbursements	-	44	79
9728	Judicial Branch Workers' Compensation Fund	-5	-1	-1
	<b>Totals, State Operations</b>	<b>\$4,014</b>	<b>\$4,257</b>	<b>\$4,292</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	4,014	4,257	4,292
	<b>Totals, Expenditures</b>	<b>\$4,014</b>	<b>\$4,257</b>	<b>\$4,292</b>

\* Dollars in thousands, except in Salary Range.

## 0280 Commission on Judicial Performance - Continued

### EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	19.2	22.0	22.0	\$1,903	\$2,189	\$2,262
<b>Net Totals, Salaries and Wages</b>	<b>19.2</b>	<b>22.0</b>	<b>22.0</b>	<b>\$1,903</b>	<b>\$2,189</b>	<b>\$2,262</b>
Staff Benefits	-	-	-	971	844	844
<b>Totals, Personal Services</b>	<b>19.2</b>	<b>22.0</b>	<b>22.0</b>	<b>\$2,874</b>	<b>\$3,033</b>	<b>\$3,106</b>
OPERATING EXPENSES AND EQUIPMENT				\$1,140	\$1,224	\$1,186
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS</b> (State Operations)				<b>\$4,014</b>	<b>\$4,257</b>	<b>\$4,292</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,133	\$4,198	\$4,213
Adjustment per Section 3.60	65	15	-
Transfer to Item 0280-011-0001, per Provision 1	-5	-	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	1	1	1
Transfer from Item 0280-001-0001, per Provision 1	5	-	-
<b>Totals Available</b>	<b>\$4,199</b>	<b>\$4,214</b>	<b>\$4,214</b>
Unexpended balance, estimated savings	-180	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,019</b>	<b>\$4,214</b>	<b>\$4,214</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$-	\$44	\$79
<b>9728 Judicial Branch Workers' Compensation Fund</b>			
APPROPRIATIONS			
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
Less funding provided by the General Fund	-5	-1	-1
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-5</b>	<b>\$-1</b>	<b>\$-1</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$4,014</b>	<b>\$4,257</b>	<b>\$4,292</b>

## 0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts.

The Judges' Retirement System provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

A second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan.

\* Dollars in thousands, except in Salary Range.



### 0390 Contributions to the Judges' Retirement System - Continued

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

#### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 State Operations	-	-	-	\$3,703	\$3,523	\$3,463
20 Local Assistance	-	-	-	209,333	237,875	232,344
99 Unclassified (Benefit Payments)	-	-	-	197,602	196,036	215,802
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$410,638</b>	<b>\$437,434</b>	<b>\$451,609</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$213,036	\$241,398	\$235,807
0815 Judges' Retirement Fund				187,084	190,616	209,073
0884 Judges' Retirement System II Fund				10,518	5,420	6,729
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$410,638</b>	<b>\$437,434</b>	<b>\$451,609</b>

The amounts for the Judges' Retirement Fund (0815) and the Judges' Retirement System II Fund (0884) are unclassified expenditures for benefit payments.

#### LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

#### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Miscellaneous Adjustments	-\$3,827	-\$18,507	-	-\$9,418	\$1,259	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>-\$3,827</b>	<b>-\$18,507</b>	<b>-</b>	<b>-\$9,418</b>	<b>\$1,259</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>-\$3,827</b>	<b>-\$18,507</b>	<b>-</b>	<b>-\$9,418</b>	<b>\$1,259</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>-\$3,827</b>	<b>-\$18,507</b>	<b>-</b>	<b>-\$9,418</b>	<b>\$1,259</b>	<b>-</b>

\* Dollars in thousands, except in Salary Range.

## 0390 Contributions to the Judges' Retirement System - Continued

### Judges' Retirement Fund Summaries

0815 Judges' Retirement Fund	PY 2012-13	CY 2013-14	BY 2014-15
<b>Beginning Balance</b>	\$73,027	\$53,888	\$59,225
<b>Revenues:</b>			
Investment Income	\$81	\$60	\$66
Other Income	2,482	2,862	2,862
State Contributions	160,171	188,357	178,699
Member Contributions	4,909	4,275	3,506
Other Contributions	1,632	1,846	1,846
Contribution Refunds	-	(6)	(6)
<b>Total Revenues</b>	<b>\$169,275</b>	<b>\$197,394</b>	<b>\$186,973</b>
<b>Expenditures:</b>			
Pension Benefit Payments	\$187,084	\$190,616	\$209,073
Administrative Expenditures	1,330	1,441	1,441
<b>Total Expenditures</b>	<b>\$188,414</b>	<b>\$192,057</b>	<b>\$210,514</b>
<b>Ending Fund Balance</b>	<b>\$53,888</b>	<b>\$59,225</b>	<b>\$35,684</b>

0884 Judges' Retirement System II Fund	PY 2012-13	CY 2013-14	BY 2014-15
<b>Beginning Balance</b>	\$655,337	\$794,909	\$903,600
<b>Revenues:</b>			
Investment Income	\$79,512	\$45,707	\$51,957
State Contributions	52,865	53,041	57,108
Member Contributions	18,618	18,703	20,138
Other Contributions	-	100	100
Contribution Refunds	(59)	(2,488)	(2,488)
<b>Total Revenues</b>	<b>\$150,936</b>	<b>\$115,063</b>	<b>\$126,815</b>
<b>Expenditures:</b>			
Pension Benefit Payments	\$10,518	\$5,420	\$6,729
Administrative Expenditures	846	952	952
<b>Total Expenditures</b>	<b>\$11,364</b>	<b>\$6,372</b>	<b>\$7,681</b>
<b>Ending Fund Balance</b>	<b>\$794,909</b>	<b>\$903,600</b>	<b>\$1,022,734</b>

\* Dollars in thousands, except in Salary Range.

### 0390 Contributions to the Judges' Retirement System - Continued

#### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

<b>1 STATE OPERATIONS</b>		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
	<b>0001 General Fund</b>			
APPROPRIATIONS				
	001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$1,150	\$1,150
	Government Code Section 75101 (JRS I)	1,126	941	771
	Government Code Section 75600.5 (JRS II)	<u>1,427</u>	<u>1,432</u>	<u>1,542</u>
	<b>TOTALS, EXPENDITURES</b>	<b><u>\$3,703</u></b>	<b><u>\$3,523</u></b>	<b><u>\$3,463</u></b>
	<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$3,703</b>	<b>\$3,523</b>	<b>\$3,463</b>
<b>2 LOCAL ASSISTANCE</b>		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
	<b>0001 General Fund</b>			
APPROPRIATIONS				
	101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$153,901	\$182,931	\$174,043
	Government Code Section 75101 (JRS I)	3,994	3,335	2,735
	Government Code Section 75600.5 (JRS II)	<u>51,438</u>	<u>51,609</u>	<u>55,566</u>
	<b>TOTALS, EXPENDITURES</b>	<b><u>\$209,333</u></b>	<b><u>\$237,875</u></b>	<b><u>\$232,344</u></b>
	<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$209,333</b>	<b>\$237,875</b>	<b>\$232,344</b>
<b>4 UNCLASSIFIED</b>		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
	<b>0815 Judges' Retirement Fund</b>			
APPROPRIATIONS				
	Government Code Section 75025	\$187,084	\$190,616	\$209,073
	Number of Annuitants (JRS I)	<u>(1,896)</u>	<u>(1,930)</u>	<u>(1,995)</u>
	<b>TOTALS, EXPENDITURES</b>	<b><u>\$187,084</u></b>	<b><u>\$190,616</u></b>	<b><u>\$209,073</u></b>
	<b>0884 Judges' Retirement System II Fund</b>			
APPROPRIATIONS				
	Government Code Section 75522	\$10,518	\$5,420	\$6,729
	Number of Annuitants (JRS II)	<u>(52)</u>	<u>(65)</u>	<u>(79)</u>
	<b>TOTALS, EXPENDITURES</b>	<b><u>\$10,518</u></b>	<b><u>\$5,420</u></b>	<b><u>\$6,729</u></b>
	<b>TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)</b>	<b><u>\$197,602</u></b>	<b><u>\$196,036</u></b>	<b><u>\$215,802</u></b>
	<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)</b>	<b>\$410,638</b>	<b>\$437,434</b>	<b>\$451,609</b>

### 0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

#### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Governor's Office	<u>81.0</u>	<u>81.0</u>	<u>81.0</u>	<u>\$10,178</u>	<u>\$13,058</u>	<u>\$13,064</u>
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>81.0</b>	<b>81.0</b>	<b>81.0</b>	<b>\$10,178</b>	<b>\$13,058</b>	<b>\$13,064</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$8,155	\$10,819	\$10,751
9740 Central Service Cost Recovery Fund				<u>2,023</u>	<u>2,239</u>	<u>2,313</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$10,178</b>	<b>\$13,058</b>	<b>\$13,064</b>

\* Dollars in thousands, except in Salary Range.

## 0500 Governor's Office - Continued

## LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

## DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$173	\$-	-	\$179	\$-	-
• Retirement Rate Adjustment	40	-	-	40	-	-
• Miscellaneous Adjustments	-3	-	-	-77	74	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$210</b>	<b>\$-</b>	<b>-</b>	<b>\$142</b>	<b>\$74</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$210</b>	<b>\$-</b>	<b>-</b>	<b>\$142</b>	<b>\$74</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$210</b>	<b>\$-</b>	<b>-</b>	<b>\$142</b>	<b>\$74</b>	<b>-</b>

## EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	81.0	81.0	81.0	\$8,083	\$9,252	\$9,252
Total Adjustments	-	-	-	-	128	128
<b>Net Totals, Salaries and Wages</b>	<b>81.0</b>	<b>81.0</b>	<b>81.0</b>	<b>\$8,083</b>	<b>\$9,380</b>	<b>\$9,380</b>
<b>Totals, Personal Services</b>	<b>81.0</b>	<b>81.0</b>	<b>81.0</b>	<b>\$8,083</b>	<b>\$9,380</b>	<b>\$9,380</b>
OPERATING EXPENSES AND EQUIPMENT				\$2,095	\$3,678	\$3,684
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS</b>				<b>\$10,178</b>	<b>\$13,058</b>	<b>\$13,064</b>
(State Operations)						

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,345	\$10,609	\$10,751
Allocation for employee compensation	32	173	-
Adjustment per Section 3.60	110	40	-
Adjustment per Section 3.90	-279	-	-
Adjustment per Section 4.05	-	-3	-
<b>Totals Available</b>	<b>\$10,208</b>	<b>\$10,819</b>	<b>\$10,751</b>
Unexpended balance, estimated savings	-2,053	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$8,155</b>	<b>\$10,819</b>	<b>\$10,751</b>
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,315	\$2,239	\$2,313
Adjustment per Section 3.60	24	-	-
Adjustment per Section 3.90	-62	-	-

\* Dollars in thousands, except in Salary Range.

**0500 Governor's Office - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>Totals Available</b>	<b>\$2,277</b>	<b>\$2,239</b>	<b>\$2,313</b>
Unexpended balance, estimated savings	-254	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,023</b>	<b>\$2,239</b>	<b>\$2,313</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$10,178</b>	<b>\$13,058</b>	<b>\$13,064</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	81.0	81.0	81.0	\$8,083	\$9,252	\$9,252
Salary Adjustments	-	-	-	-	128	128
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$128</b>	<b>\$128</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>81.0</b>	<b>81.0</b>	<b>81.0</b>	<b>\$8,083</b>	<b>\$9,380</b>	<b>\$9,380</b>

**0509 Governor's Office of Business and Economic Development (GO-Biz)**

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 information for the California Film Commission, Office of Tourism, Infrastructure and Economic Development Bank, and the Small Business Loan Guarantee Program merged with this Department. 2012-13 budget information for these programs is displayed under the Secretary for Business, Transportation, and Housing (Organization Code 0520).

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 GO-Biz	9.6	19.0	29.0	\$1,271	\$3,212	\$4,174
20 California Business Investment Services	7.2	12.0	12.0	1,232	1,572	1,573
30 Office of the Small Business Advocate	1.9	3.0	3.0	288	444	444
40 Infrastructure, Finance and Economic Development	-	40.0	40.0	-	15,526	15,911
40.10 California Film Commission	-	9.0	9.0	-	1,429	1,429
40.20 Tourism	-	6.0	6.0	-	1,064	1,065
40.30 California Infrastructure and Economic Development Bank	-	23.0	23.0	-	9,478	9,693
40.40 Small Business Expansion	-	2.0	2.0	-	3,451	3,614
40.50 Welcome Center Program	-	-	-	-	104	110
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>18.7</b>	<b>74.0</b>	<b>84.0</b>	<b>\$2,791</b>	<b>\$20,754</b>	<b>\$22,102</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$2,755	\$7,708	\$8,672
0649 California Infrastructure and Economic Development Bank Fund				-	9,266	9,481
0890 Federal Trust Fund				-	27,609	27,609
0918 California Small Business Expansion Fund				-	-25,506	-25,343
0995 Reimbursements				36	1,563	1,563
3083 Welcome Center Fund				-	104	110
3095 Film Promotion and Marketing Fund				-	10	10
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$2,791</b>	<b>\$20,754</b>	<b>\$22,102</b>

\* Dollars in thousands, except in Salary Range.

## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

### LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12098.5, and 63050; and Corporations Code Section 14030.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• California Competes Tax Credit Program	\$-	\$-	-	\$965	\$-	10.0
• Made in California Program	-	-	-	500	-	3.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$1,465</b>	<b>\$-</b>	<b>13.0</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation	\$19	\$62	-	\$21	\$65	-
• PERS Rate Adjustment	23	15	-	23	15	-
• Legislation With An Appropriation	504	-	3.0	-	-	-
• Miscellaneous Adjustments	-	-	-	-	381	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$546</b>	<b>\$77</b>	<b>3.0</b>	<b>\$44</b>	<b>\$461</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$546</b>	<b>\$77</b>	<b>3.0</b>	<b>\$1,509</b>	<b>\$461</b>	<b>13.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$546</b>	<b>\$77</b>	<b>3.0</b>	<b>\$1,509</b>	<b>\$461</b>	<b>13.0</b>

### PROGRAM DESCRIPTIONS

#### 10 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

#### 20 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California. The Innovation Hub (iHub) initiative improves the state's national and global competitiveness by stimulating partnerships, economic development, and job creation around specific research clusters through state-designated iHubs.

#### 30 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to issues from small businesses concerning the actions of state agencies, state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

#### 40 - INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT

The Infrastructure Finance and Economic Development Program's objective is to encourage and promote economic activity and investment within the state through various infrastructure, small business finance and economic development programs. This program includes the California Infrastructure and Economic Development Bank, the California Small Business Loan Guarantee Program, the California Film Commission and the California Division of Tourism. Each of the programs is charged with bolstering California's economy in the areas of infrastructure, technology, tourism, entertainment and small business.

\* Dollars in thousands, except in Salary Range.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -  
Continued**

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>GO-BIZ</b>			
	<b>State Operations:</b>			
0001	General Fund	<u>\$1,271</u>	<u>\$3,212</u>	<u>\$4,174</u>
	<b>Totals, State Operations</b>	<b>\$1,271</b>	<b>\$3,212</b>	<b>\$4,174</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>20</b>	<b>CALIFORNIA BUSINESS INVESTMENT SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	<u>\$1,232</u>	<u>\$1,572</u>	<u>\$1,573</u>
	<b>Totals, State Operations</b>	<b>\$1,232</b>	<b>\$1,572</b>	<b>\$1,573</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>30</b>	<b>OFFICE OF THE SMALL BUSINESS ADVOCATE</b>			
	<b>State Operations:</b>			
0001	General Fund	<u>\$288</u>	<u>\$444</u>	<u>\$444</u>
	<b>Totals, State Operations</b>	<b>\$288</b>	<b>\$444</b>	<b>\$444</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>40</b>	<b>INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT</b>			
0001	General Fund	\$-	\$2,480	\$2,481
0649	California Infrastructure and Economic Development Bank Fund	-	3,766	3,981
0890	Federal Trust Fund	-	27,609	27,609
0918	California Small Business Expansion Fund	-	-25,506	-25,343
0995	Reimbursements	-	1,563	1,563
3083	Welcome Center Fund	-	104	110
3095	Film Promotion and Marketing Fund	<u>-</u>	<u>10</u>	<u>10</u>
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$10,026</b>	<b>\$10,411</b>
	<b>Local Assistance:</b>			
0649	California Infrastructure and Economic Development Bank Fund	\$-	\$5,500	\$5,500
	<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$5,500</b>	<b>\$5,500</b>
<b>ELEMENT REQUIREMENTS</b>				
<b>40.10</b>	<b>California Film Commission</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$1,419	\$1,419
3095	Film Promotion and Marketing Fund	<u>-</u>	<u>10</u>	<u>10</u>
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$1,429</b>	<b>\$1,429</b>
<b>40.20</b>	<b>Tourism</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$200	\$200
0995	Reimbursements	<u>-</u>	<u>864</u>	<u>865</u>
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$1,064</b>	<b>\$1,065</b>
<b>40.30</b>	<b>California Infrastructure and Economic Development Bank</b>			
	<b>State Operations:</b>			

\* Dollars in thousands, except in Salary Range.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -  
Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0649 California Infrastructure and Economic Development Bank Fund	\$-	\$3,766	\$3,981
0995 Reimbursements	-	212	212
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$3,978</b>	<b>\$4,193</b>
<b>Local Assistance:</b>			
0649 California Infrastructure and Economic Development Bank Fund	\$-	\$5,500	\$5,500
<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$5,500</b>	<b>\$5,500</b>
<b>40.40 Small Business Expansion</b>			
<b>State Operations:</b>			
0001 General Fund	\$-	\$861	\$861
0890 Federal Trust Fund	-	27,609	27,609
0918 California Small Business Expansion Fund	-	-25,506	-25,343
0995 Reimbursements	-	487	487
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$3,451</b>	<b>\$3,614</b>
<b>40.50 Welcome Center Program</b>			
<b>State Operations:</b>			
3083 Welcome Center Fund	\$-	\$104	\$110
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$104</b>	<b>\$110</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	2,791	15,254	16,602
Local Assistance	-	5,500	5,500
<b>Totals, Expenditures</b>	<b>\$2,791</b>	<b>\$20,754</b>	<b>\$22,102</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	18.7	71.0	71.0	\$1,426	\$5,380	\$5,426
Total Adjustments	-	3.0	13.0	-	273	905
<b>Net Totals, Salaries and Wages</b>	<b>18.7</b>	<b>74.0</b>	<b>84.0</b>	<b>\$1,426</b>	<b>\$5,653</b>	<b>\$6,331</b>
Staff Benefits	-	-	-	509	1,968	2,178
<b>Totals, Personal Services</b>	<b>18.7</b>	<b>74.0</b>	<b>84.0</b>	<b>\$1,935</b>	<b>\$7,621</b>	<b>\$8,509</b>
OPERATING EXPENSES AND EQUIPMENT				\$856	\$4,776	\$5,245
SPECIAL ITEMS OF EXPENSE				\$-	\$2,857	\$2,848
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$2,791</b>	<b>\$15,254</b>	<b>\$16,602</b>

2 Local Assistance	<u>Expenditures</u>		
	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
California Infrastructure and Economic Development Bank Program	\$-	\$5,500	\$5,500
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$-</b>	<b>\$5,500</b>	<b>\$5,500</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

\* Dollars in thousands, except in Salary Range.



## 0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,755	\$6,302	\$7,811
Allocation for employee compensation	9	19	-
Adjustment per Section 3.60	47	22	-
Adjustment per Section 3.90	-117	-	-
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	-	861	861
Chapter 69, Statutes of 2013	-	404	-
Chapter 360, Statutes of 2013	-	100	-
<b>Totals Available</b>	<b>\$3,694</b>	<b>\$7,708</b>	<b>\$8,672</b>
Unexpended balance, estimated savings	-939	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,755</b>	<b>\$7,708</b>	<b>\$8,672</b>
<b>0649 California Infrastructure and Economic Development Bank Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$3,708	\$3,981
Allocation for employee compensation	-	46	-
Adjustment per Section 3.60	-	12	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$3,766</b>	<b>\$3,981</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	\$-	\$27,609	\$27,609
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$27,609</b>	<b>\$27,609</b>
<b>0918 California Small Business Expansion Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$110	\$279
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	1	-
Corporations Code Section 14030	-	1,848	1,848
Corporations Code Section 14030(a) (Default Payments)	-	1,000	1,000
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$2,964</b>	<b>\$3,127</b>
Less funding provided by the General Fund	-	-861	-861
Less funding provided by the Federal Trust Fund	-	-27,609	-27,609
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-25,506</b>	<b>\$-25,343</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$36	\$1,563	\$1,563
<b>3083 Welcome Center Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$104	\$110
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$104</b>	<b>\$110</b>
<b>3095 Film Promotion and Marketing Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$10	\$10
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$10</b>	<b>\$10</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$2,791</b>	<b>\$15,254</b>	<b>\$16,602</b>

\* Dollars in thousands, except in Salary Range.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -  
Continued**

2 LOCAL ASSISTANCE	2012-13*	2013-14*	2014-15*
<b>0649 California Infrastructure and Economic Development Bank Fund</b>			
APPROPRIATIONS			
Government Code Section 63050	<u>\$-</u>	<u>\$5,500</u>	<u>\$5,500</u>
<b>TOTALS, EXPENDITURES</b>	<u><b>\$-</b></u>	<u><b>\$5,500</b></u>	<u><b>\$5,500</b></u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<u><b>\$-</b></u>	<u><b>\$5,500</b></u>	<u><b>\$5,500</b></u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$2,791</b>	<b>\$20,754</b>	<b>\$22,102</b>

**FUND CONDITION STATEMENTS**

	2012-13*	2013-14*	2014-15*
<b>3083 Welcome Center Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$67	\$68	\$64
Prior year adjustments	<u>-20</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$47	\$68	\$64
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	<u>101</u>	<u>100</u>	<u>100</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$101</u>	<u>\$100</u>	<u>\$100</u>
Total Resources	\$148	\$168	\$164
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	-	104	110
0520 Secretary for Business, Transportation and Housing (State Operations)	79	-	-
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$80</u>	<u>\$104</u>	<u>\$110</u>
FUND BALANCE	\$68	\$64	\$54
Reserve for economic uncertainties	68	64	54
<b>3095 Film Promotion and Marketing Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$6	\$11	\$11
Prior year adjustments	<u>1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$7	\$11	\$11
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
141200 Sales of Documents	<u>7</u>	<u>10</u>	<u>10</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$7</u>	<u>\$10</u>	<u>\$10</u>
Total Resources	\$14	\$21	\$21
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	-	10	10
0520 Secretary for Business, Transportation and Housing (State Operations)	<u>3</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$3</u>	<u>\$10</u>	<u>\$10</u>
FUND BALANCE	\$11	\$11	\$11
Reserve for economic uncertainties	11	11	11

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	18.7	71.0	71.0	\$1,426	\$5,380	\$5,426

\* Dollars in thousands, except in Salary Range.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -  
Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Salary Adjustments	-	-	-	-	57	57
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Program 10 - GO-Biz						
C.E.A. A	-	0.5	-	6,173-8,874	52	-
Staff Svcs Mgr I	-	0.5	-	5,079-6,311	39	-
Research Analyst II	-	0.5	-	4,619-5,784	36	-
Assoc Govtl Prog Analyst	-	1.0	-	4,400-5,508	68	-
Office Techn	-	0.5	-	2,686-3,362	21	-
<b>Proposed New Positions:</b>						
Program 10 - GO-Biz						
Attorney III	-	-	1.0	7,682-9,857	-	105
C.E.A. A	-	-	1.0	6,173-8,874	-	90
Systems Software Spec. III	-	-	1.0	6,110-8,030	-	85
Research Program Spec. I	-	-	2.0	4,833-6,050	-	131
Research Analyst II	-	-	2.0	4,619-5,784	-	125
Assoc Govtl Prog Analyst	-	-	5.0	4,400-5,508	-	276
Office Techn	-	-	1.0	2,686-3,362	-	36
<b>Totals, Proposed New Positions</b>	<u>-</u>	<u>3.0</u>	<u>13.0</u>	<u>\$-</u>	<u>\$216</u>	<u>\$848</u>
<b>Total Adjustments</b>	<u>-</u>	<u>3.0</u>	<u>13.0</u>	<u>\$-</u>	<u>\$273</u>	<u>\$905</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>18.7</b>	<b>74.0</b>	<b>84.0</b>	<b>\$1,426</b>	<b>\$5,653</b>	<b>\$6,331</b>

**0510 Secretary for State and Consumer Services**

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 abolished the Secretary for State and Consumer Services. The 2013-14 Consumer Services responsibilities were merged into the Secretary for Business, Consumer Services, and Housing (Organization Code 0515), and Government Operations responsibilities were merged into the new Secretary for Government Operations (Organization Code 0511).

The State and Consumer Services Agency had oversight of the departments of Consumer Affairs, Fair Employment and Housing, and General Services. The Agency also had oversight of the California Science Center, the California African American Museum, the Seismic Safety Commission, the Franchise Tax Board, the California Building Standards Commission, the California Public Employees' Retirement System, the California State Teachers' Retirement System, the Victim Compensation and Government Claims Board, and the Office of Privacy Protection.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 State and Consumer Services Agency Oversight	4.8	-	-	\$1,008	\$-	\$-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>4.8</b>	<b>-</b>	<b>-</b>	<b>\$1,008</b>	<b>\$-</b>	<b>\$-</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0995 Reimbursements				\$1,008	\$-	\$-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$1,008</b>	<b>\$-</b>	<b>\$-</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Government Code Sections 11549, 11550, 12800, 12801, and 12804.

\* Dollars in thousands, except in Salary Range.

## 0510 Secretary for State and Consumer Services - Continued

### PROGRAM DESCRIPTIONS

#### 10 - STATE AND CONSUMER SERVICES AGENCY OVERSIGHT

The Secretary, a member of the Governor's Cabinet, advised the Governor on major policy and program matters and had oversight of the Agency's departments.

### DETAILED EXPENDITURES BY PROGRAM

	2012-13*	2013-14*	2014-15*
<b>PROGRAM REQUIREMENTS</b>			
<b>10 STATE AND CONSUMER SERVICES AGENCY OVERSIGHT</b>			
<b>State Operations:</b>			
0995 Reimbursements	\$1,008	\$-	\$-
<b>Totals, State Operations</b>	<b>\$1,008</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	1,008	-	-
<b>Totals, Expenditures</b>	<b>\$1,008</b>	<b>\$-</b>	<b>\$-</b>

### EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>1 State Operations</b>						
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	4.8	-	-	\$545	\$-	\$-
<b>Net Totals, Salaries and Wages</b>	<b>4.8</b>	<b>-</b>	<b>-</b>	<b>\$545</b>	<b>\$-</b>	<b>\$-</b>
Staff Benefits	-	-	-	191	-	-
<b>Totals, Personal Services</b>	<b>4.8</b>	<b>-</b>	<b>-</b>	<b>\$736</b>	<b>\$-</b>	<b>\$-</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
				\$272	\$-	\$-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$1,008</b>	<b>\$-</b>	<b>\$-</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	2012-13*	2013-14*	2014-15*
<b>1 STATE OPERATIONS</b>			
<b>0001 General Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	0	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0995 Reimbursements</b>			
<b>APPROPRIATIONS</b>			
Reimbursements	\$1,008	\$-	\$-
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$1,008</b>	<b>\$-</b>	<b>\$-</b>

## 0511 Secretary for Government Operations Agency

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 created the Secretary for Government Operations.

The Government Operations Agency's mission is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operational decisions. The Government Operations Agency oversees the Department of General Services, the Department of Human Resources, the Department of Technology, the Office of Administrative Law, the Franchise Tax Board, the State Personnel Board, the Victim Compensation and Government Claims Board, the California Public Employees' Retirement System, and the California State Teachers' Retirement System.

\* Dollars in thousands, except in Salary Range.

**0511 Secretary for Government Operations Agency - Continued**

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
10 Administration of Government Operations Agency	-	17.0	17.0	\$-	\$3,454	\$3,143
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>-</b>	<b>17.0</b>	<b>17.0</b>	<b>\$-</b>	<b>\$3,454</b>	<b>\$3,143</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$-	\$1,346	\$1,225
0995 Reimbursements				-	2,108	1,918
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$-</b>	<b>\$3,454</b>	<b>\$3,143</b>

**LEGAL CITATIONS AND AUTHORITY**

Government Code, section 12803.2.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>Administration of Government Operations Agency</b>			
	<b>State Operations:</b>			
0001	General Fund	\$-	\$1,346	\$1,225
0995	Reimbursements	-	2,108	1,918
	<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$3,454</b>	<b>\$3,143</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	-	3,454	3,143
	<b>Totals, Expenditures</b>	<b>\$-</b>	<b>\$3,454</b>	<b>\$3,143</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	-	17.0	17.0	\$-	\$1,769	\$1,769
Total Adjustments	-	-	-	-	9	9
<b>Net Totals, Salaries and Wages</b>	<b>-</b>	<b>17.0</b>	<b>17.0</b>	<b>\$-</b>	<b>\$1,778</b>	<b>\$1,778</b>
Staff Benefits	-	-	-	-	758	759
<b>Totals, Personal Services</b>	<b>-</b>	<b>17.0</b>	<b>17.0</b>	<b>\$-</b>	<b>\$2,536</b>	<b>\$2,537</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
				\$-	\$918	\$606
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$3,454</b>	<b>\$3,143</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS		2012-13*	2013-14*	2014-15*
0001 General Fund				
<b>APPROPRIATIONS</b>				
001	Budget Act appropriation	\$-	\$1,336	\$1,225
	Allocation for employee compensation	-	5	-

\* Dollars in thousands, except in Salary Range.

**0511 Secretary for Government Operations Agency - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Adjustment per Section 3.60	-	5	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$1,346</b>	<b>\$1,225</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$-	\$2,108	\$1,918
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$-</b>	<b>\$3,454</b>	<b>\$3,143</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Totals, Authorized Positions	-	17.0	17.0	\$-	\$1,769	\$1,769
Salary Adjustments	-	-	-	-	9	9
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$9</b>	<b>\$9</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>-</b>	<b>17.0</b>	<b>17.0</b>	<b>\$-</b>	<b>\$1,778</b>	<b>\$1,778</b>

**0515 Secretary for Business, Consumer Services, and Housing Agency**

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 created the Secretary for Business, Consumer Services, and Housing. The 2013-14 Business and Housing responsibilities of the Secretary for Business, Transportation, and Housing were merged into this Secretary. 2012-13 budget information for Business and Housing responsibilities is displayed in the Secretary for Business, Transportation, and Housing (Organization Code 0520). In addition, the 2013-14 Consumer Services responsibilities of the Secretary for State and Consumer Services were merged into this Secretary. 2012-13 budget information for Consumer Services responsibilities is displayed in the Secretary for State and Consumer Services (Organization Code 0510).

The Business, Consumer Services, and Housing Agency (Agency) is responsible for overseeing departments, boards, bureaus, commissions, and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; the investigation and prosecution of civil rights violations; safe and affordable housing opportunities; and earthquake safety. The Agency is comprised of the following entities: Department of Consumer Affairs; Department of Business Oversight; Department of Fair Employment and Housing; Department of Housing and Community Development; Department of Alcoholic Beverage Control; Alcoholic Beverage Control Appeals Board; California Horse Racing Board; and the Alfred E. Alquist Seismic Safety Commission.

**3-YR EXPENDITURES AND POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
10 Support	-	15.0	15.0	\$-	\$2,843	\$2,890
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>-</b>	<b>15.0</b>	<b>15.0</b>	<b>\$-</b>	<b>\$2,843</b>	<b>\$2,890</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$-	\$106	\$104
0067 State Corporations Fund				-	195	265
0240 Local Agency Deposit Security Fund				-	2	1
0298 Financial Institutions Fund				-	96	131
0299 Credit Union Fund				-	30	40
0995 Reimbursements				-	2,141	2,071
3036 Alcohol Beverages Control Fund				-	239	232
3153 Horse Racing Fund				-	34	46
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$-</b>	<b>\$2,843</b>	<b>\$2,890</b>

**LEGAL CITATIONS AND AUTHORITY**

Government Code, Sections 12800, 12804, 12804.5, 12855, and 12856.

\* Dollars in thousands, except in Salary Range.

**0515 Secretary for Business, Consumer Services, and Housing Agency - Continued**

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$9	-	\$1	\$9	-
• Retirement Rate Adjustment	1	10	-	1	10	-
• One Time Cost Reductions	-	-	-	-4	-90	-
• Miscellaneous Adjustments	-	-	-	-	139	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$1</b>	<b>\$19</b>	<b>-</b>	<b>-\$2</b>	<b>\$68</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$1</b>	<b>\$19</b>	<b>-</b>	<b>-\$2</b>	<b>\$68</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$1</b>	<b>\$19</b>	<b>-</b>	<b>-\$2</b>	<b>\$68</b>	<b>-</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	-	15.0	15.0	\$-	\$1,550	\$1,550
Total Adjustments	-	-	-	-	5	5
<b>Net Totals, Salaries and Wages</b>	<b>-</b>	<b>15.0</b>	<b>15.0</b>	<b>\$-</b>	<b>\$1,555</b>	<b>\$1,555</b>
Staff Benefits	-	-	-	-	668	669
<b>Totals, Personal Services</b>	<b>-</b>	<b>15.0</b>	<b>15.0</b>	<b>\$-</b>	<b>\$2,223</b>	<b>\$2,224</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				<b>\$-</b>	<b>\$620</b>	<b>\$666</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$2,843</b>	<b>\$2,890</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$-	\$106	\$104
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$106</b>	<b>\$104</b>
<b>0067 State Corporations Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$-	\$193	\$265
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	1	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$195</b>	<b>\$265</b>
<b>0240 Local Agency Deposit Security Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$-	\$2	\$1
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$2</b>	<b>\$1</b>
<b>0298 Financial Institutions Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$-	\$96	\$131
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$96</b>	<b>\$131</b>

\* Dollars in thousands, except in Salary Range.

**0515 Secretary for Business, Consumer Services, and Housing Agency - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0299 Credit Union Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$30	\$40
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$30</b>	<b>\$40</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$-	\$2,141	\$2,071
<b>3036 Alcohol Beverages Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$237	\$232
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	1	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$239</b>	<b>\$232</b>
<b>3153 Horse Racing Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$34	\$46
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$34</b>	<b>\$46</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$-</b>	<b>\$2,843</b>	<b>\$2,890</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	-	15.0	15.0	\$-	\$1,550	\$1,550
Salary Adjustments	-	-	-	-	5	5
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$5</b>	<b>\$5</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>-</b>	<b>15.0</b>	<b>15.0</b>	<b>\$-</b>	<b>\$1,555</b>	<b>\$1,555</b>

**0520 Secretary for Business, Transportation and Housing**

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 abolished the Secretary for Business, Transportation, and Housing. The 2013-14 and 2014-15 information for the Transportation responsibilities is shown under the new Secretary for Transportation (Organization Code 0521). The 2013-14 and 2014-15 Business and Housing responsibilities are shown under the Secretary for Business, Consumer Services, and Housing (Organization Code 0515). In addition, the 2013-14 and 2014-15 information for the California Film Commission, Office of Tourism, Infrastructure and Economic Development Bank, and the Small Business Loan Guarantee Program is shown under the Governor's Office of Business and Economic Development (GO-Biz) (Organization Code 0509).

The Secretary for Business, Transportation and Housing (BTH) oversaw and coordinated the activities of 12 departments and several economic development programs and commissions.

Departments in the former BTH Agency included:

- California Housing Finance Agency
- Department of Alcoholic Beverage Control
- Department of the California Highway Patrol
- Department of Corporations
- Department of Financial Institutions
- Department of Housing and Community Development
- Department of Motor Vehicles
- Department of Real Estate
- Department of Transportation
- Office of Real Estate Appraisers
- Office of Traffic Safety
- Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun

Additionally, economic development programs included:

- California Film Commission
- California Travel and Tourism Commission
- Infrastructure and Economic Development Bank

\* Dollars in thousands, except in Salary Range.



**0520 Secretary for Business, Transportation and Housing - Continued**

- International Trade Promotion
- Small Business Loan Guarantee Program

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
10 Administration of Business, Transportation and Housing Agency	17.5	-	-	\$3,070	\$-	\$-
25 Infrastructure Finance and Economic Development Program	40.9	-	-	19,601	-	-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>58.4</b>	<b>-</b>	<b>-</b>	<b>\$22,671</b>	<b>\$-</b>	<b>\$-</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$2,317	\$-	\$-
0044 Motor Vehicle Account, State Transportation Fund				1,277	-	-
0649 California Infrastructure and Economic Development Bank Fund				16,250	-	-
0918 California Small Business Expansion Fund				265	-	-
0995 Reimbursements				2,480	-	-
3083 Welcome Center Fund				79	-	-
3095 Film Promotion and Marketing Fund				3	-	-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$22,671</b>	<b>\$-</b>	<b>\$-</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

**PROGRAM DESCRIPTIONS**

10 - ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY

The objective of this program was two-fold. The Secretary, a member of the Governor's Cabinet, advised the Governor on major policy and program matters and oversaw the operations of the Agency's departments and programs. The Administration Program also provided support services for the Agency.

25 - INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT PROGRAM

The Infrastructure Finance and Economic Development Program's objective was to encourage and promote economic activity and investment within the state through various infrastructure, small business finance, and economic development programs. BTH Agency served as a catalyst to help all sectors of the California economy succeed, including technology, tourism, entertainment, and small business. This program also included the California Infrastructure and Economic Development Bank, which finances public infrastructure and private development that promote economic growth, revitalize communities and enhance the quality of life for Californians.

**DETAILED EXPENDITURES BY PROGRAM**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>10 ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY</b>			
<b>State Operations:</b>			
0044 Motor Vehicle Account, State Transportation Fund	\$1,277	\$-	\$-
0995 Reimbursements	1,793	-	-
<b>Totals, State Operations</b>	<b>\$3,070</b>	<b>\$-</b>	<b>\$-</b>
<b>PROGRAM REQUIREMENTS</b>			

\* Dollars in thousands, except in Salary Range.

## 0520 Secretary for Business, Transportation and Housing - Continued

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>25</b>	<b>INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT PROGRAM</b>			
	<b>State Operations:</b>			
0001	General Fund	\$2,317	\$-	\$-
0649	California Infrastructure and Economic Development Bank Fund	3,140	-	-
0918	California Small Business Expansion Fund	265	-	-
0995	Reimbursements	687	-	-
3083	Welcome Center Fund	79	-	-
3095	Film Promotion and Marketing Fund	3	-	-
	<b>Totals, State Operations</b>	<b>\$6,491</b>	<b>\$-</b>	<b>\$-</b>
	<b>Local Assistance:</b>			
0649	California Infrastructure and Economic Development Bank Fund	\$13,110	\$-	\$-
	<b>Totals, Local Assistance</b>	<b>\$13,110</b>	<b>\$-</b>	<b>\$-</b>
	<b>ELEMENT REQUIREMENTS</b>			
<b>25.10</b>	<b>California Film Commission</b>	<b>\$1,270</b>	<b>\$-</b>	<b>\$-</b>
	<b>State Operations:</b>			
0001	General Fund	1,267	-	-
3095	Film Promotion and Marketing Fund	3	-	-
<b>25.30</b>	<b>Tourism</b>	<b>\$389</b>	<b>\$-</b>	<b>\$-</b>
	<b>State Operations:</b>			
0001	General Fund	189	-	-
0995	Reimbursements	200	-	-
<b>25.40</b>	<b>California Infrastructure and Economic Development Bank</b>	<b>\$8,640</b>	<b>\$-</b>	<b>\$-</b>
	<b>State Operations:</b>			
0649	California Infrastructure and Economic Development Bank Fund	3,140	-	-
	<b>Local Assistance:</b>			
0649	California Infrastructure and Economic Development Bank Fund	5,500	-	-
<b>25.50</b>	<b>Small Business Expansion</b>	<b>\$1,613</b>	<b>\$-</b>	<b>\$-</b>
	<b>State Operations:</b>			
0001	General Fund	861	-	-
0918	California Small Business Expansion Fund	265	-	-
0995	Reimbursements	487	-	-
<b>25.80</b>	<b>Welcome Center Program</b>	<b>\$79</b>	<b>\$-</b>	<b>\$-</b>
	<b>State Operations:</b>			
3083	Welcome Center Fund	79	-	-
	<b>TOTALS, EXPENDITURES</b>			
	State Operations	9,561	-	-
	Local Assistance	13,110	-	-
	<b>Totals, Expenditures</b>	<b>\$22,671</b>	<b>\$-</b>	<b>\$-</b>

### EXPENDITURES BY CATEGORY

\* Dollars in thousands, except in Salary Range.

## 0520 Secretary for Business, Transportation and Housing - Continued

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	58.4	-	-	\$4,154	\$-	\$-
<b>Net Totals, Salaries and Wages</b>	<b>58.4</b>	<b>-</b>	<b>-</b>	<b>\$4,154</b>	<b>\$-</b>	<b>\$-</b>
Staff Benefits	-	-	-	1,688	-	-
<b>Totals, Personal Services</b>	<b>58.4</b>	<b>-</b>	<b>-</b>	<b>\$5,842</b>	<b>\$-</b>	<b>\$-</b>
OPERATING EXPENSES AND EQUIPMENT				\$3,719	\$-	\$-
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$9,561</b>	<b>\$-</b>	<b>\$-</b>

2 Local Assistance	Expenditures		
	2012-13*	2013-14*	2014-15*
Grants and subventions	\$13,110	\$-	\$-
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$13,110</b>	<b>\$-</b>	<b>\$-</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,608	\$-	\$-
Allocation for employee compensation	4	-	-
Adjustment per Section 3.60	18	-	-
Adjustment per Section 3.90	-45	-	-
002 Budget Act appropriation (TTCA closure costs)	30	-	-
011 Budget Act appropriation	861	-	-
<b>Totals Available</b>	<b>\$2,476</b>	<b>\$-</b>	<b>\$-</b>
Unexpended balance, estimated savings	-159	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,317</b>	<b>\$-</b>	<b>\$-</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,424	\$-	\$-
Adjustment per Section 3.60	2	-	-
Adjustment per Section 3.90	-4	-	-
<b>Totals Available</b>	<b>\$1,422</b>	<b>\$-</b>	<b>\$-</b>
Unexpended balance, estimated savings	-145	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,277</b>	<b>\$-</b>	<b>\$-</b>
<b>0649 California Infrastructure and Economic Development Bank Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,754	\$-	\$-
Allocation for employee compensation	11	-	-
Adjustment per Section 3.60	43	-	-
Adjustment per Section 3.90	-109	-	-
<b>Totals Available</b>	<b>\$3,699</b>	<b>\$-</b>	<b>\$-</b>
Unexpended balance, estimated savings	-559	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,140</b>	<b>\$-</b>	<b>\$-</b>
<b>0918 California Small Business Expansion Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

## 0520 Secretary for Business, Transportation and Housing - Continued

	2012-13*	2013-14*	2014-15*
<b>1 STATE OPERATIONS</b>			
001 Budget Act appropriation	\$133	\$-	\$-
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-1	-	-
Corporations Code Section 14030	616	-	-
Corporations Code Section 14030(a) (Default Payments)	<u>389</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$1,138</b>	<b>\$-</b>	<b>\$-</b>
Unexpended balance, estimated savings	<u>-12</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,126</b>	<b>\$-</b>	<b>\$-</b>
Less funding provided by Various Funds	<u>-861</u>	<u>-</u>	<u>-</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$265</b>	<b>\$-</b>	<b>\$-</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$2,480	\$-	\$-
<b>3083 Welcome Center Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$106	\$-	\$-
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	<u>-3</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$104</b>	<b>\$-</b>	<b>\$-</b>
Unexpended balance, estimated savings	<u>-25</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$79</b>	<b>\$-</b>	<b>\$-</b>
<b>3095 Film Promotion and Marketing Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$10</u>	<u>\$-</u>	<u>\$-</u>
<b>Totals Available</b>	<b>\$10</b>	<b>\$-</b>	<b>\$-</b>
Unexpended balance, estimated savings	<u>-7</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$9,561</b>	<b>\$-</b>	<b>\$-</b>
<b>2 LOCAL ASSISTANCE</b>			
<b>0649 California Infrastructure and Economic Development Bank Fund</b>			
APPROPRIATIONS			
Government Code Section 63050	<u>\$13,110</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$13,110</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$13,110</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$22,671</b>	<b>\$-</b>	<b>\$-</b>

## 0521 Secretary for Transportation Agency

The California State Transportation Agency develops and coordinates the policies and programs of the state's transportation entities to achieve the state's mobility, safety, and air quality objectives from its transportation system.

Effective July 1, 2013, the Governor's Reorganization Plan No. 2 of 2012 created the Secretary for Transportation. The 2013-14 and 2014-15 transportation responsibilities of the Secretary for Business, Transportation, and Housing are merging into this Secretary. The 2012-13 budget information for transportation responsibilities is displayed in the Secretary for Business, Transportation, and Housing (Organization Code 0520). In addition, the Office of Traffic Safety is merging with this Secretary. The 2012-13 budget information for the Office of Traffic Safety is displayed in Organization Code 2700 under the new Transportation Agency.

### 3-YR EXPENDITURES AND POSITIONS

\* Dollars in thousands, except in Salary Range.

**0521 Secretary for Transportation Agency - Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Administration of Transportation Agency	-	26.0	26.0	\$-	\$4,189	\$4,191
20 California Traffic Safety Program	-	32.0	32.0	-	123,647	96,681
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>-</b>	<b>58.0</b>	<b>58.0</b>	<b>\$-</b>	<b>\$127,836</b>	<b>\$100,872</b>

  

<b>FUNDING</b>				2012-13*	2013-14*	2014-15*
0044	Motor Vehicle Account, State Transportation Fund			\$-	\$2,554	\$2,549
0046	Public Transportation Account, State Transportation Fund			-	6	6
0890	Federal Trust Fund			-	123,187	96,227
0995	Reimbursements			-	2,089	2,090
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$-</b>	<b>\$127,836</b>	<b>\$100,872</b>

**LEGAL CITATIONS AND AUTHORITY**

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$85	-	\$-	\$90	-
• Retirement Rate Adjustment	-	31	-	-	31	-
• Carryover/Reappropriation	-	26,226	-	-	-	-
• Miscellaneous Adjustments	-	-38	-	-	-781	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$26,304</b>	<b>-</b>	<b>\$-</b>	<b>-\$660</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$26,304</b>	<b>-</b>	<b>\$-</b>	<b>-\$660</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$26,304</b>	<b>-</b>	<b>\$-</b>	<b>-\$660</b>	<b>-</b>

**PROGRAM DESCRIPTIONS**

**10 - ADMINISTRATION OF TRANSPORTATION AGENCY**

The objective of this program is two-fold. The Secretary, a member of the Governor's Cabinet, advises the Governor on major policy and program matters and oversees the operations of the Agency's departments and programs. The Administration Program also provides support services for the Agency.

**20 - CALIFORNIA TRAFFIC SAFETY PROGRAM**

This program develops the California Highway Safety Plan, which: (1) identifies major traffic safety problems and appropriate programs to address these problems using available state and federal funds; (2) administers grants to state departments and local governments; and (3) coordinates statewide traffic safety programs and activities.

**DETAILED EXPENDITURES BY PROGRAM**

				2012-13*	2013-14*	2014-15*
<b>PROGRAM REQUIREMENTS</b>						
<b>10 ADMINISTRATION OF TRANSPORTATION AGENCY</b>						
<b>State Operations:</b>						
0044	Motor Vehicle Account, State Transportation Fund			\$-	\$2,094	\$2,095
0046	Public Transportation Account, State Transportation Fund			-	6	6
0995	Reimbursements			-	2,089	2,090
<b>Totals, State Operations</b>				<b>\$-</b>	<b>\$4,189</b>	<b>\$4,191</b>

\* Dollars in thousands, except in Salary Range.

## 0521 Secretary for Transportation Agency - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>20 CALIFORNIA TRAFFIC SAFETY PROGRAM</b>			
<b>State Operations:</b>			
0044 Motor Vehicle Account, State Transportation Fund	\$-	\$460	\$454
0890 Federal Trust Fund	-	<u>59,968</u>	<u>59,234</u>
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$60,428</b>	<b>\$59,688</b>
<b>Local Assistance:</b>			
0890 Federal Trust Fund	-	<u>\$63,219</u>	<u>\$36,993</u>
<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$63,219</b>	<b>\$36,993</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	-	64,617	63,879
Local Assistance	-	<u>63,219</u>	<u>36,993</u>
<b>Totals, Expenditures</b>	<b>\$-</b>	<b>\$127,836</b>	<b>\$100,872</b>

## EXPENDITURES BY CATEGORY

	<b>1 State Operations</b>			<b>Expenditures</b>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	-	58.0	58.0	\$-	\$4,357	\$4,368
Total Adjustments	-	-	-	-	<u>62</u>	<u>62</u>
<b>Net Totals, Salaries and Wages</b>	<b>-</b>	<b>58.0</b>	<b>58.0</b>	<b>\$-</b>	<b>\$4,419</b>	<b>\$4,430</b>
Staff Benefits	-	-	-	-	<u>2,023</u>	<u>2,028</u>
<b>Totals, Personal Services</b>	<b>-</b>	<b>58.0</b>	<b>58.0</b>	<b>\$-</b>	<b>\$6,442</b>	<b>\$6,458</b>
OPERATING EXPENSES AND EQUIPMENT				\$-	\$4,333	\$3,579
SPECIAL ITEMS OF EXPENSE				-	<u>\$53,842</u>	<u>\$53,842</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$64,617</b>	<b>\$63,879</b>

	<b>2 Local Assistance</b>		
	<b>Expenditures</b>		
	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Grants and Subventions	\$-	\$63,219	\$36,993
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$-</b>	<b>\$63,219</b>	<b>\$36,993</b>

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$-	\$2,524	\$2,549
Allocation for employee compensation	-	20	-
Adjustment per Section 3.60	-	<u>10</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$2,554</b>	<b>\$2,549</b>
<b>0046 Public Transportation Account, State Transportation Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$-	\$6	\$6
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$6</b>	<b>\$6</b>

\* Dollars in thousands, except in Salary Range.

### 0521 Secretary for Transportation Agency - Continued

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$6,100	\$5,392
Allocation for employee compensation	-	51	-
Adjustment per Section 3.60	-	13	-
Adjustment per Section 4.05	-	-38	-
002 Budget Act appropriation	-	<u>53,842</u>	<u>53,842</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$59,968</b>	<b>\$59,234</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	<u>\$-</u>	<u>\$2,089</u>	<u>\$2,090</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$-</b>	<b>\$64,617</b>	<b>\$63,879</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$-	\$36,993	\$36,993
Prior year balances available:			
Item 2700-101-0890, Budget Act of 2012	-	<u>26,226</u>	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$63,219</b>	<b>\$36,993</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$-</b>	<b>\$63,219</b>	<b>\$36,993</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$-</b>	<b>\$127,836</b>	<b>\$100,872</b>

#### CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	-	58.0	58.0	\$-	\$4,357	\$4,368
Salary Adjustments	-	-	-	-	62	62
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$62</b>	<b>\$62</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>-</b>	<b>58.0</b>	<b>58.0</b>	<b>\$-</b>	<b>\$4,419</b>	<b>\$4,430</b>

### 0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHSA is committed to striking a balance between the twin imperatives of maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while constantly pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals

\* Dollars in thousands, except in Salary Range.

## 0530 Secretary for California Health and Human Services Agency - Continued

- Office of Statewide Health Planning and Development
- Managed Risk Medical Insurance Board

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Secretary of California Health and Human Services	26.1	27.0	25.0	\$4,665	\$7,735	\$7,484
21 California Office of Health Information Integrity (CALOHII)	18.9	14.2	10.0	10,025	24,303	12,797
30 Office of Systems Integration	155.0	197.5	210.7	262,831	318,804	247,086
40 Office of the Patient Advocate	10.2	12.0	12.0	2,110	2,968	2,741
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>210.2</b>	<b>250.7</b>	<b>257.7</b>	<b>\$279,631</b>	<b>\$353,810</b>	<b>\$270,108</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$2,568	\$3,142	\$3,115
0890 Federal Trust Fund				1,685	4,333	3,643
0995 Reimbursements				2,919	3,642	3,282
3151 Internal Health Information Integrity Quality Improvement Account				-	25	25
3163 California Health Information Technology and Exchange Fund				7,119	21,000	9,798
3209 Office of Patient Advocate Trust Fund				2,110	2,731	2,741
9732 Office of Systems Integration Fund				262,391	-	-
9740 Central Service Cost Recovery Fund				839	819	849
9745 California Health and Human Services Automation Fund				-	318,118	246,655
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$279,631</b>	<b>\$353,810</b>	<b>\$270,108</b>

### LEGAL CITATIONS AND AUTHORITY

10-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

21-The California Office of Health Information Integrity (CALOHII):

Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq, 130275 et seq.

30-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

40-Office of the Patient Advocate:

Health and Safety Code Division 115, Section 136000 et seq.

### MAJOR PROGRAM CHANGES

- Office of Systems Integration: The Governor's Budget reflects decreases of \$32.1 million for the California Healthcare Eligibility, Enrollment, and Retention System project and \$33.7 million for the Case Management, Information, and Payrolling System project to reflect the transition of these projects to the maintenance and operations phase.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• County Expense Claim Reporting System Project Support	\$-	\$98	0.8	\$-	\$130	1.0

\* Dollars in thousands, except in Salary Range.



**0530 Secretary for California Health and Human Services Agency - Continued**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Transfer of Medical Privacy Breach Enforcement Positions	-	-	-	-	-306	-3.0
• California Healthcare Eligibility, Enrollment and Retention System (CalHEERS) Project Management Services	-	5,746	-	-	-32,060	-
• Case Management, Information, and Payrolling System (CMIPS II)	-	115	-	-	-33,723	10.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$5,959</b>	<b>0.8</b>	<b>\$-</b>	<b>-\$65,959</b>	<b>8.0</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustment	\$21	\$339	-	\$22	\$356	-
• Retirement Rate Adjustment	10	124	-	10	124	-
• Limited Term Positions/Expiring Programs	-	-	-2.0	-	-414	-5.2
• Carryover/Reappropriation	-	-	-	1	-	-
• Miscellaneous Adjustments	-	12,042	-	-30	121	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$31</b>	<b>\$12,505</b>	<b>-2.0</b>	<b>\$3</b>	<b>\$187</b>	<b>-5.2</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$31</b>	<b>\$18,464</b>	<b>-1.2</b>	<b>\$3</b>	<b>-\$65,772</b>	<b>2.8</b>
<b>Policy Adjustments</b>						
• CHHSA Governance, Project Management, and Information Security and Privacy Programs	\$-	\$-	-	\$-	\$431	3.0
• DSS - State Hearings Division Appeals Case Management System	-	-	-	-	130	-
<b>Totals, Policy Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$561</b>	<b>3.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$31</b>	<b>\$18,464</b>	<b>-1.2</b>	<b>\$3</b>	<b>-\$65,211</b>	<b>5.8</b>

**PROGRAM DESCRIPTIONS**

**10 - SECRETARY FOR HEALTH AND HUMAN SERVICES**

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well being of a growing and increasingly diverse California population.

**21 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY**

The California Office of Health Information Integrity, under the direction of the Deputy Secretary, Health Information Exchange, is responsible for the coordination and oversight of state departments' implementation of the federal Health Insurance Portability and Accountability Act requirements, development of standards for secure electronic health information exchange, and enforcement of California's health and medical privacy laws in conjunction and collaboration with the Department of Public Health. Additionally, the Office is responsible for oversight, administration, and reporting of California's health information exchange cooperative agreement funded by the Health Information Technology for Economic and Clinical Health (HITECH) Act and awarded through the Centers for Medicare and Medicaid Services. CalOHII serves as the convening organization for statewide collaboration and coordination of health information exchange and HITECH funded programs statewide.

**30 - OFFICE OF SYSTEMS INTEGRATION**

This Office provides project management services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Health Benefit Exchange, and the Employment Development Department, including:

- Child Welfare Services/Case Management System
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Electronic Benefit Transfer System
- Case Management, Information and Payrolling System
- Unemployment Insurance Modernization Project
- California Healthcare Eligibility, Enrollment and Retention System Project.

**40 - OFFICE OF THE PATIENT ADVOCATE**

The California Office of the Patient Advocate (OPA) has the responsibility to help Californians secure health care services to

\* Dollars in thousands, except in Salary Range.

## 0530 Secretary for California Health and Human Services Agency - Continued

which they are entitled or for which they are eligible. OPA provides consumer assistance and educational materials to inform individuals on their rights and responsibilities and provides other health care resources. OPA annually produces the California Health Care Quality Report Card. OPA is also charged with the responsibility to collect and analyze data on consumer complaints about health care services and coverage from multiple state departments and to report those findings.

### DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>SECRETARY FOR HEALTH AND HUMAN SERVICES</b>			
	<b>State Operations:</b>			
0001	General Fund	\$682	\$1,128	\$1,100
0890	Federal Trust Fund	1,245	3,647	3,643
0995	Reimbursements	1,899	2,141	1,892
9740	Central Service Cost Recovery Fund	<u>839</u>	<u>819</u>	<u>849</u>
	<b>Totals, State Operations</b>	<b>\$4,665</b>	<b>\$7,735</b>	<b>\$7,484</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>21</b>	<b>THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)</b>			
	<b>State Operations:</b>			
0001	General Fund	\$1,886	\$2,014	\$2,015
0995	Reimbursements	1,020	1,264	959
3151	Internal Health Information Integrity Quality Improvement Account	-	25	25
3163	California Health Information Technology and Exchange Fund	7,119	21,000	9,798
	<b>Totals, State Operations</b>	<b>\$10,025</b>	<b>\$24,303</b>	<b>\$12,797</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>30</b>	<b>OFFICE OF SYSTEMS INTEGRATION</b>			
	<b>ELEMENT REQUIREMENTS</b>			
	<b>State Operations:</b>			
0890	Federal Trust Fund	\$440	\$686	\$-
0995	Reimbursements	-	-	431
9732	Office of Systems Integration Fund	262,391	-	-
9745	California Health and Human Services Automation Fund	<u>-</u>	<u>318,118</u>	<u>246,655</u>
	<b>Totals, State Operations</b>	<b>\$262,831</b>	<b>\$318,804</b>	<b>\$247,086</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>40</b>	<b>OFFICE OF THE PATIENT ADVOCATE</b>			
	<b>State Operations:</b>			
3209	Office of Patient Advocate Trust Fund	\$2,110	\$2,731	\$2,741
0995	Reimbursements	<u>-</u>	<u>237</u>	<u>-</u>
	<b>Totals, State Operations</b>	<b>\$2,110</b>	<b>\$2,968</b>	<b>\$2,741</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	<u>279,631</u>	<u>353,810</u>	<u>270,108</u>
	<b>Totals, Expenditures</b>	<b>\$279,631</b>	<b>\$353,810</b>	<b>\$270,108</b>

### EXPENDITURES BY CATEGORY

	1 State Operations	Positions			Expenditures		
		2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES							
	Authorized Positions (Equals Sch. 7A)	210.2	249.9	246.7	\$15,849	\$19,282	\$19,360

\* Dollars in thousands, except in Salary Range.

**0530 Secretary for California Health and Human Services Agency - Continued**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Total Adjustments	-	0.8	11.0	-	390	1,175
<b>Net Totals, Salaries and Wages</b>	<b>210.2</b>	<b>250.7</b>	<b>257.7</b>	<b>\$15,849</b>	<b>\$19,672</b>	<b>\$20,535</b>
Staff Benefits	-	-	-	6,626	7,638	7,537
<b>Totals, Personal Services</b>	<b>210.2</b>	<b>250.7</b>	<b>257.7</b>	<b>\$22,475</b>	<b>\$27,310</b>	<b>\$28,072</b>
OPERATING EXPENSES AND EQUIPMENT				\$257,156	\$326,500	\$242,036
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$279,631</b>	<b>\$353,810</b>	<b>\$270,108</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,064	\$1,111	\$1,099
Allocation for employee compensation	3	13	-
Adjustment per Section 3.60	22	4	-
Adjustment per Section 3.90	-48	-	-
017 Budget Act appropriation	1,973	2,001	2,015
Allocation for employee compensation	4	8	-
Adjustment per Section 3.60	21	5	-
Adjustment per Section 3.90	-59	-	-
Chapter 47, Statutes of 2012	1	-	-
Prior year balances available:			
Chapter 47, Statutes of 2012	-	1	1
<b>Totals Available</b>	<b>\$2,981</b>	<b>\$3,143</b>	<b>\$3,115</b>
Unexpended balance, estimated savings	-412	-	-
Balance available in subsequent years	-1	-1	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,568</b>	<b>\$3,142</b>	<b>\$3,115</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,774	\$3,647	\$3,643
Budget Adjustment	-89	686	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,685</b>	<b>\$4,333</b>	<b>\$3,643</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$2,919	\$3,642	\$3,282
<b>3151 Internal Health Information Integrity Quality Improvement Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$25	\$25	\$25
<b>Totals Available</b>	<b>\$25</b>	<b>\$25</b>	<b>\$25</b>
Unexpended balance, estimated savings	-25	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$25</b>	<b>\$25</b>
<b>3163 California Health Information Technology and Exchange Fund</b>			
APPROPRIATIONS			
017 Budget Act appropriation	\$10,500	\$9,881	\$9,798
Revised expenditure authority per Provision 1	10,500	11,119	-
<b>Totals Available</b>	<b>\$21,000</b>	<b>\$21,000</b>	<b>\$9,798</b>
Unexpended balance, estimated savings	-13,881	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,119</b>	<b>\$21,000</b>	<b>\$9,798</b>

\* Dollars in thousands, except in Salary Range.

**0530 Secretary for California Health and Human Services Agency - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>3209 Office of Patient Advocate Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,506	\$2,710	\$2,741
Allocation for employee compensation	2	15	-
Adjustment per Section 3.60	17	6	-
Adjustment per Section 3.90	<u>-48</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$2,477</b>	<b>\$2,731</b>	<b>\$2,741</b>
Unexpended balance, estimated savings	<u>-367</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2,110</b>	<b>\$2,731</b>	<b>\$2,741</b>
<b>9732 Office of Systems Integration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$189,557	\$-	\$-
Allocation for employee compensation	73	-	-
Adjustment per Section 3.60	331	-	-
Adjustment per Section 3.90	-832	-	-
Adjustment per Section 15.25	-1	-	-
Revised expenditure authority per Provision 4	<u>131,342</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$320,470</b>	<b>\$-</b>	<b>\$-</b>
Unexpended balance, estimated savings	<u>-58,079</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$262,391</b>	<b>\$-</b>	<b>\$-</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$839</u>	<u>\$819</u>	<u>\$849</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$839</b>	<b>\$819</b>	<b>\$849</b>
<b>9745 California Health and Human Services Automation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$311,773	\$246,655
Allocation for employee compensation	-	283	-
Adjustment per Section 3.60	-	103	-
Adjustment per Provision 1	<u>-</u>	<u>5,959</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$318,118</b>	<b>\$246,655</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$279,631</b>	<b>\$353,810</b>	<b>\$270,108</b>

**FUND CONDITION STATEMENTS**

	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>3151 Internal Health Information Integrity Quality Improvement Account <sup>s</sup></b>			
BEGINNING BALANCE	\$24	\$32	\$32
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	<u>8</u>	<u>25</u>	<u>25</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$8</u>	<u>\$25</u>	<u>\$25</u>
Total Resources	\$32	\$57	\$57
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	<u>-</u>	<u>25</u>	<u>25</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$25</u>	<u>\$25</u>
FUND BALANCE	\$32	\$32	\$32
Reserve for economic uncertainties	32	32	32
<b>3163 California Health Information Technology and Exchange Fund <sup>s</sup></b>			

\* Dollars in thousands, except in Salary Range.

**0530 Secretary for California Health and Human Services Agency - Continued**

	2012-13*	2013-14*	2014-15*
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	<u>\$7,119</u>	<u>\$21,000</u>	<u>\$9,798</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$7,119</u>	<u>\$21,000</u>	<u>\$9,798</u>
Total Resources	\$7,119	\$21,000	\$9,798
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	<u>7,119</u>	<u>21,000</u>	<u>9,798</u>
Total Expenditures and Expenditure Adjustments	<u>\$7,119</u>	<u>\$21,000</u>	<u>\$9,798</u>
FUND BALANCE	-	-	-

**3209 Office of Patient Advocate Trust Fund <sup>s</sup>**

BEGINNING BALANCE	-	\$402	\$381
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	\$6	-	-
Transfers and Other Adjustments:			
FO0217 From Insurance Fund Per Chapter 552, Statutes of 2011	132	271	273
FO0933 From Managed Care Fund per Chapter 552, Statutes of 2011	<u>2,374</u>	<u>2,439</u>	<u>2,439</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,512</u>	<u>\$2,710</u>	<u>\$2,712</u>
Total Resources	\$2,512	\$3,112	\$3,093
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	<u>2,110</u>	<u>2,731</u>	<u>2,741</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,110</u>	<u>\$2,731</u>	<u>\$2,741</u>
FUND BALANCE	\$402	\$381	\$352
Reserve for economic uncertainties	402	381	352

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	210.2	249.9	246.7	\$15,849	\$19,282	\$19,360
Salary Adjustments	-	-	-	-	257	257
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Office of Health Information Integrity:						
Supervising Special Investigator I	-	-	-1.0	5,369-6,672	-	-72
Special Investigator	<u>-</u>	<u>-</u>	<u>-2.0</u>	<u>3,902-6,076</u>	<u>-</u>	<u>-120</u>
<b>Totals, Workload &amp; Admin Adjustments</b>	<b>-</b>	<b>-</b>	<b>-3.0</b>	<b>\$-</b>	<b>\$-</b>	<b>-\$192</b>
<b>Proposed New Positions:</b>						
Office of Systems Integration:						
Data Processing Manager III	-	-	2.0	7,118-8,486	-	187
Sr. Information Systems Analyst	-	0.8	5.0	5,571-7,109	58	386
Software Systems Specialist II	-	-	1.0	5,561-7,310	-	77
Staff Information Systems Analyst	-	-	4.0	5,065-5,863	-	262
Associate Information Systems Analyst	-	-	1.0	4,619-6,074	-	64
Associate Governmental Program Analyst	-	-	1.0	4,400-5,508	-	59
Temporary Help	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>75</u>	<u>75</u>
<b>Totals, Proposed New Positions</b>	<b>-</b>	<b>0.8</b>	<b>14.0</b>	<b>\$-</b>	<b>\$133</b>	<b>\$1,110</b>
<b>Total Adjustments</b>	<b>-</b>	<b>0.8</b>	<b>11.0</b>	<b>\$-</b>	<b>\$390</b>	<b>\$1,175</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>210.2</b>	<b>250.7</b>	<b>257.7</b>	<b>\$15,849</b>	<b>\$19,672</b>	<b>\$20,535</b>

\* Dollars in thousands, except in Salary Range.

## 0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

The 2014-15 Governor's Budget reflects legislation that moved the California Cultural and Historical Endowment from the State Library to the Natural Resources Agency in 2014-15, as the California Cultural and Historical Endowment mission more closely aligns with that of this agency.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Administration of Natural Resources Agency	32.5	47.0	48.5	\$47,915	\$71,896	\$68,618
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>32.5</b>	<b>47.0</b>	<b>48.5</b>	<b>\$47,915</b>	<b>\$71,896</b>	<b>\$68,618</b>

FUNDING		2012-13*	2013-14*	2014-15*
0005	Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	\$269	\$322	\$135
0140	California Environmental License Plate Fund	2,988	4,937	9,403
0183	Environmental Enhancement and Mitigation Program Fund	121	10,304	18,097
0890	Federal Trust Fund	8,982	9,278	9,205
0995	Reimbursements	1,204	598	598
3212	Timber Regulation and Forest Restoration Fund	23	217	280
6015	River Protection Subaccount	-	117	-
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	685	2,211	4,163
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	10,120	2,539	1,207
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	23,351	18,521	24,832
6052	Disaster Preparedness and Flood Prevention Bond Fund of 2006	172	98	98
6076	California Ocean Protection Trust Fund	-	20,754	600
8018	Salton Sea Restoration Fund	-	2,000	-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$47,915</b>	<b>\$71,896</b>	<b>\$68,618</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$12	-	\$-	\$12	-

\* Dollars in thousands, except in Salary Range.

**0540 Secretary of the Natural Resources Agency - Continued**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Retirement Rate Adjustment	-	20	-	-	20	-
• Carryover/Reappropriation	-	10,197	-	-	21,768	-
• One-time Cost Reductions	-	-	-	-	-22,154	-
• Legislation with an Appropriation	-	16,861	-	-	13,891	-
• Miscellaneous Adjustments	-	387	-	-	2,067	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$27,477</b>	<b>-</b>	<b>\$-</b>	<b>\$15,604</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$27,477</b>	<b>-</b>	<b>\$-</b>	<b>\$15,604</b>	<b>-</b>
<b>Policy Adjustments</b>						
• Fourth Climate Change Assessment	\$-	\$-	-	\$-	\$5,005	1.0
• California Cultural and Historical Endowment Grant Program	-	-	-	-	3,435	-
• Positions for Bond Oversight and Environmental Enhancement and Mitigation Program	-	-	-	-	152	3.0
<b>Totals, Policy Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$8,592</b>	<b>4.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$27,477</b>	<b>-</b>	<b>\$-</b>	<b>\$24,196</b>	<b>4.0</b>

**PROGRAM DESCRIPTIONS**

10 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>ADMINISTRATION OF NATURAL RESOURCES AGENCY</b>			
	<b>State Operations:</b>			
0005	Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	\$115	\$130	\$135
0140	California Environmental License Plate Fund	2,988	4,937	9,403
0183	Environmental Enhancement and Mitigation Program Fund	121	304	297
0890	Federal Trust Fund	8,982	9,278	9,205
0995	Reimbursements	1,204	598	598
3212	Timber Regulation and Forest Restoration Fund	23	217	280
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	685	1,247	728
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	2,100	1,975	1,207
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	1,937	6,041	3,064
6052	Disaster Preparedness and Flood Prevention Bond Fund of 2006	172	98	98
6076	California Ocean Protection Trust Fund	-	600	600
8018	Salton Sea Restoration Fund	-	2,000	-
	<b>Totals, State Operations</b>	<b>\$18,327</b>	<b>\$27,425</b>	<b>\$25,615</b>

\* Dollars in thousands, except in Salary Range.

## 0540 Secretary of the Natural Resources Agency - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Local Assistance:</b>			
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	\$154	\$192	\$-
0183 Environmental Enhancement and Mitigation Program Fund	-	10,000	17,800
6015 River Protection Subaccount	-	117	-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	-	964	3,435
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	8,020	564	-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	21,414	12,480	21,768
6076 California Ocean Protection Trust Fund	-	<u>20,154</u>	-
<b>Totals, Local Assistance</b>	<b>\$29,588</b>	<b>\$44,471</b>	<b>\$43,003</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	18,327	27,425	25,615
Local Assistance	<u>29,588</u>	<u>44,471</u>	<u>43,003</u>
<b>Totals, Expenditures</b>	<b>\$47,915</b>	<b>\$71,896</b>	<b>\$68,618</b>

### EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
<b>1 State Operations</b>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	32.5	47.0	44.5	\$2,732	\$3,772	\$3,623
Salary Adjustments	-	-	<u>4.0</u>	-	<u>10</u>	<u>460</u>
<b>Net Totals, Salaries and Wages</b>	<b>32.5</b>	<b>47.0</b>	<b>48.5</b>	<b>\$2,732</b>	<b>\$3,782</b>	<b>\$4,083</b>
Staff Benefits	-	-	-	<u>1,230</u>	<u>1,433</u>	<u>1,552</u>
<b>Totals, Personal Services</b>	<b>32.5</b>	<b>47.0</b>	<b>48.5</b>	<b>\$3,962</b>	<b>\$5,215</b>	<b>\$5,635</b>
OPERATING EXPENSES AND EQUIPMENT				<u>\$14,365</u>	<u>\$22,210</u>	<u>\$19,980</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$18,327</b>	<b>\$27,425</b>	<b>\$25,615</b>

	<u>Expenditures</u>		
<b>2 Local Assistance</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Grants and Subventions	<u>\$29,588</u>	<u>\$44,471</u>	<u>\$43,003</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$29,588</b>	<b>\$44,471</b>	<b>\$43,003</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>1 STATE OPERATIONS</b>			
<b>0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$126	\$130	\$135
Allocation for employee compensation	1	3	-
Adjustment per Section 3.60	2	1	-
Adjustment per Section 3.90	-6	-	-
Technical adjustment	-	1	-

\* Dollars in thousands, except in Salary Range.



**0540 Secretary of the Natural Resources Agency - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Adjustment to reflect department's share of statewide bond allocation	-	-5	-
<b>Totals Available</b>	<b>\$123</b>	<b>\$130</b>	<b>\$135</b>
Unexpended balance, estimated savings	-8	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$115</b>	<b>\$130</b>	<b>\$135</b>
<b>0042 State Highway Account, State Transportation Fund</b>			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to the Environmental Enhancement and Mitigation Program Fund)	\$-	\$-	(\$7,000)
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0140 California Environmental License Plate Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,647	\$-	\$-
Allocation for employee compensation	12	-	-
Adjustment per Section 3.60	43	-	-
Adjustment per Section 3.90	-101	-	-
001 Budget Act appropriation as amended by Chapter 354, Statutes of 2013	-	4,915	-
Allocation for employee compensation	-	7	-
Adjustment per Section 3.60	-	15	-
001 Budget Act appropriation	-	-	9,403
<b>Totals Available</b>	<b>\$3,601</b>	<b>\$4,937</b>	<b>\$9,403</b>
Unexpended balance, estimated savings	-613	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,988</b>	<b>\$4,937</b>	<b>\$9,403</b>
<b>0183 Environmental Enhancement and Mitigation Program Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$143	\$-	\$-
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	2	-	-
Adjustment per Section 3.90	-5	-	-
001 Budget Act appropriation as amended by Chapter 354, Statutes of 2013	-	300	-
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	1	-
001 Budget Act appropriation	-	-	297
<b>Totals Available</b>	<b>\$141</b>	<b>\$304</b>	<b>\$297</b>
Unexpended balance, estimated savings	-20	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$121</b>	<b>\$304</b>	<b>\$297</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,052	\$9,276	\$9,205
Allocation for employee compensation	-	2	-
Budget Adjustment	-70	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$8,982</b>	<b>\$9,278</b>	<b>\$9,205</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$1,204	\$598	\$598
<b>3212 Timber Regulation and Forest Restoration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$217	\$280
Interest expense on General Fund loan per Public Resources Code section 4629.12	23	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$23</b>	<b>\$217</b>	<b>\$280</b>

\* Dollars in thousands, except in Salary Range.

## 0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,460	\$1,247	\$728
Allocation for employee compensation	1	2	-
Adjustment per Section 3.60	5	1	-
Adjustment per Section 3.90	-23	-	-
Technical adjustment	-	1	-
Adjustment to reflect department's share of statewide bond allocation	-	-4	-
<b>Totals Available</b>	<b>\$1,443</b>	<b>\$1,247</b>	<b>\$728</b>
Unexpended balance, estimated savings	-758	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$685</b>	<b>\$1,247</b>	<b>\$728</b>
<b>6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,607	\$1,975	\$1,207
Allocation for employee compensation	1	4	-
Adjustment per Section 3.60	4	1	-
Adjustment per Section 3.90	-10	-	-
Adjustment to reflect department's share of statewide bond allocation	-	-5	-
Prior year balances available:			
Chapter 230, Statutes of 2004, as reappropriated by Item 0540-490, Budget Act of 2012	1,188	-	-
<b>Totals Available</b>	<b>\$3,790</b>	<b>\$1,975</b>	<b>\$1,207</b>
Unexpended balance, estimated savings	-1,690	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,100</b>	<b>\$1,975</b>	<b>\$1,207</b>
<b>6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,170	\$3,077	\$3,064
Allocation for employee compensation	4	11	-
Adjustment per Section 3.60	14	3	-
Adjustment per Section 3.90	-43	-	-
Transfer from Item 0540-101-6051 per Provision 1 of Item 0540-101-6051	385	385	-
Technical adjustment	-	1	-
Adjustment to reflect department's share of statewide bond allocation	-	-15	-
Prior year balances available:			
Item 0540-001-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Act of 2010	682	-	-
Item 0540-001-6051, Budget Act of 2009, as reappropriated by Item 0540-490, Budget Act of 2012	2,579	2,579	-
Chapter 729, Statutes of 2008 as reappropriated by Item 0540-490, Budget Act of 2011	320	-	-
<b>Totals Available</b>	<b>\$6,111</b>	<b>\$6,041</b>	<b>\$3,064</b>
Unexpended balance, estimated savings	-1,595	-	-
Balance available in subsequent years	-2,579	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,937</b>	<b>\$6,041</b>	<b>\$3,064</b>
<b>6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$197	\$98	\$98
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-5	-	-

\* Dollars in thousands, except in Salary Range.

**0540 Secretary of the Natural Resources Agency - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Totals Available</b>	<b>\$194</b>	<b>\$98</b>	<b>\$98</b>
Unexpended balance, estimated savings	<u>-22</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$172</b>	<b>\$98</b>	<b>\$98</b>
<b>6076 California Ocean Protection Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$-</u>	<u>\$600</u>	<u>\$600</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$600</b>	<b>\$600</b>
<b>8018 Salton Sea Restoration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$-</u>	<u>\$2,000</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$2,000</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$18,327</b>	<b>\$27,425</b>	<b>\$25,615</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund</b>			
APPROPRIATIONS			
Prior year balances available:			
Item 0540-101-0005, Budget Act of 2000, as reappropriated by Item 0540-490, Budget Acts of 2002, 2007, and 2011	<u>\$346</u>	<u>\$192</u>	<u>\$-</u>
<b>Totals Available</b>	<b>\$346</b>	<b>\$192</b>	<b>\$-</b>
Balance available in subsequent years	<u>-192</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$154</b>	<b>\$192</b>	<b>\$-</b>
<b>0183 Environmental Enhancement and Mitigation Program Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation as added by Chapter 354, Statutes of 2013	<u>\$-</u>	<u>\$6,700</u>	<u>\$-</u>
101 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>11,100</u>
Chapter 359, Statutes of 2013	<u>-</u>	<u>10,000</u>	<u>-</u>
Prior year balances available:			
Item 0540-101-0183, Budget Act of 2013, as added by Chapter 354, Statutes of 2013	<u>-</u>	<u>-</u>	<u>6,700</u>
<b>Totals Available</b>	<b>\$-</b>	<b>\$16,700</b>	<b>\$17,800</b>
Balance available in subsequent years	<u>-</u>	<u>-6,700</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$10,000</b>	<b>\$17,800</b>
<b>6015 River Protection Subaccount</b>			
APPROPRIATIONS			
Prior year balances available:			
Item 0540-101-6015, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Acts of 2007 and 2011	<u>\$117</u>	<u>\$117</u>	<u>\$-</u>
<b>Totals Available</b>	<b>\$117</b>	<b>\$117</b>	<b>\$-</b>
Balance available in subsequent years	<u>-117</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$117</b>	<b>\$-</b>
<b>6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$-</u>	<u>\$-</u>	<u>\$3,435</u>
Prior year balances available:			
Item 0540-101-6029, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Acts of 2004 and 2007	<u>964</u>	<u>964</u>	<u>-</u>
Item 0540-101-6029 Budget Act of 2005, as reappropriated by Item 0540-490, Budget Acts of 2008 and 2010	<u>-</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$964</b>	<b>\$964</b>	<b>\$3,435</b>
Balance available in subsequent years	<u>-964</u>	<u>-</u>	<u>-</u>

\* Dollars in thousands, except in Salary Range.

**0540 Secretary of the Natural Resources Agency - Continued**

<b>2 LOCAL ASSISTANCE</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	\$-	\$964	\$3,435
<b>6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002</b>			
APPROPRIATIONS			
Prior year balances available:			
Item 0540-101-6031, Budget Act of 2005, as reappropriated by Items 0540-491, Budget Act of 2009 and 0540-490, Budget Act of 2011	\$1,424	\$1	\$-
Item 0540-101-6031, Budget Act of 2006, as reappropriated by Item 0540-490, Budget Act of 2011	7,150	553	-
Item 0540-101-6031, Budget Act of 2007 as reappropriated by Item 0540-490, Budget Act of 2011	10	10	-
<b>Totals Available</b>	<u>\$8,584</u>	<u>\$564</u>	<u>\$-</u>
Balance available in subsequent years	-564	-	-
<b>TOTALS, EXPENDITURES</b>	<u>\$8,020</u>	<u>\$564</u>	<u>\$-</u>
<b>6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$21,000	\$-	\$-
Prior year balances available:			
Item 0540-001-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Act of 2011	26,165	10,958	-
Item 0540-101-6051, Budget Act of 2009	3,244	961	-
Transfer to Item 0540-001-6051 per Provision 1 of Item 0540-101-6051	-385	-385	-
Item 0540-101-6051, Budget Act of 2010 as reappropriated by Item 0540-490, Budget Act of 2013	6,023	1,714	768
Item 0540-101-6051, Budget Act of 2012	-	21,000	21,000
<b>Totals Available</b>	<u>\$56,047</u>	<u>\$34,248</u>	<u>\$21,768</u>
Balance available in subsequent years	-34,633	-21,768	-
<b>TOTALS, EXPENDITURES</b>	<u>\$21,414</u>	<u>\$12,480</u>	<u>\$21,768</u>
<b>6076 California Ocean Protection Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$-	\$20,154	\$-
<b>TOTALS, EXPENDITURES</b>	<u>\$-</u>	<u>\$20,154</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<u>\$29,588</u>	<u>\$44,471</u>	<u>\$43,003</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<u>\$47,915</u>	<u>\$71,896</u>	<u>\$68,618</u>

**FUND CONDITION STATEMENTS**

	2012-13*	2013-14*	2014-15*
<b>0183 Environmental Enhancement and Mitigation Program Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$9,963	\$16,246	\$12,094
Prior year adjustments	-273	-	-
Adjusted Beginning Balance	\$9,690	\$16,246	\$12,094
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	103	48	64
150400 Interest Income From Loans	-	-	721
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-401, Budget Act of 2012	-	-	4,400
FO0042 From State Highway Account, State Transportation Fund per Item 2660-022-0042, Budget Acts	10,000	7,000	7,000
Total Revenues, Transfers, and Other Adjustments	<u>\$10,103</u>	<u>\$7,048</u>	<u>\$12,185</u>
Total Resources	\$19,793	\$23,294	\$24,279

\* Dollars in thousands, except in Salary Range.

**0540 Secretary of the Natural Resources Agency - Continued**

	2012-13*	2013-14*	2014-15*
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency			
State Operations	121	304	297
Local Assistance	-	10,000	17,800
2660 Department of Transportation (Local Assistance)	3,425	895	-
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>1</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,547</u>	<u>\$11,200</u>	<u>\$18,097</u>
FUND BALANCE	\$16,246	\$12,094	\$6,182
Reserve for economic uncertainties	16,246	12,094	6,182

**3212 Timber Regulation and Forest Restoration Fund<sup>s</sup>**

BEGINNING BALANCE	-	\$3,933	\$3,127
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	<u>\$13,545</u>	<u>27,000</u>	<u>27,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$13,545</u>	<u>\$27,000</u>	<u>\$27,000</u>
Total Resources	\$13,545	\$30,933	\$30,127

EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	23	217	280
0860 State Board of Equalization (State Operations)	1,115	2,378	2,209
3480 Department of Conservation (State Operations)	1,044	2,982	3,116
3540 Department of Forestry and Fire Protection (State Operations)	5,908	13,393	14,227
3600 Department of Fish and Wildlife (State Operations)	1,094	6,261	5,545
3940 State Water Resources Control Board (State Operations)	428	2,525	2,557
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>50</u>	<u>21</u>
Total Expenditures and Expenditure Adjustments	<u>\$9,612</u>	<u>\$27,806</u>	<u>\$27,955</u>
FUND BALANCE	\$3,933	\$3,127	\$2,172
Reserve for economic uncertainties	3,933	3,127	2,172

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	32.5	47.0	44.5	\$2,732	\$3,772	\$3,623
Salary Adjustments	-	-	-	-	10	10
<b>Workload and Administrative Adjustments</b>				<b>Salary Range</b>		
Executive Director	-	-	-1.0	9,755-10,549	-	-
Executive Officer	-	-	1.0	8,785-9,787	-	154
CEA A	-	-	1.0	7,815-8,616	-	152
Associate Parks & Recr Spec.	-	-	2.0	4,833-6,050	-	-
Associate Analyst	-	-	-1.0	4,424-5,278	-	-
Associate Government Program Analyst	-	-	1.0	4,400-5,508	-	94
Staff Services Analyst	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>2,817-4,579</u>	<u>-</u>	<u>50</u>
<b>Totals, Proposed New Positions</b>	<u>-</u>	<u>-</u>	<u>4.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$450</u>
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>4.0</u>	<u>\$-</u>	<u>\$10</u>	<u>\$460</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>32.5</b>	<b>47.0</b>	<b>48.5</b>	<b>\$2,732</b>	<b>\$3,782</b>	<b>\$4,083</b>

\* Dollars in thousands, except in Salary Range.

## 0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. The OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, and the employee disciplinary process. When requested by the Governor, the Senate Committee on Rules, or the Speaker of the Assembly, the Inspector General reviews the policies, practices, and procedures of the CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities; conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison and the delivery of reforms identified in the department's document released in April 2012 entitled "The Future of California Corrections: a blueprint to save billions of dollars, end federal court oversight, and improve the prison system." The OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of the CDCR; conducts formal reviews of complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews the mishandling of sexual abuse incidents within correctional institutions. The OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, the Public Safety and Offender Rehabilitation Services Act of 2007, Chapter 7, Statutes of 2007, created the California Rehabilitation Oversight Board (Board) within the OIG. The Board's mandate is to examine the CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets quarterly to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Office of the Inspector General	87.2	93.4	95.4	\$13,507	\$16,366	\$17,031
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>87.2</b>	<b>93.4</b>	<b>95.4</b>	<b>\$13,507</b>	<b>\$16,366</b>	<b>\$17,031</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$13,507	\$15,762	\$17,031
0995 Reimbursements				-	604	-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$13,507</b>	<b>\$16,366</b>	<b>\$17,031</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

### MAJOR PROGRAM CHANGES

- Medical Inspection Reviews-The Budget includes \$1.3 million for the Office of the Inspector General to employ clinicians in its medical inspection reviews and expand the number of medical inspection review teams from two to three. This new format will allow the Office of the Inspector General to measure the California Department of Corrections and Rehabilitation's ability to deliver a constitutional level of medical care as required in the Plata v. Brown lawsuit.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$208	\$-	-	\$215	\$-	-
• Retirement Rate Adjustment	58	-	-	58	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$266</b>	<b>\$-</b>	<b>-</b>	<b>\$273</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$266</b>	<b>\$-</b>	<b>-</b>	<b>\$273</b>	<b>\$-</b>	<b>-</b>
<b>Policy Adjustments</b>						
• Medical Inspection Staffing Augmentation	\$-	\$604	2.0	\$1,262	\$-	4.0
<b>Totals, Policy Adjustments</b>	<b>\$-</b>	<b>\$604</b>	<b>2.0</b>	<b>\$1,262</b>	<b>\$-</b>	<b>4.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$266</b>	<b>\$604</b>	<b>2.0</b>	<b>\$1,535</b>	<b>\$-</b>	<b>4.0</b>

\* Dollars in thousands, except in Salary Range.

0552 Office of the Inspector General - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>OFFICE OF THE INSPECTOR GENERAL</b>			
<b>State Operations:</b>				
0001	General Fund	\$13,507	\$15,762	\$17,031
0995	Reimbursements	-	604	-
<b>Totals, State Operations</b>		<b>\$13,507</b>	<b>\$16,366</b>	<b>\$17,031</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		<u>\$13,507</u>	<u>\$16,366</u>	<u>\$17,031</u>
<b>Totals, Expenditures</b>		<b>\$13,507</b>	<b>\$16,366</b>	<b>\$17,031</b>

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	87.2	91.4	91.4	\$7,898	\$9,288	\$8,888
Total Adjustments	-	2.0	4.0	-	584	1,054
<b>Net Totals, Salaries and Wages</b>	<b>87.2</b>	<b>93.4</b>	<b>95.4</b>	<b>\$7,898</b>	<b>\$9,872</b>	<b>\$9,942</b>
Staff Benefits	-	-	-	3,017	4,257	4,405
<b>Totals, Personal Services</b>	<b>87.2</b>	<b>93.4</b>	<b>95.4</b>	<b>\$10,915</b>	<b>\$14,129</b>	<b>\$14,347</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
				<u>\$2,592</u>	<u>\$2,237</u>	<u>\$2,684</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$13,507</b>	<b>\$16,366</b>	<b>\$17,031</b>

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$15,234	\$15,496	\$17,031
Allocation for employee compensation	42	208	-
Adjustment per Section 3.60	190	58	-
Adjustment per Section 3.90	-502	-	-
<b>Totals Available</b>	<b>\$14,964</b>	<b>\$15,762</b>	<b>\$17,031</b>
Unexpended balance, estimated savings	-1,457	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$13,507</b>	<b>\$15,762</b>	<b>\$17,031</b>
<b>0995 Reimbursements</b>			
<b>APPROPRIATIONS</b>			
Reimbursements	\$-	\$604	\$-
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$13,507</b>	<b>\$16,366</b>	<b>\$17,031</b>

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	87.2	91.4	91.4	\$7,898	\$9,288	\$8,888
Salary Adjustments	-	-	-	-	150	150
<b>Proposed New Positions:</b>				<b>Salary Range</b>		
Physician & Surgeon, CF (IM/FP)	-	2.0	3.0	20,681-21,715	434	782

\* Dollars in thousands, except in Salary Range.

**0552 Office of the Inspector General - Continued**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Nursing Consultant Program Review	-	-	1.0	9,022-11,295	-	122
<b>Totals, Proposed New Positions</b>	<b>-</b>	<b>2.0</b>	<b>4.0</b>	<b>\$-</b>	<b>\$434</b>	<b>\$904</b>
<b>Total Adjustments</b>	<b>-</b>	<b>2.0</b>	<b>4.0</b>	<b>\$-</b>	<b>\$584</b>	<b>\$1,054</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>87.2</b>	<b>93.4</b>	<b>95.4</b>	<b>\$7,898</b>	<b>\$9,872</b>	<b>\$9,942</b>

**0555 Secretary for Environmental Protection**

The Secretary for Environmental Protection is the cabinet level agency responsible for the protection of human health and the environment. The agency's programs restore, protect and enhance environmental quality, and ensure public health. The Office of the Secretary coordinates the state's environmental regulatory programs and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
30 Support	65.5	68.0	69.0	\$19,800	\$18,746	\$18,677
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>65.5</b>	<b>68.0</b>	<b>69.0</b>	<b>\$19,800</b>	<b>\$18,746</b>	<b>\$18,677</b>

<b>FUNDING</b>				2012-13*	2013-14*	2014-15*
0001	General Fund			\$1,781	\$1,842	\$1,843
0014	Hazardous Waste Control Account			311	332	324
0028	Unified Program Account			9,346	4,363	4,693
0044	Motor Vehicle Account, State Transportation Fund			1,045	1,882	1,827
0106	Department of Pesticide Regulation Fund			789	853	817
0115	Air Pollution Control Fund			1,419	1,024	970
0193	Waste Discharge Permit Fund			311	342	300
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund			52	59	57
0387	Integrated Waste Management Account, Integrated Waste Management Fund			130	157	112
0439	Underground Storage Tank Cleanup Fund			826	887	861
0679	State Water Quality Control Fund			217	233	178
0890	Federal Trust Fund			1,363	1,965	1,888
0995	Reimbursements			1,951	2,045	2,047
1006	Rural CUPA Reimbursement Account			-55	-	-
3058	Water Rights Fund			32	39	37
3237	Cost of Implementation Account, Air Pollution Control Fund			-	591	591
8013	Environmental Enforcement and Training Account			282	2,132	2,132
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$19,800</b>	<b>\$18,746</b>	<b>\$18,677</b>

**LEGAL CITATIONS AND AUTHORITY**

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

**DETAILED BUDGET ADJUSTMENTS**

\* Dollars in thousands, except in Salary Range.



**0555 Secretary for Environmental Protection - Continued**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$18	\$136	-	\$19	\$142	-
• Retirement Rate Adjustment	4	30	-	4	30	-
• Miscellaneous Adjustments	-	9	-	-	-177	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$22</b>	<b>\$175</b>	<b>-</b>	<b>\$23</b>	<b>-\$5</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$22</b>	<b>\$175</b>	<b>-</b>	<b>\$23</b>	<b>-\$5</b>	<b>-</b>
<b>Policy Adjustments</b>						
• CalEPA Refinery Information Officer/Emergency Coordinator	\$-	\$-	-	\$-	\$110	1.0
<b>Totals, Policy Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$110</b>	<b>1.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$22</b>	<b>\$175</b>	<b>-</b>	<b>\$23</b>	<b>\$105</b>	<b>1.0</b>

**PROGRAM DESCRIPTIONS**

30 - SUPPORT PROGRAM

The Secretary for Environmental Protection's primary program objectives are restoring, protecting and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on ensuring "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>30</b>	<b>SUPPORT</b>			
<b>State Operations:</b>				
0001	General Fund	\$1,781	\$1,842	\$1,843
0014	Hazardous Waste Control Account	311	332	324
0028	Unified Program Account	9,346	4,363	4,693
0044	Motor Vehicle Account, State Transportation Fund	1,045	1,882	1,827
0106	Department of Pesticide Regulation Fund	789	853	817
0115	Air Pollution Control Fund	1,419	1,024	970
0193	Waste Discharge Permit Fund	311	342	300
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	52	59	57
0387	Integrated Waste Management Account, Integrated Waste Management Fund	130	157	112
0439	Underground Storage Tank Cleanup Fund	826	887	861
0679	State Water Quality Control Fund	217	233	178
0890	Federal Trust Fund	1,363	1,965	1,888
0995	Reimbursements	1,951	2,045	2,047
1006	Rural CUPA Reimbursement Account	-55	-	-
3058	Water Rights Fund	32	39	37
3237	Cost of Implementation Account, Air Pollution Control Fund	-	591	591
8013	Environmental Enforcement and Training Account	282	2,132	2,132
<b>Totals, State Operations</b>		<b>\$19,800</b>	<b>\$18,746</b>	<b>\$18,677</b>
<b>TOTALS, EXPENDITURES</b>				

\* Dollars in thousands, except in Salary Range.

## 0555 Secretary for Environmental Protection - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
State Operations	19,800	18,746	18,677
<b>Totals, Expenditures</b>	<b>\$19,800</b>	<b>\$18,746</b>	<b>\$18,677</b>

## EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>1 State Operations</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	65.5	68.0	68.0	\$4,451	\$4,987	\$5,032
Total Adjustments	-	-	1.0	-	144	254
<b>Net Totals, Salaries and Wages</b>	<b>65.5</b>	<b>68.0</b>	<b>69.0</b>	<b>\$4,451</b>	<b>\$5,131</b>	<b>\$5,286</b>
Staff Benefits	-	-	-	1,286	1,421	1,434
<b>Totals, Personal Services</b>	<b>65.5</b>	<b>68.0</b>	<b>69.0</b>	<b>\$5,737</b>	<b>\$6,552</b>	<b>\$6,720</b>
OPERATING EXPENSES AND EQUIPMENT				<u>\$14,063</u>	<u>\$12,194</u>	<u>\$11,957</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$19,800</b>	<b>\$18,746</b>	<b>\$18,677</b>

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$963	\$985	\$1,008
Allocation for employee compensation	5	18	-
Adjustment per Section 3.60	13	4	-
Adjustment per Section 3.90	-33	-	-
011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	<u>835</u>	<u>835</u>	<u>835</u>
<b>Totals Available</b>	<b>\$1,783</b>	<b>\$1,842</b>	<b>\$1,843</b>
Unexpended balance, estimated savings	<u>-2</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,781</b>	<b>\$1,842</b>	<b>\$1,843</b>
<b>0014 Hazardous Waste Control Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$317	\$326	\$324
Allocation for employee compensation	1	5	-
Adjustment per Section 3.60	4	1	-
Adjustment per Section 3.90	<u>-10</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$312</b>	<b>\$332</b>	<b>\$324</b>
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$311</b>	<b>\$332</b>	<b>\$324</b>
<b>0028 Unified Program Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,052	\$4,336	\$4,693
Allocation for employee compensation	7	21	-
Adjustment per Section 3.60	20	6	-
Adjustment per Section 3.90	<u>-49</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$10,030</b>	<b>\$4,363</b>	<b>\$4,693</b>
Unexpended balance, estimated savings	<u>-684</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$9,346</b>	<b>\$4,363</b>	<b>\$4,693</b>

\* Dollars in thousands, except in Salary Range.

**0555 Secretary for Environmental Protection - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,789	\$1,846	\$1,827
Allocation for employee compensation	8	31	-
Adjustment per Section 3.60	22	7	-
Adjustment per Section 3.90	-56	-	-
Adjustment per Section 4.05	-	-2	-
<b>Totals Available</b>	<b>\$1,763</b>	<b>\$1,882</b>	<b>\$1,827</b>
Unexpended balance, estimated savings	-718	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,045</b>	<b>\$1,882</b>	<b>\$1,827</b>
<b>0106 Department of Pesticide Regulation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$911	\$836	\$817
Allocation for employee compensation	4	14	-
Adjustment per Section 3.60	9	3	-
Adjustment per Section 3.90	-23	-	-
Transfer to Department of Resources, Recycling, and Recovery per Chapter 39, Statutes of 2012	-100	-	-
<b>Totals Available</b>	<b>\$801</b>	<b>\$853</b>	<b>\$817</b>
Unexpended balance, estimated savings	-12	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$789</b>	<b>\$853</b>	<b>\$817</b>
<b>0115 Air Pollution Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,583	\$1,015	\$970
Allocation for employee compensation	4	7	-
Adjustment per Section 3.60	10	2	-
Adjustment per Section 3.90	-25	-	-
<b>Totals Available</b>	<b>\$1,572</b>	<b>\$1,024</b>	<b>\$970</b>
Unexpended balance, estimated savings	-153	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,419</b>	<b>\$1,024</b>	<b>\$970</b>
<b>0193 Waste Discharge Permit Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$673	\$334	\$300
Allocation for employee compensation	1	5	-
Adjustment per Section 3.60	3	3	-
Adjustment per Section 3.90	-8	-	-
Transfer to Department of Resources, Recycling, and Recovery per Chapter 39, Statutes of 2012	-350	-	-
<b>Totals Available</b>	<b>\$319</b>	<b>\$342</b>	<b>\$300</b>
Unexpended balance, estimated savings	-8	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$311</b>	<b>\$342</b>	<b>\$300</b>
<b>0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$53	\$58	\$57
Allocation for employee compensation	2	1	-
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-3	-	-
<b>Totals Available</b>	<b>\$53</b>	<b>\$59</b>	<b>\$57</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$52</b>	<b>\$59</b>	<b>\$57</b>

\* Dollars in thousands, except in Salary Range.

## 0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$890	\$154	\$112
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	2	-	-
Adjustment per Section 3.90	-6	-	-
Transfer to Department of Resources, Recycling, and Recovery per Chapter 39, Statues of 2012	-748	-	-
<b>Totals Available</b>	<b>\$138</b>	<b>\$157</b>	<b>\$112</b>
Unexpended balance, estimated savings	-8	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$130</b>	<b>\$157</b>	<b>\$112</b>
<b>0439 Underground Storage Tank Cleanup Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$842	\$870	\$861
Allocation for employee compensation	4	15	-
Adjustment per Section 3.60	10	2	-
Adjustment per Section 3.90	-25	-	-
<b>Totals Available</b>	<b>\$831</b>	<b>\$887</b>	<b>\$861</b>
Unexpended balance, estimated savings	-5	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$826</b>	<b>\$887</b>	<b>\$861</b>
<b>0679 State Water Quality Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$774	\$230	\$178
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	2	-	-
Adjustment per Section 3.90	-5	-	-
Transfer to Department of Resources, Recycling, and Recovery per Chapter 39, Statues of 2012	-550	-	-
<b>Totals Available</b>	<b>\$221</b>	<b>\$233</b>	<b>\$178</b>
Unexpended balance, estimated savings	-4	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$217</b>	<b>\$233</b>	<b>\$178</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,949	\$1,965	\$1,888
Budget Adjustment	-586	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,363</b>	<b>\$1,965</b>	<b>\$1,888</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$1,951	\$2,045	\$2,047
<b>1006 Rural CUPA Reimbursement Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$835	\$835	\$835
<b>Totals Available</b>	<b>\$835</b>	<b>\$835</b>	<b>\$835</b>
Unexpended balance, estimated savings	-55	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$780</b>	<b>\$835</b>	<b>\$835</b>
Less funding provided by General Fund	-835	-835	-835
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-55</b>	<b>\$-</b>	<b>\$-</b>
<b>3058 Water Rights Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$38	\$39	\$37

\* Dollars in thousands, except in Salary Range.

**0555 Secretary for Environmental Protection - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Totals Available</b>	<b>\$38</b>	<b>\$39</b>	<b>\$37</b>
Unexpended balance, estimated savings	<u>-6</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$32</b>	<b>\$39</b>	<b>\$37</b>
<b>3237 Cost of Implementation Account, Air Pollution Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$586	\$591
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	<u>-</u>	<u>1</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$591</b>	<b>\$591</b>
<b>8013 Environmental Enforcement and Training Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2,132</u>	<u>\$2,132</u>	<u>\$2,132</u>
<b>Totals Available</b>	<b>\$2,132</b>	<b>\$2,132</b>	<b>\$2,132</b>
Unexpended balance, estimated savings	<u>-1,850</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$282</b>	<b>\$2,132</b>	<b>\$2,132</b>
<b>8020 Environmental Education Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$577	\$-	\$-
Transfer to Department of Resources, Recycling, and Recovery per Chapter 39, Statutes of 2012	<u>-577</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$19,800</b>	<b>\$18,746</b>	<b>\$18,677</b>

**FUND CONDITION STATEMENTS**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>0028 Unified Program Account <sup>s</sup></b>			
BEGINNING BALANCE	\$2,402	\$6,618	\$5,080
Prior year adjustments	<u>612</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,014	\$6,618	\$5,080
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	6,556	6,244	6,666
150300 Income From Surplus Money Investments	5	1	1
150500 Interest Income From Interfund Loans	47	-	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 0555-011-0028, Budget Act of 2011	<u>8,700</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$15,308</u>	<u>\$6,245</u>	<u>\$6,667</u>
Total Resources	\$18,322	\$12,863	\$11,747
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	9,346	4,363	4,693
0690 Office of Emergency Services (State Operations)	605	810	812
0840 State Controller (State Operations)	10	1	-
3540 Department of Forestry and Fire Protection (State Operations)	300	733	674
3940 State Water Resources Control Board (State Operations)	390	601	608
3960 Department of Toxic Substances Control (State Operations)	890	1,066	1,071
3980 Office of Environmental Health Hazard Assessment (State Operations)	127	149	147
8880 Financial Information System for California (State Operations)	<u>36</u>	<u>60</u>	<u>7</u>
Total Expenditures and Expenditure Adjustments	\$11,704	\$7,783	\$8,012

\* Dollars in thousands, except in Salary Range.

**0555 Secretary for Environmental Protection - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
FUND BALANCE	\$6,618	\$5,080	\$3,735
Reserve for economic uncertainties	6,618	5,080	3,735
<b>1006 Rural CUPA Reimbursement Account <sup>s</sup></b>			
BEGINNING BALANCE	\$215	\$268	\$1,575
Prior year adjustments	-2	-	-
Adjusted Beginning Balance	\$213	\$268	\$1,575
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150500 Interest Income From Interfund Loans	-	7	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 0555-011-1006, Budget Act of 2011	-	1,300	-
Total Revenues, Transfers, and Other Adjustments	-	\$1,307	-
Total Resources	\$213	\$1,575	\$1,575
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	780	835	835
Expenditure Adjustments:			
0555 Secretary for Environmental Protection			
Less funding provided by General Fund (State Operations)	-835	-835	-835
Total Expenditures and Expenditure Adjustments	-\$55	-	-
FUND BALANCE	\$268	\$1,575	\$1,575
Reserve for economic uncertainties	268	1,575	1,575

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Totals, Authorized Positions	65.5	68.0	68.0	\$4,451	\$4,987	\$5,032
Salary Adjustments	-	-	-	-	144	144
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Positions Established:						
Environmental Program Manager I (Managerial)	-	-	1.0	6,939-7,880	-	110
<b>Totals, Workload &amp; Admin Adjustments</b>	<b>-</b>	<b>-</b>	<b>1.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$110</b>
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>1.0</b>	<b>\$-</b>	<b>\$144</b>	<b>\$254</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>65.5</b>	<b>68.0</b>	<b>69.0</b>	<b>\$4,451</b>	<b>\$5,131</b>	<b>\$5,286</b>

**0559 Secretary for Labor and Workforce Development Agency**

The Labor and Workforce Development Agency was established to address important issues relating to California workers and their employers. The Agency is responsible for three primary functions: labor law enforcement, workforce development, and benefit payment and adjudication. The Agency's leading goals are to improve the coordination of services provided to California employers and workers and to ensure compliance with California labor laws through a combination of enforcement and education activities.

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
10 Office of the Secretary of Labor and Workforce Development	9.0	11.0	11.0	\$2,123	\$2,259	\$2,297
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>9.0</b>	<b>11.0</b>	<b>11.0</b>	<b>\$2,123</b>	<b>\$2,259</b>	<b>\$2,297</b>

\* Dollars in thousands, except in Salary Range.

**0559 Secretary for Labor and Workforce Development Agency - Continued**

<b>FUNDING</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0995 Reimbursements	\$1,816	\$2,027	\$2,028
3078 Labor and Workforce Development Fund	<u>307</u>	<u>232</u>	<u>269</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>	<b>\$2,123</b>	<b>\$2,259</b>	<b>\$2,297</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

**DETAILED BUDGET ADJUSTMENTS**

	<b>2013-14*</b>			<b>2014-15*</b>		
	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$22	-	\$-	\$23	-
• Retirement Rate Adjustment	-	7	-	-	7	-
• Miscellaneous Adjustments	-	-	-	-	37	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$29</b>	<b>-</b>	<b>\$-</b>	<b>\$67</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$29</b>	<b>-</b>	<b>\$-</b>	<b>\$67</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$29</b>	<b>-</b>	<b>\$-</b>	<b>\$67</b>	<b>-</b>

**DETAILED EXPENDITURES BY PROGRAM**

		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT</b>			
<b>State Operations:</b>				
0995	Reimbursements	\$1,816	\$2,027	\$2,028
3078	Labor and Workforce Development Fund	<u>307</u>	<u>232</u>	<u>269</u>
<b>Totals, State Operations</b>		<b>\$2,123</b>	<b>\$2,259</b>	<b>\$2,297</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		<u>2,123</u>	<u>2,259</u>	<u>2,297</u>
<b>Totals, Expenditures</b>		<b>\$2,123</b>	<b>\$2,259</b>	<b>\$2,297</b>

**EXPENDITURES BY CATEGORY**

	<b>1 State Operations</b>			<b>Positions</b>			<b>Expenditures</b>		
	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>PERSONAL SERVICES</b>									
Authorized Positions (Equals Sch. 7A)	9.0	11.0	11.0				\$843	\$1,039	\$1,050
Total Adjustments	-	-	-				-	17	17
<b>Net Totals, Salaries and Wages</b>	<b>9.0</b>	<b>11.0</b>	<b>11.0</b>				<b>\$843</b>	<b>\$1,056</b>	<b>\$1,067</b>
Staff Benefits	-	-	-				300	384	389
<b>Totals, Personal Services</b>	<b>9.0</b>	<b>11.0</b>	<b>11.0</b>				<b>\$1,143</b>	<b>\$1,440</b>	<b>\$1,456</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>									
							\$980	\$819	\$841
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>							<b>\$2,123</b>	<b>\$2,259</b>	<b>\$2,297</b>

\* Dollars in thousands, except in Salary Range.

## 0559 Secretary for Labor and Workforce Development Agency - Continued

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$1,816	\$2,027	\$2,028
<b>3078 Labor and Workforce Development Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$329</u>	<u>\$232</u>	<u>\$269</u>
<b>Totals Available</b>	<b>\$329</b>	<b>\$232</b>	<b>\$269</b>
Unexpended balance, estimated savings	<u>-22</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$307</b>	<b>\$232</b>	<b>\$269</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$2,123</b>	<b>\$2,259</b>	<b>\$2,297</b>

### FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>3078 Labor and Workforce Development Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$9,047	\$9,289	\$8,578
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$9,045	\$9,289	\$8,578
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164300 Penalty Assessments	<u>4,529</u>	<u>4,600</u>	<u>4,600</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,529</u>	<u>\$4,600</u>	<u>\$4,600</u>
Total Resources	\$13,574	\$13,889	\$13,178
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	307	232	269
7300 Agricultural Labor Relations Board (State Operations)	489	1,012	1,063
7350 Department of Industrial Relations (State Operations)	3,473	4,041	4,272
8880 Financial Information System for California (State Operations)	<u>16</u>	<u>26</u>	<u>4</u>
Total Expenditures and Expenditure Adjustments	<u>\$4,285</u>	<u>\$5,311</u>	<u>\$5,608</u>
FUND BALANCE	\$9,289	\$8,578	\$7,570
Reserve for economic uncertainties	9,289	8,578	7,570

### CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	9.0	11.0	11.0	\$843	\$1,039	\$1,050
Salary Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>17</u>	<u>17</u>
<b>Total Adjustments</b>	<b><u>-</u></b>	<b><u>-</u></b>	<b><u>-</u></b>	<b><u>\$-</u></b>	<b><u>\$17</u></b>	<b><u>\$17</u></b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>9.0</b>	<b>11.0</b>	<b>11.0</b>	<b>\$843</b>	<b>\$1,056</b>	<b>\$1,067</b>

\* Dollars in thousands, except in Salary Range.



## 0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. The OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, and resource protection. The OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. The OPR houses and supports the Strategic Growth Council. In addition, OPR houses California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
11 State Planning and Policy Development	17.7	16.1	16.1	\$1,858	\$3,165	\$3,821
21 California Volunteers	19.5	34.6	34.6	29,725	33,707	31,553
31 Strategic Growth Council	-	-	6.0	-	-	100,000
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>37.2</b>	<b>50.7</b>	<b>56.7</b>	<b>\$31,583</b>	<b>\$36,872</b>	<b>\$135,374</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$1,887	\$2,876	\$3,373
0890 Federal Trust Fund				25,987	29,821	27,820
0995 Reimbursements				3,362	3,893	3,893
3228 Greenhouse Gas Reduction Fund				-	-	100,000
9740 Central Service Cost Recovery Fund				347	282	288
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$31,583</b>	<b>\$36,872</b>	<b>\$135,374</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Fish and Game Code Section 711.4; Government Code Sections: 4530-4535.3, 13073.5, 17525, 65025-65049, 65352, 65352.3, 65940, and 65944; Health and Safety Code Sections: 25199.4, 25199.7, and 50901; Public Resources Code Sections: 5024.5, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21094.5.5, 21108, 21152.1, 21153, 21159.9, 21161, 21162, 21165, and 75121; California Code of Regulations: Title 2, Section 1896.38; Title 14, Sections: 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, and 16000-16041.

### MAJOR PROGRAM CHANGES

- Sustainable Communities Strategies - The Budget includes \$100 million to support efforts related to sustainable development, the Strategic Growth Council, the state's greenhouse gas emissions reduction goals, and to provide assistance to local and regional governments in support of these goals.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$31	\$59	-	\$32	\$61	-
• Retirement Rate Adjustment	6	10	-	6	10	-
• Miscellaneous Adjustments	-1,250	275	-	-755	-1,720	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>-\$1,213</b>	<b>\$344</b>	<b>-</b>	<b>-\$717</b>	<b>-\$1,649</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>-\$1,213</b>	<b>\$344</b>	<b>-</b>	<b>-\$717</b>	<b>-\$1,649</b>	<b>-</b>
<b>Policy Adjustments</b>						
• Sustainable Communities Strategies	\$-	\$-	-	\$-	\$100,000	6.0
<b>Totals, Policy Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$100,000</b>	<b>6.0</b>
<b>Totals, Budget Adjustments</b>	<b>-\$1,213</b>	<b>\$344</b>	<b>-</b>	<b>-\$717</b>	<b>\$98,351</b>	<b>6.0</b>

\* Dollars in thousands, except in Salary Range.

## 0650 Office of Planning and Research - Continued

### PROGRAM DESCRIPTIONS

#### 11 - STATE PLANNING AND POLICY DEVELOPMENT

The major activities of the Office include: (1) recommending and implementing state policies with regard to land-use and growth planning; (2) coordinating the implementation of the California Environmental Quality Act, including: (a) operating the State Clearinghouse; (b) developing guidelines; (c) providing technical assistance; and (d) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to local governments with regard to land use planning and compliance with environmental statutes and regulations; (6) preparing guidelines to local agency formation commissions; and (7) conducting other activities as the Governor may direct.

#### 21 - CALIFORNIA VOLUNTEERS

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, guides policy development to support the nonprofit and service fields, and leads the Service Enterprise Initiative, which empowers a nonprofit to more efficiently engage volunteers and effectively address community needs. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

#### 31 - STRATEGIC GROWTH COUNCIL

The Council coordinates interagency efforts and partners with stakeholders to promote sustainable development, improving air and water quality, protecting natural resources and agricultural lands, and reducing greenhouse gas emissions.

### EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	37.2	50.7	50.7	\$2,417	\$2,653	\$2,653
Total Adjustments	-	-	6.0	-	68	508
<b>Net Totals, Salaries and Wages</b>	<b>37.2</b>	<b>50.7</b>	<b>56.7</b>	<b>\$2,417</b>	<b>\$2,721</b>	<b>\$3,161</b>
Staff Benefits	-	-	-	798	898	1,059
<b>Totals, Personal Services</b>	<b>37.2</b>	<b>50.7</b>	<b>56.7</b>	<b>\$3,215</b>	<b>\$3,619</b>	<b>\$4,220</b>
OPERATING EXPENSES AND EQUIPMENT				\$3,704	\$5,253	\$5,953
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$6,919</b>	<b>\$8,872</b>	<b>\$10,173</b>

2 Local Assistance	Expenditures		
	2012-13*	2013-14*	2014-15*
Grants	\$24,664	\$28,000	\$125,201
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$24,664</b>	<b>\$28,000</b>	<b>\$125,201</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,978	\$4,090	\$2,123
Allocation for employee compensation	5	30	-
Adjustment per Section 3.60	20	6	-
Adjustment per Section 3.90	-48	-	-
Adjustment per Chapter 354	-	-2,000	-

\* Dollars in thousands, except in Salary Range.

**0650 Office of Planning and Research - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Chapter 47, Statutes of 2013	-	2,000	1,250
<b>Totals Available</b>	<b>\$1,955</b>	<b>\$4,126</b>	<b>\$3,373</b>
Unexpended balance, estimated savings	-68	-	-
Balance available in subsequent years	-	-1,250	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,887</b>	<b>\$2,876</b>	<b>\$3,373</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,115	\$1,800	\$1,820
Allocation for employee compensation	2	18	-
Adjustment per Section 3.60	14	3	-
Adjustment per Section 3.90	-40	-	-
Budget Adjustment	-812	-	-
Prior year balances available:			
Chapter 220, Statutes of 2010	44	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,323</b>	<b>\$1,821</b>	<b>\$1,820</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$3,362	\$3,893	\$3,893
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
Chapter 608, Statutes of 2013	\$-	\$-	\$799
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$799</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$352	\$276	\$288
Allocation for employee compensation	1	5	-
Adjustment per Section 3.60	5	1	-
Adjustment per Section 3.90	-10	-	-
<b>Totals Available</b>	<b>\$348</b>	<b>\$282</b>	<b>\$288</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$347</b>	<b>\$282</b>	<b>\$288</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$6,919</b>	<b>\$8,872</b>	<b>\$10,173</b>

<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$28,000	\$28,000	\$26,000
Budget Adjustment	-3,336	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$24,664</b>	<b>\$28,000</b>	<b>\$26,000</b>
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
Chapter 608, Statutes of 2013	\$-	\$-	\$99,201
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$99,201</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$24,664</b>	<b>\$28,000</b>	<b>\$125,201</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$31,583</b>	<b>\$36,872</b>	<b>\$135,374</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	37.2	50.7	50.7	\$2,417	\$2,653	\$2,653
Salary Adjustments	-	-	-	-	68	68

\* Dollars in thousands, except in Salary Range.

## 0650 Office of Planning and Research - Continued

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Proposed New Positions						
Temporary Help	-	-	6.0	-	-	440
<b>Totals, Workload &amp; Admin Adjustments</b>	-	-	<b>6.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$440</b>
<b>Total Adjustments</b>	-	-	<b>6.0</b>	<b>\$-</b>	<b>\$68</b>	<b>\$508</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>37.2</b>	<b>50.7</b>	<b>56.7</b>	<b>\$2,417</b>	<b>\$2,721</b>	<b>\$3,161</b>

## 0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (OES) is to reduce vulnerability to hazards and crimes through emergency management, homeland security, and criminal justice to ensure a safe and resilient California. The OES responds to and coordinates emergency activities to save lives and reduce property loss during disasters and facilitates/coordinates recovery from the effects of disasters. On a day-to-day basis, the OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The OES's plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, the OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, the OES is responsible for the development and coordination of a comprehensive state strategy related to all hazards, including terrorism, that includes prevention, preparedness, and response and recovery.

Further, the OES improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies, and the private sector for homeland security, public safety, and victim services.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Office of Emergency Services' Capital Outlay Program see "Infrastructure Overview."

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
20 Emergency Management Services	162.6	182.6	182.6	\$39,172	\$50,804	\$50,686
40 Special Programs and Grant Management	203.8	221.5	243.0	526,218	1,196,481	1,187,003
65.01 Administration and Executive Program	106.7	134.0	134.0	12,323	15,438	15,505
65.02 Distributed Administration and Executive	-	-	-	-12,323	-15,438	-15,505
70 Public Safety Communications	-	374.0	391.3	-	184,961	182,928
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>473.1</b>	<b>912.1</b>	<b>950.9</b>	<b>\$565,390</b>	<b>\$1,432,246</b>	<b>\$1,420,617</b>

#### FUNDING

	2012-13*	2013-14*	2014-15*
0001 General Fund	\$104,866	\$103,341	\$103,357
0022 State Emergency Telephone Number Account	-	113,079	111,013
0028 Unified Program Account	605	810	812
0029 Nuclear Planning Assessment Special Account	4,723	4,800	4,908
0214 Restitution Fund	514	519	508
0241 Local Public Prosecutors and Public Defenders Training Fund	819	882	882
0425 Victim - Witness Assistance Fund	18,313	18,685	18,685
0437 State Assistance For Fire Equipment Account	13	104	100
0890 Federal Trust Fund	330,816	1,010,126	1,000,420
0995 Reimbursements	3,666	4,322	4,324
3034 Antiterrorism Fund	107	727	723
3112 Equality in Prevention and Services for Domestic Abuse Fund	98	103	103

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

<b>FUNDING</b>		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	100,850	102,659	102,660
8039	Disaster Resistant Communities Account	-	207	207
9730	Technology Services Revolving Fund	-	71,882	71,915
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$565,390</b>	<b>\$1,432,246</b>	<b>\$1,420,617</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

**DETAILED BUDGET ADJUSTMENTS**

	<b>2013-14*</b>			<b>2014-15*</b>		
	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Temporary Help and Military Position Transfer	\$-	\$-	-	\$-	\$-	42.3
• Victim Identification Notification Everyday System	-	-	-	-	1,800	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$1,800</b>	<b>42.3</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$271	\$490	-	\$286	\$549	-
• Retirement Rate Adjustment	94	314	-	94	314	-
• Limited Term Positions/Expiring Programs	-	-	-	-	-9,593	-3.5
• Miscellaneous Adjustments	-34	-19	-	-34	39	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$331</b>	<b>\$785</b>	<b>-</b>	<b>\$346</b>	<b>-\$8,691</b>	<b>-3.5</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$331</b>	<b>\$785</b>	<b>-</b>	<b>\$346</b>	<b>-\$6,891</b>	<b>38.8</b>
<b>Totals, Budget Adjustments</b>	<b>\$331</b>	<b>\$785</b>	<b>-</b>	<b>\$346</b>	<b>-\$6,891</b>	<b>38.8</b>

\* Dollars in thousands, except in Salary Range.

## 0690 Office of Emergency Services - Continued

## Program 40-Criminal Justice Projects, Local Assistance

Component	Program Name	Source of Funds	Actual	Proposed	Proposed
			Expenditures	Expenditures	Expenditures
			2012-13	2013-14	2014-15
<b>Victim Services</b>					
40.20.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	\$12,671	\$12,671	\$-
40.20.102	Victims' Legal Resource Center	0001 General Fund	37	37	-
40.20.151	Domestic Violence <sup>1</sup>	0001 General Fund	20,598	20,602	-
40.20.151	Family Violence Prevention	0890 Federal Trust Fund	7,403	8,051	-
40.20.152	Family Violence Prevention	0001 General Fund	45	45	-
40.20.161	Violence Against Women Act	0890 Federal Trust Fund	10,877	12,990	-
40.20.301	Rape Crisis <sup>1</sup>	0001 General Fund	45	45	-
40.20.301	Rape Crisis <sup>1</sup>	0425 Victim-Witness Assist Fund	3,670	3,670	-
40.20.351	Homeless Youth	0001 General Fund	356	356	-
40.20.352	Youth Emergency Telephone Refer	0001 General Fund	114	114	-
40.20.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	978	978	-
40.20.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	272	-
40.20.451	Victims of Crime Act	0890 Federal Trust Fund	42,889	45,000	-
40.20.902	Child Justice Act	0890 Federal Trust Fund	1,563	1,775	-
40.20.906	Equality in Prevention & Serv.	3112 EPSDA	98	98	-
<b>Victim Services Total</b>			<b>\$101,616</b>	<b>\$106,704</b>	<b>\$-</b>
<b>Public Safety</b>					
40.30.504	Project Safe Neighborhoods	0890 Federal Trust Fund	\$701	\$650	-
40.30.523	Forensic Science Improvement Act	0890 Federal Trust Fund	778	2,473	-
40.30.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	792	799	-
40.30.555	Res Substance Abuse Treatment	0890 Federal Trust Fund	31	300	-
40.30.559	Peace Officer Protective Equipment	0890 Federal Trust Fund	563	1,275	-
40.30.560	Justice Assistance Grant	0890 Federal Trust Fund	2,363	6,000	-
40.30.560	Justice Assistance Grant Recovery Act	0890 Federal Trust Fund	794	-	-
40.30.905	Anti-Gang Initiative	0890 Federal Trust Fund	-	3,728	-
40.30.908	Internet Crimes Against Children	0214 Restitution Fund	500	500	-
<b>Public Safety Total</b>			<b>\$6,522</b>	<b>\$15,725</b>	<b>\$-</b>
<b>Public Safety / Victim Services</b>					
40.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	\$-	\$-	\$12,671
40.102	Victims' Legal Resource Center	0001 General Fund	-	-	37
40.151	Domestic Violence <sup>1</sup>	0001 General Fund	-	-	20,602
40.151	Family Violence Prevention	0890 Federal Trust Fund	-	-	8,100
40.152	Family Violence Prevention	0001 General Fund	-	-	45
40.161	Violence Against Women Act	0890 Federal Trust Fund	-	-	13,650
40.301	Rape Crisis <sup>1</sup>	0001 General Fund	-	-	45
40.301	Rape Crisis <sup>1</sup>	0425 Victim-Witness Assist Fund	-	-	3,670
40.351	Homeless Youth	0001 General Fund	-	-	356
40.352	Youth Emergency Telephone Refer	0001 General Fund	-	-	114
40.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	-	-	978
40.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	-	-	272
40.451	Victims of Crime Act	0890 Federal Trust Fund	-	-	48,000
40.504	Project Safe Neighborhoods	0890 Federal Trust Fund	-	-	700
40.523	Forensic Science Improvement Act	0890 Federal Trust Fund	-	-	2,500
40.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	-	-	799
40.559	Peace Officer Protective Equipment	0890 Federal Trust Fund	-	-	1,300
40.560	Justice Assistance Grant	0890 Federal Trust Fund	-	-	500
40.902	Child Justice Act	0890 Federal Trust Fund	-	-	1,800
40.906	Equality in Prevention & Serv.	3112 EPSDA	-	-	98
40.908	Internet Crimes Against Children	0214 Restitution Fund	-	-	500
<b>Public Safety / Victim Services Total</b>			<b>\$-</b>	<b>\$-</b>	<b>\$116,737</b>
<b>Total, Program 40-Criminal Justice Projects, Local Assistance</b>			<b>\$108,138</b>	<b>\$122,429</b>	<b>\$116,737</b>

<sup>1</sup> Program has multiple funding sources.

**0690 Office of Emergency Services - Continued**

**PROGRAM DESCRIPTIONS**

**20 - EMERGENCY MANAGEMENT SERVICES**

Through the Emergency Management Services Program, the OES provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. Also, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, the OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

**40 - SPECIAL PROGRAMS AND GRANT MANAGEMENT**

The OES serves as the State Administering Agent for federal homeland security, emergency management, and criminal justice grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, the OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, the OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Terrorism Threat Assessment Center which operates a state-level intelligence database that assists law enforcement in combating terrorist activities in California, and coordinates those efforts with federal law enforcement agencies.

**65 - ADMINISTRATION AND EXECUTIVE**

The Administration and Executive Program provides the overall policy direction of the OES and support services such as accounting, fiscal, personnel, and business services.

**70 - PUBLIC SAFETY COMMUNICATIONS**

Public Safety Communications (PSC) serves the State of California by providing public safety communications to the State's first responders and oversight of the 9-1-1 system to the people of California. The PSC is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services keeping the public connected during times of crisis.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>20</b>	<b>Emergency Management Services</b>			
	<b>State Operations:</b>			
0001	General Fund	\$21,900	\$22,069	\$22,081
0028	Unified Program Account	605	810	812
0029	Nuclear Planning Assessment Special Account	1,101	1,192	1,224
0437	State Assistance for Fire Equipment Account	13	104	100
0890	Federal Trust Fund	12,889	21,515	21,354
0995	Reimbursements	2,557	4,302	4,303
3034	Antiterrorism Fund	107	605	605
8039	Disaster Resistant Communities Account	-	207	207
	<b>Totals, State Operations</b>	<b>\$39,172</b>	<b>\$50,804</b>	<b>\$50,686</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>40</b>	<b>Special Programs and Grant Management</b>			
	<b>State Operations:</b>			
0001	General Fund	\$16,877	\$19,675	\$19,679
0214	Restitution Fund	14	19	8
0241	Local Public Prosecutors and Public Defenders Training Fund	27	83	83
0425	Victim - Witness Assistance Fund	994	1,366	1,366
0890	Federal Trust Fund	38,038	50,486	49,400
0995	Reimbursements	-	20	21
3034	Antiterrorism Fund	-	122	118

\* Dollars in thousands, except in Salary Range.

## 0690 Office of Emergency Services - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
3112 Equality in Prevention and Services for Domestic Abuse Fund	-	5	5
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,136	2,659	2,660
<b>Totals, State Operations</b>	<b>\$57,086</b>	<b>\$74,435</b>	<b>\$73,340</b>
<b>Local Assistance:</b>			
0001 General Fund	\$66,089	\$61,597	\$61,597
0029 Nuclear Planning Assessment Special Account	3,622	3,608	3,684
0214 Restitution Fund	500	500	500
0241 Local Public Prosecutors and Public Defenders Training Fund	792	799	799
0425 Victim - Witness Assistance Fund	17,319	17,319	17,319
0890 Federal Trust Fund	279,889	938,125	929,666
0995 Reimbursements	1,109	-	-
3112 Equality in Prevention and Services for Domestic Abuse Fund	98	98	98
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	99,714	100,000	100,000
<b>Totals, Local Assistance</b>	<b>\$469,132</b>	<b>\$1,122,046</b>	<b>\$1,113,663</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>65 EXECUTIVE AND ADMINISTRATION</b>			
65.01 Executive and Administration	12,323	15,438	15,505
65.02 Distributed Administration	-12,323	-15,438	-15,505
<b>PROGRAM REQUIREMENTS</b>			
<b>70 Public Safety Communications</b>			
<b>State Operations:</b>			
0022 State Emergency Telephone Number Account	\$-	\$2,460	\$2,394
9730 Technology Services Revolving Fund	-	71,882	71,915
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$74,342</b>	<b>\$74,309</b>
<b>Local Assistance:</b>			
0022 State Emergency Telephone Number Account	\$-	\$110,619	\$108,619
<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$110,619</b>	<b>\$108,619</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	96,258	199,581	198,335
Local Assistance	469,132	1,232,665	1,222,282
<b>Totals, Expenditures</b>	<b>\$565,390</b>	<b>\$1,432,246</b>	<b>\$1,420,617</b>

## EXPENDITURES BY CATEGORY

	<b>1 State Operations</b>			<b>Positions</b>			<b>Expenditures</b>		
	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>			
<b>PERSONAL SERVICES</b>									
Authorized Positions (Equals Sch. 7A)	473.1	912.1	912.1	\$30,953	\$66,792	\$67,550			
Total Adjustments	-	-	38.8	-	513	291			
<b>Net Totals, Salaries and Wages</b>	<b>473.1</b>	<b>912.1</b>	<b>950.9</b>	<b>\$30,953</b>	<b>\$67,305</b>	<b>\$67,841</b>			
Staff Benefits	-	-	-	13,512	26,922	27,136			
<b>Totals, Personal Services</b>	<b>473.1</b>	<b>912.1</b>	<b>950.9</b>	<b>\$44,465</b>	<b>\$94,227</b>	<b>\$94,977</b>			
<b>OPERATING EXPENSES AND EQUIPMENT</b>									
				\$51,793	\$105,354	\$103,358			

\* Dollars in thousands, except in Salary Range.



**0690 Office of Emergency Services - Continued**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$96,258</b>	<b>\$199,581</b>	<b>\$198,335</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$35,086	\$35,029	\$35,375
Allocation for employee compensation	79	271	-
Adjustment per Section 3.60	308	93	-
Adjustment per Section 3.90	-850	-	-
Adjustment per Section 4.05	-	-34	-
003 Budget Act appropriation	6,386	6,385	6,385
Adjustment per Section 4.30	-4	-	-
Prior year balances available:			
Chapter 29, Statutes of 2009, Third Extraordinary Session	499	-	-
Chapter 13, Statutes of 2011	1	1	-
Chapter 14, Statutes of 2011	1	1	-
<b>Totals Available</b>	<b>\$41,506</b>	<b>\$41,746</b>	<b>\$41,760</b>
Unexpended balance, estimated savings	-2,727	-2	-
Balance available in subsequent years	-2	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$38,777</b>	<b>\$41,744</b>	<b>\$41,760</b>
<b>0022 State Emergency Telephone Number Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$2,453	\$2,394
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	6	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$2,460</b>	<b>\$2,394</b>
<b>0028 Unified Program Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$785	\$800	\$812
Allocation for employee compensation	2	7	-
Adjustment per Section 3.60	9	3	-
Adjustment per Section 3.90	-24	-	-
<b>Totals Available</b>	<b>\$772</b>	<b>\$810</b>	<b>\$812</b>
Unexpended balance, estimated savings	-167	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$605</b>	<b>\$810</b>	<b>\$812</b>
<b>0029 Nuclear Planning Assessment Special Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,154	\$1,174	\$1,224
Allocation for employee compensation	4	15	-
Adjustment per Section 3.60	11	3	-
Adjustment per Section 3.90	-37	-	-
Revised expenditure authority per Provision 1	127	-	-
<b>Totals Available</b>	<b>\$1,259</b>	<b>\$1,192</b>	<b>\$1,224</b>
Unexpended balance, estimated savings	-158	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,101</b>	<b>\$1,192</b>	<b>\$1,224</b>

\* Dollars in thousands, except in Salary Range.

## 0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$19	\$19	\$8
<b>Totals Available</b>	<b>\$19</b>	<b>\$19</b>	<b>\$8</b>
Unexpended balance, estimated savings	-5	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$14</b>	<b>\$19</b>	<b>\$8</b>
<b>0241 Local Public Prosecutors and Public Defenders Training Fund</b>			
APPROPRIATIONS			
002 Budget Act appropriation	\$83	\$83	\$83
Adjustment per Section 3.90	-1	-	-
<b>Totals Available</b>	<b>\$82</b>	<b>\$83</b>	<b>\$83</b>
Unexpended balance, estimated savings	-55	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$27</b>	<b>\$83</b>	<b>\$83</b>
<b>0425 Victim - Witness Assistance Fund</b>			
APPROPRIATIONS			
002 Budget Act appropriation	\$1,331	\$1,352	\$1,366
Allocation for employee compensation	2	10	-
Adjustment per Section 3.60	12	4	-
Adjustment per Section 3.90	-28	-	-
<b>Totals Available</b>	<b>\$1,317</b>	<b>\$1,366</b>	<b>\$1,366</b>
Unexpended balance, estimated savings	-323	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$994</b>	<b>\$1,366</b>	<b>\$1,366</b>
<b>0437 State Assistance For Fire Equipment Account</b>			
APPROPRIATIONS			
Government Code Section 8589.16	\$13	\$104	\$100
<b>TOTALS, EXPENDITURES</b>	<b>\$13</b>	<b>\$104</b>	<b>\$100</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$72,549	\$71,565	\$70,754
Allocation for employee compensation	98	334	-
Adjustment per Section 3.60	329	102	-
Adjustment per Section 3.90	-885	-	-
Budget Adjustment	-21,164	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$50,927</b>	<b>\$72,001</b>	<b>\$70,754</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$2,557	\$4,322	\$4,324
<b>3034 Antiterrorism Fund</b>			
APPROPRIATIONS			
010 Budget Act appropriation	\$286	\$718	\$723
Allocation for employee compensation	-	8	-
Adjustment per Section 3.60	-	1	-
<b>Totals Available</b>	<b>\$286</b>	<b>\$727</b>	<b>\$723</b>
Unexpended balance, estimated savings	-179	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$107</b>	<b>\$727</b>	<b>\$723</b>
<b>3112 Equality in Prevention and Services for Domestic Abuse Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5	\$5	\$5
<b>Totals Available</b>	<b>\$5</b>	<b>\$5</b>	<b>\$5</b>
Unexpended balance, estimated savings	-5	-	-

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	\$-	\$5	\$5
<b>6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,598	\$2,650	\$2,660
Allocation for employee compensation	10	5	-
Adjustment per Section 3.60	35	4	-
Adjustment per Section 3.90	<u>-88</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$2,555</b>	<b>\$2,659</b>	<b>\$2,660</b>
Unexpended balance, estimated savings	<u>-1,419</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,136</b>	<b>\$2,659</b>	<b>\$2,660</b>
<b>8039 Disaster Resistant Communities Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$207</u>	<u>\$207</u>	<u>\$207</u>
<b>Totals Available</b>	<b>\$207</b>	<b>\$207</b>	<b>\$207</b>
Unexpended balance, estimated savings	<u>-207</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$207</b>	<b>\$207</b>
<b>9730 Technology Services Revolving Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$71,647	\$71,915
Allocation for employee compensation	-	72	-
Adjustment per Section 3.60	-	182	-
Adjustment per Section 4.05	<u>-</u>	<u>-19</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$71,882</b>	<b>\$71,915</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$96,258</b>	<b>\$199,581</b>	<b>\$198,335</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
102 Budget Act appropriation	\$21,471	\$21,471	\$21,471
112 Budget Act appropriation	49,114	39,114	39,114
115 Budget Act appropriation	<u>1,012</u>	<u>1,012</u>	<u>1,012</u>
<b>Totals Available</b>	<b>\$71,597</b>	<b>\$61,597</b>	<b>\$61,597</b>
Unexpended balance, estimated savings	<u>-5,508</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$66,089</b>	<b>\$61,597</b>	<b>\$61,597</b>
<b>0022 State Emergency Telephone Number Account</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$-</u>	<u>\$110,619</u>	<u>\$108,619</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$110,619</b>	<b>\$108,619</b>
<b>0029 Nuclear Planning Assessment Special Account</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,523	\$3,608	\$3,684
Revised expenditure authority per Provision 1	<u>99</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3,622</b>	<b>\$3,608</b>	<b>\$3,684</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
102 Budget Act appropriation	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$500</b>	<b>\$500</b>	<b>\$500</b>
<b>0241 Local Public Prosecutors and Public Defenders Training Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
102 Budget Act appropriation	<u>\$799</u>	<u>\$799</u>	<u>\$799</u>
<b>Totals Available</b>	<b>\$799</b>	<b>\$799</b>	<b>\$799</b>
Unexpended balance, estimated savings	<u>-7</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$792</b>	<b>\$799</b>	<b>\$799</b>
<b>0425 Victim - Witness Assistance Fund</b>			
APPROPRIATIONS			
102 Budget Act appropriation	<u>\$17,319</u>	<u>\$17,319</u>	<u>\$17,319</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$17,319</b>	<b>\$17,319</b>	<b>\$17,319</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$857,252	\$859,183	\$929,666
Budget Adjustment	-645,325	-	-
102 Budget Act appropriation	82,242	78,942	-
Budget Adjustment	<u>-14,280</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$279,889</b>	<b>\$938,125</b>	<b>\$929,666</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$1,109	\$-	\$-
<b>3112 Equality in Prevention and Services for Domestic Abuse Fund</b>			
APPROPRIATIONS			
102 Budget Act appropriation	<u>\$98</u>	<u>\$98</u>	<u>\$98</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$98</b>	<b>\$98</b>	<b>\$98</b>
<b>6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$100,000</u>	<u>\$100,000</u>	<u>\$100,000</u>
<b>Totals Available</b>	<b>\$100,000</b>	<b>\$100,000</b>	<b>\$100,000</b>
Unexpended balance, estimated savings	<u>-286</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$99,714</b>	<b>\$100,000</b>	<b>\$100,000</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$469,132</b>	<b>\$1,232,665</b>	<b>\$1,222,282</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$565,390</b>	<b>\$1,432,246</b>	<b>\$1,420,617</b>

**FUND CONDITION STATEMENTS**

	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0022 State Emergency Telephone Number Account <sup>s</sup></b>			
BEGINNING BALANCE	\$54,568	\$61,204	\$36,594
Prior year adjustments	<u>1,604</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$56,172	\$61,204	\$36,594
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	2	2	2
141100 Emergency Telephone Users Surcharge	79,150	94,251	107,740
150500 Interest Income From Interfund Loans	155	155	155
161000 Escheat of Unclaimed Checks & Warrants	4	4	4
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 0502-012-0022, BA of 2010 as added by Ch 13/2011	28,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$107,311</u>	<u>\$94,412</u>	<u>\$107,901</u>
Total Resources	\$163,483	\$155,616	\$144,495
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

	2012-13*	2013-14*	2014-15*
Expenditures:			
0690 Office of Emergency Services			
State Operations	-	2,460	2,394
Local Assistance	-	110,619	108,619
0840 State Controller (State Operations)	27	-	-
0860 State Board of Equalization (State Operations)	1,268	1,586	1,576
3540 Department of Forestry and Fire Protection (State Operations)	6,878	4,357	4,322
7502 Department of Technology			
State Operations	1,692	-	-
Local Assistance	92,351	-	-
8880 Financial Information System for California (State Operations)	<u>63</u>	<u>-</u>	<u>7</u>
Total Expenditures and Expenditure Adjustments	<u>\$102,279</u>	<u>\$119,022</u>	<u>\$116,918</u>
FUND BALANCE	\$61,204	\$36,594	\$27,577
Reserve for economic uncertainties	61,204	36,594	27,577

**0029 Nuclear Planning Assessment Special Account <sup>s</sup>**

BEGINNING BALANCE	-\$687	\$145	\$145
Prior year adjustments	<u>687</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-	\$145	\$145
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	<u>5,453</u>	<u>5,820</u>	<u>5,909</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,453</u>	<u>\$5,820</u>	<u>\$5,909</u>
Total Resources	\$5,453	\$5,965	\$6,054
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services			
State Operations	1,101	1,192	1,224
Local Assistance	3,622	3,608	3,684
4265 Department of Public Health (State Operations)	574	994	996
8880 Financial Information System for California (State Operations)	<u>11</u>	<u>26</u>	<u>5</u>
Total Expenditures and Expenditure Adjustments	<u>\$5,308</u>	<u>\$5,820</u>	<u>\$5,909</u>
FUND BALANCE	\$145	\$145	\$145
Reserve for economic uncertainties	145	145	145

**0241 Local Public Prosecutors and Public Defenders Training Fund <sup>s</sup>**

BEGINNING BALANCE	\$1,040	\$1,077	\$1,049
Prior year adjustments	<u>3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,043	\$1,077	\$1,049
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	3	4	4
164300 Penalty Assessments	<u>850</u>	<u>850</u>	<u>850</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$853</u>	<u>\$854</u>	<u>\$854</u>
Total Resources	\$1,896	\$1,931	\$1,903
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services			
State Operations	27	83	83
Local Assistance	<u>792</u>	<u>799</u>	<u>799</u>
Total Expenditures and Expenditure Adjustments	<u>\$819</u>	<u>\$882</u>	<u>\$882</u>

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
FUND BALANCE	\$1,077	\$1,049	\$1,021
Reserve for economic uncertainties	1,077	1,049	1,021
<b>0372 Disaster Relief Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$3	\$1	\$1
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
FUND BALANCE	\$1	\$1	\$1
Reserve for economic uncertainties	1	1	1
<b>0425 Victim - Witness Assistance Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$6,284	\$2,860	-\$83
Prior year adjustments	<u>-349</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$5,935	\$2,860	-\$83
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
130800 Penalties on Felony Convictions	2	2	2
150300 Income From Surplus Money Investments	18	18	18
164300 Penalty Assessments	11,114	10,707	10,374
Transfers and Other Adjustments:			
FO0001 From General Fund Loan repayment per Item 0690-011-0425, Budget Act of 2011	-	900	10,100
FO0178 From Driver Training Penalty Assessment Fund per Code Section 24.10, Budget Acts	4,121	4,121	4,121
Total Revenues, Transfers, and Other Adjustments	<u>\$15,255</u>	<u>\$15,748</u>	<u>\$24,615</u>
Total Resources	\$21,190	\$18,608	\$24,532
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services			
State Operations	994	1,366	1,366
Local Assistance	17,319	17,319	17,319
0840 State Controller (State Operations)	11	-	-
8880 Financial Information System for California (State Operations)	<u>6</u>	<u>6</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$18,330</u>	<u>\$18,691</u>	<u>\$18,686</u>
FUND BALANCE	\$2,860	-\$83	\$5,846
Reserve for economic uncertainties	2,860	-83	5,846
<b>0437 State Assistance For Fire Equipment Account <sup>§</sup></b>			
BEGINNING BALANCE	\$829	\$818	\$726
Prior year adjustments	<u>-6</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$823	\$818	\$726
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
160400 Sale of Fixed Assets	<u>9</u>	<u>13</u>	<u>13</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$9</u>	<u>\$13</u>	<u>\$13</u>
Total Resources	\$832	\$831	\$739
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	13	104	100
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>1</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$14</u>	<u>\$105</u>	<u>\$100</u>
FUND BALANCE	\$818	\$726	\$639

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

	2012-13*	2013-14*	2014-15*
Reserve for economic uncertainties	818	726	639
<b>0903 State Penalty Fund <sup>N</sup></b>			
BEGINNING BALANCE	\$61	-	-
Prior year adjustments	<u>-13,035</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	-\$12,974	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
217500 Fines and Penalties--External: Local Government	130,200	\$125,822	\$122,045
Less Revenues Collected For Other Funds:			
Restitution Fund (Indemnity Fund)	-37,156	-39,796	-38,532
Peace Officers Training Fund	-27,735	-29,729	-28,804
Fish and Game Preservation Fund	-572	-655	-642
Corrections Training Fund	-9,110	-9,765	-9,461
Driver Training Penalty Assessment Fund	-29,712	-31,848	-30,857
Local Public Prosecutors/Defenders Training Fund	-764	-850	-850
Victim/Witness Assistance Fund	-9,988	-10,707	-10,374
Traumatic Brain Injury Fund	-763	-818	-792
Transfers and Other Adjustments:			
T00840 California Motorcyclist Fund per Item 2720-012-0903, Budget Acts	<u>-250</u>	<u>-250</u>	<u>-250</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$14,150</u>	<u>\$1,404</u>	<u>\$1,483</u>
Total Resources	\$1,176	\$1,404	\$1,483
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	<u>1,176</u>	<u>1,404</u>	<u>1,483</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,176</u>	<u>\$1,404</u>	<u>\$1,483</u>
FUND BALANCE	-	-	-
<b>3034 Antiterrorism Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$656	\$1,436	\$1,464
Prior year adjustments	<u>60</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$716	\$1,436	\$1,464
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
143000 Personalized License Plates	<u>1,318</u>	<u>1,300</u>	<u>1,300</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,318</u>	<u>\$1,300</u>	<u>\$1,300</u>
Total Resources	\$2,034	\$2,736	\$2,764
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	107	727	723
8120 Commission on Peace Officer Standards and Training (State Operations)	-	-	500
8570 Department of Food and Agriculture (State Operations)	487	545	548
8880 Financial Information System for California (State Operations)	<u>4</u>	<u>-</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$598</u>	<u>\$1,272</u>	<u>\$1,772</u>
FUND BALANCE	\$1,436	\$1,464	\$992
Reserve for economic uncertainties	1,436	1,464	992
<b>3075 Unlawful Sales Reduction Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$94	\$92	\$93
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$92	\$92	\$93

\* Dollars in thousands, except in Salary Range.

**0690 Office of Emergency Services - Continued**

	2012-13*	2013-14*	2014-15*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164300 Penalty Assessments	-	1	1
Total Revenues, Transfers, and Other Adjustments	-	\$1	\$1
Total Resources	\$92	\$93	\$94
FUND BALANCE	\$92	\$93	\$94
Reserve for economic uncertainties	92	93	94
<b>3112 Equality in Prevention and Services for Domestic Abuse Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$207	\$197	\$182
Prior year adjustments	-2	-	-
Adjusted Beginning Balance	\$205	\$197	\$182
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	90	88	88
Total Revenues, Transfers, and Other Adjustments	\$90	\$88	\$88
Total Resources	\$295	\$285	\$270
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services			
State Operations	-	5	5
Local Assistance	98	98	98
Total Expenditures and Expenditure Adjustments	\$98	\$103	\$103
FUND BALANCE	\$197	\$182	\$167
Reserve for economic uncertainties	197	182	167

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	473.1	912.1	912.1	\$30,953	\$66,792	\$67,550
Salary Adjustments	-	-	-	-	513	513
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Temporary Help - Public Safety Communications:						
Supervising Telecommunications Engineer	-	-	1.0	8,492-10,634	-	-
Associate Telecommunications Engineer	-	-	3.0	6,897-8,630	-	-
Telecommunications Systems Manager (SP)	-	-	2.0	4,833-6,353	-	-
Associate Info Systems Analyst (Spec)	-	-	1.0	4,619-6,074	-	-
Telecommunications Technician	-	-	3.0	4,507-5,457	-	-
Associate Governmental Program Analyst	-	-	4.0	4,400-5,508	-	-
Staff Services Analyst	-	-	1.3	2,817-4,579	-	-
Information Systems Technician	-	-	2.0	2,480-2,956	-	-
Executive Office:						
Attorney	-	-	1.0	4,674-8,141	-	-
Planning & Preparedness:						
Sr Emergency Services Coordinator	-	-	1.0	4,961-6,167	-	-
California Specialized Training Institute						
Sr Emergency Management Coordinator	-	-	2.0	6,024-7,494	-	-
Emergency Management Coordinator/Instructor II	-	-	14.0	5,479-6,814	-	-
Associate Governmental Program Analyst	-	-	3.0	4,400-5,508	-	-
Technology Operations:						
Systems Software Spec II (Tech)	-	-	1.0	5,561-7,310	-	-

\* Dollars in thousands, except in Salary Range.



**0690 Office of Emergency Services - Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Associate Info Systems Analyst (Spec)	-	-	1.0	4,619-6,074	-	-
Procurement & Logistical Services:						
Associate Governmental Program Analyst	-	-	2.0	4,400-5,508	-	-
Grants Management:						
Associate Governmental Program Analyst	-	-	-3.5	4,400-5,508	-	-222
<b>Total Workload and Administrative Adjustments</b>	<b>-</b>	<b>-</b>	<b>38.8</b>	<b>\$-</b>	<b>\$-</b>	<b>-\$222</b>
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>38.8</b>	<b>\$-</b>	<b>\$513</b>	<b>\$291</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>473.1</b>	<b>912.1</b>	<b>950.9</b>	<b>\$30,953</b>	<b>\$67,305</b>	<b>\$67,841</b>

**INFRASTRUCTURE OVERVIEW**

The OES' infrastructure includes a headquarters facility and Inland Region Coordination Center located in Sacramento County, which provides the statewide central point of control during an emergency response. In addition, OES operates a leased administrative office building near the headquarters facility; a Coastal Region coordination center in Walnut Creek, Contra Costa County; a Southern Region coordination center located at Los Alamitos Air Field in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

The Public Safety Communications Office (PSCO) is comprised of a main leased complex in Sacramento and 45 field locations throughout the state. These locations include 9 area offices and 36 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSCO owns ten communications vaults/towers and maintains and operates a total of more than 3,500 radio frequency points of presence.

**MAJOR PROJECT CHANGES**

- The Governor's Budget proposes \$2.7 million General Fund to relocate critical public safety communications equipment and operations currently housed at Red Mountain to three new communications sites.

**SUMMARY OF PROJECTS**

State Building Program Expenditures		2012-13*	2013-14*	2014-15*
<b>90 CAPITAL OUTLAY</b>				
Major Projects				
<b>90.14 DEL NORTE COUNTY</b>		\$-	\$-	\$2,683
90.14.001 Red Mountain: Relocate Public Safety Communications Facilities		-	-	2,683 <sup>Pg</sup>
<b>Totals, Major Projects</b>		<b>\$-</b>	<b>\$-</b>	<b>\$2,683</b>
<b>TOTALS, EXPENDITURES, ALL PROJECTS</b>		<b>\$-</b>	<b>\$-</b>	<b>\$2,683</b>
<b>FUNDING</b>		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund		\$-	\$-	\$2,683
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>		<b>\$-</b>	<b>\$-</b>	<b>\$2,683</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

3 CAPITAL OUTLAY		2012-13*	2013-14*	2014-15*
0001 General Fund				
APPROPRIATIONS				
301 Budget Act appropriation		\$-	\$-	\$2,683
<b>TOTALS, EXPENDITURES</b>		<b>\$-</b>	<b>\$-</b>	<b>\$2,683</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)</b>		<b>\$-</b>	<b>\$-</b>	<b>\$2,683</b>

\* Dollars in thousands, except in Salary Range.

## 0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Coastal Commission.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 General Activities	6.0	6.0	6.0	\$1,001	\$1,032	\$1,033
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>\$1,001</b>	<b>\$1,032</b>	<b>\$1,033</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$1,001	\$1,032	\$1,033
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$1,001</b>	<b>\$1,032</b>	<b>\$1,033</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$5	\$-	-	\$6	\$-	-
• Retirement Rate Adjustment	4	-	-	4	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$9</b>	<b>\$-</b>	<b>-</b>	<b>\$10</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$9</b>	<b>\$-</b>	<b>-</b>	<b>\$10</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$9</b>	<b>\$-</b>	<b>-</b>	<b>\$10</b>	<b>\$-</b>	<b>-</b>

### EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	6.0	6.0	6.0	\$565	\$582	\$582
Total Adjustments	-	-	-	-	4	4
<b>Net Totals, Salaries and Wages</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>\$565</b>	<b>\$586</b>	<b>\$586</b>
Staff Benefits	-	-	-	158	165	165
<b>Totals, Personal Services</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>\$723</b>	<b>\$751</b>	<b>\$751</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				\$278	\$281	\$282

\* Dollars in thousands, except in Salary Range.

**0750 Office of the Lieutenant Governor - Continued**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$1,001</b>	<b>\$1,032</b>	<b>\$1,033</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,011	\$1,023	\$1,033
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	12	4	-
Adjustment per Section 3.90	-22	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,001</b>	<b>\$1,032</b>	<b>\$1,033</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$1,001</b>	<b>\$1,032</b>	<b>\$1,033</b>

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	6.0	6.0	6.0	\$565	\$582	\$582
Salary Adjustments	-	-	-	-	4	4
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$4</b>	<b>\$4</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>\$565</b>	<b>\$586</b>	<b>\$586</b>

**0820 Department of Justice**

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assist district attorneys in the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
11.01 Directorate and Administration	833.1	951.3	951.2	\$81,911	\$95,478	\$94,981
11.02 Distributed Directorate and Administration	-	-	-	-81,911	-95,478	-94,981
20 Legal Services	1,504.9	1,620.9	1,620.9	363,424	409,776	399,290
50 Law Enforcement	919.9	1,066.8	1,063.7	175,770	207,842	203,330
60 California Justice Information Services	913.2	1,084.4	1,084.4	138,987	167,975	168,337
96 National Mortgage Settlement Offset Program	-	-	-	-17,750	-16,500	-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>4,171.1</b>	<b>4,723.4</b>	<b>4,720.2</b>	<b>\$660,431</b>	<b>\$769,093</b>	<b>\$770,957</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$153,519	\$177,771	\$194,380
0012 Attorney General Antitrust Account				2,302	2,452	2,402

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

<b>FUNDING</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0017 Fingerprint Fees Account	62,431	70,879	70,238
0032 Firearm Safety Account	287	343	339
0044 Motor Vehicle Account, State Transportation Fund	22,575	25,846	25,594
0142 Department of Justice Sexual Habitual Offender Fund	1,845	2,399	2,379
0158 Travel Seller Fund	774	1,433	1,418
0214 Restitution Fund	5,196	5,225	5,221
0256 Sexual Predator Public Information Account	88	184	183
0367 Indian Gaming Special Distribution Fund	14,630	19,658	19,332
0378 False Claims Act Fund	9,739	12,309	12,272
0460 Dealers' Record of Sale Special Account	22,770	31,022	30,764
0566 Department of Justice Child Abuse Fund	233	390	386
0567 Gambling Control Fund	7,397	9,348	9,189
0569 Gambling Control Fines and Penalties Account	39	48	47
0641 Domestic Violence Restraining Order Reimbursement Fund	1,018	1,018	1,018
0890 Federal Trust Fund	25,884	35,197	34,315
0942 Special Deposit Fund	2,026	2,769	2,769
0995 Reimbursements	22,130	38,237	38,237
1008 Firearms Safety and Enforcement Special Fund	3,342	3,516	3,492
3016 Missing Persons DNA Data Base Fund	2,976	3,473	3,440
3053 Public Rights Law Enforcement Special Fund	4,092	5,792	5,958
3086 DNA Identification Fund	75,226	75,399	77,273
3087 Unfair Competition Law Fund	10,224	11,014	10,690
3088 Registry of Charitable Trusts Fund	2,544	3,155	3,134
3131 California Bingo Fund	-	48	48
3240 Secondhand Dealer and Pawnbroker Fund	-	1,133	500
8071 National Mortgage Special Deposit Fund	29,379	17,500	5,000
9731 Legal Services Revolving Fund	176,380	209,996	209,648
9740 Central Service Cost Recovery Fund	1,385	1,539	1,291
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>	<b>\$660,431</b>	<b>\$769,093</b>	<b>\$770,957</b>

Less amount funded in the Political Reform Act (2012-13 \$190 and 2013-14 \$195); 0942 Special Deposit Fund includes State Asset Forfeiture Account (2012-13 \$280, 2013-14 \$568, and 2014-15 \$568) and Federal Asset Forfeiture Account (2012-13 \$1,546, 2013-14 \$1,551, and 2014-15 \$1,551), and Electronic Recording Authorization Account (2012-13 \$200, 2013-14 \$650, and 2014-15 \$650).

**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

California Constitution, Article V, Section 13.

**PROGRAM AUTHORITY**

11-Directorate and Administration:

Government Code Section 12519.

20- Legal Services:

California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11043, 11157, 12510 et seq., 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104557; Penal Code Sections 320.5, 1256, 1475, and 1548.3; and Revenue and Taxation Code Section 30165.1.

50-Law Enforcement:

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 319-337z, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

60-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• National Mortgage Settlement Offset	\$-	\$-	-	\$16,500	-\$16,500	-
• Employee Compensation Adjustments	2,547	5,156	-	2,612	5,340	-
• Retirement Rate Adjustment	768	1,598	-	768	1,598	-
• One Time Cost Reductions	-	-	-	-	-1,994	-
• Full Year Cost of New/Expanded Programs	-	-	-	-	71	-
• Carryover/Reappropriation	-	8,000	-	-	8,000	-
• Miscellaneous Adjustments	195	-8,000	7.1	248	-4,508	3.9
• Lease Revenue Debt Service Adjustment	-	-	-	-9	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$3,510</b>	<b>\$6,754</b>	<b>7.1</b>	<b>\$20,119</b>	<b>-\$7,993</b>	<b>3.9</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$3,510</b>	<b>\$6,754</b>	<b>7.1</b>	<b>\$20,119</b>	<b>-\$7,993</b>	<b>3.9</b>
<b>Totals, Budget Adjustments</b>	<b>\$3,510</b>	<b>\$6,754</b>	<b>7.1</b>	<b>\$20,119</b>	<b>-\$7,993</b>	<b>3.9</b>

**PROGRAM DESCRIPTIONS****11 - DIRECTORATE AND ADMINISTRATION**

The Directorate and the Administration Division of the Department of Justice consists of the Division of Administrative Support and the Attorney General's Executive Office. The executive office maintains overall direction and administration over the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Review and Audits, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Administrative Support provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

**20 - LEGAL SERVICES**

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of nine sections: Business and Tax; Correctional Law; Employment and Administrative Mandates; Opinions; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the state in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations and prosecutes those engaged in illegal activities where local resources are inadequate to perform these functions, and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer/privacy crime, and financial crimes against the elderly.

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

services in the following areas: Civil Rights Enforcement; Charitable Trusts (including Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Underground Economy, Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law; Land Law; Consumer Law (including Sellers of Travel); Antitrust Law; and Tobacco Litigation Enforcement.

### 50 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Director.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of weapons. Agents also conduct firearms investigations to prevent illegal gun trafficking at in-state and out-of-state gun shows in accordance with state and federal law.

The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements by investigating the qualifications of individuals and business entities who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act. Further, it conducts criminal investigations in, on or about Tribal casinos and cardrooms. Its role also includes regulating Tribal gaming by ensuring that each Tribe is in compliance with all aspects of the gaming compact.

The Bureau of Investigation leads the investigations of crimes that present serious multi-jurisdictional threats to California. The Bureau prioritizes cases involving human trafficking, environmental crimes, public corruption, mortgage and other major frauds, underground economy cases, and digital or high-technology crimes. In addition, the Bureau provides leadership, coordination, and support to law enforcement through multi-agency drug and gang task forces, and special operations units.

The Office of the Director enhances public safety through training, technical, intelligence and administrative support to the investigative, regulatory and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

### 60 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services. 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system. 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes. 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System, and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

### 96 - NATIONAL MORTGAGE SETTLEMENT OFFSET PROGRAM

The National Mortgage Settlement Offset Program utilizes funds received from the National Mortgage Settlement for administrative costs and to support programs that benefit California homeowners affected by the mortgage and foreclosure crisis.

### DETAILED EXPENDITURES BY PROGRAM

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>	
<b>PROGRAM REQUIREMENTS</b>				
<b>11</b>	<b>DIRECTORATE AND ADMINISTRATION</b>			
<b>ELEMENT REQUIREMENTS</b>				
11.01	Directorate and Administration	\$81,911	\$95,478	\$94,981
11.02	Distributed Directorate and Administration	-81,911	-95,478	-94,981
<b>PROGRAM REQUIREMENTS</b>				
<b>20</b>	<b>LEGAL SERVICES</b>			
<b>State Operations:</b>				
0001	General Fund	\$116,260	\$131,375	\$131,579
0012	Attorney General Antitrust Account	2,266	2,410	2,360
0158	Travel Seller Fund	764	1,420	1,405

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0367 Indian Gaming Special Distribution Fund	1,471	1,905	1,906
0378 False Claims Act Fund	8,762	11,111	11,074
0567 Gambling Control Fund	329	374	374
0890 Federal Trust Fund	20,866	24,778	24,975
0942 Electronic Recording Authorization Account, Special Deposit Fund	-	71	71
0995 Reimbursements	267	932	932
3053 Public Rights Law Enforcement Special Fund	4,092	5,792	5,958
3087 Unfair Competition Law Fund	10,224	11,014	10,690
3088 Registry of Charitable Trusts Fund	2,322	2,868	2,847
8071 National Mortgage Special Deposit Fund	16,529	15,000	5,000
9731 Legal Services Revolving Fund	167,487	199,187	198,828
9740 Central Service Cost Recovery Fund	<u>1,385</u>	<u>1,539</u>	<u>1,291</u>
<b>Totals, State Operations</b>	<b>\$353,024</b>	<b>\$409,776</b>	<b>\$399,290</b>
<b>Local Assistance:</b>			
8071 National Mortgage Special Deposit Fund	<u>\$10,400</u>	<u>\$-</u>	<u>\$-</u>
<b>Totals, Local Assistance</b>	<b>\$10,400</b>	<b>\$-</b>	<b>\$-</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>20.10 Civil Law</b>	<b>\$135,026</b>	<b>\$168,011</b>	<b>\$167,726</b>
<b>State Operations:</b>			
0001 General Fund	11,643	15,249	15,450
0942 Electronic Recording Authorization Account, Special Deposit Fund	-	71	71
9731 Legal Services Revolving Fund	122,308	151,461	151,174
9740 Central Service Cost Recovery Fund	1,075	1,230	1,031
<b>20.20 Criminal Law</b>	<b>\$113,307</b>	<b>\$130,894</b>	<b>\$131,207</b>
<b>State Operations:</b>			
0001 General Fund	80,384	90,114	90,061
0378 False Claims Act Fund	2,786	3,613	3,795
0890 Federal Trust Fund	20,866	24,778	24,975
0995 Reimbursements	267	932	932
9731 Legal Services Revolving Fund	9,004	11,457	11,444
<b>20.30 Public Rights</b>	<b>\$115,091</b>	<b>\$110,871</b>	<b>\$100,357</b>
<b>State Operations:</b>			
0001 General Fund	24,233	26,012	26,068
0012 Attorney General Antitrust Account	2,266	2,410	2,360
0158 Travel Seller Fund	764	1,420	1,405
0367 Indian Gaming Special Distribution Fund	1,471	1,905	1,906
0378 False Claims Act Fund	5,976	7,498	7,279
0567 Gambling Control Fund	329	374	374
3053 Public Rights Law Enforcement Special Fund	4,092	5,792	5,958
3087 Unfair Competition Law Fund	10,224	11,014	10,690
3088 Registry of Charitable Trusts Fund	2,322	2,868	2,847
8071 National Mortgage Special Deposit Fund	16,529	15,000	5,000
9731 Legal Services Revolving Fund	36,175	36,269	36,210
9740 Central Service Cost Recovery Fund	310	309	260
<b>Local Assistance:</b>			
8071 National Mortgage Special Deposit Fund	10,400	-	-
<b>PROGRAM REQUIREMENTS</b>			

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>50 LAW ENFORCEMENT</b>			
<b>State Operations:</b>			
0001 General Fund	\$9,109	\$9,328	\$9,193
0012 Attorney General Antitrust Account	25	28	28
0032 Firearm Safety Account	287	343	339
0142 Department of Justice Sexual Habitual Offender Fund	647	768	769
0214 Restitution Fund	341	370	366
0367 Indian Gaming Special Distribution Fund	12,919	17,438	17,111
0378 False Claims Act Fund	610	716	716
0460 Dealers' Record of Sale Special Account	20,725	29,199	28,956
0567 Gambling Control Fund	7,068	8,974	8,815
0569 Gambling Control Fines and Penalties Account	18	22	21
0890 Federal Trust Fund	4,679	9,132	6,165
0942 Federal Asset Forfeiture Account, Special Deposit Fund	1,546	1,551	1,551
0942 State Asset Forfeiture Account, Special Deposit Fund	274	561	561
0995 Reimbursements	20,932	31,279	31,279
1008 Firearms Safety and Enforcement Special Fund	3,342	3,516	3,492
3016 Missing Persons DNA Data Base Fund	2,976	3,473	3,440
3086 DNA Identification Fund	74,046	72,904	74,777
3131 California Bingo Fund	-	48	48
8071 National Mortgage Special Deposit Fund	2,450	2,500	-
9731 Legal Services Revolving Fund	8,893	10,809	10,820
<b>Totals, State Operations</b>	<b>\$170,887</b>	<b>\$202,959</b>	<b>\$198,447</b>
<b>Local Assistance:</b>			
0214 Restitution Fund	\$4,855	\$4,855	\$4,855
0460 Dealers' Record of Sale Special Account	28	28	28
<b>Totals, Local Assistance</b>	<b>\$4,883</b>	<b>\$4,883</b>	<b>\$4,883</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>50.10 Investigation</b>	<b>\$35,379</b>	<b>\$43,790</b>	<b>\$37,010</b>
<b>State Operations:</b>			
0001 General Fund	5,028	8,411	4,209
0012 Attorney General Antitrust Account	25	28	28
0378 False Claims Act Fund	610	716	716
0890 Federal Trust Fund	120	1,345	1,257
0942 Federal Asset Forfeiture Account, Special Deposit Fund	1,546	1,551	1,551
0942 State Asset Forfeiture Account, Special Deposit Fund	274	561	561
0995 Reimbursements	16,433	17,869	17,868
8071 National Mortgage Special Deposit Fund	2,450	2,500	-
9731 Legal Services Revolving Fund	8,893	10,809	10,820
<b>50.15 Office of the Director</b>	<b>\$10,905</b>	<b>\$20,052</b>	<b>\$19,724</b>
<b>State Operations:</b>			
0001 General Fund	-	917	917
0214 Restitution Fund	341	370	366
0367 Indian Gaming Special Distribution Fund	386	382	382
0460 Dealers' Record of Sale Special Account	-	5	5
0567 Gambling Control Fund	215	213	213
0890 Federal Trust Fund	531	2,016	1,690

\* Dollars in thousands, except in Salary Range.



## 0820 Department of Justice - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0995 Reimbursements	564	9,119	9,119
3086 DNA Identification Fund	4,013	2,175	2,177
<b>Local Assistance:</b>			
0214 Restitution Fund	4,855	4,855	4,855
<b>50.30 Forensic Services</b>	<b>\$84,966</b>	<b>\$84,588</b>	<b>\$87,942</b>
<b>State Operations:</b>			
0001 General Fund	4,081	-	4,067
0142 Department of Justice Sexual Habitual Offender Fund	647	768	769
0890 Federal Trust Fund	4,028	5,771	3,218
0995 Reimbursements	3,201	3,847	3,848
3016 Missing Persons DNA Data Base Fund	2,976	3,473	3,440
3086 DNA Identification Fund	70,033	70,729	72,600
<b>50.75 Gambling</b>	<b>\$19,513</b>	<b>\$25,987</b>	<b>\$25,500</b>
<b>State Operations:</b>			
0367 Indian Gaming Special Distribution Fund	12,533	17,056	16,729
0567 Gambling Control Fund	6,853	8,761	8,602
0569 Gambling Control Fines and Penalties Account	18	22	21
0995 Reimbursements	109	100	100
3131 California Bingo Fund	-	48	48
<b>50.80 Firearms</b>	<b>\$25,007</b>	<b>\$33,425</b>	<b>\$33,154</b>
<b>State Operations:</b>			
0032 Firearm Safety Account	287	343	339
0460 Dealers' Record of Sale Special Account	20,725	29,194	28,951
0995 Reimbursements	625	344	344
1008 Firearms Safety and Enforcement Special Fund	3,342	3,516	3,492
<b>Local Assistance:</b>			
0460 Dealers' Record of Sale Special Account	28	28	28
<b>PROGRAM REQUIREMENTS</b>			
<b>60 CALIFORNIA JUSTICE INFORMATION SERVICES</b>			
<b>State Operations:</b>			
0001 General Fund	\$45,900	\$53,568	\$53,608
0012 Attorney General Antitrust Account	11	14	14
0017 Fingerprint Fees Account	62,431	70,879	70,238
0044 Motor Vehicle Account, State Transportation Fund	22,575	25,846	25,594
0142 Department of Justice Sexual Habitual Offender Fund	1,198	1,631	1,610
0158 Travel Seller Fund	10	13	13
0256 Sexual Predator Public Information Account	88	184	183
0367 Indian Gaming Special Distribution Fund	240	315	315
0378 False Claims Act Fund	367	482	482
0460 Dealers' Record of Sale Special Account	2,017	1,795	1,780
0566 Department of Justice Child Abuse Fund	233	390	386
0569 Gambling Control Fines and Penalties Account	21	26	26
0890 Federal Trust Fund	339	1,287	3,175
0942 State Asset Forfeiture Account, Special Deposit Fund	6	7	7
0942 Electronic Recording Authorization Account, Special Deposit Fund	200	579	579
0995 Reimbursements	931	6,026	6,026
3086 DNA Identification Fund	1,180	2,495	2,496

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
3088 Registry of Charitable Trusts Fund	222	287	287
3240 Secondhand Dealer and Pawnbroker Fund	-	1,133	500
<b>Totals, State Operations</b>	<b>\$137,969</b>	<b>\$166,957</b>	<b>\$167,319</b>
<b>Local Assistance:</b>			
0641 Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
<b>Totals, Local Assistance</b>	<b>\$1,018</b>	<b>\$1,018</b>	<b>\$1,018</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>60.10 O. J. Hawkins Data Center</b>	<b>\$49,619</b>	<b>\$52,524</b>	<b>\$52,221</b>
<b>State Operations:</b>			
0001 General Fund	14,104	17,706	17,714
0012 Attorney General Antitrust Account	11	14	14
0017 Fingerprint Fees Account	12,581	8,479	8,483
0044 Motor Vehicle Account, State Transportation Fund	20,215	22,373	22,120
0158 Travel Seller Fund	10	13	13
0367 Indian Gaming Special Distribution Fund	240	315	315
0378 False Claims Act Fund	367	482	482
0460 Dealers' Record of Sale Special Account	1,058	1,217	1,201
0569 Gambling Control Fines and Penalties Account	21	26	26
0942 State Asset Forfeiture Account, Special Deposit Fund	6	7	7
0995 Reimbursements	544	556	510
3086 DNA Identification Fund	240	1,049	1,049
3088 Registry of Charitable Trusts Fund	222	287	287
<b>60.30 Criminal Information and Analysis</b>	<b>\$53,420</b>	<b>\$64,284</b>	<b>\$63,646</b>
<b>State Operations:</b>			
0001 General Fund	15,196	18,565	18,583
0017 Fingerprint Fees Account	37,238	44,143	43,489
0142 Department of Justice Sexual Habitual Offender Fund	281	423	424
0460 Dealers' Record of Sale Special Account	187	192	193
0566 Department of Justice Child Abuse Fund	226	382	378
0942 Electronic Recording Authorization Account, Special Deposit Fund	200	579	579
0995 Reimbursements	92	-	-
<b>60.40 Criminal Identification and Investigation Services</b>	<b>\$25,553</b>	<b>\$30,768</b>	<b>\$30,765</b>
<b>State Operations:</b>			
0001 General Fund	13,737	13,954	13,966
0017 Fingerprint Fees Account	6,978	10,981	10,987
0044 Motor Vehicle Account, State Transportation Fund	1,150	1,643	1,644
0142 Department of Justice Sexual Habitual Offender Fund	901	1,190	1,168
0256 Sexual Predator Public Information Account	70	164	163
0460 Dealers' Record of Sale Special Account	770	384	384
3086 DNA Identification Fund	929	1,434	1,435
<b>Local Assistance:</b>			
0641 Domestic Violence Restraining Order Reimbursement Fund	1,018	1,018	1,018
<b>60.60 Criminal Justice Operational Support Program</b>	<b>\$10,395</b>	<b>\$20,399</b>	<b>\$21,705</b>
<b>State Operations:</b>			
0001 General Fund	2,863	3,343	3,345
0017 Fingerprint Fees Account	5,634	7,276	7,279

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0044 Motor Vehicle Account, State Transportation Fund	1,210	1,830	1,830
0142 Department of Justice Sexual Habitual Offender Fund	16	18	18
0256 Sexual Predator Public Information Account	18	20	20
0460 Dealers' Record of Sale Special Account	2	2	2
0566 Department of Justice Child Abuse Fund	7	8	8
0890 Federal Trust Fund	339	1,287	3,175
0995 Reimbursements	295	5,470	5,516
3086 DNA Identification Fund	11	12	12
3240 Secondhand Dealer and Pawnbroker Fund	-	1,133	500
<b>96 National Mortgage Settlement Offset Program</b>			
<b>State Operations:</b>			
0001 General Fund	<u>-\$17,750</u>	<u>-\$16,500</u>	<u>\$-</u>
<b>Totals, State Operations</b>	<b>-\$17,750</b>	<b>-\$16,500</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	644,130	763,192	765,056
Local Assistance	<u>16,301</u>	<u>5,901</u>	<u>5,901</u>
<b>Totals, Expenditures</b>	<b>\$660,431</b>	<b>\$769,093</b>	<b>\$770,957</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	4,171.1	4,723.4	4,720.2	\$308,984	\$355,823	\$358,672
Total Adjustments	-	-	-	-	5,832	5,832
<b>Net Totals, Salaries and Wages</b>	<b>4,171.1</b>	<b>4,723.4</b>	<b>4,720.2</b>	<b>\$308,984</b>	<b>\$361,655</b>	<b>\$364,504</b>
Staff Benefits	-	-	-	125,338	154,947	148,043
<b>Totals, Personal Services</b>	<b>4,171.1</b>	<b>4,723.4</b>	<b>4,720.2</b>	<b>\$434,322</b>	<b>\$516,602</b>	<b>\$512,547</b>
OPERATING EXPENSES AND EQUIPMENT				<u>\$209,808</u>	<u>\$246,590</u>	<u>\$252,509</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$644,130</b>	<b>\$763,192</b>	<b>\$765,056</b>

2 Local Assistance	<u>Expenditures</u>		
	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Grants and Subventions	\$16,301	\$5,901	\$5,901
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$16,301</b>	<b>\$5,901</b>	<b>\$5,901</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$181,710	\$185,185	\$188,813
Allocation for employee compensation	604	2,547	-
Adjustment per Section 3.60	2,314	768	-
Adjustment per Section 3.90	-5,905	-	-
Transfer from Item 8640-001-0001	195	195	-

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
003 Budget Act appropriation, for rental payments on lease-revenue bonds	4,084	4,076	4,067
Adjustment per Section 4.30	-5	-	-
015 Budget Act appropriation (Transfer to Legal Services Revolving Fund)	<u>1,500</u>	<u>1,500</u>	<u>1,500</u>
<b>Totals Available</b>	<b>\$184,497</b>	<b>\$194,271</b>	<b>\$194,380</b>
Unexpended balance, estimated savings	<u>-13,228</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$171,269</b>	<b>\$194,271</b>	<b>\$194,380</b>
Less funding provided by the National Mortgage Special Deposit Fund	<u>-17,750</u>	<u>-16,500</u>	<u>-</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$153,519</b>	<b>\$177,771</b>	<b>\$194,380</b>
<b>0012 Attorney General Antitrust Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,346	\$2,410	\$2,402
Allocation for employee compensation	5	34	-
Adjustment per Section 3.60	27	8	-
Adjustment per Section 3.90	<u>-70</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$2,308</b>	<b>\$2,452</b>	<b>\$2,402</b>
Unexpended balance, estimated savings	<u>-6</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2,302</b>	<b>\$2,452</b>	<b>\$2,402</b>
<b>0017 Fingerprint Fees Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$68,840	\$70,261	\$70,238
Allocation for employee compensation	152	478	-
Adjustment per Section 3.60	418	140	-
Adjustment per Section 3.90	<u>-1,095</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$68,315</b>	<b>\$70,879</b>	<b>\$70,238</b>
Unexpended balance, estimated savings	<u>-5,884</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$62,431</b>	<b>\$70,879</b>	<b>\$70,238</b>
<b>0032 Firearm Safety Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$338	\$343	\$339
Adjustment per Section 3.60	3	-	-
Adjustment per Section 3.90	<u>-7</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$334</b>	<b>\$343</b>	<b>\$339</b>
Unexpended balance, estimated savings	<u>-47</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$287</b>	<b>\$343</b>	<b>\$339</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,898	\$25,528	\$25,594
Allocation for employee compensation	75	237	-
Adjustment per Section 3.60	293	81	-
Adjustment per Section 3.90	<u>-731</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$24,535</b>	<b>\$25,846</b>	<b>\$25,594</b>
Unexpended balance, estimated savings	<u>-1,960</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$22,575</b>	<b>\$25,846</b>	<b>\$25,594</b>
<b>0142 Department of Justice Sexual Habitual Offender Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,290	\$2,362	\$2,379
Allocation for employee compensation	11	31	-
Adjustment per Section 3.60	22	6	-
Adjustment per Section 3.90	<u>-79</u>	<u>-</u>	<u>-</u>

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Totals Available</b>	<b>\$2,244</b>	<b>\$2,399</b>	<b>\$2,379</b>
Unexpended balance, estimated savings	-399	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,845</b>	<b>\$2,399</b>	<b>\$2,379</b>
<b>0158 Travel Seller Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,401	\$1,424	\$1,418
Allocation for employee compensation	2	7	-
Adjustment per Section 3.60	11	2	-
Adjustment per Section 3.90	-25	-	-
<b>Totals Available</b>	<b>\$1,389</b>	<b>\$1,433</b>	<b>\$1,418</b>
Unexpended balance, estimated savings	-615	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$774</b>	<b>\$1,433</b>	<b>\$1,418</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$360	\$367	\$366
Allocation for employee compensation	2	2	-
Adjustment per Section 3.60	3	1	-
Adjustment per Section 3.90	-9	-	-
<b>Totals Available</b>	<b>\$356</b>	<b>\$370</b>	<b>\$366</b>
Unexpended balance, estimated savings	-15	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$341</b>	<b>\$370</b>	<b>\$366</b>
<b>0256 Sexual Predator Public Information Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$181	\$183	\$183
Allocation for employee compensation	-	1	-
<b>Totals Available</b>	<b>\$181</b>	<b>\$184</b>	<b>\$183</b>
Unexpended balance, estimated savings	-93	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$88</b>	<b>\$184</b>	<b>\$183</b>
<b>0367 Indian Gaming Special Distribution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,224	\$19,331	\$19,332
Allocation for employee compensation	55	228	-
Adjustment per Section 3.60	213	99	-
Adjustment per Section 3.90	-496	-	-
<b>Totals Available</b>	<b>\$14,996</b>	<b>\$19,658</b>	<b>\$19,332</b>
Unexpended balance, estimated savings	-366	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$14,630</b>	<b>\$19,658</b>	<b>\$19,332</b>
<b>0378 False Claims Act Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,821	\$12,135	\$12,272
Allocation for employee compensation	27	125	-
Adjustment per Section 3.60	140	49	-
Adjustment per Section 3.90	-343	-	-
011 Budget Act appropriation (Transfer to the General Fund)	(7,700)	-	-
011 Budget Act appropriation (Transfer to the General Fund)	-	-	(20,000)
012 Budget Act appropriation (Transfer to the General Fund)	-	-	(14,000)
<b>Totals Available</b>	<b>\$11,645</b>	<b>\$12,309</b>	<b>\$12,272</b>
Unexpended balance, estimated savings	-1,906	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$9,739</b>	<b>\$12,309</b>	<b>\$12,272</b>

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0460 Dealers' Record of Sale Special Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$18,670	\$22,290	\$22,736
Allocation for employee compensation	160	589	-
Allocation for contingencies or emergencies	3,250	-	-
Adjustment per Section 3.60	435	115	-
Adjustment per Section 3.90	-327	-	-
Revised expenditure authority per Provision 2	1,350	-	-
Chapter 2, Statutes of 2013	24,000	-	-
Prior year balances available:			
Chapter 2, Statutes of 2013	-	24,000	16,000
<b>Totals Available</b>	<b>\$47,538</b>	<b>\$46,994</b>	<b>\$38,736</b>
Unexpended balance, estimated savings	-796	-	-
Balance available in subsequent years	-24,000	-16,000	-8,000
<b>TOTALS, EXPENDITURES</b>	<b>\$22,742</b>	<b>\$30,994</b>	<b>\$30,736</b>
<b>0566 Department of Justice Child Abuse Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$377	\$385	\$386
Allocation for employee compensation	2	4	-
Adjustment per Section 3.60	3	1	-
Adjustment per Section 3.90	-12	-	-
<b>Totals Available</b>	<b>\$370</b>	<b>\$390</b>	<b>\$386</b>
Unexpended balance, estimated savings	-137	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$233</b>	<b>\$390</b>	<b>\$386</b>
<b>0567 Gambling Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,822	\$9,205	\$9,189
Allocation for employee compensation	23	99	-
Adjustment per Section 3.60	101	44	-
Adjustment per Section 3.90	-248	-	-
<b>Totals Available</b>	<b>\$7,698</b>	<b>\$9,348</b>	<b>\$9,189</b>
Unexpended balance, estimated savings	-301	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,397</b>	<b>\$9,348</b>	<b>\$9,189</b>
<b>0569 Gambling Control Fines and Penalties Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$47	\$48	\$47
<b>Totals Available</b>	<b>\$47</b>	<b>\$48</b>	<b>\$47</b>
Unexpended balance, estimated savings	-8	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$39</b>	<b>\$48</b>	<b>\$47</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$34,412	\$35,197	\$34,315
Allocation for employee compensation	67	-	-
Adjustment per Section 3.60	332	-	-
Adjustment per Section 3.90	-781	-	-
Budget Adjustment	-8,146	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$25,884</b>	<b>\$35,197</b>	<b>\$34,315</b>
<b>0942 Special Deposit Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
001 Budget Act appropriation	\$1,551	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	568	568	568
Government Code Section 27397 (e)	<u>200</u>	<u>650</u>	<u>650</u>
<b>Totals Available</b>	<b>\$2,319</b>	<b>\$2,769</b>	<b>\$2,769</b>
Unexpended balance, estimated savings	<u>-293</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2,026</b>	<b>\$2,769</b>	<b>\$2,769</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$22,130	\$38,237	\$38,237
<b>1008 Firearms Safety and Enforcement Special Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,422	\$3,483	\$3,492
Allocation for employee compensation	6	25	-
Adjustment per Section 3.60	23	8	-
Adjustment per Section 3.90	<u>-57</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$3,394</b>	<b>\$3,516</b>	<b>\$3,492</b>
Unexpended balance, estimated savings	<u>-52</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3,342</b>	<b>\$3,516</b>	<b>\$3,492</b>
<b>3016 Missing Persons DNA Data Base Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,351	\$3,445	\$3,440
Allocation for employee compensation	7	22	-
Adjustment per Section 3.60	16	6	-
Adjustment per Section 3.90	<u>-70</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$3,304</b>	<b>\$3,473</b>	<b>\$3,440</b>
Unexpended balance, estimated savings	<u>-328</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2,976</b>	<b>\$3,473</b>	<b>\$3,440</b>
<b>3053 Public Rights Law Enforcement Special Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,797	\$5,722	\$5,958
Allocation for employee compensation	9	54	-
Adjustment per Section 3.60	45	16	-
Adjustment per Section 3.90	<u>-109</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$5,742</b>	<b>\$5,792</b>	<b>\$5,958</b>
Unexpended balance, estimated savings	<u>-1,650</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4,092</b>	<b>\$5,792</b>	<b>\$5,958</b>
<b>3086 DNA Identification Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$77,778	\$77,624	\$77,273
Allocation for employee compensation	143	600	-
Adjustment per Section 3.60	312	175	-
Adjustment per Section 3.90	<u>-1,672</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$76,561</b>	<b>\$78,399</b>	<b>\$77,273</b>
Unexpended balance, estimated savings	<u>-1,335</u>	<u>-3,000</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$75,226</b>	<b>\$75,399</b>	<b>\$77,273</b>
<b>3087 Unfair Competition Law Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (Unfair Competition Law Fund)	\$10,543	\$10,843	\$10,690
Allocation for employee compensation	26	129	-

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Adjustment per Section 3.60	121	42	-
Adjustment per Section 3.90	-300	-	-
<b>Totals Available</b>	<b>\$10,390</b>	<b>\$11,014</b>	<b>\$10,690</b>
Unexpended balance, estimated savings	-166	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$10,224</b>	<b>\$11,014</b>	<b>\$10,690</b>
<b>3088 Registry of Charitable Trusts Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,947	\$3,114	\$3,134
Allocation for employee compensation	10	29	-
Adjustment per Section 3.60	130	12	-
Adjustment per Section 3.90	-99	-	-
<b>Totals Available</b>	<b>\$2,988</b>	<b>\$3,155</b>	<b>\$3,134</b>
Unexpended balance, estimated savings	-444	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,544</b>	<b>\$3,155</b>	<b>\$3,134</b>
<b>3131 California Bingo Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$-	\$48
002 Budget Act appropriation	-	48	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$48</b>	<b>\$48</b>
<b>3240 Secondhand Dealer and Pawnbroker Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$1,130	\$500
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	2	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$1,133</b>	<b>\$500</b>
<b>8071 National Mortgage Special Deposit Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation as added by Chapter 29, Statutes of 2012	\$8,000	\$-	\$-
001 Budget Act appropriation	-	6,000	5,000
011 Budget Act appropriation (loan to the General Fund), as amended by Chapter 29, Statutes of 2012	(100,000)	-	-
Government Code Section 12531(e) (Transfer to the General Fund)	17,750	16,500	-
<b>Totals Available</b>	<b>\$25,750</b>	<b>\$22,500</b>	<b>\$5,000</b>
Unexpended balance, estimated savings	-6,771	-5,000	-
<b>TOTALS, EXPENDITURES</b>	<b>\$18,979</b>	<b>\$17,500</b>	<b>\$5,000</b>
<b>9731 Legal Services Revolving Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation (Legal Services Revolving Fund)	\$196,839	\$208,246	\$211,148
Allocation for employee compensation	511	2,460	-
Adjustment per Section 3.60	2,403	790	-
Adjustment per Section 3.90	-5,789	-	-
<b>Totals Available</b>	<b>\$193,964</b>	<b>\$211,496</b>	<b>\$211,148</b>
Unexpended balance, estimated savings	-16,084	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$177,880</b>	<b>\$211,496</b>	<b>\$211,148</b>
Less funding provided by the General Fund	-1,500	-1,500	-1,500
<b>NET TOTALS, EXPENDITURES</b>	<b>\$176,380</b>	<b>\$209,996</b>	<b>\$209,648</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,646	\$1,539	\$1,291
Allocation for employee compensation	4	-	-

\* Dollars in thousands, except in Salary Range.



**0820 Department of Justice - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Adjustment per Section 3.60	19	-	-
Adjustment per Section 3.90	<u>-49</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$1,620</b>	<b>\$1,539</b>	<b>\$1,291</b>
Unexpended balance, estimated savings	<u>-235</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b><u>\$1,385</u></b>	<b><u>\$1,539</u></b>	<b><u>\$1,291</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$644,130</b>	<b>\$763,192</b>	<b>\$765,056</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$4,855</u>	<u>\$4,855</u>	<u>\$4,855</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4,855</b>	<b>\$4,855</b>	<b>\$4,855</b>
<b>0460 Dealers' Record of Sale Special Account</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$28</u>	<u>\$28</u>	<u>\$28</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$28</b>	<b>\$28</b>	<b>\$28</b>
<b>0641 Domestic Violence Restraining Order Reimbursement Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$1,018</u>	<u>\$1,018</u>	<u>\$1,018</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,018</b>	<b>\$1,018</b>	<b>\$1,018</b>
<b>8071 National Mortgage Special Deposit Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation as added by Chapter 29, Statutes of 2012	<u>\$10,400</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b><u>\$10,400</u></b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b><u>\$16,301</u></b>	<b><u>\$5,901</u></b>	<b><u>\$5,901</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$660,431</b>	<b>\$769,093</b>	<b>\$770,957</b>

**FUND CONDITION STATEMENTS**

	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0012 Attorney General Antitrust Account <sup>s</sup></b>			
BEGINNING BALANCE	\$943	\$161	\$698
Prior year adjustments	<u>134</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,077	\$161	\$698
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
160100 Attorney General Proceeds of Anti-Trust	<u>1,400</u>	<u>3,000</u>	<u>2,300</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,400</u>	<u>\$3,000</u>	<u>\$2,300</u>
Total Resources	\$2,477	\$3,161	\$2,998
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,302	2,452	2,402
0840 State Controller (State Operations)	2	-	-
8880 Financial Information System for California (State Operations)	<u>12</u>	<u>11</u>	<u>2</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,316</u>	<u>\$2,463</u>	<u>\$2,404</u>
FUND BALANCE	\$161	\$698	\$594
Reserve for economic uncertainties	161	698	594
<b>0017 Fingerprint Fees Account <sup>s</sup></b>			
BEGINNING BALANCE	\$17,133	\$20,713	\$15,210
Prior year adjustments	955	-	-

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Adjusted Beginning Balance	\$18,088	\$20,713	\$15,210
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131600 Fingerprint ID Card Fees	65,423	65,687	65,687
150300 Income From Surplus Money Investments	<u>9</u>	<u>9</u>	<u>9</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$65,432</u>	<u>\$65,696</u>	<u>\$65,696</u>
Total Resources	\$83,520	\$86,409	\$80,906
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	62,431	70,879	70,238
0840 State Controller (State Operations)	37	4	-
8880 Financial Information System for California (State Operations)	<u>339</u>	<u>316</u>	<u>58</u>
Total Expenditures and Expenditure Adjustments	<u>\$62,807</u>	<u>\$71,199</u>	<u>\$70,296</u>
FUND BALANCE	\$20,713	\$15,210	\$10,610
Reserve for economic uncertainties	20,713	15,210	10,610
<b>0032 Firearm Safety Account <sup>s</sup></b>			
BEGINNING BALANCE	\$1,686	\$2,354	\$3,260
Prior year adjustments	<u>6</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,692	\$2,354	\$3,260
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	950	1,250	1,000
150300 Income From Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$951</u>	<u>\$1,251</u>	<u>\$1,001</u>
Total Resources	\$2,643	\$3,605	\$4,261
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	287	343	339
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>2</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$289</u>	<u>\$345</u>	<u>\$340</u>
FUND BALANCE	\$2,354	\$3,260	\$3,921
Reserve for economic uncertainties	2,354	3,260	3,921
<b>0142 Department of Justice Sexual Habitual Offender Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$1,952	\$2,031	\$1,468
Prior year adjustments	<u>10</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,962	\$2,031	\$1,468
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	1,911	1,831	1,831
150300 Income From Surplus Money Investments	1	1	1
161400 Miscellaneous Revenue	<u>15</u>	<u>15</u>	<u>15</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,927</u>	<u>\$1,847</u>	<u>\$1,847</u>
Total Resources	\$3,889	\$3,878	\$3,315
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	1,845	2,399	2,379
0840 State Controller (State Operations)	2	-	-
8880 Financial Information System for California (State Operations)	<u>11</u>	<u>11</u>	<u>2</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,858</u>	<u>\$2,410</u>	<u>\$2,381</u>

\* Dollars in thousands, except in Salary Range.

## 0820 Department of Justice - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
FUND BALANCE	\$2,031	\$1,468	\$934
Reserve for economic uncertainties	2,031	1,468	934
<b>0158 Travel Seller Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$1,896	\$1,879	\$1,114
Prior year adjustments	<u>81</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,977	\$1,879	\$1,114
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	678	668	668
150300 Income From Surplus Money Investments	<u>6</u>	<u>6</u>	<u>4</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$684</u>	<u>\$674</u>	<u>\$672</u>
Total Resources	\$2,661	\$2,553	\$1,786
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	774	1,433	1,418
0840 State Controller (State Operations)	1	-	-
8880 Financial Information System for California (State Operations)	<u>7</u>	<u>6</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$782</u>	<u>\$1,439</u>	<u>\$1,419</u>
FUND BALANCE	\$1,879	\$1,114	\$367
Reserve for economic uncertainties	1,879	1,114	367
<b>0256 Sexual Predator Public Information Account <sup>s</sup></b>			
BEGINNING BALANCE	\$458	\$461	\$360
Prior year adjustments	<u>8</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$466	\$461	\$360
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	83	83	83
150300 Income From Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$84</u>	<u>\$84</u>	<u>\$84</u>
Total Resources	\$550	\$545	\$444
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	88	184	183
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>1</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$89</u>	<u>\$185</u>	<u>\$183</u>
FUND BALANCE	\$461	\$360	\$261
Reserve for economic uncertainties	461	360	261
<b>0288 The Registry of International Student Exchange Visitor Placement Organizations</b>			
<b>Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$79	\$82	\$87
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$77	\$82	\$87
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	<u>5</u>	<u>5</u>	<u>5</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5</u>	<u>\$5</u>	<u>\$5</u>
Total Resources	<u>\$82</u>	<u>\$87</u>	<u>\$92</u>
FUND BALANCE	\$82	\$87	\$92

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	2012-13*	2013-14*	2014-15*
Reserve for economic uncertainties	82	87	92
<b>0378 False Claims Act Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$6,193	\$4,403	\$40,748
Prior year adjustments	<u>274</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$6,467	\$4,403	\$40,748
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	12	9	13
164400 Civil & Criminal Violation Assessment	15,427	45,700	10,000
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 0820-012-0378, Budget Act of 2010	-	3,000	12,700
TO0001 To General Fund per Item 0820-012-0378 Budget Acts of 2014	-	-	-14,000
TO0001 To General Fund per Item 0820-011-0378 Budget Acts of 2012 and 2014	<u>-7,700</u>	<u>-</u>	<u>-20,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$7,739</u>	<u>\$48,709</u>	<u>-\$11,287</u>
Total Resources	\$14,206	\$53,112	\$29,461
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	9,739	12,309	12,272
0840 State Controller (State Operations)	6	1	-
8880 Financial Information System for California (State Operations)	<u>58</u>	<u>54</u>	<u>10</u>
Total Expenditures and Expenditure Adjustments	<u>\$9,803</u>	<u>\$12,364</u>	<u>\$12,282</u>
FUND BALANCE	\$4,403	\$40,748	\$17,179
Reserve for economic uncertainties	4,403	40,748	17,179
<b>0460 Dealers' Record of Sale Special Account <sup>§</sup></b>			
BEGINNING BALANCE	\$12,439	\$11,889	\$9,287
Prior year adjustments	<u>110</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$12,549	\$11,889	\$9,287
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	2,988	4,750	3,800
142500 Miscellaneous Services to the Public	19,556	23,750	19,000
150300 Income From Surplus Money Investments	6	6	6
161000 Escheat of Unclaimed Checks & Warrants	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$22,551</u>	<u>\$28,507</u>	<u>\$22,807</u>
Total Resources	\$35,100	\$40,396	\$32,094
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice			
State Operations	22,742	30,994	30,736
Local Assistance	28	28	28
0840 State Controller (State Operations)	15	1	-
8880 Financial Information System for California (State Operations)	<u>426</u>	<u>86</u>	<u>18</u>
Total Expenditures and Expenditure Adjustments	<u>\$23,211</u>	<u>\$31,109</u>	<u>\$30,782</u>
FUND BALANCE	\$11,889	\$9,287	\$1,312
Reserve for economic uncertainties	11,889	9,287	1,312
<b>0566 Department of Justice Child Abuse Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$1,690	\$1,830	\$1,806
Prior year adjustments	7	-	-

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Adjusted Beginning Balance	\$1,697	\$1,830	\$1,806
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
142500 Miscellaneous Services to the Public	367	367	367
150300 Income From Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$368</u>	<u>\$368</u>	<u>\$368</u>
Total Resources	\$2,065	\$2,198	\$2,174
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	233	390	386
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>2</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$235</u>	<u>\$392</u>	<u>\$386</u>
<b>FUND BALANCE</b>	\$1,830	\$1,806	\$1,788
Reserve for economic uncertainties	1,830	1,806	1,788
<b>0567 Gambling Control Fund <sup>s</sup></b>			
<b>BEGINNING BALANCE</b>	\$12,934	\$21,508	\$26,774
Prior year adjustments	<u>146</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$13,080	\$21,508	\$26,774
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
125600 Other Regulatory Fees	14,668	14,668	14,668
125700 Other Regulatory Licenses and Permits	1,482	1,482	1,482
125800 Renewal Fees	992	992	992
125900 Delinquent Fees	9	9	9
131600 Fingerprint ID Card Fees	80	80	80
142500 Miscellaneous Services to the Public	1,299	1,299	1,299
150300 Income From Surplus Money Investments	<u>10</u>	<u>10</u>	<u>10</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$18,540</u>	<u>\$18,540</u>	<u>\$18,540</u>
Total Resources	\$31,620	\$40,048	\$45,314
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	7,397	9,348	9,189
0840 State Controller (State Operations)	6	1	-
0855 California Gambling Control Commission (State Operations)	2,654	3,874	3,646
8880 Financial Information System for California (State Operations)	<u>55</u>	<u>51</u>	<u>11</u>
Total Expenditures and Expenditure Adjustments	<u>\$10,112</u>	<u>\$13,274</u>	<u>\$12,846</u>
<b>FUND BALANCE</b>	\$21,508	\$26,774	\$32,468
Reserve for economic uncertainties	21,508	26,774	32,468
<b>0569 Gambling Control Fines and Penalties Account <sup>s</sup></b>			
<b>BEGINNING BALANCE</b>	\$1,772	\$2,614	\$2,717
Prior year adjustments	<u>152</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,924	\$2,614	\$2,717
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
150300 Income From Surplus Money Investments	1	1	1
164300 Penalty Assessments	<u>728</u>	<u>150</u>	<u>150</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$729</u>	<u>\$151</u>	<u>\$151</u>
Total Resources	\$2,653	\$2,765	\$2,868
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	2012-13*	2013-14*	2014-15*
Expenditures:			
0820 Department of Justice (State Operations)	<u>39</u>	<u>48</u>	<u>47</u>
Total Expenditures and Expenditure Adjustments	<u>\$39</u>	<u>\$48</u>	<u>\$47</u>
FUND BALANCE	\$2,614	\$2,717	\$2,821
Reserve for economic uncertainties	2,614	2,717	2,821
<b>1008 Firearms Safety and Enforcement Special Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$2,876	\$7,631	\$15,078
Prior year adjustments	<u>109</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,985	\$7,631	\$15,078
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	7,993	10,965	8,772
150300 Income From Surplus Money Investments	<u>14</u>	<u>14</u>	<u>14</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$8,007</u>	<u>\$10,979</u>	<u>\$8,786</u>
Total Resources	\$10,992	\$18,610	\$23,864
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,342	3,516	3,492
0840 State Controller (State Operations)	2	-	-
8880 Financial Information System for California (State Operations)	<u>17</u>	<u>16</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,361</u>	<u>\$3,532</u>	<u>\$3,495</u>
FUND BALANCE	\$7,631	\$15,078	\$20,369
Reserve for economic uncertainties	7,631	15,078	20,369
<b>3016 Missing Persons DNA Data Base Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$1,023	\$1,069	\$1,764
Prior year adjustments	<u>-90</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$933	\$1,069	\$1,764
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131700 Misc Revenue From Local Agencies	3,128	3,180	3,180
150300 Income From Surplus Money Investments	3	3	3
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 0820-011-3016, Budget Act of 2011	<u>-</u>	<u>1,000</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,131</u>	<u>\$4,183</u>	<u>\$3,183</u>
Total Resources	\$4,064	\$5,252	\$4,947
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,976	3,473	3,440
0840 State Controller (State Operations)	2	-	-
8880 Financial Information System for California (State Operations)	<u>17</u>	<u>15</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,995</u>	<u>\$3,488</u>	<u>\$3,443</u>
FUND BALANCE	\$1,069	\$1,764	\$1,504
Reserve for economic uncertainties	1,069	1,764	1,504
<b>3053 Public Rights Law Enforcement Special Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$4,346	\$364	\$1,746
Prior year adjustments	<u>114</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,460	\$364	\$1,746
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	2012-13*	2013-14*	2014-15*
Revenues:			
150300 Income From Surplus Money Investments	8	1	3
163000 Settlements/Judgments(not Anti-trust)	<u>20</u>	<u>7,200</u>	<u>5,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$28</u>	<u>\$7,201</u>	<u>\$5,003</u>
Total Resources	\$4,488	\$7,565	\$6,749
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	4,092	5,792	5,958
0840 State Controller (State Operations)	3	-	-
8880 Financial Information System for California (State Operations)	<u>29</u>	<u>27</u>	<u>5</u>
Total Expenditures and Expenditure Adjustments	<u>\$4,124</u>	<u>\$5,819</u>	<u>\$5,963</u>
FUND BALANCE	\$364	\$1,746	\$786
Reserve for economic uncertainties	364	1,746	786
<b>3086 DNA Identification Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$36,337	\$23,047	\$14,523
Prior year adjustments	<u>-3,549</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$32,788	\$23,047	\$14,523
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
150300 Income From Surplus Money Investments	86	134	134
161400 Miscellaneous Revenue	7	7	7
164300 Penalty Assessments	<u>65,425</u>	<u>67,096</u>	<u>65,083</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$65,518</u>	<u>\$67,237</u>	<u>\$65,224</u>
Total Resources	\$98,306	\$90,284	\$79,747
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	75,226	75,399	77,273
0840 State Controller (State Operations)	33	5	-
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>357</u>	<u>64</u>
Total Expenditures and Expenditure Adjustments	<u>\$75,259</u>	<u>\$75,761</u>	<u>\$77,337</u>
FUND BALANCE	\$23,047	\$14,523	\$2,410
Reserve for economic uncertainties	23,047	14,523	2,410
<b>3087 Unfair Competition Law Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$3,577	\$8,510	\$6,737
Prior year adjustments	<u>115</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,692	\$8,510	\$6,737
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
150300 Income From Surplus Money Investments	38	90	72
164300 Penalty Assessments	<u>15,016</u>	<u>9,200</u>	<u>8,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$15,054</u>	<u>\$9,290</u>	<u>\$8,072</u>
Total Resources	\$18,746	\$17,800	\$14,809
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	10,224	11,014	10,690
0840 State Controller (State Operations)	6	1	-
8880 Financial Information System for California (State Operations)	<u>6</u>	<u>48</u>	<u>9</u>
Total Expenditures and Expenditure Adjustments	<u>\$10,236</u>	<u>\$11,063</u>	<u>\$10,699</u>
FUND BALANCE	\$8,510	\$6,737	\$4,110

\* Dollars in thousands, except in Salary Range.

**0820 Department of Justice - Continued**

	2012-13*	2013-14*	2014-15*
Reserve for economic uncertainties	8,510	6,737	4,110
<b>3088 Registry of Charitable Trusts Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$2,050	\$3,262	\$3,538
Prior year adjustments	<u>297</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,347	\$3,262	\$3,538
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	3,467	3,431	3,400
150300 Income From Surplus Money Investments	<u>9</u>	<u>14</u>	<u>16</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,476</u>	<u>\$3,445</u>	<u>\$3,416</u>
Total Resources	\$5,823	\$6,707	\$6,954
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,544	3,155	3,134
0840 State Controller (State Operations)	2	-	-
8880 Financial Information System for California (State Operations)	<u>15</u>	<u>14</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,561</u>	<u>\$3,169</u>	<u>\$3,137</u>
FUND BALANCE	\$3,262	\$3,538	\$3,817
Reserve for economic uncertainties	3,262	3,538	3,817
<b>3131 California Bingo Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$630	\$630	\$630
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142500 Miscellaneous Services to the Public	<u>-</u>	<u>50</u>	<u>50</u>
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$50</u>	<u>\$50</u>
Total Resources	\$630	\$680	\$680
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	48	48
0855 California Gambling Control Commission (State Operations)	<u>-</u>	<u>2</u>	<u>2</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$50</u>	<u>\$50</u>
FUND BALANCE	\$630	\$630	\$630
Reserve for economic uncertainties	630	630	630
<b>3132 Charity Bingo Mitigation Fund <sup>§</sup></b>			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	<u>\$6</u>	<u>\$10</u>	<u>\$11</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$6</u>	<u>\$10</u>	<u>\$11</u>
Total Resources	\$6	\$10	\$11
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0855 California Gambling Control Commission (State Operations)	<u>6</u>	<u>10</u>	<u>11</u>
Total Expenditures and Expenditure Adjustments	<u>\$6</u>	<u>\$10</u>	<u>\$11</u>
FUND BALANCE	-	-	-
<b>3136 Foreclosure Consultant Regulation Fund <sup>§</sup></b>			
BEGINNING BALANCE	\$11	\$12	\$13
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

\* Dollars in thousands, except in Salary Range.



**0820 Department of Justice - Continued**

	2012-13*	2013-14*	2014-15*
Revenues:			
125600 Other Regulatory Fees	1	1	1
Total Revenues, Transfers, and Other Adjustments	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
Total Resources	<u>\$12</u>	<u>\$13</u>	<u>\$14</u>
FUND BALANCE	\$12	\$13	\$14
Reserve for economic uncertainties	12	13	14
<b>3240 Secondhand Dealer and Pawnbroker Fund <sup>s</sup></b>			
BEGINNING BALANCE	-	\$1,624	\$1,211
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	\$283	-	-
125800 Renewal Fees	1,339	720	720
150300 Income From Surplus Money Investments	<u>2</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,624</u>	<u>\$720</u>	<u>\$720</u>
Total Resources	\$1,624	\$2,344	\$1,931
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	<u>1,133</u>	<u>500</u>
Total Expenditures and Expenditure Adjustments	-	<u>\$1,133</u>	<u>\$500</u>
FUND BALANCE	\$1,624	\$1,211	\$1,431
Reserve for economic uncertainties	1,624	1,211	1,431

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	4,171.1	4,723.4	4,720.2	\$308,984	\$355,823	\$358,672
Salary Adjustments	-	-	-	-	5,832	5,832
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$5,832</u>	<u>\$5,832</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>4,171.1</b>	<b>4,723.4</b>	<b>4,720.2</b>	<b>\$308,984</b>	<b>\$361,655</b>	<b>\$364,504</b>

**0840 State Controller**

The State Controller is the Chief Fiscal Officer of California, the ninth largest economy in the world, and is principally responsible for accountability of the state's resources. The Controller ensures the appropriate expenditure of -- and accounting for -- every taxpayer dollar, advancing the long-term sustainability and responsible stewardship of California public resources. The Controller chairs or serves on 81 state boards and commissions, and is charged with duties ranging from overseeing the administration of the nation's two largest public pension funds, to protecting our coastline and helping to build hospitals. The Controller provides sound fiscal control for, and independent oversight of, more than \$100 billion in receipts and disbursements of public funds. In addition, the Controller offers fiscal guidance to local governments, and performs audit functions to uncover fraud and abuse of taxpayer dollars. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds.
- Determine legality and accuracy of every claim against the State.
- Issue warrants in payment of the State's bills including lottery prizes.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, exempt employees, and state university and college system employees.
- Audit state and local government programs.
- Inform the public of the State's financial condition.
- Administer the Unclaimed Property Law.
- Inform the public of financial transactions of city, county and district governments.

**3-YR EXPENDITURES AND POSITIONS**

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Accounting and Reporting	170.3	190.6	190.2	\$26,334	\$27,901	\$30,507
20 Audits	301.8	329.9	322.4	42,218	45,932	46,747
30 Personnel/Payroll Services	253.3	229.0	209.0	46,911	41,116	38,067
50 Unclaimed Property	217.1	234.8	260.3	31,309	33,561	36,136
60 Administration and Disbursements	354.9	414.0	410.2	76,220	90,951	80,314
70.01 Distributed to Other Programs	-	-	-	-44,143	-46,506	-42,871
80 Loan Repayment Programs	-	-	-	-180	-135	-52
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>1,297.4</b>	<b>1,398.3</b>	<b>1,392.1</b>	<b>\$178,669</b>	<b>\$192,820</b>	<b>\$188,848</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$45,694	\$54,814	\$48,994
0002 Property Acquisition Law Money Account				2	-	-
0003 Motor Vehicle Parking Facilities Moneys Account				2	-	-
0006 Disability Access Account				3	-	-
0009 Breast Cancer Control Account, Breast Cancer Fund				15	3	-
0012 Attorney General Antitrust Account				2	-	-
0014 Hazardous Waste Control Account				37	4	-
0017 Fingerprint Fees Account				37	4	-
0020 California State Law Library Special Account				6	-	-
0022 State Emergency Telephone Number Account				27	-	-
0026 State Motor Vehicle Insurance Account				10	1	-
0028 Unified Program Account				10	1	-
0033 State Energy Conservation Assistance Account				2	1	-
0035 Surface Mining and Reclamation Account				2	-	-
0041 Aeronautics Account, State Transportation Fund				5	1	-
0042 State Highway Account, State Transportation Fund				3,696	387	-
0044 Motor Vehicle Account, State Transportation Fund				3,919	402	-
0046 Public Transportation Account, State Transportation Fund				226	19	19
0054 New Motor Vehicle Board Account				3	-	-
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				4,279	4,392	4,622
0062 Highway Users Tax Account, Transportation Tax Fund				1,487	1,554	1,622
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				57	20	17
0066 Sale of Tobacco to Minors Control Account				3	-	-
0067 State Corporations Fund				26	-	-
0069 Barbering and Cosmetology Contingent Fund				12	1	-
0070 Occupational Lead Poisoning Prevention Account				8	1	-
0074 Medical Waste Management Fund				3	-	-
0075 Radiation Control Fund				26	2	-
0076 Tissue Bank License Fund				1	-	-
0078 Graphic Design License Plate Account				1	-	-
0080 Childhood Lead Poisoning Prevention Fund				29	2	-
0082 Export Document Program Fund				1	-	-
0096 Cal-OSHA Targeted Inspection and Consultation Fund				6	-	-
0098 Clinical Laboratory Improvement Fund				11	1	-
0099 Health Statistics Special Fund				22	3	-
0100 California Used Oil Recycling Fund				4	1	-
0102 State Fire Marshal Licensing and Certification Fund				17	2	-
0106 Department of Pesticide Regulation Fund				36	4	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

FUNDING	2012-13*	2013-14*	2014-15*
0108 Acupuncture Fund	2	-	-
0111 Department of Agriculture Account, Department of Food and Agriculture Fund	176	9	-
0115 Air Pollution Control Fund	61	3	-
0117 Alcoholic Beverage Control Appeals Fund	2	-	-
0121 Hospital Building Fund	30	3	-
0133 California Beverage Container Recycling Fund	23	2	-
0139 Driving Under-the-Influence Program Licensing Trust Fund	3	1	-
0140 California Environmental License Plate Fund	213	4	-
0141 Soil Conservation Fund	2	-	-
0142 Department of Justice Sexual Habitual Offender Fund	2	-	-
0143 California Health Data and Planning Fund	12	1	-
0152 State Board of Chiropractic Examiners Fund	2	-	-
0158 Travel Seller Fund	1	-	-
0159 State Trial Court Improvement and Modernization Fund	8	1	-
0163 Continuing Care Provider Fee Fund	19	6	-
0166 Certification Account, Consumer Affairs Fund	2	-	-
0169 California Debt Limit Allocation Committee Fund	1	-	-
0171 California Debt and Investment Advisory Commission Fund	2	-	-
0172 Developmental Disabilities Program Development Fund	293	11	-
0177 Food Safety Fund	8	1	-
0178 Driver Training Penalty Assessment Fund	19	2	-
0179 Environmental Laboratory Improvement Fund	4	-	-
0181 Registered Nurse Education Fund	1	-	-
0184 Employment Development Department Benefit Audit Fund	41	5	-
0185 Employment Development Department Contingent Fund	129	25	-
0193 Waste Discharge Permit Fund	22	3	-
0198 California Fire and Arson Training Fund	19	2	-
0200 Fish and Game Preservation Fund	130	13	-
0203 Genetic Disease Testing Fund	24	3	-
0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund	1	-	-
0207 Fish and Wildlife Pollution Account	3	-	-
0209 California Hazardous Liquid Pipeline Safety Fund	19	2	-
0212 Marine Invasive Species Control Fund	5	-	-
0214 Restitution Fund	25	2	-
0217 Insurance Fund	122	15	-
0223 Workers' Compensation Administration Revolving Fund	104	15	-
0226 California Tire Recycling Management Fund	5	1	-
0228 Secretary of State's Business Fees Fund	40	3	-
0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund	20	2	-
0234 Research Account, Cigarette and Tobacco Products Surtax Fund	6	-	-
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund	21	2	-
0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund	46	1	-
0239 Private Security Services Fund	13	1	-
0240 Local Agency Deposit Security Fund	1	-	-
0242 Court Collection Account	13	2	-
0243 Narcotic Treatment Program Licensing Trust Fund	3	1	-
0245 Mobilehome Parks and Special Occupancy Parks Revolving Fund	5	-	-
0247 Drinking Water Operator Certification Special Account	2	-	-
0260 Nursing Home Administrator's State License Examining Fund	1	-	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

FUNDING	2012-13*	2013-14*	2014-15*
0263 Off-Highway Vehicle Trust Fund	107	13	-
0264 Osteopathic Medical Board of California Contingent Fund	1	-	-
0267 Exposition Park Improvement Fund	1	-	-
0271 Certification Fund	19	7	-
0272 Infant Botulism Treatment and Prevention Fund	7	1	-
0279 Child Health and Safety Fund	42	18	-
0280 Physician Assistant Fund	1	-	-
0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account	1	-	-
0286 Lake Tahoe Conservancy Account	1	-	-
0289 State HICAP Fund	10	1	-
0290 Board of Pilot Commissioners' Special Fund	1	-	-
0293 Motor Carriers Safety Improvement Fund	3	-	-
0298 Financial Institutions Fund	21	-	-
0299 Credit Union Fund	6	-	-
0300 Professional Forester Registration Fund	2	-	-
0305 Private Postsecondary Education Administration Fund	9	1	-
0306 Safe Drinking Water Account	15	1	-
0309 Perinatal Insurance Fund	2	-	-
0310 Psychology Fund	3	-	-
0312 Emergency Medical Services Personnel Fund	6	-	-
0313 Major Risk Medical Insurance Fund	6	-	-
0317 Real Estate Fund	34	3	-
0318 Collins-Dugan California Conservation Corps Reimbursement Account	-	8	-
0319 Respiratory Care Fund	2	-	-
0320 Oil Spill Prevention and Administration Fund	41	4	-
0322 Environmental Enhancement Fund	1	-	-
0325 Electronic and Appliance Repair Fund	4	-	-
0327 Court Interpreters' Fund	-	1	-
0328 Public School Planning, Design, and Construction Review Revolving Fund	16	2	-
0330 Local Revenue Fund	699	734	768
0335 Registered Environmental Health Specialist Fund	1	-	-
0336 Mine Reclamation Account	4	-	-
0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund	7	1	-
0365 Historic Property Maintenance Fund	2	-	-
0367 Indian Gaming Special Distribution Fund	22	2	-
0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund	1	-	-
0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund	1	-	-
0378 False Claims Act Fund	6	1	-
0381 Public Interest Research, Development, and Demonstration Fund	10	-	-
0387 Integrated Waste Management Account, Integrated Waste Management Fund	27	1	-
0392 State Parks and Recreation Fund	239	28	-
0396 Self-Insurance Plans Fund	3	-	-
0400 Real Estate Appraisers Regulation Fund	3	-	-
0407 Teacher Credentials Fund	13	1	-
0408 Test Development and Administration Account, Teacher Credentials Fund	4	-	-
0410 Transcript Reimbursement Fund	1	-	-
0421 Vehicle Inspection and Repair Fund	138	8	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

FUNDING	2012-13*	2013-14*	2014-15*
0425 Victim - Witness Assistance Fund	11	-	-
0434 Air Toxics Inventory and Assessment Account	1	-	-
0439 Underground Storage Tank Cleanup Fund	85	10	-
0447 Wildlife Restoration Fund	2	1	-
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	2	-	-
0452 Elevator Safety Account	13	1	-
0453 Pressure Vessel Account	3	-	-
0457 Tax Credit Allocation Fee Account	2	-	-
0460 Dealers' Record of Sale Special Account	15	1	-
0461 Public Utilities Commission Transportation Reimbursement Account	1	-	-
0462 Public Utilities Commission Utilities Reimbursement Account	6	1	-
0464 California High-Cost Fund-A Administrative Committee Fund	4	-	-
0465 Energy Resources Programs Account	18	2	-
0470 California High-Cost Fund-B Administrative Committee Fund	3	-	-
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	26	2	-
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund	5	-	-
0493 California Teleconnect Fund Administrative Committee Fund	5	1	-
0494 Other - Unallocated Special Funds	93	118	1,773
0501 California Housing Finance Fund	34	3	-
0502 California Water Resources Development Bond Fund	467	45	-
0512 State Compensation Insurance Fund	877	55	-
0514 Employment Training Fund	173	16	-
0516 Harbors and Watercraft Revolving Fund	28	6	-
0528 California Alternative Energy Authority Fund	1	-	-
0530 Mobilehome Park Purchase Fund	1	-	-
0557 Toxic Substances Control Account	44	4	-
0565 State Coastal Conservancy Fund	4	1	-
0567 Gambling Control Fund	6	1	-
0571 Uninsured Employers Benefits Trust Fund	24	2	-
0582 High Polluter Repair or Removal Account	-	3	-
0587 Family Law Trust Fund	3	-	-
0588 Unemployment Compensation Disability Fund	900	77	-
0592 Veterans' Farm and Home Building Fund of 1943	24	-	-
0602 Architecture Revolving Fund	15	2	-
0638 Administration Account, California Children and Families Trust Fund	4	-	-
0642 Domestic Violence Training and Education Fund	1	-	-
0648 Mobilehome-Manufactured Home Revolving Fund	13	1	-
0666 Service Revolving Fund	333	36	-
0679 State Water Quality Control Fund	7	1	-
0687 Donated Food Revolving Fund	85	9	-
0704 Accountancy Fund, Professions and Vocations Fund	7	1	-
0706 California Architects Board Fund	3	-	-
0717 Cemetery Fund	3	-	-
0735 Contractors' License Fund	36	3	-
0741 State Dentistry Fund	7	1	-
0750 State Funeral Directors and Embalmers Fund	2	-	-
0752 Home Furnishings and Thermal Insulation Fund	6	-	-
0757 California Board of Architectural Examiners - Landscape Architects Fund	1	-	-
0758 Contingent Fund of the Medical Board of California	34	3	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

FUNDING	2012-13*	2013-14*	2014-15*
0759 Physical Therapy Fund	2	-	-
0761 Board of Registered Nursing Fund, Professions and Vocations Fund	17	2	-
0763 State Optometry Fund, Professions and Vocations Fund	1	-	-
0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund	9	1	-
0769 Private Investigator Fund	1	-	-
0770 Professional Engineer's and Land Surveyor's Fund	7	1	-
0771 Court Reporters Fund	1	-	-
0773 Behavioral Science Examiners Fund, Professions and Vocations Fund	5	-	-
0775 Structural Pest Control Fund	3	-	-
0777 Veterinary Medical Board Contingent Fund	2	-	-
0779 Vocational Nursing & Psychiatric Technicians Fund	7	1	-
0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund	2	-	-
0797 Unallocated Bond Funds - Select	620	666	667
0803 State Children's Trust Fund	5	2	-
0813 Self-Help Housing Fund	2	-	-
0815 Judges' Retirement Fund	1	-	-
0821 Flexelect Benefit Fund	12	2	-
0822 Public Employees' Health Care Fund (PEHCF)	12	2	-
0823 California Alzheimer's Disease and Related Disorders Research Fund	1	-	-
0829 Health Professions Education Fund	-	1	-
0830 Public Employees' Retirement Fund	240	30	-
0833 Annuitants' Health Care Coverage Fund	2	-	-
0835 Teachers' Retirement Fund	88	11	-
0840 California Motorcyclist Safety Fund	3	-	-
0877 DMV Local Agency Collection Fund	2	2	2
0884 Judges' Retirement System II Fund	1	-	-
0890 Federal Trust Fund	936	1,142	1,149
0903 State Penalty Fund	1,176	1,404	1,483
0904 California Health Facilities Financing Authority Fund	2	-	-
0908 School Employees Fund	3	-	-
0911 Educational Facilities Authority Fund	1	-	-
0913 Industrial Relations Unpaid Wage Fund	3	-	-
0914 Bay Fill Clean-Up and Abatement Fund	1	-	-
0916 California Housing Loan Insurance Fund	1	-	-
0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund	6	-	-
0927 Joe Serna, Jr. Farmworker Housing Grant Fund	2	-	-
0928 Forest Resources Improvement Fund	48	6	-
0929 Housing Rehabilitation Loan Fund	8	1	-
0930 Pollution Control Financing Authority Fund	3	-	-
0932 Trial Court Trust Fund	180	178	174
0933 Managed Care Fund	28	3	-
0938 Rental Housing Construction Fund	2	-	-
0940 Bosco-Keene Renewable Resources Investment Fund	1	-	-
0943 Land Bank Fund	1	-	-
0950 Public Employees Contingency Reserve Fund	23	-	-
0965 Timber Tax Fund	12	1	-
0969 Public Safety Account, Local Public Safety Fund	268	268	268
0970 Unclaimed Property Fund	32,781	35,801	38,406

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

FUNDING	2012-13*	2013-14*	2014-15*
0985 Emergency Housing and Assistance Fund	2	-	-
0988 Other - Unallocated Non-Governmental Cost Funds	330	351	351
0995 Reimbursements	52,573	64,559	64,085
1008 Firearms Safety and Enforcement Special Fund	2	-	-
3002 Electrician Certification Fund	2	-	-
3004 Garment Industry Regulations Fund	2	-	-
3007 Traffic Congestion Relief Fund	13	-	-
3010 Pierce's Disease Management Account	10	2	-
3015 Gas Consumption Surcharge Fund	44	5	-
3016 Missing Persons DNA Data Base Fund	2	-	-
3017 Occupational Therapy Fund	1	-	-
3018 Drug and Device Safety Fund	7	1	-
3022 Apprenticeship Training Contribution Fund	7	1	-
3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account	1	-	-
3030 Workers' Occupational Safety and Health Education Fund	1	-	-
3036 Alcohol Beverages Control Fund	64	8	-
3037 State Court Facilities Construction Fund	50	8	-
3046 Oil, Gas, and Geothermal Administrative Fund	23	2	-
3053 Public Rights Law Enforcement Special Fund	3	-	-
3056 Safe Drinking Water and Toxic Enforcement Fund	3	-	-
3057 Dam Safety Fund	6	1	-
3058 Water Rights Fund	6	1	-
3060 Appellate Court Trust Fund	4	1	-
3062 Energy Facility License and Compliance Fund	2	-	-
3063 State Responsibility Area Fire Prevention Fund	300	45	-
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	29	5	-
3067 Cigarette and Tobacco Products Compliance Fund	4	1	-
3074 Medical Marijuana Program Fund	1	-	-
3080 AIDS Drug Assistance Program Rebate Fund	2	-	-
3081 Cannery Inspection Fund	3	-	-
3084 State Certified Unified Program Account	2	-	-
3085 Mental Health Services Fund	792	40	-
3086 DNA Identification Fund	33	5	-
3087 Unfair Competition Law Fund	6	1	-
3088 Registry of Charitable Trusts Fund	2	-	-
3089 Public Utilities Commission Ratepayer Advocate Account	2	-	-
3098 State Department of Public Health Licensing and Certification Program Fund	105	10	-
3100 Department of Water Resources Electric Power Fund	14	1	-
3102 Acute Orphan Well Account, Oil, Gas, and Geothermal Administrative Fund	1	-	-
3103 Hatchery and Inland Fisheries Fund	27	2	-
3108 Professional Fiduciary Fund	1	-	-
3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund	5	1	-
3113 Residential and Outpatient Program Licensing Fund	9	4	-
3114 Birth Defects Monitoring Fund	5	-	-
3117 Alternative and Renewable Fuel and Vehicle Technology Fund	32	3	-
3119 Air Quality Improvement Fund	16	2	-

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>FUNDING</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
3120 State Fire Marshal Fireworks Enforcement and Disposal Fund	2	-	-
3121 Occupational Safety and Health Fund	27	2	-
3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account	-	2	-
3140 State Dental Hygiene Fund	1	-	-
3141 California Advanced Services Fund	2	-	-
3142 State Dental Assistant Fund	2	-	-
3144 Building Standards Administration Special Revolving Fund	2	-	-
3152 Labor Enforcement and Compliance Fund	25	2	-
3153 Horse Racing Fund	5	1	-
3155 Lead-Related Construction Fund	1	-	-
3158 Hospital Quality Assurance Revenue Fund	-	1	-
3237 Cost of Implementation Account, Air Pollution Control Fund	-	3	-
3238 State Parks Revenue Incentive Subaccount, State Parks and Recreation Fund	-	3	-
6036 2002 State School Facilities Fund	-	11	11
6044 2004 State School Facilities Fund	-	288	288
6057 2006 State School Facilities Fund	778	733	734
8018 Salton Sea Restoration Fund	2	1	-
8041 Teachers' Deferred Compensation Fund	1	-	-
8047 California Sea Otter Fund	1	-	-
8080 Clean Energy Job Creation Fund	-	1	-
9730 Technology Services Revolving Fund	122	2	-
9731 Legal Services Revolving Fund	96	13	-
9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund	1	-	-
9739 State Water Pollution Control Revolving Fund Administration Fund	2	-	-
9740 Central Service Cost Recovery Fund	20,104	24,167	23,415
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>	<b>\$178,669</b>	<b>\$192,820</b>	<b>\$188,848</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Constitution, Article XVI, Section 7; Government Code Section 12410 and 12411.

## PROGRAM AUTHORITY

## 10-Accounting and Reporting:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2, Division 3, Part 10b, Chapter 7; Government Code Title 2, Division 4, Part 2, Chapter 1; Government Code Title 2, Division 4, Part 2, Chapter 3, Article 4.5; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapter 8 and 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Health & Safety Code Division 24, Part 1, Chapter 1, Article 6; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets & Highways Code Division 3, Chapter 3 and 4; Welfare & Institutions Code Division 9, Part 5, Chapter 6; Revenue & Taxation Code Division 2, Part 2, Chapter 7, Article 1.

## 20-Audits:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.

## 30-Personnel/Payroll Services:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq., and Government Code Section 19822.3

## 50-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

\* Dollars in thousands, except in Salary Range.



**0840 State Controller - Continued**

60-Administration and Disbursements:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 222000; California Victim Compensation and Government Claims Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; Pooled Money Investment Board-Government Code Section 16480.1; various bond and finance committees-Education Code Section 19510, Military and Veterans Code Section 991, Water Code Section 12933, Harbors and Navigation Code Sections 3902-3, and Government Code Section 17220.

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• 21st Century Project-Litigation (1-YR LT)	\$-	\$-	-	\$3,590	\$2,939	5.0
• Software Cost Increases (4-YR LT)	-	-	-	797	2,685	-
• CalPERS Pension System Workload (5-YR LT)	-	-	-	759	597	15.0
• Statewide Training for Departmental Personnel and Payroll Staff (2-YR LT)	-	-	-	218	172	4.0
• Payroll System Wkld Due to Pension and Healthcare Reform Legislation (2-YR LT)	-	-	-	184	144	3.2
• Sustained Accounting and Reporting Workload (2-YR LT)	-	-	-	122	95	2.1
• Unclaimed Property Holder Compliance Initiative	-	-	-	-	2,475	23.0
• Unclaimed Property Fraudulent Claims Prevention and Detection Program (2-YR LT)	-	-	-	-	2,095	16.0
• Unclaimed Property Securities Maintenance Workload (3-YR LT)	-	-	-	-	1,999	23.1
• Local Government Reporting Oversight (3-YR LT)	-	-	-	-	1,159	-
• Unclaimed Property Insurance Workload	-	-	-	-	1,117	11.0
• UCP Interest Assessment Processing	-	-	-	-	-	3.0
• Unclaimed Property Provisional Language Change	-	-	-	-	-	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$5,670</b>	<b>\$15,477</b>	<b>105.4</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$335	\$1,129	-	\$362	\$1,224	-
• Retirement Rate Adjustment	141	443	-	141	443	-
• Limited Term Positions/Expiring Programs	-	-	-	-13,515	-10,525	-111.6
• One-Time Cost Reductions	-	-	-	-	-2,367	-
• Carryover/Reappropriation	2	-	-	-	-	-
• Pro Rata, SWCAP, and CSCRF Adjustments	-	-	-	1,822	-832	-
• Transfer to Legislative Claims (9670) per Ch. 122, Statutes of 2013	-95	-	-	-	-	-
• Loan Repayment Adjustments	45	-	-	128	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$428</b>	<b>\$1,572</b>	<b>-</b>	<b>-\$11,062</b>	<b>-\$12,057</b>	<b>-111.6</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$428</b>	<b>\$1,572</b>	<b>-</b>	<b>-\$5,392</b>	<b>\$3,420</b>	<b>-6.2</b>
<b>Totals, Budget Adjustments</b>	<b>\$428</b>	<b>\$1,572</b>	<b>-</b>	<b>-\$5,392</b>	<b>\$3,420</b>	<b>-6.2</b>

**PROGRAM DESCRIPTIONS**

10 - ACCOUNTING AND REPORTING

The Division of Accounting and Reporting maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; maintains a database of information

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

and reports on local financial transactions; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; monitors the cash flow of the General Fund; prescribes uniform accounting procedures for presentation of financial data for local governments; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; services and collects loans formerly serviced by the Technology, Trade and Commerce Agency; refunds gasoline taxes paid on fuel consumed for off-highway purposes; maintains the suspended Property Tax Postponement Program for senior and disabled citizens; administers the statewide discharge from accountability program in conjunction with the California Victim Compensation and Government Claims Board; collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) to ensure best business practices; and participates in offsetting monies owed to the state and local governments.

### 20 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; is responsible for the implementation of audit functions for the Financial Information System for California (FI\$Cal); assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent auditors, performs field audits for a variety of state and federal programs, reviews the single audits reports of local governments and local education agencies that receive "pass-through federal funds" from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

### 30 - PERSONNEL/PAYROLL SERVICES

The Personnel/Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement and leave accounting systems in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division operates the Uniform State Payroll System and pays state employees within the rules set by collective bargaining and the laws that govern payroll; maintains the state's official employment history and leave accounting systems and business processes in conformance with the appropriate laws, rules and memorandums of understanding and policies of the participating salary setting authorities; maintains the state's position roster and provides a wide variety of management and user information. The Division works with other entities, e.g., California Department of Human Resources, Department of Finance, California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The 21st Century Project will replace existing statewide human resource management systems with a fully integrated solution (MyCalPAYS). Functionality will include employment, payroll, benefits, position management and leave accounting/timekeeping. The project is currently suspended pending further review of the implementation and go-forward plan.

### 50 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, and other business firms; and providing outreach and education for California businesses holding unclaimed property.

### 60 - ADMINISTRATION AND DISBURSEMENTS

The Executive Office and the Administration and Disbursements Division provide executive direction and support services to programs in the State Controller's Office. The primary responsibilities include the establishment and maintenance of communications between the department and the public, budgeting, human resources, accounting information systems, and business services. Disbursements' primary responsibility is to produce and mail or deliver all payments of the state's obligations, including personal income tax refunds, payroll, and retirement payments. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

## DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>ACCOUNTING AND REPORTING</b>			
	<b>State Operations:</b>			
0001	General Fund	\$12,896	\$12,405	\$14,752
0046	Public Transportation Account, State Transportation Fund	19	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	1,948	2,198	2,439
0062	Highway Users Tax Account, Transportation Tax Fund	462	471	471
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	17	17	17
0330	Local Revenue Fund	699	734	768

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0494 Other - Unallocated Special Funds	93	99	99
0797 Unallocated Bonds Funds - Select	620	666	667
0877 DMV Local Agency Collection Fund	2	2	2
0903 State Penalty Fund	210	254	332
0932 Trial Court Trust Fund	174	174	174
0969 Public Safety Account, Local Public Safety Fund	268	268	268
0988 Other - Unallocated Non-Governmental Cost Funds	254	271	271
0995 Reimbursements	2,949	3,491	3,533
6036 2002 State School Facilities Fund	-	7	7
6044 2004 State School Facilities Fund	-	188	188
6057 2006 State School Facilities Fund	634	473	473
9740 Central Service Cost Recovery Fund	<u>5,089</u>	<u>6,164</u>	<u>6,027</u>
<b>Totals, State Operations</b>	<b>\$26,334</b>	<b>\$27,901</b>	<b>\$30,507</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>20 AUDITS</b>			
<b>State Operations:</b>			
0001 General Fund	\$11,418	\$7,766	\$9,467
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	2,209	2,182	2,183
0062 Highway Users Tax Account, Transportation Tax Fund	1,025	1,083	1,151
0890 Federal Trust Fund	936	1,142	1,149
0903 State Penalty Fund	966	1,150	1,151
0970 Unclaimed Property Fund	2,451	2,260	2,290
0988 Other - Unallocated Non-Governmental Cost Funds	76	80	80
0995 Reimbursements	16,618	22,326	21,898
6036 2002 State School Facilities Fund	-	4	4
6044 2004 State School Facilities Fund	-	100	100
6057 2006 State School Facilities Fund	144	260	261
9740 Central Service Cost Recovery Fund	<u>6,375</u>	<u>7,579</u>	<u>7,013</u>
<b>Totals, State Operations</b>	<b>\$42,218</b>	<b>\$45,932</b>	<b>\$46,747</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>30 PERSONNEL/PAYROLL SERVICES</b>			
<b>State Operations:</b>			
0001 General Fund	\$15,147	\$21,879	\$18,472
0002 Property Acquisition Law Money Account	2	-	-
0003 Motor Vehicle Parking Facilities Moneys Account	2	-	-
0006 Disability Access Account	3	-	-
0009 Breast Cancer Control Account	15	3	-
0012 Attorney General Antitrust Account	2	-	-
0014 Hazardous Waste Control Account	37	4	-
0017 Fingerprint Fees Account	37	4	-
0020 California State Law Library Special Account	6	-	-
0022 State Emergency Telephone Number Account	27	-	-
0026 State Motor Vehicle Insurance Account	10	1	-
0028 Unified Program Account	10	1	-
0033 State Energy Conservation Assistance Account	2	1	-
0035 Surface Mining and Reclamation Account	2	-	-
0041 Aeronautics Account, State Transportation Fund	5	1	-
0042 State Highway Account, State Transportation Fund	3,696	387	-
0044 Motor Vehicle Account, State Transportation Fund	3,919	402	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0046 Public Transportation Account, State Transportation Fund	207	-	-
0054 New Motor Vehicle Board Account	3	-	-
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	122	12	-
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	40	3	-
0066 Sale of Tobacco to Minors Control Account	3	-	-
0067 State Corporations Fund	26	-	-
0069 Barbering and Cosmetology Contingent Fund	12	1	-
0070 Occupational Lead Poisoning Prevention Account	8	1	-
0074 Medical Waste Management Fund	3	-	-
0075 Radiation Control Fund	26	2	-
0076 Tissue Bank License Fund	1	-	-
0078 Graphic Design License Plate Account	1	-	-
0080 Childhood Lead Poisoning Prevention Fund	29	2	-
0082 Export Document Program Fund	1	-	-
0096 Cal-OSHA Targeted Inspection and Consultation Fund	6	-	-
0098 Clinical Laboratory Improvement Fund	11	1	-
0099 Health Statistics Special Fund	22	3	-
0100 California Used Oil Recycling Fund	4	1	-
0102 State Fire Marshal Licensing and Certification Fund	17	2	-
0106 Department of Pesticide Regulation Fund	36	4	-
0108 Acupuncture Fund	2	-	-
0111 Department of Agriculture Account, Department of Food and Agriculture Fund	176	9	-
0115 Air Pollution Control Fund	61	3	-
0117 Alcoholic Beverage Control Appeals Fund	2	-	-
0121 Hospital Building Fund	30	3	-
0133 California Beverage Container Recycling Fund	23	2	-
0139 Driving Under-the-Influence Program Licensing Trust Fund	3	1	-
0140 California Environmental License Plate Fund	213	4	-
0141 Soil Conservation Fund	2	-	-
0142 Department of Justice Sexual Habitual Offender Fund	2	-	-
0143 California Health Data and Planning Fund	12	1	-
0152 State Board of Chiropractic Examiners Fund	2	-	-
0158 Travel Seller Fund	1	-	-
0159 State Trial Court Improvement and Modernization Fund	8	1	-
0163 Continuing Care Provider Fee Fund	19	6	-
0166 Certification Account, Consumer Affairs Fund	2	-	-
0169 California Debt Limit Allocation Committee Fund	1	-	-
0171 California Debt and Investment Advisory Commission Fund	2	-	-
0172 Developmental Disabilities Program Development Fund	293	11	-
0177 Food Safety Fund	8	1	-
0178 Driver Training Penalty Assessment Fund	19	2	-
0179 Environmental Laboratory Improvement Fund	4	-	-
0181 Registered Nurse Education Fund	1	-	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0184 Employment Development Department Benefit Audit Fund	41	5	-
0185 Employment Development Department Contingent Fund	129	25	-
0193 Waste Discharge Permit Fund	22	3	-
0198 California Fire and Arson Training Fund	19	2	-
0200 Fish and Game Preservation Fund	130	13	-
0203 Genetic Disease Testing Fund	24	3	-
0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund	1	-	-
0207 Fish and Wildlife Pollution Account	3	-	-
0209 California Hazardous Liquid Pipeline Safety Fund	19	2	-
0212 Marine Invasive Species Control Fund	5	-	-
0214 Restitution Fund	25	2	-
0217 Insurance Fund	122	15	-
0223 Workers' Compensation Administration Revolving Fund	104	15	-
0226 California Tire Recycling Management Fund	5	1	-
0228 Secretary of State's Business Fees Fund	40	3	-
0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund	20	2	-
0234 Research Account, Cigarette and Tobacco Products Surtax Fund	6	-	-
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund	21	2	-
0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund	46	1	-
0239 Private Security Services Fund	13	1	-
0240 Local Agency Deposit Security Fund	1	-	-
0242 Court Collection Account	13	2	-
0243 Narcotic Treatment Program Licensing Trust Fund	3	1	-
0245 Mobilehome Parks and Special Occupancy Parks Revolving Fund	5	-	-
0247 Drinking Water Operator Certification Special Account	2	-	-
0260 Nursing Home Administrator's State License Examining Fund	1	-	-
0263 Off-Highway Vehicle Trust Fund	107	13	-
0264 Osteopathic Medical Board of California Contingent Fund	1	-	-
0267 Exposition Park Improvement Fund	1	-	-
0271 Certification Fund	19	7	-
0272 Infant Botulism Treatment and Prevention Fund	7	1	-
0279 Child Health and Safety Fund	42	18	-
0280 Physician Assistant Fund	1	-	-
0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account	1	-	-
0286 Lake Tahoe Conservancy Account	1	-	-
0289 State HICAP Fund	10	1	-
0290 Board of Pilot Commissioners' Special Fund	1	-	-
0293 Motor Carriers Safety Improvement Fund	3	-	-
0298 Financial Institutions Fund	21	-	-
0299 Credit Union Fund	6	-	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0300 Professional Forester Registration Fund	2	-	-
0305 Private Postsecondary Education Administration Fund	9	1	-
0306 Safe Drinking Water Account	15	1	-
0309 Perinatal Insurance Fund	2	-	-
0310 Psychology Fund	3	-	-
0312 Emergency Medical Services Personnel Fund	6	-	-
0313 Major Risk Medical Insurance Fund	6	-	-
0317 Real Estate Fund	34	3	-
0318 Collins-Dugan California Conservation Corps Reimbursement Account	-	8	-
0319 Respiratory Care Fund	2	-	-
0320 Oil Spill Prevention and Administration Fund	41	4	-
0322 Environmental Enhancement Fund	1	-	-
0325 Electronic and Appliance Repair Fund	4	-	-
0327 Court Interpreter's Fund	-	1	-
0328 Public School Planning, Design, and Construction Review Revolving Fund	16	2	-
0335 Registered Environmental Health Special Fund	1	-	-
0336 Mine Reclamation Account	4	-	-
0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund	7	1	-
0365 Historic Property Maintenance Fund	2	-	-
0367 Indian Gaming Special Distribution Fund	22	2	-
0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund	1	-	-
0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund	1	-	-
0378 False Claims Act Fund	6	1	-
0381 Public Interest Research, Development, and Demonstration Fund	10	-	-
0387 Integrated Waste Management Account, Integrated Waste Management Fund	27	1	-
0392 State Parks and Recreation Fund	239	28	-
0396 Self-Insurance Plans Fund	3	-	-
0400 Real Estate Appraisers Regulation Fund	3	-	-
0407 Teacher Credentials Fund	13	1	-
0408 Test Development and Administration Account, Teacher Credentials Fund	4	-	-
0410 Transcript Reimbursement Fund	1	-	-
0421 Vehicle Inspection and Repair Fund	138	8	-
0425 Victim - Witness Assistance Fund	11	-	-
0434 Air Toxics Inventory and Assessment Account	1	-	-
0439 Underground Storage Tank Cleanup Fund	85	10	-
0447 Wildlife Restoration Fund	2	1	-
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	2	-	-
0452 Elevator Safety Account	13	1	-
0453 Pressure Vessel Account	3	-	-
0457 Tax Credit Allocation Fee Account	2	-	-
0460 Dealers' Record of Sale Special Account	15	1	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0461 Public Utilities Commission Transportation Reimbursement Account	1	-	-
0462 Public Utilities Commission Utilities Reimbursement Account	6	1	-
0464 California High-Cost Fund-A Administrative Committee Fund	4	-	-
0465 Energy Resources Programs Account	18	2	-
0470 California High-Cost Fund-B Administrative Committee Fund	3	-	-
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	26	2	-
0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund	5	-	-
0493 California Teleconnect Fund Administrative Committee Fund	5	1	-
0494 Other Unallocated Special Funds	-	19	1,674
0501 California Housing Finance Funds	34	3	-
0502 California Water Resources Development Bond Fund	467	45	-
0512 State Compensation Insurance Fund	877	55	-
0514 Employment Training Fund	173	16	-
0516 Harbors and Watercraft Revolving Fund	28	6	-
0528 California Alternative Energy Authority Fund	1	-	-
0530 Mobilehome Park Purchase Fund	1	-	-
0557 Toxic Substances Control Account	44	4	-
0565 State Coastal Conservancy Fund	4	1	-
0567 Gambling Control Fund	6	1	-
0571 Uninsured Employers Benefits Trust Fund	24	2	-
0582 High Polluter Repair or Removal Account	-	3	-
0587 Family Law Trust Fund	3	-	-
0588 Unemployment Compensation Disability Fund	900	77	-
0592 Veterans' Farm and Home Building Fund of 1943	24	-	-
0602 Architecture Revolving Fund	15	2	-
0638 Administration Account, California Children and Families Trust Fund	4	-	-
0642 Domestic Violence Training and Education Fund	1	-	-
0648 Mobilehome-Manufactured Home Revolving Fund	13	1	-
0666 Service Revolving Fund	333	36	-
0679 State Water Quality Control Fund	7	1	-
0687 Donated Food Revolving Fund	85	9	-
0704 Accountancy Fund, Professions and Vocations Fund	7	1	-
0706 California Architects Board Fund	3	-	-
0717 Cemetery Fund	3	-	-
0735 Contractors' License Fund	36	3	-
0741 State Dentistry Fund	7	1	-
0750 State Funeral Directors and Embalmers Fund	2	-	-
0752 Home Furnishings and Thermal Insulation Fund	6	-	-
0757 California Board of Architectural Examiners - Landscape Architects Fund	1	-	-
0758 Contingent Fund of the Medical Board of California	34	3	-
0759 Physical Therapy Fund	2	-	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0761 Board of Registered Nursing Fund, Professions and Vocations Fund	17	2	-
0763 State Optometry Fund, Professions and Vocations Fund	1	-	-
0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund	9	1	-
0769 Private Investigator Fund	1	-	-
0770 Professional Engineers' and Land Surveyors' Fund	7	1	-
0771 Court Reporters Fund	1	-	-
0773 Behavioral Science Examiners Fund, Professions and Vocations Fund	5	-	-
0775 Structural Pest Control Fund	3	-	-
0777 Veterinary Medical Board Contingent Fund	2	-	-
0779 Vocational Nursing & Psychiatric Technicians Fund	7	1	-
0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund	2	-	-
0803 State Children's Trust Fund	5	2	-
0813 Self - Help Housing Fund	2	-	-
0815 Judges' Retirement Fund	1	-	-
0821 Flexelect Benefit Fund	12	2	-
0822 Public Employees' Health Care Fund (PEHCF)	12	2	-
0823 California Alzheimer's Disease and Related Disorders Research Fund	1	-	-
0829 Health Professions Education Fund	-	1	-
0830 Public Employees' Retirement Fund	240	30	-
0833 Annuitants' Health Care Coverage Fund	2	-	-
0835 Teachers' Retirement Fund	88	11	-
0840 California Motorcyclist Safety Fund	3	-	-
0884 Judges' Retirement System II Fund	1	-	-
0904 California Health Facilities Financing Authority Fund	2	-	-
0908 School Employees Fund	3	-	-
0911 Educational Facilities Authority Fund	1	-	-
0913 Industrial Relations Unpaid Wage Fund	3	-	-
0914 Bay Fill Clean-Up and Abatement Fund	1	-	-
0916 California Housing Loan Insurance Fund	1	-	-
0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund	6	-	-
0927 Joe Serna, Jr. Farm worker Housing Grant Fund	2	-	-
0928 Forest Resources Improvement Fund	48	6	-
0929 Housing Rehabilitation Loan Fund	8	1	-
0930 Pollution Control Financing Authority Fund	3	-	-
0932 Trial Court Trust Fund	6	4	-
0933 Managed Care Fund	28	3	-
0938 Rental Housing Construction Fund	2	-	-
0940 Bosco Keene Renewable Resources Investment Fund	1	-	-
0943 Land Bank Fund	1	-	-
0950 Public Employees Contingency Reserve Fund	23	-	-
0965 Timber Tax Fund	12	1	-
0985 Emergency Housing and Assistance Fund	2	-	-
0995 Reimbursements	8,322	9,645	9,645

\* Dollars in thousands, except in Salary Range.



## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
1008 Firearms Safety and Enforcement Special Fund	2	-	-
3002 Electrician Certification Fund	2	-	-
3004 Garment Industry Regulations Fund	2	-	-
3007 Traffic Congestion Relief Fund	13	-	-
3010 Pierce's Disease Management Account	10	2	-
3015 Gas Consumption Surcharge Fund	44	5	-
3016 Missing Persons DNA Data Base Fund	2	-	-
3017 Occupational Therapy Fund	1	-	-
3018 Drug and Device Safety Fund	7	1	-
3022 Apprenticeship Training Contribution Fund	7	1	-
3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account	1	-	-
3030 Workers' Occupational Safety and Health Education Fund	1	-	-
3036 Alcohol Beverages Control Fund	64	8	-
3037 State Court Facilities Construction Fund	50	8	-
3046 Oil , Gas, and Geothermal Administrative Fund	23	2	-
3053 Public Rights Law Enforcement Special Fund	3	-	-
3056 Safe Drinking Water and Toxic Enforcement Account	3	-	-
3057 Dam Safety Fund	6	1	-
3058 Water Rights Fund	6	1	-
3060 Appellate Court Trust Fund	4	1	-
3062 Energy Facility License and Compliance Fund	2	-	-
3063 State Responsibility Area Fire Prevention Fund	300	45	-
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	29	5	-
3067 Cigarette and Tobacco Products Compliance Fund	4	1	-
3074 Medical Marijuana Program Fund	1	-	-
3080 AIDS Drug Assistance Program Rebate Fund	2	-	-
3081 Cannery Inspection Fund	3	-	-
3084 State Certified Unified Program Account	2	-	-
3085 Mental Health Services Fund	792	40	-
3086 DNA Identification Fund	33	5	-
3087 Unfair Competition Law Fund	6	1	-
3088 Registry of Charitable Trusts Fund	2	-	-
3089 Public Utilities Commission Ratepayer Advocate Account	2	-	-
3098 State Department of Public Health Licensing and Certification Program Fund	105	10	-
3100 Department of Water Resources Electric Power Fund	14	1	-
3102 Acute Orphan Well Account, Oil, Gas, and Geothermal Administrative Fund	1	-	-
3103 Hatchery and Inland Fisheries Fund	27	2	-
3108 Professional Fiduciary Fund	1	-	-
3109 Natural Gas Subaccount	5	1	-
3113 Residential and Outpatient Program Licensing Fund	9	4	-
3114 Birth Defects Monitoring Fund	5	-	-
3117 Alternative and Renewable Fuel and Vehicle Technology Fund	32	3	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
3119 Air Quality Improvement Fund	16	2	-
3120 State Fire Marshal Fireworks Enforcement and Disposal Fund	2	-	-
3121 Occupational Safety and Health Fund	27	2	-
3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair and Removal Account	-	2	-
3140 State Dental Hygiene Fund	1	-	-
3141 California Advanced Services Fund	2	-	-
3142 State Dental Assistant Fund	2	-	-
3144 Building Standards Administration Special Revolving Fund	2	-	-
3152 Labor Enforcement and Compliance Fund	25	2	-
3153 Horse Racing Fund	5	1	-
3155 Lead-Related Construction Fund	1	-	-
3158 Hospital Quality Assurance Revenue Fund	-	1	-
3237 Cost of Implementation Account, Air Pollution Control Fund	-	3	-
3238 State Parks Revenue Incentive Subaccount, State Park and Recreation Fund	-	3	-
8018 Salton Sea Restoration Fund	2	1	-
8041 Teachers' Deferred Compensation Fund	1	-	-
8047 California Sea Otter Fund	1	-	-
8080 Clean Energy Job Creation Fund	-	1	-
9730 Technology Services Revolving Fund	122	2	-
9731 Legal Services Revolving Fund	96	13	-
9734 2004 Charter School Facilities Account, 2004 State Schools Facilities Fund	1	-	-
9739 State Water Pollution Control Revolving Fund Administration Fund	2	-	-
9740 Central Service Cost Recovery Fund	<u>6,681</u>	<u>7,955</u>	<u>8,276</u>
<b>Totals, State Operations</b>	<b>\$46,911</b>	<b>\$41,116</b>	<b>\$38,067</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>50 UNCLAIMED PROPERTY</b>			
<b>State Operations:</b>			
0001 General Fund	\$961	\$-	\$-
0970 Unclaimed Property Fund	30,330	33,541	36,116
0995 Reimbursements	<u>18</u>	<u>20</u>	<u>20</u>
<b>Totals, State Operations</b>	<b>\$31,309</b>	<b>\$33,561</b>	<b>\$36,136</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>60 ADMINISTRATION AND DISBURSEMENTS</b>			
<b>State Operations:</b>			
0001 General Fund	\$5,452	\$12,899	\$6,355
0995 Reimbursements	24,666	29,077	28,989
9740 Central Service Cost Recovery Fund	<u>1,959</u>	<u>2,469</u>	<u>2,099</u>
<b>Totals, State Operations</b>	<b>\$32,077</b>	<b>\$44,445</b>	<b>\$37,443</b>
<b>60 ELEMENT REQUIREMENTS</b>			
Disbursements and Support	76,220	90,951	80,314
70.01 Distributed to Other Programs	-44,143	-46,506	-42,871
<b>PROGRAM REQUIREMENTS</b>			

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>80 LOAN REPAYMENT PROGRAMS</b>			
<b>Local Assistance:</b>			
0001 General Fund	-\$180	-\$135	-\$52
<b>Totals, Local Assistance</b>	<b>-\$180</b>	<b>-\$135</b>	<b>-\$52</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	178,849	192,955	188,900
Local Assistance	<u>-180</u>	<u>-135</u>	<u>-52</u>
<b>Totals, Expenditures</b>	<b>\$178,669</b>	<b>\$192,820</b>	<b>\$188,848</b>

**EXPENDITURES BY CATEGORY**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>1 State Operations</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,297.4	1,398.3	1,286.7	\$82,968	\$83,686	\$77,992
Total Adjustments	<u>-</u>	<u>-</u>	<u>105.4</u>	<u>-</u>	<u>1,019</u>	<u>7,656</u>
<b>Net Totals, Salaries and Wages</b>	<b>1,297.4</b>	<b>1,398.3</b>	<b>1,392.1</b>	<b>\$82,968</b>	<b>\$84,705</b>	<b>\$85,648</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>34,356</u>	<u>41,027</u>	<u>39,334</u>
<b>Totals, Personal Services</b>	<b>1,297.4</b>	<b>1,398.3</b>	<b>1,392.1</b>	<b>\$117,324</b>	<b>\$125,732</b>	<b>\$124,982</b>
OPERATING EXPENSES AND EQUIPMENT						
				<u>\$61,525</u>	<u>\$67,223</u>	<u>\$63,918</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$178,849</b>	<b>\$192,955</b>	<b>\$188,900</b>

**2 Local Assistance**

	<u>Expenditures</u>		
	2012-13*	2013-14*	2014-15*
Loan Repayments from Local Agencies to the General Fund	-\$180	-\$135	-\$52
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>-\$180</b>	<b>-\$135</b>	<b>-\$52</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$87,948	\$54,566	\$49,046
Allocation for employee compensation	187	335	-
Adjustment per Section 3.60	664	141	-
Adjustment per Section 3.90	-1,671	-	-
Adjustment per Section 15.25	-221	-	-
Transfer to Legislative Claims (9670)	-	-95	-
Transfer from Item 9655-001-0001, per Provision 4	112	-	-
Penal Code Section 290.3 (b) (2)	2	-	-
Prior year balances available:			
Chapter 1, Statutes of 2012	1	1	-
Chapter 13, Statutes of 2012	<u>1</u>	<u>1</u>	<u>-</u>
<b>Totals Available</b>	<b>\$87,023</b>	<b>\$54,949</b>	<b>\$49,046</b>
Unexpended balance, estimated savings	-41,147	-	-
Balance available in subsequent years	<u>-2</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$45,874</b>	<b>\$54,949</b>	<b>\$49,046</b>

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0002 Property Acquisition Law Money Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0003 Motor Vehicle Parking Facilities Moneys Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0004 Breast Cancer Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>0</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0006 Disability Access Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0007 Breast Cancer Research Account, Breast Cancer Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0009 Breast Cancer Control Account, Breast Cancer Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$15</b>	<b>\$3</b>	<b>\$-</b>
<b>0012 Attorney General Antitrust Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0014 Hazardous Waste Control Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$37</u>	<u>\$4</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$37</b>	<b>\$4</b>	<b>\$-</b>
<b>0017 Fingerprint Fees Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$37</u>	<u>\$4</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$37</b>	<b>\$4</b>	<b>\$-</b>
<b>0020 California State Law Library Special Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>
<b>0022 State Emergency Telephone Number Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$27</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$27</b>	<b>\$-</b>	<b>\$-</b>
<b>0024 State Board of Guide Dogs for the Blind Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0026 State Motor Vehicle Insurance Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$10	\$1	\$-

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$10</b>	<b>\$1</b>	<b>\$-</b>
<b>0028 Unified Program Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$10</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$10</b>	<b>\$1</b>	<b>\$-</b>
<b>0029 Nuclear Planning Assessment Special Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0032 Firearm Safety Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0033 State Energy Conservation Assistance Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
Apportionment Payment System Assessments per Control Section 25.25	<u>-</u>	<u>1</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$1</b>	<b>\$-</b>
<b>0035 Surface Mining and Reclamation Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
Apportionment Payment System Assessments per Control Section 25.25	<u>-</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0041 Aeronautics Account, State Transportation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$1</b>	<b>\$-</b>
<b>0042 State Highway Account, State Transportation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3,696</u>	<u>\$387</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3,696</b>	<b>\$387</b>	<b>\$-</b>
<b>0044 Motor Vehicle Account, State Transportation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3,919</u>	<u>\$402</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3,919</b>	<b>\$402</b>	<b>\$-</b>
<b>0045 Bicycle Transportation Account, State Transportation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0046 Public Transportation Account, State Transportation Fund</b>			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$19	\$19	\$19
Human Resources Management System Assessments per Section 25.25	<u>207</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$226</b>	<b>\$19</b>	<b>\$19</b>
<b>0054 New Motor Vehicle Board Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0061 Motor Vehicle Fuel Account, Transportation Tax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,239	\$4,320	\$4,622

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Allocation for employee compensation	15	42	-
Adjustment per Section 3.60	60	18	-
Adjustment per Section 3.90	-151	-	-
Adjustment per Section 15.25	-6	-	-
Human Resources Management System Assessments per Section 25.25	<u>122</u>	<u>12</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4,279</b>	<b>\$4,392</b>	<b>\$4,622</b>
<b>0062 Highway Users Tax Account, Transportation Tax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,206	\$1,232	\$1,317
Allocation for employee compensation	4	12	-
Adjustment per Section 3.60	17	5	-
Adjustment per Section 3.90	-43	-	-
Adjustment per Section 15.25	-2	-	-
Apportionment Payment System Assessments per Section 25.50	<u>305</u>	<u>305</u>	<u>305</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,487</b>	<b>\$1,554</b>	<b>\$1,622</b>
<b>0064 Motor Vehicle License Fee Account, Transportation Tax Fund</b>			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$17	\$17	\$17
Human Resources Management System Assessments per Section 25.25	<u>40</u>	<u>3</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$57</b>	<b>\$20</b>	<b>\$17</b>
<b>0066 Sale of Tobacco to Minors Control Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0067 State Corporations Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$26</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$26</b>	<b>\$-</b>	<b>\$-</b>
<b>0069 Barbering and Cosmetology Contingent Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$12</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$12</b>	<b>\$1</b>	<b>\$-</b>
<b>0070 Occupational Lead Poisoning Prevention Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$8</b>	<b>\$1</b>	<b>\$-</b>
<b>0074 Medical Waste Management Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0075 Radiation Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$26</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$26</b>	<b>\$2</b>	<b>\$-</b>
<b>0076 Tissue Bank License Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
Apportionment Payment System Assessments per Control Section 25.25	<u>-</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0078 Graphic Design License Plate Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0080 Childhood Lead Poisoning Prevention Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$29</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$29</b>	<b>\$2</b>	<b>\$-</b>
<b>0082 Export Document Program Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0093 Construction Management Education Account (CMEA)</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0096 Cal-OSHA Targeted Inspection and Consultation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>
<b>0098 Clinical Laboratory Improvement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$11</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$11</b>	<b>\$1</b>	<b>\$-</b>
<b>0099 Health Statistics Special Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$22</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$22</b>	<b>\$3</b>	<b>\$-</b>
<b>0100 California Used Oil Recycling Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$1</b>	<b>\$-</b>
<b>0102 State Fire Marshal Licensing and Certification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$17</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$17</b>	<b>\$2</b>	<b>\$-</b>
<b>0106 Department of Pesticide Regulation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$36</u>	<u>\$4</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$36</b>	<b>\$4</b>	<b>\$-</b>
<b>0108 Acupuncture Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0111 Department of Agriculture Account, Department of Food and Agriculture Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$176</u>	<u>\$9</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$176</b>	<b>\$9</b>	<b>\$-</b>
<b>0115 Air Pollution Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$61	\$3	\$-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$61</b>	<b>\$3</b>	<b>\$-</b>
<b>0117 Alcoholic Beverage Control Appeals Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0120 California Mexican American Veteran's Memorial Beautification and Enhancement Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0121 Hospital Building Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$30</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$30</b>	<b>\$3</b>	<b>\$-</b>
<b>0124 California Agricultural Export Promotion Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0129 Water Device Certification Special Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0132 Workers' Compensation Managed Care Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0133 California Beverage Container Recycling Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$23</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$23</b>	<b>\$2</b>	<b>\$-</b>
<b>0139 Driving Under-the-Influence Program Licensing Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$1</b>	<b>\$-</b>
<b>0140 California Environmental License Plate Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$213</u>	<u>\$4</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$213</b>	<b>\$4</b>	<b>\$-</b>
<b>0141 Soil Conservation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0142 Department of Justice Sexual Habitual Offender Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0143 California Health Data and Planning Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$12</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$12</b>	<b>\$1</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.



**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0152 State Board of Chiropractic Examiners Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0158 Travel Seller Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0159 State Trial Court Improvement and Modernization Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$8</b>	<b>\$1</b>	<b>\$-</b>
<b>0163 Continuing Care Provider Fee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$19</u>	<u>\$6</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$19</b>	<b>\$6</b>	<b>\$-</b>
<b>0166 Certification Account, Consumer Affairs Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0169 California Debt Limit Allocation Committee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0170 Corrections Training Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0171 California Debt and Investment Advisory Commission Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0172 Developmental Disabilities Program Development Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$293</u>	<u>\$11</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$293</b>	<b>\$11</b>	<b>\$-</b>
<b>0175 Dispensing Opticians Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0177 Food Safety Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$8</b>	<b>\$1</b>	<b>\$-</b>
<b>0178 Driver Training Penalty Assessment Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$19</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$19</b>	<b>\$2</b>	<b>\$-</b>
<b>0179 Environmental Laboratory Improvement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$-</u>	<u>\$-</u>

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$-</b>	<b>\$-</b>
<b>0181 Registered Nurse Education Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0184 Employment Development Department Benefit Audit Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$41</u>	<u>\$5</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$41</b>	<b>\$5</b>	<b>\$-</b>
<b>0185 Employment Development Department Contingent Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$129</u>	<u>\$25</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$129</b>	<b>\$25</b>	<b>\$-</b>
<b>0191 Fair and Exposition Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0193 Waste Discharge Permit Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$22</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$22</b>	<b>\$3</b>	<b>\$-</b>
<b>0198 California Fire and Arson Training Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$19</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$19</b>	<b>\$2</b>	<b>\$-</b>
<b>0200 Fish and Game Preservation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$130</u>	<u>\$13</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$130</b>	<b>\$13</b>	<b>\$-</b>
<b>0203 Genetic Disease Testing Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$24</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$24</b>	<b>\$3</b>	<b>\$-</b>
<b>0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0207 Fish and Wildlife Pollution Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0209 California Hazardous Liquid Pipeline Safety Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$19</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$19</b>	<b>\$2</b>	<b>\$-</b>
<b>0210 Outpatient Setting Fund of the Medical Board of California</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0211 California Waterfowl Habitat Preservation Account, Fish and Game Preservation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0212 Marine Invasive Species Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$-</b>	<b>\$-</b>
<b>0214 Restitution Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$25</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$25</b>	<b>\$2</b>	<b>\$-</b>
<b>0217 Insurance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$122</u>	<u>\$15</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$122</b>	<b>\$15</b>	<b>\$-</b>
<b>0223 Workers' Compensation Administration Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$104</u>	<u>\$15</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$104</b>	<b>\$15</b>	<b>\$-</b>
<b>0226 California Tire Recycling Management Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$1</b>	<b>\$-</b>
<b>0228 Secretary of State's Business Fees Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$40</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$40</b>	<b>\$3</b>	<b>\$-</b>
<b>0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$20</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$20</b>	<b>\$2</b>	<b>\$-</b>
<b>0234 Research Account, Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>
<b>0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$21</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$21</b>	<b>\$2</b>	<b>\$-</b>
<b>0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$46</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$46</b>	<b>\$1</b>	<b>\$-</b>
<b>0239 Private Security Services Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$13</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$13</b>	<b>\$1</b>	<b>\$-</b>
<b>0240 Local Agency Deposit Security Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0242 Court Collection Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$13</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$13</b>	<b>\$2</b>	<b>\$-</b>
<b>0243 Narcotic Treatment Program Licensing Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$1</b>	<b>\$-</b>
<b>0245 Mobilehome Parks and Special Occupancy Parks Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$-</b>	<b>\$-</b>
<b>0247 Drinking Water Operator Certification Special Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0256 Sexual Predator Public Information Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0260 Nursing Home Administrator's State License Examining Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0263 Off-Highway Vehicle Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$107</u>	<u>\$13</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$107</b>	<b>\$13</b>	<b>\$-</b>
<b>0264 Osteopathic Medical Board of California Contingent Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0267 Exposition Park Improvement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0271 Certification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$19</u>	<u>\$7</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$19</b>	<b>\$7</b>	<b>\$-</b>
<b>0272 Infant Botulism Treatment and Prevention Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>0275 Hazardous and Idle-Deserted Well Abatement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0276 Penalty Account, California Beverage Container Recycling Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0279 Child Health and Safety Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$42	\$18	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$42</b>	<b>\$18</b>	<b>\$-</b>
<b>0280 Physician Assistant Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0286 Lake Tahoe Conservancy Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0289 State HICAP Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$10	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$10</b>	<b>\$1</b>	<b>\$-</b>
<b>0290 Board of Pilot Commissioners' Special Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0293 Motor Carriers Safety Improvement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0295 Board of Podiatric Medicine Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0298 Financial Institutions Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$21	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$21</b>	<b>\$-</b>	<b>\$-</b>
<b>0299 Credit Union Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>
<b>0300 Professional Forester Registration Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0305 Private Postsecondary Education Administration Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Human Resources Management System Assessments per Section 25.25	<u>\$9</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$9</b>	<b>\$1</b>	<b>\$-</b>
<b>0306 Safe Drinking Water Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$15</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$15</b>	<b>\$1</b>	<b>\$-</b>
<b>0309 Perinatal Insurance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0310 Psychology Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0312 Emergency Medical Services Personnel Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>
<b>0313 Major Risk Medical Insurance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>
<b>0317 Real Estate Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$34</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$34</b>	<b>\$3</b>	<b>\$-</b>
<b>0318 Collins-Dugan California Conservation Corps Reimbursement Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$8</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$8</b>	<b>\$-</b>
<b>0319 Respiratory Care Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0320 Oil Spill Prevention and Administration Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$41</u>	<u>\$4</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$41</b>	<b>\$4</b>	<b>\$-</b>
<b>0322 Environmental Enhancement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0325 Electronic and Appliance Repair Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$-</b>	<b>\$-</b>
<b>0326 Athletic Commission Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0327 Court Interpreters' Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$1</b>	<b>\$-</b>
<b>0328 Public School Planning, Design, and Construction Review Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$16	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$16</b>	<b>\$2</b>	<b>\$-</b>
<b>0330 Local Revenue Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$609	\$625	\$668
Allocation for employee compensation	3	7	-
Adjustment per Section 3.60	8	2	-
Adjustment per Section 3.90	-21	-	-
Apportionment Payment System Assessments per Section 25.50	100	100	100
<b>TOTALS, EXPENDITURES</b>	<b>\$699</b>	<b>\$734</b>	<b>\$768</b>
<b>0335 Registered Environmental Health Specialist Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0336 Mine Reclamation Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$-</b>	<b>\$-</b>
<b>0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$7	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>0347 School Land Bank Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0365 Historic Property Maintenance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0367 Indian Gaming Special Distribution Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$22	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$22</b>	<b>\$2</b>	<b>\$-</b>
<b>0368 Asbestos Consultant Certification Account, Asbestos Training and Consultant Certification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0369 Asbestos Training Approval Account, Asbestos Training and Consultant Certification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0378 False Claims Act Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$1</b>	<b>\$-</b>
<b>0381 Public Interest Research, Development, and Demonstration Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$10	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$10</b>	<b>\$-</b>	<b>\$-</b>
<b>0386 Solid Waste Disposal Site Cleanup Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$27	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$27</b>	<b>\$1</b>	<b>\$-</b>
<b>0392 State Parks and Recreation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$239	\$28	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$239</b>	<b>\$28</b>	<b>\$-</b>
<b>0396 Self-Insurance Plans Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0399 Structural Pest Control Education and Enforcement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0400 Real Estate Appraisers Regulation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0407 Teacher Credentials Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$13	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$13</b>	<b>\$1</b>	<b>\$-</b>
<b>0408 Test Development and Administration Account, Teacher Credentials Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$-</b>	<b>\$-</b>
<b>0410 Transcript Reimbursement Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.



**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0412 Transportation Rate Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0421 Vehicle Inspection and Repair Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$138</u>	<u>\$8</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$138</b>	<b>\$8</b>	<b>\$-</b>
<b>0425 Victim - Witness Assistance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$11</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$11</b>	<b>\$-</b>	<b>\$-</b>
<b>0434 Air Toxics Inventory and Assessment Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0436 Underground Storage Tank Tester Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0439 Underground Storage Tank Cleanup Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$85</u>	<u>\$10</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$85</b>	<b>\$10</b>	<b>\$-</b>
<b>0447 Wildlife Restoration Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$1</b>	<b>\$-</b>
<b>0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0452 Elevator Safety Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$13</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$13</b>	<b>\$1</b>	<b>\$-</b>
<b>0453 Pressure Vessel Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0457 Tax Credit Allocation Fee Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0459 Telephone Medical Advice Services Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0460 Dealers' Record of Sale Special Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$15	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$15</b>	<b>\$1</b>	<b>\$-</b>
<b>0461 Public Utilities Commission Transportation Reimbursement Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0462 Public Utilities Commission Utilities Reimbursement Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$1</b>	<b>\$-</b>
<b>0464 California High-Cost Fund-A Administrative Committee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$-</b>	<b>\$-</b>
<b>0465 Energy Resources Programs Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$18	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$18</b>	<b>\$2</b>	<b>\$-</b>
<b>0470 California High-Cost Fund-B Administrative Committee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$26	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$26</b>	<b>\$2</b>	<b>\$-</b>
<b>0478 Vectorborne Disease Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$-</b>	<b>\$-</b>
<b>0491 Payphone Service Providers Committee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0492 State Athletic Commission Neurological Examination Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0493 California Teleconnect Fund Administrative Committee Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$1</b>	<b>\$-</b>
<b>0494 Other - Unallocated Special Funds</b>			
APPROPRIATIONS			
011 Budget Act appropriation	\$96	\$98	\$99

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-3	-	-
Human Resources Management System Assessments per Section 25.25	-	19	1,674
<b>Totals Available</b>	<b>\$94</b>	<b>\$118</b>	<b>\$1,773</b>
Unexpended balance, estimated savings	-1	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$93</b>	<b>\$118</b>	<b>\$1,773</b>
<b>0497 Local Government Geothermal Resources Revolving Subaccount, Geothermal Resources Development Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0501 California Housing Finance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$34	\$3	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$34</b>	<b>\$3</b>	<b>\$-</b>
<b>0502 California Water Resources Development Bond Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$467	\$45	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$467</b>	<b>\$45</b>	<b>\$-</b>
<b>0512 State Compensation Insurance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$877	\$55	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$877</b>	<b>\$55</b>	<b>\$-</b>
<b>0514 Employment Training Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$173	\$16	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$173</b>	<b>\$16</b>	<b>\$-</b>
<b>0516 Harbors and Watercraft Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$28	\$6	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$28</b>	<b>\$6</b>	<b>\$-</b>
<b>0518 Health Facility Construction Loan Insurance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0526 California School Finance Authority Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0528 California Alternative Energy Authority Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0530 Mobilehome Park Purchase Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0557 Toxic Substances Control Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$44	\$4	\$-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	\$44	\$4	\$-
<b>0558 Farm and Ranch Solid Waste Cleanup and Abatement Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>0565 State Coastal Conservancy Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	\$4	\$1	\$-
<b>0566 Department of Justice Child Abuse Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>0567 Gambling Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	\$6	\$1	\$-
<b>0568 Tahoe Conservancy Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>0571 Uninsured Employers Benefits Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$24	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	\$24	\$2	\$-
<b>0582 High Polluter Repair or Removal Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$3	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$3	\$-
<b>0587 Family Law Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$3	\$-	\$-
<b>0588 Unemployment Compensation Disability Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$900	\$77	\$-
<b>TOTALS, EXPENDITURES</b>	\$900	\$77	\$-
<b>0592 Veterans' Farm and Home Building Fund of 1943</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$24	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$24	\$-	\$-
<b>0602 Architecture Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$15	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	\$15	\$2	\$-
<b>0638 Administration Account, California Children and Families Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$4	\$-	\$-
<b>0642 Domestic Violence Training and Education Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0648 Mobilehome-Manufactured Home Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$13</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$13</b>	<b>\$1</b>	<b>\$-</b>
<b>0666 Service Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$333</u>	<u>\$36</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$333</b>	<b>\$36</b>	<b>\$-</b>
<b>0679 State Water Quality Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>0687 Donated Food Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$85</u>	<u>\$9</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$85</b>	<b>\$9</b>	<b>\$-</b>
<b>0704 Accountancy Fund, Professions and Vocations Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>0706 California Architects Board Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0717 Cemetery Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0735 Contractors' License Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$36</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$36</b>	<b>\$3</b>	<b>\$-</b>
<b>0739 State School Building Aid Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0741 State Dentistry Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>0750 State Funeral Directors and Embalmers Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0752 Home Furnishings and Thermal Insulation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0757 California Board of Architectural Examiners - Landscape Architects Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0758 Contingent Fund of the Medical Board of California</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$34	\$3	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$34</b>	<b>\$3</b>	<b>\$-</b>
<b>0759 Physical Therapy Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0761 Board of Registered Nursing Fund, Professions and Vocations Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$17	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$17</b>	<b>\$2</b>	<b>\$-</b>
<b>0763 State Optometry Fund, Professions and Vocations Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$9	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$9</b>	<b>\$1</b>	<b>\$-</b>
<b>0769 Private Investigator Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0770 Professional Engineer's and Land Surveyor's Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$7	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>0771 Court Reporters Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0773 Behavioral Science Examiners Fund, Professions and Vocations Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$-</b>	<b>\$-</b>
<b>0775 Structural Pest Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0777 Veterinary Medical Board Contingent Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0779 Vocational Nursing &amp; Psychiatric Technicians Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$7	\$1	\$-

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

1 STATE OPERATIONS	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	\$7	\$1	\$-
<b>0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0797 Unallocated Bond Funds - Select</b>			
APPROPRIATIONS			
011 Budget Act appropriation	\$642	\$656	\$667
Allocation for employee compensation	3	7	-
Adjustment per Section 3.60	9	3	-
Adjustment per Section 3.90	<u>-22</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$632</b>	<b>\$666</b>	<b>\$667</b>
Unexpended balance, estimated savings	<u>-12</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$620</b>	<b>\$666</b>	<b>\$667</b>
<b>0803 State Children's Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$2</b>	<b>\$-</b>
<b>0813 Self-Help Housing Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0815 Judges' Retirement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0816 Audit Repayment Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0820 Legislators' Retirement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0821 Flexelect Benefit Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$12</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$12</b>	<b>\$2</b>	<b>\$-</b>
<b>0822 Public Employees' Health Care Fund (PEHCF)</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$12</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$12</b>	<b>\$2</b>	<b>\$-</b>
<b>0823 California Alzheimer's Disease and Related Disorders Research Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0829 Health Professions Education Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$1</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0830 Public Employees' Retirement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$240	\$30	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$240</b>	<b>\$30</b>	<b>\$-</b>
<b>0833 Annuitants' Health Care Coverage Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0835 Teachers' Retirement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$88	\$11	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$88</b>	<b>\$11</b>	<b>\$-</b>
<b>0840 California Motorcyclist Safety Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0849 Replacement Benefit Custodial Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0877 DMV Local Agency Collection Fund</b>			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$2	\$2	\$2
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$2</b>	<b>\$2</b>
<b>0884 Judges' Retirement System II Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0886 California Seniors Special Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,122	\$1,127	\$1,149
Allocation for employee compensation	3	10	-
Adjustment per Section 3.60	16	5	-
Adjustment per Section 3.90	-39	-	-
Budget Adjustment	-166	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$936</b>	<b>\$1,142</b>	<b>\$1,149</b>
<b>0903 State Penalty Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,356	\$1,385	\$1,483
Allocation for employee compensation	4	13	-
Adjustment per Section 3.60	19	6	-
Adjustment per Section 3.90	-47	-	-
Adjustment per Section 15.25	-2	-	-
<b>Totals Available</b>	<b>\$1,330</b>	<b>\$1,404</b>	<b>\$1,483</b>
Unexpended balance, estimated savings	-154	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,176</b>	<b>\$1,404</b>	<b>\$1,483</b>

\* Dollars in thousands, except in Salary Range.



**0840 State Controller - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0904 California Health Facilities Financing Authority Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0908 School Employees Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0911 Educational Facilities Authority Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0913 Industrial Relations Unpaid Wage Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0914 Bay Fill Clean-Up and Abatement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0916 California Housing Loan Insurance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$-</b>	<b>\$-</b>
<b>0927 Joe Serna, Jr. Farmworker Housing Grant Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0928 Forest Resources Improvement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$48</u>	<u>\$6</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$48</b>	<b>\$6</b>	<b>\$-</b>
<b>0929 Housing Rehabilitation Loan Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$8</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$8</b>	<b>\$1</b>	<b>\$-</b>
<b>0930 Pollution Control Financing Authority Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>0932 Trial Court Trust Fund</b>			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$174	\$174	\$174
Human Resources Management System Assessments per Section 25.25	<u>6</u>	<u>4</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$180</b>	<b>\$178</b>	<b>\$174</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0933 Managed Care Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$28</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$28</b>	<b>\$3</b>	<b>\$-</b>
<b>0938 Rental Housing Construction Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>0940 Bosco-Keene Renewable Resources Investment Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0943 Land Bank Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>0950 Public Employees Contingency Reserve Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$23</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$23</b>	<b>\$-</b>	<b>\$-</b>
<b>0954 Student Loan Authority Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0965 Timber Tax Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$12</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$12</b>	<b>\$1</b>	<b>\$-</b>
<b>0969 Public Safety Account, Local Public Safety Fund</b>			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	<u>\$268</u>	<u>\$268</u>	<u>\$268</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$268</b>	<b>\$268</b>	<b>\$268</b>
<b>0970 Unclaimed Property Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$33,309	\$35,380	\$38,406
Allocation for employee compensation	120	298	-
Adjustment per Section 3.60	413	123	-
Adjustment per Section 3.90	-1,015	-	-
Adjustment per Section 15.25	<u>-46</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$32,781</b>	<b>\$35,801</b>	<b>\$38,406</b>
<b>0972 Manufactured Home Recovery Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0979 California Firefighters' Memorial Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0980 Predevelopment Loan Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>0983 California Fund for Senior Citizens</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>0985 Emergency Housing and Assistance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$2	\$-	\$-
<b>0988 Other - Unallocated Non-Governmental Cost Funds</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$248	\$252	\$256
Allocation for employee compensation	1	3	-
Adjustment per Section 3.60	3	1	-
Adjustment per Section 3.90	-9	-	-
011 Budget Act appropriation	92	94	95
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-3	-	-
<b>Totals Available</b>	<b>\$333</b>	<b>\$351</b>	<b>\$351</b>
Unexpended balance, estimated savings	-3	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$330</b>	<b>\$351</b>	<b>\$351</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$52,573	\$64,559	\$64,085
<b>1008 Firearms Safety and Enforcement Special Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$2	\$-	\$-
<b>3002 Electrician Certification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$2	\$-	\$-
<b>3004 Garment Industry Regulations Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$2	\$-	\$-
<b>3007 Traffic Congestion Relief Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$13	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$13	\$-	\$-
<b>3010 Pierce's Disease Management Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$10	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	\$10	\$2	\$-
<b>3015 Gas Consumption Surcharge Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$44	\$5	\$-
<b>TOTALS, EXPENDITURES</b>	\$44	\$5	\$-
<b>3016 Missing Persons DNA Data Base Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3017 Occupational Therapy Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>3018 Drug and Device Safety Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>3022 Apprenticeship Training Contribution Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$7</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$7</b>	<b>\$1</b>	<b>\$-</b>
<b>3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>3030 Workers' Occupational Safety and Health Education Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>3034 Antiterrorism Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3035 Environmental Quality Assessment Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3036 Alcohol Beverages Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$64</u>	<u>\$8</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$64</b>	<b>\$8</b>	<b>\$-</b>
<b>3037 State Court Facilities Construction Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$50</u>	<u>\$8</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$50</b>	<b>\$8</b>	<b>\$-</b>
<b>3039 Dentally Underserved Account, State Dentistry Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3046 Oil, Gas, and Geothermal Administrative Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$23</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$23</b>	<b>\$2</b>	<b>\$-</b>
<b>3053 Public Rights Law Enforcement Special Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>3056 Safe Drinking Water and Toxic Enforcement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>3057 Dam Safety Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$1</b>	<b>\$-</b>
<b>3058 Water Rights Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$1</b>	<b>\$-</b>
<b>3060 Appellate Court Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$1</b>	<b>\$-</b>
<b>3062 Energy Facility License and Compliance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3063 State Responsibility Area Fire Prevention Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$300</u>	<u>\$45</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$300</b>	<b>\$45</b>	<b>\$-</b>
<b>3064 Mental Health Practitioner Education Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$29</u>	<u>\$5</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$29</b>	<b>\$5</b>	<b>\$-</b>
<b>3067 Cigarette and Tobacco Products Compliance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4</b>	<b>\$1</b>	<b>\$-</b>
<b>3068 Vocational Nurse Education Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3069 Naturopathic Doctor's Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3070 Nontoxic Dry Cleaning Incentive Trust Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3072 Car Wash Worker Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3074 Medical Marijuana Program Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>3078 Labor and Workforce Development Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3080 AIDS Drug Assistance Program Rebate Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3081 Cannery Inspection Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3</b>	<b>\$-</b>	<b>\$-</b>
<b>3084 State Certified Unified Program Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$792</u>	<u>\$40</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$792</b>	<b>\$40</b>	<b>\$-</b>
<b>3086 DNA Identification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$33</u>	<u>\$5</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$33</b>	<b>\$5</b>	<b>\$-</b>
<b>3087 Unfair Competition Law Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$6</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$1</b>	<b>\$-</b>
<b>3088 Registry of Charitable Trusts Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3089 Public Utilities Commission Ratepayer Advocate Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3091 Certified Access Specialist Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3098 State Department of Public Health Licensing and Certification Program Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$105</u>	<u>\$10</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$105</b>	<b>\$10</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>3100 Department of Water Resources Electric Power Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$14</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$14</b>	<b>\$1</b>	<b>\$-</b>
<b>3102 Acute Orphan Well Account, Oil, Gas, and Geothermal Administrative Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>3103 Hatchery and Inland Fisheries Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$27</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$27</b>	<b>\$2</b>	<b>\$-</b>
<b>3108 Professional Fiduciary Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$1</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$1</b>	<b>\$-</b>
<b>3113 Residential and Outpatient Program Licensing Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$9</u>	<u>\$4</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$9</b>	<b>\$4</b>	<b>\$-</b>
<b>3114 Birth Defects Monitoring Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$-</b>	<b>\$-</b>
<b>3117 Alternative and Renewable Fuel and Vehicle Technology Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$32</u>	<u>\$3</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$32</b>	<b>\$3</b>	<b>\$-</b>
<b>3119 Air Quality Improvement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$16</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$16</b>	<b>\$2</b>	<b>\$-</b>
<b>3120 State Fire Marshal Fireworks Enforcement and Disposal Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3121 Occupational Safety and Health Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$27</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$27</b>	<b>\$2</b>	<b>\$-</b>
<b>3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$-</u>	<u>\$2</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$2</b>	<b>\$-</b>

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>3123 Coastal Act Services Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3131 California Bingo Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3132 Charity Bingo Mitigation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3137 Emergency Medical Technician Certification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3139 Specialized License Plate Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3140 State Dental Hygiene Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>3141 California Advanced Services Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3142 State Dental Assistant Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3144 Building Standards Administration Special Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>3150 State Public Works Enforcement Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>3152 Labor Enforcement and Compliance Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$25	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$25</b>	<b>\$2</b>	<b>\$-</b>
<b>3153 Horse Racing Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$5</b>	<b>\$1</b>	<b>\$-</b>
<b>3155 Lead-Related Construction Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-

\* Dollars in thousands, except in Salary Range.



**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	\$1	\$-	\$-
<b>3158 Hospital Quality Assurance Revenue Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$1	\$-
<b>3160 Wastewater Operator Certification Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>3165 Enterprise Zone Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>3228 Greenhouse Gas Reduction Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>3237 Cost of Implementation Account, Air Pollution Control Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$3	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$3	\$-
<b>3238 State Parks Revenue Incentive Subaccount, State Parks and Recreation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$3	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$3	\$-
<b>3240 Secondhand Dealer and Pawnbroker Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>3242 Child Performer Services Permit Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>3244 Political Disclosure, Accountability, Transparency, and Access Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>3245 Disability Access and Education Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	\$-	\$-	\$-
<b>6036 2002 State School Facilities Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$11	\$11
<b>TOTALS, EXPENDITURES</b>	\$-	\$11	\$11
<b>6044 2004 State School Facilities Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$284	\$288
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	-	1	-
<b>TOTALS, EXPENDITURES</b>	\$-	\$288	\$288

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>6057 2006 State School Facilities Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$997	\$723	\$734
Allocation for employee compensation	4	7	-
Adjustment per Section 3.60	14	3	-
Adjustment per Section 3.90	-34	-	-
<b>Totals Available</b>	<b>\$981</b>	<b>\$733</b>	<b>\$734</b>
Unexpended balance, estimated savings	-203	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$778</b>	<b>\$733</b>	<b>\$734</b>
<b>8001 Teachers' Health Benefits Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8018 Salton Sea Restoration Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$1</b>	<b>\$-</b>
<b>8020 Environmental Education Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8026 Petroleum Underground Storage Tank Financing Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8039 Disaster Resistant Communities Account</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8041 Teachers' Deferred Compensation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>8047 California Sea Otter Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>8065 Safely Surrendered Baby Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8074 California Youth Leadership Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8075 School Supplies for Homeless Children Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8076 State Parks Protection Fund</b>			
APPROPRIATIONS			

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8077 California YMCA Youth and Government Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8079 Women and Girls Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>8080 Clean Energy Job Creation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$1	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$1</b>	<b>\$-</b>
<b>9250 Boxers' Pension Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>9330 Clean and Renewable Energy Business Financing Revolving Loan Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>9730 Technology Services Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$122	\$2	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$122</b>	<b>\$2</b>	<b>\$-</b>
<b>9731 Legal Services Revolving Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$96	\$13	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$96</b>	<b>\$13</b>	<b>\$-</b>
<b>9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$1</b>	<b>\$-</b>	<b>\$-</b>
<b>9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>9736 Transit-Oriented Development Implementation Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$-	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>9739 State Water Pollution Control Revolving Fund Administration Fund</b>			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	\$-	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$2</b>	<b>\$-</b>	<b>\$-</b>
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,361	\$23,845	\$23,415
Allocation for employee compensation	79	235	-
Adjustment per Section 3.60	263	87	-

\* Dollars in thousands, except in Salary Range.

## 0840 State Controller - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Adjustment per Section 3.90	-660	-	-
Adjustment per Section 15.25	-28	-	-
Transfer from Item 9655-001-0001, per Provision 4	<u>89</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b><u>\$20,104</u></b>	<b><u>\$24,167</u></b>	<b><u>\$23,415</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b><u>\$178,849</u></b>	<b><u>\$192,955</u></b>	<b><u>\$188,900</u></b>
2 LOCAL ASSISTANCE	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS	<u>-</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>
Loan repayment per Government Code Section 15373.2(b)	-51	-16	-
Loan repayment per Government Code Section 15373	<u>-129</u>	<u>-119</u>	<u>-52</u>
<b>NET TOTALS, EXPENDITURES</b>	<b><u>\$-180</u></b>	<b><u>\$-135</u></b>	<b><u>\$-52</u></b>
<b>0979 California Firefighters' Memorial Fund</b>			
APPROPRIATIONS	<u>-</u>	<u>-</u>	<u>-</u>
101 Budget Act appropriation	\$500	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-532	-500	-
Revised expenditure authority per Provision 1(b)	32	-	-
Allocation to California Firefighters' Memorial Fund	<u>-</u>	<u>-</u>	<u>-500</u>
<b>TOTALS, EXPENDITURES</b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b><u>\$-180</u></b>	<b><u>\$-135</u></b>	<b><u>\$-52</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b><u>\$178,669</u></b>	<b><u>\$192,820</u></b>	<b><u>\$188,848</u></b>

## FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>0442 California Olympic Training Account <sup>s</sup></b>			
BEGINNING BALANCE	\$6	-	-
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
143000 Personalized License Plates	78	\$78	\$78
Transfers and Other Adjustments:			
TO0001 To General Fund Transfer per Government Code Section 7592	<u>-82</u>	<u>-78</u>	<u>-78</u>
Total Revenues, Transfers, and Other Adjustments	<u>-\$4</u>	<u>-</u>	<u>-</u>
Total Resources	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-

## CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	1,297.4	1,398.3	1,286.7	\$82,968	\$83,686	\$77,992
Salary Adjustments	-	-	-	-	1,019	1,017
<b>Proposed New Positions:</b>				<b>Salary Range</b>		
21st Century-Litigation:						
Chief, Info Systems Division (exp. 6/30/15)	-	-	1.0	9,468-10,749	-	121
Data Processing Manager IV (exp. 6/30/15)	-	-	1.0	7,825-9,331	-	103
Staff Services Manager III (exp. 6/30/15)	-	-	1.0	6,779-7,698	-	87
Staff Services Manager I (exp. 6/30/15)	-	-	1.0	5,079-6,311	-	68
Assoc. Governmental Prog Analyst (exp. 6/30/15)	-	-	1.0	4,400-5,508	-	60

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
CalPERS Pension System Wkld:						
Staff Info System Analyst (exp. 6/30/19)	-	-	1.0	5,065-6,660	-	70
Payroll Officer (exp. 6/30/19)	-	-	3.0	4,402-5,471	-	178
Assoc. Governmental Prog Analyst (exp. 6/30/19)	-	-	3.0	4,400-5,508	-	178
Senior Payroll Specialist (exp. 6/30/19)	-	-	8.0	3,658-4,579	-	396
Sustained Accounting & Reporting Wkld:						
Acctg Administrator I-Spec (exp. 6/30/16)	-	-	2.0	4,833-6,050	-	131
Assoc. Governmental Prog Analyst (exp. 6/30/16)	-	-	0.1	4,400-5,508	-	6
UPD Fraud Claims & Detection:						
Staff Services Manager II (exp. 6/30/16)	-	-	1.0	5,576-6,727	-	74
Sr. Prog Analyst (Spec) (exp. 6/30/16)	-	-	2.0	5,571-7,109	-	152
Sys. Software Spec II (Tech) (exp. 6/30/16)	-	-	1.0	5,561-7,097	-	76
Staff Services Manager I (exp. 6/30/16)	-	-	1.0	5,079-6,311	-	67
Assoc. Info Sys Analyst (exp. 6/30/16)	-	-	1.0	4,619-5,897	-	63
Assoc. Governmental Prog Analyst (exp. 6/30/16)	-	-	10.0	4,400-5,508	-	585
UPD Insurance:						
Staff Services Manager I	-	-	1.0	5,079-6,311	-	68
Assoc. Govt'l Prog Analyst	-	-	9.0	4,400-5,508	-	534
Program Technician II	-	-	1.0	2,638-3,305	-	37
UPD Holder Compliance:						
Senior Mgmt Auditor	-	-	2.0	5,576-7,275	-	154
Staff Services Manager I	-	-	1.0	5,079-6,311	-	68
Assoc. Governmental Prog Analyst	-	-	5.0	4,400-5,508	-	298
Staff Mgmt Auditor (Spec)	-	-	3.0	4,833-6,353	-	201
Associate Mgmt Auditor	-	-	6.0	4,619-6,074	-	385
Staff Srvc Mgmt Auditor	-	-	5.0	3,106-5,050	-	245
Program Technician II	-	-	1.0	2,638-3,305	-	36
Local Government Reporting Oversight:						
Senior Mgmt Auditor (exp. 6/30/17)	-	-	(1.0)	5,576-7,275	-	77
Acctg Administrator II (exp. 6/30/17)	-	-	(0.5)	5,576-6,929	-	38
Staff Mgmt Auditor (Spec) (exp. 6/30/17)	-	-	(2.0)	4,833-6,353	-	134
Acctg Administrator I (Spec) (exp. 6/30/17)	-	-	(3.0)	4,833-6,050	-	195
Associate Mgmt Auditor (exp. 6/30/17)	-	-	(2.0)	4,619-6,074	-	128
Assoc. Governmental Prog Analyst (exp. 6/30/17)	-	-	(0.5)	4,400-5,508	-	30
Payroll Training for Departments:						
Assoc. Governmental Prog Analyst (exp. 6/30/16)	-	-	4.0	4,400-5,508	-	238
UPD Penalty and Interest Wkld:						
Accounting Analyst	-	-	3.0	3,106-4,810	-	-
UPD Securities Property Maintenance Wkld:						
Associate Accounting Analyst (exp. 6/30/17)	-	-	16.5	4,619-5,784	-	1,030
Assoc. Governmental Prog Analyst (exp. 6/30/17)	-	-	0.6	4,400-5,508	-	36
Accounting Analyst (exp. 6/30/17)	-	-	5.0	3,106-4,810	-	237
Office Technician (G) (exp. 6/30/17)	-	-	1.0	2,638-3,305	-	36
Temporary Help	-	-	-	-	-	-182
State Payroll System Modification for Pension and Healthcare Reform Legislation:						
Staff Info System Analyst (Spec) (exp. 6/30/16)	-	-	1.0	5,065-6,660	-	70

\* Dollars in thousands, except in Salary Range.

**0840 State Controller - Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Assoc. Governmental Program Analyst (exp. 6/30/16)	-	-	2.2	4,400-5,508	-	131
<b>Totals Proposed New Positions</b>	<b>-</b>	<b>-</b>	<b>105.4</b>	<b>\$-</b>	<b>\$-</b>	<b>\$6,639</b>
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>105.4</b>	<b>\$-</b>	<b>\$1,019</b>	<b>\$7,656</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>1,297.4</b>	<b>1,398.3</b>	<b>1,392.1</b>	<b>\$82,968</b>	<b>\$84,705</b>	<b>\$85,648</b>

**0845 Department of Insurance**

California's publicly-elected Insurance Commissioner regulates the largest insurance market in the United States, and the eighth largest insurance market in the world, with \$123 billion in direct premiums; enforces the California Insurance Code; and oversees the California Department of Insurance (CDI). The CDI acts to ensure vibrant markets where insurers keep their promises and the health and economic security of individuals, families and businesses are protected.

The CDI licenses and regulates insurance companies, agents and brokers in California. Currently, the CDI oversees and licenses approximately 1,300 insurance companies and 340,000 individuals and business entities as insurance agents, brokers, adjusters and bail agents. Additionally, the CDI receives and investigates over 250,000 consumer inquiries and complaints annually; performs examinations to ensure the financial solvency of companies; receives approximately 28,000 suspected fraudulent claim referrals annually; and works in conjunction with local, state and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Regulation of Insurance Companies and Insurance Producers	450.2	465.5	468.5	\$71,087	\$78,910	\$80,351
12 Consumer Protection	308.8	325.0	343.5	49,233	51,408	54,033
20 Fraud Control	273.5	286.3	286.3	99,354	111,586	108,735
30 General Fund Tax Collection and Audits	13.5	14.0	14.0	1,753	1,940	1,951
50.01 Administration	223.4	237.0	237.0	27,652	29,478	29,491
50.02 Distributed Administration	-	-	-	-27,652	-29,478	-29,491
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>1,269.4</b>	<b>1,327.8</b>	<b>1,349.3</b>	<b>\$221,427</b>	<b>\$243,844</b>	<b>\$245,070</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0217 Insurance Fund				\$220,108	\$240,737	\$241,717
0890 Federal Trust Fund				710	2,857	3,103
0995 Reimbursements				609	250	250
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$221,427</b>	<b>\$243,844</b>	<b>\$245,070</b>

**LEGAL CITATIONS AND AUTHORITY**

## PROGRAM AUTHORITY

10-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16032, except as noted below.

12-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

20-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

30-General Fund Tax Collection and Audits:

\* Dollars in thousands, except in Salary Range.

**0845 Department of Insurance - Continued**

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Health Care Coverage Market Reform (Chapter 1, Statutes of 2013, First Extraordinary Session)	\$-	\$-	-	\$-	\$1,011	9.0
• Electronic Notice Transmission (Chapter 369, Statutes of 2013)	-	-	-	-	773	5.0
• Community Development Financial Institution Investments (Chapter 608, Statutes of 2013)	-	-	-	-	555	5.0
• Accelerated Death Benefit (Chapter 345, Statutes of 2013)	-	-	-	-	370	3.0
• Office of Patient Advocate (Chapter 552, Statutes of 2011)	-	-	-	-	163	1.5
• Stop-Loss Insurance (Chapter 443, Statutes of 2013)	-	-	-	-	76	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$2,948</b>	<b>23.5</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$2,216	-	\$-	\$2,322	-
• Retirement Rate Adjustment	-	685	-	-	685	-
• Limited Term Positions/Expiring Programs	-	-	-	-	-1,881	-6.0
• One Time Cost Reductions	-	-	-	-	-1,026	-
• Full Year Cost of New/Expanded Programs	-	-	-	-	432	-
• Miscellaneous Adjustments	-	3,725	-	-	3,881	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$6,626</b>	<b>-</b>	<b>\$-</b>	<b>\$4,413</b>	<b>-6.0</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$6,626</b>	<b>-</b>	<b>\$-</b>	<b>\$7,361</b>	<b>17.5</b>
<b>Policy Adjustments</b>						
• Principle Based Reserve	\$-	\$-	-	\$-	\$491	4.0
<b>Totals, Policy Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$491</b>	<b>4.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$6,626</b>	<b>-</b>	<b>\$-</b>	<b>\$7,852</b>	<b>21.5</b>

**PROGRAM DESCRIPTIONS****10 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS**

The objectives of this program are to: (1) prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers; (2) prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) ensure that insurance rates are not excessive, inadequate, unfairly discriminatory or otherwise in violation of the Insurance Code; and (4) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Through the Conservation and Liquidation Office, the CDI administers the estates of insolvent and delinquent insurance companies.

**12 - CONSUMER PROTECTION**

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers and (2) protect applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance.

**20 - FRAUD CONTROL**

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution or adjudication those who commit insurance fraud and other violations of the law. The program is staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases.

**30 - GENERAL FUND TAX COLLECTION AND AUDITS**

\* Dollars in thousands, except in Salary Range.

## 0845 Department of Insurance - Continued

This General Fund tax collection program performs tax collection, accounting and tax audits of insurance companies and surplus line brokers. The program staff audit insurers' tax returns to determine compliance with the laws contained in both the Insurance Code and Revenue and Taxation Code as well as assist the Board of Equalization and the State Controller's Office with various refund, assessment and accounting matters relative to the premium program. Tax collections from this program are deposited in the General Fund.

### 50 - ADMINISTRATION

This program provides administrative support services to the CDI including budgets, accounting, human resources, business services and information technology, as well as legislative and legal services.

#### DETAILED EXPENDITURES BY PROGRAM

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>10 REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS</b>			
<b>State Operations:</b>			
0217 Insurance Fund	\$70,368	\$75,803	\$76,998
0890 Federal Trust Fund	710	2,857	3,103
0995 Reimbursements	9	250	250
<b>Totals, State Operations</b>	<b>\$71,087</b>	<b>\$78,910</b>	<b>\$80,351</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>10.30 Rate Regulation</b>	<b>\$22,428</b>	<b>\$24,748</b>	<b>\$25,819</b>
<b>State Operations:</b>			
0217 Insurance Fund	21,709	21,641	22,466
0890 Federal Trust Fund	710	2,857	3,103
0995 Reimbursements	9	250	250
<b>10.40 Regulatory</b>	<b>\$16,116</b>	<b>\$22,809</b>	<b>\$23,598</b>
<b>State Operations:</b>			
0217 Insurance Fund	16,116	22,809	23,598
<b>10.51 Licensing</b>	<b>\$26,677</b>	<b>\$25,888</b>	<b>\$24,913</b>
<b>State Operations:</b>			
0217 Insurance Fund	26,677	25,888	24,913
<b>10.70 Special Programs</b>	<b>\$5,866</b>	<b>\$5,465</b>	<b>\$6,021</b>
<b>State Operations:</b>			
0217 Insurance Fund	5,866	5,465	6,021
<b>PROGRAM REQUIREMENTS</b>			
<b>12 CONSUMER PROTECTION</b>			
<b>State Operations:</b>			
0217 Insurance Fund	\$47,508	\$50,658	\$53,283
0995 Reimbursements	600	-	-
<b>Totals, State Operations</b>	<b>\$48,108</b>	<b>\$50,658</b>	<b>\$53,283</b>
<b>Local Assistance:</b>			
0217 Insurance Fund	\$1,125	\$750	\$750
<b>Totals, Local Assistance</b>	<b>\$1,125</b>	<b>\$750</b>	<b>\$750</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>12.10 Legal Compliance</b>	<b>\$6,891</b>	<b>\$9,195</b>	<b>\$9,698</b>
<b>State Operations:</b>			
0217 Insurance Fund	6,891	9,195	9,698
<b>12.20 Investigations</b>	<b>\$16,198</b>	<b>\$15,680</b>	<b>\$15,809</b>
<b>State Operations:</b>			
0217 Insurance Fund	15,073	14,930	15,059

\* Dollars in thousands, except in Salary Range.



**0845 Department of Insurance - Continued**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Local Assistance:</b>				
0217	Insurance Fund	1,125	750	750
<b>12.30</b>	<b>Consumer Services and Market Conduct</b>	<b>\$26,144</b>	<b>\$26,533</b>	<b>\$28,526</b>
<b>State Operations:</b>				
0217	Insurance Fund	25,544	26,533	28,526
0995	Reimbursements	600	-	-
<b>PROGRAM REQUIREMENTS</b>				
<b>20</b>	<b>FRAUD CONTROL</b>			
<b>State Operations:</b>				
0217	Insurance Fund	<u>\$43,821</u>	<u>\$48,113</u>	<u>\$48,094</u>
	<b>Totals, State Operations</b>	<b>\$43,821</b>	<b>\$48,113</b>	<b>\$48,094</b>
<b>Local Assistance:</b>				
0217	Insurance Fund	<u>\$55,533</u>	<u>\$63,473</u>	<u>\$60,641</u>
	<b>Totals, Local Assistance</b>	<b>\$55,533</b>	<b>\$63,473</b>	<b>\$60,641</b>
<b>ELEMENT REQUIREMENTS</b>				
<b>20.10</b>	<b>Fraud - Auto</b>	<b>\$41,134</b>	<b>\$45,897</b>	<b>\$44,316</b>
<b>State Operations:</b>				
0217	Insurance Fund	19,183	22,221	22,365
<b>Local Assistance:</b>				
0217	Insurance Fund	21,951	23,676	21,951
<b>20.20</b>	<b>Fraud - Workers' Compensation</b>	<b>\$52,188</b>	<b>\$52,215</b>	<b>\$52,347</b>
<b>State Operations:</b>				
0217	Insurance Fund	20,318	20,341	20,473
<b>Local Assistance:</b>				
0217	Insurance Fund	31,870	31,874	31,874
<b>20.30</b>	<b>Fraud - General Assessment</b>	<b>\$2,323</b>	<b>\$2,460</b>	<b>\$2,476</b>
<b>State Operations:</b>				
0217	Insurance Fund	2,323	2,460	2,476
<b>20.40</b>	<b>Fraud - Disability and Healthcare</b>	<b>\$3,709</b>	<b>\$11,014</b>	<b>\$9,596</b>
<b>State Operations:</b>				
0217	Insurance Fund	1,997	3,091	2,780
<b>Local Assistance:</b>				
0217	Insurance Fund	1,712	7,923	6,816
<b>PROGRAM REQUIREMENTS</b>				
<b>30</b>	<b>GENERAL FUND TAX COLLECTION AND AUDITS</b>			
<b>State Operations:</b>				
0217	Insurance Fund	<u>\$1,753</u>	<u>\$1,940</u>	<u>\$1,951</u>
	<b>Totals, State Operations</b>	<b>\$1,753</b>	<b>\$1,940</b>	<b>\$1,951</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	164,769	179,621	183,679
	Local Assistance	<u>56,658</u>	<u>64,223</u>	<u>61,391</u>
	<b>Totals, Expenditures</b>	<b>\$221,427</b>	<b>\$243,844</b>	<b>\$245,070</b>

**EXPENDITURES BY CATEGORY**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>1 State Operations</b>						
PERSONAL SERVICES						
	1,269.4	1,327.8	1,321.8	\$85,070	\$92,633	\$93,311

\* Dollars in thousands, except in Salary Range.

## 0845 Department of Insurance - Continued

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Total Adjustments	-	-	27.5	-	1,587	3,878
<b>Net Totals, Salaries and Wages</b>	<b>1,269.4</b>	<b>1,327.8</b>	<b>1,349.3</b>	<b>\$85,070</b>	<b>\$94,220</b>	<b>\$97,189</b>
Staff Benefits	-	-	-	36,743	38,947	38,522
<b>Totals, Personal Services</b>	<b>1,269.4</b>	<b>1,327.8</b>	<b>1,349.3</b>	<b>\$121,813</b>	<b>\$133,167</b>	<b>\$135,711</b>
OPERATING EXPENSES AND EQUIPMENT				42,956	46,454	47,968
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$164,769</b>	<b>\$179,621</b>	<b>\$183,679</b>

2 Local Assistance	Expenditures		
	2012-13*	2013-14*	2014-15*
Counties-District Attorneys	\$56,658	\$64,223	\$61,391
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$56,658</b>	<b>\$64,223</b>	<b>\$61,391</b>

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
011 Budget Act appropriation (Loan to the Insurance Fund)	\$-	(\$1,122)	\$-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0217 Insurance Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$167,268	\$173,613	\$180,326
Allocation for employee compensation	587	2,216	-
Adjustment per Section 3.60	2,162	685	-
Adjustment per Section 3.90	-5,429	-	-
<b>Totals Available</b>	<b>\$164,588</b>	<b>\$176,514</b>	<b>\$180,326</b>
Unexpended balance, estimated savings	-1,138	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$163,450</b>	<b>\$176,514</b>	<b>\$180,326</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$721	\$857	\$3,103
Budget Adjustment	-11	2,000	-
<b>TOTALS, EXPENDITURES</b>	<b>\$710</b>	<b>\$2,857</b>	<b>\$3,103</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$609	\$250	\$250
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$164,769</b>	<b>\$179,621</b>	<b>\$183,679</b>
<b>2 LOCAL ASSISTANCE</b>			
<b>0217 Insurance Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$57,037	\$62,498	\$61,391
Revised expenditure authority per Provision 1	-	1,725	-
<b>Totals Available</b>	<b>\$57,037</b>	<b>\$64,223</b>	<b>\$61,391</b>
Unexpended balance, estimated savings	-379	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$56,658</b>	<b>\$64,223</b>	<b>\$61,391</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$56,658</b>	<b>\$64,223</b>	<b>\$61,391</b>

\* Dollars in thousands, except in Salary Range.

**0845 Department of Insurance - Continued**

<b>2 LOCAL ASSISTANCE</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$221,427</b>	<b>\$243,844</b>	<b>\$245,070</b>

**FUND CONDITION STATEMENTS**

	2012-13*	2013-14*	2014-15*
<b>0217 Insurance Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$36,526	\$30,384	\$13,771
Prior year adjustments	<u>2,054</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$38,580	\$30,384	\$13,771
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
123100 Insurance Co License Fees & Penalties	33,911	40,450	43,232
123200 Insurance Company Examination Fees	20,471	19,246	20,599
127100 Insurance Department Fees, Prop 103	27,296	28,017	28,879
127200 Insurance Department Fees, General	23,140	25,961	27,208
127300 Insurance Fraud Assessment, Workers Comp	50,010	49,631	52,554
127400 Insurance Fraud Assessment, Auto	48,066	48,479	49,130
127500 Insurance Fraud Assessment, General	8,146	12,321	12,564
141200 Sales of Documents	106	106	106
142500 Miscellaneous Services to the Public	12	12	12
150300 Income From Surplus Money Investments	70	70	70
161000 Escheat of Unclaimed Checks & Warrants	6	6	6
161400 Miscellaneous Revenue	343	225	225
161900 Other Revenue - Cost Recoveries	1,702	684	384
Transfers and Other Adjustments:			
FO0001 From General Fund loan per Item 0845-011-0001, Budget Act of 2013	-	1,122	-
TO3209 To Office of Patient Advocate Trust Fund Per Chapter 552, Statutes of 2011	<u>-132</u>	<u>-271</u>	<u>-273</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$213,147</u>	<u>\$226,059</u>	<u>\$234,696</u>
Total Resources	\$251,727	\$256,443	\$248,467
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	122	15	-
0845 Department of Insurance			
State Operations	163,450	176,514	180,326
Local Assistance	56,658	64,223	61,391
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	913	1,146	1,156
8880 Financial Information System for California (State Operations)	830	774	144
Expenditure Adjustments:			
1690 Alfred E. Alquist Seismic Safety Commission			
Less Funding provided by General Fund (State Operations)	<u>-630</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$221,343</u>	<u>\$242,672</u>	<u>\$243,017</u>
FUND BALANCE	\$30,384	\$13,771	\$5,450
Reserve for economic uncertainties	30,384	13,771	5,450

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	1,269.4	1,327.8	1,321.8	\$85,070	\$92,633	\$93,311
Salary Adjustments	-	-	-	-	1,587	1,587
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Financial Surveillance Branch:						

\* Dollars in thousands, except in Salary Range.

## 0845 Department of Insurance - Continued

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Chief Actuary (Cycle III - Federal Grant)	-	-	-	10,053-11,349	-	102
Senior Life Actuary (Cycle III - Federal Grant)	-	-	-	7,667-10,482	-	272
Associate Life Actuary (Cycle III - Federal Grant)	-	-	-	7,037-9,600	-	62
<b>Total Workload &amp; Administrative Adjustments</b>	-	-	-	<b>\$-</b>	<b>\$-</b>	<b>\$436</b>
<b>Proposed New Positions:</b>						
Financial Surveillance Branch:						
Senior Life Actuary	-	-	1.0	7,667-10,482	-	109
Statistical Methods Analyst III	-	-	1.0	4,611-5,770	-	62
Statistical Methods Analyst II	-	-	2.0	3,826-4,792	-	104
Legal Branch:						
Attorney I (2.0 LT positions exp 6/30/15)	-	-	3.0	4,674-8,141	-	231
Temporary Help	-	-	-	4,674-8,141	-	51
Community Programs Branch:						
CEA A (1.0 LT position exp 6/30/17)	-	-	1.0	6,173-8,874	-	120
Investment Officer I (3.0 LT positions exp 6/30/17)	-	-	3.0	3,185-6,050	-	166
Office Technician (Typing) (1.0 LT position exp 6/30/17)	-	-	1.0	2,686-3,362	-	36
Administration & Licensing Services Branch:						
Staff Information Systems Analyst (1.0 LT position exp 6/30/17)	-	-	1.0	5,065-6,660	-	70
Consumer Services & Market Conduct Branch:						
Associate Insurance Rate Analyst (1.0 LT position exp 6/30/17)	-	-	2.0	4,619-6,050	-	128
Associate Insurance Compliance Officer (2.0 LT positions exp 6/30/17)	-	-	11.5	4,619-5,784	-	718
Enforcement Branch, Investigation Division:						
Special Investigator	-	-	1.0	3,902-6,076	-	60
<b>Totals Proposed New Positions</b>	-	-	<b>27.5</b>	<b>\$-</b>	<b>\$-</b>	<b>\$1,855</b>
<b>Total Adjustments</b>	-	-	<b>27.5</b>	<b>\$-</b>	<b>\$1,587</b>	<b>\$3,878</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>1,269.4</b>	<b>1,327.8</b>	<b>1,349.3</b>	<b>\$85,070</b>	<b>\$94,220</b>	<b>\$97,189</b>

## 0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities.

In the 28 years since sales began in October 1985 through June 30, 2013, the California State Lottery has raised more than \$26 billion for public education, including nearly \$1.3 billion in FY 2012-13.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2013-14 and 2014-15 cannot be made with certainty.

\* Dollars in thousands, except in Salary Range.

## 0850 California State Lottery Commission - Continued

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### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

**0850 California State Lottery Commission - Continued****Statement of Operations**

	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Lottery sales	\$4,445,874	\$5,100,000	\$5,100,000
Less prizes	<u>2,652,095</u>	<u>3,151,287</u>	<u>3,151,287</u>
Sales after prizes	1,793,779	1,948,713	1,948,713
Less Game Costs:			
Retailer costs	302,946	351,238	351,238
Draw game costs	51,553	70,304	70,304
Instant ticket game costs	<u>25,598</u>	<u>30,657</u>	<u>30,657</u>
Total, Game Costs	\$380,097	\$452,199	\$452,199
Resources before operating expenses	\$1,413,682	\$1,496,514	\$1,496,514
Operating Expenses:			
Salaries, wages and benefits	56,781	70,452	70,452
Advertising	56,044	65,054	65,054
Promotion, public relations and point-of-sale	7,855	15,637	15,637
Other professional services	12,651	14,431	14,431
Depreciation and amortization	5,980	6,870	6,870
Other general and administrative expenses	<u>13,581</u>	<u>38,357</u>	<u>38,357</u>
Total, Operating Expenses	\$152,892	\$210,801	\$210,801
Income and Proceeds to Education	1,260,789	1,285,713	1,285,713
Interest Income	<u>1,269</u>	<u>1,500</u>	<u>1,500</u>
Net Resources	\$1,262,058	\$1,287,213	\$1,287,213
Unclaimed Prizes	22,313	25,000	25,000
Administrative Reserve	<u>0</u>	<u>19,119</u>	<u>19,119</u>
<b>TOTAL RESOURCES DUE TO EDUCATION FUND</b>	<b>\$1,284,371</b>	<b>\$1,331,332</b>	<b>\$1,331,332</b>

\* Dollars in thousands, except in Salary Range.

**0850 California State Lottery Commission - Continued****Distribution of State Lottery Education Fund Revenues**

	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Department of Education (K-12)	\$1,038,836	\$1,076,819	\$1,076,819
California Community Colleges	168,726	174,895	174,895
California State University	46,209	47,898	47,898
University of California	29,917	31,011	31,011
Other Public Colleges and Universities	164	170	170
Miscellaneous Educational Institutions	519	539	539
<b>TOTALS</b>	<b><u>\$1,284,371</u></b>	<b><u>\$1,331,332</u></b>	<b><u>\$1,331,332</u></b>

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\* Dollars in thousands, except in Salary Range.

## 0850 California State Lottery Commission - Continued

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0562 State Lottery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	(\$531,700)	(\$633,100)	(\$633,000)
Revised estimated expenditures	<u>(1,289)</u>	<u>(-)</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>	<b><u>\$-</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

## 0855 California Gambling Control Commission

The California Gambling Control Commission (Commission) has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, Tribal casinos, and charitable organizations that offer remote caller bingo, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 88 licensed cardrooms in California over which the Commission has regulatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distribution of Tribal gaming revenues to various state funds and to authorized federally-recognized non-Compact Tribes, and (2) monitoring of Tribal gaming through initial and periodic background checks of key employees, vendors, and financial sources.

The Commission has regulatory responsibilities related to remote caller bingo.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 California Gambling Control Commission	<u>62.5</u>	<u>35.0</u>	<u>35.0</u>	<u>\$97,325</u>	<u>\$112,291</u>	<u>\$102,799</u>
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>62.5</b>	<b>35.0</b>	<b>35.0</b>	<b>\$97,325</b>	<b>\$112,291</b>	<b>\$102,799</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0366 Indian Gaming Revenue Sharing Trust Fund				\$78,376	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund				16,289	11,905	2,640
0567 Gambling Control Fund				2,654	3,874	3,646
3131 California Bingo Fund				-	2	2
3132 Charity Bingo Mitigation Fund				<u>6</u>	<u>10</u>	<u>11</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$97,325</b>	<b>\$112,291</b>	<b>\$102,799</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.3-326.5, and 337j

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						

\* Dollars in thousands, except in Salary Range.



**0855 California Gambling Control Commission - Continued**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$81	-	\$-	\$83	-
• Retirement Rate Adjustment	-	20	-	-	20	-
• Legislation With An Appropriation	-	9,100	-	-	-	-
• Miscellaneous Adjustments	-	-	-	-	-395	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$9,201</b>	<b>-</b>	<b>\$-</b>	<b>-\$292</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$9,201</b>	<b>-</b>	<b>\$-</b>	<b>-\$292</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$9,201</b>	<b>-</b>	<b>\$-</b>	<b>-\$292</b>	<b>-</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	62.5	35.0	35.0	\$4,457	\$2,876	\$2,890
Total Adjustments	-	-	-	-	60	60
<b>Net Totals, Salaries and Wages</b>	<b>62.5</b>	<b>35.0</b>	<b>35.0</b>	<b>\$4,457</b>	<b>\$2,936</b>	<b>\$2,950</b>
Staff Benefits	-	-	-	1,861	1,375	1,365
<b>Totals, Personal Services</b>	<b>62.5</b>	<b>35.0</b>	<b>35.0</b>	<b>\$6,318</b>	<b>\$4,311</b>	<b>\$4,315</b>
OPERATING EXPENSES AND EQUIPMENT				\$3,572	\$2,380	\$1,984
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$9,890</b>	<b>\$6,691</b>	<b>\$6,299</b>

**2 Local Assistance**

	Expenditures		
	2012-13*	2013-14*	2014-15*
Grants and Subventions	\$87,435	\$105,600	\$96,500
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$87,435</b>	<b>\$105,600</b>	<b>\$96,500</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0367 Indian Gaming Special Distribution Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,038	\$2,763	\$2,640
Allocation for employee compensation	24	34	-
Adjustment per Section 3.60	92	8	-
Adjustment per Section 3.90	-233	-	-
<b>Totals Available</b>	<b>\$8,921</b>	<b>\$2,805</b>	<b>\$2,640</b>
Unexpended balance, estimated savings	-1,691	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$7,230</b>	<b>\$2,805</b>	<b>\$2,640</b>
<b>0567 Gambling Control Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,319	\$3,816	\$3,646
Allocation for employee compensation	9	47	-
Adjustment per Section 3.60	32	11	-
Adjustment per Section 3.90	-82	-	-

\* Dollars in thousands, except in Salary Range.

## 0855 California Gambling Control Commission - Continued

1 STATE OPERATIONS	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Totals Available</b>	<b>\$3,278</b>	<b>\$3,874</b>	<b>\$3,646</b>
Unexpended balance, estimated savings	-624	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,654</b>	<b>\$3,874</b>	<b>\$3,646</b>
<b>3131 California Bingo Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$-	\$2
Chapter 32, Statutes of 2013	-	2	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$2</b>	<b>\$2</b>
<b>3132 Charity Bingo Mitigation Fund</b>			
APPROPRIATIONS			
Interest Expense on Indian Gaming Special Distribution Fund per Penal Code section 326.4 (d) (1)	\$6	\$10	\$11
<b>TOTALS, EXPENDITURES</b>	<b>\$6</b>	<b>\$10</b>	<b>\$11</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$9,890</b>	<b>\$6,691</b>	<b>\$6,299</b>
<b>2 LOCAL ASSISTANCE</b>			
<b>0366 Indian Gaming Revenue Sharing Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$96,500</u>	<u>\$96,500</u>	<u>\$96,500</u>
<b>Totals Available</b>	<b>\$96,500</b>	<b>\$96,500</b>	<b>\$96,500</b>
Unexpended balance, estimated savings	<u>-18,124</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$78,376</b>	<b>\$96,500</b>	<b>\$96,500</b>
<b>0367 Indian Gaming Special Distribution Fund</b>			
APPROPRIATIONS			
111 Budget Act appropriation (Transfer to the Indian Gaming Revenue Sharing Trust Fund)	(\$50,000)	(\$40,000)	(\$25,000)
Chapter 704, Statutes of 2012	9,100	-	-
Chapter 746, Statutes of 2013	-	9,100	-
Prior year balances available:			
Chapter 719, Statutes of 2010	<u>141</u>	<u>141</u>	<u>-</u>
<b>Totals Available</b>	<b>\$9,241</b>	<b>\$9,241</b>	<b>\$-</b>
Unexpended balance, estimated savings	-41	-141	-
Balance available in subsequent years	<u>-141</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$9,059</b>	<b>\$9,100</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$87,435</b>	<b>\$105,600</b>	<b>\$96,500</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$97,325</b>	<b>\$112,291</b>	<b>\$102,799</b>

### FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>0367 Indian Gaming Special Distribution Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$61,106	\$30,496	\$8,606
Prior year adjustments	<u>-592</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$60,514	\$30,496	\$8,606
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	63	60	60
125800 Renewal Fees	55	55	55
142500 Miscellaneous Services to the Public	102	150	150
150300 Income From Surplus Money Investments	118	200	200
150500 Interest Income From Interfund Loans	6	-	-

\* Dollars in thousands, except in Salary Range.

**0855 California Gambling Control Commission - Continued**

	2012-13*	2013-14*	2014-15*
162000 Tribal Gaming Revenues	42,590	46,026	46,376
Transfers and Other Adjustments:			
TO0366 To Indian Gaming Revenue Sharing Trust Fund per Item 0855-111-0367, Budget Acts	-33,500	-28,200	-25,000
Total Revenues, Transfers, and Other Adjustments	<u>\$9,434</u>	<u>\$18,291</u>	<u>\$21,841</u>
Total Resources	\$69,948	\$48,787	\$30,447
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0820 Department of Justice (State Operations)	14,630	19,658	19,332
0840 State Controller (State Operations)	22	2	-
0855 California Gambling Control Commission			
State Operations	7,230	2,805	2,640
Local Assistance	9,059	9,100	-
4200 Department of Alcohol and Drug Programs			
State Operations	4,270	-	-
Local Assistance	4,000	-	-
4265 Department of Public Health			
State Operations	-	4,384	4,297
Local Assistance	-	4,000	4,000
7501 Department of Human Resources (State Operations)	100	100	75
8880 Financial Information System for California (State Operations)	<u>141</u>	<u>132</u>	<u>22</u>
Total Expenditures and Expenditure Adjustments	<u>\$39,452</u>	<u>\$40,181</u>	<u>\$30,366</u>
FUND BALANCE	\$30,496	\$8,606	\$81
Reserve for economic uncertainties	30,496	8,606	81

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	62.5	35.0	35.0	\$4,457	\$2,876	\$2,890
Salary Adjustments	-	-	-	-	60	60
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$60</u>	<u>\$60</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>62.5</b>	<b>35.0</b>	<b>35.0</b>	<b>\$4,457</b>	<b>\$2,936</b>	<b>\$2,950</b>

**0860 State Board of Equalization**

The State Board of Equalization (BOE) serves the public through fair, effective, and efficient tax administration. BOE administers agency tax and fee programs, adopts rules and regulations to clarify tax laws, acts as an appellate body for the review of property, business and income tax assessments, assesses and allocates property values of railroads and specified utilities, and oversees the property tax assessment practices of all 58 county assessors.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
15 County Assessment Standards Program	74.3	83.1	83.1	\$8,984	\$9,984	\$9,997
20 State-Assessed Property Program	67.4	83.7	83.7	7,467	9,371	9,386
25 Timber Tax Program	11.6	12.0	12.0	1,469	2,447	2,407
30 Sales and Use Tax Program	3,596.1	3,959.0	3,971.9	398,258	442,061	442,250
35 Hazardous Substances Tax Program	37.9	47.1	47.1	3,768	4,836	4,844
40 Alcoholic Beverage Tax Program	20.2	22.7	22.7	2,110	2,759	2,762
41 Tire Recycling Fee Program	15.1	17.9	17.9	1,445	1,694	1,696

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
45 Cigarette and Tobacco Products Tax Program	103.2	112.7	112.7	23,686	24,997	24,753
46 Cigarette and Tobacco Products Licensing Program	73.8	78.9	78.9	8,490	9,925	9,891
50 Transportation Fund Tax Program	171.8	202.4	178.8	22,922	28,255	28,221
56 Occupational Lead Poisoning Prevention Fee Program	7.5	8.2	8.2	723	846	844
57 Integrated Waste Management Program	3.1	5.1	5.1	387	550	547
58 Underground Storage Tank Fee Program	22.4	29.3	29.3	2,690	3,532	3,523
59 Oil Spill Prevention Program	1.8	1.8	1.8	257	303	301
60 Energy Resources Surcharge Program	1.9	2.5	2.5	260	298	297
61 Annual Water Rights Fee Program	4.3	4.8	4.8	412	485	484
62 Childhood Lead Poisoning Prevention Fee Program	3.2	5.1	5.1	394	595	596
63 Marine Invasive Species Program	2.6	4.0	4.0	287	475	476
64 State Responsibility Area Fire Prevention Fee Program	77.1	67.0	85.7	8,048	6,437	8,882
65 Emergency Telephone Users Surcharge Program	9.4	13.1	13.1	1,268	1,586	1,576
66 E-Waste Recycling Fee Program	31.2	43.2	38.2	3,795	5,147	5,117
67 Lumber Fee Program	8.7	17.8	17.8	1,115	2,378	2,209
70 Insurance Tax Program	2.1	2.4	2.4	247	297	298
75 Natural Gas Surcharge Program	3.6	4.6	4.6	588	863	879
80 Appeals from Other Governmental Programs	16.4	16.7	16.7	2,194	2,000	2,004
85.01 Administration	456.4	512.3	512.3	53,007	61,335	61,335
85.02 Distributed Administration	-456.4	-512.3	-512.3	-52,992	-60,918	-60,918
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>4,366.7</b>	<b>4,845.1</b>	<b>4,848.1</b>	<b>\$501,279</b>	<b>\$562,538</b>	<b>\$564,657</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$287,025	\$316,845	\$317,155
0004 Breast Cancer Fund				729	813	803
0022 State Emergency Telephone Number Account				1,268	1,586	1,576
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				22,786	27,815	27,782
0070 Occupational Lead Poisoning Prevention Account				723	846	844
0080 Childhood Lead Poisoning Prevention Fund				394	595	596
0230 Cigarette and Tobacco Products Surtax Fund				9,058	10,084	9,970
0320 Oil Spill Prevention and Administration Fund				257	303	301
0387 Integrated Waste Management Account, Integrated Waste Management Fund				387	550	547
0439 Underground Storage Tank Cleanup Fund				2,690	3,532	3,523
0465 Energy Resources Programs Account				260	298	297
0623 California Children and Families First Trust Fund				15,831	17,797	17,578
0890 Federal Trust Fund				136	440	439
0965 Timber Tax Fund				1,469	2,447	2,407
0995 Reimbursements				144,643	161,718	161,646
3015 Gas Consumption Surcharge Fund				588	863	879
3058 Water Rights Fund				412	485	484
3063 State Responsibility Area Fire Prevention Fund				6,524	6,437	8,882
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund				3,795	5,147	5,117
3067 Cigarette and Tobacco Products Compliance Fund				1,189	1,559	1,622
3212 Timber Regulation and Forest Restoration Fund				1,115	2,378	2,209
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$501,279</b>	<b>\$562,538</b>	<b>\$564,657</b>

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Article XIII, Section 17 of the California Constitution.

#### PROGRAM AUTHORITY

15-County Assessment Standards Program:

California Constitution, Article XIII, Section 18, Revenue & Taxation Code Sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.5, 218.5, 251, 254.6, 401.5, 407, 408, 423, 452, 480.1, 480.2, 480.4, 601, 602, 615, 618, 670-673, 1153, 1254, 1366, 1603, 1650, 1716, 1817, 5364, 5781, and 5840, and Government Code Sections 15606-15608, 15611, 15624, and 15640-15646.

20-State-Assessed Property Program:

California Constitution, Article XIII, Section 19, Revenue and Taxation Code Sections 100-100.95, 721-868, 4876-4880, 5011-5014, 5148 and 11201-11702, and Government Code Sections 54900-54916.5.

25-Timber Tax Program:

Revenue & Taxation Code Sections 431-437, 38101-38908, and Public Resources Code, Section 4654.

30-Sales and Use Tax Program:

California Constitution (Sections 35 and 36, Article XIII), State Sales and Use Tax

Revenue & Taxation Code Sections 6001-7176, Bradley-Burns Uniform Local Sales and Use Tax, Revenue & Taxation Code Sections 7200-7226, District Transactions and Use Tax (cities/counties), and Revenue & Taxation Code Sections 7251-7279.6, 7285, and 7288.6.

35-Hazardous Substances Tax Program:

Revenue & Taxation Code, Part 22, Division 2 Sections 43001-43651, and Health & Safety Code Sections 25174-25174.11 and 25205.1-25205.23.

40-Alcoholic Beverage Tax Program:

California Constitution, Article XX, Section 22, Revenue & Taxation Code, Part 14, Division 2 Sections 32001-32557, and Alcoholic Beverage Control Act Sections 23000-23673.

41-Tire Recycling Fee Program:

Revenue & Taxation Code, Part 30, Division 2 Sections 55001-55381, and Public Resource Code Sections 42860-42895.

45-Cigarette and Tobacco Products Tax Program:

Constitution Article XIII B, Section 12, Revenue and Taxation Code - Part 13, Division 2, Sections 30001-30482, Federal Laws relating to collection of state cigarette tax: (15 U.S.C.A., Chapter 10A, Sections 375-378; 63 Stat. 884, as amended by 67 Stat. 617, and 69 Stat. 627.), and Health and Safety Code, Article 3, Chapter 1, Division 103, Sections 104555-104558.

46-Cigarette and Tobacco Products Licensing Program:

Government Code Section 15618.5, Business and Professions Code, Division 8.6 (commencing with Section 22970), Health and Safety Code, Sections 14950, 104557, Penal Code, Section 830.11, Revenue and Taxation Code, Sections 30142, 30435, 30436, 30449, 30471, 30473, 30473.5, 30474, 30474.1, 30475, 30481, 30019, 30165.1, 30166.1, 30168, 30177.5, 30482, 30435, 30474.1, and Revenue and Taxation Code, Article 2.5 (commencing with Section 30210) of Chapter 4 of Part 13 of Division 2, Article 5 (commencing with Section 30355) of Chapter 5 of Part 13 of Division 2, Article 1 (commencing with Section 30140) of Chapter 3 of Part 13 of Division 2, and Article 3 (commencing with Section 30155) of Chapter 3 of Part 13 of Division 2.

50-Transportation Fund Tax Program:

Constitution Article XIX, Sections 1-9; Revenue and Taxation Code Part 2, Division 2, Sections 7301-8526, and Revenue and Taxation Code Part 3 and 31, Division 2, Sections 8601-9355, 9401-9433, 60001-60708.

56-Occupational Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code, Part 22, Division 2 Sections 43001-43651; Health and Safety Code, Chapter 5, Division 103 Sections 105185-105195; and California Code of Regulations Title 17, Chapter 11, Sections 38001-38005.

57-Integrated Waste Management Program:

**0860 State Board of Equalization - Continued**

Revenue and Taxation Code, Part 23, Division 2 Sections 45001-45984, and Public Resource Code, Division 30, Part 1 Sections 40000-48008.

58- Underground Storage Tank Maintenance Fee Program:

Revenue and Taxation Code Part 26, Division 2, Sections 50101-50162, and Health and Safety Code Chapter 6.7, Sections 25280-25299.99.

59- Oil Spill Prevention Program:

Revenue and Taxation Code Part 24, Division 2, Sections 46001-46751, and Government Code Chapter 7.4, Article 1, Sections 8670.1 and 8670.73.

60- Energy Resources Surcharge Program:

Revenue and Taxation Code, Part 19, Division 2, Sections 40001-40216.

61-Annual Water Rights Fee Program:

Water Code Part 2, Division 2, Chapter 8, Sections 1525-1552; Division 7, Chapter 2, Sections 13050-13160.1, and Revenue and Taxation Code Part 30, Division 2, Sections 55001-55381.

62- Childhood Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code, Part 22, Division 2, Sections 43001-43651, and Health & Safety Code, Chapter 5, Division 103 Sections 105275-105310.

63- Marine Invasive Species Fee Program:

Revenue and Taxation Code, Part 22.5, Division 2, Sections 44000-44007, 55001-55381, and Public Resource Code, Division 36, Sections 71200-71271.

64- State Responsibility Area Fire Prevention Fee Program:

Public Resources Code Chapter 1.5, Part 2, Division 4, Sections 4210-4214 and 4220-4228, Revenue and Taxation Code, Part 30, Division 2 commencing with Section 55001.

65- Emergency Telephone Users Surcharge Program:

Revenue and Taxation Code, Part 20, Division 2, Sections 41001-41176.

66-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10, Public Resource Code Sections 41516 and 42460-42486, and Revenue and Taxation Code, Part 30, Division 2, Sections 55001-55381.

67-Lumber Fee Program:

California Public Resources Code, Section 4629.5.

70-Insurance Tax Program:

Constitution Article XIII, Section 28, Revenue and Taxation Code Part 7, Division 2, Sections 12001-13170, and Insurance Code Sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

75-Natural Gas Surcharge Program:

Revenue and Taxation Code, Sections 55001-55381, and Public Utilities Code, Chapter 4, Part 1, Division 1, Sections 890-900.

80-Appeals from Other Governmental Programs:

Administration of Franchise and Income Tax Laws: Revenue and Taxation Code, Sections 18401-19802.

Personal Income Tax Law: Revenue and Taxation Code, Sections 17001-18180.

Bank and Corporation Tax Law: Revenue and Taxation Code, Sections 23001-25141.

Senior Citizens Homeowners' and Renters' Property Tax Assistance: Revenue and Taxation Code, Sections 20501-20646.

Public Owned Property and California Constitution, Article XIII, Section 11, Revenue and Taxation Code Sections 1840-1841  
Procedural Regulations: Title 18, California Code of Regulations, Section 5010, et seq.

**0860 State Board of Equalization - Continued**

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Southern California Appeals and Settlement Unit	\$-	\$-	-	\$2,359	\$1,253	22.0
• Fire Prevention Fee	-	-	-	-	7,252	72.7
• Intrusion Detection/Intrusion Prevention System - Information Security	-	-	-	186	99	2.0
• Centralized Revenue Opportunity System (CROS) Provisional Language	-	-	-	-	-	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$2,545</b>	<b>\$8,604</b>	<b>96.7</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$2,505	\$2,674	-	\$2,677	\$2,859	-
• Retirement Rate Adjustment	1,159	847	-	1,159	847	-
• Limited Term Positions / Expiring Programs	-	-	-	-2,496	-8,083	-99.3
• One Time Cost Reductions	-	-1,303	-4.0	-	-1,303	-5.5
• Full Year Cost of New/Expanded Programs	-	-	-	284	150	7.1
• Legislation With An Appropriation	196	-	-	-	-	-
• Miscellaneous Adjustments	-1	-	-	-	953	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$3,859</b>	<b>\$2,218</b>	<b>-4.0</b>	<b>\$1,624</b>	<b>-\$4,577</b>	<b>-97.7</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$3,859</b>	<b>\$2,218</b>	<b>-4.0</b>	<b>\$4,169</b>	<b>\$4,027</b>	<b>-1.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$3,859</b>	<b>\$2,218</b>	<b>-4.0</b>	<b>\$4,169</b>	<b>\$4,027</b>	<b>-1.0</b>

**PROGRAM DESCRIPTIONS**

15 - COUNTY ASSESSMENT STANDARDS PROGRAM

This program ensures that taxable properties are assessed and enrolled by county assessors in full conformity with the law.

20 - STATE-ASSESSED PROPERTY PROGRAM

This program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes.

25 - TIMBER TAX PROGRAM

This program administers the Timber Yield Tax by establishing the harvest value of timber and collecting a tax on the harvested timber.

30 - SALES AND USE TAX PROGRAM

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through accurate reporting of tax liability. This is accomplished by detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable. Under this program, the BOE administers the following taxes: State Sales and Use Tax, Bradley-Burns Uniform Local Sales and Use Tax, and District Transactions and Use Tax (cities/counties).

35 - HAZARDOUS SUBSTANCES TAX PROGRAM

This program provides revenue for the Hazardous Waste Control Account (HWCA) and the Toxic Substances Control Account (TSCA). The fees provide funding to the Department of Toxic Substances (DTSC) to regulate hazardous waste in California. Generators of hazardous waste, hazardous waste facilities and certain business organizations, as identified by statutes, are subject to the fees. The BOE administers the following fee programs in partnership with the DTSC: Disposal Fee (HWCA), Facility Fee (HWCA), Generator Fee (HWCA), Transportable Treatment Unit Fee (HWCA), Activity Fee (HWCA), and Environmental Fee (TSCA).

40 - ALCOHOLIC BEVERAGE TAX PROGRAM

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California.

**41 - TIRE RECYCLING FEE PROGRAM**

This program provides revenue for the California Tire Recycling Account in the California Tire Recycling Management Fund and Air Pollution Control Fund. The fee provides funding to reduce: air pollution, landfill disposal, and stockpiling of used tires. The BOE administers the program in partnership with the California Department of Resources Recycling and Recovery (DRRR) and the Air Resources Board (ARB).

**45 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM**

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by ensuring timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

**46 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM**

This program, established by the California Cigarette and Tobacco Licensing Act of 2003, reduces tax evasion, smuggling, and counterfeiting of cigarette and tobacco products and stamps. It requires statewide licensing of all distributors, wholesalers, importers, manufacturers, and retailers of cigarette and tobacco products. This program provides revenues for the Cigarette and Tobacco Products Compliance Fund.

**50 - TRANSPORTATION FUND TAX PROGRAM**

This program provides revenue for the Transportation Tax Fund, Aeronautics Account, Harbors and Watercraft Revolving Fund, Off-Highway Vehicle Trust Fund, and Department of Food and Agriculture Fund. The objective of this program is to ensure that the motor vehicle fuel, jet fuel, various use fuel, and diesel fuel taxes are administered equitably and effectively. Pursuant to the International Fuel Tax Agreement (IFTA), the BOE registers interstate truckers whose base is in California. Revenues from this program are used to construct and maintain public roads and mass transit systems, airports and waterways.

**56 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM**

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. The funds are used to establish and maintain occupational health and disease prevention programs. Employers in specified Standard Industrial Classification (SIC) codes who employ 10 or more qualifying employees are subject to the fee. The BOE administers the program in partnership with the California Department of Public Health (CDPH).

**57 - INTEGRATED WASTE MANAGEMENT PROGRAM**

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund. The fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills, and supports State and local landfill permit enforcement programs. Operators of solid waste disposal facilities that include non-hazardous wood waste facilities are subject to the fee. The BOE administers the program in partnership with the California Department of Resources Recycling and Recovery (DRRR).

**58 - UNDERGROUND STORAGE TANK MAINTENANCE FEE PROGRAM**

This program collects a fee for each gallon of petroleum placed in underground petroleum storage tanks for the Petroleum Underground Storage Tank Financing Account. The revenues provide funding to monitor and regulate underground storage tanks containing petroleum, and to protect human health and the environment.

**59 - OIL SPILL PREVENTION PROGRAM**

This program collects fees on all crude oil and petroleum products received in California via marine pipelines and terminals. The prevention and administration fees provide funding to implement oil spill prevention programs and reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install, and maintain emergency programs, equipment, and facilities to respond to, contain, and cleanup oil spills.

**60 - ENERGY RESOURCES SURCHARGE PROGRAM**

This program provides revenue for support of the State Energy Resources Conservation and Development Commission. The revenue is generated by administering a surcharge on consumers of electrical energy furnished by an electrical utility.

**61 - ANNUAL WATER RIGHTS FEE PROGRAM**

This program provides revenue for the Water Rights Fund. The fees provide funding to the State Water Resources Control Board (SWRCB) to support the activities of its Division of Water Rights. The annual fee is collected from water right permit holders based on a schedule of fees adopted each fiscal year by the SWRCB. The BOE administers the program in partnership with the SWRCB.

**62 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM**

\* Dollars in thousands, except in Salary Range.



**0860 State Board of Equalization - Continued**

This program collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. This revenue supports the Childhood Lead Poisoning Prevention Fee Program administered by the California Department of Public Health, Childhood Lead Poisoning Prevention Branch.

**63 - MARINE INVASIVE SPECIES FEE PROGRAM**

This program provides revenue for the Marine Invasive Species Control Fund and is often referred to as the Ballast Water Management Program. The fees provide funding to the California State Lands Commission (SLC) to address the problems of the introduction and spread of non-indigenous aquatic species into California waters. Owners or operators of qualifying vessels carrying, or capable of carrying, ballast water from outside California are subject to the fee. The BOE administers the program in partnership with the SLC.

**64 - STATE RESPONSIBILITY AREA FIRE PREVENTION FEE PROGRAM**

This program provides revenue for the State Responsibility Area Fire Prevention Fund. The revenue is generated by administering an annual fire prevention fee, in an amount not to exceed \$150 charged on each habitable structure on a parcel that is within a state responsibility area. The revenues are to be used by the California Department of Forestry and Fire Protection (CAL FIRE) for fire prevention activities within the State Responsibility Area (SRA) which would benefit those owners who are subject to the fire prevention fee.

**65 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM**

This program provides revenue to fund the State's "911" emergency telephone system by administering a surcharge on intrastate telephone and Voice over Internet Protocol (VoIP) communication services. The funds collected are deposited into the State Emergency Telephone Number Account.

**66 - E-WASTE RECYCLING FEE PROGRAM**

This program provides revenue for the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund. The fees provide funding for the California Department of Resources Recycling and Recovery (DRRR) by imposing a recycling fee upon the retail sale or lease of a new or refurbished video display devices identified by the Department of Toxic Substances (DTSC) containing hazardous materials.

**67 - LUMBER FEE PROGRAM**

This program collects a one percent assessment on purchases of lumber products and engineered wood products for use in California.

**70 - INSURANCE TAX PROGRAM**

This program administers taxes assessed on insurance premiums, underwriting profits from ocean marine insurance and retaliatory assessments levied on "foreign" insurers. The taxes collected are deposited into the Insurance Tax Fund.

**75 - NATURAL GAS SURCHARGE PROGRAM**

This program provides revenue to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development. The revenue is generated by administering a surcharge on the consumption of all natural gas in California.

**80 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS**

This program reviews income tax assessments and corporation taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance Program upon a written request is filed by a taxpayer. The program also acts as an impartial tribunal to hear and decide property tax assessment appeals by a local government (or one of its agencies) that owns taxable real property located outside its boundaries. Property owned by a local government, such as a city, and located outside its boundaries is subject to assessment by the county in which the property is located.

**85 - ADMINISTRATION PROGRAM**

The Administration Program's objectives are to fairly, effectively and efficiently implement the policies and directives of the Board Members and to provide direction, leadership, planning, and support services for all Board programs.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>15</b>	<b>COUNTY ASSESSMENT STANDARDS PROGRAM</b>			
	<b>State Operations:</b>			
0001	General Fund	\$8,984	\$9,984	\$9,997
	<b>Totals, State Operations</b>	<b>\$8,984</b>	<b>\$9,984</b>	<b>\$9,997</b>

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>ELEMENT REQUIREMENTS</b>			
<b>15.10 County Surveys</b>	<b>\$3,507</b>	<b>\$4,612</b>	<b>\$4,617</b>
<b>State Operations:</b>			
0001 General Fund	3,507	4,612	4,617
<b>15.20 Technical Advisory Services</b>	<b>\$2,803</b>	<b>\$2,748</b>	<b>\$2,752</b>
<b>State Operations:</b>			
0001 General Fund	2,803	2,748	2,752
<b>15.30 Technical Services</b>	<b>\$2,674</b>	<b>\$2,624</b>	<b>\$2,628</b>
<b>State Operations:</b>			
0001 General Fund	2,674	2,624	2,628
<b>PROGRAM REQUIREMENTS</b>			
<b>20 STATE-ASSESSED PROPERTY PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$7,107	\$8,764	\$8,779
0995 Reimbursements	<u>360</u>	<u>607</u>	<u>607</u>
<b>Totals, State Operations</b>	<b>\$7,467</b>	<b>\$9,371</b>	<b>\$9,386</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>20.10 Assessment of Public Utilities</b>	<b>\$7,269</b>	<b>\$9,107</b>	<b>\$9,121</b>
<b>State Operations:</b>			
0001 General Fund	6,909	8,500	8,514
0995 Reimbursements	360	607	607
<b>20.20 Private Railroad Car Tax</b>	<b>\$198</b>	<b>\$264</b>	<b>\$265</b>
<b>State Operations:</b>			
0001 General Fund	198	264	265
<b>PROGRAM REQUIREMENTS</b>			
<b>25 TIMBER TAX PROGRAM</b>			
<b>State Operations:</b>			
0965 Timber Tax Fund	<u>\$1,469</u>	<u>\$2,447</u>	<u>\$2,407</u>
<b>Totals, State Operations</b>	<b>\$1,469</b>	<b>\$2,447</b>	<b>\$2,407</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>25.10 Timber Valuation</b>	<b>\$418</b>	<b>\$661</b>	<b>\$645</b>
<b>State Operations:</b>			
0965 Timber Tax Fund	418	661	645
<b>25.20 Taxpayer Registration, Return Processing and Collection</b>	<b>\$727</b>	<b>\$1,217</b>	<b>\$1,202</b>
<b>State Operations:</b>			
0965 Timber Tax Fund	727	1,217	1,202
<b>25.30 Auditing</b>	<b>\$324</b>	<b>\$569</b>	<b>\$560</b>
<b>State Operations:</b>			
0965 Timber Tax Fund	324	569	560
<b>PROGRAM REQUIREMENTS</b>			
<b>30 SALES AND USE TAX PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$259,490	\$288,372	\$288,644
0995 Reimbursements	<u>138,768</u>	<u>153,689</u>	<u>153,606</u>
<b>Totals, State Operations</b>	<b>\$398,258</b>	<b>\$442,061</b>	<b>\$442,250</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>30.10 Registration of Taxpayers</b>	<b>\$79,149</b>	<b>\$90,393</b>	<b>\$90,218</b>
<b>State Operations:</b>			

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0001 General Fund	55,608	63,698	63,593
0995 Reimbursements	23,541	26,695	26,625
<b>30.20 Processing Tax Returns</b>	<b>\$70,134</b>	<b>\$86,812</b>	<b>\$86,727</b>
<b>State Operations:</b>			
0001 General Fund	33,006	40,941	40,934
0995 Reimbursements	37,128	45,871	45,793
<b>30.30 Auditing Accounts</b>	<b>\$155,958</b>	<b>\$157,521</b>	<b>\$157,083</b>
<b>State Operations:</b>			
0001 General Fund	106,029	108,191	107,926
0995 Reimbursements	49,929	49,330	49,157
<b>30.40 Collecting Taxes Receivable</b>	<b>\$93,017</b>	<b>\$107,335</b>	<b>\$108,222</b>
<b>State Operations:</b>			
0001 General Fund	64,847	75,542	76,191
0995 Reimbursements	28,170	31,793	32,031
<b>PROGRAM REQUIREMENTS</b>			
<b>35 HAZARDOUS SUBSTANCES TAX PROGRAM</b>			
<b>State Operations:</b>			
0995 Reimbursements	<u>\$3,768</u>	<u>\$4,836</u>	<u>\$4,844</u>
<b>Totals, State Operations</b>	<b>\$3,768</b>	<b>\$4,836</b>	<b>\$4,844</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>40 ALCOHOLIC BEVERAGE TAX PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	<u>\$2,110</u>	<u>\$2,759</u>	<u>\$2,762</u>
<b>Totals, State Operations</b>	<b>\$2,110</b>	<b>\$2,759</b>	<b>\$2,762</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>40.10 Registration of Taxpayers</b>	<b>\$633</b>	<b>\$651</b>	<b>\$652</b>
<b>State Operations:</b>			
0001 General Fund	633	651	652
<b>40.20 Processing Tax Returns and Reports</b>	<b>\$451</b>	<b>\$467</b>	<b>\$467</b>
<b>State Operations:</b>			
0001 General Fund	451	467	467
<b>40.30 Auditing Accounts</b>	<b>\$774</b>	<b>\$1,292</b>	<b>\$1,293</b>
<b>State Operations:</b>			
0001 General Fund	774	1,292	1,293
<b>40.40 Collecting Taxes Receivable</b>	<b>\$252</b>	<b>\$349</b>	<b>\$350</b>
<b>State Operations:</b>			
0001 General Fund	252	349	350
<b>PROGRAM REQUIREMENTS</b>			
<b>41 TIRE RECYCLING FEE PROGRAM</b>			
<b>State Operations:</b>			
0995 Reimbursements	<u>\$1,445</u>	<u>\$1,694</u>	<u>\$1,696</u>
<b>Totals, State Operations</b>	<b>\$1,445</b>	<b>\$1,694</b>	<b>\$1,696</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>45 CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$4,056	\$3,707	\$3,720
0004 Breast Cancer Fund	574	621	613
0230 Cigarette and Tobacco Products Surtax Fund	7,113	7,680	7,594

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
0623 California Children and Families First Trust Fund	11,943	12,989	12,826
<b>Totals, State Operations</b>	<b>\$23,686</b>	<b>\$24,997</b>	<b>\$24,753</b>
<b>ELEMENT REQUIREMENTS</b>			
<b>45.10 Registration of Taxpayers</b>	<b>\$3,933</b>	<b>\$5,424</b>	<b>\$5,371</b>
<b>State Operations:</b>			
0001 General Fund	674	804	807
0004 Breast Cancer Fund	95	134	133
0230 Cigarette and Tobacco Products Surtax Fund	1,181	1,667	1,648
0623 California Children and Families First Trust Fund	1,983	2,819	2,783
<b>45.20 Processing Tax Returns</b>	<b>\$4,517</b>	<b>\$6,491</b>	<b>\$6,425</b>
<b>State Operations:</b>			
0001 General Fund	774	963	966
0004 Breast Cancer Fund	110	162	159
0230 Cigarette and Tobacco Products Surtax Fund	1,356	1,994	1,971
0623 California Children and Families First Trust Fund	2,277	3,372	3,329
<b>45.30 Auditing Accounts</b>	<b>\$8,837</b>	<b>\$5,393</b>	<b>\$5,343</b>
<b>State Operations:</b>			
0001 General Fund	1,512	800	803
0004 Breast Cancer Fund	215	134	132
0230 Cigarette and Tobacco Products Surtax Fund	2,654	1,657	1,639
0623 California Children and Families First Trust Fund	4,456	2,802	2,769
<b>45.40 Enforcement Activities</b>	<b>\$4,519</b>	<b>\$3,599</b>	<b>\$3,564</b>
<b>State Operations:</b>			
0001 General Fund	774	534	536
0004 Breast Cancer Fund	109	89	88
0230 Cigarette and Tobacco Products Surtax Fund	1,357	1,106	1,094
0623 California Children and Families First Trust Fund	2,279	1,870	1,846
<b>45.50 Collecting Taxes Receivable</b>	<b>\$1,880</b>	<b>\$4,090</b>	<b>\$4,050</b>
<b>State Operations:</b>			
0001 General Fund	322	606	608
0004 Breast Cancer Fund	45	102	101
0230 Cigarette and Tobacco Products Surtax Fund	565	1,256	1,242
0623 California Children and Families First Trust Fund	948	2,126	2,099
<b>PROGRAM REQUIREMENTS</b>			
<b>46 CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$1,313	\$962	\$951
0004 Breast Cancer Fund	155	192	190
0230 Cigarette and Tobacco Products Surtax Fund	1,945	2,404	2,376
0623 California Children and Families First Trust Fund	3,888	4,808	4,752
3067 Cigarette and Tobacco Products Compliance Fund	1,189	1,559	1,622
<b>Totals, State Operations</b>	<b>\$8,490</b>	<b>\$9,925</b>	<b>\$9,891</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>50 TRANSPORTATION FUND TAX PROGRAM</b>			
<b>State Operations:</b>			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	\$22,786	\$27,815	\$27,782
0890 Federal Trust Fund	136	440	439
<b>Totals, State Operations</b>	<b>\$22,922</b>	<b>\$28,255</b>	<b>\$28,221</b>

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>ELEMENT REQUIREMENTS</b>			
<b>50.10 Registration of Taxpayers</b>	<b>\$5,017</b>	<b>\$3,855</b>	<b>\$3,844</b>
<b>State Operations:</b>			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	4,987	3,792	3,792
0890 Federal Trust Fund	30	63	52
<b>50.20 Processing Tax Returns</b>	<b>\$7,157</b>	<b>\$6,646</b>	<b>\$6,623</b>
<b>State Operations:</b>			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	7,115	6,519	6,519
0890 Federal Trust Fund	42	127	104
<b>50.30 Auditing Accounts</b>	<b>\$9,069</b>	<b>\$15,137</b>	<b>\$15,148</b>
<b>State Operations:</b>			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	9,015	14,945	14,912
0890 Federal Trust Fund	54	192	236
<b>50.40 Enforcement</b>	<b>\$1,402</b>	<b>\$1,213</b>	<b>\$1,207</b>
<b>State Operations:</b>			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	1,394	1,180	1,180
0890 Federal Trust Fund	8	33	27
<b>50.50 Collecting Taxes Receivable</b>	<b>\$277</b>	<b>\$1,404</b>	<b>\$1,399</b>
<b>State Operations:</b>			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	275	1,379	1,379
0890 Federal Trust Fund	2	25	20
<b>PROGRAM REQUIREMENTS</b>			
<b>56 OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM</b>			
<b>State Operations:</b>			
0070 Occupational Lead Poisoning Prevention Account	<u>\$723</u>	<u>\$846</u>	<u>\$844</u>
<b>Totals, State Operations</b>	<b>\$723</b>	<b>\$846</b>	<b>\$844</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>57 INTEGRATED WASTE MANAGEMENT PROGRAM</b>			
<b>State Operations:</b>			
0387 Integrated Waste Management Account, Integrated Waste Management Fund	<u>\$387</u>	<u>\$550</u>	<u>\$547</u>
<b>Totals, State Operations</b>	<b>\$387</b>	<b>\$550</b>	<b>\$547</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>58 UNDERGROUND STORAGE TANK FEE PROGRAM</b>			
<b>State Operations:</b>			
0439 Underground Storage Tank Cleanup Fund	<u>\$2,690</u>	<u>\$3,532</u>	<u>\$3,523</u>
<b>Totals, State Operations</b>	<b>\$2,690</b>	<b>\$3,532</b>	<b>\$3,523</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>59 OIL SPILL PREVENTION PROGRAM</b>			
<b>State Operations:</b>			
0320 Oil Spill Prevention and Administration Fund	<u>\$257</u>	<u>\$303</u>	<u>\$301</u>
<b>Totals, State Operations</b>	<b>\$257</b>	<b>\$303</b>	<b>\$301</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>60 ENERGY RESOURCES SURCHARGE PROGRAM</b>			
<b>State Operations:</b>			
0465 Energy Resources Programs Account	<u>\$260</u>	<u>\$298</u>	<u>\$297</u>
<b>Totals, State Operations</b>	<b>\$260</b>	<b>\$298</b>	<b>\$297</b>
<b>PROGRAM REQUIREMENTS</b>			

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>61 ANNUAL WATER RIGHTS FEE PROGRAM</b>			
<b>State Operations:</b>			
3058 Water Rights Fund	\$412	\$485	\$484
<b>Totals, State Operations</b>	<b>\$412</b>	<b>\$485</b>	<b>\$484</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>62 CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM</b>			
<b>State Operations:</b>			
0080 Childhood Lead Poisoning Prevention Fund	\$394	\$595	\$596
<b>Totals, State Operations</b>	<b>\$394</b>	<b>\$595</b>	<b>\$596</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>63 MARINE INVASIVE SPECIES PROGRAM</b>			
<b>State Operations:</b>			
0995 Reimbursements	\$287	\$475	\$476
<b>Totals, State Operations</b>	<b>\$287</b>	<b>\$475</b>	<b>\$476</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>64 STATE RESPONSIBILITY AREA FIRE PREVENTION FEE PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$1,524	\$-	\$-
3063 State Responsibility Area Fire Prevention Fund	6,524	6,437	8,882
<b>Totals, State Operations</b>	<b>\$8,048</b>	<b>\$6,437</b>	<b>\$8,882</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>65 EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM</b>			
<b>State Operations:</b>			
0022 State Emergency Telephone Number Account	\$1,268	\$1,586	\$1,576
<b>Totals, State Operations</b>	<b>\$1,268</b>	<b>\$1,586</b>	<b>\$1,576</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>66 E-WASTE RECYCLING FEE PROGRAM</b>			
<b>State Operations:</b>			
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	\$3,795	\$5,147	\$5,117
<b>Totals, State Operations</b>	<b>\$3,795</b>	<b>\$5,147</b>	<b>\$5,117</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>67 LUMBER FEE PROGRAM</b>			
<b>State Operations:</b>			
3212 Timber Regulation and Forest Restoration Fund	\$1,115	\$2,378	\$2,209
<b>Totals, State Operations</b>	<b>\$1,115</b>	<b>\$2,378</b>	<b>\$2,209</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>70 INSURANCE TAX PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$247	\$297	\$298
<b>Totals, State Operations</b>	<b>\$247</b>	<b>\$297</b>	<b>\$298</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>75 NATURAL GAS SURCHARGE PROGRAM</b>			
<b>State Operations:</b>			
3015 Gas Consumption Surcharge Fund	\$588	\$863	\$879
<b>Totals, State Operations</b>	<b>\$588</b>	<b>\$863</b>	<b>\$879</b>

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>80</b>	<b>APPEALS FROM OTHER GOVERNMENTAL PROGRAMS</b>			
State Operations:				
0001	General Fund	\$2,194	\$2,000	\$2,004
	<b>Totals, State Operations</b>	<b>\$2,194</b>	<b>\$2,000</b>	<b>\$2,004</b>
<b>ELEMENT REQUIREMENTS</b>				
<b>80.10</b>	<b>Franchise and Income Tax Appeals</b>	<b>\$2,194</b>	<b>\$2,000</b>	<b>\$2,004</b>
State Operations:				
0001	General Fund	2,194	2,000	2,004
<b>PROGRAM REQUIREMENTS</b>				
<b>85</b>	<b>ADMINISTRATION</b>			
State Operations:				
0995	Reimbursements	\$15	\$417	\$417
	<b>Totals, State Operations</b>	<b>\$15</b>	<b>\$417</b>	<b>\$417</b>
<b>ELEMENT REQUIREMENTS</b>				
85.01	Administration	53,007	61,335	61,335
85.02	Distributed Administration	-52,992	-60,918	-60,918
<b>TOTALS, EXPENDITURES</b>				
	State Operations	<u>501,279</u>	<u>562,538</u>	<u>564,657</u>
	<b>Totals, Expenditures</b>	<b>\$501,279</b>	<b>\$562,538</b>	<b>\$564,657</b>

**EXPENDITURES BY CATEGORY**

	1 State Operations					
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	4,366.7	4,849.1	4,756.9	\$251,764	\$289,059	\$290,090
Total Adjustments	-	-4.0	91.2	-	3,315	8,630
<b>Net Totals, Salaries and Wages</b>	<b>4,366.7</b>	<b>4,845.1</b>	<b>4,848.1</b>	<b>\$251,764</b>	<b>\$292,374</b>	<b>\$298,720</b>
Staff Benefits	-	-	-	107,391	124,454	119,347
<b>Totals, Personal Services</b>	<b>4,366.7</b>	<b>4,845.1</b>	<b>4,848.1</b>	<b>\$359,155</b>	<b>\$416,828</b>	<b>\$418,067</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				<u>\$142,124</u>	<u>\$145,710</u>	<u>\$146,590</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$501,279</b>	<b>\$562,538</b>	<b>\$564,657</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS		2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>				
<b>APPROPRIATIONS</b>				
001	Budget Act appropriation	\$301,250	\$312,986	\$317,155
	Allocation for employee compensation	1,182	2,505	-
	Adjustment per Section 3.60	4,118	1,159	-
	Adjustment per Section 3.90	-8,999	-	-
	Adjustment per Section 15.25	-336	-	-
	Transfer to Legislative Claims (9670)	-	-1	-
	Chapters 69 and 70, Statutes of 2013	-	196	-
	Prior year balances available:			

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
Chapter 14, Statutes of 2011	1	1	-
Chapter 7, Statutes of 2011	<u>1</u>	<u>1</u>	<u>-</u>
<b>Totals Available</b>	<b>\$297,217</b>	<b>\$316,847</b>	<b>\$317,155</b>
Unexpended balance, estimated savings	-10,190	-2	-
Balance available in subsequent years	<u>-2</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$287,025</b>	<b>\$316,845</b>	<b>\$317,155</b>
<b>0004 Breast Cancer Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$797	\$805	\$803
Allocation for employee compensation	1	7	-
Adjustment per Section 3.60	3	1	-
Adjustment per Section 3.90	<u>-15</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$786</b>	<b>\$813</b>	<b>\$803</b>
Unexpended balance, estimated savings	<u>-57</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$729</b>	<b>\$813</b>	<b>\$803</b>
<b>0022 State Emergency Telephone Number Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,544	\$1,550	\$1,576
Allocation for employee compensation	6	30	-
Adjustment per Section 3.60	18	6	-
Adjustment per Section 3.90	-46	-	-
Adjustment per Section 15.25	<u>-1</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$1,521</b>	<b>\$1,586</b>	<b>\$1,576</b>
Unexpended balance, estimated savings	<u>-253</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,268</b>	<b>\$1,586</b>	<b>\$1,576</b>
<b>0061 Motor Vehicle Fuel Account, Transportation Tax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,793	\$27,227	\$27,782
Allocation for employee compensation	95	498	-
Adjustment per Section 3.60	298	90	-
Adjustment per Section 3.90	-653	-	-
Adjustment per Section 15.25	<u>-42</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$25,491</b>	<b>\$27,815</b>	<b>\$27,782</b>
Unexpended balance, estimated savings	<u>-2,705</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$22,786</b>	<b>\$27,815</b>	<b>\$27,782</b>
<b>0063 Motor Vehicle Transportation Tax Account Transportation Tax Fund</b>			
APPROPRIATIONS			
011 Budget Act appropriation	<u>\$-</u>	<u>(\$1,751)</u>	<u>(\$1)</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0070 Occupational Lead Poisoning Prevention Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$800	\$827	\$844
Allocation for employee compensation	3	16	-
Adjustment per Section 3.60	10	3	-
Adjustment per Section 3.90	<u>-26</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$787</b>	<b>\$846</b>	<b>\$844</b>
Unexpended balance, estimated savings	<u>-64</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$723</b>	<b>\$846</b>	<b>\$844</b>

\* Dollars in thousands, except in Salary Range.



## 0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0080 Childhood Lead Poisoning Prevention Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$561	\$581	\$596
Allocation for employee compensation	2	12	-
Adjustment per Section 3.60	7	2	-
Adjustment per Section 3.90	-18	-	-
<b>Totals Available</b>	<b>\$552</b>	<b>\$595</b>	<b>\$596</b>
Unexpended balance, estimated savings	-158	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$394</b>	<b>\$595</b>	<b>\$596</b>
<b>0230 Cigarette and Tobacco Products Surtax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,891	\$9,982	\$9,970
Allocation for employee compensation	14	88	-
Adjustment per Section 3.60	43	14	-
Adjustment per Section 3.90	-185	-	-
Adjustment per Section 15.25	-3	-	-
<b>Totals Available</b>	<b>\$9,760</b>	<b>\$10,084</b>	<b>\$9,970</b>
Unexpended balance, estimated savings	-702	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$9,058</b>	<b>\$10,084</b>	<b>\$9,970</b>
<b>0320 Oil Spill Prevention and Administration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$284	\$296	\$301
Allocation for employee compensation	1	6	-
Adjustment per Section 3.60	4	1	-
Adjustment per Section 3.90	-9	-	-
<b>Totals Available</b>	<b>\$280</b>	<b>\$303</b>	<b>\$301</b>
Unexpended balance, estimated savings	-23	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$257</b>	<b>\$303</b>	<b>\$301</b>
<b>0387 Integrated Waste Management Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$517	\$537	\$547
Allocation for employee compensation	2	11	-
Adjustment per Section 3.60	6	2	-
Adjustment per Section 3.90	-16	-	-
Adjustment per Section 15.25	-1	-	-
<b>Totals Available</b>	<b>\$508</b>	<b>\$550</b>	<b>\$547</b>
Unexpended balance, estimated savings	-121	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$387</b>	<b>\$550</b>	<b>\$547</b>
<b>0439 Underground Storage Tank Cleanup Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,359	\$3,461	\$3,523
Allocation for employee compensation	12	60	-
Adjustment per Section 3.60	37	11	-
Adjustment per Section 3.90	-93	-	-
Adjustment per Section 15.25	-5	-	-
<b>Totals Available</b>	<b>\$3,310</b>	<b>\$3,532</b>	<b>\$3,523</b>
Unexpended balance, estimated savings	-620	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,690</b>	<b>\$3,532</b>	<b>\$3,523</b>

\* Dollars in thousands, except in Salary Range.

## 0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0465 Energy Resources Programs Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$279	\$292	\$297
Allocation for employee compensation	1	5	-
Adjustment per Section 3.60	3	1	-
Adjustment per Section 3.90	-8	-	-
Adjustment per Section 15.25	-1	-	-
<b>Totals Available</b>	<b>\$274</b>	<b>\$298</b>	<b>\$297</b>
Unexpended balance, estimated savings	-14	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$260</b>	<b>\$298</b>	<b>\$297</b>
<b>0623 California Children and Families First Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,423	\$17,593	\$17,578
Allocation for employee compensation	28	175	-
Adjustment per Section 3.60	86	29	-
Adjustment per Section 3.90	-369	-	-
Adjustment per Section 15.25	-6	-	-
<b>Totals Available</b>	<b>\$17,162</b>	<b>\$17,797</b>	<b>\$17,578</b>
Unexpended balance, estimated savings	-1,331	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$15,831</b>	<b>\$17,797</b>	<b>\$17,578</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$439	\$440	\$439
Budget Adjustment	-303	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$136</b>	<b>\$440</b>	<b>\$439</b>
<b>0965 Timber Tax Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,387	\$2,438	\$2,407
Allocation for employee compensation	-	7	-
Adjustment per Section 3.60	23	2	-
Adjustment per Section 3.90	-159	-	-
<b>Totals Available</b>	<b>\$2,251</b>	<b>\$2,447</b>	<b>\$2,407</b>
Unexpended balance, estimated savings	-782	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,469</b>	<b>\$2,447</b>	<b>\$2,407</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$144,643	\$161,718	\$161,646
<b>3015 Gas Consumption Surcharge Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$806	\$850	\$879
Allocation for employee compensation	3	11	-
Adjustment per Section 3.60	8	2	-
Adjustment per Section 3.90	-20	-	-
Adjustment per Section 15.25	-7	-	-
<b>Totals Available</b>	<b>\$790</b>	<b>\$863</b>	<b>\$879</b>
Unexpended balance, estimated savings	-202	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$588</b>	<b>\$863</b>	<b>\$879</b>
<b>3058 Water Rights Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$459	\$475	\$484

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Allocation for employee compensation	2	9	-
Adjustment per Section 3.60	6	1	-
Adjustment per Section 3.90	-15	-	-
<b>Totals Available</b>	<b>\$452</b>	<b>\$485</b>	<b>\$484</b>
Unexpended balance, estimated savings	-40	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$412</b>	<b>\$485</b>	<b>\$484</b>
<b>3063 State Responsibility Area Fire Prevention Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,597	\$6,263	\$8,882
Allocation for employee compensation	21	157	-
Adjustment per Section 3.60	64	17	-
Adjustment per Section 3.90	-158	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$6,524</b>	<b>\$6,437</b>	<b>\$8,882</b>
<b>3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,868	\$5,030	\$5,117
Allocation for employee compensation	18	91	-
Adjustment per Section 3.60	57	26	-
Adjustment per Section 3.90	-144	-	-
Adjustment per Section 15.25	-1	-	-
<b>Totals Available</b>	<b>\$4,798</b>	<b>\$5,147</b>	<b>\$5,117</b>
Unexpended balance, estimated savings	-1,003	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$3,795</b>	<b>\$5,147</b>	<b>\$5,117</b>
<b>3067 Cigarette and Tobacco Products Compliance Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,057	\$1,267	\$1,622
Allocation for employee compensation	32	255	-
Adjustment per Section 3.60	100	37	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,189</b>	<b>\$1,559</b>	<b>\$1,622</b>
<b>3212 Timber Regulation and Forest Restoration Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,921	\$2,331	\$2,209
Allocation for employee compensation	5	40	-
Adjustment per Section 3.60	14	7	-
Adjustment per Section 3.90	-35	-	-
<b>Totals Available</b>	<b>\$1,905</b>	<b>\$2,378</b>	<b>\$2,209</b>
Unexpended balance, estimated savings	-790	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$1,115</b>	<b>\$2,378</b>	<b>\$2,209</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$501,279</b>	<b>\$562,538</b>	<b>\$564,657</b>

**FUND CONDITION STATEMENTS**

	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0063 Motor Vehicle Transportation Tax Account Transportation Tax Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$1,751	\$1,752	\$1
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	1	-	-
Transfers and Other Adjustments:			

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

	2012-13*	2013-14*	2014-15*
TO0001 To General Fund per Item 0860-011-0063, Budget Acts of 2013 and 2014	-	-1,751	-1
Total Revenues, Transfers, and Other Adjustments	\$1	-\$1,751	-\$1
Total Resources	\$1,752	\$1	-
FUND BALANCE	\$1,752	\$1	-
Reserve for economic uncertainties	1,752	1	-
<b>0965 Timber Tax Fund <sup>N</sup></b>			
BEGINNING BALANCE	\$2,245	\$2,127	-
Prior year adjustments	640	-	-
Adjusted Beginning Balance	\$2,885	\$2,127	-
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
213000 Property and Natural Resources (Timber Yield Tax)	7,209	7,000	\$7,000
215000 Income from Investments	6	-	-
Total Revenues, Transfers, and Other Adjustments	\$7,215	\$7,000	\$7,000
Total Resources	\$10,100	\$9,127	\$7,000
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0840 State Controller (State Operations)	12	1	-
0860 State Board of Equalization (State Operations)	1,469	2,447	2,407
3540 Department of Forestry and Fire Protection (State Operations)	4	-	-
Allocation to Counties (Local Assistance Expenditure not Reflected in Departmental Budget)	6,476	6,679	4,591
8880 Financial Information System for California (State Operations)	12	-	2
Total Expenditures and Expenditure Adjustments	\$7,973	\$9,127	\$7,000
FUND BALANCE	\$2,127	-	-
<b>3067 Cigarette and Tobacco Products Compliance Fund <sup>S</sup></b>			
BEGINNING BALANCE	\$8,191	\$8,760	\$8,713
Prior year adjustments	72	-	-
Adjusted Beginning Balance	\$8,263	\$8,760	\$8,713
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
110500 Cigarette Tax	1,696	1,518	1,518
Total Revenues, Transfers, and Other Adjustments	\$1,696	\$1,518	\$1,518
Total Resources	\$9,959	\$10,278	\$10,231
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0840 State Controller (State Operations)	4	1	-
0860 State Board of Equalization (State Operations)	1,189	1,559	1,622
8880 Financial Information System for California (State Operations)	6	5	1
Total Expenditures and Expenditure Adjustments	\$1,199	\$1,565	\$1,623
FUND BALANCE	\$8,760	\$8,713	\$8,608
Reserve for economic uncertainties	8,760	8,713	8,608

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	4,366.7	4,849.1	4,756.9	\$251,764	\$289,059	\$290,090
Salary Adjustments	-	-	-	-	3,642	3,642
<b>Workload and Administrative Adjustments:</b>						

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Positions Established:				<b>Salary Range</b>		
Technology Services Department:						
Staff Programmer Analyst (Spec.)	-	-1.0	-1.0	5,065-6,660	-70	-70
Staff Information Systems Analyst (Spec.)	-	-1.0	-1.0	5,065-6,660	-70	-70
Administration-Accounting:						
Associate Accounting Analyst	-	-0.5	-1.0	4,619-5,784	-32	-63
Property and Special Taxes Department:						
Business Taxes Specialist I	-	-0.5	-1.5	5,328-6,670	-64	-96
Associate Tax Auditor	-	-1.0	-1.0	4,619-6,074	-36	-72
Overtime	-	-	-	-	-55	-
<b>Totals, Workload and Administrative Adjustments:</b>	<b>-</b>	<b>-4.0</b>	<b>-5.5</b>	<b>\$-</b>	<b>-\$327</b>	<b>-\$371</b>
<b>Proposed New Positions:</b>						
Executive:						
Associate Governmental Program Analyst (LT pos exp 6/30/16)	-	-	1.0	4,400-5,508	-	60
Technology Services Department:						
Senior Info Systems Analyst	-	-	1.0	5,571-7,322	-	78
Staff Programmer Analyst (Spec.)	-	-	2.0	5,065-6,660	-	141
Staff Information Systems Analyst (Spec.)	-	-	1.5	5,065-6,660	-	106
External Affairs Department:						
Tax Technician III	-	-	0.5	2,951-3,696	-	20
Tax Technician II	-	-	2.0	2,638-3,305	-	71
Legal Department:						
Tax Counsel IV (LT pos exp 6/30/16)	-	-	1.0	8,486-10,896	-	116
Tax Counsel III (Supvr.) (LT pos exp 6/30/16)	-	-	1.0	7,686-9,769	-	105
Tax Counsel III (Settlement Officer) (LT pos exp 6/30/16)	-	-	2.0	7,682-9,857	-	209
Tax Counsel III (Spec) (LT pos exp 6/30/16)	-	-	2.0	7,682-9,857	-	209
Business Taxes Specialist III (LT pos exp 6/30/16)	-	-	4.0	6,775-8,077	-	356
Business Taxes Specialist II (LT pos exp 6/30/16)	-	-	10.0	5,573-7,326	-	774
Tax Technician III (LT pos exp 6/30/16)	-	-	1.0	2,951-3,696	-	40
Administration Department:						
Accounting:						
Accountant Trainee	-	-	0.5	3,240-3,864	-	21
Tax Revenue Branch:						
Mailing Machine Operator II	-	-	0.5	2,649-3,312	-	18
Key Data Operator	-	-	3.0	2,153-3,064	-	94
Office Assistant (General)	-	-	2.5	2,074-2,853	-	74
Information Security Office:						
Staff Info Systems Analyst	-	-	1.0	5,065-6,660	-	70
Property and Special Taxes Department:						
Supervising Tax Auditor II	-	-	1.0	5,573-7,326	-	77
Business Taxes Specialist II	-	-	1.0	5,573-7,326	-	77
Business Taxes Specialist I	-	-	2.0	5,328-6,670	-	144
Business Taxes Administrator I	-	-	3.0	5,076-6,670	-	212
Associate Tax Auditor	-	-	1.0	4,619-6,074	-	64
Business Taxes Compliance Specialist	-	-	3.0	4,619-5,784	-	187

\* Dollars in thousands, except in Salary Range.

**0860 State Board of Equalization - Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Business Taxes Compliance Specialist (LT pos exp 6/30/16)	-	-	1.0	4,619-5,784	-	62
Business Taxes Representative	-	-	13.5	3,106-4,810	-	642
Business Taxes Representative (LT pos exp 6/30/16)	-	-	3.0	3,106-4,810	-	142
Tax Technician III	-	-	11.0	2,951-3,696	-	439
Tax Technician III (LT pos exp 6/30/16)	-	-	3.0	2,951-3,696	-	120
Tax Technician II	-	-	4.0	2,638-3,305	-	143
Tax Technician II (LT pos exp 6/30/16)	-	-	2.0	2,638-3,305	-	71
Office Assistant (General)	-	-	2.0	2,074-2,853	-	59
Blanket Funds:						
Overtime	-	-	-	-	-	96
Temporary Help	-	-	9.7	-	-	262
<b>Totals, Proposed New Positions</b>	<b>-</b>	<b>-</b>	<b>96.7</b>	<b>\$-</b>	<b>\$-</b>	<b>\$5,359</b>
<b>Total Adjustments</b>	<b>-</b>	<b>-4.0</b>	<b>91.2</b>	<b>\$-</b>	<b>\$3,315</b>	<b>\$8,630</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>4,366.7</b>	<b>4,845.1</b>	<b>4,848.1</b>	<b>\$251,764</b>	<b>\$292,374</b>	<b>\$298,720</b>

**0890 Secretary of State**

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe At Home program, maintains the Domestic Partners and Advance Health Care Directives Registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Filings and Registrations	256.7	333.8	332.8	\$44,739	\$56,276	\$56,296
20 Elections	58.9	65.7	65.7	21,142	50,035	38,499
30 Archives	24.5	27.3	31.3	6,518	7,197	8,755
40 DOJ Legal Services	-	-	-	-	333	333
50.01 Administration and Technology	124.9	132.2	132.2	15,700	23,235	23,622
50.02 Distributed Administration and Technology	-	-	-	-15,700	-23,235	-23,622
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>465.0</b>	<b>559.0</b>	<b>562.0</b>	<b>\$72,399</b>	<b>\$113,841</b>	<b>\$103,883</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$196	\$27,571	\$28,750
0228 Secretary of State's Business Fees Fund				32,627	41,617	53,015
0890 Federal Trust Fund				5,266	30,954	19,912
0995 Reimbursements				32,194	12,088	-
3042 Victims of Corporate Fraud Compensation Fund				2,116	1,530	1,630
3244 Political Disclosure, Accountability, Transparency, and Access Fund				-	81	76
3254 Business Programs Modernization Fund				-	-	500
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$72,399</b>	<b>\$113,841</b>	<b>\$103,883</b>

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

10-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 964.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923, ; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, and 5405; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304, 15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

20-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections law; Government Code, Title 9.

30-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, 14755-14756, and 14765-14768; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• HAVA Amended Spending Plan	\$-	\$-	-	\$-	\$5,105	-
• HAVA VoteCal	-	-	-	-	14,807	-
• California Business Connect Project	-	-	-	-	4,592	-
• California Records and Information Management Program Transfer	-	-	-	432	-	4.0
• Facilities Operations Funding	-	-	-	1,427	992	-
• "Safe at Home" Confidentiality Program (Chapter 676, Statutes of 2013)	-	-	-	79	-	1.0
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$1,938</b>	<b>\$25,496</b>	<b>5.0</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation	\$194	\$465	-	\$203	\$493	-
• Retirement Rate Adjustment	56	146	-	56	146	-
• One-time Cost Reductions	-	-	-	-66	-41,266	-2.0

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• One-time Cost Reductions (Local Assistance)	-	-	-	-	-1,307	-
• Legislation With An Appropriation (Chapter 531, Statutes of 2013)	-	240	-	-	-	-
• Expenditure Transfers	703	8	-	-	-	-
• Miscellaneous Adjustments	-	-	-	-	6,161	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$953</b>	<b>\$859</b>	<b>-</b>	<b>\$193</b>	<b>-\$35,773</b>	<b>-2.0</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$953</b>	<b>\$859</b>	<b>-</b>	<b>\$2,131</b>	<b>-\$10,277</b>	<b>3.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$953</b>	<b>\$859</b>	<b>-</b>	<b>\$2,131</b>	<b>-\$10,277</b>	<b>3.0</b>

**PROGRAM DESCRIPTIONS****10 - FILINGS AND REGISTRATIONS**

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as bonds that certain business entities are required to file, notice of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate their medical treatment preferences if they cannot speak or make decisions for themselves. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

Safe at Home is an address confidentiality program designed to assist victims of domestic violence, sexual assault, stalking, or a reproductive healthcare employee, patient, or volunteer. Participants in the Safe at Home program are provided with a free post office box to use as a mailing address thereby protecting their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

**20 - ELECTIONS**

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees all federal and state elections within California, maintaining a statewide database of all registered voters, tracks and certifies ballot measures, certifies candidates, and certifies election results. In addition, the program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and investigates election related criminal violations as provided by California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidates and campaign recipients, major donor, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant

\* Dollars in thousands, except in Salary Range.



**0890 Secretary of State - Continued**

registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

**30 - ARCHIVES**

The California State Archives acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires records, with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

**50 - ADMINISTRATION AND TECHNOLOGY**

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as ex officio member of the World Trade Commission and as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

**DETAILED EXPENDITURES BY PROGRAM**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>10 FILINGS AND REGISTRATIONS</b>			
<b>State Operations:</b>			
0001 General Fund	-\$22,190	\$1,041	\$1,151
0228 Secretary of State's Business Fees Fund	32,627	41,617	53,015
0995 Reimbursements	32,186	12,088	-
3042 Victims of Corporate Fraud Compensation Fund	2,116	1,530	1,630
3254 Business Programs Modernization Fund	-	-	500
<b>Totals, State Operations</b>	<b>\$44,739</b>	<b>\$56,276</b>	<b>\$56,296</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>20 ELECTIONS</b>			
<b>State Operations:</b>			
0001 General Fund	\$15,868	\$19,000	\$18,511
0890 Federal Trust Fund	4,769	29,647	17,178
0995 Reimbursements	8	-	-
3244 Political Disclosure, Accountability, Transparency and Access Fund	-	81	76
<b>Totals, State Operations</b>	<b>\$20,645</b>	<b>\$48,728</b>	<b>\$35,765</b>
<b>Local Assistance:</b>			
0890 Federal Trust Fund	\$497	\$1,307	\$2,734
<b>Totals, Local Assistance</b>	<b>\$497</b>	<b>\$1,307</b>	<b>\$2,734</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>30 ARCHIVES</b>			
<b>State Operations:</b>			
0001 General Fund	\$6,518	\$7,197	\$8,755
<b>Totals, State Operations</b>	<b>\$6,518</b>	<b>\$7,197</b>	<b>\$8,755</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>40 DOJ LEGAL SERVICES</b>			
<b>State Operations:</b>			
0001 General Fund	\$-	\$333	\$333
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$333</b>	<b>\$333</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	71,902	112,534	101,149

\* Dollars in thousands, except in Salary Range.

## 0890 Secretary of State - Continued

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Local Assistance	497	1,307	2,734
<b>Totals, Expenditures</b>	<b>\$72,399</b>	<b>\$113,841</b>	<b>\$103,883</b>

## EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	465.0	559.0	557.0	\$24,827	\$28,816	\$29,204
Total Adjustments	-	-	5.0	281	536	3,470
<b>Net Totals, Salaries and Wages</b>	<b>465.0</b>	<b>559.0</b>	<b>562.0</b>	<b>\$25,108</b>	<b>\$29,352</b>	<b>\$32,674</b>
Staff Benefits	-	-	-	11,447	12,572	12,956
<b>Totals, Personal Services</b>	<b>465.0</b>	<b>559.0</b>	<b>562.0</b>	<b>\$36,555</b>	<b>\$41,924</b>	<b>\$45,630</b>
OPERATING EXPENSES AND EQUIPMENT				\$27,669	\$61,818	\$46,727
SPECIAL ITEMS OF EXPENSE						
Parallel Monitoring				\$-	\$95	\$95
Printing ballot pamphlets				3,666	2,769	2,769
Mailing ballot pamphlets				960	2,964	2,964
Printing registration cards				996	1,664	1,664
Mailing registration cards				1,746	1,095	1,095
Election night reporting				310	205	205
<b>Totals, Special Items of Expense</b>				<b>\$7,678</b>	<b>\$8,792</b>	<b>\$8,792</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$71,902</b>	<b>\$112,534</b>	<b>\$101,149</b>

## 2 Local Assistance

	<u>Expenditures</u>		
	2012-13*	2013-14*	2014-15*
P.L. 107-252 - Help America Vote Act of 2002	\$497	\$1,307	\$2,734
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$497</b>	<b>\$1,307</b>	<b>\$2,734</b>

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 29, Statutes of 2012	\$31,764	\$-	\$-
Allocation for employee compensation	58	-	-
Adjustment per Section 3.60	192	-	-
Adjustment per Section 3.90	-469	-	-
Adjustment per Section 4.30	-5,665	-	-
Adjustment per Section 15.25	-5	-	-
Transfer from Item 8640-001-0001 (Political Reform Act)	703	-	-
001 Budget Act appropriation	-	26,619	28,750
Allocation for employee compensation	-	193	-
Adjustment per Section 3.60	-	56	-
Transfer from Item 8640-001-0001 (Political Reform Act)	-	703	-
<b>Totals Available</b>	<b>\$26,578</b>	<b>\$27,571</b>	<b>\$28,750</b>
Unexpended balance, estimated savings	-26,382	-	-

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$196</b>	<b>\$27,571</b>	<b>\$28,750</b>
<b>0228 Secretary of State's Business Fees Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$40,231	\$40,857	\$53,015
Allocation for employee compensation	137	396	-
Adjustment per Section 3.60	376	124	-
Adjustment per Section 3.90	-928	-	-
Adjustment per Section 4.30	-6,509	-	-
Adjustment per Section 15.25	-12	-	-
As amended by Chapter 3, Statutes of 2012	1,600	-	-
Chapter 531, Statutes of 2013	-	240	-
<b>Totals Available</b>	<b>\$34,895</b>	<b>\$41,617</b>	<b>\$53,015</b>
Unexpended balance, estimated savings	-2,268	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$32,627</b>	<b>\$41,617</b>	<b>\$53,015</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$16,602	\$29,647	\$17,178
Budget Adjustment	-11,833	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$4,769</b>	<b>\$29,647</b>	<b>\$17,178</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$32,194	\$12,088	\$-
<b>3042 Victims of Corporate Fraud Compensation Fund</b>			
APPROPRIATIONS			
Corporations Code Section 2280	\$2,116	\$1,530	\$1,630
<b>TOTALS, EXPENDITURES</b>	<b>\$2,116</b>	<b>\$1,530</b>	<b>\$1,630</b>
<b>3244 Political Disclosure, Accountability, Transparency, and Access Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$81	\$76
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$81</b>	<b>\$76</b>
<b>3254 Business Programs Modernization Fund</b>			
APPROPRIATIONS			
001 Budget Act Appropriation	\$-	\$-	\$500
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$500</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$71,902</b>	<b>\$112,534</b>	<b>\$101,149</b>
<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,416	\$1,307	\$2,734
Budget Adjustment	-1,919	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$497</b>	<b>\$1,307</b>	<b>\$2,734</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$497</b>	<b>\$1,307</b>	<b>\$2,734</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$72,399</b>	<b>\$113,841</b>	<b>\$103,883</b>

**FUND CONDITION STATEMENTS**

	2012-13*	2013-14*	2014-15*
<b>0228 Secretary of State's Business Fees Fund<sup>s</sup></b>			
BEGINNING BALANCE	\$1,000	\$1,001	\$816
Prior year adjustments	318	-	-

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Adjusted Beginning Balance	\$1,318	\$1,001	\$816
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
124100 Domestic Corporation Fees	8,491	6,505	6,505
124200 Foreign Corporation Fees	1,071	862	862
124300 Notary Public License Fees	1,052	655	655
124400 Filing Financing Statements	2,245	2,516	2,516
125600 Other Regulatory Fees	7,351	7,936	7,936
142000 General Fees--Secretary of State	26,922	25,439	24,730
150300 Income From Surplus Money Investments	21	10	10
161400 Miscellaneous Revenue	39	33	21,600
Transfers and Other Adjustments:			
TO0001 To General Fund per Government Code Section 12176	<u>-14,643</u>	<u>-2,336</u>	<u>-11,799</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$32,549</u>	<u>\$41,620</u>	<u>\$53,015</u>
Total Resources	\$33,867	\$42,621	\$53,831
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	40	3	-
0890 Secretary of State (State Operations)	32,627	41,617	53,015
8880 Financial Information System for California (State Operations)	<u>199</u>	<u>185</u>	<u>34</u>
Total Expenditures and Expenditure Adjustments	<u>\$32,866</u>	<u>\$41,805</u>	<u>\$53,049</u>
FUND BALANCE	\$1,001	\$816	\$782
Reserve for economic uncertainties	1,001	816	782
<b>3042 Victims of Corporate Fraud Compensation Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$3,298	\$3,333	\$3,231
Prior year adjustments	<u>340</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,638	\$3,333	\$3,231
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142000 General Fees--Secretary of State	1,669	1,418	1,418
150300 Income From Surplus Money Investments	11	10	10
150500 Interest Income From Interfund Loans	131	-	-
Transfers and Other Adjustments:			
FO0001 From General Fund Loan repayment per Item 0890-011-3042, Budget Act of 2010	<u>-</u>	<u>-</u>	<u>10,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,811</u>	<u>\$1,428</u>	<u>\$11,428</u>
Total Resources	\$5,449	\$4,761	\$14,659
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	<u>2,116</u>	<u>1,530</u>	<u>1,630</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,116</u>	<u>\$1,530</u>	<u>\$1,630</u>
FUND BALANCE	\$3,333	\$3,231	\$13,029
Reserve for economic uncertainties	3,333	3,231	13,029
<b>3244 Political Disclosure, Accountability, Transparency, and Access Fund <sup>s</sup></b>			
BEGINNING BALANCE	-	\$389	\$680
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
142000 General Fees--Secretary of State	\$385	342	433
164300 Penalty Assessments	4	30	5

\* Dollars in thousands, except in Salary Range.

**0890 Secretary of State - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Total Revenues, Transfers, and Other Adjustments	\$389	\$372	\$438
Total Resources	\$389	\$761	\$1,118
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0890 Secretary of State (State Operations)	-	81	76
Total Expenditures and Expenditure Adjustments	-	\$81	\$76
FUND BALANCE	\$389	\$680	\$1,042
Reserve for economic uncertainties	389	680	1,042
<b>3254 Business Programs Modernization Fund <sup>s</sup></b>			
BEGINNING BALANCE	-	-	\$709
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
142000 General Fees--Secretary of State	-	\$709	1,418
Total Revenues, Transfers, and Other Adjustments	-	\$709	\$1,418
Total Resources	-	\$709	\$2,127
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0890 Secretary of State (State Operations)	-	-	500
Total Expenditures and Expenditure Adjustments	-	-	\$500
FUND BALANCE	-	\$709	\$1,627
Reserve for economic uncertainties	-	709	1,627

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Totals, Authorized Positions	465.0	559.0	557.0	\$24,827	\$28,816	\$29,204
Salary Adjustments	-	-	-	281	536	3,054
<b>Proposed New Positions:</b>	<b>Salary Range</b>					
Management Services:						
Staff Info Sys Analyst	-	-	1.0	5,065-6,660	-	99
Records Mgmt Analyst II (Spec)	-	-	3.0	4,440-5,508	-	281
Mgmt Svc Tech	-	-	1.0	2,495-3,529	-	36
<b>Totals Proposed New Positions</b>	<u>-</u>	<u>-</u>	<u>5.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$416</u>
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>5.0</u>	<u>\$281</u>	<u>\$536</u>	<u>\$3,470</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>465.0</b>	<b>559.0</b>	<b>562.0</b>	<b>\$25,108</b>	<b>\$29,352</b>	<b>\$32,674</b>

**0911 Citizens Redistricting Initiative**

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
10 Support	0.5	0.5	0.5	\$80	\$71	\$91
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>\$80</b>	<b>\$71</b>	<b>\$91</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$80	\$71	\$91

\* Dollars in thousands, except in Salary Range.

## 0911 Citizens Redistricting Initiative - Continued

FUNDING	2012-13*	2013-14*	2014-15*
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>	<b>\$80</b>	<b>\$71</b>	<b>\$91</b>

### LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Contracted Fiscal Services and Legal Services Contracts	\$-	\$-	-	\$20	\$-	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$20</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$20</b>	<b>\$-</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$20</b>	<b>\$-</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

10 - The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the commission as the sole legal defender.

### DETAILED EXPENDITURES BY PROGRAM

		2012-13*	2013-14*	2014-15*
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>SUPPORT</b>			
	<b>State Operations:</b>			
0001	General Fund	\$80	\$71	\$91
	<b>Totals, State Operations</b>	<b>\$80</b>	<b>\$71</b>	<b>\$91</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	80	71	91
	<b>Totals, Expenditures</b>	<b>\$80</b>	<b>\$71</b>	<b>\$91</b>

### EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>1 State Operations</b>						
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	0.5	0.5	0.5	\$35	\$37	\$37
<b>Net Totals, Salaries and Wages</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>\$35</b>	<b>\$37</b>	<b>\$37</b>
Staff Benefits	-	-	-	17	17	17
<b>Totals, Personal Services</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>\$52</b>	<b>\$54</b>	<b>\$54</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
				\$28	\$17	\$37
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$80</b>	<b>\$71</b>	<b>\$91</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

\* Dollars in thousands, except in Salary Range.

### 0911 Citizens Redistricting Initiative - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$69	\$71	\$91
Adjustment per Section 3.60	1	-	-
Adjustment per Section 3.90	-2	-	-
Prior year balances available:			
Item 0911-001-0001, Budget Act of 2010	<u>25</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$93</b>	<b>\$71</b>	<b>\$91</b>
Unexpended balance, estimated savings	<u>-13</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b><u>\$80</u></b>	<b><u>\$71</u></b>	<b><u>\$91</u></b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$80</b>	<b>\$71</b>	<b>\$91</b>

### 0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

#### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Investment Services	20.6	18.0	18.0	\$3,387	\$3,344	\$3,346
20 Centralized Treasury and Securities Management	56.9	67.5	67.5	11,073	13,020	13,027
30 Public Finance	51.3	58.0	61.0	8,138	9,855	10,242
50.01 Administration and Information Services	87.0	89.9	89.9	12,064	13,064	13,071
50.02 Distributed Administration	<u>-</u>	<u>-</u>	<u>-</u>	<u>-9,104</u>	<u>-9,903</u>	<u>-9,909</u>
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>215.8</b>	<b>233.4</b>	<b>236.4</b>	<b>\$25,558</b>	<b>\$29,380</b>	<b>\$29,777</b>

FUNDING	2012-13*	2013-14*	2014-15*
0001 General Fund	\$2,727	\$4,806	\$4,666
0995 Reimbursements	20,478	22,154	22,547
9740 Central Service Cost Recovery Fund	<u>2,353</u>	<u>2,420</u>	<u>2,564</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>	<b>\$25,558</b>	<b>\$29,380</b>	<b>\$29,777</b>

#### LEGAL CITATIONS AND AUTHORITY

##### DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

#### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Debt Management System Project	<u>\$-</u>	<u>\$-</u>	<u>-</u>	<u>\$-</u>	<u>\$1,056</u>	<u>4.0</u>
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$1,056</b>	<b>4.0</b>
<b>Other Workload Budget Adjustments</b>						

\* Dollars in thousands, except in Salary Range.

## 0950 State Treasurer - Continued

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Employee Compensation Adjustment	\$52	\$252	-	\$55	\$267	-
• Retirement Rate Adjustment	18	91	-	18	91	-
• One-Time Cost Reductions (DMS II)	-	-	-	-	-677	-1.0
• Central Service Cost Recovery Fund Adjustment	-	-	-	-143	143	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$70</b>	<b>\$343</b>	<b>-</b>	<b>-\$70</b>	<b>-\$176</b>	<b>-1.0</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$70</b>	<b>\$343</b>	<b>-</b>	<b>-\$70</b>	<b>\$880</b>	<b>3.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$70</b>	<b>\$343</b>	<b>-</b>	<b>-\$70</b>	<b>\$880</b>	<b>3.0</b>

## PROGRAM DESCRIPTIONS

## 10 - INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2012-13 fiscal year, this Division handled 6,778 security investment transactions totaling \$269.3 billion. The Pooled Money Investment Board (PMIB) program accounted for 4,693 of these transactions totaling \$233.9 billion; time deposits accounted for 1,166 transactions totaling \$27.4 billion. The remaining \$8.0 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2012-13 fiscal year, 2,626 local agencies participated in LAIF, with deposits averaging \$20.8 billion for the fiscal year.

## 20 - CENTRALIZED TREASURY AND SECURITIES MANAGEMENT

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The State Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, and 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments.

The Division is also responsible for 1) executing the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 2) the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 3) the safekeeping of securities and other personal property owned by or pledged to the state.

## 30 - PUBLIC FINANCE

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt. The post-issuance tax compliance for various state debt includes monitoring the use of bond proceeds, monitoring use of bond financed property, tracking expenditures, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

## 50 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

\* Dollars in thousands, except in Salary Range.



0950 State Treasurer - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>INVESTMENT SERVICES</b>			
<b>State Operations:</b>				
0001	General Fund	\$464	\$442	\$427
0995	Reimbursements	2,671	2,644	2,645
9740	Central Service Cost Recovery Fund	<u>252</u>	<u>258</u>	<u>274</u>
<b>Totals, State Operations</b>		<b>\$3,387</b>	<b>\$3,344</b>	<b>\$3,346</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>20</b>	<b>CENTRALIZED TREASURY AND SECURITIES MANAGEMENT</b>			
<b>State Operations:</b>				
0001	General Fund	\$439	\$2,278	\$2,200
0995	Reimbursements	9,265	9,426	9,431
9740	Central Service Cost Recovery Fund	<u>1,369</u>	<u>1,316</u>	<u>1,396</u>
<b>Totals, State Operations</b>		<b>\$11,073</b>	<b>\$13,020</b>	<b>\$13,027</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>30</b>	<b>PUBLIC FINANCE</b>			
<b>State Operations:</b>				
0001	General Fund	\$1,335	\$1,357	\$1,310
0995	Reimbursements	6,071	7,652	8,038
9740	Central Service Cost Recovery Fund	<u>732</u>	<u>846</u>	<u>894</u>
<b>Totals, State Operations</b>		<b>\$8,138</b>	<b>\$9,855</b>	<b>\$10,242</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>50</b>	<b>ADMINISTRATION AND INFORMATION SERVICES</b>			
<b>State Operations:</b>				
0001	General Fund	\$489	\$730	\$730
0995	Reimbursements	<u>2,471</u>	<u>2,431</u>	<u>2,432</u>
<b>Totals, State Operations</b>		<b>\$2,960</b>	<b>\$3,161</b>	<b>\$3,162</b>
<b>ELEMENT REQUIREMENTS</b>				
50.01	Administration and Information Services	12,064	13,064	13,071
50.02	Distributed Administration	-9,104	-9,903	-9,909
<b>TOTALS, EXPENDITURES</b>				
State Operations		<u>25,558</u>	<u>29,380</u>	<u>29,777</u>
<b>Totals, Expenditures</b>		<b>\$25,558</b>	<b>\$29,380</b>	<b>\$29,777</b>

EXPENDITURES BY CATEGORY

	1 State Operations					
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	215.8	233.4	233.4	\$13,799	\$15,851	\$16,106
Total Adjustments	<u>-</u>	<u>-</u>	<u>3.0</u>	<u>-</u>	<u>-</u>	<u>476</u>
<b>Net Totals, Salaries and Wages</b>	<b>215.8</b>	<b>233.4</b>	<b>236.4</b>	<b>\$13,799</b>	<b>\$15,851</b>	<b>\$16,582</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>6,117</u>	<u>6,720</u>	<u>6,358</u>
<b>Totals, Personal Services</b>	<b>215.8</b>	<b>233.4</b>	<b>236.4</b>	<b>\$19,916</b>	<b>\$22,571</b>	<b>\$22,940</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
				<u>\$5,642</u>	<u>\$6,809</u>	<u>\$6,837</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$25,558</b>	<b>\$29,380</b>	<b>\$29,777</b>

\* Dollars in thousands, except in Salary Range.

## 0950 State Treasurer - Continued

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,601	\$4,736	\$4,666
Allocation for employee compensation	15	52	-
Adjustment per Section 3.60	57	18	-
Adjustment per Section 3.90	<u>-143</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$4,530</b>	<b>\$4,806</b>	<b>\$4,666</b>
Unexpended balance, estimated savings	<u>-1,803</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2,727</b>	<b>\$4,806</b>	<b>\$4,666</b>
<b>0467 State Notes Expense Account</b>			
APPROPRIATIONS			
Government Code Section 17311	<u>\$2,040</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2,040</b>	<b>\$-</b>	<b>\$-</b>
Less funding provided by General Fund	<u>-2,040</u>	<u>-</u>	<u>-</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$20,478	\$22,154	\$22,547
<b>9740 Central Service Cost Recovery Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,392	\$2,387	\$2,564
Allocation for employee compensation	9	24	-
Adjustment per Section 3.60	32	9	-
Adjustment per Section 3.90	<u>-80</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$2,353</b>	<b>\$2,420</b>	<b>\$2,564</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$25,558</b>	<b>\$29,380</b>	<b>\$29,777</b>

## FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>0467 State Notes Expense Account <sup>s</sup></b>			
BEGINNING BALANCE	\$250	\$250	\$250
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0950 State Treasurer (State Operations)	2,040	-	-
Expenditure Adjustments:			
0950 State Treasurer			
Less funding provided by General Fund (State Operations)	<u>-2,040</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$250	\$250	\$250
Reserve for economic uncertainties	250	250	250
<b>3059 Fiscal Recovery Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$536,817	\$657,072	\$658,949
Prior year adjustments	<u>207</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$537,024	\$657,072	\$658,949
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

\* Dollars in thousands, except in Salary Range.

**0950 State Treasurer - Continued**

	2012-13*	2013-14*	2014-15*
115100 Retail Sales and Use Tax-Fiscal Recovery	1,443,386	1,514,000	1,616,000
150300 Income From Surplus Money Investments	2,181	2,000	2,000
Transfers and Other Adjustments:			
FO0749 From Refunding Escrow Fund per Government Code section 16784	<u>107</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,445,674</u>	<u>\$1,516,000</u>	<u>\$1,618,000</u>
Total Resources	\$1,982,698	\$2,173,072	\$2,276,949
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
9618 Economic Recovery Financing Committee			
State Operations	14,544	15,260	16,158
Unclassified	<u>1,311,082</u>	<u>1,498,863</u>	<u>1,599,630</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,325,626</u>	<u>\$1,514,123</u>	<u>\$1,615,788</u>
FUND BALANCE	\$657,072	\$658,949	\$661,161
Reserve for economic uncertainties	657,072	658,949	661,161
Includes Senior Coverage Account			

**3090 Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget**

**Stabilization Account <sup>s</sup>**

BEGINNING BALANCE	\$1,453	\$7,777	\$7,797
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
150300 Income From Surplus Money Investments	1	20	21
160400 Sale of Fixed Assets	8,037	39,735	41,325
Transfers and Other Adjustments:			
FO1011 From Budget Stabilization Account Article XVI, Sec. 20 of the California Constitution	<u>-</u>	<u>-</u>	<u>1,591,412</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$8,038</u>	<u>\$39,755</u>	<u>\$1,632,758</u>
Total Resources	\$9,491	\$47,532	\$1,640,555
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
9618 Economic Recovery Financing Committee (Unclassified)	<u>1,714</u>	<u>39,735</u>	<u>1,632,737</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,714</u>	<u>\$39,735</u>	<u>\$1,632,737</u>
FUND BALANCE	\$7,777	\$7,797	\$7,818
Reserve for economic uncertainties	7,777	7,797	7,818

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	215.8	233.4	233.4	\$13,799	\$15,851	\$16,106
Salary Adjustments	-	-	-	-	-	216
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Proposed New Positions:						
Public Finance Division, DMS II Section						
Data Processing Manager III	-	-	1.0	7,118-8,486	-	94
Treasury Program II	-	-	1.0	5,857-7,276	-	79
Treasury Program II	-	-	-1.0	5,857-7,064	-	-77
Sr. Programmer Analyst	-	-	1.0	5,850-7,465	-	82
System Software Specialist II	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>5,839-7,435</u>	<u>-</u>	<u>82</u>
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>3.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$476</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>215.8</b>	<b>233.4</b>	<b>236.4</b>	<b>\$13,799</b>	<b>\$15,851</b>	<b>\$16,582</b>

\* Dollars in thousands, except in Salary Range.

## 0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Programs (GSP), and the California Memorial Scholarship Program (CMS). These programs encourage Californians to save for college and pursue higher education goals. ScholarShare is a way for families to save for higher education costs utilizing a tax-advantaged 529 college savings plan. GSP granted academic achievement-based scholarships to students for use at eligible higher education institutions. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Golden State Scholarshare Trust Program	8.5	9.0	9.0	\$1,628	\$2,475	\$2,505
20 Governor's Scholarship Program	0.3	1.0	1.0	388	371	356
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>8.8</b>	<b>10.0</b>	<b>10.0</b>	<b>\$2,016</b>	<b>\$2,846</b>	<b>\$2,861</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$388	\$371	\$356
0564 Scholarshare Administrative Fund				1,628	2,475	2,505
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$2,016</b>	<b>\$2,846</b>	<b>\$2,861</b>

### LEGAL CITATIONS AND AUTHORITY

#### PROGRAM AUTHORITY

10-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

20-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

30-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustment	\$-	\$9	-	\$-	\$10	-
• Retirement Rate Adjustment	-	4	-	-	4	-
• Pro Rata Adjustment (Special Fund Cost Recovery)	-	-	-	-	29	-
• Align Governor's Scholarship Program Appropriation with Expected Costs	-	-	-	-15	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$13</b>	<b>-</b>	<b>-\$15</b>	<b>\$43</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$13</b>	<b>-</b>	<b>-\$15</b>	<b>\$43</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$13</b>	<b>-</b>	<b>-\$15</b>	<b>\$43</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

#### 10 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established for the purpose of helping families save for higher education costs. Earnings are tax free when used for qualified higher education expenses (such as tuition, fees, supplies, books, and certain room and board expenses) at eligible educational institutions.

#### 20 - GOVERNOR'S SCHOLARSHIP PROGRAMS

\* Dollars in thousands, except in Salary Range.

**0954 Scholarshare Investment Board - Continued**

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on specific exams taken in 2000, 2001, and 2002. GSP became inoperative and repealed in fiscal year 2002-03; however, the ScholarShare Investment Board continues to administer scholarship awards earned in 2000, 2001, and 2002.

**30 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM**

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001. These scholarships are used to defray higher education costs and are funded through the sales of memorial license plates.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>GOLDEN STATE SCHOLARSHARE TRUST PROGRAM</b>			
<b>State Operations:</b>				
0564	Scholarshare Administrative Fund	\$1,628	\$2,475	\$2,505
	<b>Totals, State Operations</b>	<b>\$1,628</b>	<b>\$2,475</b>	<b>\$2,505</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>20</b>	<b>GOVERNOR'S SCHOLARSHIP PROGRAM</b>			
<b>State Operations:</b>				
0001	General Fund	\$388	\$371	\$356
	<b>Totals, State Operations</b>	<b>\$388</b>	<b>\$371</b>	<b>\$356</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	\$2,016	\$2,846	\$2,861
	<b>Totals, Expenditures</b>	<b>\$2,016</b>	<b>\$2,846</b>	<b>\$2,861</b>

**EXPENDITURES BY CATEGORY**

	1 State Operations	Positions			Expenditures		
		2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>PERSONAL SERVICES</b>							
	Authorized Positions (Equals Sch. 7A)	8.8	10.0	10.0	\$538	\$605	\$643
	Total Adjustments	-	-	-	-	6	6
	<b>Net Totals, Salaries and Wages</b>	<b>8.8</b>	<b>10.0</b>	<b>10.0</b>	<b>\$538</b>	<b>\$611</b>	<b>\$649</b>
	Staff Benefits	-	-	-	225	255	271
	<b>Totals, Personal Services</b>	<b>8.8</b>	<b>10.0</b>	<b>10.0</b>	<b>\$763</b>	<b>\$866</b>	<b>\$920</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>					\$1,253	\$1,980	\$1,941
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>					<b>\$2,016</b>	<b>\$2,846</b>	<b>\$2,861</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS		2012-13*	2013-14*	2014-15*
0001 General Fund				
<b>APPROPRIATIONS</b>				
001	Budget Act appropriation	\$391	\$371	\$356
	Adjustment per Section 3.60	1	-	-
	Adjustment per Section 3.90	-4	-	-
<b>TOTALS, EXPENDITURES</b>		<b>\$388</b>	<b>\$371</b>	<b>\$356</b>

\* Dollars in thousands, except in Salary Range.

**0954 Scholarshare Investment Board - Continued**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0564 Scholarshare Administrative Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,432	\$2,462	\$2,505
Allocation for employee compensation	3	9	-
Adjustment per Section 3.60	13	4	-
Adjustment per Section 3.90	<u>-32</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$2,416</b>	<b>\$2,475</b>	<b>\$2,505</b>
Unexpended balance, estimated savings	<u>-788</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,628</b>	<b>\$2,475</b>	<b>\$2,505</b>
<b>3033 California Memorial Scholarship Fund</b>			
APPROPRIATIONS			
Prior year balances available:			
Chapter 677, Statutes of 2005	<u>\$27</u>	<u>\$27</u>	<u>\$27</u>
<b>Totals Available</b>	<b>\$27</b>	<b>\$27</b>	<b>\$27</b>
Balance available in subsequent years	<u>-27</u>	<u>-27</u>	<u>-27</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$2,016</b>	<b>\$2,846</b>	<b>\$2,861</b>

**FUND CONDITION STATEMENTS**

	2012-13*	2013-14*	2014-15*
<b>3033 California Memorial Scholarship Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$29	\$27	\$23
Prior year adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$27	\$27	\$23
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>4</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$4</u>	<u>-</u>
FUND BALANCE	\$27	\$23	\$23
Reserve for economic uncertainties	27	23	23

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	8.8	10.0	10.0	\$538	\$605	\$643
Salary Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>6</u>	<u>6</u>
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$6</b>	<b>\$6</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>8.8</b>	<b>10.0</b>	<b>10.0</b>	<b>\$538</b>	<b>\$611</b>	<b>\$649</b>

**0956 California Debt and Investment Advisory Commission**

The mission of the California Debt and Investment Advisory Commission (CDIAC) is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. CDIAC assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

**3-YR EXPENDITURES AND POSITIONS**

\* Dollars in thousands, except in Salary Range.

**0956 California Debt and Investment Advisory Commission - Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 California Debt and Investment Advisory Commission	16.5	20.0	20.0	\$2,307	\$3,084	\$3,043
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>16.5</b>	<b>20.0</b>	<b>20.0</b>	<b>\$2,307</b>	<b>\$3,084</b>	<b>\$3,043</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0171 California Debt and Investment Advisory Commission Fund				\$2,307	\$2,904	\$2,863
0995 Reimbursements				-	180	180
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$2,307</b>	<b>\$3,084</b>	<b>\$3,043</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

**DETAILED BUDGET ADJUSTMENTS**

	General Fund	2013-14*		Positions	General Fund	2014-15*		
		Other Funds				Other Funds	Positions	
<b>Workload Budget Adjustments</b>								
<b>Other Workload Budget Adjustments</b>								
• Employee Compensation Adjustments	\$-	\$26	-	-	\$-	\$27	-	
• Retirement Rate Adjustment	-	9	-	-	-	9	-	
• Pro Rata Adjustment	-	-	-	-	-	-42	-	
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$35</b>	<b>-</b>	<b>\$-</b>	<b>-\$6</b>	<b>-</b>	<b>-</b>	
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$35</b>	<b>-</b>	<b>\$-</b>	<b>-\$6</b>	<b>-</b>	<b>-</b>	
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$35</b>	<b>-</b>	<b>\$-</b>	<b>-\$6</b>	<b>-</b>	<b>-</b>	

**PROGRAM DESCRIPTIONS**

**10 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION**

CDIAC's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. CDIAC achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - CDIAC serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - CDIAC provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, CDIAC provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - CDIAC undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

**DETAILED EXPENDITURES BY PROGRAM**

		2012-13*	2013-14*	2014-15*
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION</b>			
<b>State Operations:</b>				
0171	California Debt and Investment Advisory Commission Fund	\$2,307	\$2,904	\$2,863
0995	Reimbursements	-	180	180
	<b>Totals, State Operations</b>	<b>\$2,307</b>	<b>\$3,084</b>	<b>\$3,043</b>

\* Dollars in thousands, except in Salary Range.

## 0956 California Debt and Investment Advisory Commission - Continued

	2012-13*	2013-14*	2014-15*
<b>TOTALS, EXPENDITURES</b>			
State Operations	2,307	3,084	3,043
<b>Totals, Expenditures</b>	<b>\$2,307</b>	<b>\$3,084</b>	<b>\$3,043</b>

### EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>1 State Operations</b>						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	16.5	20.0	20.0	\$1,014	\$1,229	\$1,254
Total Adjustments	-	-	-	-	19	19
<b>Net Totals, Salaries and Wages</b>	<b>16.5</b>	<b>20.0</b>	<b>20.0</b>	<b>\$1,014</b>	<b>\$1,248</b>	<b>\$1,273</b>
Staff Benefits	-	-	-	422	497	507
<b>Totals, Personal Services</b>	<b>16.5</b>	<b>20.0</b>	<b>20.0</b>	<b>\$1,436</b>	<b>\$1,745</b>	<b>\$1,780</b>
OPERATING EXPENSES AND EQUIPMENT				\$871	\$1,339	\$1,263
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$2,307</b>	<b>\$3,084</b>	<b>\$3,043</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	2012-13*	2013-14*	2014-15*
<b>1 STATE OPERATIONS</b>			
<b>0171 California Debt and Investment Advisory Commission Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,817	\$2,869	\$2,863
Allocation for employee compensation	6	26	-
Adjustment per Section 3.60	29	9	-
Adjustment per Section 3.90	-73	-	-
<b>Totals Available</b>	<b>\$2,779</b>	<b>\$2,904</b>	<b>\$2,863</b>
Unexpended balance, estimated savings	-472	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$2,307</b>	<b>\$2,904</b>	<b>\$2,863</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$-	\$180	\$180
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$2,307</b>	<b>\$3,084</b>	<b>\$3,043</b>

### FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>0171 California Debt and Investment Advisory Commission Fund<sup>5</sup></b>			
BEGINNING BALANCE	\$4,934	\$4,532	\$3,692
Prior year adjustments	-68	-	-
Adjusted Beginning Balance	\$4,866	\$4,532	\$3,692
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	1,975	2,065	2,065
150300 Income From Surplus Money Investments	14	12	12
Total Revenues, Transfers, and Other Adjustments	\$1,989	\$2,077	\$2,077
Total Resources	\$6,855	\$6,609	\$5,769
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

\* Dollars in thousands, except in Salary Range.



**0956 California Debt and Investment Advisory Commission - Continued**

	2012-13*	2013-14*	2014-15*
0840 State Controller (State Operations)	2	-	-
0956 California Debt and Investment Advisory Commission (State Operations)	2,307	2,904	2,863
8880 Financial Information System for California (State Operations)	14	13	2
Total Expenditures and Expenditure Adjustments	<u>\$2,323</u>	<u>\$2,917</u>	<u>\$2,865</u>
FUND BALANCE	\$4,532	\$3,692	\$2,904
Reserve for economic uncertainties	4,532	3,692	2,904

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	16.5	20.0	20.0	\$1,014	\$1,229	\$1,254
Salary Adjustments	-	-	-	-	19	19
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$19</b>	<b>\$19</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>16.5</b>	<b>20.0</b>	<b>20.0</b>	<b>\$1,014</b>	<b>\$1,248</b>	<b>\$1,273</b>

**0959 California Debt Limit Allocation Committee**

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt private activity bond authority for the State of California. Private activity bonds may only be used by the private sector for projects and programs that provide a public benefit. The major public benefit in California is the creation of affordable housing.

The federal government limits the amount of tax-exempt private activity bond authority that can be issued in a state on an annual basis. The limit of bond authority in 2013 is calculated by multiplying the state population by \$95. California has the largest population, and thus has the largest debt (or tax-exempt bond) limit, which totaled over \$3.61 billion in 2013.

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities, and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or of the federal government.

The Committee administers seven programs that are funded through the allocation and issuance of tax-exempt private activity bonds. Those programs are: (1) the Qualified Residential Rental Project Program, (2) the Single-Family Housing Program, (3) the Home Improvement and Rehabilitation Bond Program, (4) the Extra Credit Home Purchase Program, (5) the Industrial Development Bond Project Program, (6) the Exempt Facility Program, and (7) the Student Loan Program.

The Committee is also responsible for the reallocation of Qualified Energy Conservation Bond (QECCB) authority originally provided to qualified localities, but later waived back to the State. This bond program, made available through the American Recovery and Reinvestment Act of 2009, provides tax incentives and lower borrowing costs for local governments and private entities to promote job creation and economic recovery in areas particularly affected by employment decline and to facilitate renewable energy conservation programs and projects throughout the State.

The Committee is comprised of the State Treasurer as Chairperson, the Governor, or upon his designation, the Director of Finance, and the State Controller. The Committee is funded on a fee-supported basis.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 California Debt Limit Allocation Committee	8.2	9.0	9.0	\$1,227	\$1,355	\$1,410
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>8.2</b>	<b>9.0</b>	<b>9.0</b>	<b>\$1,227</b>	<b>\$1,355</b>	<b>\$1,410</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0169 California Debt Limit Allocation Committee Fund				<u>\$1,227</u>	<u>\$1,355</u>	<u>\$1,410</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$1,227</b>	<b>\$1,355</b>	<b>\$1,410</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

\* Dollars in thousands, except in Salary Range.

## 0959 California Debt Limit Allocation Committee - Continued

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$14	-	\$-	\$15	-
• Retirement Rate Adjustment	-	4	-	-	4	-
• Pro Rata Adjustment	-	-	-	-	54	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$18</b>	<b>-</b>	<b>\$-</b>	<b>\$73</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$18</b>	<b>-</b>	<b>\$-</b>	<b>\$73</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$18</b>	<b>-</b>	<b>\$-</b>	<b>\$73</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

#### 10 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

##### Qualified Residential Rental Project Program:

State and local government agencies and joint powers authorities can issue tax-exempt housing revenue bonds. These bonds assist developers of multifamily rental housing units to acquire land and construct new units or purchase and rehabilitate existing units. The tax-exempt bonds lower the interest rate on a mortgage to be paid by the developers. The developers in turn produce affordable and market rate rental housing for low and very low-income households by reducing rental rates to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive four-percent tax credits.

##### Single-Family Housing Program:

State and local government agencies and joint powers authorities can issue tax-exempt mortgage revenue bonds (MRBs) or mortgage credit certificates (MCCs) to assist first-time homebuyers with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local government agencies and joint powers authorities may issue MCCs. Homebuyers use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homebuyers may purchase single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits and must purchase a home that falls within the program's purchase price limitations.

##### Home Improvement and Rehabilitation Bond Program:

State and local government agencies and joint powers authorities can issue MRBs or MCCs to assist homeowners with home improvement financing. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate home improvement or qualified rehabilitation loans. As an alternative to issuing MRBs, state and local government agencies and joint powers authorities may issue MCCs. Homeowners use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homeowners may improve single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits, and in certain cases, must own a home that falls within the program's rehabilitation cost and age-of-home limitations.

##### Extra Credit Home Purchase Program:

State and local government agencies and joint powers authorities can issue MRBs or MCCs to assist teachers, principals and other eligible school staff with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCC to reduce their federal tax liability by applying the credit to their net tax due.

##### Industrial Development Bond Project Program:

Small-Issue Industrial Development Bonds (IDBs) are tax-exempt private activity bonds that are issued through state and local government agencies to assist manufacturing facilities finance capital expenditures. IDBs offer interest rate savings to small and midsize manufacturers in contrast to conventional loans. When used by manufacturers, IDBs serve to retain and create new jobs within their communities.

##### Exempt Facility Program:

Exempt Facility Bonds are tax-exempt private activity bonds that are issued by state and local government agencies to finance solid waste disposal and waste recycling facilities. The tax-exempt bonds provide facility owners with low-cost financing in the form of below-market interest rate loans. The interest rate savings enable the project owners to maintain lower customer rates or minimize customer rate increases, while at the same time assisting the communities they serve meet their mandated requirements to protect and enhance the environment.

##### Student Loan Program:

Student Loan Bonds are tax-exempt private activity bonds issued by authorized agencies for the purpose of either financing direct loans to college students and their parents or purchasing bundles of already-originated loans on the secondary

\* Dollars in thousands, except in Salary Range.

## 0959 California Debt Limit Allocation Committee - Continued

market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to financially needy students via below-market interest rate loans. Financially needy students are borrowers for whom the cost to attend college exceeds their ability to pay, as determined by their school's financial aid office.

Qualified Energy Conservation Bond Program:

Qualified Energy Conservation Bonds (QECBs) may be issued as either tax-exempt private activity bonds or governmental purpose taxable bonds. When issued as a governmental purpose taxable bond, QECBs provide the issuer with either a direct or tax credit interest subsidy. QECBs may be issued to finance a broad array of qualified conservation purposes such as capital expenditures, research facilities and research grant programs, mass commuting facilities, public education campaigns, and demonstration projects. There is no sunset date for this program.

### DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE</b>			
<b>State Operations:</b>				
0169	California Debt Limit Allocation Committee Fund	\$1,227	\$1,355	\$1,410
<b>Totals, State Operations</b>		<b>\$1,227</b>	<b>\$1,355</b>	<b>\$1,410</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		<u>1,227</u>	<u>1,355</u>	<u>1,410</u>
<b>Totals, Expenditures</b>		<b>\$1,227</b>	<b>\$1,355</b>	<b>\$1,410</b>

### EXPENDITURES BY CATEGORY

	<b>1 State Operations</b>					
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	8.2	9.0	9.0	\$502	\$563	\$571
Salary Adjustments	-	-	-	-	10	10
<b>Net Totals, Salaries and Wages</b>	<b>8.2</b>	<b>9.0</b>	<b>9.0</b>	<b>\$502</b>	<b>\$573</b>	<b>\$581</b>
Staff Benefits	-	-	-	222	238	242
<b>Totals, Personal Services</b>	<b>8.2</b>	<b>9.0</b>	<b>9.0</b>	<b>\$724</b>	<b>\$811</b>	<b>\$823</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				<u>\$503</u>	<u>\$544</u>	<u>\$587</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS</b>				<b>\$1,227</b>	<b>\$1,355</b>	<b>\$1,410</b>
<b>(State Operations)</b>						

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

<b>1 STATE OPERATIONS</b>		<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0169 California Debt Limit Allocation Committee Fund</b>				
<b>APPROPRIATIONS</b>				
001	Budget Act appropriation	\$1,402	\$1,337	\$1,410
	Allocation for employee compensation	4	14	-
	Adjustment per Section 3.60	14	4	-
	Adjustment per Section 3.90	-34	-	-
<b>Totals Available</b>		<b>\$1,386</b>	<b>\$1,355</b>	<b>\$1,410</b>
Unexpended balance, estimated savings		-159	-	-
<b>TOTALS, EXPENDITURES</b>		<b>\$1,227</b>	<b>\$1,355</b>	<b>\$1,410</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>		<b>\$1,227</b>	<b>\$1,355</b>	<b>\$1,410</b>

### FUND CONDITION STATEMENTS

2012-13\*      2013-14\*      2014-15\*

0169 California Debt Limit Allocation Committee Fund<sup>s</sup>

\* Dollars in thousands, except in Salary Range.

**0959 California Debt Limit Allocation Committee - Continued**

	2012-13*	2013-14*	2014-15*
BEGINNING BALANCE	\$3,455	\$3,470	\$3,117
Prior year adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,454	\$3,470	\$3,117
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	1,241	1,000	1,000
150300 Income From Surplus Money Investments	<u>10</u>	<u>8</u>	<u>8</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,251</u>	<u>\$1,008</u>	<u>\$1,008</u>
Total Resources	\$4,705	\$4,478	\$4,125
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	-	-
0959 California Debt Limit Allocation Committee (State Operations)	1,227	1,355	1,410
8880 Financial Information System for California (State Operations)	<u>7</u>	<u>6</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,235</u>	<u>\$1,361</u>	<u>\$1,411</u>
FUND BALANCE	\$3,470	\$3,117	\$2,714
Reserve for economic uncertainties	3,470	3,117	2,714

**CHANGES IN AUTHORIZED POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	8.2	9.0	9.0	\$502	\$563	\$571
Salary Adjustments	-	-	-	-	10	10
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$10</u>	<u>\$10</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>8.2</b>	<b>9.0</b>	<b>9.0</b>	<b>\$502</b>	<b>\$573</b>	<b>\$581</b>

**0964 California Transportation Financing Authority**

The California Transportation Financing Authority (CTFA) was established by Chapter 474, Statutes of 2009 (AB 798), to increase the construction of new capacity or improvements for the state transportation system in a manner that will help meet the state's greenhouse gas reduction goals, air quality improvement goals, and natural resource conservation goals. The CTFA consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 California Transportation Financing Authority	-	-	-	\$-	\$-	\$-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$-</u>	<u>\$-</u>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

**PROGRAM DESCRIPTIONS**

10 - CTFA was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow CTFA to issue, or to approve specified project sponsors to issue, revenue bonds to fund

\* Dollars in thousands, except in Salary Range.

### 0964 California Transportation Financing Authority - Continued

transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide CTFA with the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

#### DETAILED EXPENDITURES BY PROGRAM

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>10 CALIFORNIA TRANSPORTATION FINANCING AUTHORITY</b>			
<b>State Operations:</b>			
6802 California Transportation Financing Authority Fund	\$-	\$-	\$-
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	-	-	-
<b>Totals, Expenditures</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

#### EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>1 State Operations</b>						
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	-	-	-	\$-	\$-	\$-
<b>Net Totals, Salaries and Wages</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
Staff Benefits	-	-	-	-	-	-
<b>Totals, Personal Services</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

#### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>6802 California Transportation Financing Authority Fund</b>			
<b>APPROPRIATIONS</b>			
Chapter 474, Statutes of 2009	0	0	0
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

### 0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission (CIDFAC) creates employment opportunities and supports local economic development by approving local entities' issuance of Industrial Development Bonds (IDBs). The IDBs provide manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. CIDFAC independently reviews IDB applications to ensure compliance with federal tax law and state statutes and regulations and approves the sale of IDBs by local authorities. Additionally, CIDFAC provides technical assistance to local issuers of IDBs, including cities, counties, industrial development authorities, and joint powers authorities.

The State Treasurer serves as chairperson of the CIDFAC. The other members are the Director of the Department of Finance, the State Controller, the Director of the Governor's Office of Business and Economic Development, and the Commissioner of Business Oversight.

#### 3-YR EXPENDITURES AND POSITIONS

\* Dollars in thousands, except in Salary Range.

**0965 California Industrial Development Financing Advisory Commission - Continued**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 California Industrial Development Financing Advisory Commission	0.6	1.0	1.0	\$95	\$40	\$40
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>0.6</b>	<b>1.0</b>	<b>1.0</b>	<b>\$95</b>	<b>\$40</b>	<b>\$40</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0215 Industrial Development Fund				\$20	\$40	\$40
0995 Reimbursements				75	-	-
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$95</b>	<b>\$40</b>	<b>\$40</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$1	-	\$-	\$1	-
• Adjustment to Reflect Lower Program Expenditure	-	-304	-	-	-293	-
• Prorata Adjustment	-	-	-	-	-11	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>-\$303</b>	<b>-</b>	<b>\$-</b>	<b>-\$303</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>-\$303</b>	<b>-</b>	<b>\$-</b>	<b>-\$303</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>-\$303</b>	<b>-</b>	<b>\$-</b>	<b>-\$303</b>	<b>-</b>

**PROGRAM DESCRIPTIONS****10 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION**

The core program area is the IDB Program, under which CIDFAC, as required by state law, serves as the mandatory approval agency for local IDB issuers. Once potential borrowers (manufacturers, processing companies, and knowledge-based businesses) apply through their local government agency for low cost tax-exempt financing, CIDFAC carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. In addition, bond proceeds can also be used to produce intangible products such as patents, copyrights, formulas, processes, designs, trademarks, and know-how. The local issuer can be a city, county, economic development authority, redevelopment agency, or a joint power authority.

Federal law authorizes the issuance of IDBs in local Empowerment Zone Bonds (EZ Bonds). CIDFAC's EZ Bond Program augments the benefits of the IDB Program to further support economic development in California's most distressed communities. The EZ Bond Program expands the eligibility of borrowers to manufacturers, retailers, and any service that operates in an Empowerment Zone. The EZ Bond Program removes the maximum dollar restriction (applicable to IDBs) on the size of the issuance. There are five federally designated Empowerment Zones in California: Los Angeles, Santa Ana, San Diego, Fresno and an unincorporated section of Riverside County. These communities received this federal designation based on their high unemployment and high poverty rates.

**DETAILED EXPENDITURES BY PROGRAM**

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION</b>			

\* Dollars in thousands, except in Salary Range.

**0965 California Industrial Development Financing Advisory Commission - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>State Operations:</b>			
0215 Industrial Development Fund	\$20	\$40	\$40
0995 Reimbursements	75	-	-
<b>Totals, State Operations</b>	<b>\$95</b>	<b>\$40</b>	<b>\$40</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	95	40	40
<b>Totals, Expenditures</b>	<b>\$95</b>	<b>\$40</b>	<b>\$40</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	0.6	1.0	1.0	\$58	\$111	\$111
Salary Adjustments	-	-	-	-	-111	-111
<b>Net Totals, Salaries and Wages</b>	<b>0.6</b>	<b>1.0</b>	<b>1.0</b>	<b>\$58</b>	<b>\$-</b>	<b>\$-</b>
Staff Benefits	-	-	-	24	-	-
<b>Totals, Personal Services</b>	<b>0.6</b>	<b>1.0</b>	<b>1.0</b>	<b>\$82</b>	<b>\$-</b>	<b>\$-</b>
OPERATING EXPENSES AND EQUIPMENT				\$13	\$40	\$40
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$95</b>	<b>\$40</b>	<b>\$40</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0215 Industrial Development Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$264	\$268	\$40
Allocation for employee compensation	1	-	-
Adjustment per Section 3.60	3	1	-
Adjustment per Section 3.90	-7	-	-
<b>Totals Available</b>	<b>\$261</b>	<b>\$269</b>	<b>\$40</b>
Unexpended balance, estimated savings	-241	-229	-
<b>TOTALS, EXPENDITURES</b>	<b>\$20</b>	<b>\$40</b>	<b>\$40</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$75	\$-	\$-
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$95</b>	<b>\$40</b>	<b>\$40</b>

**FUND CONDITION STATEMENTS**

	2012-13*	2013-14*	2014-15*
<b>0215 Industrial Development Fund<sup>s</sup></b>			
BEGINNING BALANCE			
Prior year adjustments	\$4	\$13	\$8
Adjusted Beginning Balance	-2	-	-
	\$2	\$13	\$8
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	33	36	36
Total Revenues, Transfers, and Other Adjustments	\$33	\$36	\$36

\* Dollars in thousands, except in Salary Range.

**0965 California Industrial Development Financing Advisory Commission - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Total Resources	\$35	\$49	\$44
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	20	40	40
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>1</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$22</u>	<u>\$41</u>	<u>\$40</u>
FUND BALANCE	\$13	\$8	\$4
Reserve for economic uncertainties	13	8	4

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Totals, Authorized Positions	0.6	1.0	1.0	\$58	\$111	\$111
Salary Adjustments	-	-	-	-	-111	-111
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$58</u>	<u>\$-</u>	<u>\$-</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>0.6</b>	<b>1.0</b>	<b>1.0</b>	<b>\$58</b>	<b>\$-</b>	<b>\$-</b>

**0968 California Tax Credit Allocation Committee**

The mission of the California Tax Credit Allocation Committee (CTCAC) is to fairly allocate federal and state tax credits to create and maintain safe quality affordable rental housing for low-income households in California by forming partnerships with developers, investors and public entities.

CTCAC works in public/private partnerships to assist with project development, while fulfilling its responsibilities as a credit agency through project compliance monitoring. CTCAC coordinates its functions with state and local housing fund providers and with private fund investors, when providing and maintaining quality, affordable housing.

The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
10 California Tax Credit Allocation Committee	<u>37.3</u>	<u>40.0</u>	<u>40.0</u>	<u>\$5,602</u>	<u>\$6,359</u>	<u>\$6,686</u>
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>37.3</b>	<b>40.0</b>	<b>40.0</b>	<b>\$5,602</b>	<b>\$6,359</b>	<b>\$6,686</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$3,508	\$3,845	\$4,115
0457 Tax Credit Allocation Fee Account				1,998	2,454	2,461
0995 Reimbursements				<u>96</u>	<u>60</u>	<u>110</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$5,602</b>	<b>\$6,359</b>	<b>\$6,686</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

\* Dollars in thousands, except in Salary Range.



## 0968 California Tax Credit Allocation Committee - Continued

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Compliance Monitoring Workload	\$-	\$-	-	\$-	\$350	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$350</b>	<b>-</b>
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$38	-	\$-	\$41	-
• Retirement Rate Adjustment	-	17	-	-	17	-
• Pro Rata Adjustment	-	-	-	-	-22	-
• Removal of One-Time Equipment Cost	-	-	-	-	-5	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$55</b>	<b>-</b>	<b>\$-</b>	<b>\$31</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$55</b>	<b>-</b>	<b>\$-</b>	<b>\$381</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$55</b>	<b>-</b>	<b>\$-</b>	<b>\$381</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

#### 10 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

The Federal Low Income Housing Tax Credit Program:

Congress authorized the federal program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors.

As of 2013, each state has an annual housing credit ceiling of approximately \$2.25 per state resident, and may qualify for a share of credits available annually in a national pool comprised of states' unused credits. The annual housing credit ceiling is indexed for inflation. Investors can take the annual credit each year for a ten-year period.

The State Low Income Housing Tax Credit Program:

Recognizing the high cost of developing housing in California, the California Legislature authorized the State Low Income Housing Tax Credit Program to augment the federal tax credit program. Specifically, projects that are not receiving an increase in their federal tax credit basis may apply for and receive state tax credits. However, an exception to this rule is in place for projects serving Special Needs populations (as defined in Section 10325 of the CTCAC regulations). Those projects may apply for and receive state tax credits even if they are receiving an increase in their federal tax credit basis. The project's "eligible basis" is the portion of the total project cost that is used to calculate the amount of the tax credit.

Of the state credit authority amount, \$500,000 annually is available as credit for farmworker housing projects.

The annual state credit ceiling is currently \$91.7 million, indexed for inflation (in addition to any unused or returned credits from previous years). Investors take the state credit over a four-year period in contrast to the ten-year federal allocation period. The full four-year state credit allocated to a project is deducted from the annual state credit ceiling.

Tax-Exempt Bond Financed Program:

Developments financed with tax-exempt bond proceeds may also receive federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual "cap" on the amount of credit that may be awarded by the state to such developments. The annual credit available is based on approximately four percent (instead of the nine percent for projects that are not financed by a federal subsidy) of the "qualified basis" of the development, that is, the costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

Under federal law, credit projects must remain affordable for at least 15 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

Farmworker Housing Tax Credit Program:

Chapter 521, Statutes of 2008 (SB 1267) folded the previous Farmworker Housing Assistance tax credits into the general State low-income housing tax credit program. While \$500,000 annually continues to be authorized for State tax credits in support of farmworker housing, the program now operates under the rules of the general State low-income housing tax credit program.

\* Dollars in thousands, except in Salary Range.

## 0968 California Tax Credit Allocation Committee - Continued

### DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE</b>			
<b>State Operations:</b>				
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	\$3,508	\$3,845	\$4,115
0457	Tax Credit Allocation Fee Account	1,788	2,264	2,271
0995	Reimbursements	<u>96</u>	<u>60</u>	<u>110</u>
<b>Totals, State Operations</b>		<b>\$5,392</b>	<b>\$6,169</b>	<b>\$6,496</b>
<b>Local Assistance:</b>				
0457	Tax Credit Allocation Fee Account	<u>\$210</u>	<u>\$190</u>	<u>\$190</u>
<b>Totals, Local Assistance</b>		<b>\$210</b>	<b>\$190</b>	<b>\$190</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		5,392	6,169	6,496
Local Assistance		<u>210</u>	<u>190</u>	<u>190</u>
<b>Totals, Expenditures</b>		<b>\$5,602</b>	<b>\$6,359</b>	<b>\$6,686</b>

### EXPENDITURES BY CATEGORY

	<b>1 State Operations</b>			<b>Expenditures</b>		
	<u>Positions</u>					
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	37.3	40.0	40.0	\$2,138	\$2,432	\$2,489
Salary Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>26</u>	<u>26</u>
<b>Net Totals, Salaries and Wages</b>	<b>37.3</b>	<b>40.0</b>	<b>40.0</b>	<b>\$2,138</b>	<b>\$2,458</b>	<b>\$2,515</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>966</u>	<u>1,071</u>	<u>1,096</u>
<b>Totals, Personal Services</b>	<b>37.3</b>	<b>40.0</b>	<b>40.0</b>	<b>\$3,104</b>	<b>\$3,529</b>	<b>\$3,611</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				\$2,288	\$2,634	\$2,879
<b>SPECIAL ITEMS OF EXPENSE</b>				<u>\$-</u>	<u>\$6</u>	<u>\$6</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$5,392</b>	<b>\$6,169</b>	<b>\$6,496</b>

### 2 Local Assistance

	<b>Expenditures</b>		
	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Grants and Subventions	<u>\$210</u>	<u>\$190</u>	<u>\$190</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$210</b>	<b>\$190</b>	<b>\$190</b>

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account</b>			
<b>APPROPRIATIONS</b>			
001 Budget Act appropriation	\$3,668	\$3,810	\$4,115
Allocation for employee compensation	10	24	-
Adjustment per Section 3.60	36	11	-
Adjustment per Section 3.90	-93	-	-

\* Dollars in thousands, except in Salary Range.

**0968 California Tax Credit Allocation Committee - Continued**

<b>1 STATE OPERATIONS</b>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Totals Available</b>	<b>\$3,621</b>	<b>\$3,845</b>	<b>\$4,115</b>
Unexpended balance, estimated savings	<u>-113</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$3,508</b>	<b>\$3,845</b>	<b>\$4,115</b>
<b>0457 Tax Credit Allocation Fee Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,142	\$2,239	\$2,265
Allocation for employee compensation	5	13	-
Adjustment per Section 3.60	18	6	-
Adjustment per Section 3.90	-46	-	-
Health and Safety Code Section 50199.9(b)	<u>-</u>	<u>6</u>	<u>6</u>
<b>Totals Available</b>	<b>\$2,119</b>	<b>\$2,264</b>	<b>\$2,271</b>
Unexpended balance, estimated savings	<u>-331</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,788</b>	<b>\$2,264</b>	<b>\$2,271</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	<u>\$96</u>	<u>\$60</u>	<u>\$110</u>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$5,392</b>	<b>\$6,169</b>	<b>\$6,496</b>
<b>2 LOCAL ASSISTANCE</b>			
<b>0457 Tax Credit Allocation Fee Account</b>			
APPROPRIATIONS			
Health and Safety Code Section 50199.9(b)	<u>\$210</u>	<u>\$190</u>	<u>\$190</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$210</b>	<b>\$190</b>	<b>\$190</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$210</b>	<b>\$190</b>	<b>\$190</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$5,602</b>	<b>\$6,359</b>	<b>\$6,686</b>

**FUND CONDITION STATEMENTS**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account <sup>§</sup></b>			
BEGINNING BALANCE	\$17,949	\$19,300	\$20,493
Prior year adjustments	<u>-9</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$17,940	\$19,300	\$20,493
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	4,833	5,000	5,000
150300 Income From Surplus Money Investments	<u>55</u>	<u>55</u>	<u>55</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,888</u>	<u>\$5,055</u>	<u>\$5,055</u>
Total Resources	\$22,828	\$24,355	\$25,548
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	-	-
0968 California Tax Credit Allocation Committee (State Operations)	3,508	3,845	4,115
8880 Financial Information System for California (State Operations)	<u>18</u>	<u>17</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,528</u>	<u>\$3,862</u>	<u>\$4,118</u>
FUND BALANCE	\$19,300	\$20,493	\$21,430
Reserve for economic uncertainties	19,300	20,493	21,430
<b>0457 Tax Credit Allocation Fee Account <sup>§</sup></b>			
BEGINNING BALANCE	\$5,920	\$8,972	\$11,875
Prior year adjustments	<u>-13</u>	<u>-</u>	<u>-</u>

\* Dollars in thousands, except in Salary Range.

**0968 California Tax Credit Allocation Committee - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Adjusted Beginning Balance	\$5,907	\$8,972	\$11,875
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	4,990	5,290	5,600
150300 Income From Surplus Money Investments	77	77	77
161000 Escheat of Unclaimed Checks & Warrants	7	-	-
161400 Miscellaneous Revenue	<u>2</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,076</u>	<u>\$5,367</u>	<u>\$5,677</u>
Total Resources	\$10,983	\$14,339	\$17,552
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	-	-
0968 California Tax Credit Allocation Committee			
State Operations	1,788	2,264	2,271
Local Assistance	210	190	190
8880 Financial Information System for California (State Operations)	<u>11</u>	<u>10</u>	<u>2</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,011</u>	<u>\$2,464</u>	<u>\$2,463</u>
FUND BALANCE	\$8,972	\$11,875	\$15,089
Reserve for economic uncertainties	8,972	11,875	15,089

**3038 Community Revitalization Fee Fund <sup>s</sup>**

BEGINNING BALANCE	\$1	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
TO0001 To General Fund loan repayment per Item 9840-011-0001, Budget Act of 2002	<u>-1</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>-\$1</u>	<u>-</u>	<u>-</u>
Total Resources	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	37.3	40.0	40.0	\$2,138	\$2,432	\$2,489
Salary Adjustments	-	-	-	-	26	26
<b>Total Adjustments</b>	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$26</u>	<u>\$26</u>
<b>TOTALS, SALARIES AND WAGES</b>	<b>37.3</b>	<b>40.0</b>	<b>40.0</b>	<b>\$2,138</b>	<b>\$2,458</b>	<b>\$2,515</b>

**0971 California Alternative Energy and Advanced Transportation Financing Authority**

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, as the California Alternative Energy Source Financing Authority, to "promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources." Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the intent of the legislation was to promote energy sources designed to reduce the degradation of the environment. The Authority later became the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) in 1994, when the statute was amended to include development and commercialization of advanced transportation technologies.

CAEATFA consists of five members: the State Treasurer, who serves as the chairperson of CAEATFA, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission (the California Energy Commission), and the President of the Public Utilities Commission.

**3-YR EXPENDITURES AND POSITIONS**

\* Dollars in thousands, except in Salary Range.

**0971 California Alternative Energy and Advanced Transportation Financing Authority**  
**Continued**

		<u>Positions</u>			<u>Expenditures</u>		
		2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10	California Alternative Energy and Advanced Transportation Financing Authority	8.1	13.0	19.5	\$6,079	\$9,995	\$27,451
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>		<b>8.1</b>	<b>13.0</b>	<b>19.5</b>	<b>\$6,079</b>	<b>\$9,995</b>	<b>\$27,451</b>
<b>FUNDING</b>					<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0382	Renewable Resource Trust Fund				\$5,183	\$2,600	\$17,032
0465	Energy Resources Programs Account				-	5,811	5,612
0528	California Alternative Energy Authority Fund				869	1,536	1,559
0995	Reimbursements				27	48	3,248
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>					<b>\$6,079</b>	<b>\$9,995</b>	<b>\$27,451</b>

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

**DETAILED BUDGET ADJUSTMENTS**

		<u>2013-14*</u>			<u>2014-15*</u>		
		<u>General Fund</u>	<u>Other Funds</u>	<u>Positions</u>	<u>General Fund</u>	<u>Other Funds</u>	<u>Positions</u>
<b>Workload Budget Adjustments</b>							
<b>Workload Budget Change Proposals</b>							
•	Residential Loan Loss Reserve Fund Implementation and Administration--Reappropriation	\$-	-\$5,000	-	\$-	\$5,000	-
<b>Totals, Workload Budget Change Proposals</b>		<b>\$-</b>	<b>-\$5,000</b>	<b>-</b>	<b>\$-</b>	<b>\$5,000</b>	<b>-</b>
<b>Other Workload Budget Adjustments</b>							
•	Employee Compensation Adjustments	\$-	\$1	-	\$-	\$2	-
•	Retirement Rate Adjustments	-	5	-	-	5	-
•	To remove one-time cost provided in 2013-14 for the Residential Loan Loss Reserve Fund Implementation and Administration	-	-	-	-	-10,000	-
•	To remove one-time cost provided in 2013-14 for Residential Home Energy Efficiency Projects	-	-	-	-	-200	-
•	To remove initial amount budgeted in 2013-14 and 2014-15 for the Property Assessed Clean Energy Reserve Program	-	-5,000	-	-	-5,000	-
•	Carryover available from past year for the Property Assessed Clean Energy Reserve Program	-	19,450	-	-	-	-
•	To shift funding from 2013-14 to 2014-15 for the Property Assessed Clean Energy Reserve Program	-	-16,950	-	-	16,950	-
•	Prorata Adjustment	-	-	-	-	-6	-
•	Miscellaneous Adjustments	-	-70	-	-	-59	-
<b>Totals, Other Workload Budget Adjustments</b>		<b>\$-</b>	<b>-\$2,564</b>	<b>-</b>	<b>\$-</b>	<b>\$1,692</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>		<b>\$-</b>	<b>-\$7,564</b>	<b>-</b>	<b>\$-</b>	<b>\$6,692</b>	<b>-</b>
<b>Policy Adjustments</b>							
•	Implementation of PUC Energy Efficiency Pilot Programs	\$-	\$-	-	\$-	\$3,200	7.0
<b>Totals, Policy Adjustments</b>		<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$3,200</b>	<b>7.0</b>
<b>Totals, Budget Adjustments</b>		<b>\$-</b>	<b>-\$7,564</b>	<b>-</b>	<b>\$-</b>	<b>\$9,892</b>	<b>7.0</b>

\* Dollars in thousands, except in Salary Range.

## 0971 California Alternative Energy and Advanced Transportation Financing Authority

### Continued

#### PROGRAM DESCRIPTIONS

##### 10 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, bond insurance, loan guarantees or other credit enhancements or liquidity facilities, contributions of money, or a combination thereof, as determined and approved by the resolution of the board.

In 2010, CAEATFA launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (SB 71, Chapter 10, Statutes of 2010). In 2012, CAEATFA's STE program was expanded to include advanced manufacturing (SB 1128, Chapter 677, Statutes of 2012), with an annual cap of \$100 million available for STE awards. The objective of CAEATFA's sales and use tax exclusion program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed to reduce greenhouse gases, air and water pollution, and energy consumption.

In addition, legislation in 2010 (SB 77, Chapter 15, Statutes of 2010), 2011 (ABx1 14, Chapter 9, Statutes of 2011), and 2013 (SB 96, Chapter 356, Statutes of 2013) has provided CAEATFA with additional statutory authority to provide financial assistance to encourage energy efficiency retrofits. Under ABx1 14, CAEATFA developed a loan loss reserve program to assist financial institutions in making loans to homeowners in the state for residential energy efficiency and renewable energy projects. CAEATFA will launch a risk mitigation program for residential PACE programs in the latter half of 2013-14.

#### DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY</b>			
<b>State Operations:</b>				
0382	Renewable Resource Trust Fund	\$183	\$100	\$82
0465	Energy Resources Programs Account	-	811	612
0528	California Alternative Energy Authority Fund	869	1,536	1,559
0995	Reimbursements	<u>27</u>	<u>48</u>	<u>3,248</u>
	<b>Totals, State Operations</b>	<b>\$1,079</b>	<b>\$2,495</b>	<b>\$5,501</b>
<b>Local Assistance:</b>				
0382	Renewable Resource Trust Fund	5,000	2,500	16,950
0465	Energy Resources Programs Account	<u>-</u>	<u>5,000</u>	<u>5,000</u>
	<b>Totals, Local Assistance</b>	<b>\$5,000</b>	<b>\$7,500</b>	<b>\$21,950</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	1,079	2,495	5,501
	Local Assistance	<u>5,000</u>	<u>7,500</u>	<u>21,950</u>
	<b>Totals, Expenditures</b>	<b>\$6,079</b>	<b>\$9,995</b>	<b>\$27,451</b>

#### EXPENDITURES BY CATEGORY

	1 State Operations					
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	8.1	13.0	12.5	\$502	\$727	\$732
Total Adjustments	<u>-</u>	<u>-</u>	<u>7.0</u>	<u>-</u>	<u>-</u>	<u>379</u>
<b>Net Totals, Salaries and Wages</b>	<b>8.1</b>	<b>13.0</b>	<b>19.5</b>	<b>\$502</b>	<b>\$727</b>	<b>\$1,111</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>171</u>	<u>276</u>	<u>422</u>
<b>Totals, Personal Services</b>	<b>8.1</b>	<b>13.0</b>	<b>19.5</b>	<b>\$673</b>	<b>\$1,003</b>	<b>\$1,533</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
				\$406	\$1,492	\$3,968

\* Dollars in thousands, except in Salary Range.

**0971 California Alternative Energy and Advanced Transportation Financing Authority  
- Continued**

	1 State Operations		Positions		Expenditures	
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$1,079</b>	<b>\$2,495</b>	<b>\$5,501</b>

	2 Local Assistance		
	Expenditures		
	2012-13*	2013-14*	2014-15*
Grants and Subventions	\$5,000	\$7,500	\$21,950
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$5,000</b>	<b>\$7,500</b>	<b>\$21,950</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0382 Renewable Resource Trust Fund</b>			
APPROPRIATIONS			
Prior year balances available:			
Public Resources Code Section 26140	\$365	\$182	\$82
<b>Totals Available</b>	<b>\$365</b>	<b>\$182</b>	<b>\$82</b>
Balance available in subsequent years	-182	-82	-
<b>TOTALS, EXPENDITURES</b>	<b>\$183</b>	<b>\$100</b>	<b>\$82</b>
<b>0465 Energy Resources Programs Account</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$810	\$612
Adjustment per Section 3.60	-	1	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$811</b>	<b>\$612</b>
<b>0528 California Alternative Energy Authority Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,665	\$1,531	\$1,559
Allocation for employee compensation	4	1	-
Adjustment per Section 3.60	11	4	-
Adjustment per Section 3.90	-29	-	-
<b>Totals Available</b>	<b>\$1,651</b>	<b>\$1,536</b>	<b>\$1,559</b>
Unexpended balance, estimated savings	-782	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$869</b>	<b>\$1,536</b>	<b>\$1,559</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$27	\$48	\$3,248
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$1,079</b>	<b>\$2,495</b>	<b>\$5,501</b>

2 LOCAL ASSISTANCE	2012-13*	2013-14*	2014-15*
<b>0382 Renewable Resource Trust Fund</b>			
APPROPRIATIONS			
Prior year balances available:			
Public Resources Code Section 26082	\$24,450	\$-	\$-
Public Resources Code Section 26140	-	19,450	16,950
<b>Totals Available</b>	<b>\$24,450</b>	<b>\$19,450</b>	<b>\$16,950</b>
Balance available in subsequent years	-19,450	-16,950	-
<b>TOTALS, EXPENDITURES</b>	<b>\$5,000</b>	<b>\$2,500</b>	<b>\$16,950</b>

\* Dollars in thousands, except in Salary Range.

## 0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

2 LOCAL ASSISTANCE	2012-13*	2013-14*	2014-15*
<b>0465 Energy Resources Programs Account</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$-	\$10,000	\$-
Prior year balances available:			
Item 0971-101-0465, Budget Act of 2013 as reappropriated by Item 0971-490, Budget Act of 2014	-	-	5,000
<b>Totals Available</b>	<b>\$-</b>	<b>\$10,000</b>	<b>\$5,000</b>
Balance available in subsequent years	-	-5,000	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$5,000</b>	<b>\$5,000</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$5,000</b>	<b>\$7,500</b>	<b>\$21,950</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$6,079</b>	<b>\$9,995</b>	<b>\$27,451</b>

### CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	8.1	13.0	12.5	\$502	\$727	\$732
<b>Proposed New Positions: Energy Efficiency</b>				<b>Salary Range</b>		
<b>BCP</b>						
Treasury Prog Manager I	-	-	1.0	5,079-6,311	-	68
Assoc Treasury Prog Ofcr	-	-	4.0	4,400-5,508	-	238
Office Tech	-	-	2.0	2,686-3,362	-	73
<b>Totals Proposed New Positions</b>	<b>-</b>	<b>-</b>	<b>7.0</b>	<b>\$-</b>	<b>\$-</b>	<b>\$379</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>8.1</b>	<b>13.0</b>	<b>19.5</b>	<b>\$502</b>	<b>\$727</b>	<b>\$1,111</b>

## 0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority (CPCFA) is committed to stimulating local economies in California by delivering innovative financing for projects that protect and restore the environment, and making capital more accessible to small businesses. Over the last thirty years, CPCFA has evolved to meet California's needs as follows:

- In the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program.
- For small businesses through the California Capital Access Program (CalCAP).
- With the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Pollution Control Tax-Exempt Bond Program	11.0	12.0	12.0	\$406	\$450	\$500
20 California Capital Access Program for Small Businesses	9.7	8.8	8.8	715	28,605	30,506
30 California Recycle Underutilized Sites Program	2.0	4.0	4.0	12,745	21,646	19,299
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>22.7</b>	<b>24.8</b>	<b>24.8</b>	<b>\$13,866</b>	<b>\$50,701</b>	<b>\$50,305</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0890 Federal Trust Fund				\$-	\$27,823	\$28,666
0930 Pollution Control Financing Authority Fund				13,866	22,878	21,639
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$13,866</b>	<b>\$50,701</b>	<b>\$50,305</b>

\* Dollars in thousands, except in Salary Range.



## 0974 California Pollution Control Financing Authority - Continued

The California Pollution Control Financing Authority funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2013-14 and 2014-15.

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$6	-	\$-	\$8	-
• Retirement Rate Adjustment	-	10	-	-	10	-
• Capital Access Program for Small Businesses	-	362	-	-	1,440	-
• State Small Business Credit Initiative - Federal Funds Adjustment	-	-843	-	-	-	-
• California Recycle Underutilized Sites Program	-	1,929	-	-	-390	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$1,464</b>	<b>-</b>	<b>\$-</b>	<b>\$1,068</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$1,464</b>	<b>-</b>	<b>\$-</b>	<b>\$1,068</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$1,464</b>	<b>-</b>	<b>\$-</b>	<b>\$1,068</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

#### 10 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. CPCFA financing assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2013, bonds totaling approximately \$14 billion have been issued by CPCFA.

#### 20 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses. CalCAP for Small Businesses is a form of loan portfolio insurance that may provide up to 100% coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The strength of CalCAP rests in its simplicity and its leverage of state funds. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The CPCFA funds this reserve together with the financial institution and the borrower. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts, especially in high unemployment areas. As of June 30, 2013, approximately \$1.3 million of the \$6 million have been used to assist small businesses.

In addition, California was awarded an allocation of federal funds in the amount of \$168.6 million from the federal State Small Business Credit Initiative (SSBCI). CalCAP and the Business, Transportation, and Housing Agency (succeeded by the Governor's Office of Business and Economic Development) equally share this allocation and will have available \$84.3 million each. The funds will be allocated in three installments, with CPCFA receiving approximately \$27 million in March 2011. It is anticipated that the second installment of \$27 million will be requested in the third quarter of 2013. It is expected that these new funds will lead to a rapid and significant expansion of the CalCAP program. In the first quarter of 2013 CPCFA launched the Collateral Support Program in order to assist more small businesses and utilize the SSBCI Federal funds. Additional incentives are provided to lend to businesses located in specified economically depressed areas including Enterprise Zones.

CalCAP has partnered with the Air Resources Board to assist diesel truck and equipment owners in meeting new clean air requirements. CalCAP has also partnered with the Department of Resources, Recycling, and Recovery to assist recycling related businesses.

\* Dollars in thousands, except in Salary Range.

## 0974 California Pollution Control Financing Authority - Continued

### 30 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues (brownfields). CALReUSE addresses a funding and information gap in the development of brownfields to help bring these properties into productive reuse. The CALReUSE Assessment Program provides forgivable loans of up to \$300,000 per brownfield site, or \$500,000 for sites that will ultimately result in housing. The funds assist with brownfield site assessment and characterization, technical assistance, remedial action plans and site access. Eligible projects include sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process. Priority is given to projects located in distressed neighborhoods with demonstrated community support. Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of CALReUSE. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and provides up to \$5 million per project in loans and grants for the purpose of brownfield cleanup that promotes infill residential and mixed-used development, consistent with regional and local land use plans.

#### DETAILED EXPENDITURES BY PROGRAM

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>10 POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM</b>			
<b>State Operations:</b>			
0930 Pollution Control Financing Authority Fund	\$406	\$450	\$500
<b>Totals, State Operations</b>	<b>\$406</b>	<b>\$450</b>	<b>\$500</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>20 CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES</b>			
<b>State Operations:</b>			
0930 Pollution Control Financing Authority Fund	\$715	\$782	\$1,840
<b>Totals, State Operations</b>	<b>\$715</b>	<b>\$782</b>	<b>\$1,840</b>
<b>Local Assistance:</b>			
0890 Federal Trust Fund	\$-	\$27,823	\$28,666
<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$27,823</b>	<b>\$28,666</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>30 CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM</b>			
<b>State Operations:</b>			
0930 Pollution Control Financing Authority Fund	\$12,745	\$21,646	\$19,299
<b>Totals, State Operations</b>	<b>\$12,745</b>	<b>\$21,646</b>	<b>\$19,299</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	\$13,866	\$22,878	\$21,639
Local Assistance	-	27,823	28,666
<b>Totals, Expenditures</b>	<b>\$13,866</b>	<b>\$50,701</b>	<b>\$50,305</b>

#### EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>1 State Operations</b>						
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	22.7	24.8	24.8	\$1,229	\$1,410	\$1,446
Salary Adjustment	-	-	-	-	2	2
Net Totals, Salaries and Wages	<b>22.7</b>	<b>24.8</b>	<b>24.8</b>	<b>\$1,229</b>	<b>\$1,412</b>	<b>\$1,448</b>
Staff Benefits	-	-	-	492	562	578
<b>Totals, Personal Services</b>	<b>22.7</b>	<b>24.8</b>	<b>24.8</b>	<b>\$1,721</b>	<b>\$1,974</b>	<b>\$2,026</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				\$12,145	\$20,904	\$19,613

\* Dollars in thousands, except in Salary Range.

### 0974 California Pollution Control Financing Authority - Continued

	1 State Operations			Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*	2012-13*	2013-14*	2014-15*
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				\$13,866	\$22,878	\$21,639			

	2 Local Assistance			Expenditures		
	2012-13*	2013-14*	2014-15*	2012-13*	2013-14*	2014-15*
Grants and Subventions	\$-	\$27,823	\$28,666			
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	\$-	\$27,823	\$28,666			

#### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0930 Pollution Control Financing Authority Fund</b>			
APPROPRIATIONS			
Health and Safety Code Section 44526	\$13,151	\$22,116	\$19,799
Health and Safety Code Section 44526 (California Capital Access Program for Small Business)	715	762	1,840
<b>TOTALS, EXPENDITURES</b>	<b>\$13,866</b>	<b>\$22,878</b>	<b>\$21,639</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$13,866</b>	<b>\$22,878</b>	<b>\$21,639</b>
<b>2 LOCAL ASSISTANCE</b>			
	2012-13*	2013-14*	2014-15*
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
Health and Safety Code section 44526 (e) and (f)	\$-	\$27,823	\$28,666
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$27,823</b>	<b>\$28,666</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$-</b>	<b>\$27,823</b>	<b>\$28,666</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$13,866</b>	<b>\$50,701</b>	<b>\$50,305</b>

#### CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	22.7	24.8	24.8	\$1,229	\$1,410	\$1,446
Salary Adjustments	-	-	-	-	2	2
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$2</b>	<b>\$2</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>22.7</b>	<b>24.8</b>	<b>24.8</b>	<b>\$1,229</b>	<b>\$1,412</b>	<b>\$1,448</b>

### 0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority (CHFFA) is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote important California health access, health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

CHFFA issues revenue bonds to assist eligible private nonprofit corporations or associations, counties, and hospital districts in financing or refinancing the construction or acquisition cost of health facilities or equipment. CHFFA also administers the Children's Hospital Program established by Proposition 61 of 2004 and Proposition 3 of 2008, the Cedillo-Alarcon Clinic Grant Program of 2000, the Anthem WellPoint Grant Program of 2004, the Health Expansion Loan Program II ("HELP II"), the California Health Access Model Program of 2012 ("CHAMP"), and the Investment in Mental Health Wellness Act of 2013.

CHFFA was established by Chapter 1033, Statutes of 1979, and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

#### 3-YR EXPENDITURES AND POSITIONS

\* Dollars in thousands, except in Salary Range.

**0977 California Health Facilities Financing Authority - Continued**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
30 Children's Hospital Program	4.0	4.0	4.0	\$305,829	\$93,501	\$120,502
40 Health Facilities Grants and Loans	11.9	13.5	13.5	6,644	10,661	14,161
50 Mental Health Wellness Grants	-	-	-	-	57,650	98,950
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>15.9</b>	<b>17.5</b>	<b>17.5</b>	<b>\$312,473</b>	<b>\$161,812</b>	<b>\$233,613</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$-	\$50,350	\$92,150
0904 California Health Facilities Financing Authority Fund				6,644	9,161	9,161
0995 Reimbursements				-	2,800	2,800
3085 Mental Health Services Fund				-	4,500	4,000
6046 Children's Hospital Fund				32,199	4,337	337
6079 Children's Hospital Bond Act Fund				273,630	89,164	120,165
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund				-	1,500	5,000
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$312,473</b>	<b>\$161,812</b>	<b>\$233,613</b>

The California Health Facilities Financing Authority Fund (0904) and Program 40-Health Facilities Grants and Loans are not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2013-14 and 2014-15.

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code Sections 15430-15462.5. Health and Safety Code Sections 1179.10-1179.43 and 1179.50-1179.72. Welfare and Institutions Code Section 5892 and 5848.5 et. seq.

**DETAILED BUDGET ADJUSTMENTS**

	<b>2013-14*</b>			<b>2014-15*</b>		
	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>	<b>General Fund</b>	<b>Other Funds</b>	<b>Positions</b>
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$26	-	\$-	\$27	-
• Retirement Rate Adjustment	-	8	-	-	8	-
• To remove one-time funding provided in 2013-14 for Mental Health Wellness Grants Program.	-	-	-	-142,000	-	-
• To shift funding from 2013-14 to 2014-15 for Mental Health Wellness Grants Program to reflect projected expenditures.	-92,000	-	-	92,000	-	-
• Decrease in Proposition 61 (2004) Bond Fund children's hospital projects due to program winding down.	-	-27,000	-	-	-31,000	-
• Decrease in Proposition 3 (2008) Bond Fund distribution for children's hospital projects due to delays.	-	-49,000	-	-	-18,000	-
• To adjust funding for California Health Access Model Program (CHAMP) initial project and subsequent project expenditures.	-	1,500	-	-	5,000	-
• To adjust multi-year support appropriation for Mental Health Wellness Grants Program to reflect projected expenditures.	-150	-	-	-350	-	-

\* Dollars in thousands, except in Salary Range.

**0977 California Health Facilities Financing Authority - Continued**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• To remove one-time support funding for the Mental Health Mobile Crisis Team.	-	-	-	-	-500	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>-\$92,150</b>	<b>-\$74,466</b>	<b>-</b>	<b>-\$50,350</b>	<b>-\$44,465</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>-\$92,150</b>	<b>-\$74,466</b>	<b>-</b>	<b>-\$50,350</b>	<b>-\$44,465</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>-\$92,150</b>	<b>-\$74,466</b>	<b>-</b>	<b>-\$50,350</b>	<b>-\$44,465</b>	<b>-</b>

**PROGRAM DESCRIPTIONS**

**30 - CHILDREN'S HOSPITAL PROGRAM**

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program to be administered by CHFFA. Four years later, in 2008, the voters approved a similar proposition (Proposition 3) establishing the second Children's Hospital Program to be administered by CHFFA. The purpose of both programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals.

Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61 and \$980 million under Proposition 3. CHFFA has adopted regulations for each program addressing selection criteria and a process for awarding the grants.

Applications and funding will be available through 2014 for Proposition 61 and through 2018 for Proposition 3, or until program funding is exhausted. In the event funding is not exhausted by the deadlines for each proposition, CHFFA will amend the regulations to extend the respective programs. As of June 30, 2013, CHFFA has disbursed \$666.5 million in Proposition 61 funds to thirteen hospitals and \$518.5 million in Proposition 3 funds to eleven hospitals.

**40 - HEALTH FACILITIES GRANTS AND LOANS**

CHFFA serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions via 1) a loan program referred to as HELP II; 2) two clinic grant programs referred to as Cedillo-Alarcon and Anthem WellPoint; 3) the CHAMP program; and 4) the Investment in Mental Health Wellness program. With the exception of the CHAMP program governed by Government Code section 15438.10, to qualify for funding under any of the other mentioned programs, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district. Numerous statutes enacted between 1983 and 2002 have since broadened the types of health facilities that may receive financing from CHFFA under these programs.

**Tax-Exempt Bond Program**

CHFFA may authorize an unlimited amount of revenue bond financing. Prior to September 30, 1998, CHFFA was only authorized to have outstanding at any one point-in-time up to \$5.999 billion in tax-exempt revenue bonds. Chapter 1035, Statutes of 1998 eliminated this cap. As of June 30, 2013, bonds and notes in the amount of \$30 billion had been issued and \$12.5 billion was outstanding.

Bonds issued under this conduit program are not a debt or liability or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. The Federal Tax Reform Act of 1986 does not restrict conduit health facility bonds in terms of the state's "private activity" bond limit.

**Clinic Grant Programs**

Chapter 99, Statutes of 2000, established the Cedillo-Alarcon Community Clinic Investment Act of 2000 and allocated \$50 million dollars to CHFFA for the purpose of awarding grants to eligible primary care clinics for capital outlay projects. Funds of approximately \$50 million were disbursed. Residual funds remained and so the Legislature amended the Act in 2005 to authorize CHFFA to disburse the remaining funds to eligible clinics.

In 2004, as part of the Anthem-Well Point merger, \$35 million dollars was allocated to CHFFA for the purpose of awarding grants to eligible health care facilities providing service to underserved communities throughout California. Distribution of these funds was to be accomplished through the same process established for the above referenced Cedillo-Alarcon Community Clinic Investment Act of 2000. In 2005, the Insurance Commissioner entered into a memorandum of understanding with CHFFA authorizing CHFFA to disburse the funds to clinics. Though all funds have been awarded, funds in the amount of \$290,538 remain to be disbursed and are contingent upon the clinics providing relevant documentation evidencing completion of their projects.

Between these two clinic grant programs, as of June 30, 2013, CHFFA has disbursed the sum of \$92 million (including the accumulated interest of roughly \$7 million), to 370 clinics throughout the state.

**HELP II Loan Program**

\* Dollars in thousands, except in Salary Range.

## 0977 California Health Facilities Financing Authority - Continued

CHFFA administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Financing Program provides three percent (3%), fixed interest loans of up to \$1,000,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, and purchase equipment or furnishings. Since the program's inception in 1988 and as of June 30, 2013, CHFFA has loaned \$89.1 million to small and rural health facilities. In April 2012, the CHFFA board raised the maximum loan amount facilities can receive under HELP II from \$750,000 to \$1,000,000. Applications are accepted on a monthly basis.

### California Health Access Model Program

Chapter 23, Statutes of 2012, Government Code section 15438.10 authorized CHAMP, a new grant program, intended to support innovative methods of delivering health care services more effectively, and to improve access and health outcomes for vulnerable populations and communities by bringing service, including preventative services, to individuals where they live or congregate.

CHAMP's initial grant phase will fund one or more demonstration projects, up to a combined total of \$1.5 million from the Authority's fund balance. If the evaluation of the completed demonstration project(s) demonstrates it is warranted, CHFFA will launch a second phase of CHAMP to support additional grants up to a combined total of \$5 million, also from the Authority's fund balance, so other California communities can implement the same improved methods for delivering services.

### 50 - MENTAL HEALTH WELLNESS GRANTS

Chapter 34, Statutes of 2013, Welfare and Institutions Code section 5892 and 5848.5 et.seq., the Investment in Mental Health Wellness Act of 2013 (the "Act") and related legislation provides \$142.5 million in General Fund and an additional \$6.8 million in Mental Health Services Act and federal funding for CHFFA to provide grants for community based mental health crisis support.

The Act established a new grant program to disburse funds to California counties or to their nonprofit or public agency designates for the purpose of developing mental health crisis support programs. Specifically, funds will "increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams." CHFFA grants will support capital improvement, expansion and limited start-up costs.

CHFFA is currently in the process of developing and adopting emergency regulations for this new grant program which will address selection criteria, maximum grant amounts, and a process for awarding grants.

### DETAILED EXPENDITURES BY PROGRAM

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>30 CHILDREN'S HOSPITAL PROGRAM</b>			
<b>State Operations:</b>			
6046 Children's Hospital Fund	\$318	\$337	\$337
6079 Children's Hospital Bond Act Fund	<u>153</u>	<u>164</u>	<u>165</u>
<b>Totals, State Operations</b>	<b>\$471</b>	<b>\$501</b>	<b>\$502</b>
<b>Local Assistance:</b>			
6046 Children's Hospital Fund	\$31,881	\$4,000	\$-
6079 Children's Hospital Bond Act Fund	<u>273,477</u>	<u>89,000</u>	<u>120,000</u>
<b>Totals, Local Assistance</b>	<b>\$305,358</b>	<b>\$93,000</b>	<b>\$120,000</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>40 HEALTH FACILITIES GRANTS AND LOANS</b>			
<b>State Operations:</b>			
0904 California Health Facilities Financing Authority Fund	<u>\$1,886</u>	<u>\$2,661</u>	<u>\$2,661</u>
<b>Totals, State Operations</b>	<b>\$1,886</b>	<b>\$2,661</b>	<b>\$2,661</b>
<b>Local Assistance:</b>			
0904 California Health Facilities Financing Authority Fund	\$4,758	\$6,500	\$6,500
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund	-	1,500	5,000
<b>Totals, Local Assistance</b>	<u><b>\$4,758</b></u>	<u><b>\$8,000</b></u>	<u><b>\$11,500</b></u>
<b>PROGRAM REQUIREMENTS</b>			
<b>50 MENTAL HEALTH WELLNESS GRANTS</b>			
<b>State Operations:</b>			
0001 General Fund	\$-	\$350	\$150

\* Dollars in thousands, except in Salary Range.

**0977 California Health Facilities Financing Authority - Continued**

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>Totals, State Operations</b>	\$-	\$350	\$150
<b>Local Assistance:</b>			
0001 General Fund	\$-	\$50,000	\$92,000
0995 Reimbursements	-	2,800	2,800
3085 Mental Health Services Fund	-	<u>4,500</u>	<u>4,000</u>
<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$57,300</b>	<b>\$98,800</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	2,357	3,512	3,313
Local Assistance	<u>310,116</u>	<u>158,300</u>	<u>230,300</u>
<b>Totals, Expenditures</b>	<b>\$312,473</b>	<b>\$161,812</b>	<b>\$233,613</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	15.9	17.5	17.5	\$1,009	\$1,123	\$1,137
Total Adjustments	-	-	-	-	19	19
Net Totals, Salaries and Wages	<u>15.9</u>	<u>17.5</u>	<u>17.5</u>	<u>\$1,009</u>	<u>\$1,142</u>	<u>\$1,156</u>
Staff Benefits	-	-	-	423	453	459
<b>Totals, Personal Services</b>	<b>15.9</b>	<b>17.5</b>	<b>17.5</b>	<b>\$1,432</b>	<b>\$1,595</b>	<b>\$1,615</b>
OPERATING EXPENSES AND EQUIPMENT				<u>\$925</u>	<u>\$1,917</u>	<u>\$1,698</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$2,357</b>	<b>\$3,512</b>	<b>\$3,313</b>

2 Local Assistance	<u>Expenditures</u>		
	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
Grants and Subventions	\$310,116	\$158,300	\$230,300
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$310,116</b>	<b>\$158,300</b>	<b>\$230,300</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
Chapter 34, Statutes of 2013	\$-	\$500	\$-
Prior year balances available:			
Chapter 34, Statutes of 2013	-	-	150
<b>Totals Available</b>	<b>\$-</b>	<b>\$500</b>	<b>\$150</b>
Balance available in subsequent years	-	-150	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$350</b>	<b>\$150</b>
<b>0904 California Health Facilities Financing Authority Fund</b>			
APPROPRIATIONS			
Government Code Section 15439	<u>\$1,886</u>	<u>\$2,661</u>	<u>\$2,661</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$1,886</b>	<b>\$2,661</b>	<b>\$2,661</b>
<b>6046 Children's Hospital Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$323	\$329	\$337

\* Dollars in thousands, except in Salary Range.

**0977 California Health Facilities Financing Authority - Continued**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Allocation for employee compensation	1	7	-
Adjustment per Section 3.60	4	1	-
Adjustment per Section 3.90	-10	-	-
Government Code Section 16724.6	<u>6</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$324</b>	<b>\$337</b>	<b>\$337</b>
Unexpended balance, estimated savings	<u>-6</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$318</b>	<b>\$337</b>	<b>\$337</b>
<b>6079 Children's Hospital Bond Act Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$156	\$159	\$165
Allocation for employee compensation	1	4	-
Adjustment per Section 3.60	2	1	-
Adjustment per Section 3.90	-6	-	-
Government Code Section 16724.6	<u>-</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$153</b>	<b>\$164</b>	<b>\$165</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$2,357</b>	<b>\$3,512</b>	<b>\$3,313</b>
<b>2 LOCAL ASSISTANCE</b>			
<b>0001 General Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$-	\$142,000	\$-
Prior year balances available:			
Item 0977-101-0001, Budget Act of 2013	<u>-</u>	<u>-</u>	<u>92,000</u>
<b>Totals Available</b>	<b>\$-</b>	<b>\$142,000</b>	<b>\$92,000</b>
Balance available in subsequent years	<u>-</u>	<u>-92,000</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$50,000</b>	<b>\$92,000</b>
<b>0904 California Health Facilities Financing Authority Fund</b>			
APPROPRIATIONS			
Government Code Section 15439 (Health Facilities Grants and Loans)	<u>\$4,758</u>	<u>\$6,500</u>	<u>\$6,500</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$4,758</b>	<b>\$6,500</b>	<b>\$6,500</b>
<b>0995 Reimbursements</b>			
APPROPRIATIONS			
Reimbursements	\$-	\$2,800	\$2,800
<b>3085 Mental Health Services Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$-</u>	<u>\$4,500</u>	<u>\$4,000</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$4,500</b>	<b>\$4,000</b>
<b>6046 Children's Hospital Fund</b>			
APPROPRIATIONS			
Health and Safety Code sections 1179.10 - 1179.43	<u>\$31,881</u>	<u>\$4,000</u>	<u>\$-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$31,881</b>	<b>\$4,000</b>	<b>\$-</b>
<b>6079 Children's Hospital Bond Act Fund</b>			
APPROPRIATIONS			
Health and Safety Code section 1179.53	<u>\$273,477</u>	<u>\$89,000</u>	<u>\$120,000</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$273,477</b>	<b>\$89,000</b>	<b>\$120,000</b>
<b>8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund</b>			
APPROPRIATIONS			
Government Code section 15438.10 (b)(1)	\$1,500	\$-	\$-
Government Code section 15438.10 (c)(1)	-	-	5,000

\* Dollars in thousands, except in Salary Range.



**0977 California Health Facilities Financing Authority - Continued**

<b>2 LOCAL ASSISTANCE</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Prior year balances available:			
Government Code section 15438.10 (b)(1)	-	1,500	-
<b>Totals Available</b>	<b>\$1,500</b>	<b>\$1,500</b>	<b>\$5,000</b>
Balance available in subsequent years	-1,500	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$1,500</b>	<b>\$5,000</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$310,116</b>	<b>\$158,300</b>	<b>\$230,300</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$312,473</b>	<b>\$161,812</b>	<b>\$233,613</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	15.9	17.5	17.5	\$1,009	\$1,123	\$1,137
Salary Adjustments	-	-	-	-	19	19
<b>Total Adjustments</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$19</b>	<b>\$19</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>15.9</b>	<b>17.5</b>	<b>17.5</b>	<b>\$1,009</b>	<b>\$1,142</b>	<b>\$1,156</b>

**0983 California Urban Waterfront Area Restoration Financing Authority**

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. CUWARFA consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

The CUWARFA funding is not subject to Budget Act appropriation. This budget is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2013-14 and 2014-15. Public Resource Code section 32054 authorizes an Executive Director; however, the position is currently vacant.

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

**PROGRAM DESCRIPTIONS**

10 - The CUWARFA was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

\* Dollars in thousands, except in Salary Range.

**0983 California Urban Waterfront Area Restoration Financing Authority - Continued****EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	-	-	\$-	\$-	\$-
Net Totals, Salaries and Wages	-	-	-	\$-	\$-	\$-
Staff Benefits	-	-	-	-	-	-
<b>Totals, Personal Services</b>	-	-	-	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0982 California Urban Waterfront Area Restoration Fund</b>			
APPROPRIATIONS			
Public Resources Code Section 32060	0	0	0
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>

**0984 California Secure Choice Retirement Savings Investment Board**

The California Secure Choice Retirement Savings Investment Board (SCIB) was established by Chapter 734, Statutes of 2012 (SB 1234), to study the feasibility of implementing a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans. The SCIB consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

Additionally, Chapter 737, Statutes of 2012 (SB 923), provides that the SCIB not implement the California Secure Choice Retirement Savings Program until a subsequent authorizing statute is enacted that expresses the approval of the Legislature for the program to be fully implemented.

**3-YR EXPENDITURES AND POSITIONS**

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 California Secure Choice Retirement Savings Investment Board	-	-	-	\$-	\$250	\$750
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	-	-	-	<b>\$-</b>	<b>\$250</b>	<b>\$750</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
8081 Secure Choice Retirement Savings Program Fund				\$-	\$250	\$750
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$-</b>	<b>\$250</b>	<b>\$750</b>

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code Sections 100000-100044.

\* Dollars in thousands, except in Salary Range.

**0984 California Secure Choice Retirement Savings Investment Board - Continued**

**DETAILED BUDGET ADJUSTMENTS**

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Workload Budget Change Proposals</b>						
• Market Analysis for Private Pension Plan— Reappropriation from 2013-14 to 2014-15	\$-	-\$750	-	\$-	\$750	-
<b>Totals, Workload Budget Change Proposals</b>	<b>\$-</b>	<b>-\$750</b>	<b>-</b>	<b>\$-</b>	<b>\$750</b>	<b>-</b>
<b>Other Workload Budget Adjustments</b>						
• Remove One-Time Funding Provided in 2013-14 for Market Analysis for Private Pension Plan	\$-	\$-	-	\$-	-\$1,000	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>-\$1,000</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>-\$750</b>	<b>-</b>	<b>\$-</b>	<b>-\$250</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>-\$750</b>	<b>-</b>	<b>\$-</b>	<b>-\$250</b>	<b>-</b>

**PROGRAM DESCRIPTIONS**

10 - The California Secure Choice Retirement Savings Investment Board (SCIB) was established to conduct a market analysis to determine whether the necessary conditions for implementation of the Secure Choice Retirement Savings Program can be met, including, but not limited to, likely participation rates, participants' comfort with various investment vehicles and degree of risk, contribution levels, and the rate of account closures and rollovers. The SCIB shall conduct the analysis only if funds are made available through a nonprofit or private entity, or from federal funding. The Board shall implement the Program and open it for enrollment only if it determines, based on the market analysis, that the Program will be self-sustaining, and only if an authorizing statute is enacted that expresses the approval of the Legislature for the Program to be fully implemented.

**DETAILED EXPENDITURES BY PROGRAM**

		2012-13*	2013-14*	2014-15*
<b>PROGRAM REQUIREMENTS</b>				
<b>10 CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD</b>				
<b>State Operations:</b>				
8081 Secure Choice Retirement Savings Program Fund		\$-	\$250	\$750
<b>Totals, State Operations</b>		<b>\$-</b>	<b>\$250</b>	<b>\$750</b>
<b>TOTALS, EXPENDITURES</b>				
State Operations		-	250	750
<b>Totals, Expenditures</b>		<b>\$-</b>	<b>\$250</b>	<b>\$750</b>

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	-	-	-	\$-	\$-	\$-
<b>Net Totals, Salaries and Wages</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
Staff Benefits	-	-	-	-	-	-
<b>Totals, Personal Services</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>						
				\$-	\$250	\$750
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$-</b>	<b>\$250</b>	<b>\$750</b>

\* Dollars in thousands, except in Salary Range.

**0984 California Secure Choice Retirement Savings Investment Board - Continued****DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

<b>1 STATE OPERATIONS</b>	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
<b>8081 Secure Choice Retirement Savings Program Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$1,000	\$-
Prior year balances available:			
Item 0984-001-8081, Budget Act of 2013 as proposed reappropriation by Item 0984-490,	-	-	750
Budget Act of 2014	-	-	-
<b>Totals Available</b>	<b>\$-</b>	<b>\$1,000</b>	<b>\$750</b>
Balance available in subsequent years	-	-750	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$250</b>	<b>\$750</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$-</b>	<b>\$250</b>	<b>\$750</b>

**0985 California School Finance Authority**

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. CSFA consists of the following three members: the State Treasurer who serves as chair, the Superintendent of Public Instruction, and the Director of the Department of Finance. CSFA has offices in Los Angeles and Sacramento.

**3-YR EXPENDITURES AND POSITIONS**

	<u>Positions</u>			<u>Expenditures</u>		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
20 Charter School Facilities Program	6.4	6.0	6.0	\$734	\$1,152	\$1,153
30 State Charter School Facilities Incentive Grants Program	-	-	-	17,475	20,140	20,148
40 Charter School Facility Grant Program	-	1.0	3.0	-	92,135	92,301
50 Charter School Revolving Loan Program	-	1.0	1.0	-	12,563	12,489
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>6.4</b>	<b>8.0</b>	<b>10.0</b>	<b>\$18,209</b>	<b>\$125,990</b>	<b>\$126,091</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0001 General Fund				\$-	\$281	\$373
0001 General Fund, Proposition 98				-	92,031	92,031
0526 California School Finance Authority Fund				167	100	100
0606 Charter School Revolving Loan Fund				-	12,386	12,386
0890 Federal Trust Fund				17,475	20,140	20,148
6044 2004 State School Facilities Fund				439	623	624
6057 2006 State School Facilities Fund				128	429	429
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$18,209</b>	<b>\$125,990</b>	<b>\$126,091</b>

The California School Finance Authority Fund (0526) is not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2013-14 and 2014-15.

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Education Code Sections 17170-17199.5 and 17078.52-17078.66.

\* Dollars in thousands, except in Salary Range.

## 0985 California School Finance Authority - Continued

### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$10	-	\$-	\$11	-
• Retirement Rate Adjustment	1	3	-	1	3	-
• SWCAP Adjustment (Federal Fund Cost Recovery)	-	-	-	-	8	-
• Remove One-Time Funding for Charter School Programs Transfer	-	-	-	-75	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$1</b>	<b>\$13</b>	<b>-</b>	<b>-\$74</b>	<b>\$22</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$1</b>	<b>\$13</b>	<b>-</b>	<b>-\$74</b>	<b>\$22</b>	<b>-</b>
<b>Policy Adjustments</b>						
• Increase Charter School Program Resources	\$-	\$-	-	\$167	\$-	2.0
<b>Totals, Policy Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$167</b>	<b>\$-</b>	<b>2.0</b>
<b>Totals, Budget Adjustments</b>	<b>\$1</b>	<b>\$13</b>	<b>-</b>	<b>\$93</b>	<b>\$22</b>	<b>2.0</b>

### PROGRAM DESCRIPTIONS

#### 10 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

#### 20 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, and 2006, for a total of \$900 million.

The program provides a 50% state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

#### 30 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, CSFA was awarded a grant under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds are used toward a charter school's cost of rent, lease, mortgage or debt service payments for existing or new facilities or toward the costs of acquiring land and constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted for the percentage of low-income students; percentage overcrowded; not-for-profit status; and demonstrated student performance.

CSFA implemented a program change in regulations to award preference points for charter schools providing better educational opportunities than surrounding traditional public schools. First time recipients are also given preference as compared to past recipients.

#### CHARTER SCHOOL FACILITIES CREDIT ENHANCEMENT GRANT PROGRAM

In 2010, CSFA was awarded a grant of \$8.3 million by the Charter School Facilities Credit Enhancement Grant Program (CFDA 84.354A) authorized under Title V, Part B, Subpart 2 of the Elementary and Secondary Education Act, to enhance credit for charter schools and enable them to access non-federal funds that will address the costs of renovating, acquiring, and constructing school facilities. The grant funds will act as a reserve against any shortfalls in debt service on bonds that are issued by CSFA.

#### QUALIFIED SCHOOL CONSTRUCTION BONDS (QSCBs)

The American Recovery and Reinvestment Act of 2009 (ARRA) provided funding for new construction or renovation of school facilities through the use of Qualified School Construction Bonds (QSCBs). Approximately \$141 million was reserved

\* Dollars in thousands, except in Salary Range.

## 0985 California School Finance Authority - Continued

for use by charter schools through the allocation and/or issuance of conduit revenue bonds by CSFA.

### 40 - CHARTER SCHOOL FACILITY GRANT PROGRAM

The Charter School Facility Grant Program provides assistance with rent and lease costs for charter schools that meet specific eligibility criteria. This program targets schools and communities with significant populations of economically disadvantaged students. Eligible charter schools are provided up to \$750 per unit of eligible average daily attendance, not to exceed 75 percent of the total annual facilities rent or lease costs.

### 50 - CHARTER SCHOOL REVOLVING LOAN PROGRAM

New non-conversion charter schools may receive low-interest loans from the Charter School Revolving Loan Program to use for start-up costs. The program provides loans of up to \$250,000 to be paid back over a time period not to exceed five years. The interest collected is deposited into the Charter School Security Fund and may be used to replenish the Charter School Revolving Loan Fund and offset any loan defaults.

### DETAILED EXPENDITURES BY PROGRAM

	<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>			
<b>20 CHARTER SCHOOL FACILITIES PROGRAM</b>			
<b>State Operations:</b>			
0526 California School Finance Authority Fund	\$167	\$100	\$100
6044 2004 State School Facilities Fund	439	623	624
6057 2006 State School Facilities Fund	128	429	429
<b>Totals, State Operations</b>	<b>\$734</b>	<b>\$1,152</b>	<b>\$1,153</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>30 STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM</b>			
<b>State Operations:</b>			
0890 Federal Trust Fund	\$130	\$140	\$148
<b>Totals, State Operations</b>	<b>\$130</b>	<b>\$140</b>	<b>\$148</b>
<b>Local Assistance:</b>			
0890 Federal Trust Fund	\$17,345	\$20,000	\$20,000
<b>Totals, Local Assistance</b>	<b>\$17,345</b>	<b>\$20,000</b>	<b>\$20,000</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>40 CHARTER SCHOOL FACILITY GRANT PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$-	\$104	\$270
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$104</b>	<b>\$270</b>
<b>Local Assistance:</b>			
0001 General Fund	\$-	\$92,031	\$92,031
<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$92,031</b>	<b>\$92,031</b>
<b>PROGRAM REQUIREMENTS</b>			
<b>50 CHARTER SCHOOL REVOLVING LOAN PROGRAM</b>			
<b>State Operations:</b>			
0001 General Fund	\$-	\$177	\$103
<b>Totals, State Operations</b>	<b>\$-</b>	<b>\$177</b>	<b>\$103</b>
<b>Local Assistance:</b>			
0606 Charter School Revolving Loan Fund	\$-	\$12,386	\$12,386
<b>Totals, Local Assistance</b>	<b>\$-</b>	<b>\$12,386</b>	<b>\$12,386</b>
<b>TOTALS, EXPENDITURES</b>			
State Operations	864	1,573	1,674
Local Assistance	17,345	124,417	124,417
<b>Totals, Expenditures</b>	<b>\$18,209</b>	<b>\$125,990</b>	<b>\$126,091</b>

\* Dollars in thousands, except in Salary Range.

**0985 California School Finance Authority - Continued**

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	6.4	8.0	8.0	\$402	\$517	\$527
Total Adjustments	-	-	2.0	-	7	97
<b>Net Totals, Salaries and Wages</b>	<b>6.4</b>	<b>8.0</b>	<b>10.0</b>	<b>\$402</b>	<b>\$524</b>	<b>\$624</b>
Staff Benefits	-	-	-	168	219	261
<b>Totals, Personal Services</b>	<b>6.4</b>	<b>8.0</b>	<b>10.0</b>	<b>\$570</b>	<b>\$743</b>	<b>\$885</b>
OPERATING EXPENSES AND EQUIPMENT				\$294	\$830	\$789
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$864</b>	<b>\$1,573</b>	<b>\$1,674</b>

**2 Local Assistance**

	Expenditures		
	2012-13*	2013-14*	2014-15*
Federal Grant Program	\$17,345	\$20,000	\$20,000
Charter School Facility Grant Program	-	92,031	92,031
Charter School Revolving Loan Program	-	12,386	12,386
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$17,345</b>	<b>\$124,417</b>	<b>\$124,417</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$-	\$280	\$373
Adjustment per Section 3.60	-	1	-
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$281</b>	<b>\$373</b>
<b>0526 California School Finance Authority Fund</b>			
APPROPRIATIONS			
Education Code Section 17181	\$167	\$100	\$100
<b>TOTALS, EXPENDITURES</b>	<b>\$167</b>	<b>\$100</b>	<b>\$100</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$136	\$140	\$148
Budget Adjustment	-6	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$130</b>	<b>\$140</b>	<b>\$148</b>
<b>6044 2004 State School Facilities Fund</b>			
APPROPRIATIONS			
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	\$439	\$623	\$624
<b>TOTALS, EXPENDITURES</b>	<b>\$439</b>	<b>\$623</b>	<b>\$624</b>
<b>6057 2006 State School Facilities Fund</b>			
APPROPRIATIONS			
Education Code Section 17078.52 (b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	\$128	\$429	\$429
<b>TOTALS, EXPENDITURES</b>	<b>\$128</b>	<b>\$429</b>	<b>\$429</b>

\* Dollars in thousands, except in Salary Range.

## 0985 California School Finance Authority - Continued

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$597	\$610	\$624
Allocation for employee compensation	2	10	-
Adjustment per Section 3.60	9	3	-
Adjustment per Section 3.90	-24	-	-
Technical Adjustment	<u>1</u>	<u>-</u>	<u>-</u>
<b>Totals Available</b>	<b>\$585</b>	<b>\$623</b>	<b>\$624</b>
Unexpended balance, estimated savings	<u>-146</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$439</b>	<b>\$623</b>	<b>\$624</b>
Less funding provided by 2004 State School Facilities Fund	<u>-439</u>	<u>-623</u>	<u>-624</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$429</u>	<u>\$429</u>	<u>\$429</u>
<b>Totals Available</b>	<b>\$429</b>	<b>\$429</b>	<b>\$429</b>
Unexpended balance, estimated savings	<u>-301</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$128</b>	<b>\$429</b>	<b>\$429</b>
Less funding provided by 2006 State School Facilities Fund	<u>-128</u>	<u>-429</u>	<u>-429</u>
<b>NET TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$-</b>	<b>\$-</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$864</b>	<b>\$1,573</b>	<b>\$1,674</b>
2 LOCAL ASSISTANCE	2012-13*	2013-14*	2014-15*
<b>0001 General Fund, Proposition 98</b>			
APPROPRIATIONS			
220 Budget Act appropriation	<u>\$-</u>	<u>\$92,031</u>	<u>\$92,031</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$92,031</b>	<b>\$92,031</b>
<b>0606 Charter School Revolving Loan Fund</b>			
APPROPRIATIONS			
Education Code Section 41365	<u>\$-</u>	<u>\$12,386</u>	<u>\$12,386</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$-</b>	<b>\$12,386</b>	<b>\$12,386</b>
<b>0890 Federal Trust Fund</b>			
APPROPRIATIONS			
101 Budget Act appropriation	\$20,000	\$20,000	\$20,000
Budget Adjustment	<u>-2,655</u>	<u>-</u>	<u>-</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$17,345</b>	<b>\$20,000</b>	<b>\$20,000</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>	<b>\$17,345</b>	<b>\$124,417</b>	<b>\$124,417</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>	<b>\$18,209</b>	<b>\$125,990</b>	<b>\$126,091</b>

### CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Totals, Authorized Positions	6.4	8.0	8.0	\$402	\$517	\$527
Salary Adjustments	-	-	-	-	7	7
<b>Workload and Administrative Adjustments:</b>	<b>Salary Range</b>					
Reductions in Authorized Positions:						
Associate Governmental Program Analyst	-	-	-1.0	4,400-5,508	-	-59
Positions Established:						
Staff Services Manager I	-	-	1.0	5,079-6,311	-	68
Office Technician	-	-	1.0	2,868-3,362	-	36

\* Dollars in thousands, except in Salary Range.



### 0985 California School Finance Authority - Continued

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
Staff Services Analyst	-	-	1.0	2,817-4,579	-	45
<b>Totals, Workload &amp; Admin Adjustments</b>	-	-	<b>2.0</b>	-	<b>\$-</b>	<b>\$90</b>
<b>Total Adjustments</b>	-	-	<b>2.0</b>	-	<b>\$7</b>	<b>\$97</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>6.4</b>	<b>8.0</b>	<b>10.0</b>	<b>\$402</b>	<b>\$524</b>	<b>\$624</b>

### 0989 California Educational Facilities Authority

The mission of the California Educational Facilities Authority (CEFA) is to provide students with better access and broader opportunities in higher education by providing qualified non-profit higher education institutions with the assistance needed to reduce their capital costs of financing academic related facilities through a tax-exempt revenue bond program.

CEFA provides funding to assist private non-profit institutions of higher learning in the expansion and construction of educational facilities. CEFA is also authorized to issue bonds, notes, and other forms of indebtedness to finance the costs of attendance (student loans) at institutions of higher education.

CEFA is a public instrumentality of the state consisting of five members: the Director of the Department of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor, serving four-year terms or until reappointed or a successor has been appointed.

#### 3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
10 Bond Financing	4.5	5.0	5.0	\$872	\$700	\$850
30 Student Loan Program	-	-	-	103	40	60
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>4.5</b>	<b>5.0</b>	<b>5.0</b>	<b>\$975</b>	<b>\$740</b>	<b>\$910</b>
<b>FUNDING</b>				<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
0911 Educational Facilities Authority Fund				\$872	\$700	\$850
0954 Student Loan Authority Fund				103	40	60
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$975</b>	<b>\$740</b>	<b>\$910</b>

The California Educational Facilities Authority funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2013-14 and 2014-15.

#### LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 94100-94213.

#### DETAILED BUDGET ADJUSTMENTS

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Workload Budget Adjustments</b>						
<b>Other Workload Budget Adjustments</b>						
• Employee Compensation Adjustments	\$-	\$1	-	\$-	\$1	-
• Retirement Rate Adjustment	-	2	-	-	2	-
• Increase in external contracts for the Bond Financing Program	-	-	-	-	147	-
• Projected increase in operating expenditures for the Student Loan Program	-	-	-	-	20	-

\* Dollars in thousands, except in Salary Range.

## 0989 California Educational Facilities Authority - Continued

	2013-14*			2014-15*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Miscellaneous adjustment	-	-3	-	-	-	-
<b>Totals, Other Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$170</b>	<b>-</b>
<b>Totals, Workload Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$170</b>	<b>-</b>
<b>Totals, Budget Adjustments</b>	<b>\$-</b>	<b>\$-</b>	<b>-</b>	<b>\$-</b>	<b>\$170</b>	<b>-</b>

### PROGRAM DESCRIPTIONS

#### 10 - BOND FINANCING

CEFA issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may provide more favorable financing terms to such private institutions than might otherwise be obtainable. Pursuant to Chapter 569, Statutes of 2001, CEFA may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California, or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986.

Prior to January 1, 1999, CEFA was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, there is now no limitation on the amount of bonds outstanding at any one time. As of June 30, 2013, bonds and notes in the amount of \$10.9 billion have been issued and \$4.5 billion were outstanding.

#### 30 - STUDENT LOAN PROGRAMS

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations, and functions were transferred to CEFA. The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by CEFA. As of June 30, 2013, \$5.1 million in bonds were outstanding, from a total \$265.4 million issued. Bonds for this purpose are subject to the state's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee (CDLAC).

Chapter 318, Statutes of 2005, added the requirement that not-for-profit corporations must seek approval from CEFA before applying for an allocation of a portion of the state's annual private activity volume cap from CDLAC for the purposes of issuing Qualified Scholarship Funding Bonds for Student Loan Programs. This requirement does not pertain to entities that applied to CDLAC prior to January 1, 2006. Bonds issued for student loans are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

### DETAILED EXPENDITURES BY PROGRAM

		<u>2012-13*</u>	<u>2013-14*</u>	<u>2014-15*</u>
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>BOND FINANCING</b>			
	State Operations:			
0911	Educational Facilities Authority Fund	<u>\$872</u>	<u>\$700</u>	<u>\$850</u>
	<b>Totals, State Operations</b>	<b>\$872</b>	<b>\$700</b>	<b>\$850</b>
<b>PROGRAM REQUIREMENTS</b>				
<b>30</b>	<b>STUDENT LOAN PROGRAMS</b>			
	State Operations:			
0954	Student Loan Authority Fund	<u>\$103</u>	<u>\$40</u>	<u>\$60</u>
	<b>Totals, State Operations</b>	<b>\$103</b>	<b>\$40</b>	<b>\$60</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	<u>975</u>	<u>740</u>	<u>910</u>
	<b>Totals, Expenditures</b>	<b>\$975</b>	<b>\$740</b>	<b>\$910</b>

\* Dollars in thousands, except in Salary Range.

**0989 California Educational Facilities Authority - Continued**

**EXPENDITURES BY CATEGORY**

1 State Operations	Positions			Expenditures		
	2012-13	2013-14	2014-15	2012-13*	2013-14*	2014-15*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	<u>4.5</u>	<u>5.0</u>	<u>5.0</u>	<u>\$298</u>	<u>\$339</u>	<u>\$349</u>
Net Totals, Salaries and Wages	<b>4.5</b>	<b>5.0</b>	<b>5.0</b>	<b>\$298</b>	<b>\$339</b>	<b>\$349</b>
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>135</u>	<u>153</u>	<u>157</u>
<b>Totals, Personal Services</b>	<b>4.5</b>	<b>5.0</b>	<b>5.0</b>	<b>\$433</b>	<b>\$492</b>	<b>\$506</b>
OPERATING EXPENSES AND EQUIPMENT				<u>\$542</u>	<u>\$248</u>	<u>\$404</u>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$975</b>	<b>\$740</b>	<b>\$910</b>

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

1 STATE OPERATIONS	2012-13*	2013-14*	2014-15*
<b>0911 Educational Facilities Authority Fund</b>			
APPROPRIATIONS			
Education Code Section 94140-94141	<u>\$872</u>	<u>\$700</u>	<u>\$850</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$872</b>	<b>\$700</b>	<b>\$850</b>
<b>0954 Student Loan Authority Fund</b>			
APPROPRIATIONS			
Education Code Section 94102	<u>\$103</u>	<u>\$40</u>	<u>\$60</u>
<b>TOTALS, EXPENDITURES</b>	<b>\$103</b>	<b>\$40</b>	<b>\$60</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$975</b>	<b>\$740</b>	<b>\$910</b>

\* Dollars in thousands, except in Salary Range.