



# **Business, Consumer Services, and Housing**

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The mission of the Business, Consumer Services, and Housing Agency (Agency) is to assist and educate consumers regarding the licensing, regulation, and enforcement of professionals and businesses in California. The Governor's Reorganization Plan No. 2 of 2012 provided for the consolidation of entities that license industries, business activities, and professionals. This consolidation improves service, consistency, and efficiency by facilitating shared administrative functions and expertise in areas such as automated systems, investigative practices, and licensing and legal processes. The Agency also provides a central location for the public to find information about entities regulating the businesses and professionals with whom they come into direct contact. The Agency is comprised of the Department of Consumer Affairs, the Department of Housing and Community Development, the Department of Fair Employment and Housing, the Department of Business Oversight, the Department of Alcoholic Beverage Control, the Alcoholic Beverage Control Appeals Board, the California Horse Racing Board, and the Alfred E. Alquist Seismic Safety Commission.

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## 1110 Department of Consumer Affairs Regulatory Boards

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 and 2014-15 budget information for the Board functions within the Structural Pest Control Board, and the 2013-14 and 2014-15 information for the Board of Chiropractic Examiners was merged with the Department of Consumer Affairs, Boards (DCA). 2012-13 budget information for the Board functions within the Structural Pest Control Board is displayed in Organization Code 3930 within the Environmental Protection Agency. 2012-13 budget information for the Board of Chiropractic Examiners is displayed in Organization Code 8500 within General Government. The Department of Consumer Affairs Regulatory Boards was previously displayed within the State and Consumer Services Agency and is now included in the Business, Consumer Services, and Housing Agency.

The DCA is responsible for promoting and protecting the interests of millions of California consumers by serving as a guardian and advocate for their health, safety, and economic well-being and by promoting legal and ethical standards of professional conduct. The Department helps to promote good business practices and to ensure that California's consumers receive quality services by establishing minimal competency standards for approximately 250 classifications involving approximately 3 million professionals. The Department is also an important advocate on consumer and business issues. In general, the DCA's Boards and Bureaus provide exams and licensing, enforcement, complaint mediation, and education for consumers.

There are currently 26 boards, a commission, and two committees under the broad authority of the DCA.

Additional information on the Department, and the various boards and bureaus, is available at [www.dca.ca.gov](http://www.dca.ca.gov).

### 3-YR EXPENDITURES AND POSITIONS

|    |  | Positions |         |         | Expenditures |          |          |
|----|--|-----------|---------|---------|--------------|----------|----------|
|    |  | 2012-13   | 2013-14 | 2014-15 | 2012-13*     | 2013-14* | 2014-15* |
| 03 | California Board of Accountancy  | 82.6      | 80.8    | 98.8    | \$10,205     | \$11,854 | \$13,709 |
| 06 | California Architects Board  | 23.3      | 30.4    | 30.4    | 3,496        | 4,983    | 5,080    |
| 09 | State Athletic Commission  | 10.1      | 9.1     | 12.1    | 1,419        | 1,386    | 1,637    |
| 18 | Board of Behavioral Science  | 40.9      | 42.5    | 50.0    | 7,536        | 8,241    | 9,139    |
| 19 | Board of Chiropractic Examiners  | -         | 19.4    | 19.4    | -            | 3,823    | 3,813    |
| 22 | Board of Barbering and Cosmetology   | 92.6      | 92.2    | 92.2    | 18,903       | 21,352   | 21,025   |
| 30 | Contractors' State License Board   | 388.0     | 401.6   | 405.6   | 54,162       | 61,713   | 62,430   |
| 36 | Dental Board of California   | 67.1      | 74.1    | 74.6    | 11,549       | 14,304   | 14,745   |
| 47 | Dental Hygiene Committee   | 7.1       | 8.2     | 8.2     | 1,244        | 1,513    | 1,588    |
| 54 | State Board of Guide Dogs for the Blind  | 1.4       | 1.5     | 1.5     | 188          | 199      | 203      |
| 55 | Medical Board of California  | 272.3     | 282.3   | 171.3   | 52,766       | 58,929   | 60,047   |
| 56 | Acupuncture Board  | 7.2       | 8.0     | 11.0    | 1,979        | 2,777    | 3,279    |
| 58 | Physical Therapy Board   | 18.2      | 16.4    | 19.4    | 3,303        | 3,207    | 3,904    |
| 59 | Physician Assistant Board  | 5.1       | 4.5     | 4.5     | 1,267        | 1,517    | 1,535    |
| 61 | California Board of Podiatric Medicine   | 5.0       | 5.2     | 5.2     | 922          | 1,123    | 1,441    |
| 62 | Board of Psychology  | 15.0      | 17.3    | 20.3    | 3,318        | 4,576    | 4,637    |
| 64 | Respiratory Care Board   | 17.1      | 16.4    | 17.4    | 2,692        | 3,315    | 3,488    |
| 65 | Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board           | 7.7       | 8.6     | 8.6     | 1,679        | 1,918    | 1,969    |
| 67 | California Board of Occupational Therapy   | 9.4       | 7.7     | 7.7     | 1,266        | 1,439    | 1,316    |
| 69 | State Board of Optometry   | 10.3      | 10.4    | 10.4    | 1,485        | 1,863    | 1,848    |
| 70 | Osteopathic Medical Board of California  | 7.0       | 8.4     | 11.4    | 1,438        | 1,911    | 1,895    |
| 71 | Naturopathic Medicine Committee  | 1.0       | 1.0     | 2.0     | 142          | 173      | 309      |
| 72 | California State Board of Pharmacy   | 76.0      | 81.1    | 101.1   | 14,612       | 16,202   | 19,626   |
| 75 | Board for Professional Engineers, Land Surveyors, and Geologists                   | 41.5      | 64.7    | 64.7    | 7,910        | 11,134   | 11,050   |
| 78 | Board of Registered Nursing  | 129.0     | 130.8   | 158.8   | 32,053       | 31,899   | 37,862   |
| 81 | Court Reporters Board of California  | 4.5       | 5.0     | 5.0     | 926          | 1,218    | 1,221    |
| 85 | Structural Pest Control Board  | -         | 29.9    | 29.9    | -            | 4,870    | 4,838    |
| 90 | Veterinary Medical Board   | 12.0      | 12.8    | 23.8    | 2,716        | 3,054    | 4,507    |
| 91 | Board of Vocational Nursing and Psychiatric Technicians of the State of California | 53.7      | 67.9    | 67.9    | 11,532       | 12,299   | 11,477   |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

|   | <u>Positions</u> |                |                | <u>Expenditures</u> |                  |                  |
|---|------------------|----------------|----------------|---------------------|------------------|------------------|
|   | <u>2012-13</u>   | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u>  | <u>2014-15*</u>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                                  | <b>1,405.1</b>   | <b>1,538.2</b> | <b>1,533.2</b> | <b>\$250,708</b>    | <b>\$292,792</b> | <b>\$309,618</b> |
| <b>FUNDING</b>  |                  |                |                | <b>2012-13*</b>     | <b>2013-14*</b>  | <b>2014-15*</b>  |
| 0024 State Board of Guide Dogs for the Blind Fund   |                  |                |                | \$188               | \$199            | \$203            |
| 0069 Barbering and Cosmetology Contingent Fund  |                  |                |                | 18,680              | 21,295           | 20,968           |
| 0093 Construction Management Education Account (CMEA)                                     |                  |                |                | 134                 | 85               | 174              |
| 0108 Acupuncture Fund   |                  |                |                | 1,936               | 2,754            | 3,256            |
| 0152 State Board of Chiropractic Examiners Fund   |                  |                |                | -                   | 3,779            | 3,769            |
| 0168 Structural Pest Control Research Fund  |                  |                |                | -                   | 3                | 3                |
| 0175 Dispensing Opticians Fund  |                  |                |                | 210                 | 323              | 330              |
| 0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund     |                  |                |                | 1,042               | 1,379            | 1,394            |
| 0210 Outpatient Setting Fund of the Medical Board of California                           |                  |                |                | 1                   | 27               | 27               |
| 0264 Osteopathic Medical Board of California Contingent Fund                              |                  |                |                | 1,373               | 1,858            | 1,842            |
| 0280 Physician Assistant Fund   |                  |                |                | 1,172               | 1,467            | 1,485            |
| 0295 Board of Podiatric Medicine Fund   |                  |                |                | 859                 | 1,119            | 1,437            |
| 0310 Psychology Fund  |                  |                |                | 3,178               | 4,525            | 4,586            |
| 0319 Respiratory Care Fund  |                  |                |                | 2,486               | 3,249            | 3,422            |
| 0326 Athletic Commission Fund   |                  |                |                | 1,302               | 1,157            | 1,401            |
| 0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund              |                  |                |                | 1,643               | 1,885            | 1,936            |
| 0399 Structural Pest Control Education and Enforcement Fund                               |                  |                |                | -                   | 393              | 391              |
| 0410 Transcript Reimbursement Fund  |                  |                |                | 210                 | 313              | 315              |
| 0492 State Athletic Commission Neurological Examination Account                           |                  |                |                | 39                  | 124              | 127              |
| 0704 Accountancy Fund, Professions and Vocations Fund                                     |                  |                |                | 9,339               | 11,558           | 13,413           |
| 0706 California Architects Board Fund   |                  |                |                | 2,776               | 3,818            | 3,901            |
| 0735 Contractors' License Fund  |                  |                |                | 53,627              | 61,275           | 61,903           |
| 0741 State Dentistry Fund   |                  |                |                | 9,598               | 12,077           | 12,444           |
| 0755 Licensed Midwifery Fund  |                  |                |                | -                   | -                | 13               |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund              |                  |                |                | 677                 | 1,160            | 1,174            |
| 0758 Contingent Fund of the Medical Board of California                                   |                  |                |                | 50,659              | 58,195           | 59,293           |
| 0759 Physical Therapy Fund  |                  |                |                | 3,215               | 3,108            | 3,805            |
| 0761 Board of Registered Nursing Fund, Professions and Vocations Fund                     |                  |                |                | 30,377              | 30,885           | 36,848           |
| 0763 State Optometry Fund, Professions and Vocations Fund                                 |                  |                |                | 1,432               | 1,857            | 1,842            |
| 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund                       |                  |                |                | 13,851              | 15,951           | 19,375           |
| 0770 Professional Engineer's and Land Surveyor's Fund                                     |                  |                |                | 6,819               | 9,739            | 9,640            |
| 0771 Court Reporters Fund   |                  |                |                | 713                 | 887              | 888              |
| 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund                    |                  |                |                | 7,393               | 8,191            | 9,089            |
| 0775 Structural Pest Control Fund   |                  |                |                | -                   | 4,474            | 4,444            |
| 0777 Veterinary Medical Board Contingent Fund   |                  |                |                | 2,614               | 3,028            | 4,481            |
| 0779 Vocational Nursing & Psychiatric Technicians Fund                                    |                  |                |                | 9,373               | 9,838            | 9,023            |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund |                  |                |                | 1,991               | 2,087            | 2,080            |
| 0995 Reimbursements   |                  |                |                | 7,653               | 3,584            | 3,584            |
| 3017 Occupational Therapy Fund  |                  |                |                | 1,240               | 1,417            | 1,294            |
| 3039 Dentally Underserved Account, State Dentistry Fund                                   |                  |                |                | -                   | 131              | 133              |
| 3069 Naturopathic Doctor's Fund   |                  |                |                | 140                 | 173              | 309              |
| 3140 State Dental Hygiene Fund  |                  |                |                | 1,232               | 1,507            | 1,582            |
| 3142 State Dental Assistant Fund  |                  |                |                | 1,458               | 1,813            | 1,885            |
| 9250 Boxers' Pension Fund   |                  |                |                | 78                  | 105              | 109              |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>  |                  |                |                | <b>\$250,708</b>    | <b>\$292,792</b> | <b>\$309,618</b> |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

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**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Business and Professions Code, Division 1, Chapter 1.

## PROGRAM AUTHORITY

03-California Board of Accountancy:

Business and Professions Code, Division 3, Chapter 1.

06-California Architects Board:

Business and Professions Code, Division 3, Chapters 3 and 3.5.

09-State Athletic Commission:

Business and Professions Code, Division 8, Chapter 2.

18-Board of Behavioral Sciences:

Business and Professions Code, Division 2, Chapters 13, 13.5, 13.7, 14, and 16.

19-Board of Chiropractic Examiners:

Chiropractic Initiative Act and the Business and Professions Code, Division 2, Chapter 2.

22-Board of Barbering and Cosmetology:

Business and Professions Code, Division 3, Chapter 10.

30-Contractors' State License Board:

Business and Professions Code, Division 3, Chapter 9.

36-Dental Board of California:

Business and Professions Code, Division 2, Chapter 4.

47-Dental Hygiene Committee:

Business and Professions Code, Division 2, Chapter 31.

54-State Board of Guide Dogs for the Blind:

Business and Professions Code, Division 3, Chapter 9.5.

55-Medical Board of California:

Business and Professions Code, Division 2, Chapters 5, 5.1, 5.4, 5.45, 5.5, and 7.8.

56-Acupuncture Board:

Business and Professions Code, Division 2, Chapter 12.

58-Physical Therapy Board of California:

Business and Professions Code, Division 2, Chapter 5.7.

59-Physician Assistant Board:

Business and Professions Code, Division 2, Chapter 7.7.

61-California Board of Podiatric Medicine:

Business and Professions Code, Division 2, Chapter 5, Article 22.

62-Board of Psychology:

Business and Professions Code, Division 2, Chapter 6.6.

### 1110 Department of Consumer Affairs Regulatory Boards - Continued

64- Respiratory Care Board of California:

Business and Professions Code, Division 2, Chapter 8.3.

65- Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board:

Business and Professions Code, Division 2, Chapter 5.3.

67-California Board of Occupational Therapy:

Business and Professions Code, Division 2, Chapter 5.6.

69-State Board of Optometry:

Business and Professions Code, Division 2, Chapter 7.

70-Osteopathic Medical Board of California:

Business and Professions Code, Division 2, Chapters 5 (Article 21) and 8.

71-Naturopathic Medicine Committee:

Business and Profession Code, Division 2, Chapter 8.2.

72-California State Board of Pharmacy:

Business and Professions Code, Division 2, Chapter 9.

75-Board for Professional Engineers, Land Surveyors, and Geologists:

Business and Professions Code, Division 3, Chapters 7, 12.5, and 15.

78-Board of Registered Nursing:

Business and Professions Code, Division 2, Chapter 6.

81-Court Reporters Board of California:

Business and Professions Code, Division 3, Chapter 13.

85-Structural Pest Control Board:

Business and Professions Code, Division 3, Chapter 14.

90-Veterinary Medical Board:

Business and Professions Code, Division 2, Chapter 11.

91- Board of Vocational Nursing and Psychiatric Technicians of the State of California:

Business and Professions Code, Division 2, Chapters 6.5 and 10.

#### MAJOR PROGRAM CHANGES

- The Governor's Budget includes \$10.4 million special fund and 78 positions for enforcement workload and \$364,000 special fund and 9.5 positions for licensing workload. The increased workload is primarily driven by growth to licensee populations which has resulted in increased enforcement case processing time and license application processing time. These additional resources are intended to reduce those processing times as part of the DCA's Performance Based Budgeting effort.

#### DETAILED BUDGET ADJUSTMENTS

|   | 2013-14*     |             |           | 2014-15*     |             |           |
|---|--------------|-------------|-----------|--------------|-------------|-----------|
|   | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| <b>Workload Budget Adjustments</b>  |              |             |           |              |             |           |
| <b>Workload Budget Change Proposals</b>   |              |             |           |              |             |           |
| • BreEZe  | \$-          | -\$771      | -         | \$-          | \$10,385    | -         |
| • Board of Registered Nursing-Attorney General and Office of Administrative Hearings Increase | -            | -           | -         | -            | 2,700       | -         |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2013-14*     |               |           | 2014-15*     |                 |             |
|---|--------------|---------------|-----------|--------------|-----------------|-------------|
|   | General Fund | Other Funds   | Positions | General Fund | Other Funds     | Positions   |
| • Board of Registered Nursing-Enforcement Division Positions  | -            | -             | -         | -            | 2,522           | 28.0        |
| • Pharmacy Board Prescription Drug Investigations   | -            | -             | -         | -            | 1,300           | 8.0         |
| • Pharmacy Sterile Compounding (Chapter 565, Statutes of 2013)  | -            | -             | -         | -            | 1,264           | 7.0         |
| • Accountancy - Peer Review and Investigation Backlog and Ongoing Workload                                      | -            | -             | -         | -            | 940             | 8.0         |
| • Accountancy - Mandatory Retroactive Fingerprinting  | -            | -             | -         | -            | 923             | 9.0         |
| • Veterinary Medical Board Hospital Inspections and Veterinary Assistants (Chapter 515, Statutes of 2013)       | -            | -             | -         | -            | 677             | 7.0         |
| • Medical Board - Enforcement Workload  | -            | -             | -         | -            | 471             | 4.0         |
| • Behavioral Sciences - Enforcement Workload  | -            | -             | -         | -            | 430             | 4.5         |
| • Advanced Practice Pharmacist (Chapter 469, Statutes of 2013)  | -            | -             | -         | -            | 390             | 3.0         |
| • Athletic Commission - Program Restructure   | -            | -             | -         | -            | 361             | 2.0         |
| • Veterinary Medical Board-Enforcement Program  | -            | -             | -         | -            | 348             | 4.0         |
| • Acupuncture Board - Enforcement, Licensing, and Education Oversight Workload                                  | -            | -             | -         | -            | 280             | 3.0         |
| • Behavioral Sciences - Licensing Evaluators  | -            | -             | -         | -            | 218             | 3.0         |
| • Physical Therapy - Enforcement Workload   | -            | -             | -         | -            | 189             | 2.0         |
| • Pharmacy - Enforcement Workload   | -            | -             | -         | -            | 185             | 2.0         |
| • Physical Therapy - Attorney General Augmentation  | -            | -             | -         | -            | 142             | -           |
| • Redirection of Medical Board Investigative Staff to Division of Investigation (Chapter 515, Statutes of 2013) | -            | -             | -         | -            | 118             | -115.0      |
| • Naturopathic Medicine - Enforcement and Licensing Workload  | -            | -             | -         | -            | 109             | 1.0         |
| • Respiratory Care Board-Enforcement Program Workload   | -            | -             | -         | -            | 104             | 1.0         |
| • Physical Therapy Board - Licensure and Regulation (Chapter 389, Statutes of 2013)                             | -            | -             | -         | -            | 91              | 1.0         |
| • Mobile or Portable Dental Clinics (Chapter 624, Statutes of 2013)   | -            | -             | -         | -            | 54              | 0.5         |
| • Professional Trainer's License (Chapter 370, Statutes of 2013)  | -            | -             | -         | -            | 47              | 0.5         |
| • Medical Board - Licensed Midwifery Reimbursement  | -            | -             | -         | -            | 13              | -           |
| • Contractors State License Board - Enforcement Workload  | -            | -             | -         | -            | -               | 4.0         |
| • Athletic Commission - Professional Boxers' Pension Fund   | -            | -             | -         | -            | -               | 0.5         |
| • Accountancy - Strengthening Educational Requirements (Ch. 308, Stats of 2009 and Ch. 344, Stats of 2011)      | -            | -             | -         | -            | -               | 1.0         |
| • Osteopathic - Licensing and Administrative Workload   | -            | -             | -         | -            | -               | 3.0         |
| • Board of Psychology-Licensing Unit  | -            | -             | -         | -            | -               | 3.0         |
| <b>Totals, Workload Budget Change Proposals</b>   | <b>\$-</b>   | <b>-\$771</b> | <b>-</b>  | <b>\$-</b>   | <b>\$24,261</b> | <b>-5.0</b> |
| <b>Other Workload Budget Adjustments</b>  |              |               |           |              |                 |             |
| • Employee Compensation Adjustments   | \$-          | \$2,195       | -         | \$-          | \$2,346         | -           |
| • Retirement Rate Adjustment  | -            | 757           | -         | -            | 757             | -           |
| • One Time Cost Reductions  | -            | -             | -         | -            | -9,523          | -           |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2013-14*     |              |           | 2014-15*     |                 |             |
|--|--------------|--------------|-----------|--------------|-----------------|-------------|
|  | General Fund | Other Funds  | Positions | General Fund | Other Funds     | Positions   |
| • Carryover/Reappropriation                      | -            | -1,673       | -         | -            | -1,676          | -           |
| • Miscellaneous Adjustments                      | -            | -490         | -         | -            | 683             | -           |
| <b>Totals, Other Workload Budget Adjustments</b> | <b>\$-</b>   | <b>\$789</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$7,413</b> | <b>-</b>    |
| <b>Totals, Workload Budget Adjustments</b>       | <b>\$-</b>   | <b>\$18</b>  | <b>-</b>  | <b>\$-</b>   | <b>\$16,848</b> | <b>-5.0</b> |
| <b>Totals, Budget Adjustments</b>                | <b>\$-</b>   | <b>\$18</b>  | <b>-</b>  | <b>\$-</b>   | <b>\$16,848</b> | <b>-5.0</b> |

\* Dollars in thousands, except in Salary Range.



## 1110 Department of Consumer Affairs Regulatory Boards - Continued

### Department of Consumer Affairs Performance Based Budgeting

The Department of Consumer Affairs (DCA) oversees a wide variety of autonomous Boards and Bureaus that certify, register, and license individuals and entities that provide goods and/or services in the state. The overall purpose of the DCA is to promote a fair and competitive marketplace in which consumers are protected. The DCA provides consumers and licensees with valuable information and training and processes and mediates complaints. When appropriate, cases are referred to the Attorney General's office or law enforcement authorities for administrative action, civil and/or criminal prosecution.

#### Background

Executive Order B-13-11 requires the Department of Finance (DOF) to work in conjunction with various departments to utilize performance-based budgeting to increase efficiency and focus on accomplishing program goals. As a result, the DCA has identified a variety of ways to measure enforcement efforts for all Boards and Bureaus. Performance-based budgeting provides the DCA the flexibility to manage its resources based on fluctuating program demand.

#### Enforcement Performance Measures

To ensure stakeholders can review the progress of DCA's Boards and Bureaus in meeting their enforcement goals and targets, DCA has developed a transparent system of performance measurements. These measures are critical, particularly during the current climate of budget constraints, for demonstrating that DCA is making and will continue to make the most efficient and effective use possible of its resources. These measures are posted publicly to the Department website on a quarterly basis.

The DCA will assess enforcement needs based on the following criteria:

- Intake Cycle Time - *Average number of days from receipt of the complaint to the date the complaint was assigned for investigation.*
- Investigation Cases - *Average number of days from receipt of the complaint to closure of the investigation process. Does not include cases resulting in formal discipline.*
- Formal Discipline Cases - *Average cycle time to complete the entire enforcement process for those cases closed by the Attorney General's office after referral by the program. This measure does not include declined, withdrawn or dismissed cases.*

Information for this special display was compiled using a variety of sources. The Consumer Affairs System (CAS) was the primary source for collecting program data, however some Boards and Bureaus do not utilize CAS because of their size or reporting requirements, so data for these programs was obtained through non-standardized reporting systems. The Bureau of Real Estate and the Bureau of Real Estate Appraisers do not utilize CAS and are unable to provide or display reporting data that is consistent with other Boards and Bureaus. Further, target numbers shown in this display are based on the Quarterly Performance Measures Report and each Board and Bureau has mandates and functions which can be significantly different. Using this data to compare the cycle time of Boards and Bureaus may not accurately capture unique aspects of individual programs. Additionally, the data identified in this display may not match the performance data in the DCA Annual Report or

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

### Department of Consumer Affairs Performance Based Budgeting

the Quarterly Performance Measures Report based on the method in which the data was collected.

The following budget display represents a snapshot of existing enforcement efforts of the Boards and Bureaus within the DCA. This information will act as a baseline of enforcement performance and will allow for the tracking of future performance.

#### 1. Intake Cycle Time

The following represents the total number of cases assigned for investigation and the average number of days (cycle time) from receipt of a complaint to the date the complaint was assigned for investigation. This data assists DCA and the program in measuring the efficiency of the program's internal complaint intake process.

| 1110 – Department of Consumer Affairs Regulatory Boards |  |                        |            |                        |            |                        |
|---|--|------------------------|------------|------------------------|------------|------------------------|
| Program   |  | Target                 | FY 2011-12 |                        | FY 2012-13 |                        |
|   |  | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) |
| 3   | California Board of Accountancy  | 10                     | 1,920      | 4                      | 3,280      | 3                      |
| 6   | California Architects Board  | 7                      | 211        | 3                      | 296        | 3                      |
| 6   | Landscape Architects Technical Committee                                 | 7                      | 28         | 2                      | 27         | 2                      |
| 9   | State Athletic Commission  | NA                     | NA         | NA                     | NA         | NA                     |
| 18  | Board of Behavioral Sciences   | 5                      | 1,915      | 4                      | 2,067      | 4                      |
| 19  | Board of Chiropractic Examiners  | NA                     | NA         | NA                     | NA         | NA                     |
| 22  | Board of Barbering and Cosmetology                                       | 10                     | 5,470      | 3                      | 4,600      | 4                      |
| 30  | Contractors' State License Board   | 3                      | 20,365     | 2                      | 18,140     | 2                      |
| 36  | Dental Board of California   | 10                     | 3,550      | 9                      | 3,979      | 7                      |
| 47  | Dental Hygiene Committee   | 30                     | 221        | 4                      | 185        | 1                      |
| 54  | State Board of Guide Dogs for the Blind                                  | 0                      | 0          | 0                      | 4          | 5                      |
| 55  | Medical Board of California  | 9                      | 7,042      | 12                     | 7,437      | 10                     |
| 56  | Acupuncture Board  | 10                     | 107        | 8                      | 282        | 115                    |
| 58  | Physical Therapy Board   | 5                      | 1,819      | 5                      | 1,538      | 7                      |
| 59  | Physician Assistant Board  | 10                     | 276        | 12                     | 281        | 11                     |
| 61  | California Board of Podiatric Medicine                                   | 9                      | 135        | 12                     | 138        | 9                      |
| 62  | Board of Psychology  | 9                      | 747        | 5                      | 709        | 4                      |
| 64  | Respiratory Care Board   | 7                      | 875        | 2                      | 862        | 3                      |
| 65  | Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board | 5                      | 197        | 5                      | 167        | 1                      |
| 67  | California Board of Occupational Therapy                                 | 2                      | 541        | 3                      | 557        | 1                      |
| 69  | State Board of Optometry   | 7                      | 351        | 75                     | 255        | 6                      |
| 70  | Osteopathic Medical Board of California                                  | 30                     | 362        | 9                      | 381        | 23                     |
| 71  | Naturopathic Medicine Committee  | 10                     | 88         | 1                      | 55         | 3                      |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued****Department of Consumer Affairs Performance Based Budgeting**

|    |  |    |       |    |       |    |
|----|--|----|-------|----|-------|----|
| 72 | California State Board of Pharmacy   | 20 | 3,963 | 25 | 3,882 | 22 |
| 75 | Board of Professional Engineers and Land Surveyors                                 | 10 | 334   | 10 | 300   | 10 |
| 78 | Board of Registered Nursing  | 15 | 8,084 | 15 | 8,375 | 12 |
| 81 | Court Reporters Board of California  | 5  | 119   | 1  | 133   | 1  |
| 85 | Structural Pest Control Board  | NA | NA    | NA | NA    | NA |
| 90 | Veterinary Medical Board   | 10 | 732   | 26 | 546   | 58 |
| 91 | Board of Vocational Nursing and Psychiatric Technicians of the State of California | 30 | 5,561 | 16 | 5,154 | 16 |

**1111 - Department of Consumer Affairs Bureaus, Programs, and Divisions**

| Program |   | Target                 | FY 2011-12 |                        | FY 2012-13 |                        |
|---------|---|------------------------|------------|------------------------|------------|------------------------|
|         |   | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) |
| 25      | Bureau of Security and Investigative Services                                       | 10                     | 22,560     | 5                      | 22,808     | 34                     |
| 27      | Bureau for Private Postsecondary Education  | 3                      | 980        | 3                      | 883        | 3                      |
| 28      | Bureau of Electronic and Appliance Repair, Home Furnishings, and Thermal Insulation | 10                     | 1,912      | 8                      | 1,886      | 8                      |
| 31      | Bureau of Automotive Repair   | 7                      | 19,083     | 4                      | 17,108     | 4                      |
| 37      | Telephone Medical Advice Services Bureau  | 10                     | 25         | 9                      | 11         | 9                      |
| 38      | Cemetery and Funeral Bureau   | 7                      | 738        | 3                      | 665        | 6                      |
| 41      | Bureau of Real Estate Appraisers  | NA                     | NA         | NA                     | NA         | NA                     |
| 42      | Bureau of Real Estate   | NA                     | NA         | NA                     | NA         | NA                     |
| 89      | Professional Fiduciaries Bureau   | 5                      | 102        | 12                     | 100        | 8                      |

**2. Intake and Investigation Cycle Time**

The following represent the total number of cases investigated and the average number of days (cycle time) from receipt of a complaint to the closure of the investigation. This data assists DCA and the program in measuring how efficient a program is in addressing a violation of the Board's statutes and regulations. This measure does not include cases referred to the Attorney General's office.

**1110 – Department of Consumer Affairs Regulatory Boards**

| Program |  | Target                 | FY 2011-12 |                        | FY 2012-13 |                        |
|---------|--|------------------------|------------|------------------------|------------|------------------------|
|         |  | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) |
| 3       | California Board of Accountancy          | 180                    | 1,473      | 73                     | 2,867      | 73                     |
| 6       | California Architects Board              | 270                    | 278        | 148                    | 279        | 91                     |
| 6       | Landscape Architects Technical Committee | 270                    | 59         | 516                    | 23         | 346                    |
| 9       | State Athletic Commission                | NA                     | NA         | NA                     | NA         | NA                     |

**1110 Department of Consumer Affairs Regulatory Boards - Continued****Department of Consumer Affairs Performance Based Budgeting**

|    |  |     |        |     |        |     |
|----|--|-----|--------|-----|--------|-----|
| 18 | Board of Behavioral Sciences   | 180 | 1,967  | 142 | 1,904  | 111 |
| 19 | Board of Chiropractic Examiners  | NA  | NA     | NA  | NA     | NA  |
| 22 | Board of Barbering and Cosmetology   | 120 | 5,598  | 71  | 4,624  | 72  |
| 30 | Contractors' State License Board   | 180 | 20,365 | 138 | 10,936 | 75  |
| 36 | Dental Board of California   | 270 | 3,291  | 156 | 3,590  | 144 |
| 47 | Dental Hygiene Committee   | 120 | 218    | 45  | 185    | 51  |
| 54 | State Board of Guide Dogs for the Blind  | 125 | 0      | 0   | 0      | 0   |
| 55 | Medical Board of California  | 125 | 6,665  | 126 | 6,897  | 109 |
| 56 | Acupuncture Board  | 200 | 131    | 164 | 129    | 216 |
| 58 | Physical Therapy Board   | 90  | 1,638  | 55  | 1,486  | 177 |
| 59 | Physician Assistant Board  | 150 | 226    | 100 | 248    | 87  |
| 61 | California Board of Podiatric Medicine   | 125 | 114    | 142 | 136    | 115 |
| 62 | Board of Psychology  | 80  | 590    | 71  | 583    | 65  |
| 64 | Respiratory Care Board   | 210 | 753    | 90  | 741    | 103 |
| 65 | Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board           | 90  | 147    | 277 | 171    | 320 |
| 67 | California Board of Occupational Therapy   | 270 | 554    | 79  | 476    | 70  |
| 69 | State Board of Optometry   | 90  | 233    | 184 | 262    | 182 |
| 70 | Osteopathic Medical Board of California  | 360 | 403    | 234 | 254    | 282 |
| 71 | Naturopathic Medicine Committee  | 90  | 84     | 1   | 56     | 30  |
| 72 | California State Board of Pharmacy   | 210 | 2,964  | 222 | 3,552  | 282 |
| 75 | Board of Professional Engineers, and Land Surveyors                                | 360 | 334    | 337 | 340    | 359 |
| 78 | Board of Registered Nursing  | 100 | 4,946  | 122 | 6,734  | 143 |
| 81 | Court Reporters Board of California  | 60  | 121    | 74  | 139    | 75  |
| 85 | Structural Pest Control Board  | NA  | NA     | NA  | NA     | NA  |
| 90 | Veterinary Medical Board   | 365 | 566    | 311 | 700    | 414 |
| 91 | Board of Vocational Nursing and Psychiatric Technicians of the State of California | 360 | 5,202  | 275 | 5,273  | 247 |

**1111 - Department of Consumer Affairs Bureaus, Programs, and Divisions**

| Program | Target  | FY 2011-12 |                        | FY 2012-13 |                        |     |
|---------|---|------------|------------------------|------------|------------------------|-----|
|         | Avg. Cycle Time (Days)  | # of Cases | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) |     |
| 25      | Bureau of Security and Investigative Services                                       | 200        | 18,150                 | 103        | 16,052                 | 115 |
| 27      | Bureau for Private Postsecondary Education  | 180        | 510                    | 122        | 503                    | 179 |
| 28      | Bureau of Electronic and Appliance Repair, Home Furnishings, and Thermal Insulation | 180        | 1,870                  | 67         | 1,885                  | 64  |
| 31      | Bureau of Automotive Repair   | 60         | 17,797                 | 45         | 17,378                 | 46  |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued****Department of Consumer Affairs Performance Based Budgeting**

|    |   |     |     |     |     |     |
|----|---|-----|-----|-----|-----|-----|
| 37 | Telephone Medical Advice Services Bureau* | NA  | NA  | NA  | NA  | NA  |
| 38 | Cemetery and Funeral Bureau               | 120 | 727 | 46  | 574 | 46  |
| 41 | Bureau of Real Estate Appraisers          | NA  | NA  | NA  | NA  | NA  |
| 42 | Bureau of Real Estate                     | NA  | NA  | NA  | NA  | NA  |
| 89 | Professional Fiduciaries Bureau           | 365 | 92  | 126 | 112 | 161 |

**3. Formal Discipline Cycle Time**

The following represent the formal discipline cases closed by the Attorney General's office after referral by the program. The cycle time in this measure includes intake and investigation by the program, and review and possible prosecution by the Attorney General's office. This measure does not include declined, withdrawn or dismissed cases. This data assists DCA in measuring the efficiency of the program's investigation process, and the effectiveness of their partnership with the AG's office.

| <b>1110 – Department of Consumer Affairs Regulatory Boards</b> |  |                               |                   |                               |                   |                               |
|--|--|-------------------------------|-------------------|-------------------------------|-------------------|-------------------------------|
| <b>Program</b>   |  | <b>Target</b>                 | <b>FY 2011-12</b> |                               | <b>FY 2012-13</b> |                               |
|  |  | <b>Avg. Cycle Time (Days)</b> | <b># of Cases</b> | <b>Avg. Cycle Time (Days)</b> | <b># of Cases</b> | <b>Avg. Cycle Time (Days)</b> |
| 3  | California Board of Accountancy  | 540                           | 28                | 787                           | 54                | 795                           |
| 6  | California Architects Board  | 540                           | 2                 | 514                           | 2                 | 217                           |
| 6  | Landscape Architects Technical Committee                                 | 540                           | 0                 | 0                             | 0                 | 0                             |
| 9  | State Athletic Commission  | NA                            | NA                | NA                            | NA                | NA                            |
| 18   | Board of Behavioral Sciences   | 540                           | 84                | 872                           | 106               | 853                           |
| 19   | Board of Chiropractic Examiners  | NA                            | NA                | NA                            | NA                | NA                            |
| 22   | Board of Barbering and Cosmetology                                       | 540                           | 109               | 469                           | 76                | 579                           |
| 30   | Contractors' State License Board   | 540                           | 1,744             | 786                           | 1,044             | 789                           |
| 36   | Dental Board of California   | 540                           | 124               | 928                           | 107               | 857                           |
| 47   | Dental Hygiene Committee   | 540                           | 3                 | 576                           | 6                 | 581                           |
| 54   | State Board of Guide Dogs for the Blind                                  | 540                           | 0                 | 0                             | 0                 | 0                             |
| 55   | Medical Board of California  | 540                           | 315               | 853                           | 341               | 775                           |
| 56   | Acupuncture Board  | 540                           | 20                | 582                           | 11                | 988                           |
| 58   | Physical Therapy Board   | 540                           | 44                | 654                           | 35                | 742                           |
| 59   | Physician Assistant Board  | 540                           | 21                | 524                           | 15                | 701                           |
| 61   | California Board of Podiatric Medicine                                   | 540                           | 7                 | 1,065                         | 5                 | 944                           |
| 62   | Board of Psychology  | 540                           | 29                | 894                           | 25                | 928                           |
| 64   | Respiratory Care Board   | 540                           | 77                | 625                           | 75                | 558                           |
| 65   | Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board | 540                           | 16                | 1,055                         | 9                 | 923                           |
| 67   | California Board of Occupational Therapy                                 | 540                           | 20                | 594                           | 8                 | 271                           |
| 69   | State Board of Optometry   | 540                           | 7                 | 879                           | 10                | 718                           |
| 70   | Osteopathic Medical Board of California                                  | 540                           | 17                | 884                           | 11                | 766                           |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

### Department of Consumer Affairs Performance Based Budgeting

|    |  |     |     |       |     |       |
|----|--|-----|-----|-------|-----|-------|
| 71 | Naturopathic Medicine Committee  | 540 | 0   | 0     | 0   | 0     |
| 72 | California State Board of Pharmacy   | 540 | 264 | 932   | 208 | 887   |
| 75 | Board of Professional Engineers and Land Surveyors                                 | 540 | 42  | 1,607 | 25  | 1,528 |
| 78 | Board of Registered Nursing  | 540 | 728 | 677   | 998 | 738   |
| 81 | Court Reporters Board of California  | 540 | 5   | 499   | 6   | 420   |
| 85 | Structural Pest Control Board  | NA  | NA  | NA    | NA  | NA    |
| 90 | Veterinary Medical Board   | 540 | 28  | 978   | 16  | 1,132 |
| 91 | Board of Vocational Nursing and Psychiatric Technicians of the State of California | 540 | 250 | 1,107 | 357 | 1,233 |

### 1111 - Department of Consumer Affairs Bureaus, Programs, and Divisions

| Program | Target  | FY 2011-12 |                        | FY 2012-13 |                        |     |
|---------|---|------------|------------------------|------------|------------------------|-----|
|         | Avg. Cycle Time (Days)  | # of Cases | Avg. Cycle Time (Days) | # of Cases | Avg. Cycle Time (Days) |     |
| 25      | Bureau of Security and Investigative Services                                       | 540        | 1,081                  | 397        | 1,538                  | 484 |
| 27      | Bureau for Private Postsecondary Education  | 540        | 2                      | 268        | 0                      | 0   |
| 28      | Bureau of Electronic and Appliance Repair, Home Furnishings, and Thermal Insulation | 540        | 8                      | 1,076      | 7                      | 793 |
| 31      | Bureau of Automotive Repair   | 540        | 714                    | 680        | 216                    | 540 |
| 37      | Telephone Medical Advice Services Bureau*   | NA         | NA                     | NA         | NA                     | NA  |
| 38      | Cemetery and Funeral Bureau   | 540        | 17                     | 544        | 14                     | 710 |
| 41      | Bureau of Real Estate Appraisers  | NA         | NA                     | NA         | NA                     | NA  |
| 42      | Bureau of Real Estate   | NA         | NA                     | NA         | NA                     | NA  |
| 89      | Professional Fiduciaries Bureau   | 540        | 3                      | 606        | 1                      | 253 |

\*Telephone Medical Advice Services Bureau does not conduct any in-house investigations or formal discipline. After intake, complaints requiring further investigation are forwarded outside the Bureau to other regulatory agencies for investigation, such as the Board of Registered Nursing.

(The Landscape Architects Technical Committee has its own cases, cycle times and targets. It is under the same program as California Architects Board, but works independently of the Board).

### Licensing Performance Measures

In evaluating the licensing process, it was determined that the largest obstacle to efficient application processing for all DCA programs is the receipt of incomplete applications. Their deficiencies may include sections of the form not completed or missing documentation, such as proof of education or residency. To more accurately evaluate cycle times for licensure, the measure was divided into two categories: 1) Incomplete Applications and 2) Complete Applications.

**1110 Department of Consumer Affairs Regulatory Boards - Continued****Department of Consumer Affairs Performance Based Budgeting**

- Average Days to Application Approval (**incomplete application**): This is the timeframe from when the board/bureau received the application for exam and/or licensure to the time the application was approved for exam eligibility or license issuance. In this instance, all applications were incomplete and/or needed additional information/documentation from the applicant.
- Average Days to Application Approval (**complete application**): This is the timeframe from when the board/bureau received the application for exam and/or licensure to the time the application was approved for exam eligibility or license issuance. In this instance, all applications were complete and did not need additional information/documentation from the applicant.

The DCA will display licensing targets next year in the 2015-16 Governor's Budget. At present, the DCA is currently unable to uniformly track and report licensing data for its Boards and Bureaus. However, DCA's licensing and enforcement information technology system, BreZE, will be utilized to uniformly track licensing data of the Boards and Bureaus. BreZE is scheduled to be fully implemented in December, 2015, which will allow the DCA to display 2015-16 actual licensing data in the 2017-18 Governor's Budget.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

### PROGRAM DESCRIPTIONS

#### 03 - CALIFORNIA BOARD OF ACCOUNTANCY

The California Board of Accountancy (Board) regulates over 81,000 licensees, the largest group of accounting professionals in the nation. By authority of the California Accountancy Act, the Board qualifies California candidates for the National Uniform Certified Public Accountant (CPA) Examination; certifies, licenses, and renews licenses of individual CPAs and Public Accountants (PA); registers CPA and PA partnerships and corporations; receives and investigates complaints; takes enforcement actions against licensees for violation of Board statutes and regulations; monitors compliance with continuing education requirements; and reviews the work products of CPAs, PAs, and CPA firms to ensure adherence to professional standards.

#### 06 - CALIFORNIA ARCHITECTS BOARD

The California Architects Board's objectives are to ensure that only those persons possessing the necessary minimum qualifications are licensed as architects, to enforce the provisions of the Architect Practice Act and subsequent regulations, and to establish and enforce levels of professional competence, eliminate unlicensed activity, and provide consumer/industry education.

#### 09 - STATE ATHLETIC COMMISSION

The State Athletic Commission approves, manages, and directs all professional and amateur boxing and full-contact martial arts events. The Commission protects consumers by ensuring bouts are fair and competitive while protecting the health and safety of participants.

#### 18 - BOARD OF BEHAVIORAL SCIENCES

The Board of Behavioral Sciences licenses and regulates Marriage and Family Therapists, Licensed Clinical Social Workers, Licensed Educational Psychologists, and Licensed Professional Clinical Counselors. The confidential and sensitive nature of services these licensees perform necessitates the development and enforcement of performance standards and competence consistent with the public welfare. The Board ensures that minimum competency standards are met by establishing educational and experience requirements, conducting examinations, investigating violations of professional ethics and law, and disciplining licensees when appropriate.

#### 19 - BOARD OF CHIROPRACTIC EXAMINERS

The Board of Chiropractic Examiners protects California consumers from fraudulent, negligent, or incompetent practices of chiropractic care. The Board ensures that providers are adequately trained and meet recognized standards of performance for treatment and practice. The Board uses licensing, continuing education, and disciplinary procedures to maintain those standards. It also sets educational standards for recognized chiropractic colleges, reviews complaints, and investigates possible violations of the Chiropractic Act and regulations.

#### 22 - BOARD OF BARBERING AND COSMETOLOGY

The Board of Barbering and Cosmetology licenses barbers, cosmetologists, electrologists, estheticians, and manicurists after determining, through an examination, that applicants possess the minimum skills and qualifications necessary to provide safe and effective services to the public. The Board conducts both routine and directed health and safety inspections of licensed establishments operating in the state. The Board also investigates allegations of unprofessional conduct, gross negligence, incompetence, fraud, or unlicensed activity. When warranted, the Board takes disciplinary action. The Board's mission is to protect consumers from harm by licensees through its licensing and enforcement programs.

#### 30 - CONTRACTORS' STATE LICENSE BOARD

The Contractors' State License Board protects consumers by regulating the construction industry through policies that promote the health, safety and general welfare of the public in matters relating to construction. The Board accomplishes this by ensuring that construction is performed in a safe, competent and professional manner; licensing contractors and enforcing licensing laws; providing resolution to disputes that arise from construction activities; and educating consumers so that they make informed choices.

#### 36 - DENTAL BOARD OF CALIFORNIA

The Dental Board of California licenses and regulates dentists, registered dental assistants, and registered dental assistants in extended functions. The Board assures the initial and continued competence of its licensees through licensure, investigation of complaints against its licensees, and discipline of those found in violation of the Dental Practice Act (Business and Professions Code sections 1600 et seq.), monitoring licensees whose licenses have been placed on probation, and managing the Diversion Program for licensees whose practice may be impaired due to abuse of dangerous drugs or alcohol.

The Board's objective is to protect and promote the health and safety of consumers in the State of California. To accomplish this objective, the Board must ensure that only those persons possessing the necessary education, examination and experience qualifications receive licenses; all licentiates obtain the required continuing medical education training; consumers are informed of their rights and how complaints may be directed to the Board; consumer complaints against licentiates are promptly, thoroughly and fairly investigated; and appropriate action is taken against licentiates whose care or



## 1110 Department of Consumer Affairs Regulatory Boards - Continued

behavior is outside of acceptable standards.

### 47 - DENTAL HYGIENE COMMITTEE OF CALIFORNIA

The Committee issues, reviews, and revokes licenses, develops and administers examinations, adopts regulations, and determines fees and continuing education requirements for all hygiene licensure categories.

### 54 - STATE BOARD OF GUIDE DOGS FOR THE BLIND

The State Board of Guide Dogs for the Blind's primary objectives are to protect the safety of blind guide dog users by ensuring that instructors and schools possess minimum qualifications and are licensed, and to enforce licensing standards for performance and conduct.

### 55 - MEDICAL BOARD OF CALIFORNIA

The Medical Board of California licenses and regulates physicians, midwives, opticians, spectacle lens dispensers, contact lens dispensers and research psychoanalysts. The Board assures the initial and continued competence of the health professions and occupations under its jurisdiction through licensure, investigation of complaints against its licensees, and discipline of those found guilty of violations of the law or regulations.

The Board's objective is to improve the quality of medical services within California. To accomplish this objective, the Board must ensure that only those persons possessing the necessary education, examination and experience qualifications receive licenses; all licentiates obtain the required continuing medical education training; consumers are informed of their rights and how complaints may be directed to the Board; consumer complaints against licentiates are promptly, thoroughly and fairly investigated; and appropriate action is taken against licentiates whose care or behavior is outside of acceptable standards.

### 56 - ACUPUNCTURE BOARD

The Acupuncture Board (Board) licenses and regulates individuals practicing acupuncture pursuant to the Acupuncture Licensure Act. The Board administers an examination that tests an applicant's ability, competency, and knowledge in the practice of an acupuncturist; issues licenses to qualified practitioners; approves and monitors students in tutorial programs; approves acupuncture schools and continuing education providers and courses; and enforces the Acupuncture Licensure Act.

### 58 - PHYSICAL THERAPY BOARD OF CALIFORNIA

The Physical Therapy Board of California licenses and regulates physical therapists. Physical therapists in independent practice treat patients who have disabilities resulting from accidents, congenital defects or illnesses. To ensure proper patient evaluation and treatment, those physical therapists must meet the minimum standards established according to law. This is accomplished by licensing those who possess the necessary qualifications, enforcing standards of ethical conduct established for licensees, and policing against unlicensed practice.

### 59 - PHYSICIAN ASSISTANT BOARD

The Physician Assistant Board licenses and regulates physician assistants; enforces laws and regulations relating to physician assistant practice; encourages utilization of physician assistants in medically-underserved areas; seeks ways and means to rehabilitate drug or alcohol impaired physician assistants; and encourages development of new physician assistant training programs and expansion of existing programs.

### 61 - CALIFORNIA BOARD OF PODIATRIC MEDICINE

The California Board of Podiatric Medicine (BPM) licenses Doctors of Podiatric Medicine (DPMs) and all podiatric medical postgraduate residents. All specialized U.S. schools of podiatric medicine and all DPM postgraduate programs in California must apply for approval each academic year from the seven-member Board. BPM utilizes Medical Board complaint, investigation, discipline coordination, and verifications staff through shared services.

### 62 - BOARD OF PSYCHOLOGY

The Board of Psychology's primary objective is to protect consumers of psychological services from the unsafe and unlicensed practice of psychology. Additionally, the Board focuses resources to educate its public (consumers, licensees, registrants and applicants) about the laws and regulations relating to the practice of psychology and other related issues. The Board ensures that those entering the profession possess minimal competency to safely practice psychology independently. Each license applicant must possess an appropriate doctorate degree and also pass a national Examination for the Professional Practice in Psychology and a California Psychology Supplemental Examination.

### 64 - RESPIRATORY CARE BOARD OF CALIFORNIA

The Respiratory Care Board of California protects the public from the unauthorized and unqualified practice of respiratory care and from unprofessional conduct by persons licensed to practice respiratory care.

### 65 - SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

The Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board (Board) licenses and regulates Speech-Language Pathologists, Audiologists and Hearing Aid Dispensers, along with other personnel registered to assist in the

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

delivery of speech-language pathology, audiology, and hearing aid dispensing services. Thousands of California citizens experience congenital or acquired speech, language, hearing, swallowing and balance disorders. The Board protects consumers by requiring adherence to statutes and regulations designed to ensure the qualifications and competency of licensed providers, and further protects hearing-impaired consumers by informing them of their legal rights and obligations when purchasing or returning hearing aids. In addition to ensuring licensing requirements are met, the Board oversees a continuing professional development program to maintain clinical relevance. The Board is also responsible for protecting consumers from unlicensed, incompetent and unethical practitioners by investigating complaints regarding possible violations of the laws and regulations.

### 67 - CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

The California Board of Occupational Therapy is responsible for regulating two types of practitioners: Occupational Therapists and Occupational Therapy Assistants. Occupational Therapists work with people suffering from various disabilities to develop, improve, or restore functional daily living skills. The Board's mission is to regulate these professions to ensure that the public receives the highest level of therapeutic care available.

The Board is authorized to: (1) grant a license or certificate to those practitioners who have met specified requirements, (2) enforce the law and discipline violators, (3) provide for the renewal of a license or certificate, and (4) develop the necessary continuing education requirements for the profession.

### 69 - STATE BOARD OF OPTOMETRY

The State Board of Optometry is mandated to protect the public from the unauthorized and unqualified practice of optometry and from unprofessional conduct by persons licensed to practice optometry through its licensing, regulatory, and disciplinary functions.

### 70 - OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

The Osteopathic Medical Board of California sets and enforces standards for licensure of California osteopathic physicians and surgeons. By conducting examinations, investigations, and disciplinary proceedings, the Board ensures that recognized standards of practice and treatment are maintained by its licentiates.

### 71 - NATUROPATHIC MEDICINE COMMITTEE

The Naturopathic Medicine Committee (Committee) implements and enforces the Naturopathic Doctors Act by licensing and regulating naturopathic doctors in California. The Committee ensures that naturopathic doctors meet required educational and practice standards before licensure and investigates complaints against its licensees, disciplining those individuals found guilty of violations of law or regulation.

### 72 - CALIFORNIA STATE BOARD OF PHARMACY

The California State Board of Pharmacy regulates both the individuals and firms that ship, store, transfer, and dispense prescription drugs and devices to the state's patients and health care providers. The Board's objectives are to: (1) promote and protect public health and safety through enforcement of pharmacy law, (2) ensure that licensees are qualified and competent to practice their profession safely and effectively, and (3) support the full use of the pharmacist's knowledge and expertise in drug therapy to effect better patient care.

### 75 - BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

The Board for Professional Engineers, Land Surveyors, and Geologists is mandated to protect the public by regulating the practices of professional engineering, land surveying, geology, and geophysics. The Board ensures that these professions are properly educated and have the technical expertise to be licensed. It examines, licenses, and registers these individuals and enforces the Professional Engineers, Professional Land Surveyors, and Geologists and Geophysicists Act.

### 78 - BOARD OF REGISTERED NURSING

The Board of Registered Nursing ensures that registered nurses are competent and safe to practice through: (1) sound licensing standards, (2) an effective enforcement program to prosecute violations of the Nursing Practice Act, (3) a diversion program to intervene with chemically dependent or mentally ill nurses, (4) oversight of nursing school programs, and (5) education efforts.

### 81 - COURT REPORTERS BOARD OF CALIFORNIA

The Court Reporters Board of California certifies persons who have met basic, minimum standards of practice to provide the public with competent and impartial verbatim reporting of depositions and oral court/judicial proceedings. The Board also regulates court-reporting schools by specifying curriculum standards. The Board receives and investigates complaints, disciplines reporters and schools, and administers a fund that provides free transcripts to indigent civil litigants.

### 85 - STRUCTURAL PEST CONTROL BOARD

The Structural Pest Control Board licenses and regulates Structural Pest Control Operators and companies. Scientific and professional standards are necessary to assure the public that persons obtain and maintain the skills and knowledge to inspect the structural condition of buildings for pests and wood-destroying organisms, identify pests and organisms, apply chemicals, and recommend and implement other means to control structural pests. The Board's objective is to license those persons that possess the necessary qualifications to professionally perform structural pest control work and to assist

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

consumers in resolving disputes with pest control companies.

**90 - VETERINARY MEDICAL BOARD**

The Veterinary Medical Board is responsible for protecting consumers and animals through the development and maintenance of professional standards, licensing of veterinarians and veterinary premises, registration of veterinary technicians, permitting of veterinary assistants, and diligent enforcement of the California Veterinary Medicine Practice Act.

Veterinarians and registered veterinary technicians protect the health and welfare of animals and the public through prevention, control, and eradication of animal diseases. Examination ensures minimum competency through licensure. Enforcement of minimum standards of practice is carried out through unannounced inspections of veterinary hospitals and mobile facilities. The Board further responds to complaints, investigates alleged violations, and has the authority to administer fines and suspend or revoke licenses.

**91 - BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS OF THE STATE OF CALIFORNIA**

The Board of Vocational Nursing and Psychiatric Technicians' (Board) mission is to protect the public. Public protection is paramount to the Board and its highest priority in exercising its licensing, regulatory and disciplinary functions. Toward this end, the Board ensures that only qualified persons are licensed vocational nurses and psychiatric technicians by enforcing education requirements, standards of practice and by educating consumers of their rights.

**DETAILED EXPENDITURES BY PROGRAM**

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>03 CALIFORNIA BOARD OF ACCOUNTANCY</b>                                    |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0704 Accountancy Fund, Professions and Vocations Fund                        | \$9,339         | \$11,558        | \$13,413        |
| 0995 Reimbursements  | <u>866</u>      | <u>296</u>      | <u>296</u>      |
| <b>Totals, State Operations</b>  | <b>\$10,205</b> | <b>\$11,854</b> | <b>\$13,709</b> |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>06 CALIFORNIA ARCHITECTS BOARD</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0706 California Architects Board Fund  | \$2,776         | \$3,818         | \$3,901         |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund | 677             | 1,160           | 1,174           |
| 0995 Reimbursements  | <u>43</u>       | <u>5</u>        | <u>5</u>        |
| <b>Totals, State Operations</b>  | <b>\$3,496</b>  | <b>\$4,983</b>  | <b>\$5,080</b>  |
| <b>ELEMENT REQUIREMENTS</b>  |                 |                 |                 |
| <b>06.10 California Architects Board</b>                                     | <b>\$2,819</b>  | <b>\$3,823</b>  | <b>\$3,906</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0706 California Architects Board Fund  | 2,776           | 3,818           | 3,901           |
| 0995 Reimbursements  | 43              | 5               | 5               |
| <b>06.20 Landscape Architects Committee</b>                                  | <b>\$677</b>    | <b>\$1,160</b>  | <b>\$1,174</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund | 677             | 1,160           | 1,174           |
| 0995 Reimbursements  | -               | -               | -               |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>09 STATE ATHLETIC COMMISSION</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0326 Athletic Commission Fund  | \$1,302         | \$1,157         | \$1,401         |
| 0492 State Athletic Commission Neurological Examination Account              | 39              | 124             | 127             |
| 9250 Boxers' Pension Fund  | <u>78</u>       | <u>105</u>      | <u>109</u>      |
| <b>Totals, State Operations</b>  | <b>\$1,419</b>  | <b>\$1,386</b>  | <b>\$1,637</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>18 BOARD OF BEHAVIORAL SCIENCE</b>  |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| <b>State Operations:</b>   |                 |                 |                 |
| 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund | \$7,393         | \$8,191         | \$9,089         |
| 0995 Reimbursements  | 143             | 50              | 50              |
| <b>Totals, State Operations</b>  | <b>\$7,536</b>  | <b>\$8,241</b>  | <b>\$9,139</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>19 BOARD OF CHIROPRACTIC EXAMINERS</b>                              |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0152 State Board of Chiropractic Examiners Fund                        | \$-             | \$3,779         | \$3,769         |
| 0995 Reimbursements  | -               | 44              | 44              |
| <b>Totals, State Operations</b>  | <b>\$-</b>      | <b>\$3,823</b>  | <b>\$3,813</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>22 BOARD OF BARBERING AND COSMETOLOGY</b>                           |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0069 Barbering and Cosmetology Contingent Fund                         | \$18,680        | \$21,295        | \$20,968        |
| 0995 Reimbursements  | 223             | 57              | 57              |
| <b>Totals, State Operations</b>  | <b>\$18,903</b> | <b>\$21,352</b> | <b>\$21,025</b> |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>30 CONTRACTORS' STATE LICENSE BOARD</b>                             |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0093 Construction Management Education Account (CMEA)                  | \$134           | \$85            | \$174           |
| 0735 Contractors' License Fund   | 53,627          | 61,275          | 61,903          |
| 0995 Reimbursements  | 401             | 353             | 353             |
| <b>Totals, State Operations</b>  | <b>\$54,162</b> | <b>\$61,713</b> | <b>\$62,430</b> |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>36 DENTAL BOARD OF CALIFORNIA</b>                                   |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0741 State Dentistry Fund  | \$9,598         | \$12,077        | \$12,444        |
| 0995 Reimbursements  | 493             | 283             | 283             |
| 3039 Dentally Underserved Account, State Dentistry Fund                | -               | 131             | 133             |
| 3142 State Dental Assistant Fund                                       | 1,458           | 1,813           | 1,885           |
| <b>Totals, State Operations</b>  | <b>\$11,549</b> | <b>\$14,304</b> | <b>\$14,745</b> |
| <b>ELEMENT REQUIREMENTS</b>  |                 |                 |                 |
| <b>36.10 Dental Board of California</b>                                | <b>\$10,088</b> | <b>\$12,475</b> | <b>\$12,844</b> |
| <b>State Operations:</b>   |                 |                 |                 |
| 0741 State Dentistry Fund  | 9,598           | 12,077          | 12,444          |
| 0995 Reimbursements  | 490             | 267             | 267             |
| 3039 Dentally Underserved Account, State Dentistry Fund                | -               | 131             | 133             |
| <b>36.30 Registered Dental Assistant Program</b>                       | <b>\$1,458</b>  | <b>\$1,813</b>  | <b>\$1,885</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0995 Reimbursements  | 3               | 16              | 16              |
| 3142 State Dental Assistant Fund                                       | 1,458           | 1,813           | 1,885           |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>47 DENTAL HYGIENE COMMITTEE</b>                                     |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0995 Reimbursements  | \$12            | \$6             | \$6             |
| 3140 State Dental Hygiene Fund   | 1,232           | 1,507           | 1,582           |
| <b>Totals, State Operations</b>  | <b>\$1,244</b>  | <b>\$1,513</b>  | <b>\$1,588</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| <b>54 STATE BOARD OF GUIDE DOGS FOR THE BLIND</b>               |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0024 State Board of Guide Dogs for the Blind Fund               | \$188           | \$199           | \$203           |
| <b>Totals, State Operations</b>                                 | <b>\$188</b>    | <b>\$199</b>    | <b>\$203</b>    |
| <b>PROGRAM REQUIREMENTS</b>                                     |                 |                 |                 |
| <b>55 MEDICAL BOARD OF CALIFORNIA</b>                           |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0175 Dispensing Opticians Fund                                  | \$210           | \$323           | \$330           |
| 0210 Outpatient Setting Fund of the Medical Board of California | 1               | 27              | 27              |
| 0755 Licensed Midwifery Fund                                    | -               | -               | 13              |
| 0758 Contingent Fund of the Medical Board of California         | 50,659          | 58,195          | 59,293          |
| 0995 Reimbursements   | 1,896           | 384             | 384             |
| <b>Totals, State Operations</b>                                 | <b>\$52,766</b> | <b>\$58,929</b> | <b>\$60,047</b> |
| <b>ELEMENT REQUIREMENTS</b>                                     |                 |                 |                 |
| <b>55.10 Medical Board of California</b>                        | <b>\$52,554</b> | <b>\$58,579</b> | <b>\$59,677</b> |
| <b>State Operations:</b>  |                 |                 |                 |
| 0758 Contingent Fund of the Medical Board of California         | 50,659          | 58,195          | 59,293          |
| 0995 Reimbursements   | 1,895           | 384             | 384             |
| <b>55.15 Registered Dispensing Opticians</b>                    | <b>\$211</b>    | <b>\$323</b>    | <b>\$330</b>    |
| <b>State Operations:</b>  |                 |                 |                 |
| 0175 Dispensing Opticians Fund                                  | 210             | 323             | 330             |
| 0995 Reimbursements   | 1               | -               | -               |
| <b>55.17 Outpatient Setting</b>                                 | <b>\$1</b>      | <b>\$27</b>     | <b>\$27</b>     |
| <b>State Operations:</b>  |                 |                 |                 |
| 0210 Outpatient Setting Fund of the Medical Board of California | 1               | 27              | 27              |
| <b>55.18 Licensed Midwifery Program</b>                         | <b>\$-</b>      | <b>\$-</b>      | <b>\$13</b>     |
| <b>State Operations:</b>  |                 |                 |                 |
| 0755 Licensed Midwifery Fund                                    | -               | -               | 13              |
| <b>PROGRAM REQUIREMENTS</b>                                     |                 |                 |                 |
| <b>56 ACUPUNCTURE BOARD</b>                                     |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0108 Acupuncture Fund   | \$1,936         | \$2,754         | \$3,256         |
| 0995 Reimbursements   | 43              | 23              | 23              |
| <b>Totals, State Operations</b>                                 | <b>\$1,979</b>  | <b>\$2,777</b>  | <b>\$3,279</b>  |
| <b>PROGRAM REQUIREMENTS</b>                                     |                 |                 |                 |
| <b>58 PHYSICAL THERAPY BOARD</b>                                |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0759 Physical Therapy Fund                                      | \$3,215         | \$3,108         | \$3,805         |
| 0995 Reimbursements   | 88              | 99              | 99              |
| <b>Totals, State Operations</b>                                 | <b>\$3,303</b>  | <b>\$3,207</b>  | <b>\$3,904</b>  |
| <b>PROGRAM REQUIREMENTS</b>                                     |                 |                 |                 |
| <b>59 PHYSICIAN ASSISTANT BOARD</b>                             |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0280 Physician Assistant Fund                                   | \$1,172         | \$1,467         | \$1,485         |
| 0995 Reimbursements   | 95              | 50              | 50              |
| <b>Totals, State Operations</b>                                 | <b>\$1,267</b>  | <b>\$1,517</b>  | <b>\$1,535</b>  |
| <b>PROGRAM REQUIREMENTS</b>                                     |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| <b>61 CALIFORNIA BOARD OF PODIATRIC MEDICINE</b>   |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0295 Board of Podiatric Medicine Fund  | \$859           | \$1,119         | \$1,437         |
| 0995 Reimbursements  | 63              | 4               | 4               |
| <b>Totals, State Operations</b>  | <b>\$922</b>    | <b>\$1,123</b>  | <b>\$1,441</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>62 BOARD OF PSYCHOLOGY</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0310 Psychology Fund   | \$3,178         | \$4,525         | \$4,586         |
| 0995 Reimbursements  | 140             | 51              | 51              |
| <b>Totals, State Operations</b>  | <b>\$3,318</b>  | <b>\$4,576</b>  | <b>\$4,637</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>64 RESPIRATORY CARE BOARD</b>   |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0319 Respiratory Care Fund   | \$2,486         | \$3,249         | \$3,422         |
| 0995 Reimbursements  | 206             | 66              | 66              |
| <b>Totals, State Operations</b>  | <b>\$2,692</b>  | <b>\$3,315</b>  | <b>\$3,488</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>65 SPEECH-LANGUAGE PATHOLOGY AND<br/>AUDIOLOGY AND HEARING AID DISPENSERS<br/>BOARD</b> |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0376 Speech-Language Pathology and Audiology and<br>Hearing Aid Dispensers Fund            | \$1,643         | \$1,885         | \$1,936         |
| 0995 Reimbursements  | 36              | 33              | 33              |
| <b>Totals, State Operations</b>  | <b>\$1,679</b>  | <b>\$1,918</b>  | <b>\$1,969</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>67 CALIFORNIA BOARD OF OCCUPATIONAL THERAPY</b>   |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0995 Reimbursements  | \$26            | \$22            | \$22            |
| 3017 Occupational Therapy Fund   | 1,240           | 1,417           | 1,294           |
| <b>Totals, State Operations</b>  | <b>\$1,266</b>  | <b>\$1,439</b>  | <b>\$1,316</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>69 STATE BOARD OF OPTOMETRY</b>   |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0763 State Optometry Fund, Professions and Vocations Fund                                  | \$1,432         | \$1,857         | \$1,842         |
| 0995 Reimbursements  | 53              | 6               | 6               |
| <b>Totals, State Operations</b>  | <b>\$1,485</b>  | <b>\$1,863</b>  | <b>\$1,848</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>70 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0264 Osteopathic Medical Board of California Contingent<br>Fund                            | \$1,373         | \$1,858         | \$1,842         |
| 0995 Reimbursements  | 65              | 53              | 53              |
| <b>Totals, State Operations</b>  | <b>\$1,438</b>  | <b>\$1,911</b>  | <b>\$1,895</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>71 NATUROPATHIC MEDICINE COMMITTEE</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0995 Reimbursements  | \$2             | \$-             | \$-             |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| 3069 Naturopathic Doctor's Fund   | 140             | 173             | 309             |
| <b>Totals, State Operations</b>   | <b>\$142</b>    | <b>\$173</b>    | <b>\$309</b>    |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>72 CALIFORNIA STATE BOARD OF PHARMACY</b>  |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund                   | \$13,851        | \$15,951        | \$19,375        |
| 0995 Reimbursements   | 761             | 251             | 251             |
| <b>Totals, State Operations</b>   | <b>\$14,612</b> | <b>\$16,202</b> | <b>\$19,626</b> |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>75 BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS</b>            |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund | \$1,042         | \$1,379         | \$1,394         |
| 0770 Professional Engineer's and Land Surveyor's Fund                                 | 6,819           | 9,739           | 9,640           |
| 0995 Reimbursements   | 49              | 16              | 16              |
| <b>Totals, State Operations</b>   | <b>\$7,910</b>  | <b>\$11,134</b> | <b>\$11,050</b> |
| <b>ELEMENT REQUIREMENTS</b>   |                 |                 |                 |
| <b>75.10 Board for Professional Engineers and Land Surveyors</b>                      | <b>\$6,868</b>  | <b>\$9,755</b>  | <b>\$9,656</b>  |
| <b>State Operations:</b>  |                 |                 |                 |
| 0770 Professional Engineer's and Land Surveyor's Fund                                 | 6,819           | 9,739           | 9,640           |
| 0995 Reimbursements   | 49              | 16              | 16              |
| <b>75.20 Geology and Geophysicists Program</b>  | <b>\$1,042</b>  | <b>\$1,379</b>  | <b>\$1,394</b>  |
| <b>State Operations:</b>  |                 |                 |                 |
| 0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund | 1,042           | 1,379           | 1,394           |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>78 BOARD OF REGISTERED NURSING</b>   |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0761 Board of Registered Nursing Fund, Professions and Vocations Fund                 | \$30,377        | \$30,885        | \$36,848        |
| 0995 Reimbursements   | 1,676           | 1,014           | 1,014           |
| <b>Totals, State Operations</b>   | <b>\$32,053</b> | <b>\$31,899</b> | <b>\$37,862</b> |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>81 COURT REPORTERS BOARD OF CALIFORNIA</b>   |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0410 Transcript Reimbursement Fund  | \$210           | \$313           | \$315           |
| 0771 Court Reporters Fund   | 713             | 887             | 888             |
| 0995 Reimbursements   | 3               | 18              | 18              |
| <b>Totals, State Operations</b>   | <b>\$926</b>    | <b>\$1,218</b>  | <b>\$1,221</b>  |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>85 STRUCTURAL PEST CONTROL BOARD</b>   |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0168 Structural Pest Control Research Fund  | \$-             | \$3             | \$3             |
| 0399 Structural Pest Control Education and Enforcement Fund                           | -               | 393             | 391             |
| 0775 Structural Pest Control Fund   | -               | 4,474           | 4,444           |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | <u>2012-13*</u>  | <u>2013-14*</u>  | <u>2014-15*</u>  |
|--|------------------|------------------|------------------|
| <b>Totals, State Operations</b>  | \$-              | \$4,870          | \$4,838          |
| <b>PROGRAM REQUIREMENTS</b>  |                  |                  |                  |
| <b>90 VETERINARY MEDICAL BOARD</b>   |                  |                  |                  |
| <b>State Operations:</b>   |                  |                  |                  |
| 0777 Veterinary Medical Board Contingent Fund  | \$2,614          | \$3,028          | \$4,481          |
| 0995 Reimbursements  | <u>102</u>       | <u>26</u>        | <u>26</u>        |
| <b>Totals, State Operations</b>  | <b>\$2,716</b>   | <b>\$3,054</b>   | <b>\$4,507</b>   |
| <b>PROGRAM REQUIREMENTS</b>  |                  |                  |                  |
| <b>91 BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS OF THE STATE OF CALIFORNIA</b> |                  |                  |                  |
| <b>State Operations:</b>   |                  |                  |                  |
| 0779 Vocational Nursing and Psychiatric Technicians Fund                                     | \$9,373          | \$9,838          | \$9,023          |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund    | 1,991            | 2,087            | 2,080            |
| 0995 Reimbursements  | <u>168</u>       | <u>374</u>       | <u>374</u>       |
| <b>Totals, State Operations</b>  | <b>\$11,532</b>  | <b>\$12,299</b>  | <b>\$11,477</b>  |
| <b>ELEMENT REQUIREMENTS</b>  |                  |                  |                  |
| <b>91.10 Vocational Nurses Program</b>   | <b>\$9,504</b>   | <b>\$10,190</b>  | <b>\$9,375</b>   |
| <b>State Operations:</b>   |                  |                  |                  |
| 0779 Vocational Nursing and Psychiatric Technicians Fund                                     | 9,373            | 9,838            | 9,023            |
| 0995 Reimbursements  | 131              | 352              | 352              |
| <b>91.20 Psychiatric Technicians Program</b>   | <b>\$2,028</b>   | <b>\$2,109</b>   | <b>\$2,102</b>   |
| <b>State Operations:</b>   |                  |                  |                  |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund    | 1,991            | 2,087            | 2,080            |
| 0995 Reimbursements  | 37               | 22               | 22               |
| <b>TOTALS, EXPENDITURES</b>  |                  |                  |                  |
| State Operations   | <u>250,708</u>   | <u>292,792</u>   | <u>309,618</u>   |
| <b>Totals, Expenditures</b>  | <b>\$250,708</b> | <b>\$292,792</b> | <b>\$309,618</b> |

**EXPENDITURES BY CATEGORY**

|   | <b>1 State Operations</b> |                |                | <b>Expenditures</b> |                  |                  |
|---|---------------------------|----------------|----------------|---------------------|------------------|------------------|
|   | <u>Positions</u>          |                |                |                     |                  |                  |
|   | <u>2012-13</u>            | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u>  | <u>2014-15*</u>  |
| <b>PERSONAL SERVICES</b>                            |                           |                |                |                     |                  |                  |
| Authorized Positions (Equals Sch. 7A)               | 1,405.1                   | 1,538.2        | 1,538.2        | \$77,914            | \$89,527         | \$90,794         |
| Total Adjustments                                   | <u>-</u>                  | <u>-</u>       | <u>-5.0</u>    | <u>-</u>            | <u>1,192</u>     | <u>-1,236</u>    |
| <b>Net Totals, Salaries and Wages</b>               | <b>1,405.1</b>            | <b>1,538.2</b> | <b>1,533.2</b> | <b>\$77,914</b>     | <b>\$90,719</b>  | <b>\$89,558</b>  |
| Staff Benefits                                      | <u>-</u>                  | <u>-</u>       | <u>-</u>       | <u>33,497</u>       | <u>39,953</u>    | <u>39,493</u>    |
| <b>Totals, Personal Services</b>                    | <b>1,405.1</b>            | <b>1,538.2</b> | <b>1,533.2</b> | <b>\$111,411</b>    | <b>\$130,672</b> | <b>\$129,051</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>             |                           |                |                | <u>\$139,988</u>    | <u>\$163,045</u> | <u>\$181,492</u> |
| <b>TOTAL EXPENDITURES</b>                           |                           |                |                | <b>\$251,399</b>    | <b>\$293,717</b> | <b>\$310,543</b> |
| Distributed California Architects Board             |                           |                |                | -26                 | -26              | -26              |
| Distributed Medical Board of California             |                           |                |                | -628                | -780             | -780             |
| Distributed Osteopathic Medical Board of California |                           |                |                | -                   | -14              | -14              |
| Distributed Professional Engineers & Land Surveyors |                           |                |                | -                   | -68              | -68              |
| Distributed Vocational Nursing Program              |                           |                |                | -37                 | -37              | -37              |

\* Dollars in thousands, except in Salary Range.



## 1110 Department of Consumer Affairs Regulatory Boards - Continued

| 1 State Operations                                   | Positions |         |         | Expenditures     |                  |                  |
|--|-----------|---------|---------|------------------|------------------|------------------|
|  | 2012-13   | 2013-14 | 2014-15 | 2012-13*         | 2013-14*         | 2014-15*         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS</b> |           |         |         | <b>\$250,708</b> | <b>\$292,792</b> | <b>\$309,618</b> |
| (State Operations)                                   |           |         |         |                  |                  |                  |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS   | 2012-13*        | 2013-14*        | 2014-15*        |
|--|-----------------|-----------------|-----------------|
| <b>0024 State Board of Guide Dogs for the Blind Fund</b>     |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                 | \$199           | \$197           | \$203           |
| Allocation for employee compensation                         | 1               | 3               | -               |
| Adjustment per Section 3.60                                  | 2               | 1               | -               |
| Adjustment per Section 3.90                                  | -6              | -               | -               |
| Revised expenditure authority per Item 1110-401              | -               | -2              | -               |
| <b>Totals Available</b>                                      | <b>\$196</b>    | <b>\$199</b>    | <b>\$203</b>    |
| Unexpended balance, estimated savings                        | -8              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                  | <b>\$188</b>    | <b>\$199</b>    | <b>\$203</b>    |
| <b>0069 Barbering and Cosmetology Contingent Fund</b>        |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                 | \$20,084        | \$20,454        | \$20,968        |
| Allocation for employee compensation                         | 59              | 186             | -               |
| Adjustment per Section 3.60                                  | 149             | 45              | -               |
| Adjustment per Section 3.90                                  | -413            | -               | -               |
| Adjustment per Section 4.05                                  | -               | -14             | -               |
| Adjustment per Section 15.25                                 | -27             | -               | -               |
| Revised expenditure authority per Item 1110-401              | -               | 624             | -               |
| <b>Totals Available</b>                                      | <b>\$19,852</b> | <b>\$21,295</b> | <b>\$20,968</b> |
| Unexpended balance, estimated savings                        | -1,172          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                  | <b>\$18,680</b> | <b>\$21,295</b> | <b>\$20,968</b> |
| <b>0093 Construction Management Education Account (CMEA)</b> |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                 | \$179           | \$173           | \$174           |
| <b>Totals Available</b>                                      | <b>\$179</b>    | <b>\$173</b>    | <b>\$174</b>    |
| Unexpended balance, estimated savings                        | -45             | -88             | -               |
| <b>TOTALS, EXPENDITURES</b>                                  | <b>\$134</b>    | <b>\$85</b>     | <b>\$174</b>    |
| <b>0108 Acupuncture Fund</b>                                 |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                 | \$2,772         | \$2,797         | \$3,256         |
| Allocation for employee compensation                         | 4               | 11              | -               |
| Adjustment per Section 3.60                                  | 17              | 5               | -               |
| Adjustment per Section 3.90                                  | -42             | -               | -               |
| Revised expenditure authority per Item 1110-401              | -               | -59             | -               |
| <b>Totals Available</b>                                      | <b>\$2,751</b>  | <b>\$2,754</b>  | <b>\$3,256</b>  |
| Unexpended balance, estimated savings                        | -815            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                  | <b>\$1,936</b>  | <b>\$2,754</b>  | <b>\$3,256</b>  |
| <b>0152 State Board of Chiropractic Examiners Fund</b>       |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                 | \$-             | \$3,816         | \$3,769         |
| Allocation for employee compensation                         | -               | 32              | -               |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

| 1 STATE OPERATIONS   | 2012-13*       | 2013-14*       | 2014-15*       |
|--|----------------|----------------|----------------|
| Adjustment per Section 3.60  | -              | 9              | -              |
| Revised expenditure authority per Item 1110-401  | -              | -78            | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>     | <b>\$3,779</b> | <b>\$3,769</b> |
| <b>0168 Structural Pest Control Research Fund</b>  |                |                |                |
| APPROPRIATIONS   |                |                |                |
| Business and Professions Code section 8674   | -              | \$3            | \$3            |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>     | <b>\$3</b>     | <b>\$3</b>     |
| <b>0175 Dispensing Opticians Fund</b>  |                |                |                |
| APPROPRIATIONS   |                |                |                |
| 001 Budget Act appropriation   | \$343          | \$331          | \$330          |
| Allocation for employee compensation   | -              | 1              | -              |
| Adjustment per Section 3.60  | 1              | -              | -              |
| Adjustment per Section 3.90  | -4             | -              | -              |
| Revised expenditure authority per Item 1110-401  | -              | -9             | -              |
| <b>Totals Available</b>  | <b>\$340</b>   | <b>\$323</b>   | <b>\$330</b>   |
| Unexpended balance, estimated savings  | -130           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$210</b>   | <b>\$323</b>   | <b>\$330</b>   |
| <b>0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund</b> |                |                |                |
| APPROPRIATIONS   |                |                |                |
| 001 Budget Act appropriation   | \$1,365        | \$1,378        | \$1,394        |
| Allocation for employee compensation   | 3              | 2              | -              |
| Adjustment per Section 3.60  | 7              | 3              | -              |
| Adjustment per Section 3.90  | -17            | -              | -              |
| Revised expenditure authority per Item 1110-401  | -              | -4             | -              |
| <b>Totals Available</b>  | <b>\$1,358</b> | <b>\$1,379</b> | <b>\$1,394</b> |
| Unexpended balance, estimated savings  | -316           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,042</b> | <b>\$1,379</b> | <b>\$1,394</b> |
| <b>0210 Outpatient Setting Fund of the Medical Board of California</b>                       |                |                |                |
| APPROPRIATIONS   |                |                |                |
| 001 Budget Act appropriation   | \$27           | \$27           | \$27           |
| <b>Totals Available</b>  | <b>\$27</b>    | <b>\$27</b>    | <b>\$27</b>    |
| Unexpended balance, estimated savings  | -26            | -              | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1</b>     | <b>\$27</b>    | <b>\$27</b>    |
| <b>0264 Osteopathic Medical Board of California Contingent Fund</b>                          |                |                |                |
| APPROPRIATIONS   |                |                |                |
| 001 Budget Act appropriation   | \$1,771        | \$1,880        | \$1,801        |
| Allocation for employee compensation   | 4              | 7              | -              |
| Adjustment per Section 3.60  | 14             | 4              | -              |
| Adjustment per Section 3.90  | -37            | -              | -              |
| Revised expenditure authority per Item 1110-401  | -              | 8              | -              |
| Prior year balances available:   |                |                |                |
| Item 1110-001-0264, Budget Act of 2013   | -              | -              | 41             |
| <b>Totals Available</b>  | <b>\$1,752</b> | <b>\$1,899</b> | <b>\$1,842</b> |
| Unexpended balance, estimated savings  | -379           | -              | -              |
| Balance available in subsequent years  | -              | -41            | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,373</b> | <b>\$1,858</b> | <b>\$1,842</b> |
| <b>0280 Physician Assistant Fund</b>   |                |                |                |
| APPROPRIATIONS   |                |                |                |
| 001 Budget Act appropriation   | \$1,423        | \$1,513        | \$1,424        |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

| <b>1 STATE OPERATIONS</b>                       | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|---|-----------------|-----------------|-----------------|
| Allocation for employee compensation            | 2               | 6               | -               |
| Adjustment per Section 3.60                     | 8               | 2               | -               |
| Adjustment per Section 3.90                     | -21             | -               | -               |
| Adjustment per Section 15.25                    | -1              | -               | -               |
| Revised expenditure authority per Item 1110-401 | -               | 7               | -               |
| Prior year balances available:                  |                 |                 |                 |
| Item 1110-001-0280, Budget Act of 2013          | -               | -               | 61              |
| <b>Totals Available</b>                         | <b>\$1,411</b>  | <b>\$1,528</b>  | <b>\$1,485</b>  |
| Unexpended balance, estimated savings           | -239            | -               | -               |
| Balance available in subsequent years           | -               | -61             | -               |
| <b>TOTALS, EXPENDITURES</b>                     | <b>\$1,172</b>  | <b>\$1,467</b>  | <b>\$1,485</b>  |
| <b>0295 Board of Podiatric Medicine Fund</b>    |                 |                 |                 |
| APPROPRIATIONS                                  |                 |                 |                 |
| 001 Budget Act appropriation                    | \$1,403         | \$1,427         | \$1,423         |
| Allocation for employee compensation            | 2               | 11              | -               |
| Adjustment per Section 3.60                     | 9               | 3               | -               |
| Adjustment per Section 3.90                     | -21             | -               | -               |
| Adjustment per Section 15.25                    | -1              | -               | -               |
| Revised expenditure authority per Item 1110-401 | -               | -3              | -               |
| Prior year balances available:                  |                 |                 |                 |
| Item 1110-001-0295, Budget Act of 2013          | -               | -               | 14              |
| <b>Totals Available</b>                         | <b>\$1,392</b>  | <b>\$1,438</b>  | <b>\$1,437</b>  |
| Unexpended balance, estimated savings           | -533            | -305            | -               |
| Balance available in subsequent years           | -               | -14             | -               |
| <b>TOTALS, EXPENDITURES</b>                     | <b>\$859</b>    | <b>\$1,119</b>  | <b>\$1,437</b>  |
| <b>0310 Psychology Fund</b>                     |                 |                 |                 |
| APPROPRIATIONS                                  |                 |                 |                 |
| 001 Budget Act appropriation                    | \$4,428         | \$4,472         | \$4,586         |
| Allocation for employee compensation            | 7               | 25              | -               |
| Adjustment per Section 3.60                     | 26              | 8               | -               |
| Adjustment per Section 3.90                     | -70             | -               | -               |
| Adjustment per Section 15.25                    | -2              | -               | -               |
| Revised expenditure authority per Item 1110-401 | -               | 20              | -               |
| <b>Totals Available</b>                         | <b>\$4,389</b>  | <b>\$4,525</b>  | <b>\$4,586</b>  |
| Unexpended balance, estimated savings           | -1,211          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                     | <b>\$3,178</b>  | <b>\$4,525</b>  | <b>\$4,586</b>  |
| <b>0319 Respiratory Care Fund</b>               |                 |                 |                 |
| APPROPRIATIONS                                  |                 |                 |                 |
| 001 Budget Act appropriation                    | \$3,153         | \$3,203         | \$3,422         |
| Allocation for employee compensation            | 10              | 28              | -               |
| Adjustment per Section 3.60                     | 27              | 8               | -               |
| Adjustment per Section 3.90                     | -66             | -               | -               |
| Adjustment per Section 15.25                    | -1              | -               | -               |
| Revised expenditure authority per Item 1110-401 | -               | 10              | -               |
| <b>Totals Available</b>                         | <b>\$3,123</b>  | <b>\$3,249</b>  | <b>\$3,422</b>  |
| Unexpended balance, estimated savings           | -637            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                     | <b>\$2,486</b>  | <b>\$3,249</b>  | <b>\$3,422</b>  |
| <b>0326 Athletic Commission Fund</b>            |                 |                 |                 |
| APPROPRIATIONS                                  |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

| 1 STATE OPERATIONS  | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| 001 Budget Act appropriation  | \$1,939         | \$1,193         | \$1,401         |
| Allocation for employee compensation  | 6               | 5               | -               |
| Adjustment per Section 3.60   | 14              | 3               | -               |
| Adjustment per Section 3.90   | -36             | -               | -               |
| Revised expenditure authority per Item 1110-401                                     | -               | -44             | -               |
| <b>Totals Available</b>   | <b>\$1,923</b>  | <b>\$1,157</b>  | <b>\$1,401</b>  |
| Unexpended balance, estimated savings   | -621            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$1,302</b>  | <b>\$1,157</b>  | <b>\$1,401</b>  |
| <b>0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund</b> |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$1,886         | \$1,900         | \$1,936         |
| Allocation for employee compensation  | 6               | 19              | -               |
| Adjustment per Section 3.60   | 19              | 5               | -               |
| Adjustment per Section 3.90   | -47             | -               | -               |
| Adjustment per Section 15.25  | -1              | -               | -               |
| Revised expenditure authority per Item 1110-401                                     | -               | -39             | -               |
| <b>Totals Available</b>   | <b>\$1,863</b>  | <b>\$1,885</b>  | <b>\$1,936</b>  |
| Unexpended balance, estimated savings   | -220            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$1,643</b>  | <b>\$1,885</b>  | <b>\$1,936</b>  |
| <b>0399 Structural Pest Control Education and Enforcement Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$-             | \$393           | \$391           |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$-</b>      | <b>\$393</b>    | <b>\$391</b>    |
| <b>0410 Transcript Reimbursement Fund</b>   |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| Business and Professions Code Section 8030.2(d)                                     | \$210           | \$313           | \$315           |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$210</b>    | <b>\$313</b>    | <b>\$315</b>    |
| <b>0492 State Athletic Commission Neurological Examination Account</b>              |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$121           | \$124           | \$127           |
| Adjustment per Section 3.60   | 2               | -               | -               |
| Adjustment per Section 3.90   | -4              | -               | -               |
| <b>Totals Available</b>   | <b>\$119</b>    | <b>\$124</b>    | <b>\$127</b>    |
| Unexpended balance, estimated savings   | -80             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$39</b>     | <b>\$124</b>    | <b>\$127</b>    |
| <b>0704 Accountancy Fund, Professions and Vocations Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$11,288        | \$11,574        | \$13,413        |
| Allocation for employee compensation  | 43              | 108             | -               |
| Adjustment per Section 3.60   | 123             | 36              | -               |
| Adjustment per Section 3.90   | -314            | -               | -               |
| Adjustment per Section 15.25  | -2              | -               | -               |
| Revised expenditure authority per Item 1110-401                                     | -               | -160            | -               |
| <b>Totals Available</b>   | <b>\$11,138</b> | <b>\$11,558</b> | <b>\$13,413</b> |
| Unexpended balance, estimated savings   | -1,799          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$9,339</b>  | <b>\$11,558</b> | <b>\$13,413</b> |
| <b>0706 California Architects Board Fund</b>  |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$3,712         | \$3,833         | \$3,901         |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

| <b>1 STATE OPERATIONS</b>   | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|---|-----------------|-----------------|-----------------|
| Allocation for employee compensation  | 13              | 31              | -               |
| Adjustment per Section 3.60   | 36              | 11              | -               |
| Adjustment per Section 3.90   | -89             | -               | -               |
| Adjustment per Section 15.25  | -1              | -               | -               |
| Revised expenditure authority per Item 1110-401                                     | -               | -57             | -               |
| <b>Totals Available</b>   | <b>\$3,671</b>  | <b>\$3,818</b>  | <b>\$3,901</b>  |
| Unexpended balance, estimated savings   | -895            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$2,776</b>  | <b>\$3,818</b>  | <b>\$3,901</b>  |
| <b>0735 Contractors' License Fund</b>   |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$59,454        | \$61,346        | \$61,903        |
| Allocation for employee compensation  | 210             | 599             | -               |
| Adjustment per Section 3.60   | 490             | 157             | -               |
| Adjustment per Section 3.90   | -1,462          | -               | -               |
| Adjustment per Section 4.05   | -               | -54             | -               |
| Adjustment per Section 15.25  | -216            | -               | -               |
| Revised expenditure authority per Item 1110-401                                     | -               | -773            | -               |
| <b>Totals Available</b>   | <b>\$58,476</b> | <b>\$61,275</b> | <b>\$61,903</b> |
| Unexpended balance, estimated savings   | -4,849          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$53,627</b> | <b>\$61,275</b> | <b>\$61,903</b> |
| <b>0741 State Dentistry Fund</b>  |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$11,547        | \$12,403        | \$12,155        |
| Allocation for employee compensation  | 32              | 88              | -               |
| Adjustment per Section 3.60   | 104             | 36              | -               |
| Adjustment per Section 3.90   | -269            | -               | -               |
| Adjustment per Section 4.05   | -               | -4              | -               |
| Adjustment per Section 15.25  | -4              | -               | -               |
| Revised expenditure authority per Item 1110-401                                     | -               | -157            | -               |
| Prior year balances available:  |                 |                 |                 |
| Item 1110-001-0741, Budget Act of 2013  | -               | -               | 289             |
| <b>Totals Available</b>   | <b>\$11,410</b> | <b>\$12,366</b> | <b>\$12,444</b> |
| Unexpended balance, estimated savings   | -1,812          | -               | -               |
| Balance available in subsequent years   | -               | -289            | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$9,598</b>  | <b>\$12,077</b> | <b>\$12,444</b> |
| <b>0755 Licensed Midwifery Fund</b>   |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$-             | \$-             | \$13            |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$-</b>      | <b>\$-</b>      | <b>\$13</b>     |
| <b>0757 California Board of Architectural Examiners - Landscape Architects Fund</b> |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$1,134         | \$1,169         | \$1,174         |
| Allocation for employee compensation  | 4               | 5               | -               |
| Adjustment per Section 3.60   | 7               | 2               | -               |
| Adjustment per Section 3.90   | -19             | -               | -               |
| Revised expenditure authority per Item 1110-401                                     | -               | -16             | -               |
| <b>Totals Available</b>   | <b>\$1,126</b>  | <b>\$1,160</b>  | <b>\$1,174</b>  |
| Unexpended balance, estimated savings   | -449            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$677</b>    | <b>\$1,160</b>  | <b>\$1,174</b>  |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

| 1 STATE OPERATIONS   | 2012-13*        | 2013-14*        | 2014-15*        |
|--|-----------------|-----------------|-----------------|
| <b>0758 Contingent Fund of the Medical Board of California</b>               |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$56,477        | \$58,494        | \$58,474        |
| Allocation for employee compensation   | 137             | 354             | -               |
| Adjustment per Section 3.60  | 468             | 154             | -               |
| Adjustment per Section 3.90  | -1,151          | -               | -               |
| Adjustment per Section 4.05  | -               | -14             | -               |
| Adjustment per Section 15.25   | -9              | -               | -               |
| Revised expenditure authority per Item 1110-401                              | -               | 26              | -               |
| Prior year balances available:   |                 |                 |                 |
| Item 1110-001-0758, Budget Act of 2013                                       | -               | -               | <u>819</u>      |
| <b>Totals Available</b>  | <b>\$55,922</b> | <b>\$59,014</b> | <b>\$59,293</b> |
| Unexpended balance, estimated savings  | -5,263          | -               | -               |
| Balance available in subsequent years  | -               | <u>-819</u>     | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$50,659</b> | <b>\$58,195</b> | <b>\$59,293</b> |
| <b>0759 Physical Therapy Fund</b>  |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$3,222         | \$3,160         | \$3,805         |
| Allocation for employee compensation   | 13              | 18              | -               |
| Adjustment per Section 3.60  | 31              | 10              | -               |
| Adjustment per Section 3.90  | -78             | -               | -               |
| Adjustment per Section 15.25   | -1              | -               | -               |
| Revised expenditure authority per Item 1110-401                              | -               | -80             | -               |
| Revised expenditure authority per Item 1110-402, Budget Act of 2012          | <u>170</u>      | <u>-</u>        | <u>-</u>        |
| <b>Totals Available</b>  | <b>\$3,357</b>  | <b>\$3,108</b>  | <b>\$3,805</b>  |
| Unexpended balance, estimated savings  | <u>-142</u>     | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$3,215</b>  | <b>\$3,108</b>  | <b>\$3,805</b>  |
| <b>0761 Board of Registered Nursing Fund, Professions and Vocations Fund</b> |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$29,441        | \$29,673        | \$36,765        |
| Allocation for employee compensation   | 88              | 276             | -               |
| Adjustment per Section 3.60  | 286             | 88              | -               |
| Adjustment per Section 3.90  | -721            | -               | -               |
| Adjustment per Section 4.05  | -               | -4              | -               |
| Adjustment per Section 15.25   | -9              | -               | -               |
| Revised expenditure authority per Item 1110-402, Budget Act of 2012          | 2,636           | -               | -               |
| Revised expenditure authority per Item 1110-401                              | -               | 935             | -               |
| Prior year balances available:   |                 |                 |                 |
| Item 1110-001-0761, Budget Act of 2013                                       | -               | -               | 83              |
| Chapter 4, Statutes of 2012  | <u>1</u>        | <u>1</u>        | <u>1</u>        |
| <b>Totals Available</b>  | <b>\$31,722</b> | <b>\$30,969</b> | <b>\$36,849</b> |
| Unexpended balance, estimated savings  | -1,345          | -               | -               |
| Balance available in subsequent years  | -               | <u>-84</u>      | <u>-1</u>       |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$30,377</b> | <b>\$30,885</b> | <b>\$36,848</b> |
| <b>0763 State Optometry Fund, Professions and Vocations Fund</b>             |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$1,714         | \$1,917         | \$1,804         |
| Allocation for employee compensation   | 6               | 9               | -               |
| Adjustment per Section 3.60  | 17              | 6               | -               |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

| <b>1 STATE OPERATIONS</b>  | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|--|-----------------|-----------------|-----------------|
| Adjustment per Section 3.90  | -43             | -               | -               |
| Adjustment per Section 15.25   | -1              | -               | -               |
| Revised expenditure authority per Item 1110-401  | -               | -37             | -               |
| Prior year balances available:   |                 |                 |                 |
| Item 1110-001-0763, Budget Act of 2013   | -               | -               | <u>38</u>       |
| <b>Totals Available</b>  | <b>\$1,693</b>  | <b>\$1,895</b>  | <b>\$1,842</b>  |
| Unexpended balance, estimated savings  | -261            | -               | -               |
| Balance available in subsequent years  | -               | <u>-38</u>      | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,432</b>  | <b>\$1,857</b>  | <b>\$1,842</b>  |
| <b>0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund</b>               |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$15,038        | \$16,264        | \$19,163        |
| Allocation for employee compensation   | 49              | 78              | -               |
| Adjustment per Section 3.60  | 177             | 54              | -               |
| Adjustment per Section 3.90  | -452            | -               | -               |
| Adjustment per Section 4.05  | -               | -7              | -               |
| Adjustment per Section 15.25   | -6              | -               | -               |
| Revised expenditure authority per Item 1110-401  | -               | -226            | -               |
| Prior year balances available:   |                 |                 |                 |
| Item 1110-001-0767, Budget Act of 2013   | -               | -               | <u>212</u>      |
| <b>Totals Available</b>  | <b>\$14,806</b> | <b>\$16,163</b> | <b>\$19,375</b> |
| Unexpended balance, estimated savings  | -955            | -               | -               |
| Balance available in subsequent years  | -               | <u>-212</u>     | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$13,851</b> | <b>\$15,951</b> | <b>\$19,375</b> |
| <b>0770 Professional Engineer's and Land Surveyor's Fund</b>                             |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$9,320         | \$9,868         | \$9,640         |
| Allocation for employee compensation   | 22              | 55              | -               |
| Adjustment per Section 3.60  | 71              | 22              | -               |
| Adjustment per Section 3.90  | -181            | -               | -               |
| Adjustment per Section 15.25   | -2              | -               | -               |
| Revised expenditure authority per Item 1110-401  | -               | -206            | -               |
| <b>Totals Available</b>  | <b>\$9,230</b>  | <b>\$9,739</b>  | <b>\$9,640</b>  |
| Unexpended balance, estimated savings  | -2,411          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$6,819</b>  | <b>\$9,739</b>  | <b>\$9,640</b>  |
| <b>0771 Court Reporters Fund</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$774           | \$890           | \$888           |
| Allocation for employee compensation   | 3               | 11              | -               |
| Adjustment per Section 3.60  | 8               | 3               | -               |
| Adjustment per Section 3.90  | -20             | -               | -               |
| Revised expenditure authority per Item 1110-401  | -               | -17             | -               |
| Business and Professions Code Section 8030.2(a) (Transfer Transcript Reimbursement Fund) | <u>(250)</u>    | <u>(300)</u>    | <u>(300)</u>    |
| <b>Totals Available</b>  | <b>\$765</b>    | <b>\$887</b>    | <b>\$888</b>    |
| Unexpended balance, estimated savings  | -52             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$713</b>    | <b>\$887</b>    | <b>\$888</b>    |
| <b>0773 Behavioral Science Examiners Fund, Professions and Vocations Fund</b>            |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$8,103         | \$8,013         | \$9,089         |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

| 1 STATE OPERATIONS   | 2012-13*        | 2013-14*       | 2014-15*       |
|--|-----------------|----------------|----------------|
| Allocation for employee compensation   | 30              | 64             | -              |
| Adjustment per Section 3.60  | 68              | 19             | -              |
| Adjustment per Section 3.90  | -171            | -              | -              |
| Adjustment per Section 15.25   | -3              | -              | -              |
| Revised expenditure authority per Item 1110-401  | -               | 95             | -              |
| <b>Totals Available</b>  | <b>\$8,027</b>  | <b>\$8,191</b> | <b>\$9,089</b> |
| Unexpended balance, estimated savings  | -634            | -              | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$7,393</b>  | <b>\$8,191</b> | <b>\$9,089</b> |
| <b>0775 Structural Pest Control Fund</b>   |                 |                |                |
| APPROPRIATIONS   |                 |                |                |
| 001 Budget Act appropriation   | \$-             | \$4,502        | \$4,444        |
| Allocation for employee compensation   | -               | 33             | -              |
| Adjustment per Section 3.60  | -               | 11             | -              |
| Revised expenditure authority per Item 1110-401  | -               | -72            | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>      | <b>\$4,474</b> | <b>\$4,444</b> |
| <b>0777 Veterinary Medical Board Contingent Fund</b>   |                 |                |                |
| APPROPRIATIONS   |                 |                |                |
| 001 Budget Act appropriation   | \$2,822         | \$3,171        | \$4,369        |
| Allocation for employee compensation   | 7               | 19             | -              |
| Adjustment per Section 3.60  | 23              | 8              | -              |
| Adjustment per Section 3.90  | -58             | -              | -              |
| Adjustment per Section 15.25   | -1              | -              | -              |
| Revised expenditure authority per Item 1110-401  | -               | -58            | -              |
| Prior year balances available:   |                 |                |                |
| Item 1110-001-0777, Budget Act of 2013   | -               | -              | 112            |
| <b>Totals Available</b>  | <b>\$2,793</b>  | <b>\$3,140</b> | <b>\$4,481</b> |
| Unexpended balance, estimated savings  | -179            | -              | -              |
| Balance available in subsequent years  | -               | -112           | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$2,614</b>  | <b>\$3,028</b> | <b>\$4,481</b> |
| <b>0779 Vocational Nursing &amp; Psychiatric Technicians Fund</b>                                |                 |                |                |
| APPROPRIATIONS   |                 |                |                |
| 001 Budget Act appropriation   | \$10,266        | \$10,009       | \$9,023        |
| Allocation for employee compensation   | 39              | 60             | -              |
| Adjustment per Section 3.60  | 105             | 30             | -              |
| Adjustment per Section 3.90  | -271            | -              | -              |
| Adjustment per Section 15.25   | -4              | -              | -              |
| Revised expenditure authority per Item 1110-401  | -               | -261           | -              |
| <b>Totals Available</b>  | <b>\$10,135</b> | <b>\$9,838</b> | <b>\$9,023</b> |
| Unexpended balance, estimated savings  | -762            | -              | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$9,373</b>  | <b>\$9,838</b> | <b>\$9,023</b> |
| <b>0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund</b> |                 |                |                |
| APPROPRIATIONS   |                 |                |                |
| 001 Budget Act appropriation   | \$2,168         | \$2,101        | \$2,080        |
| Allocation for employee compensation   | 6               | 12             | -              |
| Adjustment per Section 3.60  | 16              | 5              | -              |
| Adjustment per Section 3.90  | -43             | -              | -              |
| Revised expenditure authority per Item 1110-401  | -               | -31            | -              |
| <b>Totals Available</b>  | <b>\$2,147</b>  | <b>\$2,087</b> | <b>\$2,080</b> |

\* Dollars in thousands, except in Salary Range.



**1110 Department of Consumer Affairs Regulatory Boards - Continued**

| <b>1 STATE OPERATIONS</b>                                      | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|--|-----------------|-----------------|-----------------|
| Unexpended balance, estimated savings                          | -156            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                    | <b>\$1,991</b>  | <b>\$2,087</b>  | <b>\$2,080</b>  |
| <b>0995 Reimbursements</b>                                     |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| Reimbursements   | \$7,653         | \$3,584         | \$3,584         |
| <b>3017 Occupational Therapy Fund</b>                          |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                   | \$1,367         | \$1,422         | \$1,294         |
| Allocation for employee compensation                           | 4               | 14              | -               |
| Adjustment per Section 3.60                                    | 14              | 5               | -               |
| Adjustment per Section 3.90                                    | -35             | -               | -               |
| Revised expenditure authority per Item 1110-401                | -               | -24             | -               |
| <b>Totals Available</b>  | <b>\$1,350</b>  | <b>\$1,417</b>  | <b>\$1,294</b>  |
| Unexpended balance, estimated savings                          | -110            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                    | <b>\$1,240</b>  | <b>\$1,417</b>  | <b>\$1,294</b>  |
| <b>3039 Dentally Underserved Account, State Dentistry Fund</b> |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| Business and Professions Code Section 1973(d)(e)               | \$-             | \$131           | \$133           |
| <b>TOTALS, EXPENDITURES</b>                                    | <b>\$-</b>      | <b>\$131</b>    | <b>\$133</b>    |
| <b>3069 Naturopathic Doctor's Fund</b>                         |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                   | \$174           | \$173           | \$305           |
| Allocation for employee compensation                           | -               | 3               | -               |
| Adjustment per Section 3.60                                    | 2               | 1               | -               |
| Adjustment per Section 3.90                                    | -5              | -               | -               |
| Prior year balances available:                                 |                 |                 |                 |
| Item 1110-001-3069, Budget Act of 2013                         | -               | -               | 4               |
| <b>Totals Available</b>  | <b>\$171</b>    | <b>\$177</b>    | <b>\$309</b>    |
| Unexpended balance, estimated savings                          | -31             | -               | -               |
| Balance available in subsequent years                          | -               | -4              | -               |
| <b>TOTALS, EXPENDITURES</b>                                    | <b>\$140</b>    | <b>\$173</b>    | <b>\$309</b>    |
| <b>3140 State Dental Hygiene Fund</b>                          |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                   | \$1,155         | \$1,523         | \$1,582         |
| Allocation for employee compensation                           | 3               | 13              | -               |
| Adjustment per Section 3.60                                    | 12              | 4               | -               |
| Adjustment per Section 3.90                                    | -31             | -               | -               |
| Revised expenditure authority per Item 1110-401                | -               | -33             | -               |
| Chapter 307, Statutes of 2009                                  | 264             | -               | -               |
| <b>Totals Available</b>  | <b>\$1,403</b>  | <b>\$1,507</b>  | <b>\$1,582</b>  |
| Unexpended balance, estimated savings                          | -171            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                    | <b>\$1,232</b>  | <b>\$1,507</b>  | <b>\$1,582</b>  |
| <b>3142 State Dental Assistant Fund</b>                        |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation                                   | \$1,744         | \$1,851         | \$1,885         |
| Allocation for employee compensation                           | 7               | 8               | -               |
| Adjustment per Section 3.60                                    | 12              | 4               | -               |
| Adjustment per Section 3.90                                    | -32             | -               | -               |
| Adjustment per Section 15.25                                   | -1              | -               | -               |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

| 1 STATE OPERATIONS  | 2012-13*         | 2013-14*         | 2014-15*         |
|---|------------------|------------------|------------------|
| Revised expenditure authority per Item 1110-401                     | -                | -50              | -                |
| Revised expenditure authority per Item 1110-402, Budget Act of 2012 | <u>105</u>       | <u>-</u>         | <u>-</u>         |
| <b>Totals Available</b>   | <b>\$1,835</b>   | <b>\$1,813</b>   | <b>\$1,885</b>   |
| Unexpended balance, estimated savings                               | <u>-377</u>      | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$1,458</b>   | <b>\$1,813</b>   | <b>\$1,885</b>   |
| <b>9250 Boxers' Pension Fund</b>                                    |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| 002 Budget Act appropriation  | \$104            | \$105            | \$109            |
| Adjustment per Section 3.60   | 1                | -                | -                |
| Adjustment per Section 3.90   | <u>-2</u>        | <u>-</u>         | <u>-</u>         |
| <b>Totals Available</b>   | <b>\$103</b>     | <b>\$105</b>     | <b>\$109</b>     |
| Unexpended balance, estimated savings                               | <u>-25</u>       | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$78</b>      | <b>\$105</b>     | <b>\$109</b>     |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>           | <b>\$250,708</b> | <b>\$292,792</b> | <b>\$309,618</b> |

### FUND CONDITION STATEMENTS

|  | 2012-13*        | 2013-14*        | 2014-15*        |
|--|-----------------|-----------------|-----------------|
| <b>0024 State Board of Guide Dogs for the Blind Fund <sup>s</sup></b>    |                 |                 |                 |
| BEGINNING BALANCE  | \$164           | \$123           | \$72            |
| Prior year adjustments   | <u>-1</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$163           | \$123           | \$72            |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125700 Other Regulatory Licenses and Permits                             | 1               | 1               | 1               |
| 125800 Renewal Fees  | <u>148</u>      | <u>148</u>      | <u>148</u>      |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$149</u>    | <u>\$149</u>    | <u>\$149</u>    |
| Total Resources  | \$312           | \$272           | \$221           |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 188             | 199             | 203             |
| 8880 Financial Information System for California (State Operations)      | <u>1</u>        | <u>1</u>        | <u>1</u>        |
| Total Expenditures and Expenditure Adjustments                           | <u>\$189</u>    | <u>\$200</u>    | <u>\$204</u>    |
| FUND BALANCE   | \$123           | \$72            | \$17            |
| Reserve for economic uncertainties                                       | 123             | 72              | 17              |
| <b>0069 Barbering and Cosmetology Contingent Fund <sup>s</sup></b>       |                 |                 |                 |
| BEGINNING BALANCE  | \$9,993         | \$13,833        | \$15,303        |
| Prior year adjustments   | <u>531</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$10,524        | \$13,833        | \$15,303        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 5,394           | 6,455           | 6,649           |
| 125700 Other Regulatory Licenses and Permits                             | 4,941           | 4,291           | 4,402           |
| 125800 Renewal Fees  | 10,947          | 11,244          | 11,580          |
| 125900 Delinquent Fees   | 759             | 781             | 800             |
| 150300 Income From Surplus Money Investments                             | 33              | 48              | 57              |
| 161000 Escheat of Unclaimed Checks & Warrants                            | 9               | 21              | 21              |
| 161400 Miscellaneous Revenue   | <u>17</u>       | <u>18</u>       | <u>18</u>       |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$22,100</u> | <u>\$22,858</u> | <u>\$23,527</u> |
| Total Resources  | \$32,624        | \$36,691        | \$38,830        |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)                                  | 12              | 1               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)  | 18,680          | 21,295          | 20,968          |
| 8880 Financial Information System for California (State Operations)       | <u>99</u>       | <u>92</u>       | <u>17</u>       |
| Total Expenditures and Expenditure Adjustments                            | <u>\$18,791</u> | <u>\$21,388</u> | <u>\$20,985</u> |
| FUND BALANCE  | \$13,833        | \$15,303        | \$17,845        |
| Reserve for economic uncertainties  | 13,833          | 15,303          | 17,845          |
| <b>0093 Construction Management Education Account (CMEA) <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE   | \$259           | \$165           | \$134           |
| Prior year adjustments  | <u>-7</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$252           | \$165           | \$134           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125700 Other Regulatory Licenses and Permits                              | 47              | 54              | 54              |
| 150300 Income From Surplus Money Investments                              | <u>1</u>        | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments                          | <u>\$48</u>     | <u>\$55</u>     | <u>\$55</u>     |
| Total Resources   | \$300           | \$220           | \$189           |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)  | 134             | 85              | 174             |
| 8880 Financial Information System for California (State Operations)       | <u>1</u>        | <u>1</u>        | <u>-</u>        |
| Total Expenditures and Expenditure Adjustments                            | <u>\$135</u>    | <u>\$86</u>     | <u>\$174</u>    |
| FUND BALANCE  | \$165           | \$134           | \$15            |
| Reserve for economic uncertainties  | 165             | 134             | 15              |
| <b>0108 Acupuncture Fund <sup>s</sup></b>                                 |                 |                 |                 |
| BEGINNING BALANCE   | \$1,367         | \$2,088         | \$1,925         |
| Prior year adjustments  | <u>37</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$1,404         | \$2,088         | \$1,925         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 46              | 42              | 45              |
| 125700 Other Regulatory Licenses and Permits                              | 847             | 817             | 980             |
| 125800 Renewal Fees   | 1,721           | 1,723           | 1,867           |
| 125900 Delinquent Fees  | 13              | 12              | 12              |
| 142500 Miscellaneous Services to the Public                               | 1               | 1               | 1               |
| 150300 Income From Surplus Money Investments                              | 5               | 6               | 6               |
| 161000 Escheat of Unclaimed Checks & Warrants                             | 2               | 2               | 2               |
| 161400 Miscellaneous Revenue  | <u>1</u>        | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments                          | <u>\$2,636</u>  | <u>\$2,604</u>  | <u>\$2,914</u>  |
| Total Resources   | \$4,040         | \$4,692         | \$4,839         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)                                  | 2               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)  | 1,936           | 2,754           | 3,256           |
| 8880 Financial Information System for California (State Operations)       | <u>14</u>       | <u>13</u>       | <u>2</u>        |
| Total Expenditures and Expenditure Adjustments                            | <u>\$1,952</u>  | <u>\$2,767</u>  | <u>\$3,258</u>  |
| FUND BALANCE  | \$2,088         | \$1,925         | \$1,581         |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| Reserve for economic uncertainties  | 2,088          | 1,925          | 1,581          |
| <b>0152 State Board of Chiropractic Examiners Fund <sup>s</sup></b>                                     |                |                |                |
| BEGINNING BALANCE   | \$2,133        | \$2,294        | \$1,957        |
| Prior year adjustments  | <u>-260</u>    | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$1,873        | \$2,294        | \$1,957        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 163            | 158            | 158            |
| 125800 Renewal Fees   | 3,390          | 3,201          | 3,200          |
| 125900 Delinquent Fees  | 55             | 51             | 51             |
| 150300 Income From Surplus Money Investments  | 4              | 5              | 3              |
| 161400 Miscellaneous Revenue  | 10             | 10             | 10             |
| 161900 Other Revenue - Cost Recoveries  | 1              | 9              | 9              |
| 164600 Fines and Forfeitures  | 33             | 25             | 25             |
| Transfers and Other Adjustments:  |                |                |                |
| FO0421 From Vehicle Inspection and Repair Fund per Item 1111-011-0421, Budget Act of 2014               | -              | -              | 3,000          |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$3,656</u> | <u>\$3,459</u> | <u>\$6,456</u> |
| Total Resources   | \$5,529        | \$5,753        | \$8,413        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                |                |                |
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)  | 2              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                                | -              | 3,779          | 3,769          |
| 8500 Board of Chiropractic Examiners (State Operations)   | 3,215          | -              | -              |
| 8880 Financial Information System for California (State Operations)                                     | 18             | 17             | 3              |
| 9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations) | -              | -              | 3,000          |
| Total Expenditures and Expenditure Adjustments  | <u>\$3,235</u> | <u>\$3,796</u> | <u>\$6,772</u> |
| FUND BALANCE  | \$2,294        | \$1,957        | \$1,641        |
| Reserve for economic uncertainties  | 2,294          | 1,957          | 1,641          |
| <b>0168 Structural Pest Control Research Fund <sup>s</sup></b>  |                |                |                |
| BEGINNING BALANCE   | \$183          | \$313          | \$430          |
| Prior year adjustments  | <u>-2</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$181          | \$313          | \$430          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 134            | 120            | 120            |
| 150300 Income From Surplus Money Investments  | <u>1</u>       | <u>-</u>       | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$135</u>   | <u>\$120</u>   | <u>\$120</u>   |
| Total Resources   | \$316          | \$433          | \$550          |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                |                |                |
| Expenditures:   |                |                |                |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                                | -              | 3              | 3              |
| 3930 Department of Pesticide Regulation (State Operations)  | <u>3</u>       | <u>-</u>       | <u>-</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$3</u>     | <u>\$3</u>     | <u>\$3</u>     |
| FUND BALANCE  | \$313          | \$430          | \$547          |
| Reserve for economic uncertainties  | 313            | 430            | 547            |

**0175 Dispensing Opticians Fund <sup>s</sup>**

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*     | 2013-14*     | 2014-15*     |
|--|--------------|--------------|--------------|
| BEGINNING BALANCE  | \$345        | \$308        | \$164        |
| Prior year adjustments   | <u>-1</u>    | <u>-</u>     | <u>-</u>     |
| Adjusted Beginning Balance   | \$344        | \$308        | \$164        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |              |              |              |
| Revenues:  |              |              |              |
| 125600 Other Regulatory Fees   | 1            | -            | -            |
| 125700 Other Regulatory Licenses and Permits                             | 28           | 28           | 28           |
| 125800 Renewal Fees  | 141          | 147          | 147          |
| 125900 Delinquent Fees   | 5            | 5            | 5            |
| 150300 Income From Surplus Money Investments                             | <u>1</u>     | <u>1</u>     | <u>1</u>     |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$176</u> | <u>\$181</u> | <u>\$181</u> |
| Total Resources  | \$520        | \$489        | \$345        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |              |              |              |
| Expenditures:  |              |              |              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 210          | 323          | 330          |
| 8880 Financial Information System for California (State Operations)      | <u>2</u>     | <u>2</u>     | <u>-</u>     |
| Total Expenditures and Expenditure Adjustments                           | <u>\$212</u> | <u>\$325</u> | <u>\$330</u> |
| FUND BALANCE   | \$308        | \$164        | \$15         |
| Reserve for economic uncertainties                                       | 308          | 164          | 15           |

**0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's****Fund<sup>s</sup>**

|  |                |                |                |
|--|----------------|----------------|----------------|
| BEGINNING BALANCE  | \$1,041        | \$1,066        | \$679          |
| Prior year adjustments   | <u>19</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$1,060        | \$1,066        | \$679          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |                |                |                |
| Revenues:  |                |                |                |
| 125600 Other Regulatory Fees   | 1              | 2              | 2              |
| 125700 Other Regulatory Licenses and Permits                             | 221            | 226            | 226            |
| 125800 Renewal Fees  | 815            | 752            | 815            |
| 125900 Delinquent Fees   | 14             | 14             | 15             |
| 150300 Income From Surplus Money Investments                             | <u>4</u>       | <u>4</u>       | <u>4</u>       |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$1,055</u> | <u>\$998</u>   | <u>\$1,062</u> |
| Total Resources  | \$2,115        | \$2,064        | \$1,741        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |                |                |                |
| Expenditures:  |                |                |                |
| 0840 State Controller (State Operations)                                 | 1              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 1,042          | 1,379          | 1,394          |
| 8880 Financial Information System for California (State Operations)      | <u>6</u>       | <u>6</u>       | <u>1</u>       |
| Total Expenditures and Expenditure Adjustments                           | <u>\$1,049</u> | <u>\$1,385</u> | <u>\$1,395</u> |
| FUND BALANCE   | \$1,066        | \$679          | \$346          |
| Reserve for economic uncertainties                                       | 1,066          | 679            | 346            |

**0210 Outpatient Setting Fund of the Medical Board of California<sup>s</sup>**

|  |           |          |          |
|--|-----------|----------|----------|
| BEGINNING BALANCE                          | \$259     | \$326    | \$300    |
| Prior year adjustments                     | <u>-2</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance                 | \$257     | \$326    | \$300    |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS |           |          |          |
| Revenues:                                  |           |          |          |
| 125800 Renewal Fees                        | 69        | -        | -        |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| 150300 Income From Surplus Money Investments                                    | <u>1</u>       | <u>1</u>       | <u>1</u>       |
| Total Revenues, Transfers, and Other Adjustments                                | <u>\$70</u>    | <u>\$1</u>     | <u>\$1</u>     |
| Total Resources   | \$327          | \$327          | \$301          |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                 |                |                |                |
| Expenditures:   |                |                |                |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)        | <u>1</u>       | <u>27</u>      | <u>27</u>      |
| Total Expenditures and Expenditure Adjustments                                  | <u>\$1</u>     | <u>\$27</u>    | <u>\$27</u>    |
| FUND BALANCE  | \$326          | \$300          | \$274          |
| Reserve for economic uncertainties  | 326            | 300            | 274            |
| <b>0264 Osteopathic Medical Board of California Contingent Fund<sup>s</sup></b> |                |                |                |
| BEGINNING BALANCE   | \$2,893        | \$3,076        | \$2,806        |
| Prior year adjustments  | <u>-4</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$2,889        | \$3,076        | \$2,806        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                               |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 26             | 32             | 32             |
| 125700 Other Regulatory Licenses and Permits                                    | 229            | 243            | 272            |
| 125800 Renewal Fees   | 1,297          | 1,303          | 1,311          |
| 125900 Delinquent Fees  | 8              | 8              | 8              |
| 150300 Income From Surplus Money Investments                                    | <u>9</u>       | <u>10</u>      | <u>16</u>      |
| Total Revenues, Transfers, and Other Adjustments                                | <u>\$1,569</u> | <u>\$1,596</u> | <u>\$1,639</u> |
| Total Resources   | \$4,458        | \$4,672        | \$4,445        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                 |                |                |                |
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)  | 1              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)        | 1,373          | 1,858          | 1,842          |
| 8880 Financial Information System for California (State Operations)             | <u>8</u>       | <u>8</u>       | <u>2</u>       |
| Total Expenditures and Expenditure Adjustments                                  | <u>\$1,382</u> | <u>\$1,866</u> | <u>\$1,844</u> |
| FUND BALANCE  | \$3,076        | \$2,806        | \$2,601        |
| Reserve for economic uncertainties  | 3,076          | 2,806          | 2,601          |
| <b>0280 Physician Assistant Fund<sup>s</sup></b>                                |                |                |                |
| BEGINNING BALANCE   | \$973          | \$1,240        | \$1,258        |
| Prior year adjustments  | <u>24</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$997          | \$1,240        | \$1,258        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                               |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 13             | 11             | 12             |
| 125700 Other Regulatory Licenses and Permits                                    | 151            | 159            | 160            |
| 125800 Renewal Fees   | 1,250          | 1,308          | 1,365          |
| 125900 Delinquent Fees  | 3              | 3              | 3              |
| 150300 Income From Surplus Money Investments                                    | 4              | 4              | 4              |
| 161000 Escheat of Unclaimed Checks & Warrants                                   | <u>2</u>       | <u>-</u>       | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments                                | <u>\$1,423</u> | <u>\$1,485</u> | <u>\$1,544</u> |
| Total Resources   | \$2,420        | \$2,725        | \$2,802        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                 |                |                |                |
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)  | 1              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)        | 1,172          | 1,467          | 1,485          |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*       | 2013-14*       | 2014-15*       |
|--|----------------|----------------|----------------|
| 8880 Financial Information System for California (State Operations)      | <u>7</u>       | <u>-</u>       | <u>1</u>       |
| Total Expenditures and Expenditure Adjustments                           | <u>\$1,180</u> | <u>\$1,467</u> | <u>\$1,486</u> |
| FUND BALANCE   | \$1,240        | \$1,258        | \$1,316        |
| Reserve for economic uncertainties                                       | 1,240          | 1,258          | 1,316          |
| <b>0295 Board of Podiatric Medicine Fund <sup>s</sup></b>                |                |                |                |
| BEGINNING BALANCE  | \$859          | \$893          | \$664          |
| Prior year adjustments   | <u>4</u>       | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$863          | \$893          | \$664          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |                |                |                |
| Revenues:  |                |                |                |
| 125600 Other Regulatory Fees   | 5              | 5              | 5              |
| 125700 Other Regulatory Licenses and Permits                             | 61             | 57             | 57             |
| 125800 Renewal Fees  | 819            | 829            | 829            |
| 125900 Delinquent Fees   | 5              | 3              | 3              |
| 150300 Income From Surplus Money Investments                             | 3              | 1              | -              |
| 161000 Escheat of Unclaimed Checks & Warrants                            | <u>2</u>       | <u>1</u>       | <u>1</u>       |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$895</u>   | <u>\$896</u>   | <u>\$895</u>   |
| Total Resources  | \$1,758        | \$1,789        | \$1,559        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |                |                |                |
| Expenditures:  |                |                |                |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 859            | 1,119          | 1,437          |
| 8880 Financial Information System for California (State Operations)      | <u>6</u>       | <u>6</u>       | <u>1</u>       |
| Total Expenditures and Expenditure Adjustments                           | <u>\$865</u>   | <u>\$1,125</u> | <u>\$1,438</u> |
| FUND BALANCE   | \$893          | \$664          | \$121          |
| Reserve for economic uncertainties                                       | 893            | 664            | 121            |
| <b>0310 Psychology Fund <sup>s</sup></b>                                 |                |                |                |
| BEGINNING BALANCE  | \$4,620        | \$5,082        | \$4,315        |
| Prior year adjustments   | <u>-4</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$4,616        | \$5,082        | \$4,315        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                               |                |                |                |
| Revenues:  |                |                |                |
| 125600 Other Regulatory Fees   | 11             | 62             | 91             |
| 125700 Other Regulatory Licenses and Permits                             | 515            | 516            | 537            |
| 125800 Renewal Fees  | 3,111          | 3,171          | 3,231          |
| 125900 Delinquent Fees   | 13             | 13             | 13             |
| 141200 Sales of Documents  | 1              | 1              | 1              |
| 150300 Income From Surplus Money Investments                             | 16             | 13             | 11             |
| 161000 Escheat of Unclaimed Checks & Warrants                            | 1              | 1              | 1              |
| 161400 Miscellaneous Revenue   | <u>1</u>       | <u>1</u>       | <u>1</u>       |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$3,669</u> | <u>\$3,778</u> | <u>\$3,886</u> |
| Total Resources  | \$8,285        | \$8,860        | \$8,201        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                 |                |                |                |
| Expenditures:  |                |                |                |
| 0840 State Controller (State Operations)                                 | 3              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 3,178          | 4,525          | 4,586          |
| 8880 Financial Information System for California (State Operations)      | <u>22</u>      | <u>20</u>      | <u>4</u>       |
| Total Expenditures and Expenditure Adjustments                           | <u>\$3,203</u> | <u>\$4,545</u> | <u>\$4,590</u> |
| FUND BALANCE   | \$5,082        | \$4,315        | \$3,611        |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*       | 2013-14*       | 2014-15*       |
|--|----------------|----------------|----------------|
| Reserve for economic uncertainties   | 5,082          | 4,315          | 3,611          |
| <b>0319 Respiratory Care Fund <sup>s</sup></b>   |                |                |                |
| BEGINNING BALANCE  | \$2,401        | \$2,596        | \$2,145        |
| Prior year adjustments   | <u>11</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$2,412        | \$2,596        | \$2,145        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                |                |                |
| Revenues:  |                |                |                |
| 125600 Other Regulatory Fees   | 59             | 61             | 70             |
| 125700 Other Regulatory Licenses and Permits   | 496            | 510            | 540            |
| 125800 Renewal Fees  | 2,079          | 2,185          | 2,300          |
| 125900 Delinquent Fees   | 45             | 48             | 50             |
| 150300 Income From Surplus Money Investments   | 8              | 7              | 6              |
| 161400 Miscellaneous Revenue   | <u>1</u>       | <u>1</u>       | <u>1</u>       |
| Total Revenues, Transfers, and Other Adjustments   | <u>\$2,688</u> | <u>\$2,812</u> | <u>\$2,967</u> |
| Total Resources  | \$5,100        | \$5,408        | \$5,112        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS   |                |                |                |
| Expenditures:  |                |                |                |
| 0840 State Controller (State Operations)   | 2              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                         | 2,486          | 3,249          | 3,422          |
| 8880 Financial Information System for California (State Operations)                              | <u>16</u>      | <u>14</u>      | <u>3</u>       |
| Total Expenditures and Expenditure Adjustments   | <u>\$2,504</u> | <u>\$3,263</u> | <u>\$3,425</u> |
| FUND BALANCE   | \$2,596        | \$2,145        | \$1,687        |
| Reserve for economic uncertainties   | 2,596          | 2,145          | 1,687          |
| <b>0326 Athletic Commission Fund <sup>s</sup></b>  |                |                |                |
| BEGINNING BALANCE  | \$23           | \$317          | \$903          |
| Prior year adjustments   | <u>16</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$39           | \$317          | \$903          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                |                |                |
| Revenues:  |                |                |                |
| 125600 Other Regulatory Fees   | 1,341          | 1,432          | 1,524          |
| 125700 Other Regulatory Licenses and Permits   | 232            | 293            | 345            |
| 125800 Renewal Fees  | 15             | 15             | 15             |
| 150300 Income From Surplus Money Investments   | <u>1</u>       | <u>3</u>       | <u>5</u>       |
| Total Revenues, Transfers, and Other Adjustments   | <u>\$1,589</u> | <u>\$1,743</u> | <u>\$1,889</u> |
| Total Resources  | \$1,628        | \$2,060        | \$2,792        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS   |                |                |                |
| Expenditures:  |                |                |                |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                         | 1,302          | 1,157          | 1,401          |
| 8880 Financial Information System for California (State Operations)                              | <u>9</u>       | <u>-</u>       | <u>1</u>       |
| Total Expenditures and Expenditure Adjustments   | <u>\$1,311</u> | <u>\$1,157</u> | <u>\$1,402</u> |
| FUND BALANCE   | \$317          | \$903          | \$1,390        |
| Reserve for economic uncertainties   | 317            | 903            | 1,390          |
| <b>0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund <sup>s</sup></b> |                |                |                |
| BEGINNING BALANCE  | \$858          | \$780          | \$765          |
| Prior year adjustments   | <u>2</u>       | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$860          | \$780          | \$765          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                |                |                |
| Revenues:  |                |                |                |

\* Dollars in thousands, except in Salary Range.



**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*       | 2013-14*       | 2014-15*       |
|--|----------------|----------------|----------------|
| 125600 Other Regulatory Fees   | 31             | 30             | 30             |
| 125700 Other Regulatory Licenses and Permits                                       | 297            | 294            | 294            |
| 125800 Renewal Fees  | 1,238          | 1,234          | 1,234          |
| 125900 Delinquent Fees   | 19             | 19             | 19             |
| 150300 Income From Surplus Money Investments                                       | 3              | 1              | 1              |
| 161000 Escheat of Unclaimed Checks & Warrants                                      | 1              | 1              | -              |
| 161400 Miscellaneous Revenue   | 1              | -              | -              |
| Transfers and Other Adjustments:   |                |                |                |
| FO0001 From General Fund loan repayment per Item 1110-011-0376, Budget Act of 2011 | -              | 300            | 400            |
| Total Revenues, Transfers, and Other Adjustments                                   | <u>\$1,590</u> | <u>\$1,879</u> | <u>\$1,978</u> |
| Total Resources  | \$2,450        | \$2,659        | \$2,743        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                    |                |                |                |
| Expenditures:  |                |                |                |
| 0840 State Controller (State Operations)   | 1              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)           | 1,643          | 1,885          | 1,936          |
| 8880 Financial Information System for California (State Operations)                | <u>26</u>      | <u>9</u>       | <u>2</u>       |
| Total Expenditures and Expenditure Adjustments                                     | <u>\$1,670</u> | <u>\$1,894</u> | <u>\$1,938</u> |
| FUND BALANCE   | \$780          | \$765          | \$805          |
| Reserve for economic uncertainties   | 780            | 765            | 805            |
| <b>0399 Structural Pest Control Education and Enforcement Fund <sup>s</sup></b>    |                |                |                |
| BEGINNING BALANCE  | \$578          | \$563          | \$508          |
| Prior year adjustments   | <u>-3</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$575          | \$563          | \$508          |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                                  |                |                |                |
| Revenues:  |                |                |                |
| 125600 Other Regulatory Fees   | 370            | 340            | 340            |
| 150300 Income From Surplus Money Investments                                       | <u>2</u>       | <u>-</u>       | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments                                   | <u>\$372</u>   | <u>\$340</u>   | <u>\$340</u>   |
| Total Resources  | \$947          | \$903          | \$848          |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                    |                |                |                |
| Expenditures:  |                |                |                |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)           | -              | 393            | 391            |
| 3930 Department of Pesticide Regulation (State Operations)                         | 382            | -              | -              |
| 8880 Financial Information System for California (State Operations)                | <u>2</u>       | <u>2</u>       | <u>-</u>       |
| Total Expenditures and Expenditure Adjustments                                     | <u>\$384</u>   | <u>\$395</u>   | <u>\$391</u>   |
| FUND BALANCE   | \$563          | \$508          | \$457          |
| Reserve for economic uncertainties   | 563            | 508            | 457            |
| <b>0410 Transcript Reimbursement Fund <sup>s</sup></b>                             |                |                |                |
| BEGINNING BALANCE  | \$283          | \$319          | \$306          |
| Prior year adjustments   | <u>-2</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$281          | \$319          | \$306          |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                                  |                |                |                |
| Revenues:  |                |                |                |
| 150300 Income From Surplus Money Investments                                       | 1              | 1              | 1              |
| Transfers and Other Adjustments:   |                |                |                |
| FO0771 From Court Reporters Fund per Business and Professions Code Section 8030.2  | <u>250</u>     | <u>300</u>     | <u>300</u>     |
| Total Revenues, Transfers, and Other Adjustments                                   | <u>\$251</u>   | <u>\$301</u>   | <u>\$301</u>   |
| Total Resources  | \$532          | \$620          | \$607          |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                    |                |                |                |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 1               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)            | 210             | 313             | 315             |
| 8880 Financial Information System for California (State Operations)                 | <u>2</u>        | <u>1</u>        | <u>-</u>        |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$213</u>    | <u>\$314</u>    | <u>\$315</u>    |
| FUND BALANCE  | \$319           | \$306           | \$292           |
| Reserve for economic uncertainties  | 319             | 306             | 292             |
| <b>0492 State Athletic Commission Neurological Examination Account <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE   | \$712           | \$690           | \$586           |
| Prior year adjustments  | <u>-2</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$710           | \$690           | \$586           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 18              | 18              | 18              |
| 150300 Income From Surplus Money Investments  | <u>1</u>        | <u>2</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$19</u>     | <u>\$20</u>     | <u>\$19</u>     |
| Total Resources   | \$729           | \$710           | \$605           |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)            | <u>39</u>       | <u>124</u>      | <u>127</u>      |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$39</u>     | <u>\$124</u>    | <u>\$127</u>    |
| FUND BALANCE  | \$690           | \$586           | \$478           |
| Reserve for economic uncertainties  | 690             | 586             | 478             |
| <b>0704 Accountancy Fund, Professions and Vocations Fund <sup>s</sup></b>           |                 |                 |                 |
| BEGINNING BALANCE   | \$14,301        | \$15,122        | \$13,679        |
| Prior year adjustments  | <u>156</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$14,457        | \$15,122        | \$13,679        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 236             | 428             | 240             |
| 125700 Other Regulatory Licenses and Permits  | 4,639           | 4,444           | 4,455           |
| 125800 Renewal Fees   | 4,920           | 5,053           | 4,867           |
| 125900 Delinquent Fees  | 221             | 195             | 195             |
| 150300 Income From Surplus Money Investments  | 41              | 41              | 35              |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | 5               | 5               | 5               |
| 161400 Miscellaneous Revenue  | <u>4</u>        | <u>2</u>        | <u>2</u>        |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$10,066</u> | <u>\$10,168</u> | <u>\$9,799</u>  |
| Total Resources   | \$24,523        | \$25,290        | \$23,478        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 7               | 1               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)            | 9,339           | 11,558          | 13,413          |
| 8880 Financial Information System for California (State Operations)                 | <u>55</u>       | <u>52</u>       | <u>10</u>       |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$9,401</u>  | <u>\$11,611</u> | <u>\$13,423</u> |
| FUND BALANCE  | \$15,122        | \$13,679        | \$10,055        |
| Reserve for economic uncertainties  | 15,122          | 13,679          | 10,055          |
| <b>0706 California Architects Board Fund <sup>s</sup></b>                           |                 |                 |                 |
| BEGINNING BALANCE   | \$4,042         | \$4,061         | \$4,339         |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*        | 2013-14*        | 2014-15*        |
|--|-----------------|-----------------|-----------------|
| Prior year adjustments   | <u>25</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$4,067         | \$4,061         | \$4,339         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                        |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 3               | 2               | 2               |
| 125700 Other Regulatory Licenses and Permits                             | 290             | 373             | 283             |
| 125800 Renewal Fees  | 2,447           | 3,625           | 2,425           |
| 125900 Delinquent Fees   | 40              | 100             | 26              |
| 150300 Income From Surplus Money Investments                             | 10              | 13              | 10              |
| 161000 Escheat of Unclaimed Checks & Warrants                            | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$2,791</u>  | <u>\$4,113</u>  | <u>\$2,746</u>  |
| Total Resources  | \$6,858         | \$8,174         | \$7,085         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                          |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)                                 | 3               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 2,776           | 3,818           | 3,901           |
| 8880 Financial Information System for California (State Operations)      | <u>18</u>       | <u>17</u>       | <u>3</u>        |
| Total Expenditures and Expenditure Adjustments                           | <u>\$2,797</u>  | <u>\$3,835</u>  | <u>\$3,904</u>  |
| FUND BALANCE   | \$4,061         | \$4,339         | \$3,181         |
| Reserve for economic uncertainties                                       | 4,061           | 4,339           | 3,181           |
| <b>0735 Contractors' License Fund <sup>s</sup></b>                       |                 |                 |                 |
| BEGINNING BALANCE  | \$26,677        | \$28,953        | \$22,483        |
| Prior year adjustments   | <u>645</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$27,322        | \$28,953        | \$22,483        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                        |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 104             | 105             | 105             |
| 125700 Other Regulatory Licenses and Permits                             | 9,966           | 9,908           | 9,908           |
| 125800 Renewal Fees  | 41,304          | 41,131          | 41,840          |
| 125900 Delinquent Fees   | 2,857           | 2,701           | 2,752           |
| 142500 Miscellaneous Services to the Public                              | 74              | 80              | 80              |
| 150300 Income From Surplus Money Investments                             | 85              | 162             | 164             |
| 160400 Sale of Fixed Assets  | 12              | 15              | 15              |
| 161000 Escheat of Unclaimed Checks & Warrants                            | 21              | 25              | 25              |
| 161400 Miscellaneous Revenue   | 24              | 24              | 24              |
| 164300 Penalty Assessments   | <u>1,140</u>    | <u>930</u>      | <u>930</u>      |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$55,587</u> | <u>\$55,081</u> | <u>\$55,843</u> |
| Total Resources  | \$82,909        | \$84,034        | \$78,326        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                          |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)                                 | 36              | 3               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 53,627          | 61,275          | 61,903          |
| 8880 Financial Information System for California (State Operations)      | <u>293</u>      | <u>273</u>      | <u>50</u>       |
| Total Expenditures and Expenditure Adjustments                           | <u>\$53,956</u> | <u>\$61,551</u> | <u>\$61,953</u> |
| FUND BALANCE   | \$28,953        | \$22,483        | \$16,373        |
| Reserve for economic uncertainties                                       | 28,953          | 22,483          | 16,373          |
| <b>0741 State Dentistry Fund <sup>s</sup></b>                            |                 |                 |                 |
| BEGINNING BALANCE  | \$6,180         | \$4,772         | \$3,457         |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*       | 2013-14*        | 2014-15*        |
|--|----------------|-----------------|-----------------|
| Prior year adjustments   | <u>133</u>     | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$6,313        | \$4,772         | \$3,457         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>  |                |                 |                 |
| Revenues:  |                |                 |                 |
| 125600 Other Regulatory Fees   | 106            | 72              | 86              |
| 125700 Other Regulatory Licenses and Permits   | 744            | 745             | 846             |
| 125800 Renewal Fees  | 7,183          | 7,226           | 8,723           |
| 125900 Delinquent Fees   | 63             | 64              | 66              |
| 150300 Income From Surplus Money Investments   | 17             | 1               | 3               |
| 160400 Sale of Fixed Assets  | 3              | 3               | 3               |
| 161000 Escheat of Unclaimed Checks & Warrants  | 3              | 3               | 3               |
| 161400 Miscellaneous Revenue   | 2              | 2               | 2               |
| Transfers and Other Adjustments:   |                |                 |                 |
| FO0001 From General Fund Loan repayment per Item 1250-011-0741, Budget Act of 2003               | -              | 2,700           | -               |
| Total Revenues, Transfers, and Other Adjustments   | <u>\$8,121</u> | <u>\$10,816</u> | <u>\$9,732</u>  |
| Total Resources  | \$14,434       | \$15,588        | \$13,189        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>  |                |                 |                 |
| Expenditures:  |                |                 |                 |
| 0840 State Controller (State Operations)   | 7              | 1               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                         | 9,598          | 12,077          | 12,444          |
| 8880 Financial Information System for California (State Operations)                              | <u>57</u>      | <u>53</u>       | <u>10</u>       |
| Total Expenditures and Expenditure Adjustments   | <u>\$9,662</u> | <u>\$12,131</u> | <u>\$12,454</u> |
| FUND BALANCE   | \$4,772        | \$3,457         | \$735           |
| Reserve for economic uncertainties   | 4,772          | 3,457           | 735             |
| <b>0755 Licensed Midwifery Fund <sup>s</sup></b>   |                |                 |                 |
| BEGINNING BALANCE  | \$187          | \$221           | \$257           |
| Prior year adjustments   | <u>-2</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$185          | \$221           | \$257           |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>  |                |                 |                 |
| Revenues:  |                |                 |                 |
| 125700 Other Regulatory Licenses and Permits   | 9              | 10              | 10              |
| 125800 Renewal Fees  | 26             | 25              | 25              |
| 150300 Income From Surplus Money Investments   | <u>1</u>       | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments   | <u>\$36</u>    | <u>\$36</u>     | <u>\$36</u>     |
| Total Resources  | \$221          | \$257           | \$293           |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>  |                |                 |                 |
| Expenditures:  |                |                 |                 |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                         | <u>-</u>       | <u>-</u>        | <u>13</u>       |
| Total Expenditures and Expenditure Adjustments   | <u>-</u>       | <u>-</u>        | <u>\$13</u>     |
| FUND BALANCE   | \$221          | \$257           | \$280           |
| Reserve for economic uncertainties   | 221            | 257             | 280             |
| <b>0757 California Board of Architectural Examiners - Landscape Architects Fund <sup>s</sup></b> |                |                 |                 |
| BEGINNING BALANCE  | \$2,285        | \$2,413         | \$2,014         |
| Prior year adjustments   | <u>-2</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$2,283        | \$2,413         | \$2,014         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>  |                |                 |                 |
| Revenues:  |                |                 |                 |
| 125600 Other Regulatory Fees   | 2              | 2               | 2               |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*        | 2013-14*        | 2014-15*        |
|--|-----------------|-----------------|-----------------|
| 125700 Other Regulatory Licenses and Permits                               | 85              | 66              | 66              |
| 125800 Renewal Fees  | 702             | 675             | 675             |
| 125900 Delinquent Fees   | 18              | 17              | 17              |
| 150300 Income From Surplus Money Investments                               | <u>7</u>        | <u>6</u>        | <u>5</u>        |
| Total Revenues, Transfers, and Other Adjustments                           | <u>\$814</u>    | <u>\$766</u>    | <u>\$765</u>    |
| Total Resources  | \$3,097         | \$3,179         | \$2,779         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                            |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)                                   | 1               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)   | 677             | 1,160           | 1,174           |
| 8880 Financial Information System for California (State Operations)        | <u>6</u>        | <u>5</u>        | <u>1</u>        |
| Total Expenditures and Expenditure Adjustments                             | <u>\$684</u>    | <u>\$1,165</u>  | <u>\$1,175</u>  |
| FUND BALANCE   | \$2,413         | \$2,014         | \$1,604         |
| Reserve for economic uncertainties   | 2,413           | 2,014           | 1,604           |
| <b>0758 Contingent Fund of the Medical Board of California<sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE  | \$24,613        | \$26,498        | \$21,141        |
| Prior year adjustments   | <u>-39</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$24,574        | \$26,498        | \$21,141        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                          |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 366             | 330             | 331             |
| 125700 Other Regulatory Licenses and Permits                               | 6,174           | 5,961           | 5,961           |
| 125800 Renewal Fees  | 46,108          | 45,687          | 47,727          |
| 125900 Delinquent Fees   | 94              | 98              | 98              |
| 142500 Miscellaneous Services to the Public                                | 33              | 30              | 30              |
| 150300 Income From Surplus Money Investments                               | 99              | 75              | 65              |
| 160400 Sale of Fixed Assets  | 5               | 3               | 3               |
| 161000 Escheat of Unclaimed Checks & Warrants                              | 16              | -               | -               |
| 161400 Miscellaneous Revenue   | -               | 16              | 16              |
| 164300 Penalty Assessments   | <u>-</u>        | <u>900</u>      | <u>900</u>      |
| Total Revenues, Transfers, and Other Adjustments                           | <u>\$52,895</u> | <u>\$53,100</u> | <u>\$55,131</u> |
| Total Resources  | \$77,469        | \$79,598        | \$76,272        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                            |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)                                   | 34              | 3               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)   | 50,659          | 58,195          | 59,293          |
| 8880 Financial Information System for California (State Operations)        | <u>278</u>      | <u>259</u>      | <u>48</u>       |
| Total Expenditures and Expenditure Adjustments                             | <u>\$50,971</u> | <u>\$58,457</u> | <u>\$59,341</u> |
| FUND BALANCE   | \$26,498        | \$21,141        | \$16,931        |
| Reserve for economic uncertainties   | 26,498          | 21,141          | 16,931          |
| <b>0759 Physical Therapy Fund<sup>s</sup></b>                              |                 |                 |                 |
| BEGINNING BALANCE  | \$912           | \$922           | \$1,046         |
| Prior year adjustments   | <u>3</u>        | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$915           | \$922           | \$1,046         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                          |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 127             | 128             | 128             |
| 125700 Other Regulatory Licenses and Permits                               | 388             | 389             | 389             |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| 125800 Renewal Fees   | 2,700           | 2,700           | 2,700           |
| 125900 Delinquent Fees  | 19              | 20              | 20              |
| 150300 Income From Surplus Money Investments  | 4               | 10              | 10              |
| 161000 Escheat of Unclaimed Checks & Warrants   | <u>2</u>        | <u>-</u>        | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$3,240</u>  | <u>\$3,247</u>  | <u>\$3,247</u>  |
| Total Resources   | \$4,155         | \$4,169         | \$4,293         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 2               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                  | 3,215           | 3,108           | 3,805           |
| 8880 Financial Information System for California (State Operations)                       | <u>16</u>       | <u>15</u>       | <u>3</u>        |
| Total Expenditures and Expenditure Adjustments  | <u>\$3,233</u>  | <u>\$3,123</u>  | <u>\$3,808</u>  |
| FUND BALANCE  | \$922           | \$1,046         | \$485           |
| Reserve for economic uncertainties  | 922             | 1,046           | 485             |
| <b>0761 Board of Registered Nursing Fund, Professions and Vocations Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE   | \$6,996         | \$8,996         | \$8,894         |
| Prior year adjustments  | <u>416</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$7,412         | \$8,996         | \$8,894         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>   |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 1,040           | 929             | 958             |
| 125700 Other Regulatory Licenses and Permits  | 5,733           | 5,369           | 5,354           |
| 125800 Renewal Fees   | 24,821          | 24,095          | 24,415          |
| 125900 Delinquent Fees  | 437             | 437             | 437             |
| 142500 Miscellaneous Services to the Public   | 24              | 24              | 24              |
| 150300 Income From Surplus Money Investments  | 30              | 31              | 34              |
| 161000 Escheat of Unclaimed Checks & Warrants   | 12              | 12              | 12              |
| 161400 Miscellaneous Revenue  | 23              | 23              | 23              |
| 163000 Settlements/Judgments(not Anti-trust)  | 3               | -               | -               |
| Transfers and Other Adjustments:  |                 |                 |                 |
| FO0001 From General Fund loan repayment per Item 1110-011-0761, Budget Act of 2011        | <u>-</u>        | <u>-</u>        | <u>3,000</u>    |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$32,123</u> | <u>\$30,920</u> | <u>\$34,257</u> |
| Total Resources   | \$39,535        | \$39,916        | \$43,151        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 17              | 2               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                  | 30,377          | 30,885          | 36,848          |
| 8880 Financial Information System for California (State Operations)                       | <u>145</u>      | <u>135</u>      | <u>24</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$30,539</u> | <u>\$31,022</u> | <u>\$36,872</u> |
| FUND BALANCE  | \$8,996         | \$8,894         | \$6,279         |
| Reserve for economic uncertainties  | 8,996           | 8,894           | 6,279           |
| <b>0763 State Optometry Fund, Professions and Vocations Fund <sup>s</sup></b>             |                 |                 |                 |
| BEGINNING BALANCE   | \$961           | \$1,270         | \$1,130         |
| Prior year adjustments  | <u>13</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$974           | \$1,270         | \$1,130         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>   |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 27              | 19              | 21              |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| 125700 Other Regulatory Licenses and Permits  | 153             | 137             | 144             |
| 125800 Renewal Fees   | 1,538           | 1,550           | 1,569           |
| 125900 Delinquent Fees  | 10              | 11              | 11              |
| 142500 Miscellaneous Services to the Public   | 3               | 3               | 3               |
| 150300 Income From Surplus Money Investments  | 4               | 3               | 3               |
| 161000 Escheat of Unclaimed Checks & Warrants   | 1               | 1               | 1               |
| 161400 Miscellaneous Revenue  | <u>1</u>        | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$1,737</u>  | <u>\$1,725</u>  | <u>\$1,753</u>  |
| Total Resources   | \$2,711         | \$2,995         | \$2,883         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 1               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                | 1,432           | 1,857           | 1,842           |
| 8880 Financial Information System for California (State Operations)                     | <u>8</u>        | <u>8</u>        | <u>2</u>        |
| Total Expenditures and Expenditure Adjustments  | <u>\$1,441</u>  | <u>\$1,865</u>  | <u>\$1,844</u>  |
| FUND BALANCE  | \$1,270         | \$1,130         | \$1,039         |
| Reserve for economic uncertainties  | 1,270           | 1,130           | 1,039           |
| <b>0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE   | \$13,557        | \$13,595        | \$9,578         |
| Prior year adjustments  | <u>40</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$13,597        | \$13,595        | \$9,578         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                                       |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 2,503           | 1,200           | 1,200           |
| 125700 Other Regulatory Licenses and Permits  | 2,469           | 2,123           | 2,123           |
| 125800 Renewal Fees   | 8,742           | 8,485           | 8,485           |
| 125900 Delinquent Fees  | 150             | 146             | 146             |
| 131700 Misc Revenue From Local Agencies   | 7               | 7               | 7               |
| 142500 Miscellaneous Services to the Public   | 1               | 1               | 1               |
| 150300 Income From Surplus Money Investments  | 42              | 29              | 17              |
| 160400 Sale of Fixed Assets   | 1               | 2               | 2               |
| 161000 Escheat of Unclaimed Checks & Warrants   | 8               | 7               | 7               |
| 161400 Miscellaneous Revenue  | 10              | 4               | 4               |
| Transfers and Other Adjustments:  |                 |                 |                 |
| FO0001 From General Fund loan repayment per Item 1110-011-0767, Budget Act of 2008      | <u>-</u>        | <u>-</u>        | <u>1,000</u>    |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$13,933</u> | <u>\$12,004</u> | <u>\$12,992</u> |
| Total Resources   | \$27,530        | \$25,599        | \$22,570        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 9               | 1               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                | 13,851          | 15,951          | 19,375          |
| 8880 Financial Information System for California (State Operations)                     | <u>75</u>       | <u>69</u>       | <u>13</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$13,935</u> | <u>\$16,021</u> | <u>\$19,388</u> |
| FUND BALANCE  | \$13,595        | \$9,578         | \$3,182         |
| Reserve for economic uncertainties  | 13,595          | 9,578           | 3,182           |
| <b>0770 Professional Engineer's and Land Surveyor's Fund <sup>s</sup></b>               |                 |                 |                 |
| BEGINNING BALANCE   | \$697           | \$1,923         | \$3,374         |
| Prior year adjustments  | -39             | -               | -               |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| Adjusted Beginning Balance   | \$658           | \$1,923         | \$3,374         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>  |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 88              | 74              | 72              |
| 125700 Other Regulatory Licenses and Permits   | 2,560           | 2,596           | 2,604           |
| 125800 Renewal Fees  | 5,415           | 5,994           | 5,031           |
| 125900 Delinquent Fees   | 57              | 53              | 50              |
| 150300 Income From Surplus Money Investments   | 8               | 7               | 11              |
| 161000 Escheat of Unclaimed Checks & Warrants  | 8               | 8               | 8               |
| 161400 Miscellaneous Revenue   | 1               | 2               | 2               |
| Transfers and Other Adjustments:   |                 |                 |                 |
| FO0001 From General Fund loan repayment per Item 1110-011-0770, Budget Act of 2011       | -               | 500             | 500             |
| FO0001 From General Fund Loan repayment per Item 1110-011-0770, Budget Act of 2008       | -               | 2,000           | -               |
| Total Revenues, Transfers, and Other Adjustments   | <u>\$8,137</u>  | <u>\$11,234</u> | <u>\$8,278</u>  |
| Total Resources  | \$8,795         | \$13,157        | \$11,652        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>  |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)   | 7               | 1               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                 | 6,819           | 9,739           | 9,640           |
| 8880 Financial Information System for California (State Operations)                      | <u>46</u>       | <u>43</u>       | <u>8</u>        |
| Total Expenditures and Expenditure Adjustments   | <u>\$6,872</u>  | <u>\$9,783</u>  | <u>\$9,648</u>  |
| FUND BALANCE   | \$1,923         | \$3,374         | \$2,004         |
| Reserve for economic uncertainties   | 1,923           | 3,374           | 2,004           |
| <b>0771 Court Reporters Fund <sup>s</sup></b>  |                 |                 |                 |
| BEGINNING BALANCE  | \$1,344         | \$1,370         | \$1,135         |
| Prior year adjustments   | <u>2</u>        | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$1,346         | \$1,370         | \$1,135         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>  |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 27              | 20              | 20              |
| 125700 Other Regulatory Licenses and Permits   | 43              | 39              | 39              |
| 125800 Renewal Fees  | 899             | 875             | 875             |
| 125900 Delinquent Fees   | 18              | 18              | 18              |
| 150300 Income From Surplus Money Investments   | 5               | 4               | 4               |
| Transfers and Other Adjustments:   |                 |                 |                 |
| TO0410 To Transcript Reimbursement Fund per Business and Professions Code Section 8030.2 | -250            | -300            | -300            |
| Total Revenues, Transfers, and Other Adjustments   | <u>\$742</u>    | <u>\$656</u>    | <u>\$656</u>    |
| Total Resources  | \$2,088         | \$2,026         | \$1,791         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>  |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)   | 1               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                 | 713             | 887             | 888             |
| 8880 Financial Information System for California (State Operations)                      | <u>4</u>        | <u>4</u>        | <u>1</u>        |
| Total Expenditures and Expenditure Adjustments   | <u>\$718</u>    | <u>\$891</u>    | <u>\$889</u>    |
| FUND BALANCE   | \$1,370         | \$1,135         | \$902           |
| Reserve for economic uncertainties   | 1,370           | 1,135           | 902             |

\* Dollars in thousands, except in Salary Range.



**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| <b>0773 Behavioral Science Examiners Fund, Professions and Vocations Fund<sup>s</sup></b> |                |                |                |
| BEGINNING BALANCE   | \$1,798        | \$1,468        | \$2,105        |
| Prior year adjustments  | <u>20</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$1,818        | \$1,468        | \$2,105        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 75             | 77             | 83             |
| 125700 Other Regulatory Licenses and Permits  | 2,322          | 2,542          | 2,666          |
| 125800 Renewal Fees   | 4,599          | 4,756          | 4,881          |
| 125900 Delinquent Fees  | 76             | 70             | 72             |
| 142500 Miscellaneous Services to the Public   | 1              | 2              | 2              |
| 150300 Income From Surplus Money Investments  | 7              | 9              | 6              |
| 161000 Escheat of Unclaimed Checks & Warrants   | 3              | 4              | 4              |
| 161400 Miscellaneous Revenue  | 5              | 5              | 5              |
| Transfers and Other Adjustments:  |                |                |                |
| FO0001 From General Fund loan repayment per Item 1170-011-0773, Budget Act of 2002        | <u>-</u>       | <u>1,400</u>   | <u>1,000</u>   |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$7,088</u> | <u>\$8,865</u> | <u>\$8,719</u> |
| Total Resources   | \$8,906        | \$10,333       | \$10,824       |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                |                |                |
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)  | 5              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                  | 7,393          | 8,191          | 9,089          |
| 8880 Financial Information System for California (State Operations)                       | <u>40</u>      | <u>37</u>      | <u>7</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$7,438</u> | <u>\$8,228</u> | <u>\$9,096</u> |
| FUND BALANCE  | \$1,468        | \$2,105        | \$1,728        |
| Reserve for economic uncertainties  | 1,468          | 2,105          | 1,728          |
| <b>0775 Structural Pest Control Fund<sup>s</sup></b>                                      |                |                |                |
| BEGINNING BALANCE   | \$1,014        | \$1,363        | \$770          |
| Prior year adjustments  | <u>154</u>     | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$1,168        | \$1,363        | \$770          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 3,316          | 3,491          | 3,491          |
| 125700 Other Regulatory Licenses and Permits  | 159            | 162            | 162            |
| 125800 Renewal Fees   | 226            | 193            | 193            |
| 125900 Delinquent Fees  | 7              | 6              | 6              |
| 142500 Miscellaneous Services to the Public   | 1              | 4              | 4              |
| 150300 Income From Surplus Money Investments  | 5              | 1              | 1              |
| 161000 Escheat of Unclaimed Checks & Warrants   | 1              | -              | -              |
| 161400 Miscellaneous Revenue  | <u>44</u>      | <u>44</u>      | <u>44</u>      |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$3,759</u> | <u>\$3,901</u> | <u>\$3,901</u> |
| Total Resources   | \$4,927        | \$5,264        | \$4,671        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                |                |                |
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)  | 3              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                  | -              | 4,474          | 4,444          |
| 3930 Department of Pesticide Regulation (State Operations)                                | 3,540          | -              | -              |
| 8880 Financial Information System for California (State Operations)                       | 21             | 20             | 4              |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| Total Expenditures and Expenditure Adjustments                                 | \$3,564         | \$4,494         | \$4,448         |
| FUND BALANCE   | \$1,363         | \$770           | \$223           |
| Reserve for economic uncertainties   | 1,363           | 770             | 223             |
| <b>0777 Veterinary Medical Board Contingent Fund <sup>s</sup></b>              |                 |                 |                 |
| BEGINNING BALANCE  | \$2,320         | \$3,086         | \$3,450         |
| Prior year adjustments   | <u>-1</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$2,319         | \$3,086         | \$3,450         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                              |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 53              | 28              | 28              |
| 125700 Other Regulatory Licenses and Permits                                   | 661             | 668             | 668             |
| 125800 Renewal Fees  | 2,639           | 2,666           | 2,705           |
| 125900 Delinquent Fees   | 20              | 18              | 18              |
| 141200 Sales of Documents  | 14              | 14              | 14              |
| 150300 Income From Surplus Money Investments                                   | 8               | 11              | 13              |
| 161000 Escheat of Unclaimed Checks & Warrants                                  | 1               | -               | -               |
| 161400 Miscellaneous Revenue   | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments                               | <u>\$3,397</u>  | <u>\$3,405</u>  | <u>\$3,446</u>  |
| Total Resources  | \$5,716         | \$6,491         | \$6,896         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)                                       | 2               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)       | 2,614           | 3,028           | 4,481           |
| 8880 Financial Information System for California (State Operations)            | <u>14</u>       | <u>13</u>       | <u>3</u>        |
| Total Expenditures and Expenditure Adjustments                                 | <u>\$2,630</u>  | <u>\$3,041</u>  | <u>\$4,484</u>  |
| FUND BALANCE   | \$3,086         | \$3,450         | \$2,412         |
| Reserve for economic uncertainties   | 3,086           | 3,450           | 2,412           |
| <b>0779 Vocational Nursing &amp; Psychiatric Technicians Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE  | \$8,978         | \$9,844         | \$10,247        |
| Prior year adjustments   | <u>125</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$9,103         | \$9,844         | \$10,247        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                              |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 215             | 200             | 200             |
| 125700 Other Regulatory Licenses and Permits                                   | 3,418           | 3,414           | 3,414           |
| 125800 Renewal Fees  | 6,364           | 6,500           | 6,500           |
| 125900 Delinquent Fees   | 135             | 131             | 131             |
| 142500 Miscellaneous Services to the Public                                    | 2               | 2               | 2               |
| 150300 Income From Surplus Money Investments                                   | 26              | 30              | 30              |
| 161000 Escheat of Unclaimed Checks & Warrants                                  | 5               | 5               | 5               |
| 161400 Miscellaneous Revenue   | <u>7</u>        | <u>7</u>        | <u>7</u>        |
| Total Revenues, Transfers, and Other Adjustments                               | <u>\$10,172</u> | <u>\$10,289</u> | <u>\$10,289</u> |
| Total Resources  | \$19,275        | \$20,133        | \$20,536        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)                                       | 7               | 1               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)       | 9,373           | 9,838           | 9,023           |
| 8880 Financial Information System for California (State Operations)            | 51              | 47              | 8               |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| Total Expenditures and Expenditure Adjustments  | \$9,431         | \$9,886         | \$9,031         |
| FUND BALANCE  | \$9,844         | \$10,247        | \$11,505        |
| Reserve for economic uncertainties  | 9,844           | 10,247          | 11,505          |
| <br>  |                 |                 |                 |
| <b>0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE   | \$1,905         | \$1,529         | \$1,115         |
| Prior year adjustments  | <u>-58</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$1,847         | \$1,529         | \$1,115         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 15              | 13              | 13              |
| 125700 Other Regulatory Licenses and Permits  | 263             | 264             | 264             |
| 125800 Renewal Fees   | 1,383           | 1,380           | 1,380           |
| 125900 Delinquent Fees  | 18              | 19              | 19              |
| 150300 Income From Surplus Money Investments  | 6               | 6               | 8               |
| 161400 Miscellaneous Revenue  | <u>1</u>        | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$1,686</u>  | <u>\$1,683</u>  | <u>\$1,685</u>  |
| Total Resources   | \$3,533         | \$3,212         | \$2,800         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 2               | -               | -               |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)                                      | 1,991           | 2,087           | 2,080           |
| 8880 Financial Information System for California (State Operations)   | <u>11</u>       | <u>10</u>       | <u>2</u>        |
| Total Expenditures and Expenditure Adjustments  | <u>\$2,004</u>  | <u>\$2,097</u>  | <u>\$2,082</u>  |
| FUND BALANCE  | \$1,529         | \$1,115         | \$718           |
| Reserve for economic uncertainties  | 1,529           | 1,115           | 718             |
| <br>  |                 |                 |                 |
| <b>3017 Occupational Therapy Fund <sup>s</sup></b>  |                 |                 |                 |
| BEGINNING BALANCE   | \$608           | \$1,154         | \$781           |
| Prior year adjustments  | <u>3</u>        | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$611           | \$1,154         | \$781           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 34              | 30              | 34              |
| 125700 Other Regulatory Licenses and Permits  | 135             | 145             | 150             |
| 125800 Renewal Fees   | 854             | 841             | 867             |
| 125900 Delinquent Fees  | 15              | 16              | 16              |
| 142500 Miscellaneous Services to the Public   | 8               | 8               | 8               |
| 150300 Income From Surplus Money Investments  | 2               | 2               | 1               |
| 150500 Interest Income From Interfund Loans   | 89              | -               | -               |
| 164300 Penalty Assessments  | 7               | 8               | 8               |
| Transfers and Other Adjustments:  |                 |                 |                 |
| FO0001 From General Fund Loan repayment per Item 1475-011-3017, Budget Act of 2003                            | 640             | -               | -               |
| FO0001 From General Fund Loan repayment per Item 1110-011-3017, Budget Act of 2009                            | -               | -               | 2,000           |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$1,784</u>  | <u>\$1,050</u>  | <u>\$3,084</u>  |
| Total Resources   | \$2,395         | \$2,204         | \$3,865         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|   | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)                                    | 1              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)    | 1,240          | 1,417          | 1,294          |
| 8880 Financial Information System for California (State Operations)         | <u>-</u>       | <u>6</u>       | <u>1</u>       |
| Total Expenditures and Expenditure Adjustments                              | <u>\$1,241</u> | <u>\$1,423</u> | <u>\$1,295</u> |
| FUND BALANCE  | \$1,154        | \$781          | \$2,570        |
| Reserve for economic uncertainties  | 1,154          | 781            | 2,570          |
| <b>3039 Dentally Underserved Account, State Dentistry Fund <sup>s</sup></b> |                |                |                |
| BEGINNING BALANCE   | \$1,961        | \$1,878        | \$1,756        |
| Prior year adjustments  | <u>-88</u>     | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$1,873        | \$1,878        | \$1,756        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                  |                |                |                |
| Revenues:   |                |                |                |
| 150300 Income From Surplus Money Investments                                | <u>6</u>       | <u>10</u>      | <u>10</u>      |
| Total Revenues, Transfers, and Other Adjustments                            | <u>\$6</u>     | <u>\$10</u>    | <u>\$10</u>    |
| Total Resources   | \$1,879        | \$1,888        | \$1,766        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                    |                |                |                |
| Expenditures:   |                |                |                |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)    | -              | 131            | 133            |
| 8880 Financial Information System for California (State Operations)         | <u>1</u>       | <u>1</u>       | <u>-</u>       |
| Total Expenditures and Expenditure Adjustments                              | <u>\$1</u>     | <u>\$132</u>   | <u>\$133</u>   |
| FUND BALANCE  | \$1,878        | \$1,756        | \$1,633        |
| Reserve for economic uncertainties  | 1,878          | 1,756          | 1,633          |
| <b>3069 Naturopathic Doctor's Fund <sup>s</sup></b>                         |                |                |                |
| BEGINNING BALANCE   | \$362          | \$480          | \$523          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                  |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 1              | -              | -              |
| 125700 Other Regulatory Licenses and Permits                                | 64             | 67             | 63             |
| 125800 Renewal Fees   | 191            | 147            | 220            |
| 125900 Delinquent Fees  | 1              | 1              | 1              |
| 150300 Income From Surplus Money Investments                                | 1              | 2              | 2              |
| 161400 Miscellaneous Revenue  | <u>1</u>       | <u>-</u>       | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments                            | <u>\$259</u>   | <u>\$217</u>   | <u>\$286</u>   |
| Total Resources   | \$621          | \$697          | \$809          |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS                                    |                |                |                |
| Expenditures:   |                |                |                |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations)    | 140            | 173            | 309            |
| 8880 Financial Information System for California (State Operations)         | <u>1</u>       | <u>1</u>       | <u>-</u>       |
| Total Expenditures and Expenditure Adjustments                              | <u>\$141</u>   | <u>\$174</u>   | <u>\$309</u>   |
| FUND BALANCE  | \$480          | \$523          | \$500          |
| Reserve for economic uncertainties  | 480            | 523            | 500            |
| <b>3140 State Dental Hygiene Fund <sup>s</sup></b>                          |                |                |                |
| BEGINNING BALANCE   | \$888          | \$588          | \$424          |
| Prior year adjustments  | <u>-20</u>     | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$868          | \$588          | \$424          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS                                  |                |                |                |
| Revenues:   |                |                |                |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | 2012-13*       | 2013-14*       | 2014-15*       |
|--|----------------|----------------|----------------|
| 125600 Other Regulatory Fees   | 9              | 10             | 14             |
| 125700 Other Regulatory Licenses and Permits                             | 174            | 259            | 260            |
| 125800 Renewal Fees  | 757            | 1,061          | 1,453          |
| 125900 Delinquent Fees   | 13             | 18             | 25             |
| 150300 Income From Surplus Money Investments                             | 2              | 1              | 2              |
| 161400 Miscellaneous Revenue   | <u>5</u>       | <u>1</u>       | <u>1</u>       |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$960</u>   | <u>\$1,350</u> | <u>\$1,755</u> |
| Total Resources  | \$1,828        | \$1,938        | \$2,179        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                          |                |                |                |
| Expenditures:  |                |                |                |
| 0840 State Controller (State Operations)                                 | 1              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 1,232          | 1,507          | 1,582          |
| 8880 Financial Information System for California (State Operations)      | <u>7</u>       | <u>7</u>       | <u>1</u>       |
| Total Expenditures and Expenditure Adjustments                           | <u>\$1,240</u> | <u>\$1,514</u> | <u>\$1,583</u> |
| FUND BALANCE   | \$588          | \$424          | \$596          |
| Reserve for economic uncertainties                                       | 588            | 424            | 596            |

**3142 State Dental Assistant Fund <sup>s</sup>**

|  |                |                |                |
|--|----------------|----------------|----------------|
| BEGINNING BALANCE  | \$2,445        | \$2,724        | \$2,620        |
| Prior year adjustments   | <u>-11</u>     | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance   | \$2,434        | \$2,724        | \$2,620        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                        |                |                |                |
| Revenues:  |                |                |                |
| 125600 Other Regulatory Fees   | 15             | 15             | 16             |
| 125700 Other Regulatory Licenses and Permits                             | 417            | 394            | 397            |
| 125800 Renewal Fees  | 1,245          | 1,228          | 1,244          |
| 125900 Delinquent Fees   | 68             | 67             | 66             |
| 150300 Income From Surplus Money Investments                             | 8              | 8              | 7              |
| 161000 Escheat of Unclaimed Checks & Warrants                            | -              | 1              | 1              |
| 161400 Miscellaneous Revenue   | <u>5</u>       | <u>4</u>       | <u>4</u>       |
| Total Revenues, Transfers, and Other Adjustments                         | <u>\$1,758</u> | <u>\$1,717</u> | <u>\$1,735</u> |
| Total Resources  | \$4,192        | \$4,441        | \$4,355        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                          |                |                |                |
| Expenditures:  |                |                |                |
| 0840 State Controller (State Operations)                                 | 2              | -              | -              |
| 1110 Department of Consumer Affairs Regulatory Boards (State Operations) | 1,458          | 1,813          | 1,885          |
| 8880 Financial Information System for California (State Operations)      | <u>8</u>       | <u>8</u>       | <u>2</u>       |
| Total Expenditures and Expenditure Adjustments                           | <u>\$1,468</u> | <u>\$1,821</u> | <u>\$1,887</u> |
| FUND BALANCE   | \$2,724        | \$2,620        | \$2,468        |
| Reserve for economic uncertainties                                       | 2,724          | 2,620          | 2,468          |

**3252 CURES Fund <sup>s</sup>**

|   |          |              |                |
|---|----------|--------------|----------------|
| BEGINNING BALANCE                                 | -        | -            | \$406          |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b> |          |              |                |
| Revenues:   |          |              |                |
| 125600 Other Regulatory Fees                      | <u>-</u> | <u>\$406</u> | <u>1,625</u>   |
| Total Revenues, Transfers, and Other Adjustments  | <u>-</u> | <u>\$406</u> | <u>\$1,625</u> |
| Total Resources                                   | <u>-</u> | <u>\$406</u> | <u>\$2,031</u> |
| FUND BALANCE                                      | -        | \$406        | \$2,031        |
| Reserve for economic uncertainties                | -        | 406          | 2,031          |

\* Dollars in thousands, except in Salary Range.

## 1110 Department of Consumer Affairs Regulatory Boards - Continued

### CHANGES IN AUTHORIZED POSITIONS

|  | Positions |          |               | Expenditures        |            |                 |
|--|-----------|----------|---------------|---------------------|------------|-----------------|
|  | 2012-13   | 2013-14  | 2014-15       | 2012-13*            | 2013-14*   | 2014-15*        |
| TOTALS, AUTHORIZED POSITIONS                           | 1,405.1   | 1,538.2  | 1,538.2       | \$77,914            | \$89,527   | \$90,794        |
| Salary Adjustments                                     | -         | -        | -             | -                   | 1,192      | 1,192           |
| <b>Workload and Administrative Adjustments:</b>        |           |          |               | <b>Salary Range</b> |            |                 |
| 55 MEDICAL BOARD OF CALIFORNIA                         |           |          |               |                     |            |                 |
| CEA - A  | -         | -        | -1.0          | 7,815-8,874         | -          | -109            |
| Deputy Chief   | -         | -        | -1.0          | 7,152-8,280         | -          | -106            |
| Supervising Investigator II                            | -         | -        | -4.0          | 6,058-7,679         | -          | -383            |
| Supervising Investigator I                             | -         | -        | -15.0         | 5,369-6,802         | -          | -1,130          |
| Associate Governmental Program Analyst                 | -         | -        | -3.0          | 4,400-5,348         | -          | -178            |
| Investigator   | -         | -        | -76.0         | 3,902-6,194         | -          | -5,352          |
| Staff Services Analyst                                 | -         | -        | -1.0          | 2,817-4,446         | -          | -44             |
| Office Technician-Typing                               | -         | -        | -13.0         | 2,686-3,264         | -          | -501            |
| Management Services Technician                         | -         | -        | -1.0          | 2,495-3,426         | -          | -41             |
| Office Assistant-Typing                                | -         | -        | -1.0          | 2,134-2,826         | -          | -35             |
| Temporary Help   | -         | -        | -             | -                   | -          | -1,044          |
| Personal Fitness Incentive Program                     | -         | -        | -             | -                   | -          | -30             |
| Overtime   | -         | -        | -             | -                   | -          | -6              |
| <b>Totals, Workload and Administrative Adjustments</b> | <b>-</b>  | <b>-</b> | <b>-116.0</b> | <b>\$-</b>          | <b>\$-</b> | <b>-\$8,959</b> |
| <b>Proposed New Positions:</b>                         |           |          |               | <b>Salary Range</b> |            |                 |
| 03 CALIFORNIA BOARD OF ACCOUNTANCY                     |           |          |               |                     |            |                 |
| Staff Services Manager I                               | -         | -        | 1.0           | 5,079-6,127         | -          | 68              |
| Investigative Certified Public Accountant              | -         | -        | 8.0           | 5,076-6,168         | -          | 549             |
| Associate Governmental Program Analyst                 | -         | -        | 7.0           | 4,400-5,348         | -          | 416             |
| Office Technician-Typing                               | -         | -        | 2.0           | 2,686-3,264         | -          | 72              |
| 09 STATE ATHLETIC COMMISSION                           |           |          |               |                     |            |                 |
| Staff Services Manager I                               | -         | -        | 1.0           | 5,079-6,127         | -          | 68              |
| Associate Governmental Program Analyst                 | -         | -        | 0.5           | 4,400-5,348         | -          | 30              |
| Staff Services Analyst (Pension)                       | -         | -        | 0.5           | 2,817-4,446         | -          | 22              |
| Office Technician-Typing                               | -         | -        | 1.0           | 2,686-3,264         | -          | 36              |
| Athletic Inspector                                     | -         | -        | -             | -                   | -          | 91              |
| 18 BOARD OF BEHAVIORAL SCIENCE                         |           |          |               |                     |            |                 |
| Staff Services Manager I                               | -         | -        | 1.0           | 5,079-6,127         | -          | 69              |
| Associate Governmental Program Analyst                 | -         | -        | 1.5           | 4,400-5,348         | -          | 89              |
| Staff Services Analyst                                 | -         | -        | 1.0           | 2,817-4,446         | -          | 44              |
| Office Technician-Typing                               | -         | -        | 1.0           | 2,686-3,264         | -          | 36              |
| Management Services Technician                         | -         | -        | 3.0           | 2,495-3,426         | -          | 109             |
| 30 CONTRACTORS' STATE LICENSE BOARD                    |           |          |               |                     |            |                 |
| Enforcement Representative I                           | -         | -        | 3.0           | 3,935-5,469         | -          | 174             |
| Office Technician-Typing                               | -         | -        | 1.0           | 2,686-3,264         | -          | 35              |
| 36 DENTAL BOARD  |           |          |               |                     |            |                 |
| Staff Services Analyst                                 | -         | -        | 0.5           | 2,817-4,446         | -          | 22              |
| 55 MEDICAL BOARD OF CALIFORNIA                         |           |          |               |                     |            |                 |
| CEA - A  | -         | -        | 1.0           | 7,815-8,874         | -          | 90              |
| Associate Governmental Program Analyst                 | -         | -        | 1.0           | 4,400-5,348         | -          | 59              |
| Staff Services Analyst                                 | -         | -        | 1.0           | 2,817-4,446         | -          | 36              |

\* Dollars in thousands, except in Salary Range.

**1110 Department of Consumer Affairs Regulatory Boards - Continued**

|  | Positions      |                |                | Expenditures    |                 |                 |
|--|----------------|----------------|----------------|-----------------|-----------------|-----------------|
|  | 2012-13        | 2013-14        | 2014-15        | 2012-13*        | 2013-14*        | 2014-15*        |
| Office Technician-Typing                   | -              | -              | 2.0            | 2,686-3,264     | -               | 89              |
| 56 ACUPUNCTURE BOARD                       |                |                |                |                 |                 |                 |
| Associate Governmental Program Analyst     | -              | -              | 2.0            | 4,400-5,348     | -               | 119             |
| Office Technician-Typing                   | -              | -              | 1.0            | 2,686-3,264     | -               | 36              |
| 58 PHYSICAL THERAPY BOARD                  |                |                |                |                 |                 |                 |
| Associate Governmental Program Analyst     | -              | -              | 3.0            | 4,400-5,348     | -               | 178             |
| 62 BOARD OF PSYCHOLOGY                     |                |                |                |                 |                 |                 |
| Staff Services Analyst - General           | -              | -              | 3.0            | 2,817-4,446     | -               | 133             |
| 64 RESPIRATORY CARE BOARD                  |                |                |                |                 |                 |                 |
| Associate Governmental Program Analyst     | -              | -              | 1.0            | 4,400-5,348     | -               | 59              |
| 70 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA |                |                |                |                 |                 |                 |
| Office Technician-Typing                   | -              | -              | 3.0            | 2,686-3,264     | -               | 107             |
| 71 NATUROPATHIC MEDICINE COMMITTEE         |                |                |                |                 |                 |                 |
| Associate Governmental Program Analyst     | -              | -              | 1.0            | 4,400-5,348     | -               | 59              |
| 72 CALIFORNIA STATE BOARD OF PHARMACY      |                |                |                |                 |                 |                 |
| Pharmacy Inspector                         | -              | -              | 10.0           | 7,794-7,794     | -               | 935             |
| Supervising Inspector                      | -              | -              | 1.0            | 6,121-8,187     | -               | 101             |
| Research Program Specialist                | -              | -              | 1.0            | 4,833-5,874     | -               | 65              |
| Associate Governmental Program Analyst     | -              | -              | 4.0            | 4,400-5,348     | -               | 238             |
| Staff Services Analyst - General           | -              | -              | 2.5            | 2,817-4,446     | -               | 104             |
| Office Technician-Typing                   | -              | -              | 1.5            | 2,686-3,264     | -               | 61              |
| Inspector Retention Pay                    | -              | -              | -              | -               | -               | 264             |
| 78 BOARD OF REGISTERED NURSING             |                |                |                |                 |                 |                 |
| Associate Governmental Program Analyst     | -              | -              | 12.0           | 4,400-5,348     | -               | 713             |
| Special Investigator                       | -              | -              | 5.0            | 3,902-5,899     | -               | 300             |
| Office Technician-Typing                   | -              | -              | 6.0            | 2,686-3,264     | -               | 218             |
| Management Services Technician             | -              | -              | 3.0            | 2,495-3,426     | -               | 108             |
| Office Assistant-Typing                    | -              | -              | 2.0            | 2,134-2,826     | -               | 61              |
| 90 VETERINARY MEDICAL BOARD                |                |                |                |                 |                 |                 |
| Associate Governmental Program Analyst     | -              | -              | 1.5            | 4,400-5,348     | -               | 87              |
| Staff Services Analyst                     | -              | -              | 4.5            | 2,817-4,446     | -               | 200             |
| Office Technician-Typing                   | -              | -              | 5.0            | 2,686-3,264     | -               | 181             |
| <b>Totals Proposed New Positions</b>       | <b>-</b>       | <b>-</b>       | <b>111.0</b>   | <b>\$-</b>      | <b>\$-</b>      | <b>\$6,531</b>  |
| <b>Total Adjustments</b>                   | <b>-</b>       | <b>-</b>       | <b>-5.0</b>    | <b>\$-</b>      | <b>\$1,192</b>  | <b>-\$1,236</b> |
| <b>TOTALS, SALARIES AND WAGES</b>          | <b>1,405.1</b> | <b>1,538.2</b> | <b>1,533.2</b> | <b>\$77,914</b> | <b>\$90,719</b> | <b>\$89,558</b> |

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions**

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 and 2014-15 information for the Office of Real Estate Appraisers and the Department of Real Estate was merged with the Department of Consumer Affairs, Bureaus (DCA). 2012-13 information for the Office of Real Estate Appraisers and the Department of Real Estate is displayed in Organization Codes 2310 and 2320, respectively, under the Business, Consumer Services, and Housing Agency. The DCA was previously displayed within the State and Consumer Services Agency and is now included in the Business, Consumer Services, and Housing Agency.

The DCA is responsible for promoting and protecting the interests of millions of California consumers by serving as a guardian and advocate for their health, safety, and economic well-being and by promoting legal and ethical standards of professional conduct. The Department helps to promote good business practices and to ensure that California's consumers receive quality services by establishing minimal competency standards for approximately 250 classifications involving approximately 3 million professionals. The Department is also an important advocate on consumer and business issues. In general, the DCA's Boards and Bureaus provide exams and licensing, enforcement, complaint resolution, and education for

\* Dollars in thousands, except in Salary Range.

## 1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued

consumers.

In addition, centralized services are provided by the DCA for efficiency. Specifically, DCA staff investigate complaints against licensees; develop valid examinations for applicants for licensure; monitor and advocate for legislation; provide consumer education and outreach; provide legal and audit services; and provide general administrative support involving personnel, budgeting, accounting, purchasing, and space management.

There are currently nine bureaus and one certification program under the direct oversight of the DCA.

Additional information on the Department, and the various boards and bureaus, is available at [www.dca.ca.gov](http://www.dca.ca.gov).

### 3-YR EXPENDITURES AND POSITIONS

|   | Positions      |                |                | Expenditures     |                  |                  |
|---|----------------|----------------|----------------|------------------|------------------|------------------|
|   | 2012-13        | 2013-14        | 2014-15        | 2012-13*         | 2013-14*         | 2014-15*         |
| 23 Arbitration Certification Program  | 8.2            | 8.0            | 8.0            | \$1,067          | \$1,175          | \$1,202          |
| 25 Bureau of Security and Investigative Services                                      | 51.7           | 49.9           | 49.9           | 11,633           | 12,977           | 13,603           |
| 27 Bureau for Private Postsecondary Education   | 56.7           | 66.0           | 76.0           | 8,778            | 11,507           | 13,111           |
| 28 Bureau of Electronic and Appliance Repair, Home Furnishings and Thermal Insulation | 36.8           | 41.9           | 43.4           | 6,491            | 7,535            | 7,883            |
| 31 Bureau of Automotive Repair  | 603.7          | 594.8          | 592.4          | 158,627          | 200,579          | 194,751          |
| 35.02 Distributed Consumer Affairs Administration                                     | -              | -              | -              | -67,089          | -82,975          | -100,404         |
| 35.10 Consumer Affairs Administration   | 565.3          | 605.7          | 727.7          | 68,311           | 83,155           | 100,584          |
| 37 Telephone Medical Advice Services Bureau   | 1.4            | 1.0            | 1.0            | 152              | 175              | 179              |
| 38 Cemetery and Funeral Bureau  | 20.8           | 21.5           | 21.5           | 3,344            | 4,454            | 4,466            |
| 41 Bureau of Real Estate Appraisers   | -              | 32.8           | 32.8           | -                | 5,502            | 5,621            |
| 42 Bureau of Real Estate  | -              | 334.7          | 329.7          | -                | 48,299           | 49,195           |
| 89 Professional Fiduciaries Bureau  | 1.4            | 1.7            | 2.7            | 362              | 440              | 596              |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                              | <b>1,346.0</b> | <b>1,758.0</b> | <b>1,885.1</b> | <b>\$191,676</b> | <b>\$292,823</b> | <b>\$290,787</b> |
| <b>FUNDING</b>  |                |                |                | <b>2012-13*</b>  | <b>2013-14*</b>  | <b>2014-15*</b>  |
| 0166 Certification Account, Consumer Affairs Fund                                     |                |                |                | \$1,067          | \$1,175          | \$1,202          |
| 0239 Private Security Services Fund   |                |                |                | 10,447           | 11,799           | 12,395           |
| 0305 Private Postsecondary Education Administration Fund                              |                |                |                | 7,680            | 9,507            | 11,111           |
| 0317 Real Estate Fund   |                |                |                | -                | 47,864           | 48,760           |
| 0325 Electronic and Appliance Repair Fund   |                |                |                | 2,141            | 2,664            | 2,841            |
| 0400 Real Estate Appraisers Regulation Fund   |                |                |                | -                | 5,422            | 5,541            |
| 0421 Vehicle Inspection and Repair Fund   |                |                |                | 94,316           | 114,595          | 113,503          |
| 0459 Telephone Medical Advice Services Fund   |                |                |                | 152              | 175              | 179              |
| 0582 High Polluter Repair or Removal Account  |                |                |                | 29,384           | 41,467           | 40,522           |
| 0717 Cemetery Fund  |                |                |                | 1,956            | 2,513            | 2,484            |
| 0750 State Funeral Directors and Embalmers Fund                                       |                |                |                | 1,375            | 1,810            | 1,851            |
| 0752 Home Furnishings and Thermal Insulation Fund                                     |                |                |                | 4,340            | 4,853            | 5,024            |
| 0769 Private Investigator Fund  |                |                |                | 599              | 662              | 692              |
| 0960 Student Tuition Recovery Fund  |                |                |                | 1,098            | 2,000            | 2,000            |
| 0995 Reimbursements   |                |                |                | 2,298            | 1,478            | 1,478            |
| 3108 Professional Fiduciary Fund  |                |                |                | 362              | 440              | 596              |
| 3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account |                |                |                | 34,461           | 44,399           | 40,608           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>  |                |                |                | <b>\$191,676</b> | <b>\$292,823</b> | <b>\$290,787</b> |

### LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

\* Dollars in thousands, except in Salary Range.



**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

Business and Professions Code, Division 1, Chapter 1.

PROGRAM AUTHORITY

23-Arbitration Certification Program:

Business and Professions Code, Division 1, Chapter 9.

25-Bureau of Security and Investigative Services:

Business and Professions Code, Division 3, Chapters 8.5, 11, 11.3, 11.4, 11.5, and 11.6.

27-Bureau for Private Postsecondary Education:

Education Code, Division 10, Chapters 8 and 8.5.

28-Bureau of Electronic and Appliance Repair, Home Furnishings, and Thermal Insulation:

Business and Professions Code, Division 3, Chapter 20, and Division 8, Chapter 3.

31-Bureau of Automotive Repair:

Business and Professions Code, Division 3, Chapter 20.3; and Health and Safety Code, Division 26, Part 5, Chapter 5.

35.10.025-Division of Investigation:

Business and Professions Code, Division 1, Chapter 2, Sections 159.5-160.

35.10.035-Consumer and Client Services Division:

Business and Professions Code, Division 1, Chapter 3, Section 201, and Chapter 4, Sections 310 and 325-326.

37-Telephone Medical Advice Services Bureau:

Business and Professions Code, Division 2, Chapter 15.

38-Cemetery and Funeral Bureau:

Business and Professions Code, Division 3, Chapters 12 and 19.

41-Bureau of Real Estate Appraisers:

Business and Professions Code, Division 4, Part 3.

42-Bureau of Real Estate:

Business and Professions Code, Division 4, Parts 1 and 2.

89-Professional Fiduciaries Bureau:

Business and Professions Code, Division 3, Chapter 6.

**MAJOR PROGRAM CHANGES**

- The Governor's Budget includes \$2 million special fund and 12 positions for enforcement workload and \$102,000 special fund and 1.5 positions for licensing workload. The increased workload is primarily driven by growth to licensee populations which has resulted in increased enforcement case processing time and license application processing time. These additional resources are intended to reduce those processing times as part of the DCA's Performance Based Budgeting effort.

**DETAILED BUDGET ADJUSTMENTS**

|  | 2013-14*     |             |           | 2014-15*     |             |           |
|--|--------------|-------------|-----------|--------------|-------------|-----------|
|  | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| <b>Workload Budget Adjustments</b>   |              |             |           |              |             |           |
| <b>Workload Budget Change Proposals</b>  |              |             |           |              |             |           |
| • Bureau of Automotive Repair - Enhanced Fleet Modernization Program (Chapter 401, Statutes of 2013) | \$-          | \$-         | -         | \$-          | \$40,372    | 9.0       |
| • BreEZe System  | -            | -1,372      | -         | -            | 1,459       | -         |

\* Dollars in thousands, except in Salary Range.

## 1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued

|   | 2013-14*     |                 |           | 2014-15*     |                  |              |
|---|--------------|-----------------|-----------|--------------|------------------|--------------|
|   | General Fund | Other Funds     | Positions | General Fund | Other Funds      | Positions    |
| • Bureau for Private Post Secondary Education- Enforcement Case Backlog   | -            | -               | -         | -            | 1,292            | 11.0         |
| • Bureau of Security and Investigative Services- Increase for Attorney General Costs                            | -            | -               | -         | -            | 600              | -            |
| • Professional Fiduciaries Bureau-Enforcement Program Augmentation  | -            | -               | -         | -            | 130              | 1.0          |
| • Service Contracts for Optical Products - BEAR/HFTI (Chapter 421, Statutes of 2013)                            | -            | -               | -         | -            | 102              | 1.5          |
| • Redirection of Medical Board Investigative Staff to Division of Investigation (Chapter 515, Statutes of 2013) | -            | -               | -         | -            | -                | 116.0        |
| • Medical Board - Enforcement Workload  | -            | -               | -         | -            | -                | 1.0          |
| • Bureau of Real Estate - Governor's Reorganization Plan 2 - Technical Correction                               | -            | -               | -         | -            | -                | -            |
| <b>Totals, Workload Budget Change Proposals</b>   | <b>\$-</b>   | <b>-\$1,372</b> | <b>-</b>  | <b>\$-</b>   | <b>\$43,955</b>  | <b>139.5</b> |
| <b>Other Workload Budget Adjustments</b>  |              |                 |           |              |                  |              |
| • Employee Compensation Adjustments   | \$-          | \$1,959         | -         | \$-          | \$2,079          | -            |
| • Retirement Rate Adjustment  | -            | 507             | -         | -            | 507              | -            |
| • Limited Term Positions/Expiring Positions   | -            | -               | -         | -            | -36,401          | -12.4        |
| • One Time Cost Reductions  | -            | -               | -         | -            | -2,511           | -            |
| • Legislation With An Appropriation   | -            | 8,000           | -         | -            | -                | -            |
| • Miscellaneous Adjustments   | -            | -138            | -         | -            | -707             | -            |
| <b>Totals, Other Workload Budget Adjustments</b>  | <b>\$-</b>   | <b>\$10,328</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$37,033</b> | <b>-12.4</b> |
| <b>Totals, Workload Budget Adjustments</b>  | <b>\$-</b>   | <b>\$8,956</b>  | <b>-</b>  | <b>\$-</b>   | <b>\$6,922</b>   | <b>127.1</b> |
| <b>Totals, Budget Adjustments</b>   | <b>\$-</b>   | <b>\$8,956</b>  | <b>-</b>  | <b>\$-</b>   | <b>\$6,922</b>   | <b>127.1</b> |

### PROGRAM DESCRIPTIONS

#### 23 - ARBITRATION CERTIFICATION PROGRAM

The Arbitration Certification Program certifies and monitors arbitration programs offered by new car manufacturers to ensure that they substantially comply with state and federal regulations.

#### 25 - BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

The Bureau of Security and Investigative Services ensures that only those who meet the prescribed qualifications to offer services as private investigators, repossessors, uniformed security guards, private patrol operators, proprietary private security officers, alarm company operators, alarm agents, locksmiths, and firearm and baton training facilities be licensed; and enforces the regulations established by legislation for such licenses.

#### 27 - BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

The Bureau for Private Postsecondary Education oversees and approves private postsecondary degree-granting institutions to ensure they meet specified minimum statutory standards concerning the quality of education, ethical and business practices, health and safety, and fiscal responsibility. The Bureau responds to student complaints and oversees a fund designed to help reimburse a student's tuition if a school closes unexpectedly.

#### 28 - BUREAU OF ELECTRONIC AND APPLIANCE REPAIR, HOME FURNISHINGS, AND THERMAL INSULATION

The Bureau of Electronic and Appliance Repair, Home Furnishings, and Thermal Insulation registers and regulates all businesses engaged in major home appliance and electronic equipment repair by imposing specific obligations of ethical conduct, honesty, and full and fair disclosure, providing certain safeguards to consumers when they need repair services or enter into service contract transactions, and ridding the repair industry of unscrupulous repair dealers and service contractors. The Bureau also regulates the manufacture, distribution, and sale of upholstered furniture, bedding, and thermal insulation products sold in California to ensure they meet health, safety, and flammability standards.

#### 31 - BUREAU OF AUTOMOTIVE REPAIR

The Bureau of Automotive Repair is responsible for regulating the automotive repair marketplace and administering the

\* Dollars in thousands, except in Salary Range.

## 1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued

Smog Check Program. To carry out its mandate, the Bureau educates consumers, disciplines stations and technicians, seeks resolution to complaints, and licenses individuals and businesses. The Bureau also administers the nation's largest motor vehicle emissions reduction program. To help in its clean air efforts, the Bureau also administers the Consumer Assistance Program (CAP). Through CAP, consumers who own a vehicle that fails a biennial inspection and who meet certain eligibility requirements can receive financial assistance for emissions-related repairs. Further, consumers can receive a financial incentive to retire their unwanted vehicle at any time for any reason. The Bureau, in cooperation with the California Air Resources Board, also administers a voucher program that offers eligible consumers additional compensation toward the purchase of lower-emitting vehicles or transportation passes after they retire their vehicle through CAP.

### 35 - CONSUMER AFFAIRS ADMINISTRATION

#### 35.10.025 - DIVISION OF INVESTIGATION

The Division of Investigation is vested with the statutory authority to investigate and enforce the laws administered by the client agencies within the Department to protect the health, safety, and welfare of consumers. The Division employs sworn, armed peace officers to provide objective, timely, and cost-effective investigative services for its client agencies.

#### 35.10.035 - CONSUMER AND CLIENT SERVICES DIVISION

The Consumer and Client Services Division is comprised of:

The Administrative and Information Services Division serves to maximize the efficiency and effectiveness in the delivery of services, ensure proper oversight and accountability, and minimize duplication of effort. The Department centralizes several functions that support the administration and implementation of the goals of the regulatory boards and bureaus such as: policy direction, legal assistance, review of legislation, examination validation and assistance, information technology, accounting, budgets, personnel, and other administrative functions.

The Office of Public Affairs serves as the primary press office for the Department and is the primary developer of consumer alerts, fact sheets, and internet postings designed to raise awareness of consumer issues among consumers, the news media, and other stakeholders.

The Program and Consumer Services Division (PCSD), through its toll-free number, operates a call center that assists consumers and licensees by distributing publications and applications for licensure and providing referrals to other consumer resources; responds to written correspondence; informs consumers about marketplace trends; and represents consumer interests at local, state, and federal levels. Additionally, PCSD resolves consumer complaints against licensees and registrants regulated by the bureaus of the Department.

### 37 - TELEPHONE MEDICAL ADVICE SERVICES BUREAU

The Telephone Medical Advice Services Bureau is responsible for regulating businesses that provide medical advice by telephone to California residents. The Bureau ensures that providers of telephone medical advice are qualified licensed health-care professionals, informs patients of their rights, and pursues any reported harmful activities.

### 38 - CEMETERY AND FUNERAL BUREAU

The Cemetery and Funeral Bureau ensures that only qualified applicants receive licenses to operate cemeteries, crematories or funeral establishments, or act as funeral directors, embalmers, apprentice embalmers, cremated remains disposers, cemetery managers, crematory managers, cemetery brokers, or salespeople. The Bureau also ensures that licensees comply with applicable rules and regulations concerning the management of trust funds, permanence of mausoleums and columbariums, and the proper handling of human remains.

### 41 - BUREAU OF REAL ESTATE APPRAISERS

The Bureau of Real Estate Appraisers ensures that only qualified persons are licensed to conduct appraisals in federally-regulated real estate loan transactions and that all real estate appraisers licensed by the state and all appraisal management companies registered by the state adhere to applicable laws, regulations, and standards.

### 42 - BUREAU OF REAL ESTATE

The Bureau of Real Estate ensures that individuals who wish to engage in real estate business and act in the capacity of, or advertise as, a real estate broker or salesperson within California meet specified qualifications and ensures licensees meet minimum education standards and requirements. The Bureau investigates the actions of any person acting in the capacity of a real estate licensee, performs financial compliance audits of licensees and subdividers, administratively prosecutes violations of the Real Estate Law and Subdivided Lands Law, and provides victims of real estate fraud with financial recovery per limitations set by statute. The Bureau protects the public against fraud and misrepresentation in the sale or lease of subdivided land through the issuance of public reports which disclose vital information to prospective purchasers of subdivision interests.

### 89 - PROFESSIONAL FIDUCIARIES BUREAU

The Professional Fiduciaries Bureau implements and enforces the Professional Fiduciaries Act by licensing and regulating individuals who act as professional fiduciaries in California. The Bureau protects against fraud and abuse by those who are charged with the care of California's most vulnerable residents by ensuring that minimum competency standards are met by establishing educational and experience requirements, conducting examinations, investigating violations of professional ethics and law, and disciplining licensees when appropriate.

## 1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued

### DETAILED EXPENDITURES BY PROGRAM

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>23 ARBITRATION CERTIFICATION PROGRAM</b>   |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0166 Certification Account, Consumer Affairs Fund   | \$1,067         | \$1,175         | \$1,202         |
| <b>Totals, State Operations</b>   | <b>\$1,067</b>  | <b>\$1,175</b>  | <b>\$1,202</b>  |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>25 BUREAU OF SECURITY AND INVESTIGATIVE SERVICES</b>                                       |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0239 Private Security Services Fund   | \$10,447        | \$11,799        | \$12,395        |
| 0769 Private Investigator Fund  | 599             | 662             | 692             |
| 0995 Reimbursements   | 587             | 516             | 516             |
| <b>Totals, State Operations</b>   | <b>\$11,633</b> | <b>\$12,977</b> | <b>\$13,603</b> |
| <b>ELEMENT REQUIREMENTS</b>   |                 |                 |                 |
| <b>25.10 Bureau of Security and Investigative Services, Private Security Services Program</b> | <b>\$11,023</b> | <b>\$12,299</b> | <b>\$12,895</b> |
| <b>State Operations:</b>  |                 |                 |                 |
| 0239 Private Security Services Fund   | 10,447          | 11,799          | 12,395          |
| 0995 Reimbursements   | 576             | 500             | 500             |
| <b>25.20 Private Investigators Program</b>  | <b>\$610</b>    | <b>\$678</b>    | <b>\$708</b>    |
| <b>State Operations:</b>  |                 |                 |                 |
| 0769 Private Investigator Fund  | 599             | 662             | 692             |
| 0995 Reimbursements   | 11              | 16              | 16              |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>27 BUREAU FOR PRIVATE POSTSECONDARY EDUCATION</b>  |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0305 Private Postsecondary Education Administration Fund                                      | \$7,680         | \$9,507         | \$11,111        |
| <b>Totals, State Operations</b>   | <b>\$7,680</b>  | <b>\$9,507</b>  | <b>\$11,111</b> |
| <b>Local Assistance:</b>  |                 |                 |                 |
| 0960 Student Tuition Recovery Fund  | \$1,098         | \$2,000         | \$2,000         |
| <b>Totals, Local Assistance</b>   | <b>\$1,098</b>  | <b>\$2,000</b>  | <b>\$2,000</b>  |
| <b>ELEMENT REQUIREMENTS</b>   |                 |                 |                 |
| <b>27.30 Student Tuition Recovery Program</b>   | <b>\$1,098</b>  | <b>\$2,000</b>  | <b>\$2,000</b>  |
| <b>Local Assistance:</b>  |                 |                 |                 |
| 0960 Student Tuition Recovery Fund  | 1,098           | 2,000           | 2,000           |
| <b>PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>28 BUREAU OF ELECTRONIC AND APPLIANCE REPAIR, HOME FURNISHINGS AND THERMAL INSULATION</b>  |                 |                 |                 |
| <b>State Operations:</b>  |                 |                 |                 |
| 0325 Electronic and Appliance Repair Fund   | \$2,141         | \$2,664         | \$2,841         |
| 0752 Home Furnishings and Thermal Insulation Fund   | 4,340           | 4,853           | 5,024           |
| 0995 Reimbursements   | 10              | 18              | 18              |
| <b>Totals, State Operations</b>   | <b>\$6,491</b>  | <b>\$7,535</b>  | <b>\$7,883</b>  |
| <b>ELEMENT REQUIREMENTS</b>   |                 |                 |                 |
| <b>28.10 ELECTRONIC AND APPLIANCE REPAIR</b>  | <b>\$2,151</b>  | <b>\$2,677</b>  | <b>\$2,854</b>  |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|   | <u>2012-13*</u>  | <u>2013-14*</u>  | <u>2014-15*</u>  |
|---|------------------|------------------|------------------|
| <b>State Operations:</b>  |                  |                  |                  |
| 0325 Electronic and Appliance Repair Fund   | 2,141            | 2,664            | 2,841            |
| 0995 Reimbursements   | 10               | 13               | 13               |
| <b>28.20 HOME FURNISHINGS AND THERMAL INSULATION</b>                                  | <b>\$4,340</b>   | <b>\$4,858</b>   | <b>\$5,029</b>   |
| <b>State Operations:</b>  |                  |                  |                  |
| 0752 Home Furnishings and Thermal Insulation Fund                                     | 4,340            | 4,853            | 5,024            |
| 0995 Reimbursements   | -                | 5                | 5                |
| <b>PROGRAM REQUIREMENTS</b>   |                  |                  |                  |
| <b>31 BUREAU OF AUTOMOTIVE REPAIR</b>   |                  |                  |                  |
| <b>State Operations:</b>  |                  |                  |                  |
| 0421 Vehicle Inspection and Repair Fund   | \$94,316         | \$114,595        | \$113,503        |
| 0582 High Polluter Repair or Removal Account  | 29,384           | 41,467           | 40,522           |
| 3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account | 34,461           | 44,399           | 40,608           |
| 0995 Reimbursements   | <u>466</u>       | <u>118</u>       | <u>118</u>       |
| <b>Totals, State Operations</b>   | <b>\$158,627</b> | <b>\$200,579</b> | <b>\$194,751</b> |
| <b>ELEMENT REQUIREMENTS</b>   |                  |                  |                  |
| <b>31.10 Automotive Repair and Smog Check Programs</b>                                | <b>\$94,782</b>  | <b>\$114,713</b> | <b>\$113,621</b> |
| <b>State Operations:</b>  |                  |                  |                  |
| 0421 Vehicle Inspection and Repair Fund   | 94,316           | 114,595          | 113,503          |
| 0995 Reimbursements   | 466              | 118              | 118              |
| <b>31.20 Vehicle Repair Assistance and Retirement Program</b>                         | <b>\$29,384</b>  | <b>\$41,467</b>  | <b>\$40,522</b>  |
| <b>State Operations:</b>  |                  |                  |                  |
| 0582 High Polluter Repair or Removal Account  | 29,384           | 41,467           | 40,522           |
| <b>31.30 Off-Cycle Vehicle Retirement Program</b>                                     | <b>\$34,461</b>  | <b>\$44,399</b>  | <b>\$40,608</b>  |
| <b>State Operations:</b>  |                  |                  |                  |
| 3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account | 34,461           | 44,399           | 40,608           |
| <b>PROGRAM REQUIREMENTS</b>   |                  |                  |                  |
| <b>35 CONSUMER AFFAIRS ADMINISTRATION</b>   |                  |                  |                  |
| <b>State Operations:</b>  |                  |                  |                  |
| 0995 Reimbursements   | <u>\$1,222</u>   | <u>\$180</u>     | <u>\$180</u>     |
| <b>Totals, State Operations</b>   | <b>\$1,222</b>   | <b>\$180</b>     | <b>\$180</b>     |
| <b>ELEMENT REQUIREMENTS</b>   |                  |                  |                  |
| 35.02. 025 Distributed Division of Investigation                                      | -8,912           | -9,495           | -25,468          |
| 35.02. 030 Distributed DCA Workers Compensation                                       | -1,450           | -4,263           | -4,263           |
| 35.02. 035 Distributed Consumer and Client Services Division                          | -56,727          | -69,217          | -70,673          |
| 35.10. 025 Division of Investigation  | 8,922            | 9,495            | 25,468           |
| 35.10. 030 DCA Workers Compensation   | 1,450            | 4,263            | 4,263            |
| 35.10. 035 Consumer and Client Services Division                                      | 57,939           | 69,397           | 70,853           |
| <b>PROGRAM REQUIREMENTS</b>   |                  |                  |                  |
| <b>37 TELEPHONE MEDICAL ADVICE SERVICES BUREAU</b>                                    |                  |                  |                  |
| <b>State Operations:</b>  |                  |                  |                  |
| 0459 Telephone Medical Advice Services Fund   | <u>\$152</u>     | <u>\$175</u>     | <u>\$179</u>     |
| <b>Totals, State Operations</b>   | <b>\$152</b>     | <b>\$175</b>     | <b>\$179</b>     |
| <b>PROGRAM REQUIREMENTS</b>   |                  |                  |                  |
| <b>38 CEMETERY AND FUNERAL BUREAU</b>   |                  |                  |                  |
| <b>State Operations:</b>  |                  |                  |                  |
| 0717 Cemetery Fund  | \$1,956          | \$2,513          | \$2,484          |

\* Dollars in thousands, except in Salary Range.

## 1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued

|  | <u>2012-13*</u>  | <u>2013-14*</u>  | <u>2014-15*</u>  |
|--|------------------|------------------|------------------|
| 0750 State Funeral Directors and Embalmers Fund      | 1,375            | 1,810            | 1,851            |
| 0995 Reimbursements                                  | 13               | 131              | 131              |
| <b>Totals, State Operations</b>                      | <b>\$3,344</b>   | <b>\$4,454</b>   | <b>\$4,466</b>   |
| <b>ELEMENT REQUIREMENTS</b>                          |                  |                  |                  |
| <b>38.10 Cemetery Program</b>                        | <b>\$1,964</b>   | <b>\$2,632</b>   | <b>\$2,603</b>   |
| <b>State Operations:</b>                             |                  |                  |                  |
| 0717 Cemetery Fund                                   | 1,956            | 2,513            | 2,484            |
| 0995 Reimbursements                                  | 8                | 119              | 119              |
| <b>38.20 Funeral Directors and Embalmers Program</b> | <b>\$1,380</b>   | <b>\$1,822</b>   | <b>\$1,863</b>   |
| <b>State Operations:</b>                             |                  |                  |                  |
| 0750 State Funeral Directors and Embalmers Fund      | 1,375            | 1,810            | 1,851            |
| 0995 Reimbursements                                  | 5                | 12               | 12               |
| <b>PROGRAM REQUIREMENTS</b>                          |                  |                  |                  |
| <b>41 BUREAU OF REAL ESTATE APPRAISERS</b>           |                  |                  |                  |
| <b>State Operations:</b>                             |                  |                  |                  |
| 0400 Real Estate Appraisers Regulation Fund          | \$-              | \$5,422          | \$5,541          |
| 0995 Reimbursements                                  | -                | 80               | 80               |
| <b>Totals, State Operations</b>                      | <b>\$-</b>       | <b>\$5,502</b>   | <b>\$5,621</b>   |
| <b>PROGRAM REQUIREMENTS</b>                          |                  |                  |                  |
| <b>42 BUREAU OF REAL ESTATE</b>                      |                  |                  |                  |
| <b>State Operations:</b>                             |                  |                  |                  |
| 0317 Real Estate Fund                                | \$-              | \$47,864         | \$48,760         |
| 0995 Reimbursements                                  | -                | 435              | 435              |
| <b>Totals, State Operations</b>                      | <b>\$-</b>       | <b>\$48,299</b>  | <b>\$49,195</b>  |
| <b>PROGRAM REQUIREMENTS</b>                          |                  |                  |                  |
| <b>89 PROFESSIONAL FIDUCIARIES BUREAU</b>            |                  |                  |                  |
| <b>State Operations:</b>                             |                  |                  |                  |
| 3108 Professional Fiduciary Fund                     | \$362            | \$440            | \$596            |
| <b>Totals, State Operations</b>                      | <b>\$362</b>     | <b>\$440</b>     | <b>\$596</b>     |
| <b>TOTALS, EXPENDITURES</b>                          |                  |                  |                  |
| State Operations                                     | 190,578          | 290,823          | 288,787          |
| Local Assistance                                     | 1,098            | 2,000            | 2,000            |
| <b>Totals, Expenditures</b>                          | <b>\$191,676</b> | <b>\$292,823</b> | <b>\$290,787</b> |

### EXPENDITURES BY CATEGORY

|   | <u>Positions</u> |                |                | <u>Expenditures</u> |                  |                  |
|---|------------------|----------------|----------------|---------------------|------------------|------------------|
| 1 State Operations  | <u>2012-13</u>   | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u>  | <u>2014-15*</u>  |
| <b>PERSONAL SERVICES</b>  |                  |                |                |                     |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 1,346.0          | 1,758.0        | 1,745.6        | \$78,694            | \$107,083        | \$108,367        |
| Total Adjustments   | -                | -              | 139.5          | -                   | 1,743            | 11,836           |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>1,346.0</b>   | <b>1,758.0</b> | <b>1,885.1</b> | <b>\$78,694</b>     | <b>\$108,826</b> | <b>\$120,203</b> |
| Staff Benefits  | -                | -              | -              | 35,475              | 51,951           | 56,506           |
| <b>Totals, Personal Services</b>  | <b>1,346.0</b>   | <b>1,758.0</b> | <b>1,885.1</b> | <b>\$114,169</b>    | <b>\$160,777</b> | <b>\$176,709</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |                  |                |                |                     |                  |                  |
|   |                  |                |                | \$143,849           | \$213,372        | \$212,833        |
| <b>TOTAL EXPENDITURES (Bureaus and Programs)</b>                        |                  |                |                | <b>\$258,018</b>    | <b>\$374,149</b> | <b>\$389,542</b> |
| Distributed Costs   |                  |                |                | -\$67,440           | -\$83,326        | -\$100,755       |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                  |                |                | <b>\$190,578</b>    | <b>\$290,823</b> | <b>\$288,787</b> |

\* Dollars in thousands, except in Salary Range.

## 1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued

### 2 Local Assistance

|   | Expenditures   |                |                |
|---|----------------|----------------|----------------|
|   | 2012-13*       | 2013-14*       | 2014-15*       |
| Grants and Subventions                                    | \$1,098        | \$2,000        | \$2,000        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b> | <b>\$1,098</b> | <b>\$2,000</b> | <b>\$2,000</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| <b>0166 Certification Account, Consumer Affairs Fund</b>        |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 002 Budget Act appropriation                                    | \$1,134         | \$1,153         | \$1,202         |
| Allocation for employee compensation                            | 4               | 4               | -               |
| Adjustment per Section 3.60                                     | 15              | 18              | -               |
| Adjustment per Section 3.90                                     | -35             | -               | -               |
| <b>Totals Available</b>   | <b>\$1,118</b>  | <b>\$1,175</b>  | <b>\$1,202</b>  |
| Unexpended balance, estimated savings                           | -51             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$1,067</b>  | <b>\$1,175</b>  | <b>\$1,202</b>  |
| <b>0239 Private Security Services Fund</b>                      |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 002 Budget Act appropriation                                    | \$11,269        | \$12,032        | \$12,395        |
| Allocation for employee compensation                            | 36              | 105             | -               |
| Adjustment per Section 3.60                                     | 111             | 33              | -               |
| Adjustment per Section 3.90                                     | -265            | -               | -               |
| Adjustment per Section 4.05                                     | -               | -4              | -               |
| Adjustment per Section 15.25                                    | -17             | -               | -               |
| Revised expenditure authority per Item 1111-401                 | -               | -367            | -               |
| <b>Totals Available</b>   | <b>\$11,134</b> | <b>\$11,799</b> | <b>\$12,395</b> |
| Unexpended balance, estimated savings                           | -687            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$10,447</b> | <b>\$11,799</b> | <b>\$12,395</b> |
| <b>0305 Private Postsecondary Education Administration Fund</b> |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 002 Budget Act appropriation                                    | \$8,251         | \$9,568         | \$11,111        |
| Allocation for employee compensation                            | 36              | 64              | -               |
| Adjustment per Section 3.60                                     | 100             | 33              | -               |
| Adjustment per Section 3.90                                     | -240            | -               | -               |
| Revised expenditure authority per Item 1111-401                 | -               | -158            | -               |
| <b>Totals Available</b>   | <b>\$8,147</b>  | <b>\$9,507</b>  | <b>\$11,111</b> |
| Unexpended balance, estimated savings                           | -467            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$7,680</b>  | <b>\$9,507</b>  | <b>\$11,111</b> |
| <b>0317 Real Estate Fund</b>                                    |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 002 Budget Act appropriation                                    | \$-             | \$47,366        | \$48,760        |
| Allocation for employee compensation                            | -               | 378             | -               |
| Adjustment per Section 3.60                                     | -               | 124             | -               |
| Adjustment per Section 4.05                                     | -               | -4              | -               |
| <b>TOTALS, EXPENDITURES</b>                                     | <b>\$-</b>      | <b>\$47,864</b> | <b>\$48,760</b> |
| <b>0325 Electronic and Appliance Repair Fund</b>                |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 002 Budget Act appropriation                                    | \$2,452         | \$2,691         | \$2,841         |
| Allocation for employee compensation                            | 10              | 26              | -               |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

| <b>1 STATE OPERATIONS</b>   | <b>2012-13*</b>  | <b>2013-14*</b>  | <b>2014-15*</b>  |
|---|------------------|------------------|------------------|
| Adjustment per Section 3.60                                       | 26               | 8                | -                |
| Adjustment per Section 3.90                                       | -74              | -                | -                |
| Adjustment per Section 4.05                                       | -                | -4               | -                |
| Adjustment per Section 15.25                                      | -2               | -                | -                |
| Revised expenditure authority per Item 1111-401                   | -                | -57              | -                |
| <b>Totals Available</b>   | <b>\$2,412</b>   | <b>\$2,664</b>   | <b>\$2,841</b>   |
| Unexpended balance, estimated savings                             | -271             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$2,141</b>   | <b>\$2,664</b>   | <b>\$2,841</b>   |
| <b>0400 Real Estate Appraisers Regulation Fund</b>                |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| 002 Budget Act appropriation                                      | \$-              | \$5,361          | \$5,541          |
| Allocation for employee compensation                              | -                | 45               | -                |
| Adjustment per Section 3.60                                       | -                | 16               | -                |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$-</b>       | <b>\$5,422</b>   | <b>\$5,541</b>   |
| <b>0421 Vehicle Inspection and Repair Fund</b>                    |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| 002 Budget Act appropriation                                      | \$107,042        | \$114,043        | \$113,503        |
| Allocation for employee compensation                              | 331              | 291              | -                |
| Adjustment per Section 3.60                                       | 737              | 1,046            | -                |
| Adjustment per Section 3.90                                       | -2,359           | -                | -                |
| Adjustment per Section 4.05                                       | -                | -119             | -                |
| Adjustment per Section 15.25                                      | -17              | -                | -                |
| Revised expenditure authority per Item 1111-401                   | -                | -666             | -                |
| <b>Totals Available</b>   | <b>\$105,734</b> | <b>\$114,595</b> | <b>\$113,503</b> |
| Unexpended balance, estimated savings                             | -11,418          | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$94,316</b>  | <b>\$114,595</b> | <b>\$113,503</b> |
| <b>0459 Telephone Medical Advice Services Fund</b>                |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| 002 Budget Act appropriation                                      | \$154            | \$173            | \$179            |
| Allocation for employee compensation                              | 1                | 3                | -                |
| Adjustment per Section 3.60                                       | 2                | 1                | -                |
| Adjustment per Section 3.90                                       | -4               | -                | -                |
| Revised expenditure authority per Item 1111-401                   | -                | -2               | -                |
| <b>Totals Available</b>   | <b>\$153</b>     | <b>\$175</b>     | <b>\$179</b>     |
| Unexpended balance, estimated savings                             | -1               | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$152</b>     | <b>\$175</b>     | <b>\$179</b>     |
| <b>0582 High Polluter Repair or Removal Account</b>               |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| 002 Budget Act appropriation                                      | \$42,318         | \$41,329         | \$40,522         |
| Allocation for employee compensation                              | 36               | 27               | -                |
| Adjustment per Section 3.60                                       | 76               | 111              | -                |
| Adjustment per Section 3.90                                       | -231             | -                | -                |
| <b>Totals Available</b>   | <b>\$42,199</b>  | <b>\$41,467</b>  | <b>\$40,522</b>  |
| Unexpended balance, estimated savings                             | -12,815          | -                | -                |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$29,384</b>  | <b>\$41,467</b>  | <b>\$40,522</b>  |
| <b>0702 Consumer Affairs Fund, Professions and Vocations Fund</b> |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| 002 Budget Act appropriation                                      | 0                | 0                | 0                |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$-</b>       | <b>\$-</b>       | <b>\$-</b>       |

\* Dollars in thousands, except in Salary Range.



**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

| <b>1 STATE OPERATIONS</b>                                | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|--|-----------------|-----------------|-----------------|
| <b>0717 Cemetery Fund</b>                                |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 002 Budget Act appropriation                             | \$2,335         | \$2,529         | \$2,484         |
| Allocation for employee compensation                     | 10              | 26              | -               |
| Adjustment per Section 3.60                              | 24              | 7               | -               |
| Adjustment per Section 3.90                              | -65             | -               | -               |
| Revised expenditure authority per Item 1111-401          | -               | -49             | -               |
| <b>Totals Available</b>                                  | <b>\$2,304</b>  | <b>\$2,513</b>  | <b>\$2,484</b>  |
| Unexpended balance, estimated savings                    | -348            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                              | <b>\$1,956</b>  | <b>\$2,513</b>  | <b>\$2,484</b>  |
| <b>0750 State Funeral Directors and Embalmers Fund</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 002 Budget Act appropriation                             | \$1,745         | \$1,796         | \$1,851         |
| Allocation for employee compensation                     | 5               | 14              | -               |
| Adjustment per Section 3.60                              | 13              | 4               | -               |
| Adjustment per Section 3.90                              | -34             | -               | -               |
| Adjustment per Section 15.25                             | -1              | -               | -               |
| Revised expenditure authority per Item 1111-401          | -               | -4              | -               |
| <b>Totals Available</b>                                  | <b>\$1,728</b>  | <b>\$1,810</b>  | <b>\$1,851</b>  |
| Unexpended balance, estimated savings                    | -353            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                              | <b>\$1,375</b>  | <b>\$1,810</b>  | <b>\$1,851</b>  |
| <b>0752 Home Furnishings and Thermal Insulation Fund</b> |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 002 Budget Act appropriation                             | \$4,705         | \$4,862         | \$5,024         |
| Allocation for employee compensation                     | 15              | 51              | -               |
| Adjustment per Section 3.60                              | 47              | 14              | -               |
| Adjustment per Section 3.90                              | -122            | -               | -               |
| Adjustment per Section 4.05                              | -               | -7              | -               |
| Adjustment per Section 15.25                             | -2              | -               | -               |
| Revised expenditure authority per Item 1111-401          | -               | -67             | -               |
| <b>Totals Available</b>                                  | <b>\$4,643</b>  | <b>\$4,853</b>  | <b>\$5,024</b>  |
| Unexpended balance, estimated savings                    | -303            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                              | <b>\$4,340</b>  | <b>\$4,853</b>  | <b>\$5,024</b>  |
| <b>0769 Private Investigator Fund</b>                    |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 002 Budget Act appropriation                             | \$653           | \$651           | \$692           |
| Allocation for employee compensation                     | 1               | 6               | -               |
| Adjustment per Section 3.60                              | 6               | 2               | -               |
| Adjustment per Section 3.90                              | -14             | -               | -               |
| Revised expenditure authority per Item 1111-401          | -               | 3               | -               |
| <b>Totals Available</b>                                  | <b>\$646</b>    | <b>\$662</b>    | <b>\$692</b>    |
| Unexpended balance, estimated savings                    | -47             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                              | <b>\$599</b>    | <b>\$662</b>    | <b>\$692</b>    |
| <b>0995 Reimbursements</b>                               |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| Reimbursements   | \$2,298         | \$1,478         | \$1,478         |
| <b>3108 Professional Fiduciary Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 002 Budget Act appropriation                             | \$409           | \$440           | \$596           |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

| <b>1 STATE OPERATIONS</b>  | <b>2012-13*</b>  | <b>2013-14*</b>  | <b>2014-15*</b>  |
|--|------------------|------------------|------------------|
| Allocation for employee compensation   | 1                | 3                | -                |
| Adjustment per Section 3.60  | 5                | 2                | -                |
| Adjustment per Section 3.90  | -12              | -                | -                |
| Revised expenditure authority per Item 1111-401  | -                | -5               | -                |
| <b>Totals Available</b>  | <b>\$403</b>     | <b>\$440</b>     | <b>\$596</b>     |
| Unexpended balance, estimated savings  | -41              | -                | -                |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$362</b>     | <b>\$440</b>     | <b>\$596</b>     |
| <b>3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account</b> |                  |                  |                  |
| APPROPRIATIONS   |                  |                  |                  |
| 002 Budget Act appropriation   | \$37,323         | \$36,393         | \$40,608         |
| Allocation for employee compensation   | 5                | 3                | -                |
| Adjustment per Section 3.60  | 10               | 3                | -                |
| Adjustment per Section 3.90  | -27              | -                | -                |
| Chapter 415, Statutes of 2013  | -                | 8,000            | -                |
| <b>Totals Available</b>  | <b>\$37,311</b>  | <b>\$44,399</b>  | <b>\$40,608</b>  |
| Unexpended balance, estimated savings  | -2,850           | -                | -                |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$34,461</b>  | <b>\$44,399</b>  | <b>\$40,608</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                                    | <b>\$190,578</b> | <b>\$290,823</b> | <b>\$288,787</b> |
| <b>2 LOCAL ASSISTANCE</b>  |                  |                  |                  |
| <b>0960 Student Tuition Recovery Fund</b>  |                  |                  |                  |
| APPROPRIATIONS   |                  |                  |                  |
| Education Code Section 94944   | \$1,098          | \$2,000          | \$2,000          |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,098</b>   | <b>\$2,000</b>   | <b>\$2,000</b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                                    | <b>\$1,098</b>   | <b>\$2,000</b>   | <b>\$2,000</b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b>               | <b>\$191,676</b> | <b>\$292,823</b> | <b>\$290,787</b> |

**FUND CONDITION STATEMENTS**

|   | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|---|-----------------|-----------------|-----------------|
| <b>0166 Certification Account, Consumer Affairs Fund <sup>s</sup></b>               |                 |                 |                 |
| BEGINNING BALANCE   | \$1,054         | \$1,100         | \$1,120         |
| Prior year adjustments  | -6              | -               | -               |
| Adjusted Beginning Balance  | \$1,048         | \$1,100         | \$1,120         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 1,124           | 1,196           | 1,196           |
| 150300 Income From Surplus Money Investments  | 3               | 4               | 4               |
| Total Revenues, Transfers, and Other Adjustments                                    | \$1,127         | \$1,200         | \$1,200         |
| Total Resources   | \$2,175         | \$2,300         | \$2,320         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 2               | -               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 1,067           | 1,175           | 1,202           |
| 8880 Financial Information System for California (State Operations)                 | 6               | 5               | 1               |
| Total Expenditures and Expenditure Adjustments                                      | \$1,075         | \$1,180         | \$1,203         |
| FUND BALANCE  | \$1,100         | \$1,120         | \$1,117         |
| Reserve for economic uncertainties  | 1,100           | 1,120           | 1,117           |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| <b>0239 Private Security Services Fund <sup>s</sup></b>                             |                 |                 |                 |
| BEGINNING BALANCE   | \$6,409         | \$7,003         | \$5,902         |
| Prior year adjustments  | <u>144</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$6,553         | \$7,003         | \$5,902         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 542             | 500             | 500             |
| 125700 Other Regulatory Licenses and Permits  | 4,720           | 4,773           | 4,808           |
| 125800 Renewal Fees   | 5,462           | 5,300           | 5,300           |
| 125900 Delinquent Fees  | 198             | 139             | 160             |
| 141200 Sales of Documents   | -               | 1               | 1               |
| 142500 Miscellaneous Services to the Public   | 3               | 1               | 1               |
| 150300 Income From Surplus Money Investments  | 17              | 16              | 11              |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | 8               | 6               | 6               |
| 161400 Miscellaneous Revenue  | <u>15</u>       | <u>15</u>       | <u>10</u>       |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$10,965</u> | <u>\$10,751</u> | <u>\$10,797</u> |
| Total Resources   | \$17,518        | \$17,754        | \$16,699        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 13              | 1               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 10,447          | 11,799          | 12,395          |
| 8880 Financial Information System for California (State Operations)                 | <u>55</u>       | <u>52</u>       | <u>10</u>       |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$10,515</u> | <u>\$11,852</u> | <u>\$12,405</u> |
| FUND BALANCE  | \$7,003         | \$5,902         | \$4,294         |
| Reserve for economic uncertainties  | 7,003           | 5,902           | 4,294           |
| <b>0305 Private Postsecondary Education Administration Fund <sup>s</sup></b>        |                 |                 |                 |
| BEGINNING BALANCE   | \$8,334         | \$10,547        | \$11,247        |
| Prior year adjustments  | <u>16</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$8,350         | \$10,547        | \$11,247        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 7               | 13              | 22              |
| 125700 Other Regulatory Licenses and Permits  | 734             | 797             | 842             |
| 125800 Renewal Fees   | 8,790           | 9,256           | 9,355           |
| 125900 Delinquent Fees  | 370             | 407             | 447             |
| 142500 Miscellaneous Services to the Public   | 1               | 1               | 1               |
| 150300 Income From Surplus Money Investments  | 25              | 41              | 45              |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | 1               | -               | -               |
| 161400 Miscellaneous Revenue  | <u>-</u>        | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$9,928</u>  | <u>\$10,516</u> | <u>\$10,713</u> |
| Total Resources   | \$18,278        | \$21,063        | \$21,960        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 9               | 1               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 7,680           | 9,507           | 11,111          |
| 8855 California State Auditor's Office (State Operations)                           | -               | 270             | -               |
| 8880 Financial Information System for California (State Operations)                 | <u>42</u>       | <u>38</u>       | <u>8</u>        |
| Total Expenditures and Expenditure Adjustments                                      | \$7,731         | \$9,816         | \$11,119        |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| FUND BALANCE  | \$10,547        | \$11,247        | \$10,841        |
| Reserve for economic uncertainties  | 10,547          | 11,247          | 10,841          |
| <b>0317 Real Estate Fund <sup>s</sup></b>   |                 |                 |                 |
| BEGINNING BALANCE   | \$26,826        | \$31,377        | \$30,893        |
| Prior year adjustments  | <u>1,072</u>    | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$27,898        | \$31,377        | \$30,893        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 123400 Real Estate Examination Fees   | 3,384           | 3,392           | 3,526           |
| 123500 Real Estate License Fees   | 35,958          | 36,562          | 36,364          |
| 123600 Subdivision Filing Fees  | 5,796           | 6,050           | 6,352           |
| 125700 Other Regulatory Licenses and Permits  | 194             | 194             | 194             |
| 141200 Sales of Documents   | 18              | 18              | 18              |
| 142500 Miscellaneous Services to the Public   | 140             | 137             | 137             |
| 150300 Income From Surplus Money Investments  | 93              | 94              | 95              |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | 20              | 17              | 17              |
| 161400 Miscellaneous Revenue  | 116             | 114             | 114             |
| 161900 Other Revenue - Cost Recoveries  | 785             | 770             | 770             |
| 164300 Penalty Assessments  | <u>232</u>      | <u>250</u>      | <u>250</u>      |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$46,736</u> | <u>\$47,598</u> | <u>\$47,837</u> |
| Total Resources   | \$74,634        | \$78,975        | \$78,730        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 34              | 3               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | -               | 47,864          | 48,760          |
| 2320 Department of Real Estate (State Operations)                                   | 42,993          | -               | -               |
| 8880 Financial Information System for California (State Operations)                 | <u>230</u>      | <u>215</u>      | <u>39</u>       |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$43,257</u> | <u>\$48,082</u> | <u>\$48,799</u> |
| FUND BALANCE  | \$31,377        | \$30,893        | \$29,931        |
| Reserve for economic uncertainties  | 31,377          | 30,893          | 29,931          |
| <b>0325 Electronic and Appliance Repair Fund <sup>s</sup></b>                       |                 |                 |                 |
| BEGINNING BALANCE   | \$1,913         | \$2,151         | \$1,870         |
| Prior year adjustments  | <u>22</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$1,935         | \$2,151         | \$1,870         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125700 Other Regulatory Licenses and Permits  | 275             | 294             | 290             |
| 125800 Renewal Fees   | 2,016           | 2,021           | 2,021           |
| 125900 Delinquent Fees  | 74              | 70              | 71              |
| 150300 Income From Surplus Money Investments  | 6               | 7               | 5               |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | 1               | 2               | 2               |
| 161400 Miscellaneous Revenue  | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$2,373</u>  | <u>\$2,394</u>  | <u>\$2,389</u>  |
| Total Resources   | \$4,308         | \$4,545         | \$4,259         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 4               | -               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 2,141           | 2,664           | 2,841           |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|  | 2012-13*       | 2013-14*        | 2014-15*       |
|--|----------------|-----------------|----------------|
| 8880 Financial Information System for California (State Operations)                                      | <u>12</u>      | <u>11</u>       | <u>2</u>       |
| Total Expenditures and Expenditure Adjustments   | <u>\$2,157</u> | <u>\$2,675</u>  | <u>\$2,843</u> |
| FUND BALANCE   | \$2,151        | \$1,870         | \$1,416        |
| Reserve for economic uncertainties   | 2,151          | 1,870           | 1,416          |
| <b>0400 Real Estate Appraisers Regulation Fund <sup>s</sup></b>  |                |                 |                |
| BEGINNING BALANCE  | \$4,142        | \$2,811         | \$8,586        |
| Prior year adjustments   | <u>-12</u>     | <u>-</u>        | <u>-</u>       |
| Adjusted Beginning Balance   | \$4,130        | \$2,811         | \$8,586        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                |                 |                |
| Revenues:  |                |                 |                |
| 123500 Real Estate License Fees  | 2,972          | 2,553           | 3,112          |
| 125700 Other Regulatory Licenses and Permits   | 232            | 246             | 258            |
| 141200 Sales of Documents  | -              | 2               | 2              |
| 150300 Income From Surplus Money Investments   | 10             | 9               | 11             |
| 161000 Escheat of Unclaimed Checks & Warrants  | 1              | 1               | 1              |
| 161400 Miscellaneous Revenue   | 93             | 101             | 104            |
| 164300 Penalty Assessments   | 354            | 208             | 208            |
| Transfers and Other Adjustments:   |                |                 |                |
| FO0001 From General Fund loan repayment per Item 2310-011-0400, BA of 2008 as amended by 2310-404, BA/12 | -              | 8,100           | -              |
| Total Revenues, Transfers, and Other Adjustments   | <u>\$3,662</u> | <u>\$11,220</u> | <u>\$3,696</u> |
| Total Resources  | \$7,792        | \$14,031        | \$12,282       |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS   |                |                 |                |
| Expenditures:  |                |                 |                |
| 0840 State Controller (State Operations)   | 3              | -               | -              |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations)                      | -              | 5,422           | 5,541          |
| 2310 Office of Real Estate Appraisers (State Operations)   | 4,953          | -               | -              |
| 8880 Financial Information System for California (State Operations)                                      | <u>25</u>      | <u>23</u>       | <u>4</u>       |
| Total Expenditures and Expenditure Adjustments   | <u>\$4,981</u> | <u>\$5,445</u>  | <u>\$5,545</u> |
| FUND BALANCE   | \$2,811        | \$8,586         | \$6,737        |
| Reserve for economic uncertainties   | 2,811          | 8,586           | 6,737          |
| <b>0421 Vehicle Inspection and Repair Fund <sup>s</sup></b>  |                |                 |                |
| BEGINNING BALANCE  | \$65,274       | \$73,216        | \$50,136       |
| Prior year adjustments   | <u>905</u>     | <u>-</u>        | <u>-</u>       |
| Adjusted Beginning Balance   | \$66,179       | \$73,216        | \$50,136       |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                |                 |                |
| Revenues:  |                |                 |                |
| 125600 Other Regulatory Fees   | 1,324          | 1,471           | 1,486          |
| 125700 Other Regulatory Licenses and Permits   | 107,624        | 108,243         | 108,869        |
| 125800 Renewal Fees  | 7,539          | 7,478           | 7,560          |
| 125900 Delinquent Fees   | 250            | 255             | 260            |
| 141200 Sales of Documents  | 3              | 3               | 3              |
| 142500 Miscellaneous Services to the Public  | -              | 8               | 8              |
| 150300 Income From Surplus Money Investments   | 269            | 85              | 44             |
| 160400 Sale of Fixed Assets  | 19             | 38              | 38             |
| 161000 Escheat of Unclaimed Checks & Warrants  | 5              | 7               | 7              |
| 161400 Miscellaneous Revenue   | 7              | 161             | 164            |
| Transfers and Other Adjustments:   |                |                 |                |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|   | 2012-13*         | 2013-14*         | 2014-15*         |
|---|------------------|------------------|------------------|
| FO0001 From General Fund loan repayment per Item 1111-003-0421, Budget Act of 2003              | -                | -                | 14,000           |
| TO0115 To Air Pollution Control Fund loan per Chapter 415, Statutes of 2013                     | -                | -10,000          | -                |
| TO0152 To State Board of Chiropractic Examiners Fund per Item 1111-011-0421, Budget Act of 2014 | -                | -                | -3,000           |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$117,040</u> | <u>\$107,749</u> | <u>\$129,439</u> |
| Total Resources   | \$183,219        | \$180,965        | \$179,575        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                  |                  |                  |
| Expenditures:   |                  |                  |                  |
| 0840 State Controller (State Operations)  | 138              | 8                | -                |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations)             | 94,316           | 114,595          | 113,503          |
| 3900 Air Resources Board (State Operations)   | 14,947           | 15,664           | 16,041           |
| 8880 Financial Information System for California (State Operations)                             | <u>602</u>       | <u>562</u>       | <u>107</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$110,003</u> | <u>\$130,829</u> | <u>\$129,651</u> |
| FUND BALANCE  | \$73,216         | \$50,136         | \$49,924         |
| Reserve for economic uncertainties  | 73,216           | 50,136           | 49,924           |
| <b>0459 Telephone Medical Advice Services Fund <sup>s</sup></b>                                 |                  |                  |                  |
| BEGINNING BALANCE   | \$687            | \$816            | \$809            |
| Prior year adjustments  | <u>-2</u>        | <u>-</u>         | <u>-</u>         |
| Adjusted Beginning Balance  | \$685            | \$816            | \$809            |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>   |                  |                  |                  |
| Revenues:   |                  |                  |                  |
| 125700 Other Regulatory Licenses and Permits  | 23               | 38               | 38               |
| 125800 Renewal Fees   | 255              | 128              | 270              |
| 125900 Delinquent Fees  | 4                | -                | -                |
| 150300 Income From Surplus Money Investments  | <u>2</u>         | <u>3</u>         | <u>3</u>         |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$284</u>     | <u>\$169</u>     | <u>\$311</u>     |
| Total Resources   | \$969            | \$985            | \$1,120          |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                  |                  |                  |
| Expenditures:   |                  |                  |                  |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations)             | 152              | 175              | 179              |
| 8880 Financial Information System for California (State Operations)                             | <u>1</u>         | <u>1</u>         | <u>-</u>         |
| Total Expenditures and Expenditure Adjustments  | <u>\$153</u>     | <u>\$176</u>     | <u>\$179</u>     |
| FUND BALANCE  | \$816            | \$809            | \$941            |
| Reserve for economic uncertainties  | 816              | 809              | 941              |
| <b>0582 High Polluter Repair or Removal Account <sup>s</sup></b>                                |                  |                  |                  |
| BEGINNING BALANCE   | \$7,539          | \$15,995         | \$10,011         |
| Prior year adjustments  | <u>3,406</u>     | <u>-</u>         | <u>-</u>         |
| Adjusted Beginning Balance  | \$10,945         | \$15,995         | \$10,011         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>   |                  |                  |                  |
| Revenues:   |                  |                  |                  |
| 125600 Other Regulatory Fees  | 456              | 461              | 465              |
| 125700 Other Regulatory Licenses and Permits  | 34,089           | 35,121           | 35,472           |
| 131700 Misc Revenue From Local Agencies   | 54               | 68               | 69               |
| 150300 Income From Surplus Money Investments  | 40               | 30               | 14               |
| 161000 Escheat of Unclaimed Checks & Warrants   | <u>3</u>         | <u>-</u>         | <u>-</u>         |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$34,642</u>  | <u>\$35,680</u>  | <u>\$36,020</u>  |
| Total Resources   | \$45,587         | \$51,675         | \$46,031         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                  |                  |                  |
| Expenditures:   |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| 0840 State Controller (State Operations)  | -               | 3               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 29,384          | 41,467          | 40,522          |
| 8880 Financial Information System for California (State Operations)                 | <u>208</u>      | <u>194</u>      | <u>34</u>       |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$29,592</u> | <u>\$41,664</u> | <u>\$40,556</u> |
| FUND BALANCE  | \$15,995        | \$10,011        | \$5,475         |
| Reserve for economic uncertainties  | 15,995          | 10,011          | 5,475           |
| <b>0717 Cemetery Fund <sup>s</sup></b>  |                 |                 |                 |
| BEGINNING BALANCE   | \$2,355         | \$2,608         | \$2,245         |
| Prior year adjustments  | <u>19</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$2,374         | \$2,608         | \$2,245         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 1,746           | 1,715           | 1,801           |
| 125700 Other Regulatory Licenses and Permits  | 97              | 98              | 103             |
| 125800 Renewal Fees   | 347             | 334             | 351             |
| 125900 Delinquent Fees  | 6               | 7               | 7               |
| 150300 Income From Surplus Money Investments  | 8               | 7               | 6               |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | <u>1</u>        | <u>-</u>        | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$2,205</u>  | <u>\$2,161</u>  | <u>\$2,268</u>  |
| Total Resources   | \$4,579         | \$4,769         | \$4,513         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 3               | -               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 1,956           | 2,513           | 2,484           |
| 8880 Financial Information System for California (State Operations)                 | <u>12</u>       | <u>11</u>       | <u>2</u>        |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$1,971</u>  | <u>\$2,524</u>  | <u>\$2,486</u>  |
| FUND BALANCE  | \$2,608         | \$2,245         | \$2,027         |
| Reserve for economic uncertainties  | 2,608           | 2,245           | 2,027           |
| <b>0750 State Funeral Directors and Embalmers Fund <sup>s</sup></b>                 |                 |                 |                 |
| BEGINNING BALANCE   | \$1,779         | \$1,652         | \$1,074         |
| Prior year adjustments  | <u>-10</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$1,769         | \$1,652         | \$1,074         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125600 Other Regulatory Fees  | 149             | 138             | 148             |
| 125700 Other Regulatory Licenses and Permits  | 84              | 84              | 88              |
| 125800 Renewal Fees   | 992             | 979             | 1,028           |
| 125900 Delinquent Fees  | 36              | 35              | 37              |
| 142500 Miscellaneous Services to the Public   | 1               | -               | -               |
| 150300 Income From Surplus Money Investments  | 5               | 3               | 2               |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | 1               | -               | -               |
| 161400 Miscellaneous Revenue  | <u>-</u>        | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$1,268</u>  | <u>\$1,240</u>  | <u>\$1,304</u>  |
| Total Resources   | \$3,037         | \$2,892         | \$2,378         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | 2               | -               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 1,375           | 1,810           | 1,851           |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|   | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| 8880 Financial Information System for California (State Operations)                 | <u>8</u>       | <u>8</u>       | <u>1</u>       |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$1,385</u> | <u>\$1,818</u> | <u>\$1,852</u> |
| FUND BALANCE  | \$1,652        | \$1,074        | \$526          |
| Reserve for economic uncertainties  | 1,652          | 1,074          | 526            |
| <b>0752 Home Furnishings and Thermal Insulation Fund <sup>s</sup></b>               |                |                |                |
| BEGINNING BALANCE   | \$2,263        | \$1,781        | \$2,271        |
| Prior year adjustments  | <u>8</u>       | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$2,271        | \$1,781        | \$2,271        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 87             | 72             | 74             |
| 125700 Other Regulatory Licenses and Permits  | 747            | 748            | 748            |
| 125800 Renewal Fees   | 2,932          | 2,936          | 2,940          |
| 125900 Delinquent Fees  | 101            | 102            | 101            |
| 150300 Income From Surplus Money Investments  | 7              | 3              | 5              |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | 4              | 4              | 4              |
| 161400 Miscellaneous Revenue  | 1              | -              | -              |
| Transfers and Other Adjustments:  |                |                |                |
| FO0001 From General Fund loan repayment per Item 1111-011-0752, Budget Act of 2011  | <u>-</u>       | <u>1,500</u>   | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$3,879</u> | <u>\$5,365</u> | <u>\$3,872</u> |
| Total Resources   | \$6,150        | \$7,146        | \$6,143        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                |                |                |
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)  | 6              | -              | -              |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 4,340          | 4,853          | 5,024          |
| 8880 Financial Information System for California (State Operations)                 | <u>23</u>      | <u>22</u>      | <u>4</u>       |
| Total Expenditures and Expenditure Adjustments                                      | <u>\$4,369</u> | <u>\$4,875</u> | <u>\$5,028</u> |
| FUND BALANCE  | \$1,781        | \$2,271        | \$1,115        |
| Reserve for economic uncertainties  | 1,781          | 2,271          | 1,115          |
| <b>0769 Private Investigator Fund <sup>s</sup></b>                                  |                |                |                |
| BEGINNING BALANCE   | \$387          | \$508          | \$565          |
| Prior year adjustments  | <u>16</u>      | <u>-</u>       | <u>-</u>       |
| Adjusted Beginning Balance  | \$403          | \$508          | \$565          |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                |                |                |
| Revenues:   |                |                |                |
| 125600 Other Regulatory Fees  | 13             | 16             | 16             |
| 125700 Other Regulatory Licenses and Permits  | 110            | 130            | 109            |
| 125800 Renewal Fees   | 558            | 543            | 552            |
| 125900 Delinquent Fees  | 24             | 31             | 25             |
| 150300 Income From Surplus Money Investments  | 2              | 2              | 2              |
| 161000 Escheat of Unclaimed Checks & Warrants                                       | <u>1</u>       | <u>-</u>       | <u>-</u>       |
| Total Revenues, Transfers, and Other Adjustments                                    | <u>\$708</u>   | <u>\$722</u>   | <u>\$704</u>   |
| Total Resources   | \$1,111        | \$1,230        | \$1,269        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                |                |                |
| Expenditures:   |                |                |                |
| 0840 State Controller (State Operations)  | 1              | -              | -              |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations) | 599            | 662            | 692            |
| 8880 Financial Information System for California (State Operations)                 | 3              | 3              | 1              |

\* Dollars in thousands, except in Salary Range.



**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| Total Expenditures and Expenditure Adjustments                                       | \$603           | \$665           | \$693           |
| FUND BALANCE   | \$508           | \$565           | \$576           |
| Reserve for economic uncertainties   | 508             | 565             | 576             |
| <b>0960 Student Tuition Recovery Fund <sup>N</sup></b>                               |                 |                 |                 |
| BEGINNING BALANCE  | \$22,238        | \$28,365        | \$28,873        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 216900 Fees and Licenses External  | 7,128           | 2,386           | 2,434           |
| 217600 Delinquent Fees   | 5               | -               | -               |
| 250300 Income from Surplus Money Investment Fund                                     | 74              | 104             | 106             |
| 299600 Miscellaneous Revenues  | <u>18</u>       | <u>18</u>       | <u>18</u>       |
| Total Revenues, Transfers, and Other Adjustments                                     | <u>\$7,225</u>  | <u>\$2,508</u>  | <u>\$2,558</u>  |
| Total Resources  | \$29,463        | \$30,873        | \$31,431        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS   |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (Local Assistance)  | <u>1,098</u>    | <u>2,000</u>    | <u>2,000</u>    |
| Total Expenditures and Expenditure Adjustments                                       | <u>\$1,098</u>  | <u>\$2,000</u>  | <u>\$2,000</u>  |
| FUND BALANCE   | \$28,365        | \$28,873        | \$29,431        |
| <b>3108 Professional Fiduciary Fund <sup>S</sup></b>                                 |                 |                 |                 |
| BEGINNING BALANCE  | \$237           | \$348           | \$484           |
| Prior year adjustments   | <u>-3</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$234           | \$348           | \$484           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 7               | 14              | 28              |
| 125700 Other Regulatory Licenses and Permits   | 116             | 140             | 140             |
| 125800 Renewal Fees  | 353             | 420             | 420             |
| 125900 Delinquent Fees   | 2               | 3               | 3               |
| 150300 Income From Surplus Money Investments   | <u>1</u>        | <u>1</u>        | <u>2</u>        |
| Total Revenues, Transfers, and Other Adjustments                                     | <u>\$479</u>    | <u>\$578</u>    | <u>\$593</u>    |
| Total Resources  | \$713           | \$926           | \$1,077         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS   |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)   | 1               | -               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations)  | 362             | 440             | 596             |
| 8880 Financial Information System for California (State Operations)                  | <u>2</u>        | <u>2</u>        | <u>-</u>        |
| Total Expenditures and Expenditure Adjustments                                       | <u>\$365</u>    | <u>\$442</u>    | <u>\$596</u>    |
| FUND BALANCE   | \$348           | \$484           | \$481           |
| Reserve for economic uncertainties   | 348             | 484             | 481             |
| <b>3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal</b> |                 |                 |                 |
| <b>Account <sup>S</sup></b>  |                 |                 |                 |
| BEGINNING BALANCE  | \$18,915        | \$15,856        | \$1,711         |
| Prior year adjustments   | <u>1,410</u>    | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$20,325        | \$15,856        | \$1,711         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 114300 Other Motor Vehicle Fees  | 30,126          | 30,427          | 30,731          |
| 150300 Income From Surplus Money Investments   | 50              | -               | 5               |

\* Dollars in thousands, except in Salary Range.

## 1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| Transfers and Other Adjustments:  |                 |                 |                 |
| FO0001 From General Fund loan repayment per Item 1111-011-3122, BA of 2010, amended by BA of 11,12,& 13 | -               | -               | 10,000          |
| Total Revenues, Transfers, and Other Adjustments  | <u>\$30,176</u> | <u>\$30,427</u> | <u>\$40,736</u> |
| Total Resources   | \$50,501        | \$46,283        | \$42,447        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>   |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0840 State Controller (State Operations)  | -               | 2               | -               |
| 1111 Department of Consumer Affairs Bureaus, Programs, Divisions (State Operations)                     | 34,461          | 44,399          | 40,608          |
| 8880 Financial Information System for California (State Operations)                                     | <u>184</u>      | <u>171</u>      | <u>30</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$34,645</u> | <u>\$44,572</u> | <u>\$40,638</u> |
| FUND BALANCE  | \$15,856        | \$1,711         | \$1,809         |
| Reserve for economic uncertainties  | 15,856          | 1,711           | 1,809           |

### CHANGES IN AUTHORIZED POSITIONS

|   | Positions |          |             | Expenditures        |            |              |
|---|-----------|----------|-------------|---------------------|------------|--------------|
|   | 2012-13   | 2013-14  | 2014-15     | 2012-13*            | 2013-14*   | 2014-15*     |
| Totals, Authorized Positions                          | 1,346.0   | 1,758.0  | 1,745.6     | \$78,694            | \$107,083  | \$108,367    |
| Salary Adjustments                                    | -         | -        | -           | -                   | 1,743      | 1,743        |
| <b>Workload and Administrative Adjustments:</b>       |           |          |             | <b>Salary Range</b> |            |              |
| <b>35.10 CONSUMER AFFAIRS ADMINISTRATION</b>          |           |          |             |                     |            |              |
| <b>DIVISION OF INVESTIGATION</b>                      |           |          |             |                     |            |              |
| Temporary Help  | -         | -        | -           | -                   | -          | 1,044        |
| Personal Fitness Incentive Program                    | -         | -        | -           | -                   | -          | 30           |
| Overtime  | -         | -        | -           | -                   | -          | 6            |
| <b>42 BUREAU OF REAL ESTATE:</b>                      |           |          |             |                     |            |              |
| Staff Services Manager II-Supervisory                 | -         | -        | -1.0        | 5,576-6,727         | -          | -87          |
| Senior Accounting Officer-Specialist                  | -         | -        | -1.0        | 4,400-5,348         | -          | -60          |
| Accounting Technician                                 | -         | -        | -2.0        | 2,638-3,209         | -          | -71          |
| Management Services Technician                        | -         | -        | -1.0        | 2,495-3,426         | -          | -36          |
| <b>Totals, Workload &amp; Admin Adjustments</b>       | <b>-</b>  | <b>-</b> | <b>-5.0</b> | <b>\$-</b>          | <b>\$-</b> | <b>\$826</b> |
| <b>Proposed New Positions:</b>                        |           |          |             |                     |            |              |
| <b>27 BUREAU FOR PRIVATE POSTSECONDARY EDUCATION</b>  |           |          |             |                     |            |              |
| Associate Governmental Program Analyst                | -         | -        | 11.0        | 4,400-5,348         | -          | 654          |
| <b>28 BUREAU OF ELECTRONIC &amp; APPLIANCE REPAIR</b> |           |          |             |                     |            |              |
| Staff Services Analyst-General                        | -         | -        | 1.0         | 2,817-4,446         | -          | 44           |
| Program Technician II                                 | -         | -        | 0.5         | 2,638-3,209         | -          | 17           |
| <b>31 BUREAU OF AUTOMOTIVE REPAIR</b>                 |           |          |             |                     |            |              |
| <b>ENHANCED FLEET MODERNIZATION PROGRAM</b>           |           |          |             |                     |            |              |
| Program Technician II                                 | -         | -        | 9.0         | 2,638-3,209         | -          | 321          |
| <b>35.10 CONSUMER AFFAIRS ADMINISTRATION</b>          |           |          |             |                     |            |              |
| <b>CONSUMER AND CLIENT SERVICES DIVISION</b>          |           |          |             |                     |            |              |
| Staff Services Manager II-Supervisory                 | -         | -        | 1.0         | 5,576-6,727         | -          | 87           |
| Senior Accounting Officer-Specialist                  | -         | -        | 1.0         | 4,400-5,348         | -          | 60           |
| Accounting Technician                                 | -         | -        | 2.0         | 2,638-3,209         | -          | 71           |
| Management Services Technician                        | -         | -        | 1.0         | 2,495-3,426         | -          | 36           |
| <b>DIVISION OF INVESTIGATION</b>                      |           |          |             |                     |            |              |
| CEA-A   | -         | -        | 1.0         | 7,815-8,874         | -          | 109          |

\* Dollars in thousands, except in Salary Range.

**1111 Department of Consumer Affairs Bureaus, Programs, Divisions - Continued**

|  | Positions      |                |                | Expenditures    |                  |                  |
|--|----------------|----------------|----------------|-----------------|------------------|------------------|
|  | 2012-13        | 2013-14        | 2014-15        | 2012-13*        | 2013-14*         | 2014-15*         |
| Deputy Chief                           | -              | -              | 1.0            | 7,152-8,280     | -                | 106              |
| Supervising Investigator II            | -              | -              | 4.0            | 6,058-7,679     | -                | 383              |
| Supervising Investigator I             | -              | -              | 15.0           | 5,369-6,802     | -                | 1,130            |
| Associate Governmental Program Analyst | -              | -              | 3.0            | 4,400-5,348     | -                | 178              |
| Investigator                           | -              | -              | 77.0           | 3,631-5,631     | -                | 5,414            |
| Staff Services Analyst-General         | -              | -              | 1.0            | 2,817-4,446     | -                | 44               |
| Office Technician-Typing               | -              | -              | 13.0           | 2,686-3,264     | -                | 501              |
| Management Services Technician         | -              | -              | 1.0            | 2,495-3,426     | -                | 41               |
| Office Assistant-Typing                | -              | -              | 1.0            | 2,143-2,826     | -                | 35               |
| <b>89 FIDUCIARY BUREAU</b>             |                |                |                |                 |                  |                  |
| Associate Governmental Program Analyst | -              | -              | 1.0            | 4,400-5,348     | -                | 36               |
| <b>Totals Proposed New Positions</b>   | <b>-</b>       | <b>-</b>       | <b>144.5</b>   | <b>\$-</b>      | <b>\$-</b>       | <b>\$9,267</b>   |
| <b>Total Adjustments</b>               | <b>-</b>       | <b>-</b>       | <b>139.5</b>   | <b>\$-</b>      | <b>\$1,743</b>   | <b>\$11,836</b>  |
| <b>TOTALS, SALARIES AND WAGES</b>      | <b>1,346.0</b> | <b>1,758.0</b> | <b>1,885.1</b> | <b>\$78,694</b> | <b>\$108,826</b> | <b>\$120,203</b> |

**1690 Alfred E. Alquist Seismic Safety Commission**

The mission of the Alfred E. Alquist Seismic Safety Commission (Commission) is to lower earthquake risk to life and property of Californians. The Commission works with federal, state, and local agencies, as well as the private sector, on a variety of activities that guide and stimulate earthquake risk reduction and management. There are 20 appointed Commissioners who provide policy guidance, topical expertise, and perspectives from the private sector, academia, and local government. The Commission is responsible for: (1) advising the Governor, Legislature, school districts, and the citizens of California on seismic safety policies and issues, (2) maintaining and encouraging the implementation of the five-year California Earthquake Loss Reduction Plan, including the Earthquake Research and Projects Program, (3) reviewing the adequacy of earthquake and tsunami safety policies and programs and providing recommendations for improvement, (4) using existing knowledge and conducting studies where necessary to develop and publish information to improve the performance of structures in California, (5) preparing and disseminating guides to the public identifying earthquake weaknesses and other issues related to residential and commercial buildings, and (6) fostering the development and use of new and emerging technologies.

**3-YR EXPENDITURES AND POSITIONS**

|  | Positions  |            |            | Expenditures    |                 |                 |
|--|------------|------------|------------|-----------------|-----------------|-----------------|
|  | 2012-13    | 2013-14    | 2014-15    | 2012-13*        | 2013-14*        | 2014-15*        |
| 10 Seismic Safety Commission, Alfred E. Alquist          | 6.3        | 6.5        | 6.5        | \$979           | \$1,228         | \$1,156         |
| 20 Earthquake Research and Projects Program              | -          | -          | -          | 134             | 2,000           | 2,000           |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>6.3</b> | <b>6.5</b> | <b>6.5</b> | <b>\$1,113</b>  | <b>\$3,228</b>  | <b>\$3,156</b>  |
| <b>FUNDING</b>   |            |            |            | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 0001 General Fund  |            |            |            | \$630           | \$-             | \$-             |
| 0217 Insurance Fund                                      |            |            |            | 283             | 1,146           | 1,156           |
| 0942 Special Deposit Fund                                |            |            |            | 134             | 2,000           | 2,000           |
| 0995 Reimbursements                                      |            |            |            | 66              | 82              | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |            |            |            | <b>\$1,113</b>  | <b>\$3,228</b>  | <b>\$3,156</b>  |

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code, Sections 8690.25, 8690.45, 8870-8875.95, and 8897-8899.24.

Insurance Code, Section 12975.9.

\* Dollars in thousands, except in Salary Range.

**1690 Alfred E. Alquist Seismic Safety Commission - Continued**

**DETAILED BUDGET ADJUSTMENTS**

|  | 2013-14*     |             |           | 2014-15*     |              |           |
|--|--------------|-------------|-----------|--------------|--------------|-----------|
|  | General Fund | Other Funds | Positions | General Fund | Other Funds  | Positions |
| <b>Workload Budget Adjustments</b>               |              |             |           |              |              |           |
| <b>Other Workload Budget Adjustments</b>         |              |             |           |              |              |           |
| • Employee Compensation Adjustments              | \$-          | \$20        | -         | \$-          | \$20         | -         |
| • Retirement Rate Adjustment                     | -            | 4           | -         | -            | 4            | -         |
| • Limited Term Positions/Expiring Programs       | -            | -           | -         | -            | -82          | -         |
| • Miscellaneous Adjustments                      | -            | -           | -         | -            | 10           | -         |
| <b>Totals, Other Workload Budget Adjustments</b> | <b>\$-</b>   | <b>\$24</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$48</b> | <b>-</b>  |
| <b>Totals, Workload Budget Adjustments</b>       | <b>\$-</b>   | <b>\$24</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$48</b> | <b>-</b>  |
| <b>Totals, Budget Adjustments</b>                | <b>\$-</b>   | <b>\$24</b> | <b>-</b>  | <b>\$-</b>   | <b>-\$48</b> | <b>-</b>  |

**PROGRAM DESCRIPTIONS**

10 - SEISMIC SAFETY COMMISSION, ALFRED E. ALQUIST

The Alfred E. Alquist Seismic Safety Commission program supports statewide programs and activities aimed at cost-effective measures, strategies, and policies that lower earthquake risk to life and property.

20 - EARTHQUAKE RESEARCH AND PROJECTS PROGRAM

The Earthquake Research and Projects Program administers and distributes funds for earthquake research and projects that reduce earthquake risk.

**DETAILED EXPENDITURES BY PROGRAM**

|                             |   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|-----------------------------|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>10</b>                   | <b>SEISMIC SAFETY COMMISSION, ALFRED E. ALQUIST</b> |                 |                 |                 |
|                             | <b>State Operations:</b>                            |                 |                 |                 |
| 0001                        | General Fund  | \$630           | \$-             | \$-             |
| 0217                        | Insurance Fund                                      | 283             | 1,146           | 1,156           |
| 0995                        | Reimbursements                                      | 66              | 82              | -               |
|                             | <b>Totals, State Operations</b>                     | <b>\$979</b>    | <b>\$1,228</b>  | <b>\$1,156</b>  |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>20</b>                   | <b>EARTHQUAKE RESEARCH AND PROJECTS PROGRAM</b>     |                 |                 |                 |
|                             | <b>State Operations:</b>                            |                 |                 |                 |
| 0942                        | Special Deposit Fund                                | \$134           | \$2,000         | \$2,000         |
|                             | <b>Totals, State Operations</b>                     | <b>\$134</b>    | <b>\$2,000</b>  | <b>\$2,000</b>  |
| <b>TOTALS, EXPENDITURES</b> |   |                 |                 |                 |
|                             | State Operations                                    | \$1,113         | \$3,228         | \$3,156         |
|                             | <b>Totals, Expenditures</b>                         | <b>\$1,113</b>  | <b>\$3,228</b>  | <b>\$3,156</b>  |

**EXPENDITURES BY CATEGORY**

|                                       | 1 State Operations |         |         | Positions |          |          | Expenditures |          |          |
|---------------------------------------|--------------------|---------|---------|-----------|----------|----------|--------------|----------|----------|
|                                       | 2012-13            | 2013-14 | 2014-15 | 2012-13*  | 2013-14* | 2014-15* | 2012-13*     | 2013-14* | 2014-15* |
| PERSONAL SERVICES                     |                    |         |         |           |          |          |              |          |          |
| Authorized Positions (Equals Sch. 7A) | 6.3                | 6.5     | 6.5     | \$574     | \$619    | \$620    |              |          |          |
| Total Adjustments                     | -                  | -       | -       | -         | 14       | 14       |              |          |          |

\* Dollars in thousands, except in Salary Range.

## 1690 Alfred E. Alquist Seismic Safety Commission - Continued

| 1 State Operations  | Positions  |            |            | Expenditures   |                |                |
|---|------------|------------|------------|----------------|----------------|----------------|
|   | 2012-13    | 2013-14    | 2014-15    | 2012-13*       | 2013-14*       | 2014-15*       |
| Net Totals, Salaries and Wages  | 6.3        | 6.5        | 6.5        | \$574          | \$633          | \$634          |
| Staff Benefits  | -          | -          | -          | 221            | 237            | 240            |
| <b>Totals, Personal Services</b>  | <b>6.3</b> | <b>6.5</b> | <b>6.5</b> | <b>\$795</b>   | <b>\$870</b>   | <b>\$874</b>   |
| OPERATING EXPENSES AND EQUIPMENT  |            |            |            | \$280          | \$358          | \$282          |
| SPECIAL ITEMS OF EXPENSE  |            |            |            |                |                |                |
| Earthquake Research and Projects  |            |            |            | \$38           | \$2,000        | \$2,000        |
| <b>Totals, Special Items of Expense</b>                                     |            |            |            | <b>\$38</b>    | <b>\$2,000</b> | <b>\$2,000</b> |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS<br/>(State Operations)</b> |            |            |            | <b>\$1,113</b> | <b>\$3,228</b> | <b>\$3,156</b> |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS   | 2012-13*       | 2013-14*       | 2014-15*       |
|--|----------------|----------------|----------------|
| <b>0001 General Fund</b>   |                |                |                |
| APPROPRIATIONS   |                |                |                |
| Transfer to Insurance Fund per Provision 1 of Item 1690-001-0217, Budget Act of 2012 | \$630          | \$-            | \$-            |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$630</b>   | <b>\$-</b>     | <b>\$-</b>     |
| <b>0217 Insurance Fund</b>   |                |                |                |
| APPROPRIATIONS   |                |                |                |
| 001 Budget Act appropriation   | \$1,163        | \$1,122        | \$1,156        |
| Allocation for employee compensation   | 3              | 20             | -              |
| Adjustment per Section 3.60  | 14             | 4              | -              |
| Adjustment per Section 3.90  | -36            | -              | -              |
| <b>Totals Available</b>  | <b>\$1,144</b> | <b>\$1,146</b> | <b>\$1,156</b> |
| Unexpended balance, estimated savings  | -231           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$913</b>   | <b>\$1,146</b> | <b>\$1,156</b> |
| Less Funding provided by General Fund  | -630           | -              | -              |
| <b>NET TOTALS, EXPENDITURES</b>  | <b>\$283</b>   | <b>\$1,146</b> | <b>\$1,156</b> |
| <b>0942 Special Deposit Fund</b>   |                |                |                |
| APPROPRIATIONS   |                |                |                |
| Government Code Section 16370  | \$134          | \$2,000        | \$2,000        |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$134</b>   | <b>\$2,000</b> | <b>\$2,000</b> |
| <b>0995 Reimbursements</b>   |                |                |                |
| APPROPRIATIONS   |                |                |                |
| Reimbursements   | \$66           | \$82           | \$-            |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>                            | <b>\$1,113</b> | <b>\$3,228</b> | <b>\$3,156</b> |

## FUND CONDITION STATEMENTS

|   | 2012-13* | 2013-14* | 2014-15* |
|---|----------|----------|----------|
| <b>0257 Earthquake Emergency Investigations Account, Disaster Assistance Fund<sup>s</sup></b> |          |          |          |
| BEGINNING BALANCE   | \$49     | \$47     | \$47     |
| Prior year adjustments  | -2       | -        | -        |
| Adjusted Beginning Balance  | \$47     | \$47     | \$47     |
| FUND BALANCE  | \$47     | \$47     | \$47     |
| Reserve for economic uncertainties  | 47       | 47       | 47       |

## CHANGES IN AUTHORIZED POSITIONS

\* Dollars in thousands, except in Salary Range.

**1690 Alfred E. Alquist Seismic Safety Commission - Continued**

|                                   | Positions  |            |            | Expenditures |              |              |
|-----------------------------------|------------|------------|------------|--------------|--------------|--------------|
|                                   | 2012-13    | 2013-14    | 2014-15    | 2012-13*     | 2013-14*     | 2014-15*     |
| Totals, Authorized Positions      | 6.3        | 6.5        | 6.5        | \$574        | \$619        | \$620        |
| Salary Adjustments                | -          | -          | -          | -            | 14           | 14           |
| <b>Total Adjustments</b>          | -          | -          | -          | <b>\$-</b>   | <b>\$14</b>  | <b>\$14</b>  |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>6.3</b> | <b>6.5</b> | <b>6.5</b> | <b>\$574</b> | <b>\$633</b> | <b>\$634</b> |

**1700 Department of Fair Employment and Housing**

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the Department of Fair Employment and Housing (Department) was moved from the State and Consumer Services Agency to the Business, Consumer Services, and Housing Agency.

The Department is responsible for protecting the people of California from unlawful discrimination in employment, housing, and public accommodations, and from the perpetration of acts of hate violence.

Pursuant to Chapter 46 of the Statutes of 2012, effective January 1, 2013, the Department absorbed the rulemaking function of the former Fair Employment and Housing Commission under the Department's newly-formed Fair Employment and Housing Council; created a new division to conduct free mandatory dispute resolution; ended administrative adjudication and commenced filing cases directly in court; and was authorized to collect attorneys' fees and costs when it is the prevailing party.

The Department's jurisdiction extends to individuals, private or public entities, housing providers, and business establishments within the state of California.

**3-YR EXPENDITURES AND POSITIONS**

|  | Positions    |              |              | Expenditures    |                 |                 |
|--|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|  | 2012-13      | 2013-14      | 2014-15      | 2012-13*        | 2013-14*        | 2014-15*        |
| 50 Administration of Civil Rights Law                    | 157.3        | 189.8        | 189.8        | \$18,039        | \$21,571        | \$21,585        |
| 55 Fair Employment and Housing Council                   | -            | -            | -            | 10              | 10              | 10              |
| 90 Department of Justice Legal Services                  | -            | -            | -            | 141             | 346             | 346             |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>157.3</b> | <b>189.8</b> | <b>189.8</b> | <b>\$18,190</b> | <b>\$21,927</b> | <b>\$21,941</b> |
| <b>FUNDING</b>   |              |              |              | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 0001 General Fund  |              |              |              | \$10,457        | \$13,393        | \$16,401        |
| 0890 Federal Trust Fund                                  |              |              |              | 4,740           | 5,534           | 5,540           |
| 8071 National Mortgage Special Deposit Fund              |              |              |              | 2,993           | 3,000           | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |              |              |              | <b>\$18,190</b> | <b>\$21,927</b> | <b>\$21,941</b> |

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Government Code, Title 2, Division 3, Part 2.8 (Section 12900 et seq.); and Civil Code, Sections 51, 51.5, 51.7, 54, 54.1, and 54.2.

**DETAILED BUDGET ADJUSTMENTS**

|  | 2013-14*     |             |           | 2014-15*       |                 |           |
|--|--------------|-------------|-----------|----------------|-----------------|-----------|
|  | General Fund | Other Funds | Positions | General Fund   | Other Funds     | Positions |
| <b>Workload Budget Adjustments</b>               |              |             |           |                |                 |           |
| <b>Other Workload Budget Adjustments</b>         |              |             |           |                |                 |           |
| • Employee Compensation Adjustment               | \$138        | \$45        | -         | \$146          | \$49            | -         |
| • Retirement Rate Adjustment                     | 64           | 21          | -         | 64             | 21              | -         |
| • Miscellaneous Adjustments                      | -            | -           | -         | 3,000          | -2,997          | -         |
| <b>Totals, Other Workload Budget Adjustments</b> | <b>\$202</b> | <b>\$66</b> | <b>-</b>  | <b>\$3,210</b> | <b>-\$2,927</b> | <b>-</b>  |
| <b>Totals, Workload Budget Adjustments</b>       | <b>\$202</b> | <b>\$66</b> | <b>-</b>  | <b>\$3,210</b> | <b>-\$2,927</b> | <b>-</b>  |

\* Dollars in thousands, except in Salary Range.

## 1700 Department of Fair Employment and Housing - Continued

|                            | 2013-14*     |             |           | 2014-15*     |             |           |
|----------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                            | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Totals, Budget Adjustments | \$202        | \$66        | -         | \$3,210      | -\$2,927    | -         |

### PROGRAM DESCRIPTIONS

#### 50 - ADMINISTRATION OF CIVIL RIGHTS LAW

Through this program, the Department governs both private and public entities operating within the state of California. The Department promotes equal opportunity in employment, housing, and public accommodations and works to eliminate discrimination in employment, housing, and public accommodations and acts of hate violence. Additionally, the Department educates the public about their rights and responsibilities under the Fair Employment and Housing Act.

#### 55 - FAIR EMPLOYMENT AND HOUSING COUNCIL

The Fair Employment and Housing Council promulgates rules and regulations, and holds public hearings on civil rights issues.

#### 90 - DEPARTMENT OF JUSTICE LEGAL SERVICES

This program identifies the cost of legal services provided by the Department of Justice to the Department of Fair Employment and Housing.

### DETAILED EXPENDITURES BY PROGRAM

|                             |   | 2012-13*        | 2013-14*        | 2014-15*        |
|-----------------------------|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>50</b>                   | <b>ADMINISTRATION OF CIVIL RIGHTS LAW</b>   |                 |                 |                 |
|                             | State Operations:                           |                 |                 |                 |
| 0001                        | General Fund                                | \$10,306        | \$13,037        | \$16,045        |
| 0890                        | Federal Trust Fund                          | 4,740           | 5,534           | 5,540           |
| 8071                        | National Mortgage Special Deposit Fund      | 2,993           | 3,000           | -               |
|                             | <b>Totals, State Operations</b>             | <b>\$18,039</b> | <b>\$21,571</b> | <b>\$21,585</b> |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>55</b>                   | <b>FAIR EMPLOYMENT AND HOUSING COUNCIL</b>  |                 |                 |                 |
|                             | State Operations:                           |                 |                 |                 |
| 0001                        | General Fund                                | \$10            | \$10            | \$10            |
|                             | <b>Totals, State Operations</b>             | <b>\$10</b>     | <b>\$10</b>     | <b>\$10</b>     |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>90</b>                   | <b>DEPARTMENT OF JUSTICE LEGAL SERVICES</b> |                 |                 |                 |
|                             | State Operations:                           |                 |                 |                 |
| 0001                        | General Fund                                | \$141           | \$346           | \$346           |
|                             | <b>Totals, State Operations</b>             | <b>\$141</b>    | <b>\$346</b>    | <b>\$346</b>    |
| <b>TOTALS, EXPENDITURES</b> |   |                 |                 |                 |
|                             | State Operations                            | 18,190          | 21,927          | 21,941          |
|                             | <b>Totals, Expenditures</b>                 | <b>\$18,190</b> | <b>\$21,927</b> | <b>\$21,941</b> |

### EXPENDITURES BY CATEGORY

|                                       | Positions    |              |              | Expenditures   |                 |                 |
|---------------------------------------|--------------|--------------|--------------|----------------|-----------------|-----------------|
|                                       | 2012-13      | 2013-14      | 2014-15      | 2012-13*       | 2013-14*        | 2014-15*        |
| <b>1 State Operations</b>             |              |              |              |                |                 |                 |
| PERSONAL SERVICES                     |              |              |              |                |                 |                 |
| Authorized Positions (Equals Sch. 7A) | 157.3        | 189.8        | 189.8        | \$9,927        | \$12,263        | \$12,520        |
| Total Adjustments                     | -            | -            | -            | -              | 130             | 130             |
| <b>Net Totals, Salaries and Wages</b> | <b>157.3</b> | <b>189.8</b> | <b>189.8</b> | <b>\$9,927</b> | <b>\$12,393</b> | <b>\$12,650</b> |

\* Dollars in thousands, except in Salary Range.

## 1700 Department of Fair Employment and Housing - Continued

| 1 State Operations  | Positions    |              |              | Expenditures    |                 |                 |
|---|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|   | 2012-13      | 2013-14      | 2014-15      | 2012-13*        | 2013-14*        | 2014-15*        |
| Staff Benefits  | -            | -            | -            | 4,165           | 5,200           | 5,311           |
| <b>Totals, Personal Services</b>  | <b>157.3</b> | <b>189.8</b> | <b>189.8</b> | <b>\$14,092</b> | <b>\$17,593</b> | <b>\$17,961</b> |
| OPERATING EXPENSES AND EQUIPMENT  |              |              |              | \$4,098         | \$4,334         | \$3,980         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS<br/>(State Operations)</b> |              |              |              | <b>\$18,190</b> | <b>\$21,927</b> | <b>\$21,941</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| <b>0001 General Fund</b>  |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$15,983        | \$16,191        | \$16,401        |
| Allocation for employee compensation                                | 56              | 138             | -               |
| Adjustment per Section 3.60   | 213             | 64              | -               |
| Adjustment per Section 3.90   | -517            | -               | -               |
| <b>Totals Available</b>   | <b>\$15,735</b> | <b>\$16,393</b> | <b>\$16,401</b> |
| Unexpended balance, estimated savings                               | -2,285          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$13,450</b> | <b>\$16,393</b> | <b>\$16,401</b> |
| Less funding provided by the National Mortgage Special Deposit Fund | -2,993          | -3,000          | -               |
| <b>NET TOTALS, EXPENDITURES</b>                                     | <b>\$10,457</b> | <b>\$13,393</b> | <b>\$16,401</b> |
| <b>0890 Federal Trust Fund</b>                                      |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation  | \$5,589         | \$5,467         | \$5,540         |
| Allocation for employee compensation                                | 19              | 46              | -               |
| Adjustment per Section 3.60   | 71              | 21              | -               |
| Adjustment per Section 3.90   | -173            | -               | -               |
| Budget Adjustment   | -766            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$4,740</b>  | <b>\$5,534</b>  | <b>\$5,540</b>  |
| <b>8071 National Mortgage Special Deposit Fund</b>                  |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| Government Code Section 12531(e) (General Fund Offset)              | \$2,993         | \$3,000         | \$-             |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$2,993</b>  | <b>\$3,000</b>  | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>           | <b>\$18,190</b> | <b>\$21,927</b> | <b>\$21,941</b> |

### CHANGES IN AUTHORIZED POSITIONS

|                                   | Positions    |              |              | Expenditures   |                 |                 |
|-----------------------------------|--------------|--------------|--------------|----------------|-----------------|-----------------|
|                                   | 2012-13      | 2013-14      | 2014-15      | 2012-13*       | 2013-14*        | 2014-15*        |
| Totals, Authorized Positions      | 157.3        | 189.8        | 189.8        | \$9,927        | \$12,263        | \$12,520        |
| Salary Adjustments                | -            | -            | -            | -              | 130             | 130             |
| <b>Total Adjustments</b>          | <b>-</b>     | <b>-</b>     | <b>-</b>     | <b>\$-</b>     | <b>\$130</b>    | <b>\$130</b>    |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>157.3</b> | <b>189.8</b> | <b>189.8</b> | <b>\$9,927</b> | <b>\$12,393</b> | <b>\$12,650</b> |

## 1701 Business Oversight

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the Department of Financial Institutions and the Department of Corporations were merged to create the Department of Business Oversight (Department) in the Business, Consumer Services, and Housing Agency. The 2012-13 information for the Department of Financial Institutions and the Department of Corporations is displayed in Organization Code 2150 and 2180, respectively.

The Department regulates state-licensed financial institutions, products and professionals to provide accessibility to a fair and secure financial services marketplace. The Department serves California by enforcing the state's financial services laws and providing resources to Californians to make informed financial decisions.

\* Dollars in thousands, except in Salary Range.



## 1701 Business Oversight - Continued

### 3-YR EXPENDITURES AND POSITIONS

|   | Positions |              |              | Expenditures    |                 |                 |
|---|-----------|--------------|--------------|-----------------|-----------------|-----------------|
|   | 2012-13   | 2013-14      | 2014-15      | 2012-13*        | 2013-14*        | 2014-15*        |
| 10 Investment Program   | -         | 123.0        | 141.0        | \$-             | \$22,630        | \$25,351        |
| 20 Lender-Fiduciary Program   | -         | 125.5        | 126.5        | -               | 22,362          | 22,906          |
| 30 Licensing and Supervision of Banks and Trust Companies                     | -         | 135.0        | 135.0        | -               | 23,037          | 23,153          |
| 35 Money Transmitters   | -         | 24.0         | 24.0         | -               | 3,319           | 3,336           |
| 40 Supervision of California Business and Industrial Development Corporations | -         | -            | -            | -               | 31              | 31              |
| 45 Savings and Loan   | -         | -            | -            | -               | 96              | 80              |
| 50 Industrial Banks   | -         | 8.0          | 8.0          | -               | 1,019           | 920             |
| 55 Administration of Local Agency Security                                    | -         | 4.0          | 4.0          | -               | 412             | 415             |
| 60 Credit Unions  | -         | 59.0         | 59.0         | -               | 7,580           | 7,604           |
| 90.01 Administration  | -         | 103.5        | 103.5        | -               | 13,218          | 14,218          |
| 90.02 Distributed Administration  | -         | -            | -            | -               | <u>-13,218</u>  | <u>-14,218</u>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                      | <b>-</b>  | <b>582.0</b> | <b>601.0</b> | <b>\$-</b>      | <b>\$80,486</b> | <b>\$83,796</b> |
| <b>FUNDING</b>  |           |              |              | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 0067 State Corporations Fund  |           |              |              | \$-             | \$44,992        | \$48,257        |
| 0240 Local Agency Deposit Security Fund                                       |           |              |              | -               | 412             | 415             |
| 0298 Financial Institutions Fund  |           |              |              | -               | 26,280          | 26,420          |
| 0299 Credit Union Fund  |           |              |              | -               | 7,580           | 7,604           |
| 0995 Reimbursements   |           |              |              | -               | <u>1,222</u>    | <u>1,100</u>    |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>  |           |              |              | <b>\$-</b>      | <b>\$80,486</b> | <b>\$83,796</b> |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Financial Code, Division 1, Chapter 3.

#### PROGRAM AUTHORITY

10-Investment Program:

Corporations Code, Title 4, Divisions 1, 3, 4, 4.5, and 5; Title 10, California Code of Regulations, Sections 250.1-250.70, 260.000-260.617, 280.100-280.700, 290.570-290.571, and 310.000-310.505.

20-Lender-Fiduciary Program:

Financial Code, Divisions 1.4, 1.7, 3, 6, 9, 10, 14, and 20; Title 10, California Code of Regulations, Sections 1400-1596, 1700-1769, 1772-1799.1, 1805.001-1805.213.1, 1950.003-1950.317, and 2020-2031.10.

30-Licensing and Supervision of Banks and Trust Companies:

California Financial Code, Division 1 and Division 1.1.

35-Money Transmitters:

California Financial Code, Division 1.2.

40-Supervision of California Business and Industrial Development Corporations:

California Financial Code, Division 15.

45-Savings and Loan:

California Financial Code, Division 2.

\* Dollars in thousands, except in Salary Range.

## 1701 Business Oversight - Continued

50-Industrial Banks:

California Financial Code, Division 1.1, Chapter 15.

55-Administration of Local Agency Security:

Government Code, Sections 53630-53686.

60-Credit Unions:

California Financial Code, Division 5.

90-Administration:

California Financial Code, Division 1, Chapter 2.

### MAJOR PROGRAM CHANGES

- The Governor's Budget includes \$2.7 million and 19 positions in 2014-15 and \$5.2 million and 36 positions in 2015-16 and annually thereafter for the Department to establish cyclical examinations of broker-dealers and investment advisors.

### DETAILED BUDGET ADJUSTMENTS

|   | 2013-14*     |              |           | 2014-15*     |                |             |
|---|--------------|--------------|-----------|--------------|----------------|-------------|
|   | General Fund | Other Funds  | Positions | General Fund | Other Funds    | Positions   |
| <b>Workload Budget Adjustments</b>  |              |              |           |              |                |             |
| <b>Workload Budget Change Proposals</b>                                   |              |              |           |              |                |             |
| • Broker-Dealer and Investment Advisor Program                            | \$-          | \$-          | -         | \$-          | \$2,655        | 19.0        |
| <b>Totals, Workload Budget Change Proposals</b>                           | <b>\$-</b>   | <b>\$-</b>   | <b>-</b>  | <b>\$-</b>   | <b>\$2,655</b> | <b>19.0</b> |
| <b>Other Workload Budget Adjustments</b>                                  |              |              |           |              |                |             |
| • Employee Compensation Adjustments                                       | \$-          | \$679        | -         | \$-          | \$719          | -           |
| • Retirement Rate Adjustment  | -            | 282          | -         | -            | 282            | -           |
| • One Time Cost Reductions  | -            | -            | -         | -            | -77            | -           |
| • Miscellaneous Adjustments   | -            | -            | -         | -            | 192            | -           |
| <b>Totals, Other Workload Budget Adjustments</b>                          | <b>\$-</b>   | <b>\$961</b> | <b>-</b>  | <b>\$-</b>   | <b>\$1,116</b> | <b>-</b>    |
| <b>Totals, Workload Budget Adjustments</b>                                | <b>\$-</b>   | <b>\$961</b> | <b>-</b>  | <b>\$-</b>   | <b>\$3,771</b> | <b>19.0</b> |
| <b>Policy Adjustments</b>   |              |              |           |              |                |             |
| • National Mortgage Settlement-Foreclosure Education and Outreach Program | \$-          | \$-          | -         | \$-          | \$500          | -           |
| <b>Totals, Policy Adjustments</b>   | <b>\$-</b>   | <b>\$-</b>   | <b>-</b>  | <b>\$-</b>   | <b>\$500</b>   | <b>-</b>    |
| <b>Totals, Budget Adjustments</b>   | <b>\$-</b>   | <b>\$961</b> | <b>-</b>  | <b>\$-</b>   | <b>\$4,271</b> | <b>19.0</b> |

### PROGRAM DESCRIPTIONS

#### 10 - INVESTMENT PROGRAM

The Investment Program protects investors in securities and franchise investment transactions and promotes capital formation in California. The program regulates the offer and sale of certain securities, franchises, and licenses and examines broker-dealers and investment advisors.

#### 20 - LENDER-FIDUCIARY PROGRAM

The Lender-Fiduciary Program protects consumers who borrow and enter into financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and regulates businesses engaged in financial transactions such as mortgage loan originators, finance lenders, escrow agents, deferred deposit originators, bill payers, proraters, and securities depositories.

#### 30 - LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

The objective of this program is to promote the integrity and stability of state-licensed banks and trust companies through the regulation and supervision of these institutions. Program activities include periodic examinations to ensure that business is

\* Dollars in thousands, except in Salary Range.

### 1701 Business Oversight - Continued

conducted in a safe and sound manner, and investigations of new bank and trust company applications and other required applicants. Statute requires examinations of state-licensed banks to be conducted at least once every 12 months; however, every other examination may be conducted by the appropriate federal regulator. Examinations of state-licensed trust companies must be conducted no less frequently than once every 24 months.

#### 35 - MONEY TRANSMITTERS

The objective of this program is to promote the integrity and stability of businesses receiving money in the United States for transmission, sale or issuance of payment instruments, and the sale or issuance of stored value. The objective is accomplished through the examination, regulation, and supervision of these institutions. Examinations can be conducted at any time to ensure the licensees are complying with the provisions of the Money Transmission Act and operating in a safe and sound manner. In order to protect the public, a thorough review of each new applicant is conducted before a license is issued.

#### 40 - SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

This program licenses and regulates business and industrial development corporations. The program ensures that business is conducted in a financially sound manner.

#### 45 - SAVINGS AND LOAN

The Savings and Loan Program ensures that state-chartered savings associations comply with applicable laws and regulations.

#### 50 - INDUSTRIAL BANKS

The Industrial Banks Program ensures that industrial bank associations are regulated as commercial banks and comply with applicable laws and regulations.

#### 55 - ADMINISTRATION OF LOCAL AGENCY SECURITY

The Department monitors the amount and quality of collateral pledged to secure deposits of public funds made by approximately 1,500 local agencies. The program also administers local agency security for banks, savings and loans, credit unions, and industrial banks as well as federally-chartered financial institutions.

#### 60 - CREDIT UNIONS

The primary objective of this program is to promote the integrity and stability of credit unions through the regulation and supervision of these institutions, including examinations to ensure they are operating in a safe and sound manner and complying with the appropriate provisions of the Financial Code relating to their operation. Per statute, examinations are conducted no less than once every two years.

#### 90 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including executive, legal, legislative, policy, fiscal, business services, and information technology.

#### DETAILED EXPENDITURES BY PROGRAM

|                                       | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---------------------------------------|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>           |                 |                 |                 |
| <b>10 INVESTMENT PROGRAM</b>          |                 |                 |                 |
| <b>State Operations:</b>              |                 |                 |                 |
| 0067 State Corporations Fund          | \$-             | \$22,630        | \$25,351        |
| <b>Totals, State Operations</b>       | <b>\$-</b>      | <b>\$22,630</b> | <b>\$25,351</b> |
| <b>ELEMENT REQUIREMENTS</b>           |                 |                 |                 |
| <b>10.10 Corporate Securities Law</b> | <b>\$-</b>      | <b>\$15,104</b> | <b>\$15,131</b> |
| <b>State Operations:</b>              |                 |                 |                 |
| 0067 State Corporations Fund          | -               | 15,104          | 15,131          |
| <b>10.15 Broker/Dealers</b>           | <b>\$-</b>      | <b>\$3,111</b>  | <b>\$4,418</b>  |
| <b>State Operations:</b>              |                 |                 |                 |
| 0067 State Corporations Fund          | -               | 3,111           | 4,418           |
| <b>10.25 Investment Advisers</b>      | <b>\$-</b>      | <b>\$2,613</b>  | <b>\$3,985</b>  |
| <b>State Operations:</b>              |                 |                 |                 |
| 0067 State Corporations Fund          | -               | 2,613           | 3,985           |
| <b>10.35 Agent Monitoring Law</b>     | <b>\$-</b>      | <b>\$239</b>    | <b>\$241</b>    |

\* Dollars in thousands, except in Salary Range.

## 1701 Business Oversight - Continued

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 239             | 241             |
| <b>10.55 California Commodity Law</b>  | <b>\$-</b>      | <b>\$10</b>     | <b>\$11</b>     |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 10              | 11              |
| <b>10.65 Franchise Investment Law</b>  | <b>\$-</b>      | <b>\$1,553</b>  | <b>\$1,565</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 1,553           | 1,565           |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>20 LENDER-FIDUCIARY PROGRAM</b>   |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | <u>\$-</u>      | <u>\$22,362</u> | <u>\$22,906</u> |
| <b>Totals, State Operations</b>  | <b>\$-</b>      | <b>\$22,362</b> | <b>\$22,906</b> |
| <b>ELEMENT REQUIREMENTS</b>  |                 |                 |                 |
| <b>20.20 Deferred Deposit Transaction Law</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 4,297           | 4,301           |
| <b>20.30 Escrow Law</b>  | <b>\$-</b>      | <b>\$5,623</b>  | <b>\$5,647</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 5,623           | 5,647           |
| <b>20.85 California Mortgage Loan Originator</b>                                     | <b>\$-</b>      | <b>\$1,435</b>  | <b>\$1,460</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 1,435           | 1,460           |
| <b>20.90 California Finance Lenders Law</b>  | <b>\$-</b>      | <b>\$7,537</b>  | <b>\$7,857</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 7,537           | 7,857           |
| <b>20.95 Mortgage Bankers Law</b>  | <b>\$-</b>      | <b>\$3,470</b>  | <b>\$3,641</b>  |
| <b>State Operations:</b>   |                 |                 |                 |
| 0067 State Corporations Fund   | -               | 3,470           | 3,641           |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>30 LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES</b>                     |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0298 Financial Institutions Fund   | \$-             | \$21,815        | \$22,053        |
| 0995 Reimbursements  | <u>-</u>        | <u>1,222</u>    | <u>1,100</u>    |
| <b>Totals, State Operations</b>  | <b>\$-</b>      | <b>\$23,037</b> | <b>\$23,153</b> |
| <b>35 PROGRAM REQUIREMENTS</b>   |                 |                 |                 |
| <b>MONEY TRANSMITTERS</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0298 Financial Institutions Fund   | <u>\$-</u>      | <u>\$3,319</u>  | <u>\$3,336</u>  |
| <b>Totals, State Operations</b>  | <b>\$-</b>      | <b>\$3,319</b>  | <b>\$3,336</b>  |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>40 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS</b> |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0298 Financial Institutions Fund   | <u>\$-</u>      | <u>\$31</u>     | <u>\$31</u>     |
| <b>Totals, State Operations</b>  | <b>\$-</b>      | <b>\$31</b>     | <b>\$31</b>     |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>45 SAVINGS AND LOAN</b>   |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

**1701 Business Oversight - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| <b>State Operations:</b>                          |                 |                 |                 |
| 0298 Financial Institutions Fund                  | \$-             | \$96            | \$80            |
| <b>Totals, State Operations</b>                   | <b>\$-</b>      | <b>\$96</b>     | <b>\$80</b>     |
| <b>PROGRAM REQUIREMENTS</b>                       |                 |                 |                 |
| <b>50 INDUSTRIAL BANKS</b>                        |                 |                 |                 |
| <b>State Operations:</b>                          |                 |                 |                 |
| 0298 Financial Institutions Fund                  | \$-             | \$1,019         | \$920           |
| <b>Totals, State Operations</b>                   | <b>\$-</b>      | <b>\$1,019</b>  | <b>\$920</b>    |
| <b>PROGRAM REQUIREMENTS</b>                       |                 |                 |                 |
| <b>55 ADMINISTRATION OF LOCAL AGENCY SECURITY</b> |                 |                 |                 |
| <b>State Operations:</b>                          |                 |                 |                 |
| 0240 Local Agency Security Deposit Fund           | \$-             | \$412           | \$415           |
| <b>Totals, State Operations</b>                   | <b>\$-</b>      | <b>\$412</b>    | <b>\$415</b>    |
| <b>PROGRAM REQUIREMENTS</b>                       |                 |                 |                 |
| <b>60 CREDIT UNIONS</b>                           |                 |                 |                 |
| <b>State Operations:</b>                          |                 |                 |                 |
| 0299 Credit Union Fund                            | \$-             | \$7,580         | \$7,604         |
| <b>Totals, State Operations</b>                   | <b>\$-</b>      | <b>\$7,580</b>  | <b>\$7,604</b>  |
| <b>TOTALS, EXPENDITURES</b>                       |                 |                 |                 |
| State Operations                                  | -               | 80,486          | 83,796          |
| <b>Totals, Expenditures</b>                       | <b>\$-</b>      | <b>\$80,486</b> | <b>\$83,796</b> |

**EXPENDITURES BY CATEGORY**

| 1 State Operations                                   | <u>Positions</u> |              |              | <u>Expenditures</u> |                 |                 |
|--|------------------|--------------|--------------|---------------------|-----------------|-----------------|
|  | 2012-13          | 2013-14      | 2014-15      | 2012-13*            | 2013-14*        | 2014-15*        |
| <b>PERSONAL SERVICES</b>                             |                  |              |              |                     |                 |                 |
| Authorized Positions (Equals Sch. 7A)                | -                | 582.0        | 582.0        | \$-                 | \$41,211        | \$42,302        |
| Total Adjustments                                    | -                | -            | 19.0         | -                   | 486             | 1,738           |
| <b>Net Totals, Salaries and Wages</b>                | <b>-</b>         | <b>582.0</b> | <b>601.0</b> | <b>\$-</b>          | <b>\$41,697</b> | <b>\$44,040</b> |
| Staff Benefits                                       | -                | -            | -            | -                   | 14,934          | 15,553          |
| <b>Totals, Personal Services</b>                     | <b>-</b>         | <b>582.0</b> | <b>601.0</b> | <b>\$-</b>          | <b>\$56,631</b> | <b>\$59,593</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>              |                  |              |              |                     |                 |                 |
|  |                  |              |              | \$-                 | \$23,855        | \$24,203        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS</b> |                  |              |              | <b>\$-</b>          | <b>\$80,486</b> | <b>\$83,796</b> |
| <b>(State Operations)</b>                            |                  |              |              |                     |                 |                 |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

| 1 STATE OPERATIONS                             | 2012-13*   | 2013-14*        | 2014-15*        |
|--|------------|-----------------|-----------------|
| <b>0067 State Corporations Fund</b>            |            |                 |                 |
| <b>APPROPRIATIONS</b>                          |            |                 |                 |
| 001 Budget Act appropriation                   | \$-        | \$44,467        | \$48,257        |
| Allocation for employee compensation           | -          | 374             | -               |
| Adjustment per Section 3.60                    | -          | 151             | -               |
| 011 Budget Act appropriation                   | -          | (15,000)        | -               |
| <b>TOTALS, EXPENDITURES</b>                    | <b>\$-</b> | <b>\$44,992</b> | <b>\$48,257</b> |
| <b>0240 Local Agency Deposit Security Fund</b> |            |                 |                 |
| <b>APPROPRIATIONS</b>                          |            |                 |                 |
| 001 Budget Act appropriation                   | \$-        | \$405           | \$415           |

\* Dollars in thousands, except in Salary Range.

## 1701 Business Oversight - Continued

| 1 STATE OPERATIONS  | 2012-13*   | 2013-14*        | 2014-15*        |
|---|------------|-----------------|-----------------|
| Allocation for employee compensation                      | -          | 5               | -               |
| Adjustment per Section 3.60                               | -          | <u>2</u>        | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$-</b> | <b>\$412</b>    | <b>\$415</b>    |
| <b>0298 Financial Institutions Fund</b>                   |            |                 |                 |
| APPROPRIATIONS  |            |                 |                 |
| 001 Budget Act appropriation                              | \$-        | \$25,957        | \$26,420        |
| Allocation for employee compensation                      | -          | 228             | -               |
| Adjustment per Section 3.60                               | -          | <u>95</u>       | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$-</b> | <b>\$26,280</b> | <b>\$26,420</b> |
| <b>0299 Credit Union Fund</b>                             |            |                 |                 |
| APPROPRIATIONS  |            |                 |                 |
| 001 Budget Act appropriation                              | \$-        | \$7,474         | \$7,604         |
| Allocation for employee compensation                      | -          | 72              | -               |
| Adjustment per Section 3.60                               | -          | <u>34</u>       | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$-</b> | <b>\$7,580</b>  | <b>\$7,604</b>  |
| <b>0995 Reimbursements</b>                                |            |                 |                 |
| APPROPRIATIONS  |            |                 |                 |
| Reimbursements  | -          | <u>\$1,222</u>  | <u>\$1,100</u>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$-</b> | <b>\$80,486</b> | <b>\$83,796</b> |

## FUND CONDITION STATEMENTS

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| <b>0067 State Corporations Fund <sup>s</sup></b>                                      |                 |                 |                 |
| BEGINNING BALANCE   | \$60,146        | \$57,929        | \$32,860        |
| Prior year adjustments  | <u>2,128</u>    | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$62,274        | \$57,929        | \$32,860        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 125700 Other Regulatory Licenses and Permits  | 29,848          | 27,907          | 36,173          |
| 125800 Renewal Fees   | 7,611           | 6,518           | 6,518           |
| 125900 Delinquent Fees  | 1               | -               | -               |
| 141200 Sales of Documents   | 4               | 2               | 2               |
| 142500 Miscellaneous Services to the Public   | 6               | 1               | 1               |
| 150300 Income From Surplus Money Investments  | 134             | 200             | 200             |
| 161000 Escheat of Unclaimed Checks & Warrants   | 7               | 1               | 1               |
| 163000 Settlements/Judgments(not Anti-trust)  | 1,365           | 588             | 588             |
| 164300 Penalty Assessments  | 61              | 108             | 108             |
| Transfers and Other Adjustments:  |                 |                 |                 |
| TO0001 To General Fund per Item 1701-011-0067, Budget Act of 2013                     | <u>-</u>        | <u>-15,000</u>  | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments                                      | <u>\$39,037</u> | <u>\$20,325</u> | <u>\$43,591</u> |
| Total Resources   | \$101,311       | \$78,254        | \$76,451        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) | -               | 195             | 265             |
| 0840 State Controller (State Operations)  | 26              | -               | -               |
| 1701 Business Oversight (State Operations)  | -               | 44,992          | 48,257          |
| 2180 Department of Corporations (State Operations)                                    | 43,133          | -               | -               |
| 8880 Financial Information System for California (State Operations)                   | <u>223</u>      | <u>207</u>      | <u>37</u>       |
| Total Expenditures and Expenditure Adjustments  | \$43,382        | \$45,394        | \$48,559        |

\* Dollars in thousands, except in Salary Range.

**1701 Business Oversight - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| FUND BALANCE  | \$57,929        | \$32,860        | \$27,892        |
| Reserve for economic uncertainties  | 57,929          | 32,860          | 27,892          |
| <b>0240 Local Agency Deposit Security Fund <sup>s</sup></b>                           |                 |                 |                 |
| BEGINNING BALANCE   | \$438           | \$347           | \$221           |
| Prior year adjustments  | -3              | -               | -               |
| Adjusted Beginning Balance  | \$435           | \$347           | \$221           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 121200 Other Regulatory Taxes   | 273             | 273             | 273             |
| 150300 Income From Surplus Money Investments  | 2               | 2               | 2               |
| 164300 Penalty Assessments  | 15              | 15              | 15              |
| Total Revenues, Transfers, and Other Adjustments                                      | <u>\$290</u>    | <u>\$290</u>    | <u>\$290</u>    |
| Total Resources   | \$725           | \$637           | \$511           |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) | -               | 2               | 1               |
| 0840 State Controller (State Operations)  | 1               | -               | -               |
| 1701 Business Oversight (State Operations)  | -               | 412             | 415             |
| 2150 Department of Financial Institutions (State Operations)                          | 375             | -               | -               |
| 8880 Financial Information System for California (State Operations)                   | 2               | 2               | -               |
| Total Expenditures and Expenditure Adjustments  | <u>\$378</u>    | <u>\$416</u>    | <u>\$416</u>    |
| FUND BALANCE  | \$347           | \$221           | \$95            |
| Reserve for economic uncertainties  | 347             | 221             | 95              |
| <b>0298 Financial Institutions Fund <sup>s</sup></b>                                  |                 |                 |                 |
| BEGINNING BALANCE   | \$11,547        | \$10,559        | \$9,708         |
| Prior year adjustments  | 30              | -               | -               |
| Adjusted Beginning Balance  | \$11,577        | \$10,559        | \$9,708         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 121200 Other Regulatory Taxes   | 22,656          | 22,657          | 22,657          |
| 125700 Other Regulatory Licenses and Permits  | 118             | 125             | 125             |
| 150300 Income From Surplus Money Investments  | 57              | 74              | 74              |
| 161400 Miscellaneous Revenue  | 1,941           | 2,789           | 2,789           |
| 163000 Settlements/Judgments(not Anti-trust)  | 90              | -               | -               |
| Total Revenues, Transfers, and Other Adjustments                                      | <u>\$24,862</u> | <u>\$25,645</u> | <u>\$25,645</u> |
| Total Resources   | \$36,439        | \$36,204        | \$35,353        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) | -               | 96              | 131             |
| 0840 State Controller (State Operations)  | 21              | -               | -               |
| 1701 Business Oversight (State Operations)  | -               | 26,280          | 26,420          |
| 2150 Department of Financial Institutions (State Operations)                          | 25,730          | -               | -               |
| 8880 Financial Information System for California (State Operations)                   | 129             | 120             | 21              |
| Total Expenditures and Expenditure Adjustments  | <u>\$25,880</u> | <u>\$26,496</u> | <u>\$26,572</u> |
| FUND BALANCE  | \$10,559        | \$9,708         | \$8,781         |
| Reserve for economic uncertainties  | 10,559          | 9,708           | 8,781           |
| <b>0299 Credit Union Fund <sup>s</sup></b>  |                 |                 |                 |
| BEGINNING BALANCE   | \$1,720         | \$1,683         | \$2,915         |

\* Dollars in thousands, except in Salary Range.

### 1701 Business Oversight - Continued

|   | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| Prior year adjustments  | 10             | -              | -              |
| Adjusted Beginning Balance  | \$1,730        | \$1,683        | \$2,915        |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                                     |                |                |                |
| Revenues:   |                |                |                |
| 121200 Other Regulatory Taxes   | 7,193          | 7,487          | 7,487          |
| 125700 Other Regulatory Licenses and Permits  | 18             | 17             | 17             |
| 150300 Income From Surplus Money Investments  | 18             | 22             | 22             |
| Transfers and Other Adjustments:  |                |                |                |
| FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002    | -              | 1,350          | 1,350          |
| Total Revenues, Transfers, and Other Adjustments                                      | <u>\$7,229</u> | <u>\$8,876</u> | <u>\$8,876</u> |
| Total Resources   | \$8,959        | \$10,559       | \$11,791       |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                       |                |                |                |
| Expenditures:   |                |                |                |
| 0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) | -              | 30             | 40             |
| 0840 State Controller (State Operations)  | 6              | -              | -              |
| 1701 Business Oversight (State Operations)  | -              | 7,580          | 7,604          |
| 2150 Department of Financial Institutions (State Operations)                          | 7,233          | -              | -              |
| 8880 Financial Information System for California (State Operations)                   | <u>37</u>      | <u>34</u>      | <u>6</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$7,276</u> | <u>\$7,644</u> | <u>\$7,650</u> |
| FUND BALANCE  | \$1,683        | \$2,915        | \$4,141        |
| Reserve for economic uncertainties  | 1,683          | 2,915          | 4,141          |

### CHANGES IN AUTHORIZED POSITIONS

|  | Positions |              |              | Expenditures       |                 |                 |
|--|-----------|--------------|--------------|--------------------|-----------------|-----------------|
|  | 2012-13   | 2013-14      | 2014-15      | 2012-13*           | 2013-14*        | 2014-15*        |
| Totals, Authorized Positions           | -         | 582.0        | 582.0        | \$-                | \$41,211        | \$42,302        |
| Salary Adjustments                     | -         | -            | -            | -                  | 486             | 486             |
| <b>Salary Range</b>                    |           |              |              |                    |                 |                 |
| <b>Proposed New Positions:</b>         |           |              |              |                    |                 |                 |
| Supervising Corporation Examiner       | -         | -            | 1.0          | 6,779-8,082        | -               | 89              |
| Corporation Examiner IV - Sup          | -         | -            | 1.0          | 5,844-7,636        | -               | 81              |
| Associate Governmental Program Analyst | -         | -            | 1.0          | 4,400-5,508        | -               | 59              |
| Corporation Examiner                   | <u>-</u>  | <u>-</u>     | <u>16.0</u>  | <u>4,009-6,644</u> | <u>-</u>        | <u>1,023</u>    |
| <b>Totals Proposed New Positions</b>   | <u>-</u>  | <u>-</u>     | <u>19.0</u>  | <u>\$-</u>         | <u>\$-</u>      | <u>\$1,252</u>  |
| <b>Total Adjustments</b>               | <u>-</u>  | <u>-</u>     | <u>19.0</u>  | <u>\$-</u>         | <u>\$486</u>    | <u>\$1,738</u>  |
| <b>TOTALS, SALARIES AND WAGES</b>      | <u>-</u>  | <u>582.0</u> | <u>601.0</u> | <u>\$-</u>         | <u>\$41,697</u> | <u>\$44,040</u> |

### 1705 Fair Employment and Housing Commission

Chapter 46 of the Statutes of 2012 eliminated the Fair Employment and Housing Commission (Commission) effective January 1, 2013.

The Commission was a quasi-judicial body responsible for the promotion and enforcement of the state's civil rights laws concerning discrimination in employment, housing, and public accommodations; family, medical, and pregnancy disability leave; hate violence, and threats of violence. The seven members of the Commission were appointed by the Governor and confirmed by the Senate.

### 3-YR EXPENDITURES AND POSITIONS

|   | Positions |         |         | Expenditures |          |          |
|---|-----------|---------|---------|--------------|----------|----------|
|   | 2012-13   | 2013-14 | 2014-15 | 2012-13*     | 2013-14* | 2014-15* |
| 10 Fair Employment and Housing Commission | 2.8       | -       | -       | \$430        | \$-      | \$-      |

\* Dollars in thousands, except in Salary Range.



**1705 Fair Employment and Housing Commission - Continued**

|  | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|--|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|  | <u>2012-13</u>   | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u> | <u>2014-15*</u> |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | 2.8              | -              | -              | \$430               | \$-             | \$-             |
| <b>FUNDING</b>   |                  |                |                | <b>2012-13*</b>     | <b>2013-14*</b> | <b>2014-15*</b> |
| 0001 General Fund  |                  |                |                | \$389               | \$-             | \$-             |
| 0995 Reimbursements                                      |                  |                |                | 41                  | -               | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                  |                |                | <b>\$430</b>        | <b>\$-</b>      | <b>\$-</b>      |

**LEGAL CITATIONS AND AUTHORITY**

Government Code, Section 12935.

**PROGRAM DESCRIPTIONS**

10 - FAIR EMPLOYMENT AND HOUSING COMMISSION

The Commission adjudicated cases brought before it by the Department of Fair Employment and Housing, promulgated regulations that interpreted the Fair Employment and Housing Act, sponsored and analyzed legislation on civil rights issues, provided technical assistance to the Governor and the Legislature, and provided education and outreach to encourage compliance with the Fair Employment and Housing Act.

**DETAILED EXPENDITURES BY PROGRAM**

|                                 |   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---------------------------------|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>     |   |                 |                 |                 |
| <b>10</b>                       | <b>Fair Employment and Housing Commission</b> |                 |                 |                 |
| <b>State Operations:</b>        |   |                 |                 |                 |
| 0001                            | General Fund                                  | \$389           | \$-             | \$-             |
| 0995                            | Reimbursements                                | 41              | -               | -               |
| <b>Totals, State Operations</b> |   | <b>\$430</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES</b>     |   |                 |                 |                 |
| State Operations                |   | 430             | -               | -               |
| <b>Totals, Expenditures</b>     |   | <b>\$430</b>    | <b>\$-</b>      | <b>\$-</b>      |

**EXPENDITURES BY CATEGORY**

|   | <u>Positions</u>                      |                |                | <u>Expenditures</u> |                 |                 |            |
|---|---------------------------------------|----------------|----------------|---------------------|-----------------|-----------------|------------|
|   | <u>2012-13</u>                        | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u> | <u>2014-15*</u> |            |
| <b>1 State Operations</b>   |                                       |                |                |                     |                 |                 |            |
| <b>PERSONAL SERVICES</b>  |                                       |                |                |                     |                 |                 |            |
|   | Authorized Positions (Equals Sch. 7A) | 2.8            | -              | -                   | \$268           | \$-             | \$-        |
|   | <b>Net Totals, Salaries and Wages</b> | <b>2.8</b>     | <b>-</b>       | <b>-</b>            | <b>\$268</b>    | <b>\$-</b>      | <b>\$-</b> |
|   | Staff Benefits                        | -              | -              | -                   | 80              | -               | -          |
|   | <b>Totals, Personal Services</b>      | <b>2.8</b>     | <b>-</b>       | <b>-</b>            | <b>\$348</b>    | <b>\$-</b>      | <b>\$-</b> |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |                                       |                |                |                     |                 |                 |            |
|   |                                       |                |                |                     | \$82            | \$-             | \$-        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                                       |                |                | <b>\$430</b>        | <b>\$-</b>      | <b>\$-</b>      |            |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

| <b>1 STATE OPERATIONS</b> |                          | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|---------------------------|--------------------------|-----------------|-----------------|-----------------|
|                           | <b>0001 General Fund</b> |                 |                 |                 |
| <b>APPROPRIATIONS</b>     |                          |                 |                 |                 |

\* Dollars in thousands, except in Salary Range.

## 1705 Fair Employment and Housing Commission - Continued

| 1 STATE OPERATIONS  | 2012-13*     | 2013-14*   | 2014-15*   |
|---|--------------|------------|------------|
| 001 Budget Act appropriation                              | \$496        | \$-        | \$-        |
| Allocation for employee compensation                      | 3            | -          | -          |
| Adjustment per Section 3.60                               | 5            | -          | -          |
| Adjustment per Section 3.90                               | -13          | -          | -          |
| <b>Totals Available</b>                                   | <b>\$491</b> | <b>\$-</b> | <b>\$-</b> |
| Unexpended balance, estimated savings                     | -102         | -          | -          |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$389</b> | <b>\$-</b> | <b>\$-</b> |
| <b>0995 Reimbursements</b>                                |              |            |            |
| APPROPRIATIONS  |              |            |            |
| Reimbursements  | \$41         | \$-        | \$-        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$430</b> | <b>\$-</b> | <b>\$-</b> |

## 1750 California Horse Racing Board

The California Horse Racing Board regulates parimutuel wagering for the protection of the public and promotes the horse racing and breeding industries.

Jurisdiction and supervision over meetings in California where horse races with wagering on their results are held, and over all things having to do with the operation of such meetings, are vested in the seven-member California Horse Racing Board, who are appointed by the Governor. Principal activities of the Board include:

- Protecting the public's interests.
- Licensing of racing associations and participants in the racing industry.
- Enforcing laws, rules, and regulations pertaining to horse racing in California.
- Acting as a quasi-judicial body in matters pertaining to horse racing meets.
- Encouraging agriculture and the breeding of horses in the state.
- Collecting the State's lawful share of revenue derived from horse racing meets.
- Tabulating, analyzing, and publishing statistical racing information.
- Conducting research to determine the cause and prevention of horse racing accidents and the effects of drug substances on horses, and to detect foreign drug substances.

### 3-YR EXPENDITURES AND POSITIONS

|  | Positions   |             |             | Expenditures    |                 |                 |
|--|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|  | 2012-13     | 2013-14     | 2014-15     | 2012-13*        | 2013-14*        | 2014-15*        |
| 10 California Horse Racing Board                         | 48.1        | 57.0        | 57.0        | \$11,489        | \$11,734        | \$12,355        |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>48.1</b> | <b>57.0</b> | <b>57.0</b> | <b>\$11,489</b> | <b>\$11,734</b> | <b>\$12,355</b> |
| <b>FUNDING</b>   |             |             |             | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 3153 Horse Racing Fund                                   |             |             |             | \$11,489        | \$11,734        | \$12,355        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |             |             |             | <b>\$11,489</b> | <b>\$11,734</b> | <b>\$12,355</b> |

### LEGAL CITATIONS AND AUTHORITY

State Constitution, Article IV, Section 19(b); Business and Professions Code Sections 19400 through 19705.

### DETAILED BUDGET ADJUSTMENTS

|  | 2013-14*     |               |           | 2014-15*     |              |           |
|--|--------------|---------------|-----------|--------------|--------------|-----------|
|  | General Fund | Other Funds   | Positions | General Fund | Other Funds  | Positions |
| <b>Workload Budget Adjustments</b>               |              |               |           |              |              |           |
| <b>Other Workload Budget Adjustments</b>         |              |               |           |              |              |           |
| • Employee Compensation Adjustments              | \$-          | \$73          | -         | \$-          | \$76         | -         |
| • Retirement Rate Adjustments                    | -            | 25            | -         | -            | 25           | -         |
| • Miscellaneous Adjustments                      | -            | -446          | -         | -            | 172          | -         |
| <b>Totals, Other Workload Budget Adjustments</b> | <b>\$-</b>   | <b>-\$348</b> | <b>-</b>  | <b>\$-</b>   | <b>\$273</b> | <b>-</b>  |

\* Dollars in thousands, except in Salary Range.

## 1750 California Horse Racing Board - Continued

|                                     | 2013-14*     |             |           | 2014-15*     |             |           |
|-------------------------------------|--------------|-------------|-----------|--------------|-------------|-----------|
|                                     | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| Totals, Workload Budget Adjustments | \$-          | -\$348      | -         | \$-          | \$273       | -         |
| Totals, Budget Adjustments          | \$-          | -\$348      | -         | \$-          | \$273       | -         |

## EXPENDITURES BY CATEGORY

| 1 State Operations  | Positions   |             |             | Expenditures    |                 |                 |
|---|-------------|-------------|-------------|-----------------|-----------------|-----------------|
|   | 2012-13     | 2013-14     | 2014-15     | 2012-13*        | 2013-14*        | 2014-15*        |
| PERSONAL SERVICES   |             |             |             |                 |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 48.1        | 57.0        | 57.0        | \$2,976         | \$3,377         | \$3,474         |
| Total Adjustments   | -           | -           | -           | -               | 53              | 53              |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>48.1</b> | <b>57.0</b> | <b>57.0</b> | <b>\$2,976</b>  | <b>\$3,430</b>  | <b>\$3,527</b>  |
| Staff Benefits  | -           | -           | -           | 1,290           | 1,216           | 1,251           |
| <b>Totals, Personal Services</b>  | <b>48.1</b> | <b>57.0</b> | <b>57.0</b> | <b>\$4,266</b>  | <b>\$4,646</b>  | <b>\$4,778</b>  |
| OPERATING EXPENSES AND EQUIPMENT  |             |             |             | \$7,223         | \$7,088         | \$7,577         |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |             |             |             | <b>\$11,489</b> | <b>\$11,734</b> | <b>\$12,355</b> |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| 3153 Horse Racing Fund  |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation                                      | \$-             | \$12,082        | \$12,355        |
| Allocation for employee compensation                              | -               | 73              | -               |
| Adjustment per Section 3.60                                       | -               | 25              | -               |
| Adjustment per Section 4.05                                       | -               | -3              | -               |
| 001 Budget Act appropriation (Renumbered from Item 8550-001-3153) | 11,590          | -               | -               |
| Allocation for employee compensation                              | 18              | -               | -               |
| Adjustment per Section 3.60                                       | 83              | -               | -               |
| Adjustment per Section 3.90                                       | -200            | -               | -               |
| Adjustment per Section 15.25                                      | -2              | -               | -               |
| <b>Totals Available</b>   | <b>\$11,489</b> | <b>\$12,177</b> | <b>\$12,355</b> |
| Unexpended balance, estimated savings                             | -               | -443            | -               |
| <b>TOTALS, EXPENDITURES</b>                                       | <b>\$11,489</b> | <b>\$11,734</b> | <b>\$12,355</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>         | <b>\$11,489</b> | <b>\$11,734</b> | <b>\$12,355</b> |

## FUND CONDITION STATEMENTS

|  | 2012-13* | 2013-14* | 2014-15* |
|--|----------|----------|----------|
| 3153 Horse Racing Fund <sup>s</sup>              |          |          |          |
| BEGINNING BALANCE                                | \$1,542  | \$1,758  | \$1,679  |
| Prior year adjustments                           | 173      | -        | -        |
| Adjusted Beginning Balance                       | \$1,715  | \$1,758  | \$1,679  |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS       |          |          |          |
| Revenues:  |          |          |          |
| 110900 Horse Racing Fees-Licenses                | 11,590   | 11,734   | 11,912   |
| 150300 Income From Surplus Money Investments     | 4        | 9        | 10       |
| Total Revenues, Transfers, and Other Adjustments | \$11,594 | \$11,743 | \$11,922 |

\* Dollars in thousands, except in Salary Range.

**1750 California Horse Racing Board - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| Total Resources   | \$13,309        | \$13,501        | \$13,601        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                       |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) | -               | 34              | 46              |
| 0840 State Controller (State Operations)  | 5               | 1               | -               |
| 1750 California Horse Racing Board (State Operations)                                 | 11,489          | 11,734          | 12,355          |
| 8880 Financial Information System for California (State Operations)                   | <u>57</u>       | <u>53</u>       | <u>10</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$11,551</u> | <u>\$11,822</u> | <u>\$12,411</u> |
| FUND BALANCE  | \$1,758         | \$1,679         | \$1,190         |
| Reserve for economic uncertainties  | 1,758           | 1,679           | 1,190           |

**CHANGES IN AUTHORIZED POSITIONS**

|                                   | <u>Positions</u> |             |             | <u>Expenditures</u> |                |                |
|-----------------------------------|------------------|-------------|-------------|---------------------|----------------|----------------|
|                                   | 2012-13          | 2013-14     | 2014-15     | 2012-13*            | 2013-14*       | 2014-15*       |
| Totals, Authorized Positions      | 48.1             | 57.0        | 57.0        | \$2,976             | \$3,377        | \$3,474        |
| Salary Adjustments                | -                | -           | -           | -                   | 53             | 53             |
| <b>Total Adjustments</b>          | <u>-</u>         | <u>-</u>    | <u>-</u>    | <u>\$-</u>          | <u>\$53</u>    | <u>\$53</u>    |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>48.1</b>      | <b>57.0</b> | <b>57.0</b> | <b>\$2,976</b>      | <b>\$3,430</b> | <b>\$3,527</b> |

**2100 Department of Alcoholic Beverage Control**

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the Department of Alcoholic Beverage Control (Department) was moved from the Business, Transportation, and Housing Agency to the Business, Consumer Services, and Housing Agency.

The Department is vested with the exclusive power to license and regulate persons and businesses engaged in the manufacture, importation, distribution, and sale of alcoholic beverages in the State of California. The Department's mission is to administer the provisions of the Alcoholic Beverage Control Act in a manner that fosters and protects the health, safety, welfare, and economic well-being of the people of California.

**3-YR EXPENDITURES AND POSITIONS**

|  | <u>Positions</u> |              |              | <u>Expenditures</u> |                 |                 |
|--|------------------|--------------|--------------|---------------------|-----------------|-----------------|
|  | 2012-13          | 2013-14      | 2014-15      | 2012-13*            | 2013-14*        | 2014-15*        |
| 10 Administration of the Alcoholic Beverage Control Act  | <u>411.9</u>     | <u>427.9</u> | <u>429.9</u> | <u>\$55,496</u>     | <u>\$57,700</u> | <u>\$57,942</u> |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>411.9</b>     | <b>427.9</b> | <b>429.9</b> | <b>\$55,496</b>     | <b>\$57,700</b> | <b>\$57,942</b> |
| <b>FUNDING</b>   |                  |              |              | <b>2012-13*</b>     | <b>2013-14*</b> | <b>2014-15*</b> |
| 0890 Federal Trust Fund                                  |                  |              |              | \$181               | \$-             | \$-             |
| 0995 Reimbursements                                      |                  |              |              | 2,167               | 1,047           | 1,047           |
| 3036 Alcohol Beverages Control Fund                      |                  |              |              | <u>53,148</u>       | <u>56,653</u>   | <u>56,895</u>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                  |              |              | <b>\$55,496</b>     | <b>\$57,700</b> | <b>\$57,942</b> |

**LEGAL CITATIONS AND AUTHORITY**

## DEPARTMENT AUTHORITY

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

## PROGRAM AUTHORITY

Administration of the Alcoholic Beverage Control Act:

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

\* Dollars in thousands, except in Salary Range.

## 2100 Department of Alcoholic Beverage Control - Continued

### DETAILED BUDGET ADJUSTMENTS

|   | 2013-14*     |              |           | 2014-15*     |                |            |
|---|--------------|--------------|-----------|--------------|----------------|------------|
|   | General Fund | Other Funds  | Positions | General Fund | Other Funds    | Positions  |
| <b>Workload Budget Adjustments</b>  |              |              |           |              |                |            |
| <b>Workload Budget Change Proposals</b>   |              |              |           |              |                |            |
| • Enforcement of Laws at Winemaker Instructional Events (Chapter 329, Statutes of 2013)                       | \$-          | \$-          | -         | \$-          | \$99           | 1.0        |
| • Enforcement of Laws at Invitation-Only Parties at Licensed Retail Locations (Chapter 461, Statutes of 2013) | -            | -            | -         | -            | 99             | 1.0        |
| <b>Totals, Workload Budget Change Proposals</b>   | <b>\$-</b>   | <b>\$-</b>   | <b>-</b>  | <b>\$-</b>   | <b>\$198</b>   | <b>2.0</b> |
| <b>Other Workload Budget Adjustments</b>  |              |              |           |              |                |            |
| • Employee Compensation Adjustments   | \$-          | \$642        | -         | \$-          | \$686          | -          |
| • Retirement Rate Adjustment  | -            | 230          | -         | -            | 230            | -          |
| • Miscellaneous Adjustments   | -            | -3           | -         | -            | -3             | -          |
| <b>Totals, Other Workload Budget Adjustments</b>  | <b>\$-</b>   | <b>\$869</b> | <b>-</b>  | <b>\$-</b>   | <b>\$913</b>   | <b>-</b>   |
| <b>Totals, Workload Budget Adjustments</b>  | <b>\$-</b>   | <b>\$869</b> | <b>-</b>  | <b>\$-</b>   | <b>\$1,111</b> | <b>2.0</b> |
| <b>Totals, Budget Adjustments</b>   | <b>\$-</b>   | <b>\$869</b> | <b>-</b>  | <b>\$-</b>   | <b>\$1,111</b> | <b>2.0</b> |

### PROGRAM DESCRIPTIONS

#### 10 - ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT

This program conducts three major activities:

- Licensing, which ensures that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages.
- Compliance, which ensures adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry.
- Administration, which provides staff support and conducts administrative hearings.

### DETAILED EXPENDITURES BY PROGRAM

|                             |   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|-----------------------------|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>10</b>                   | <b>ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT</b> |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0890                        | Federal Trust Fund  | \$181           | \$-             | \$-             |
| 0995                        | Reimbursements  | 2,167           | 1,047           | 1,047           |
| 3036                        | Alcohol Beverages Control Fund                              | <u>50,151</u>   | <u>53,653</u>   | <u>53,895</u>   |
|                             | <b>Totals, State Operations</b>                             | <b>\$52,499</b> | <b>\$54,700</b> | <b>\$54,942</b> |
| <b>Local Assistance:</b>    |   |                 |                 |                 |
| 3036                        | Alcohol Beverages Control Fund                              | <u>\$2,997</u>  | <u>\$3,000</u>  | <u>\$3,000</u>  |
|                             | <b>Totals, Local Assistance</b>                             | <b>\$2,997</b>  | <b>\$3,000</b>  | <b>\$3,000</b>  |
| <b>TOTALS, EXPENDITURES</b> |   |                 |                 |                 |
|                             | State Operations  | 52,499          | 54,700          | 54,942          |
|                             | Local Assistance  | <u>2,997</u>    | <u>3,000</u>    | <u>3,000</u>    |
|                             | <b>Totals, Expenditures</b>                                 | <b>\$55,496</b> | <b>\$57,700</b> | <b>\$57,942</b> |

### EXPENDITURES BY CATEGORY

\* Dollars in thousands, except in Salary Range.

## 2100 Department of Alcoholic Beverage Control - Continued

| 1 State Operations  | Positions    |              |              | Expenditures    |                 |                 |
|---|--------------|--------------|--------------|-----------------|-----------------|-----------------|
|   | 2012-13      | 2013-14      | 2014-15      | 2012-13*        | 2013-14*        | 2014-15*        |
| PERSONAL SERVICES   |              |              |              |                 |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 411.9        | 427.9        | 427.9        | \$26,968        | \$28,506        | \$28,861        |
| Total Adjustments   | -            | -            | 2.0          | -               | 451             | 587             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>411.9</b> | <b>427.9</b> | <b>429.9</b> | <b>\$26,968</b> | <b>\$28,957</b> | <b>\$29,448</b> |
| Staff Benefits  | -            | -            | -            | 12,373          | 13,049          | 13,292          |
| <b>Totals, Personal Services</b>  | <b>411.9</b> | <b>427.9</b> | <b>429.9</b> | <b>\$39,341</b> | <b>\$42,006</b> | <b>\$42,740</b> |
| OPERATING EXPENSES AND EQUIPMENT  |              |              |              | \$13,158        | \$12,694        | \$12,202        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |              |              | <b>\$52,499</b> | <b>\$54,700</b> | <b>\$54,942</b> |

| 2 Local Assistance  | Expenditures   |                |                |
|---|----------------|----------------|----------------|
|   | 2012-13*       | 2013-14*       | 2014-15*       |
| Local Law Enforcement Agency Grants                       | \$2,997        | \$3,000        | \$3,000        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b> | <b>\$2,997</b> | <b>\$3,000</b> | <b>\$3,000</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| <b>0890 Federal Trust Fund</b>                            |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation                              | \$313           | \$-             | \$-             |
| Budget Adjustment   | -132            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$181</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>0995 Reimbursements</b>                                |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| Reimbursements  | \$2,167         | \$1,047         | \$1,047         |
| <b>3036 Alcohol Beverages Control Fund</b>                |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 001 Budget Act appropriation                              | \$51,796        | \$52,784        | \$53,895        |
| Allocation for employee compensation                      | 197             | 642             | -               |
| Adjustment per Section 3.60                               | 672             | 230             | -               |
| Adjustment per Section 3.90                               | -1,610          | -               | -               |
| Adjustment per Section 4.05                               | -               | -3              | -               |
| Adjustment per Section 15.25                              | -1              | -               | -               |
| <b>Totals Available</b>                                   | <b>\$51,054</b> | <b>\$53,653</b> | <b>\$53,895</b> |
| Unexpended balance, estimated savings                     | -903            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$50,151</b> | <b>\$53,653</b> | <b>\$53,895</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$52,499</b> | <b>\$54,700</b> | <b>\$54,942</b> |
| <b>2 LOCAL ASSISTANCE</b>                                 |                 |                 |                 |
| <b>3036 Alcohol Beverages Control Fund</b>                |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| 101 Budget Act appropriation                              | \$3,000         | \$3,000         | \$3,000         |
| <b>Totals Available</b>                                   | <b>\$3,000</b>  | <b>\$3,000</b>  | <b>\$3,000</b>  |
| Unexpended balance, estimated savings                     | -3              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$2,997</b>  | <b>\$3,000</b>  | <b>\$3,000</b>  |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b> | <b>\$2,997</b>  | <b>\$3,000</b>  | <b>\$3,000</b>  |

\* Dollars in thousands, except in Salary Range.

## 2100 Department of Alcoholic Beverage Control - Continued

|  |                 |                 |                 |
|--|-----------------|-----------------|-----------------|
| <b>2 LOCAL ASSISTANCE</b>  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <b>\$55,496</b> | <b>\$57,700</b> | <b>\$57,942</b> |

### FUND CONDITION STATEMENTS

|   | 2012-13*        | 2013-14*        | 2014-15*        |
|---|-----------------|-----------------|-----------------|
| <b>3036 Alcohol Beverages Control Fund <sup>s</sup></b>                               |                 |                 |                 |
| BEGINNING BALANCE   | \$33,284        | \$31,982        | \$28,590        |
| Prior year adjustments  | <u>-528</u>     | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance  | \$32,756        | \$31,982        | \$28,590        |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |                 |                 |                 |
| Revenues:   |                 |                 |                 |
| 121000 Liquor License Fees  | 52,587          | 53,639          | 54,712          |
| 161000 Escheat of Unclaimed Checks & Warrants   | 70              | 70              | 70              |
| 161400 Miscellaneous Revenue  | <u>37</u>       | <u>37</u>       | <u>37</u>       |
| Total Revenues, Transfers, and Other Adjustments                                      | <u>\$52,694</u> | <u>\$53,746</u> | <u>\$54,819</u> |
| Total Resources   | \$85,450        | \$85,728        | \$83,409        |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |                 |                 |                 |
| Expenditures:   |                 |                 |                 |
| 0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) | -               | 239             | 232             |
| 0840 State Controller (State Operations)  | 64              | 8               | -               |
| 2100 Department of Alcoholic Beverage Control   |                 |                 |                 |
| State Operations  | 50,151          | 53,653          | 53,895          |
| Local Assistance  | 2,997           | 3,000           | 3,000           |
| 8880 Financial Information System for California (State Operations)                   | <u>256</u>      | <u>238</u>      | <u>44</u>       |
| Total Expenditures and Expenditure Adjustments  | <u>\$53,468</u> | <u>\$57,138</u> | <u>\$57,171</u> |
| FUND BALANCE  | \$31,982        | \$28,590        | \$26,238        |
| Reserve for economic uncertainties  | 31,982          | 28,590          | 26,238          |

### CHANGES IN AUTHORIZED POSITIONS

|                                      | Positions    |              |              | Expenditures        |                 |                 |
|--------------------------------------|--------------|--------------|--------------|---------------------|-----------------|-----------------|
|                                      | 2012-13      | 2013-14      | 2014-15      | 2012-13*            | 2013-14*        | 2014-15*        |
| Totals, Authorized Positions         | 411.9        | 427.9        | 427.9        | \$26,968            | \$28,506        | \$28,861        |
| Salary Adjustments                   | -            | -            | -            | -                   | 451             | 451             |
| <b>Proposed New Positions:</b>       |              |              |              | <b>Salary Range</b> |                 |                 |
| Agent, Alcoholic Beverage Control    | <u>-</u>     | <u>-</u>     | <u>2.0</u>   | 4,888-6,318         | -               | 136             |
| <b>Totals Proposed New Positions</b> | <u>-</u>     | <u>-</u>     | <u>2.0</u>   | <u>\$-</u>          | <u>\$-</u>      | <u>\$136</u>    |
| <b>Total Adjustments</b>             | <u>-</u>     | <u>-</u>     | <u>2.0</u>   | <u>\$-</u>          | <u>\$451</u>    | <u>\$587</u>    |
| <b>TOTALS, SALARIES AND WAGES</b>    | <b>411.9</b> | <b>427.9</b> | <b>429.9</b> | <b>\$26,968</b>     | <b>\$28,957</b> | <b>\$29,448</b> |

## 2120 Alcoholic Beverage Control Appeals Board

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the Alcoholic Beverage Control Appeals Board was moved from the Business, Transportation, and Housing Agency to the Business, Consumer Services, and Housing Agency.

The Alcoholic Beverage Control Appeals Board provides a forum of appeal to persons who are dissatisfied with the Department of Alcoholic Beverage Control's decisions to order penalties or issue, deny, condition, transfer, suspend, or revoke any alcoholic beverage license.

### 3-YR EXPENDITURES AND POSITIONS

\* Dollars in thousands, except in Salary Range.

## 2120 Alcoholic Beverage Control Appeals Board - Continued

|  | Positions  |            |            | Expenditures    |                 |                 |
|--|------------|------------|------------|-----------------|-----------------|-----------------|
|  | 2012-13    | 2013-14    | 2014-15    | 2012-13*        | 2013-14*        | 2014-15*        |
| 10 Administrative Review                                 | 6.7        | 8.3        | 8.3        | \$851           | \$1,033         | \$1,027         |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>6.7</b> | <b>8.3</b> | <b>8.3</b> | <b>\$851</b>    | <b>\$1,033</b>  | <b>\$1,027</b>  |
| <b>FUNDING</b>   |            |            |            | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 0117 Alcoholic Beverage Control Appeals Fund             |            |            |            | \$851           | \$1,033         | \$1,027         |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |            |            |            | <b>\$851</b>    | <b>\$1,033</b>  | <b>\$1,027</b>  |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Article XX, Section 22, of the California Constitution and Division 9 of the Business and Professions Code.

#### PROGRAM AUTHORITY

Division 9 of the Business and Professions Code.

### DETAILED BUDGET ADJUSTMENTS

|  | 2013-14*     |             |           | 2014-15*     |             |           |
|--|--------------|-------------|-----------|--------------|-------------|-----------|
|  | General Fund | Other Funds | Positions | General Fund | Other Funds | Positions |
| <b>Workload Budget Adjustments</b>               |              |             |           |              |             |           |
| <b>Other Workload Budget Adjustments</b>         |              |             |           |              |             |           |
| • Employee Compensation Adjustments              | \$-          | \$2         | -         | \$-          | \$3         | -         |
| • Retirement Rate Adjustment                     | -            | 3           | -         | -            | 3           | -         |
| • Miscellaneous Adjustments                      | -            | -           | -         | -            | -7          | -         |
| <b>Totals, Other Workload Budget Adjustments</b> | <b>\$-</b>   | <b>\$5</b>  | <b>-</b>  | <b>\$-</b>   | <b>-\$1</b> | <b>-</b>  |
| <b>Totals, Workload Budget Adjustments</b>       | <b>\$-</b>   | <b>\$5</b>  | <b>-</b>  | <b>\$-</b>   | <b>-\$1</b> | <b>-</b>  |
| <b>Totals, Budget Adjustments</b>                | <b>\$-</b>   | <b>\$5</b>  | <b>-</b>  | <b>\$-</b>   | <b>-\$1</b> | <b>-</b>  |

### PROGRAM DESCRIPTIONS

#### 10 - ADMINISTRATIVE REVIEW

This program provides an appeals process and issues decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. These decisions are based on administrative hearings that involve license applications or alleged violations of the Alcoholic Beverage Control Act.

### DETAILED EXPENDITURES BY PROGRAM

|  |  | 2012-13*     | 2013-14*       | 2014-15*       |
|--|--|--------------|----------------|----------------|
| <b>PROGRAM REQUIREMENTS</b>                  |  |              |                |                |
| <b>10 ADMINISTRATIVE REVIEW</b>              |  |              |                |                |
| <b>State Operations:</b>                     |  |              |                |                |
| 0117 Alcoholic Beverage Control Appeals Fund |  | \$851        | \$1,033        | \$1,027        |
| <b>Totals, State Operations</b>              |  | <b>\$851</b> | <b>\$1,033</b> | <b>\$1,027</b> |
| <b>TOTALS, EXPENDITURES</b>                  |  |              |                |                |
| State Operations                             |  | 851          | 1,033          | 1,027          |
| <b>Totals, Expenditures</b>                  |  | <b>\$851</b> | <b>\$1,033</b> | <b>\$1,027</b> |

### EXPENDITURES BY CATEGORY

\* Dollars in thousands, except in Salary Range.



## 2120 Alcoholic Beverage Control Appeals Board - Continued

| 1 State Operations  | Positions  |            |            | Expenditures |                |                |
|---|------------|------------|------------|--------------|----------------|----------------|
|   | 2012-13    | 2013-14    | 2014-15    | 2012-13*     | 2013-14*       | 2014-15*       |
| PERSONAL SERVICES   |            |            |            |              |                |                |
| Authorized Positions (Equals Sch. 7A)                                       | 6.7        | 8.3        | 8.3        | \$405        | \$449          | \$459          |
| Total Adjustments   | -          | -          | -          | -            | 1              | 1              |
| <b>Net Totals, Salaries and Wages</b>                                       | <b>6.7</b> | <b>8.3</b> | <b>8.3</b> | <b>\$405</b> | <b>\$450</b>   | <b>\$460</b>   |
| Staff Benefits  | -          | -          | -          | 199          | 213            | 220            |
| <b>Totals, Personal Services</b>  | <b>6.7</b> | <b>8.3</b> | <b>8.3</b> | <b>\$604</b> | <b>\$663</b>   | <b>\$680</b>   |
| OPERATING EXPENSES AND EQUIPMENT  |            |            |            | \$247        | \$370          | \$347          |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS<br/>(State Operations)</b> |            |            |            | <b>\$851</b> | <b>\$1,033</b> | <b>\$1,027</b> |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| 0117 Alcoholic Beverage Control Appeals Fund              |                |                |                |
| APPROPRIATIONS  |                |                |                |
| 001 Budget Act appropriation                              | \$1,023        | \$1,028        | \$1,027        |
| Allocation for employee compensation                      | 3              | 2              | -              |
| Adjustment per Section 3.60                               | 11             | 3              | -              |
| Adjustment per Section 3.90                               | -28            | -              | -              |
| <b>Totals Available</b>                                   | <b>\$1,009</b> | <b>\$1,033</b> | <b>\$1,027</b> |
| Unexpended balance, estimated savings                     | -158           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$851</b>   | <b>\$1,033</b> | <b>\$1,027</b> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$851</b>   | <b>\$1,033</b> | <b>\$1,027</b> |

## FUND CONDITION STATEMENTS

|   | 2012-13* | 2013-14* | 2014-15* |
|---|----------|----------|----------|
| 0117 Alcoholic Beverage Control Appeals Fund <sup>s</sup>   |          |          |          |
| BEGINNING BALANCE   | \$1,535  | \$1,927  | \$2,662  |
| Prior year adjustments  | -4       | -        | -        |
| Adjusted Beginning Balance  | \$1,531  | \$1,927  | \$2,662  |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS  |          |          |          |
| Revenues:   |          |          |          |
| 125600 Other Regulatory Fees  | 1,254    | 1,273    | 1,292    |
| Transfers and Other Adjustments:  |          |          |          |
| FO0001 From General Fund loan repayment per Item 2120-011-0117, BA of 2010, as amended by Ch 13 of 2011 | -        | 500      | -        |
| Total Revenues, Transfers, and Other Adjustments  | \$1,254  | \$1,773  | \$1,292  |
| Total Resources   | \$2,785  | \$3,700  | \$3,954  |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS  |          |          |          |
| Expenditures:   |          |          |          |
| 0840 State Controller (State Operations)  | 2        | -        | -        |
| 2120 Alcoholic Beverage Control Appeals Board (State Operations)  | 851      | 1,033    | 1,027    |
| 8880 Financial Information System for California (State Operations)                                     | 5        | 5        | 1        |
| Total Expenditures and Expenditure Adjustments  | \$858    | \$1,038  | \$1,028  |
| FUND BALANCE  | \$1,927  | \$2,662  | \$2,926  |
| Reserve for economic uncertainties  | 1,927    | 2,662    | 2,926    |

## CHANGES IN AUTHORIZED POSITIONS

\* Dollars in thousands, except in Salary Range.

## 2120 Alcoholic Beverage Control Appeals Board - Continued

|                                   | Positions  |            |            | Expenditures |              |              |
|-----------------------------------|------------|------------|------------|--------------|--------------|--------------|
|                                   | 2012-13    | 2013-14    | 2014-15    | 2012-13*     | 2013-14*     | 2014-15*     |
| Totals, Authorized Positions      | 6.7        | 8.3        | 8.3        | \$405        | \$449        | \$459        |
| Salary Adjustments                | -          | -          | -          | -            | 1            | 1            |
| <b>Total Adjustments</b>          | -          | -          | -          | <b>\$-</b>   | <b>\$1</b>   | <b>\$1</b>   |
| <b>TOTALS, SALARIES AND WAGES</b> | <b>6.7</b> | <b>8.3</b> | <b>8.3</b> | <b>\$405</b> | <b>\$450</b> | <b>\$460</b> |

## 2150 Department of Financial Institutions

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 information for the Department of Financial Institutions was merged into the Department of Business Oversight (Organization Code 1701) and moved from the Business, Transportation, and Housing Agency to the Business, Consumer Services, and Housing Agency.

The Department's mission was to protect and serve California's citizens through the effective regulation and supervision of financial institutions licensed by the Department.

### 3-YR EXPENDITURES AND POSITIONS

|   | Positions    |          |          | Expenditures    |                 |                 |
|---|--------------|----------|----------|-----------------|-----------------|-----------------|
|   | 2012-13      | 2013-14  | 2014-15  | 2012-13*        | 2013-14*        | 2014-15*        |
| 10 Licensing and Supervision of Banks and Trust Companies                     | 126.1        | -        | -        | \$22,371        | \$-             | \$-             |
| 20 Money Transmitters   | 22.6         | -        | -        | 3,145           | -               | -               |
| 40 Administration of Local Agency Security                                    | 3.9          | -        | -        | 375             | -               | -               |
| 50 Supervision of California Business and Industrial Development Corporations | -            | -        | -        | 17              | -               | -               |
| 60 Credit Unions  | 53.7         | -        | -        | 7,232           | -               | -               |
| 70 Savings and Loan   | -            | -        | -        | 14              | -               | -               |
| 80 Industrial Banks   | 3.2          | -        | -        | 687             | -               | -               |
| 90.01 Administration  | 47.5         | -        | -        | 6,483           | -               | -               |
| 90.02 Distributed Administration  | -            | -        | -        | -6,483          | -               | -               |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>                      | <b>257.0</b> | <b>-</b> | <b>-</b> | <b>\$33,841</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>FUNDING</b>  |              |          |          | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 0240 Local Agency Deposit Security Fund                                       |              |          |          | \$375           | \$-             | \$-             |
| 0298 Financial Institutions Fund  |              |          |          | 25,730          | -               | -               |
| 0299 Credit Union Fund  |              |          |          | 7,233           | -               | -               |
| 0995 Reimbursements   |              |          |          | 503             | -               | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>  |              |          |          | <b>\$33,841</b> | <b>\$-</b>      | <b>\$-</b>      |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

California Financial Code, Division 1, Chapter 2.

#### PROGRAM AUTHORITY

10-Licensing and Supervision of Banks and Trust Companies:

California Financial Code, Division 1 and Division 1.1.

20-Money Transmitters:

California Financial Code, Division 1.2.

40-Administration of Local Agency Security:

\* Dollars in thousands, except in Salary Range.

## 2150 Department of Financial Institutions - Continued

Government Code Sections 53630-53686.

50-Supervision of California Business and Industrial Development Corporations:

California Financial Code, Division 15.

60-Credit Unions:

California Financial Code, Division 5.

70-Savings and Loan:

California Financial Code, Division 2.

80-Industrial Banks:

California Financial Code, Division 1.1, Chapter 15.

90-Administration:

California Financial Code, Division 1, Chapter 2.

### PROGRAM DESCRIPTIONS

#### 10 - LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

The objective of this program was to promote the integrity and stability of state-licensed banks and foreign banks and trust companies through the regulation and supervision of these institutions. Program activities included examinations at least once every three years to ensure that business was conducted in a safe and sound manner, and investigation of new bank and trust company applications and other required applications.

#### 20 - MONEY TRANSMITTERS

The objective of this program was to promote the integrity and stability of businesses receiving money in the United States for transmission, selling or issuing payment instruments, and selling or issuing stored value. The objective was accomplished through the examination, regulation, and supervision of these institutions. Examinations were conducted at any time to ensure the licensees were complying with the provisions of the Money Transmission Act and operating in a safe and sound manner. In order to protect the public, a thorough review of each new applicant was conducted before a license is issued.

#### 40 - ADMINISTRATION OF LOCAL AGENCY SECURITY

As the Administrator of the Local Agency Security Program, the Commissioner monitored the amount and quality of collateral pledged to secure deposits of public funds made by approximately 1,500 local agencies. The Commissioner also administered local agency security for banks, savings and loans, credit unions, and industrial banks as well as federally chartered financial institutions.

#### 50 - SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

This program licensed and regulated non-fiduciary businesses and industrial development corporations. The program ensured that business was conducted in a financially sound manner through periodic examinations and analyses of required reports.

#### 60 - CREDIT UNIONS

The primary objective of this program was to promote the integrity and stability of credit unions through the regulation and supervision of these institutions, including examinations to ensure they were operating in a safe and sound manner and complying with the appropriate provisions of the Financial Code relating to their operation.

#### 70 - SAVINGS AND LOAN

The Savings and Loan Program ensured that savings associations comply with applicable laws and regulations.

#### 80 - INDUSTRIAL BANKS

The Industrial Bank Program administered and enforced the provisions of the Financial Code relating to industrial loan companies. This included processing applications for new companies and conducting examinations to determine compliance with applicable laws and regulations.

#### 90 - ADMINISTRATION

The Administration Program provided services essential for the administration of the Department and its programs, including

\* Dollars in thousands, except in Salary Range.

## 2150 Department of Financial Institutions - Continued

executive, legal, legislative, policy, fiscal, business services, and information technology.

### DETAILED EXPENDITURES BY PROGRAM

|                             |   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|-----------------------------|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>10</b>                   | <b>LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES</b>                     |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0298                        | Financial Institutions Fund   | \$21,868        | \$-             | \$-             |
| 0995                        | Reimbursements  | 503             | -               | -               |
|                             | <b>Totals, State Operations</b>   | <b>\$22,371</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>20</b>                   | <b>MONEY TRANSMITTERS</b>   |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0298                        | Financial Institutions Fund   | \$3,145         | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>   | <b>\$3,145</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>40</b>                   | <b>ADMINISTRATION OF LOCAL AGENCY SECURITY</b>                                    |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0240                        | Local Agency Deposit Security Fund  | \$375           | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>   | <b>\$375</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>50</b>                   | <b>SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS</b> |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0298                        | Financial Institutions Fund   | \$17            | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>   | <b>\$17</b>     | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>60</b>                   | <b>CREDIT UNIONS</b>  |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0299                        | Credit Union Fund   | \$7,232         | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>   | <b>\$7,232</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>70</b>                   | <b>SAVINGS AND LOAN</b>   |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0298                        | Financial Institutions Fund   | \$14            | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>   | <b>\$14</b>     | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>80</b>                   | <b>INDUSTRIAL BANKS</b>   |                 |                 |                 |
| <b>State Operations:</b>    |   |                 |                 |                 |
| 0298                        | Financial Institutions Fund   | \$687           | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>   | <b>\$687</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES</b> |   |                 |                 |                 |
|                             | State Operations  | 33,841          | -               | -               |
|                             | <b>Totals, Expenditures</b>   | <b>\$33,841</b> | <b>\$-</b>      | <b>\$-</b>      |

### EXPENDITURES BY CATEGORY

\* Dollars in thousands, except in Salary Range.

## 2150 Department of Financial Institutions - Continued

| 1 State Operations  | Positions    |          |          | Expenditures    |            |            |
|---|--------------|----------|----------|-----------------|------------|------------|
|   | 2012-13      | 2013-14  | 2014-15  | 2012-13*        | 2013-14*   | 2014-15*   |
| PERSONAL SERVICES   |              |          |          |                 |            |            |
| Authorized Positions (Equals Sch. 7A)                                       | 257.0        | -        | -        | \$17,703        | \$-        | \$-        |
| <b>Net Totals, Salaries and Wages</b>                                       | <b>257.0</b> | <b>-</b> | <b>-</b> | <b>\$17,703</b> | <b>\$-</b> | <b>\$-</b> |
| Staff Benefits  | -            | -        | -        | 8,058           | -          | -          |
| <b>Totals, Personal Services</b>  | <b>257.0</b> | <b>-</b> | <b>-</b> | <b>\$25,761</b> | <b>\$-</b> | <b>\$-</b> |
| OPERATING EXPENSES AND EQUIPMENT  |              |          |          | \$8,080         | \$-        | \$-        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS<br/>(State Operations)</b> |              |          |          | <b>\$33,841</b> | <b>\$-</b> | <b>\$-</b> |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*        | 2013-14*   | 2014-15*   |
|---|-----------------|------------|------------|
| <b>0240 Local Agency Deposit Security Fund</b>            |                 |            |            |
| APPROPRIATIONS  |                 |            |            |
| 001 Budget Act appropriation                              | \$402           | \$-        | \$-        |
| Allocation for employee compensation                      | 2               | -          | -          |
| Adjustment per Section 3.60                               | 8               | -          | -          |
| Adjustment per Section 3.90                               | -19             | -          | -          |
| <b>Totals Available</b>                                   | <b>\$393</b>    | <b>\$-</b> | <b>\$-</b> |
| Unexpended balance, estimated savings                     | -18             | -          | -          |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$375</b>    | <b>\$-</b> | <b>\$-</b> |
| <b>0298 Financial Institutions Fund</b>                   |                 |            |            |
| APPROPRIATIONS  |                 |            |            |
| 001 Budget Act appropriation                              | \$26,158        | \$-        | \$-        |
| Allocation for employee compensation                      | 88              | -          | -          |
| Adjustment per Section 3.60                               | 339             | -          | -          |
| Adjustment per Section 3.90                               | -853            | -          | -          |
| <b>Totals Available</b>                                   | <b>\$25,732</b> | <b>\$-</b> | <b>\$-</b> |
| Unexpended balance, estimated savings                     | -2              | -          | -          |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$25,730</b> | <b>\$-</b> | <b>\$-</b> |
| <b>0299 Credit Union Fund</b>                             |                 |            |            |
| APPROPRIATIONS  |                 |            |            |
| 001 Budget Act appropriation                              | \$7,460         | \$-        | \$-        |
| Allocation for employee compensation                      | 28              | -          | -          |
| Adjustment per Section 3.60                               | 105             | -          | -          |
| Adjustment per Section 3.90                               | -265            | -          | -          |
| <b>Totals Available</b>                                   | <b>\$7,328</b>  | <b>\$-</b> | <b>\$-</b> |
| Unexpended balance, estimated savings                     | -95             | -          | -          |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$7,233</b>  | <b>\$-</b> | <b>\$-</b> |
| <b>0995 Reimbursements</b>                                |                 |            |            |
| APPROPRIATIONS  |                 |            |            |
| Reimbursements  | \$503           | \$-        | \$-        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$33,841</b> | <b>\$-</b> | <b>\$-</b> |

\* Dollars in thousands, except in Salary Range.

## 2180 Department of Corporations

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 information for the Department of Corporations was merged into the Department of Business Oversight (Organization Code 1701) and moved from the Business, Transportation, and Housing Agency to the Business, Consumer Services, and Housing Agency.

The Department of Corporations, under the direction of the California Corporations Commissioner, provided consumer and investor protections by regulating the conduct of a variety of businesses, including securities brokers and dealers, investment advisers and financial planners, and certain fiduciaries and lenders. The Department also regulated the offer and sale of securities, franchises and off-exchange commodities.

The mission of the Department of Corporations was to:

- Ensure an orderly and transparent marketplace for investors, borrowers, and industry through licensure and oversight.
- Promote financial literacy and educate the public about the risks and rewards in investing and borrowing.
- Foster a professional and innovative working environment.
- Protect the public from fraud and abuse through enforcing California's financial services laws.

### 3-YR EXPENDITURES AND POSITIONS

|  | Positions    |          |          | Expenditures    |                 |                 |
|--|--------------|----------|----------|-----------------|-----------------|-----------------|
|  | 2012-13      | 2013-14  | 2014-15  | 2012-13*        | 2013-14*        | 2014-15*        |
| 10 Investment Program                                    | 101.2        | -        | -        | \$21,319        | \$-             | \$-             |
| 20 Lender-Fiduciary Program                              | 110.0        | -        | -        | 21,817          | -               | -               |
| 50.01 Administration                                     | 67.9         | -        | -        | 5,916           | -               | -               |
| 50.02 Distributed Administration                         | -            | -        | -        | -5,916          | -               | -               |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>279.1</b> | <b>-</b> | <b>-</b> | <b>\$43,136</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>FUNDING</b>   |              |          |          | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 0067 State Corporations Fund                             |              |          |          | \$43,133        | \$-             | \$-             |
| 0942 Special Deposit Fund                                |              |          |          | 3               | -               | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |              |          |          | <b>\$43,136</b> | <b>\$-</b>      | <b>\$-</b>      |

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Corporations Code, Section 25600 (repealed pursuant to the Governor's Reorganization Plan No. 2 of 2012, effective July 1, 2013).

#### PROGRAM AUTHORITY

Corporations Code, Title 4, Division 1, 3, 4, 4.5, and 5; Title 10, California Code of Regulations, Sections 250.1-250.70, 260.000-260.617, 280.100-280.700, 290.570-290.571, and 310.000-310.505.

Financial Code, Division 1.4, 1.7, 3, 6, 9, 10, 14, and 20; Title 10, California Code of Regulations, Sections 1400-1596, 1700-1769, 1772-1799.1, 1805.001-1805.213.1, 1950.003-1950.317, and 2020-2031.10.

### PROGRAM DESCRIPTIONS

#### 10 - INVESTMENT PROGRAM

The Investment Program protected investors in securities and franchise investment transactions and promoted efficient capital formation in California. The program qualified the offer and sale of certain securities, registered the sale of franchises, and licensed and examined broker-dealers and investment advisers.

#### 20 - LENDER-FIDUCIARY PROGRAM

The Lender-Fiduciary Program protected the public when borrowing and entering into financial transactions with lenders and fiduciaries licensed by the Department. The program licensed and examined mortgage bankers, finance lenders, escrow companies, deferred deposit originators, bill payers, and proraters.

#### 50 - ADMINISTRATION

This program provided executive leadership and direction to the Department, including planning, policy development, budgeting, accounting, personnel, centralized support services, business and information technology services.

\* Dollars in thousands, except in Salary Range.

## 2180 Department of Corporations - Continued

## DETAILED EXPENDITURES BY PROGRAM

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>                   |                 |                 |                 |
| <b>10 INVESTMENT PROGRAM</b>                  |                 |                 |                 |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | \$21,319        | \$-             | \$-             |
| <b>Totals, State Operations</b>               | <b>\$21,319</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>ELEMENT REQUIREMENTS</b>                   |                 |                 |                 |
| <b>10.10 Corporate Securities Law</b>         | <b>\$14,726</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 14,726          | -               | -               |
| <b>10.15 Broker/Dealers</b>                   | <b>\$2,066</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 2,066           | -               | -               |
| <b>10.25 Investment Advisers</b>              | <b>\$2,979</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 2,979           | -               | -               |
| <b>10.35 Agent Monitoring Law</b>             | <b>\$179</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 179             | -               | -               |
| <b>10.65 Franchise Investment Law</b>         | <b>\$1,369</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 1,369           | -               | -               |
| <b>PROGRAM REQUIREMENTS</b>                   |                 |                 |                 |
| <b>20 LENDER-FIDUCIARY PROGRAM</b>            |                 |                 |                 |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | \$21,817        | \$-             | \$-             |
| <b>Totals, State Operations</b>               | <b>\$21,817</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>ELEMENT REQUIREMENTS</b>                   |                 |                 |                 |
| <b>20.20 Deferred Deposit Transaction Law</b> | <b>\$4,859</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 4,859           | -               | -               |
| <b>20.30 Escrow Law</b>                       | <b>\$5,925</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 5,925           | -               | -               |
| <b>20.90 California Finance Lenders Law</b>   | <b>\$7,614</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 7,614           | -               | -               |
| <b>20.95 Mortgage Bankers Law</b>             | <b>\$3,419</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>State Operations:</b>                      |                 |                 |                 |
| 0067 State Corporations Fund                  | 3,419           | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                   |                 |                 |                 |
| State Operations                              | 43,136          | -               | -               |
| <b>Totals, Expenditures</b>                   | <b>\$43,136</b> | <b>\$-</b>      | <b>\$-</b>      |

## EXPENDITURES BY CATEGORY

\* Dollars in thousands, except in Salary Range.

## 2180 Department of Corporations - Continued

| 1 State Operations  | Positions    |          |          | Expenditures    |            |            |
|---|--------------|----------|----------|-----------------|------------|------------|
|   | 2012-13      | 2013-14  | 2014-15  | 2012-13*        | 2013-14*   | 2014-15*   |
| PERSONAL SERVICES   |              |          |          |                 |            |            |
| Authorized Positions (Equals Sch. 7A)                                   | 279.1        | -        | -        | \$18,342        | \$-        | \$-        |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>279.1</b> | <b>-</b> | <b>-</b> | <b>\$18,342</b> | <b>\$-</b> | <b>\$-</b> |
| Staff Benefits  | -            | -        | -        | 9,370           | -          | -          |
| <b>Totals, Personal Services</b>  | <b>279.1</b> | <b>-</b> | <b>-</b> | <b>\$27,712</b> | <b>\$-</b> | <b>\$-</b> |
| OPERATING EXPENSES AND EQUIPMENT  |              |          |          | \$15,424        | \$-        | \$-        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |              |          |          | <b>\$43,136</b> | <b>\$-</b> | <b>\$-</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*        | 2013-14*   | 2014-15*   |
|---|-----------------|------------|------------|
| <b>0067 State Corporations Fund</b>                       |                 |            |            |
| APPROPRIATIONS  |                 |            |            |
| 001 Budget Act appropriation                              | \$45,182        | \$-        | \$-        |
| Allocation for employee compensation                      | 133             | -          | -          |
| Adjustment per Section 3.60                               | 497             | -          | -          |
| Adjustment per Section 3.90                               | -1,215          | -          | -          |
| Adjustment per Section 15.25                              | -4              | -          | -          |
| <b>Totals Available</b>                                   | <b>\$44,593</b> | <b>\$-</b> | <b>\$-</b> |
| Unexpended balance, estimated savings                     | -1,460          | -          | -          |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$43,133</b> | <b>\$-</b> | <b>\$-</b> |
| <b>0942 Special Deposit Fund</b>                          |                 |            |            |
| APPROPRIATIONS  |                 |            |            |
| Government Code Section 16370                             | \$3             | \$-        | \$-        |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$3</b>      | <b>\$-</b> | <b>\$-</b> |
| <b>0995 Reimbursements</b>                                |                 |            |            |
| APPROPRIATIONS  |                 |            |            |
| Reimbursements  | \$-             | \$-        | \$-        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$43,136</b> | <b>\$-</b> | <b>\$-</b> |

## 2240 Department of Housing and Community Development

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 and 2014-15 information for the California Housing Finance Agency (CalHFA) is displayed within the Department of Housing and Community Development (HCD), which reports to the Business, Consumer Services, and Housing Agency. The 2012-13 budget information for the CalHFA is displayed in Organization Code 2260 and was previously reported within the Business, Transportation, and Housing Agency.

The mission of the HCD is to preserve and expand safe and affordable housing opportunities and promote strong communities for all Californians. The HCD: (1) administers housing finance, economic development and community development programs; (2) develops housing policy and advocates for an adequate housing supply; and (3) develops building codes and regulates manufactured homes and mobilehome parks. The HCD also provides technical and financial assistance to local agencies to support housing development.

CalHFA, a statutorily independent agency and component unit of state government, is not subject to Budget Act Appropriation. CalHFA's mission is to create and finance progressive housing solutions so more Californians have a place to call home. CalHFA is financially self-supporting, setting loan interest rates slightly above its cost and charging fees to cover its lending costs and risks. Currently, CalHFA has \$6.8 billion in assets that are comprised mainly of loan receivables and investments related to bond proceeds. Each year, CalHFA is audited by independent outside auditors and produces an annual report by November 1. The annual report and audited financial statements outline the lending activity and services provided by the CalHFA and the financial results of those activities. These reports can be viewed using the following link: <http://www.calhfa.ca.gov/about/financials/reports/index.htm>

\* Dollars in thousands, except in Salary Range.



## 2240 Department of Housing and Community Development - Continued

## 3-YR EXPENDITURES AND POSITIONS

|   | Positions    |              |              | Expenditures     |                  |                  |
|---|--------------|--------------|--------------|------------------|------------------|------------------|
|   | 2012-13      | 2013-14      | 2014-15      | 2012-13*         | 2013-14*         | 2014-15*         |
| 10 Codes and Standards Program  | 197.5        | 203.8        | 203.8        | \$26,527         | \$27,424         | \$26,533         |
| 20 Financial Assistance Program   | 190.7        | 178.8        | 181.8        | 172,714          | 494,297          | 173,993          |
| 30 Housing Policy Development Program   | 14.1         | 21.0         | 21.0         | 1,473            | 27,485           | 27,274           |
| 40 California Housing Finance Agency  | -            | 312.6        | 312.6        | -                | 44,576           | 42,864           |
| 50.01 Administration Program  | 117.2        | 125.5        | 125.5        | 11,821           | 13,072           | 12,657           |
| 50.02 Distributed Administration Program  | -            | -            | -            | -11,821          | -13,072          | -12,657          |
| 69 Loan Repayments Program  | -            | -            | -            | -5,065           | -1,944           | -1,944           |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>  | <b>519.5</b> | <b>841.7</b> | <b>844.7</b> | <b>\$195,649</b> | <b>\$591,838</b> | <b>\$268,720</b> |
| <b>FUNDING</b>  |              |              |              | <b>2012-13*</b>  | <b>2013-14*</b>  | <b>2014-15*</b>  |
| 0001 General Fund   |              |              |              | \$6,991          | \$7,143          | \$8,633          |
| 0115 Air Pollution Control Fund   |              |              |              | 113              | -                | -                |
| 0245 Mobilehome Parks and Special Occupancy Parks Revolving Fund  |              |              |              | 6,808            | 6,914            | 6,778            |
| 0501 California Housing Finance Fund  |              |              |              | -                | 43,346           | 41,653           |
| 0530 Mobilehome Park Purchase Fund  |              |              |              | -336             | 6,074            | 6,048            |
| 0648 Mobilehome-Manufactured Home Revolving Fund  |              |              |              | 17,417           | 18,044           | 17,354           |
| 0714 Roberti Affordable Housing Fund  |              |              |              | 1,457            | 2,160            | 23,741           |
| 0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund     |              |              |              | 20               | 575              | 6,080            |
| 0813 Self-Help Housing Fund   |              |              |              | -4,059           | 18,854           | 1,790            |
| 0890 Federal Trust Fund   |              |              |              | 99,922           | 131,644          | 120,384          |
| 0916 California Housing Loan Insurance Fund   |              |              |              | -                | 650              | 631              |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund   |              |              |              | 5,284            | 9,992            | 5,491            |
| 0929 Housing Rehabilitation Loan Fund   |              |              |              | -24,919          | 73,263           | -13,835          |
| 0938 Rental Housing Construction Fund   |              |              |              | 2,393            | 4,828            | 3,649            |
| 0972 Manufactured Home Recovery Fund  |              |              |              | 404              | 524              | 499              |
| 0980 Predevelopment Loan Fund   |              |              |              | -1,379           | 2,106            | 2,079            |
| 0985 Emergency Housing and Assistance Fund  |              |              |              | 1,556            | 13,955           | 2,148            |
| 0995 Reimbursements   |              |              |              | 692              | 1,180            | 1,180            |
| 3144 Building Standards Administration Special Revolving Fund   |              |              |              | 542              | 652              | 624              |
| 3165 Enterprise Zone Fund   |              |              |              | 1,178            | 1,486            | 1,374            |
| 3237 Cost of Implementation Account, Air Pollution Control Fund   |              |              |              | -                | 795              | 810              |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund   |              |              |              | 47,686           | 18,171           | 556              |
| 6068 Affordable Housing Innovation Fund   |              |              |              | 30,130           | 8,408            | 1,138            |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 |              |              |              | 2,067            | 129,658          | 2,796            |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006           |              |              |              | 759              | 26,130           | 25,920           |
| 9736 Transit-Oriented Development Implementation Fund   |              |              |              | 923              | 65,286           | 1,199            |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>  |              |              |              | <b>\$195,649</b> | <b>\$591,838</b> | <b>\$268,720</b> |

Program 40 - California Housing Finance Agency's (CalHFA) funding is not subject to Budget Act appropriation. The Program 40 budget display is for informational purposes only. Each spring, the CalHFA Board adopts an updated business plan and annual budget. The informational budget presented here for Program 40 reflects the CalHFA's best estimate of the proposed budget for fiscal year 2014-15. As a Public Enterprise Funded entity, CalHFA support comes from revenue bonds and is not supported by the full faith, credit, or taxing power of the State of California. The CalHFA continues to administer single and multifamily housing lending programs financed with revenue bond proceeds, and a number of down payment assistance programs from Proposition 46 and 1C general obligation bond proceeds.

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Government Code Sections 65580 et seq.; Health and Safety Code Sections 18000 et seq. and 50000 et seq.

### DETAILED BUDGET ADJUSTMENTS

|  | 2013-14*     |                  |              | 2014-15*       |                   |              |
|--|--------------|------------------|--------------|----------------|-------------------|--------------|
|  | General Fund | Other Funds      | Positions    | General Fund   | Other Funds       | Positions    |
| <b>Workload Budget Adjustments</b>   |              |                  |              |                |                   |              |
| <b>Workload Budget Change Proposals</b>  |              |                  |              |                |                   |              |
| • Proposition 1C - Housing Related Parks Program Grant Awards                            | \$-          | \$-              | -            | \$-            | \$25,000          | -            |
| <b>Totals, Workload Budget Change Proposals</b>  | <b>\$-</b>   | <b>\$-</b>       | <b>-</b>     | <b>\$-</b>     | <b>\$25,000</b>   | <b>-</b>     |
| <b>Other Workload Budget Adjustments</b>   |              |                  |              |                |                   |              |
| • Employee Compensation Adjustments  | \$16         | \$1,100          | -            | \$22           | \$1,167           | -            |
| • Retirement Rate Adjustment   | 5            | 370              | -            | 5              | 370               | -            |
| • Limited-term Position Expiration   | -            | -                | -            | -              | -9,877            | -6.0         |
| • One Time Cost Reductions   | -            | -                | -            | -33            | -26,715           | -            |
| • Full Year Cost of New/Expand Program   | -            | -                | -            | 2              | 91                | -            |
| • Miscellaneous Adjustments  | -            | 196,709          | -22.2        | -              | -115,039          | -22.2        |
| <b>Totals, Other Workload Budget Adjustments</b>   | <b>\$21</b>  | <b>\$198,179</b> | <b>-22.2</b> | <b>-\$4</b>    | <b>-\$150,003</b> | <b>-28.2</b> |
| <b>Totals, Workload Budget Adjustments</b>   | <b>\$21</b>  | <b>\$198,179</b> | <b>-22.2</b> | <b>-\$4</b>    | <b>-\$125,003</b> | <b>-28.2</b> |
| <b>Policy Adjustments</b>  |              |                  |              |                |                   |              |
| • Community Development Block Grant Audit Finding Compliance and Additional Improvements | \$-          | \$-              | -            | \$1,515        | -\$1,426          | 9.0          |
| <b>Totals, Policy Adjustments</b>  | <b>\$-</b>   | <b>\$-</b>       | <b>-</b>     | <b>\$1,515</b> | <b>-\$1,426</b>   | <b>9.0</b>   |
| <b>Totals, Budget Adjustments</b>  | <b>\$21</b>  | <b>\$198,179</b> | <b>-22.2</b> | <b>\$1,511</b> | <b>-\$126,429</b> | <b>-19.2</b> |

### PROGRAM DESCRIPTIONS

#### 10 - CODES AND STANDARDS PROGRAM

The objective of this program is to protect the public's health, safety, and general welfare in buildings and structures designed for human occupancy through the enforcement of the relevant provisions of the California Health and Safety Code, including the State Housing Law, Employee Housing Act, Mobilehome Parks Act, Special Occupancy Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980. The HCD works with factory and site-built building industries, stakeholders, and other government agencies to review, amend, and recommend incorporation of model building codes for conventional construction into California's building standards. The program also enforces federal and state standards for the construction, safety, sales, and titling of manufactured homes, mobilehomes, and commercial modulars.

#### 20 - FINANCIAL ASSISTANCE PROGRAM

The objectives of this program are to: (1) increase housing supply by providing loans and grants to develop and preserve safe and affordable housing for lower-income households, (2) promote economic, community, and job development primarily by awarding state and federal housing funds, including funds authorized by the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C), (3) reduce homelessness through financial assistance and policy leadership, and (4) monitor recipients for compliance with the terms of their Standard Agreements and Regulatory Agreements. The program also promotes economic and job development through administration of the federal Community Development Block Grant Program, and is responsible for the state Enterprise Zone Program wind-down.

#### 30 - HOUSING POLICY DEVELOPMENT PROGRAM

The objective of this program is to help ensure an adequate supply of housing affordable to all income groups through formulation of housing policy, technical assistance and oversight for local housing plans, direct assistance to private and public housing providers, administration of planning and incentive grant programs, and information sharing.

#### 40 - 40 CALIFORNIA HOUSING FINANCE AGENCY

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

The objective of CalHFA's lending activity is to finance housing at affordable interest rates, using the proceeds from the sale of tax-exempt and taxable mortgage revenue bonds to make: (1) mortgage loans to qualified first-time homebuyers to finance the purchase of single family homes, (2) permanent loans to create and preserve multifamily housing projects, and (3) special needs housing loans to facilitate the construction, rehabilitation and acquisition of housing needed to serve special needs populations. The CalHFA also administers the California Housing Loan Insurance Fund activity, the objective of which is to encourage the preservation of existing housing and improve new housing opportunities by offering mortgage insurance products to homebuyers who might not qualify for traditional lending programs. The program provides primary mortgage insurance at favorable rates by working with participating lenders, government agencies, government-sponsored enterprises, and the secondary mortgage market to develop and offer innovative homebuyer lending and mortgage insurance programs. Mortgage Insurance Services is not writing new policies, but continues to administer existing policies and claims.

### 50 - ADMINISTRATION PROGRAM

This program provides: (1) effective executive leadership in designing, implementing, and communicating housing programs and policies; (2) fiduciary oversight and sound fiscal management through its audit, accounting, and budget functions; (3) development, review, and implementation of legislation affecting the Department; (4) housing-specific legal expertise; and (5) support services in the areas of personnel, information technology solutions, business services, and contract management.

### 69 - LOAN REPAYMENTS PROGRAM

This program displays the estimated loan repayments made under HCD's Financial Assistance Program.

### DETAILED EXPENDITURES BY PROGRAM

|  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>10 CODES AND STANDARDS PROGRAM</b>  |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0001 General Fund  | \$485           | \$531           | \$521           |
| 0245 Mobilehome Parks and Special Occupancy Parks<br>Revolving Fund  | 6,808           | 6,914           | 6,778           |
| 0648 Mobilehome-Manufactured Home Revolving Fund   | 17,417          | 18,044          | 17,354          |
| 0890 Federal Trust Fund  | 249             | 250             | 250             |
| 0972 Manufactured Home Recovery Fund   | 238             | 274             | 249             |
| 0995 Reimbursements  | 622             | 509             | 507             |
| 3144 Building Standards Administration Special Revolving<br>Fund   | 542             | 652             | 624             |
| <b>Totals, State Operations</b>  | <b>\$26,361</b> | <b>\$27,174</b> | <b>\$26,283</b> |
| <b>Local Assistance:</b>   |                 |                 |                 |
| 0972 Manufactured Home Recovery Fund   | \$166           | \$250           | \$250           |
| <b>Totals, Local Assistance</b>  | <b>\$166</b>    | <b>\$250</b>    | <b>\$250</b>    |
| <b>PROGRAM REQUIREMENTS</b>  |                 |                 |                 |
| <b>20 FINANCIAL ASSISTANCE PROGRAM</b>   |                 |                 |                 |
| <b>State Operations:</b>   |                 |                 |                 |
| 0001 General Fund  | \$842           | \$948           | \$2,448         |
| 0530 Mobilehome Park Purchase Fund   | 537             | 605             | 579             |
| 0714 Roberti Affordable Housing Fund   | 1,457           | 1,910           | 14,653          |
| 0788 California Earthquake Safety and Housing Rehabilitation<br>Bond Account, Housing Rehabilitation Loan Fund | -               | 500             | 3,128           |
| 0813 Self-Help Housing Fund  | 1,223           | 2,054           | 1,790           |
| 0890 Federal Trust Fund  | 9,344           | 9,398           | 8,564           |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund  | 1,658           | 2,409           | 1,908           |
| 0929 Housing Rehabilitation Loan Fund  | 6,725           | 11,398          | -2,085          |
| 0938 Rental Housing Construction Fund  | 961             | 1,179           | -               |
| 0980 Predevelopment Loan Fund  | 227             | 356             | 329             |
| 0985 Emergency Housing and Assistance Fund   | 1,556           | 2,455           | 2,148           |

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

|   | <u>2012-13*</u>  | <u>2013-14*</u>  | <u>2014-15*</u>  |
|---|------------------|------------------|------------------|
| 0995 Reimbursements   | 70               | 91               | 93               |
| 3165 Enterprise Zone Fund   | 1,178            | 1,486            | 1,374            |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund   | 558              | 771              | 556              |
| 6068 Affordable Housing Innovation Fund   | 880              | 408              | 325              |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | 1,629            | 2,133            | 2,287            |
| 9736 Transit-Oriented Development Implementation Fund   | 923              | 1,086            | 1,199            |
| <b>Totals, State Operations</b>   | <b>\$29,768</b>  | <b>\$39,187</b>  | <b>\$39,296</b>  |
| <b>Local Assistance:</b>  |                  |                  |                  |
| 0001 General Fund   | \$5,629          | \$5,629          | \$5,629          |
| 0530 Mobilehome Park Purchase Fund  | -                | 6,500            | 6,500            |
| 0714 Roberti Affordable Housing Fund  | -                | 250              | 9,088            |
| 0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund     | 20               | 75               | 2,952            |
| 0813 Self-Help Housing Fund   | -5,282           | 16,800           | -                |
| 0890 Federal Trust Fund   | 90,329           | 121,996          | 111,570          |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund   | 3,653            | 7,610            | 3,610            |
| 0929 Housing Rehabilitation Loan Fund   | -29,965          | 62,250           | -11,365          |
| 0938 Rental Housing Construction Fund   | 2,312            | 3,650            | 3,650            |
| 0980 Predevelopment Loan Fund   | -                | 2,250            | 2,250            |
| 0985 Emergency Housing and Assistance Fund  | -                | 11,500           | -                |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund   | 47,000           | 17,400           | -                |
| 6068 Affordable Housing Innovation Fund   | 29,250           | 8,000            | 813              |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | -                | 127,000          | -                |
| 9736 Transit-Oriented Development Implementation Fund   | -                | 64,200           | -                |
| <b>Totals, Local Assistance</b>   | <b>\$142,946</b> | <b>\$455,110</b> | <b>\$134,697</b> |
| <b>PROGRAM REQUIREMENTS</b>   |                  |                  |                  |
| <b>30 HOUSING POLICY DEVELOPMENT PROGRAM</b>  |                  |                  |                  |
| <b>State Operations:</b>  |                  |                  |                  |
| 0001 General Fund   | \$35             | \$35             | \$35             |
| 0115 Air Pollution Control Fund   | 113              | -                | -                |
| 3237 Cost of Implementation Account, Air Pollution Control Fund   | -                | 795              | 810              |
| 6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund   | 128              | -                | -                |
| 6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006 | 438              | 525              | 509              |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006           | 759              | 1,130            | 920              |
| <b>Totals, State Operations</b>   | <b>\$1,473</b>   | <b>\$2,485</b>   | <b>\$2,274</b>   |
| <b>Local Assistance:</b>  |                  |                  |                  |
| 6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006           | \$-              | \$25,000         | \$25,000         |
| <b>Totals, Local Assistance</b>   | <b>\$-</b>       | <b>\$25,000</b>  | <b>\$25,000</b>  |
| <b>PROGRAM REQUIREMENTS</b>   |                  |                  |                  |
| <b>40 CALIFORNIA HOUSING FINANCE AGENCY</b>   |                  |                  |                  |
| <b>State Operations:</b>  |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

**2240 Department of Housing and Community Development - Continued**

|   | <u>2012-13*</u>  | <u>2013-14*</u>  | <u>2014-15*</u>  |
|---|------------------|------------------|------------------|
| 0501 California Housing Finance Fund              | \$-              | \$43,346         | \$41,653         |
| 0916 California Housing Loan Insurance Fund       | -                | 650              | 631              |
| 0995 Reimbursements                               | -                | 580              | 580              |
| <b>Totals, State Operations</b>                   | <b>\$-</b>       | <b>\$44,576</b>  | <b>\$42,864</b>  |
| <b>PROGRAM REQUIREMENTS</b>                       |                  |                  |                  |
| <b>69 LOAN REPAYMENTS PROGRAM</b>                 |                  |                  |                  |
| <b>Local Assistance:</b>                          |                  |                  |                  |
| 0530 Mobilehome Park Purchase Fund                | -\$873           | -\$1,031         | -\$1,031         |
| 0813 Self-Help Housing Fund                       | -                | -                | -                |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | -27              | -27              | -27              |
| 0929 Housing Rehabilitation Loan Fund             | -1,679           | -385             | -385             |
| 0938 Rental Housing Construction Fund             | -880             | -1               | -1               |
| 0980 Predevelopment Loan Fund                     | -1,606           | -500             | -500             |
| <b>Totals, Local Assistance</b>                   | <b>-\$5,065</b>  | <b>-\$1,944</b>  | <b>-\$1,944</b>  |
| <b>TOTALS, EXPENDITURES</b>                       |                  |                  |                  |
| State Operations                                  | 57,602           | 113,422          | 110,717          |
| Local Assistance                                  | 138,047          | 478,416          | 158,003          |
| <b>Totals, Expenditures</b>                       | <b>\$195,649</b> | <b>\$591,838</b> | <b>\$268,720</b> |

**EXPENDITURES BY CATEGORY**

**1 State Operations**

|   | <u>Positions</u> |              |              | <u>Expenditures</u> |                  |                  |
|---|------------------|--------------|--------------|---------------------|------------------|------------------|
|   | 2012-13          | 2013-14      | 2014-15      | 2012-13*            | 2013-14*         | 2014-15*         |
| <b>Housing and Community Development</b>                                |                  |              |              |                     |                  |                  |
| <b>PERSONAL SERVICES</b>  |                  |              |              |                     |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | 519.5            | 529.1        | 523.1        | \$30,853            | \$33,026         | \$33,035         |
| Total Adjustments   | -                | -            | 9.0          | -                   | 508              | 1,075            |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>519.5</b>     | <b>529.1</b> | <b>532.1</b> | <b>\$30,853</b>     | <b>\$33,534</b>  | <b>\$34,110</b>  |
| Staff Benefits  | -                | -            | -            | 13,307              | 13,307           | 13,350           |
| <b>Totals, Personal Services</b>  | <b>519.5</b>     | <b>529.1</b> | <b>532.1</b> | <b>\$44,160</b>     | <b>\$46,841</b>  | <b>\$47,460</b>  |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |                  |              |              | <b>\$13,442</b>     | <b>\$22,005</b>  | <b>\$20,393</b>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                  |              |              | <b>\$57,602</b>     | <b>\$68,846</b>  | <b>\$67,853</b>  |
| <b>California Housing Finance Agency</b>                                |                  |              |              |                     |                  |                  |
| <b>PERSONAL SERVICES</b>  |                  |              |              |                     |                  |                  |
| Authorized Positions (Equals Sch. 7A)                                   | -                | 312.6        | 312.6        | \$-                 | \$22,052         | \$22,090         |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>-</b>         | <b>312.6</b> | <b>312.6</b> | <b>\$-</b>          | <b>\$22,052</b>  | <b>\$22,090</b>  |
| Staff Benefits  | -                | -            | -            | -                   | 7,472            | 7,484            |
| <b>Totals, Personal Services</b>  | <b>-</b>         | <b>312.6</b> | <b>312.6</b> | <b>\$-</b>          | <b>\$29,524</b>  | <b>\$29,574</b>  |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |                  |              |              | <b>\$-</b>          | <b>\$15,052</b>  | <b>\$13,290</b>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                  |              |              | <b>\$-</b>          | <b>\$44,576</b>  | <b>\$42,864</b>  |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                  |              |              | <b>\$57,602</b>     | <b>\$113,422</b> | <b>\$110,717</b> |

**2 Local Assistance**

|                        | <u>Expenditures</u> |           |           |
|------------------------|---------------------|-----------|-----------|
|                        | 2012-13*            | 2013-14*  | 2014-15*  |
| Grants and Subventions | \$76,843            | \$375,032 | \$149,619 |

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

| 2 Local Assistance  | Expenditures     |                  |                  |
|---|------------------|------------------|------------------|
|   | 2012-13*         | 2013-14*         | 2014-15*         |
| Loans   | 66,269           | 105,328          | 10,328           |
| Special Adjustments-Loan Repayments                       | -5,065           | -1,944           | -1,944           |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b> | <b>\$138,047</b> | <b>\$478,416</b> | <b>\$158,003</b> |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*       | 2013-14*        | 2014-15*        |
|---|----------------|-----------------|-----------------|
| <b>0001 General Fund</b>  |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| 001 Budget Act appropriation  | \$1,431        | \$1,493         | \$3,004         |
| Allocation for employee compensation                                    | 5              | 16              | -               |
| Adjustment per Section 3.60   | 16             | 5               | -               |
| Adjustment per Section 3.90   | -41            | -               | -               |
| <b>Totals Available</b>   | <b>\$1,411</b> | <b>\$1,514</b>  | <b>\$3,004</b>  |
| Unexpended balance, estimated savings                                   | -49            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$1,362</b> | <b>\$1,514</b>  | <b>\$3,004</b>  |
| <b>0115 Air Pollution Control Fund</b>                                  |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| 001 Budget Act appropriation  | \$115          | \$-             | \$-             |
| Adjustment per Section 3.60   | 1              | -               | -               |
| Adjustment per Section 3.90   | -3             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$113</b>   | <b>\$-</b>      | <b>\$-</b>      |
| <b>0245 Mobilehome Parks and Special Occupancy Parks Revolving Fund</b> |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| 001 Budget Act appropriation  | \$6,914        | \$6,815         | \$6,778         |
| Allocation for employee compensation                                    | 25             | 77              | -               |
| Adjustment per Section 3.60   | 77             | 23              | -               |
| Adjustment per Section 3.90   | -204           | -               | -               |
| Adjustment per Section 4.05   | -              | -1              | -               |
| <b>Totals Available</b>   | <b>\$6,812</b> | <b>\$6,914</b>  | <b>\$6,778</b>  |
| Unexpended balance, estimated savings                                   | -4             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$6,808</b> | <b>\$6,914</b>  | <b>\$6,778</b>  |
| <b>0501 California Housing Finance Fund</b>                             |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| Health and Safety Code Section 51000                                    | \$-            | \$43,346        | \$41,653        |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$-</b>     | <b>\$43,346</b> | <b>\$41,653</b> |
| <b>0530 Mobilehome Park Purchase Fund</b>                               |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| 001 Budget Act appropriation  | \$677          | \$597           | \$579           |
| Allocation for employee compensation                                    | 2              | 6               | -               |
| Adjustment per Section 3.60   | 8              | 2               | -               |
| Adjustment per Section 3.90   | -20            | -               | -               |
| <b>Totals Available</b>   | <b>\$667</b>   | <b>\$605</b>    | <b>\$579</b>    |
| Unexpended balance, estimated savings                                   | -130           | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$537</b>   | <b>\$605</b>    | <b>\$579</b>    |
| <b>0648 Mobilehome-Manufactured Home Revolving Fund</b>                 |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| 001 Budget Act appropriation  | \$17,679       | \$17,786        | \$17,354        |
| Allocation for employee compensation                                    | 64             | 201             | -               |

\* Dollars in thousands, except in Salary Range.

**2240 Department of Housing and Community Development - Continued**

| <b>1 STATE OPERATIONS</b>  | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|--|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60  | 197             | 60              | -               |
| Adjustment per Section 3.90  | -523            | -               | -               |
| Adjustment per Section 4.05  | -               | -3              | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$17,417</b> | <b>\$18,044</b> | <b>\$17,354</b> |
| <b>0714 Roberti Affordable Housing Fund</b>  |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| Prior year balances available:   |                 |                 |                 |
| Chapter 30 and 48, Statutes of 1988: transfer from Local Assistance (Transfer to Rental Housing Construction Fund)     | \$15,047        | \$13,668        | \$-             |
| Chapter 30 and 48, Statutes of 1988: transfer from Local Assistance (Transfer to the Housing Rehabilitation Loan Fund) | -               | -               | 12,258          |
| Chapter 30 and 48, Statutes of 1988: transfer from Local Assistance (Transfer to Housing Rehabilitation Loan Fund)     | 2,973           | 2,895           | 2,395           |
| <b>Totals Available</b>  | <b>\$18,020</b> | <b>\$16,563</b> | <b>\$14,653</b> |
| Balance available in subsequent years  | -16,563         | -14,653         | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,457</b>  | <b>\$1,910</b>  | <b>\$14,653</b> |
| <b>0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund</b>     |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| Prior year balances available:   |                 |                 |                 |
| Chapter 27, Statutes of 1988: Transfer from Local Assistance (Transfer to the Housing Rehabilitation Loan Fund)        | \$3,628         | \$3,628         | \$3,128         |
| <b>Totals Available</b>  | <b>\$3,628</b>  | <b>\$3,628</b>  | <b>\$3,128</b>  |
| Balance available in subsequent years  | -3,628          | -3,128          | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>      | <b>\$500</b>    | <b>\$3,128</b>  |
| <b>0813 Self-Help Housing Fund</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$107           | \$220           | \$122           |
| Allocation for employee compensation   | -               | 2               | -               |
| Adjustment per Section 3.60  | 1               | 1               | -               |
| Adjustment per Section 3.90  | -3              | -               | -               |
| Health and Safety Code Section 50697.1 (CalHome Program)   | 8               | 54              | -               |
| Health and Safety Code sections 50697.1 and 53533 (a)(5)(A)  | 129             | 473             | 346             |
| Health and Safety Code sections 50697.1 and 53545 (a)(1)(D) (CalHome Program and Self-Help Housing Program)            | 1,042           | 1,304           | 1,322           |
| <b>Totals Available</b>  | <b>\$1,284</b>  | <b>\$2,054</b>  | <b>\$1,790</b>  |
| Unexpended balance, estimated savings  | -61             | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,223</b>  | <b>\$2,054</b>  | <b>\$1,790</b>  |
| <b>0890 Federal Trust Fund</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$10,301        | \$9,518         | \$8,814         |
| Allocation for employee compensation   | 36              | 101             | -               |
| Adjustment per Section 3.60  | 112             | 31              | -               |
| Adjustment per Section 3.90  | -297            | -               | -               |
| Adjustment per Section 4.05  | -               | -2              | -               |
| Budget Adjustment  | -559            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$9,593</b>  | <b>\$9,648</b>  | <b>\$8,814</b>  |
| <b>0916 California Housing Loan Insurance Fund</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| Health and Safety Code section 51611   | \$-             | \$650           | \$631           |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>      | <b>\$650</b>    | <b>\$631</b>    |

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

| 1 STATE OPERATIONS  | 2012-13*       | 2013-14*        | 2014-15*        |
|---|----------------|-----------------|-----------------|
| <b>0927 Joe Serna, Jr. Farmworker Housing Grant Fund</b>  |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| Health and Safety Code section 50517.5  | \$321          | \$476           | \$414           |
| Health and Safety Code sections 50517.5 and 53533 (a)(4)(A)   | 550            | 312             | 340             |
| Health and Safety Code section 50517.5 and 53545 (a)(1)(C) (Joe Serna, Jr. Farmworker Housing Program)  | 787            | 1,621           | 1,154           |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$1,658</b> | <b>\$2,409</b>  | <b>\$1,908</b>  |
| <b>0929 Housing Rehabilitation Loan Fund</b>  |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| 001 Budget Act appropriation  | \$2,241        | \$3,844         | \$4,163         |
| Allocation for employee compensation  | 8              | 42              | -               |
| Adjustment per Section 3.60   | 25             | 13              | -               |
| Adjustment per Section 3.90   | -66            | -               | -               |
| Adjustment per Section 4.05   | -              | -1              | -               |
| Health and Safety Code section 50661 (Multi-Family Housing Program)   | 1,497          | 4,073           | 4,641           |
| Health and Safety Code section 50661 (Monitoring and Management)  | 1,179          | 531             | 280             |
| Health and Safety Code section 50661 (RHCP)   | -              | -               | 1,392           |
| Health and Safety Code section 50661  | 75             | 500             | 642             |
| Health and Safety Code Section 50661 and Government Code Section 8878.20  | -              | 500             | 595             |
| Health and Safety Code section 50661 (FHDP)   | -              | -               | 300             |
| Health and Safety Code Sections 50661 and 53533 (a)(1)(A)   | 757            | 501             | 622             |
| Health and Safety Code Section 50661 and 53545 (a)(1)(A) and (B) Multifamily Housing Program and Homeless Youth Program and Supportive Housing) | 2,101          | 2,395           | 3,061           |
| <b>Totals Available</b>   | <b>\$7,817</b> | <b>\$12,398</b> | <b>\$15,696</b> |
| Unexpended balance, estimated savings   | -1,014         | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$6,803</b> | <b>\$12,398</b> | <b>\$15,696</b> |
| Less funding provided by the California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund             | -              | -500            | -3,128          |
| Less funding provided by the Roberti Affordable Housing Fund  | -78            | -500            | -14,653         |
| <b>NET TOTALS, EXPENDITURES</b>   | <b>\$6,725</b> | <b>\$11,398</b> | <b>\$-2,085</b> |
| <b>0938 Rental Housing Construction Fund</b>  |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| 001 Budget Act appropriation  | \$909          | \$1,131         | \$-             |
| Allocation for employee compensation  | 3              | 12              | -               |
| Adjustment per Section 3.60   | 10             | 4               | -               |
| Adjustment per Section 3.90   | -27            | -               | -               |
| Health and Safety Code Section 50740 (RHCP Original)  | 108            | 32              | -               |
| Health and Safety Code Section 50740 (RHCP Rental Housing Construction Program)   | 1,297          | 1,110           | -               |
| Health and Safety Code Section 50740  | 73             | 300             | -               |
| <b>Totals Available</b>   | <b>\$2,373</b> | <b>\$2,589</b>  | <b>\$-</b>      |
| Unexpended balance, estimated savings   | -33            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$2,340</b> | <b>\$2,589</b>  | <b>\$-</b>      |
| Less funding provided by the Roberti Affordable Housing Fund  | -1,379         | -1,410          | -               |
| <b>NET TOTALS, EXPENDITURES</b>   | <b>\$961</b>   | <b>\$1,179</b>  | <b>\$-</b>      |
| <b>0972 Manufactured Home Recovery Fund</b>   |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |
| Health and Safety Code Section 18070.6 (Claims Against Dealers or Salespersons)   | \$238          | \$274           | \$249           |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$238</b>   | <b>\$274</b>    | <b>\$249</b>    |
| <b>0980 Predevelopment Loan Fund</b>  |                |                 |                 |
| APPROPRIATIONS  |                |                 |                 |

\* Dollars in thousands, except in Salary Range.



**2240 Department of Housing and Community Development - Continued**

| <b>1 STATE OPERATIONS</b>  | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|--|-----------------|-----------------|-----------------|
| 001 Budget Act appropriation   | \$332           | \$351           | \$329           |
| Allocation for employee compensation                                   | 1               | 4               | -               |
| Adjustment per Section 3.60  | 4               | 1               | -               |
| Adjustment per Section 3.90  | -10             | -               | -               |
| <b>Totals Available</b>  | <b>\$327</b>    | <b>\$356</b>    | <b>\$329</b>    |
| Unexpended balance, estimated savings                                  | -100            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$227</b>    | <b>\$356</b>    | <b>\$329</b>    |
| <b>0985 Emergency Housing and Assistance Fund</b>                      |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$175           | \$287           | \$-             |
| Allocation for employee compensation                                   | 1               | 3               | -               |
| Adjustment per Section 3.60  | 2               | 1               | -               |
| Adjustment per Section 3.90  | -5              | -               | -               |
| Health and Safety Code Sections 50800.5 and 53533 (a)(5)(A)            | 809             | 1,283           | 1,129           |
| Health and Safety Code Sections 50800.5 and 53545 (a)(1)(H)            | 683             | 881             | 1,019           |
| <b>Totals Available</b>  | <b>\$1,665</b>  | <b>\$2,455</b>  | <b>\$2,148</b>  |
| Unexpended balance, estimated savings                                  | -109            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,556</b>  | <b>\$2,455</b>  | <b>\$2,148</b>  |
| <b>0995 Reimbursements</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| Reimbursements   | \$692           | \$1,180         | \$1,180         |
| <b>3144 Building Standards Administration Special Revolving Fund</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$552           | \$643           | \$624           |
| Allocation for employee compensation                                   | 2               | 7               | -               |
| Adjustment per Section 3.60  | 6               | 2               | -               |
| Adjustment per Section 3.90  | -16             | -               | -               |
| <b>Totals Available</b>  | <b>\$544</b>    | <b>\$652</b>    | <b>\$624</b>    |
| Unexpended balance, estimated savings                                  | -2              | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$542</b>    | <b>\$652</b>    | <b>\$624</b>    |
| <b>3165 Enterprise Zone Fund</b>                                       |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$1,299         | \$1,466         | \$1,374         |
| Allocation for employee compensation                                   | 5               | 16              | -               |
| Adjustment per Section 3.60  | 15              | 5               | -               |
| Adjustment per Section 3.90  | -38             | -               | -               |
| Adjustment per Section 4.05  | -               | -1              | -               |
| <b>Totals Available</b>  | <b>\$1,281</b>  | <b>\$1,486</b>  | <b>\$1,374</b>  |
| Unexpended balance, estimated savings                                  | -103            | -               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$1,178</b>  | <b>\$1,486</b>  | <b>\$1,374</b>  |
| <b>3237 Cost of Implementation Account, Air Pollution Control Fund</b> |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$-             | \$783           | \$810           |
| Allocation for employee compensation                                   | -               | 9               | -               |
| Adjustment per Section 3.60  | -               | 3               | -               |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>      | <b>\$795</b>    | <b>\$810</b>    |
| <b>6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund</b>   |                 |                 |                 |
| APPROPRIATIONS   |                 |                 |                 |
| 001 Budget Act appropriation   | \$329           | \$305           | \$191           |

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

| 1 STATE OPERATIONS  | 2012-13*       | 2013-14*       | 2014-15*       |
|---|----------------|----------------|----------------|
| Allocation for employee compensation  | 1              | 3              | -              |
| Adjustment per Section 3.60   | 4              | 1              | -              |
| Adjustment per Section 3.90   | -10            | -              | -              |
| 002 Budget Act appropriation  | 534            | 455            | 365            |
| Allocation for employee compensation  | 2              | 5              | -              |
| Adjustment per Section 3.60   | 6              | 2              | -              |
| Adjustment per Section 3.90   | -16            | -              | -              |
| <b>Totals Available</b>   | <b>\$850</b>   | <b>\$771</b>   | <b>\$556</b>   |
| Unexpended balance, estimated savings   | -164           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$686</b>   | <b>\$771</b>   | <b>\$556</b>   |
| <b>6068 Affordable Housing Innovation Fund</b>  |                |                |                |
| APPROPRIATIONS  |                |                |                |
| 001 Budget Act appropriation  | \$-            | \$403          | \$325          |
| Allocation for employee compensation  | -              | 4              | -              |
| Adjustment per Section 3.60   | -              | 1              | -              |
| Prior year balances available:  |                |                |                |
| Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappd per 2240-490, BA 2010 as amended by Ch.784, Statutes of 2012 | 2,897          | 2,017          | 2,017          |
| <b>Totals Available</b>   | <b>\$2,897</b> | <b>\$2,425</b> | <b>\$2,342</b> |
| Balance available in subsequent years   | -2,017         | -2,017         | -2,017         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$880</b>   | <b>\$408</b>   | <b>\$325</b>   |
| <b>6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006</b>                                      |                |                |                |
| APPROPRIATIONS  |                |                |                |
| 001 Budget Act appropriation  | \$2,560        | \$2,621        | \$2,796        |
| Allocation for employee compensation  | 9              | 29             | -              |
| Adjustment per Section 3.60   | 29             | 9              | -              |
| Adjustment per Section 3.90   | -76            | -              | -              |
| Adjustment per Section 4.05   | -              | -1             | -              |
| <b>Totals Available</b>   | <b>\$2,522</b> | <b>\$2,658</b> | <b>\$2,796</b> |
| Unexpended balance, estimated savings   | -455           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$2,067</b> | <b>\$2,658</b> | <b>\$2,796</b> |
| <b>6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006</b>  |                |                |                |
| APPROPRIATIONS  |                |                |                |
| 001 Budget Act appropriation  | \$1,070        | \$1,114        | \$920          |
| Allocation for employee compensation  | 4              | 12             | -              |
| Adjustment per Section 3.60   | 12             | 4              | -              |
| Adjustment per Section 3.90   | -32            | -              | -              |
| <b>Totals Available</b>   | <b>\$1,054</b> | <b>\$1,130</b> | <b>\$920</b>   |
| Unexpended balance, estimated savings   | -295           | -              | -              |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$759</b>   | <b>\$1,130</b> | <b>\$920</b>   |
| <b>9736 Transit-Oriented Development Implementation Fund</b>  |                |                |                |
| APPROPRIATIONS  |                |                |                |
| 001 Budget Act appropriation  | \$982          | \$1,070        | \$1,199        |
| Allocation for employee compensation  | 4              | 12             | -              |
| Adjustment per Section 3.60   | 11             | 4              | -              |
| Adjustment per Section 3.90   | -29            | -              | -              |
| <b>Totals Available</b>   | <b>\$968</b>   | <b>\$1,086</b> | <b>\$1,199</b> |

\* Dollars in thousands, except in Salary Range.

**2240 Department of Housing and Community Development - Continued**

| <b>1 STATE OPERATIONS</b>  | <b>2012-13*</b> | <b>2013-14*</b>  | <b>2014-15*</b>  |
|--|-----------------|------------------|------------------|
| Unexpended balance, estimated savings  | -45             | -                | -                |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$923</b>    | <b>\$1,086</b>   | <b>\$1,199</b>   |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>  | <b>\$57,602</b> | <b>\$113,422</b> | <b>\$110,717</b> |
|  |                 |                  |                  |
| <b>2 LOCAL ASSISTANCE</b>  | <b>2012-13*</b> | <b>2013-14*</b>  | <b>2014-15*</b>  |
| <b>0001 General Fund</b>   |                 |                  |                  |
| APPROPRIATIONS   |                 |                  |                  |
| 101 Budget Act appropriation   | \$5,629         | \$5,629          | \$5,629          |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$5,629</b>  | <b>\$5,629</b>   | <b>\$5,629</b>   |
| <b>0530 Mobilehome Park Purchase Fund</b>  |                 |                  |                  |
| APPROPRIATIONS   |                 |                  |                  |
| Health and Safety Code Section 50782   | \$-             | \$6,500          | \$6,500          |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>      | <b>\$6,500</b>   | <b>\$6,500</b>   |
| Loan repayments from local agencies  | -873            | -1,031           | -1,031           |
| <b>NET TOTALS, EXPENDITURES</b>  | <b>\$-873</b>   | <b>\$5,469</b>   | <b>\$5,469</b>   |
| <b>0714 Roberti Affordable Housing Fund</b>  |                 |                  |                  |
| APPROPRIATIONS   |                 |                  |                  |
| Prior year balances available:   |                 |                  |                  |
| Chapters 30 and 48, Statutes of 1988 (Proposition 84 - Residential Housing Construction Program)   | \$5,452         | \$5,452          | \$-              |
| Chapters 30 and 48, Statutes of 1988 (Transfer to Housing Rehabilitation Loan Fund) Proposition 84-Residential Housing Construction Program  | -               | -                | 5,202            |
| Chapters 30 and 48, Statutes of 1988 (Proposition 84 - Residential Hotel)  | 142             | 142              | -                |
| Chapters 30 and 48, Statutes of 1988 (Transfer to Housing Rehabilitation Loan Fund) Proposition 84-Residential Hotel                         | -               | -                | 142              |
| Chapters 30 and 48, Statutes of 1988 (Proposition 84 - Family Home Demonstration Program)  | 450             | 450              | -                |
| Chapters 30 and 48, Statutes of 1988 (Transfer to Housing Rehabilitation Loan Fund) Proposition 84-Family Home Demonstration Program         | -               | -                | 450              |
| Chapters 30 and 48, Statutes of 1988 (Proposition 107 - Residential Housing Construction Program)  | 3,000           | 3,000            | -                |
| Chapters 30 and 48, Statutes of 1988 (Transfer to Housing Rehabilitation Loan Fund) Proposition 107-Residential Housing Construction Program | -               | -                | 3,000            |
| Chapters 30 and 48, Statutes of 1988 (Proposition 107 - Residential Hotel)   | 294             | 294              | -                |
| Chapters 30 and 48, Statutes of 1988 (Transfer to Housing Rehabilitation Loan Fund) Proposition 107-Residential Hotel                        | -               | -                | 294              |
| <b>Totals Available</b>  | <b>\$9,338</b>  | <b>\$9,338</b>   | <b>\$9,088</b>   |
| Balance available in subsequent years  | -9,338          | -9,088           | -                |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$-</b>      | <b>\$250</b>     | <b>\$9,088</b>   |
| <b>0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund</b>                           |                 |                  |                  |
| APPROPRIATIONS   |                 |                  |                  |
| Prior year balances available:   |                 |                  |                  |
| Chapter 27, Statutes of 1988 (transfer to Housing Rehabilitation Loan Fund)  | \$6,675         | \$3,027          | \$-              |
| Transfer to State Operations   | -3,628          | -                | -                |
| Chapter 27, Statutes of 1988 (Transfer to Housing Rehabilitation Loan Fund)  | -               | -                | 2,952            |
| <b>Totals Available</b>  | <b>\$3,047</b>  | <b>\$3,027</b>   | <b>\$2,952</b>   |
| Balance available in subsequent years  | -3,027          | -2,952           | -                |
| <b>TOTALS, EXPENDITURES</b>  | <b>\$20</b>     | <b>\$75</b>      | <b>\$2,952</b>   |
| <b>0813 Self-Help Housing Fund</b>   |                 |                  |                  |
| APPROPRIATIONS   |                 |                  |                  |
| Health and Safety Code Section 53533 (a)(5) and 50697.1 CalHome Program  | \$10,000        | \$-              | \$-              |

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

| 2 LOCAL ASSISTANCE  | 2012-13*         | 2013-14*         | 2014-15*         |
|---|------------------|------------------|------------------|
| Health and Safety Code section 53533(a) (5)--CalHome (Proposition 46)   | -                | 4,200            | -                |
| Health and Safety Code Section 53545 (a) (1)(D) CalHome Program (Prop 1C)   | <u>31,718</u>    | <u>30,000</u>    | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$41,718</b>  | <b>\$34,200</b>  | <b>\$-</b>       |
| Less funding provided by the Building Equity and Growth in Neighborhoods Fund   | -37,000          | -13,400          | -                |
| Less funding provided by the Building Equity and Growth in Neighborhoods Fund   | <u>-10,000</u>   | <u>-4,000</u>    | <u>-</u>         |
| <b>NET TOTALS, EXPENDITURES</b>   | <b>\$-5,282</b>  | <b>\$16,800</b>  | <b>\$-</b>       |
| <b>0890 Federal Trust Fund</b>  |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| 101 Budget Act appropriation  | \$140,496        | \$121,996        | \$111,570        |
| Budget Adjustment   | <u>-50,167</u>   | <u>-</u>         | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$90,329</b>  | <b>\$121,996</b> | <b>\$111,570</b> |
| <b>0927 Joe Serna, Jr. Farmworker Housing Grant Fund</b>  |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| Health and Safety Code Section 50517.5  | \$37             | \$-              | \$-              |
| Health and Safety Code Section 50517.1  | 3,616            | 3,610            | 3,610            |
| Health and Safety Code section 53533 (a) (4)  | <u>-</u>         | <u>4,000</u>     | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$3,653</b>   | <b>\$7,610</b>   | <b>\$3,610</b>   |
| Loan repayments from local agencies   | <u>-27</u>       | <u>-27</u>       | <u>-27</u>       |
| <b>NET TOTALS, EXPENDITURES</b>   | <b>\$3,626</b>   | <b>\$7,583</b>   | <b>\$3,583</b>   |
| <b>0929 Housing Rehabilitation Loan Fund</b>  |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| Health and Safety Code Section 50661 (Default Reserve)  | \$35             | \$350            | \$350            |
| Health and Safety Code section 50661 (Default Reserve)  | -                | -                | 250              |
| Health and Safety Code Section 50661 (Default Payment Loan Program)   | 20               | 75               | 75               |
| Health and Safety Code section 53533 (a)(1)(E)  | -                | 3,000            | -                |
| Health and Safety Code section 53545 (a)(1)(A)(i)--Multi Family Housing Program (Proposition 1C)                                | -                | 19,400           | -                |
| Health and Safety Code section 53545 (a) (1)(B)--Supportive Housing Program (Proposition 1C)                                    | -                | 9,500            | -                |
| Health and Safety Code section 53545.9 (d)(1)   | <u>-</u>         | <u>30,000</u>    | <u>-</u>         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$55</b>      | <b>\$62,325</b>  | <b>\$675</b>     |
| Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund | -20              | -75              | -2,952           |
| Less funding provided by the Affordable Housing Innovation Fund   | -30,000          | -                | -                |
| Less Funding Provided by The Roberti Affordable Housing Fund  | -                | -                | -9,088           |
| Loan repayments from local agencies   | <u>-1,679</u>    | <u>-385</u>      | <u>-385</u>      |
| <b>NET TOTALS, EXPENDITURES</b>   | <b>\$-31,644</b> | <b>\$61,865</b>  | <b>\$-11,750</b> |
| <b>0938 Rental Housing Construction Fund</b>  |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| Health and Safety Code Section 50771.10 (Default Reserve Account)   | \$-              | \$250            | \$-              |
| Health and Safety Code Section 50740 (RHCP Original)  | <u>2,312</u>     | <u>3,650</u>     | <u>3,650</u>     |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$2,312</b>   | <b>\$3,900</b>   | <b>\$3,650</b>   |
| Less funding provided by the Roberti Affordable Housing Fund  | -                | -250             | -                |
| Loan repayments from local agencies   | <u>-880</u>      | <u>-1</u>        | <u>-1</u>        |
| <b>NET TOTALS, EXPENDITURES</b>   | <b>\$1,432</b>   | <b>\$3,649</b>   | <b>\$3,649</b>   |
| <b>0972 Manufactured Home Recovery Fund</b>   |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |
| Health and Safety Code Section 18070  | <u>\$166</u>     | <u>\$250</u>     | <u>\$250</u>     |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$166</b>     | <b>\$250</b>     | <b>\$250</b>     |
| <b>0980 Predevelopment Loan Fund</b>  |                  |                  |                  |
| APPROPRIATIONS  |                  |                  |                  |

\* Dollars in thousands, except in Salary Range.

**2240 Department of Housing and Community Development - Continued**

| <b>2 LOCAL ASSISTANCE</b>   | <b>2012-13*</b>  | <b>2013-14*</b>  | <b>2014-15*</b> |
|---|------------------|------------------|-----------------|
| Health and Safety Code Section 50531  | \$-              | \$2,250          | \$2,250         |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$-</b>       | <b>\$2,250</b>   | <b>\$2,250</b>  |
| Loan repayment from local agencies  | -1,606           | -500             | -500            |
| <b>NET TOTALS, EXPENDITURES</b>   | <b>\$-1,606</b>  | <b>\$1,750</b>   | <b>\$1,750</b>  |
| <b>0985 Emergency Housing and Assistance Fund</b>   |                  |                  |                 |
| APPROPRIATIONS  |                  |                  |                 |
| Health and Safety Code section 53533 (a) (2)--Emergency Housing Assistance (Proposition 46)   | \$-              | \$2,800          | \$-             |
| Health and Safety Code Section 50800 and 53545 (a)(1)(H) Emergency Housing Assistance Program-Capital Development (Prop 1C)                                 | -                | 8,700            | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$-</b>       | <b>\$11,500</b>  | <b>\$-</b>      |
| <b>6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund</b>  |                  |                  |                 |
| APPROPRIATIONS  |                  |                  |                 |
| Prior year balances available:  |                  |                  |                 |
| Item 2240-102-6038, Budget Act of 2007, as reappropriated by Item 2240-490, Budget Act of 2011  | \$17,130         | \$-              | \$-             |
| Health and Safety Code Section 53545(a)(1)(G)   | 37,000           | 13,400           | -               |
| Health and Safety Code Section 53533(a)(5)(C) (Transfer to Self-Help Housing Fund)  | 10,000           | 4,000            | -               |
| <b>Totals Available</b>   | <b>\$64,130</b>  | <b>\$17,400</b>  | <b>\$-</b>      |
| Unexpended balance, estimated savings   | -17,130          | -                | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$47,000</b>  | <b>\$17,400</b>  | <b>\$-</b>      |
| <b>6068 Affordable Housing Innovation Fund</b>  |                  |                  |                 |
| APPROPRIATIONS  |                  |                  |                 |
| Prior year balances available:  |                  |                  |                 |
| Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappd per 2240-490, BA 2010 as amended by Ch.784, Statutes of 2012 | \$38,063         | \$-              | \$-             |
| Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as amended by Chapter 769, Statutes of 2013                            | -                | 8,813            | 813             |
| <b>Totals Available</b>   | <b>\$38,063</b>  | <b>\$8,813</b>   | <b>\$813</b>    |
| Balance available in subsequent years   | -8,813           | -813             | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$29,250</b>  | <b>\$8,000</b>   | <b>\$813</b>    |
| <b>6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006</b>                                      |                  |                  |                 |
| APPROPRIATIONS  |                  |                  |                 |
| Chapter 777, Statutes of 2012, Section 2 (a) and (c)(1)   | \$127,000        | \$-              | \$-             |
| Prior year balances available:  |                  |                  |                 |
| Chapter 777, Statutes of 2012, Section 2 (a) and (c)(1)   | -                | 127,000          | -               |
| <b>Totals Available</b>   | <b>\$127,000</b> | <b>\$127,000</b> | <b>\$-</b>      |
| Balance available in subsequent years   | -127,000         | -                | -               |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$-</b>       | <b>\$127,000</b> | <b>\$-</b>      |
| <b>6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006</b>  |                  |                  |                 |
| APPROPRIATIONS  |                  |                  |                 |
| 101 Budget Act appropriation  | \$-              | \$25,000         | \$25,000        |
| <b>TOTALS, EXPENDITURES</b>   | <b>\$-</b>       | <b>\$25,000</b>  | <b>\$25,000</b> |
| <b>9736 Transit-Oriented Development Implementation Fund</b>  |                  |                  |                 |
| APPROPRIATIONS  |                  |                  |                 |
| Chapter 777, Statutes of 2012, Section 2 (b) and (c)(2)   | \$64,200         | \$-              | \$-             |
| Prior year balances available:  |                  |                  |                 |
| Chapter 777, Statutes of 2012, Section 2 (b) and (c)(2)   | -                | 64,200           | -               |
| <b>Totals Available</b>   | <b>\$64,200</b>  | <b>\$64,200</b>  | <b>\$-</b>      |
| Balance available in subsequent years   | -64,200          | -                | -               |

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

| 2 LOCAL ASSISTANCE   | <u>2012-13*</u>  | <u>2013-14*</u>  | <u>2014-15*</u>  |
|--|------------------|------------------|------------------|
| <b>TOTALS, EXPENDITURES</b>  | <u>\$-</u>       | <u>\$64,200</u>  | <u>\$-</u>       |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)</b>                      | <u>\$138,047</u> | <u>\$478,416</u> | <u>\$158,003</u> |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)</b> | <u>\$195,649</u> | <u>\$591,838</u> | <u>\$268,720</u> |

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| <b>FUND CONDITION STATEMENTS</b>   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|--|-----------------|-----------------|-----------------|
| <b>0101 School Facilities Fee Assistance Fund <sup>s</sup></b>                       |                 |                 |                 |
| BEGINNING BALANCE  | -               | \$124           | \$124           |
| Prior year adjustments   | <u>\$124</u>    | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$124           | \$124           | \$124           |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                 |                 |                 |
| Transfers and Other Adjustments:   |                 |                 |                 |
| TO0001 To General Fund pending legislation   | <u>-</u>        | <u>-</u>        | <u>-124</u>     |
| Total Revenues, Transfers, and Other Adjustments                                     | <u>-</u>        | <u>-</u>        | <u>-\$124</u>   |
| Total Resources  | <u>\$124</u>    | <u>\$124</u>    | <u>-</u>        |
| FUND BALANCE   | \$124           | \$124           | -               |
| Reserve for economic uncertainties   | 124             | 124             | -               |
| <b>0245 Mobilehome Parks and Special Occupancy Parks Revolving Fund <sup>s</sup></b> |                 |                 |                 |
| BEGINNING BALANCE  | \$4,000         | \$3,607         | \$3,315         |
| Prior year adjustments   | <u>-7</u>       | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$3,993         | \$3,607         | \$3,315         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 5,949           | 6,134           | 6,134           |
| 125700 Other Regulatory Licenses and Permits   | 486             | 481             | 481             |
| 141200 Sales of Documents  | -               | 1               | 1               |
| 150300 Income From Surplus Money Investments   | 10              | 11              | 11              |
| 161400 Miscellaneous Revenue   | 16              | 25              | 25              |
| 161900 Other Revenue - Cost Recoveries   | -               | 1               | 1               |
| 163000 Settlements/Judgments(not Anti-trust)   | <u>-</u>        | <u>1</u>        | <u>1</u>        |
| Total Revenues, Transfers, and Other Adjustments                                     | <u>\$6,461</u>  | <u>\$6,654</u>  | <u>\$6,654</u>  |
| Total Resources  | \$10,454        | \$10,261        | \$9,969         |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS   |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)   | 5               | -               | -               |
| 2240 Department of Housing and Community Development (State Operations)              | 6,808           | 6,914           | 6,778           |
| 8880 Financial Information System for California (State Operations)                  | <u>34</u>       | <u>32</u>       | <u>6</u>        |
| Total Expenditures and Expenditure Adjustments                                       | <u>\$6,847</u>  | <u>\$6,946</u>  | <u>\$6,784</u>  |
| FUND BALANCE   | \$3,607         | \$3,315         | \$3,185         |
| Reserve for economic uncertainties   | 3,607           | 3,315           | 3,185           |
| <b>0648 Mobilehome-Manufactured Home Revolving Fund <sup>s</sup></b>                 |                 |                 |                 |
| BEGINNING BALANCE  | \$8,236         | \$9,189         | \$9,415         |
| Prior year adjustments   | <u>101</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$8,337         | \$9,189         | \$9,415         |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 115400 Mobilehome In-Lieu Tax  | 2,388           | 1,888           | 1,888           |
| 125600 Other Regulatory Fees   | 2,210           | 2,391           | 2,391           |

\* Dollars in thousands, except in Salary Range.

**2240 Department of Housing and Community Development - Continued**

|  | 2012-13*        | 2013-14*        | 2014-15*        |
|--|-----------------|-----------------|-----------------|
| 125700 Other Regulatory Licenses and Permits                                     | 11,433          | 11,460          | 11,460          |
| 141200 Sales of Documents  | 14              | 13              | 13              |
| 142500 Miscellaneous Services to the Public                                      | 1,123           | 1,062           | 1,062           |
| 150300 Income From Surplus Money Investments                                     | 18              | 18              | 18              |
| 161000 Escheat of Unclaimed Checks & Warrants                                    | 42              | 38              | 38              |
| 161400 Miscellaneous Revenue   | 3               | 11              | 11              |
| 161900 Other Revenue - Cost Recoveries   | 286             | 285             | 285             |
| 164300 Penalty Assessments   | <u>852</u>      | <u>1,186</u>    | <u>1,186</u>    |
| Total Revenues, Transfers, and Other Adjustments                                 | <u>\$18,369</u> | <u>\$18,352</u> | <u>\$18,352</u> |
| Total Resources  | \$26,706        | \$27,541        | \$27,767        |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                  |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 0840 State Controller (State Operations)   | 13              | 1               | -               |
| 2240 Department of Housing and Community Development (State Operations)          | 17,417          | 18,044          | 17,354          |
| 8880 Financial Information System for California (State Operations)              | <u>87</u>       | <u>81</u>       | <u>15</u>       |
| Total Expenditures and Expenditure Adjustments                                   | <u>\$17,517</u> | <u>\$18,126</u> | <u>\$17,369</u> |
| FUND BALANCE   | \$9,189         | \$9,415         | \$10,398        |
| Reserve for economic uncertainties   | 9,189           | 9,415           | 10,398          |
| <b>3165 Enterprise Zone Fund <sup>s</sup></b>                                    |                 |                 |                 |
| BEGINNING BALANCE  | \$1,855         | \$3,586         | \$3,661         |
| Prior year adjustments   | <u>313</u>      | <u>-</u>        | <u>-</u>        |
| Adjusted Beginning Balance   | \$2,168         | \$3,586         | \$3,661         |
| <b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>                                |                 |                 |                 |
| Revenues:  |                 |                 |                 |
| 125600 Other Regulatory Fees   | 2,595           | 1,964           | 982             |
| 150300 Income From Surplus Money Investments                                     | 7               | 3               | 3               |
| Transfers and Other Adjustments:   |                 |                 |                 |
| TO0001 To General Fund loan repayment per Item 2240-011-0001, Budget Act of 2010 | <u>-</u>        | <u>-400</u>     | <u>-</u>        |
| Total Revenues, Transfers, and Other Adjustments                                 | <u>\$2,602</u>  | <u>\$1,567</u>  | <u>\$985</u>    |
| Total Resources  | \$4,770         | \$5,153         | \$4,646         |
| <b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>                                  |                 |                 |                 |
| Expenditures:  |                 |                 |                 |
| 2240 Department of Housing and Community Development (State Operations)          | 1,178           | 1,486           | 1,374           |
| 8880 Financial Information System for California (State Operations)              | <u>6</u>        | <u>6</u>        | <u>1</u>        |
| Total Expenditures and Expenditure Adjustments                                   | <u>\$1,184</u>  | <u>\$1,492</u>  | <u>\$1,375</u>  |
| FUND BALANCE   | \$3,586         | \$3,661         | \$3,271         |
| Reserve for economic uncertainties   | 3,586           | 3,661           | 3,271           |

**CHANGES IN AUTHORIZED POSITIONS**

|  | <u>Positions</u> |              |              | <u>Expenditures</u> |                 |                 |
|--|------------------|--------------|--------------|---------------------|-----------------|-----------------|
|  | 2012-13          | 2013-14      | 2014-15      | 2012-13*            | 2013-14*        | 2014-15*        |
| Totals, Authorized Positions               | 519.5            | 529.1        | 523.1        | \$30,853            | \$33,026        | \$33,035        |
| Salary Adjustments                         | -                | -            | -            | -                   | 508             | 508             |
| <b>Proposed New Positions:</b>             |                  |              |              | <b>Salary Range</b> |                 |                 |
| Financial Assistance Program:              |                  |              |              |                     |                 |                 |
| Housing & Community Development Manager I  | -                | -            | 1.0          | 5,079-6,311         | -               | 68              |
| Housing & Community Development Rep II     | <u>-</u>         | <u>-</u>     | <u>8.0</u>   | <u>4,619-5,784</u>  | <u>-</u>        | <u>499</u>      |
| <b>Totals Proposed New Positions (HCD)</b> | <u>-</u>         | <u>-</u>     | <u>9.0</u>   | <u>\$-</u>          | <u>\$-</u>      | <u>\$567</u>    |
| <b>Total Adjustments (HCD)</b>             | <u>-</u>         | <u>-</u>     | <u>9.0</u>   | <u>\$-</u>          | <u>\$508</u>    | <u>\$1,075</u>  |
| <b>TOTALS, SALARIES AND WAGES (HCD)</b>    | <u>519.5</u>     | <u>529.1</u> | <u>532.1</u> | <u>\$30,853</u>     | <u>\$33,534</u> | <u>\$34,110</u> |

\* Dollars in thousands, except in Salary Range.

## 2240 Department of Housing and Community Development - Continued

|  | Positions |              |              | Expenditures |                 |                 |
|--|-----------|--------------|--------------|--------------|-----------------|-----------------|
|  | 2012-13   | 2013-14      | 2014-15      | 2012-13*     | 2013-14*        | 2014-15*        |
| <b>California Housing Finance Agency (CalHFA)</b>  |           |              |              |              |                 |                 |
| Totals, Authorized Positions                       | -         | 312.6        | 312.6        | \$-          | \$22,052        | \$22,090        |
| <b>TOTALS, SALARIES AND WAGES (CalHFA)</b>         | -         | <b>312.6</b> | <b>312.6</b> | <b>\$-</b>   | <b>\$22,052</b> | <b>\$22,090</b> |
| <b>TOTALS, SALARIES AND WAGES (HCD and CalHFA)</b> | 519.5     | 841.7        | 844.7        | \$30,853     | \$55,586        | \$56,200        |

### 2260 California Housing Finance Agency

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 and 2014-15 information for the California Housing Finance Agency will be displayed within the Department of Housing and Community Development (Organization Code 2240), which reports to the new Business, Consumer Services, and Housing Agency. The California Housing Finance Agency was previously reported within the Business, Transportation, and Housing Agency.

The California Housing Finance Agency (CalHFA), a statutorily independent agency and component unit of state government, is not subject to Budget Act Appropriation. CalHFA's mission is to create and finance progressive housing solutions so more Californians have a place to call home. The Agency is financially self-supporting, setting loan interest rates slightly above its cost and charging fees to cover its lending costs and risks.

The Agency has \$6.8 billion in assets that are comprised mainly of loan receivables and investments related to bond proceeds. Each year, the Agency is audited by independent outside auditors and produces an annual report by November 1st. The annual report and audited financial statements outline the lending activity and services provided by the Agency and the financial results of those activities. These reports can be viewed using the following link: <http://www.calhfa.ca.gov/about/financials/reports/index.htm>

#### 3-YR EXPENDITURES AND POSITIONS

|  | Positions    |          |          | Expenditures    |                 |                 |
|--|--------------|----------|----------|-----------------|-----------------|-----------------|
|  | 2012-13      | 2013-14  | 2014-15  | 2012-13*        | 2013-14*        | 2014-15*        |
| 10 Lending Activity                                      | 285.3        | -        | -        | \$36,064        | \$-             | \$-             |
| 20 Insurance Activity                                    | 2.0          | -        | -        | 607             | -               | -               |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>287.3</b> | <b>-</b> | <b>-</b> | <b>\$36,671</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>FUNDING</b>   |              |          |          | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
| 0501 California Housing Finance Fund                     |              |          |          | \$35,628        | \$-             | \$-             |
| 0916 California Housing Loan Insurance Fund              |              |          |          | 607             | -               | -               |
| 0995 Reimbursements                                      |              |          |          | 436             | -               | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |              |          |          | <b>\$36,671</b> | <b>\$-</b>      | <b>\$-</b>      |

The California Housing Finance Agency's (CalHFA) funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. Each spring, the CalHFA Board adopts an updated business plan and annual budget. The informational budget presented here reflects the Agency's best estimate of the proposed budget for fiscal year 2014-15. As a Public Enterprise Funded entity, CalHFA support comes from revenue bonds and is not supported by the full faith, credit or taxing power of the State of California. CalHFA continues to administer single and multifamily housing lending programs financed with revenue bond proceeds, and a number of down payment assistance programs from Proposition 46 and Proposition 1C general obligation bond proceeds.

#### LEGAL CITATIONS AND AUTHORITY

##### DEPARTMENT AUTHORITY

Health and Safety Code Sections 50000-52533.

#### PROGRAM DESCRIPTIONS

10 - LENDING ACTIVITY

\* Dollars in thousands, except in Salary Range.



## 2260 California Housing Finance Agency - Continued

The objective of this program is to finance housing at affordable interest rates, using the proceeds of tax-exempt and taxable revenue bonds to make: (1) mortgage loans to qualified first-time homebuyers to finance the purchase of single family homes, (2) permanent loans for the creation and preservation of multifamily housing projects, and (3) special needs housing loans to facilitate the construction, rehabilitation and acquisition of housing needed to serve special needs populations.

### 20 - INSURANCE ACTIVITY

The objective of the Mortgage Insurance Services Division is to encourage the preservation of existing housing and improve new housing opportunities by offering mortgage insurance products to homebuyers who might not qualify for traditional lending programs. The program provides primary mortgage insurance at favorable rates by working with participating lenders, government agencies, government-sponsored enterprises, and the secondary mortgage market to develop and offer innovative homebuyer lending and mortgage insurance programs. Mortgage Insurance Services is not writing new policies, but continues to administer existing policies and claims.

### DETAILED EXPENDITURES BY PROGRAM

|                             |  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|-----------------------------|--|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |  |                 |                 |                 |
| <b>10</b>                   | <b>LENDING ACTIVITY</b>                |                 |                 |                 |
|                             | State Operations:                      |                 |                 |                 |
| 0501                        | California Housing Finance Fund        | \$35,628        | \$-             | \$-             |
| 0995                        | Reimbursements                         | <u>436</u>      | <u>-</u>        | <u>-</u>        |
|                             | <b>Totals, State Operations</b>        | <b>\$36,064</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |  |                 |                 |                 |
| <b>20</b>                   | <b>INSURANCE ACTIVITY</b>              |                 |                 |                 |
|                             | State Operations:                      |                 |                 |                 |
| 0916                        | California Housing Loan Insurance Fund | <u>\$607</u>    | <u>\$-</u>      | <u>\$-</u>      |
|                             | <b>Totals, State Operations</b>        | <b>\$607</b>    | <b>\$-</b>      | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES</b> |  |                 |                 |                 |
|                             | State Operations                       | <u>36,671</u>   | <u>-</u>        | <u>-</u>        |
|                             | <b>Totals, Expenditures</b>            | <b>\$36,671</b> | <b>\$-</b>      | <b>\$-</b>      |

### EXPENDITURES BY CATEGORY

| 1 State Operations                                   | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|--|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|  | <u>2012-13</u>   | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u> | <u>2014-15*</u> |
| PERSONAL SERVICES                                    |                  |                |                |                     |                 |                 |
| Authorized Positions (Equals Sch. 7A)                | <u>287.3</u>     | <u>-</u>       | <u>-</u>       | <u>\$18,338</u>     | <u>\$-</u>      | <u>\$-</u>      |
| <b>Net Totals, Salaries and Wages</b>                | <b>287.3</b>     | <b>-</b>       | <b>-</b>       | <b>\$18,338</b>     | <b>\$-</b>      | <b>\$-</b>      |
| Staff Benefits                                       | <u>-</u>         | <u>-</u>       | <u>-</u>       | <u>7,564</u>        | <u>-</u>        | <u>-</u>        |
| <b>Totals, Personal Services</b>                     | <b>287.3</b>     | <b>-</b>       | <b>-</b>       | <b>\$25,902</b>     | <b>\$-</b>      | <b>\$-</b>      |
| OPERATING EXPENSES AND EQUIPMENT                     |                  |                |                |                     |                 |                 |
|  |                  |                |                | <u>\$10,769</u>     | <u>\$-</u>      | <u>\$-</u>      |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS</b> |                  |                |                | <b>\$36,671</b>     | <b>\$-</b>      | <b>\$-</b>      |
| <b>(State Operations)</b>                            |                  |                |                |                     |                 |                 |

### DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS                          | 2012-13*        | 2013-14*   | 2014-15*   |
|---|-----------------|------------|------------|
| 0501 California Housing Finance Fund        |                 |            |            |
| APPROPRIATIONS                              |                 |            |            |
| Health and Safety Code Section 51000        | <u>\$35,628</u> | <u>\$-</u> | <u>\$-</u> |
| <b>TOTALS, EXPENDITURES</b>                 | <b>\$35,628</b> | <b>\$-</b> | <b>\$-</b> |
| 0916 California Housing Loan Insurance Fund |                 |            |            |
| APPROPRIATIONS                              |                 |            |            |
| Health and Safety Code Section 51611        | \$607           | \$-        | \$-        |

\* Dollars in thousands, except in Salary Range.

## 2260 California Housing Finance Agency - Continued

| 1 STATE OPERATIONS  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| <b>TOTALS, EXPENDITURES</b>                               | \$607           | \$-             | \$-             |
| 0995 Reimbursements                                       |                 |                 |                 |
| APPROPRIATIONS  |                 |                 |                 |
| Reimbursements  | \$436           | \$-             | \$-             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$36,671</b> | <b>\$-</b>      | <b>\$-</b>      |

### 2310 Office of Real Estate Appraisers

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 information for the Office of Real Estate Appraisers was merged with the Department of Consumer Affairs Bureaus, Programs, Divisions (Organization Code 1111) and moved from the Business, Transportation, and Housing Agency to the Business, Consumer Services, and Housing Agency.

The mission of the Office of Real Estate Appraisers was to protect public safety by ensuring the competency and integrity of licensed real estate appraisers and registered appraisal management companies through a program of licensure, registration, and enforcement.

#### 3-YR EXPENDITURES AND POSITIONS

|  | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|--|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|  | <u>2012-13</u>   | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u> | <u>2014-15*</u> |
| 10 Administration of Real Estate Appraisers Program      | 29.4             | -              | -              | \$4,959             | \$-             | \$-             |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | <b>29.4</b>      | <b>-</b>       | <b>-</b>       | <b>\$4,959</b>      | <b>\$-</b>      | <b>\$-</b>      |
| <b>FUNDING</b>   |                  |                |                | <b>2012-13*</b>     | <b>2013-14*</b> | <b>2014-15*</b> |
| 0400 Real Estate Appraisers Regulation Fund              |                  |                |                | \$4,953             | \$-             | \$-             |
| 0995 Reimbursements                                      |                  |                |                | 6                   | -               | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                  |                |                | <b>\$4,959</b>      | <b>\$-</b>      | <b>\$-</b>      |

#### LEGAL CITATIONS AND AUTHORITY

##### DEPARTMENT AUTHORITY

Business and Professions Code, Division 4, Part 3, Sections 11300 et seq.; California Code of Regulations, Title 10, Chapter 6.5, Sections 3500 et seq.

#### PROGRAM DESCRIPTIONS

##### 10 - ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM

The objectives of this program were to ensure that only qualified persons were licensed to conduct appraisals in federally-regulated real estate loan transactions and that all real estate appraisers licensed by the state, and all appraisal management companies registered by the state, adhered to applicable laws, regulations, and standards.

#### DETAILED EXPENDITURES BY PROGRAM

|                             |   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|-----------------------------|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |   |                 |                 |                 |
| <b>10</b>                   | <b>ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM</b> |                 |                 |                 |
|                             | <b>State Operations:</b>                                |                 |                 |                 |
| 0400                        | Real Estate Appraisers Regulation Fund                  | \$4,953         | \$-             | \$-             |
| 0995                        | Reimbursements  | 6               | -               | -               |
|                             | <b>Totals, State Operations</b>                         | <b>\$4,959</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES</b> |   |                 |                 |                 |
|                             | State Operations  | 4,959           | -               | -               |

\* Dollars in thousands, except in Salary Range.

## 2310 Office of Real Estate Appraisers - Continued

|                             | 2012-13*       | 2013-14*   | 2014-15*   |
|-----------------------------|----------------|------------|------------|
| <b>Totals, Expenditures</b> | <b>\$4,959</b> | <b>\$-</b> | <b>\$-</b> |

## EXPENDITURES BY CATEGORY

| 1 State Operations  | Positions   |          |          | Expenditures   |            |            |
|---|-------------|----------|----------|----------------|------------|------------|
|   | 2012-13     | 2013-14  | 2014-15  | 2012-13*       | 2013-14*   | 2014-15*   |
| PERSONAL SERVICES   |             |          |          |                |            |            |
| Authorized Positions (Equals Sch. 7A)                                       | 29.4        | -        | -        | \$1,933        | \$-        | \$-        |
| <b>Net Totals, Salaries and Wages</b>                                       | <b>29.4</b> | <b>-</b> | <b>-</b> | <b>\$1,933</b> | <b>\$-</b> | <b>\$-</b> |
| Staff Benefits  | -           | -        | -        | 719            | -          | -          |
| <b>Totals, Personal Services</b>  | <b>29.4</b> | <b>-</b> | <b>-</b> | <b>\$2,652</b> | <b>\$-</b> | <b>\$-</b> |
| OPERATING EXPENSES AND EQUIPMENT  |             |          |          | \$1,979        | \$-        | \$-        |
| SPECIAL ITEMS OF EXPENSE  |             |          |          | \$328          | \$-        | \$-        |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS<br/>(State Operations)</b> |             |          |          | <b>\$4,959</b> | <b>\$-</b> | <b>\$-</b> |

## DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS  | 2012-13*       | 2013-14*   | 2014-15*   |
|---|----------------|------------|------------|
| 0400 Real Estate Appraisers Regulation Fund               |                |            |            |
| APPROPRIATIONS  |                |            |            |
| 001 Budget Act appropriation                              | \$5,030        | \$-        | \$-        |
| Allocation for employee compensation                      | 13             | -          | -          |
| Adjustment per Section 3.60                               | 49             | -          | -          |
| Adjustment per Section 3.90                               | -121           | -          | -          |
| <b>Totals Available</b>                                   | <b>\$4,971</b> | <b>\$-</b> | <b>\$-</b> |
| Unexpended balance, estimated savings                     | -18            | -          | -          |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$4,953</b> | <b>\$-</b> | <b>\$-</b> |
| 0995 Reimbursements                                       |                |            |            |
| APPROPRIATIONS  |                |            |            |
| Reimbursements  | \$6            | \$-        | \$-        |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$4,959</b> | <b>\$-</b> | <b>\$-</b> |

## 2320 Department of Real Estate

Effective July 1, 2013, pursuant to the Governor's Reorganization Plan No. 2 of 2012, the 2013-14 information for the Department of Real Estate was merged with the Department of Consumer Affairs Bureaus, Programs, Divisions (Organization Code 1111) and moved from the Business, Transportation, and Housing Agency to the Business, Consumer Services, and Housing Agency.

The mission of the Department of Real Estate was to safeguard and promote the public interests in real estate matters through licensure, regulation, education, and enforcement.

## 3-YR EXPENDITURES AND POSITIONS

|                                      | Positions |         |         | Expenditures |          |          |
|--------------------------------------|-----------|---------|---------|--------------|----------|----------|
|                                      | 2012-13   | 2013-14 | 2014-15 | 2012-13*     | 2013-14* | 2014-15* |
| 10 Licensing and Education           | 62.3      | -       | -       | \$9,144      | \$-      | \$-      |
| 20 Enforcement, Audits, and Recovery | 182.1     | -       | -       | 27,394       | -        | -        |
| 30 Subdivisions                      | 37.5      | -       | -       | 6,585        | -        | -        |
| 40.10 Administration                 | 45.9      | -       | -       | 7,196        | -        | -        |
| 40.20 Distributed Administration     | -         | -       | -       | -7,196       | -        | -        |

\* Dollars in thousands, except in Salary Range.

**2320 Department of Real Estate - Continued**

|  | <u>Positions</u> |                |                | <u>Expenditures</u> |                 |                 |
|--|------------------|----------------|----------------|---------------------|-----------------|-----------------|
|  | <u>2012-13</u>   | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u> | <u>2014-15*</u> |
| <b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b> | 327.8            | -              | -              | \$43,123            | \$-             | \$-             |
| <b>FUNDING</b>   |                  |                |                | <b>2012-13*</b>     | <b>2013-14*</b> | <b>2014-15*</b> |
| 0317 Real Estate Fund                                    |                  |                |                | \$42,993            | \$-             | \$-             |
| 0995 Reimbursements                                      |                  |                |                | 130                 | -               | -               |
| <b>TOTALS, EXPENDITURES, ALL FUNDS</b>                   |                  |                |                | <b>\$43,123</b>     | <b>\$-</b>      | <b>\$-</b>      |

**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Business and Professions Code, Division 4, Parts 1 and 2. (Sections 10000-10580, and 11000-11288; Chapter 130 of the Statutes of 1929).

**PROGRAM DESCRIPTIONS**

10 - LICENSING AND EDUCATION

The objective of the Licensing Program was to ensure that individuals who engaged in the real estate business and acted in the capacity of, or advertised as, a real estate broker or salesperson within California, met specified qualifications. The education section ensured licensees met minimum education standards and requirements.

20 - ENFORCEMENT, AUDITS AND RECOVERY

The Enforcement, Audits, and Recovery program investigated the actions of any person acting in the capacity of a real estate licensee, performed financial compliance audits of licensees and subdividers, administratively prosecuted violations of the Real Estate Law and Subdivided Lands Law, and provided victims of real estate fraud with financial recovery per limitations set by statute.

30 - SUBDIVISIONS

The Subdivisions Program protected the public against fraud and misrepresentation in the sale or lease of subdivided land through the issuance of public reports which disclosed vital information to prospective purchasers of subdivision interests.

40 - ADMINISTRATION

The Administration Program provided support services to the department's programs through the Fiscal and Business Services Section, Information Technology Section, and Human Resources Section.

**DETAILED EXPENDITURES BY PROGRAM**

|                             |  | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|-----------------------------|--|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b> |  |                 |                 |                 |
| <b>10</b>                   | <b>LICENSING AND EDUCATION</b>           |                 |                 |                 |
|                             | <b>State Operations:</b>                 |                 |                 |                 |
| 0317                        | Real Estate Fund                         | \$9,014         | \$-             | \$-             |
| 0995                        | Reimbursements                           | 130             | -               | -               |
|                             | <b>Totals, State Operations</b>          | <b>\$9,144</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |  |                 |                 |                 |
| <b>20</b>                   | <b>ENFORCEMENT, AUDITS, AND RECOVERY</b> |                 |                 |                 |
|                             | <b>State Operations:</b>                 |                 |                 |                 |
| 0317                        | Real Estate Fund                         | \$27,394        | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>          | <b>\$27,394</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b> |  |                 |                 |                 |
| <b>30</b>                   | <b>SUBDIVISIONS</b>                      |                 |                 |                 |
|                             | <b>State Operations:</b>                 |                 |                 |                 |
| 0317                        | Real Estate Fund                         | \$6,585         | \$-             | \$-             |
|                             | <b>Totals, State Operations</b>          | <b>\$6,585</b>  | <b>\$-</b>      | <b>\$-</b>      |

\* Dollars in thousands, except in Salary Range.

**2320 Department of Real Estate - Continued**

|   | <u>2012-13*</u> | <u>2013-14*</u> | <u>2014-15*</u> |
|---|-----------------|-----------------|-----------------|
| <b>PROGRAM REQUIREMENTS</b>             |                 |                 |                 |
| <b>40.10 ADMINISTRATION</b>             |                 |                 |                 |
| State Operations:                       |                 |                 |                 |
| 0317 Real Estate Fund                   | \$7,196         | \$-             | \$-             |
| <b>Totals, State Operations</b>         | <b>\$7,196</b>  | <b>\$-</b>      | <b>\$-</b>      |
| <b>PROGRAM REQUIREMENTS</b>             |                 |                 |                 |
| <b>40.20 DISTRIBUTED ADMINISTRATION</b> |                 |                 |                 |
| State Operations:                       |                 |                 |                 |
| 0317 Real Estate Fund                   | -\$7,196        | \$-             | \$-             |
| <b>Totals, State Operations</b>         | <b>-\$7,196</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>TOTALS, EXPENDITURES</b>             |                 |                 |                 |
| State Operations                        | 43,123          | -               | -               |
| <b>Totals, Expenditures</b>             | <b>\$43,123</b> | <b>\$-</b>      | <b>\$-</b>      |

**EXPENDITURES BY CATEGORY**

|   | <b>1 State Operations</b> |                |                | <b>Expenditures</b> |                 |                 |
|---|---------------------------|----------------|----------------|---------------------|-----------------|-----------------|
|   | <u>2012-13</u>            | <u>2013-14</u> | <u>2014-15</u> | <u>2012-13*</u>     | <u>2013-14*</u> | <u>2014-15*</u> |
| <b>PERSONAL SERVICES</b>  |                           |                |                |                     |                 |                 |
| Authorized Positions (Equals Sch. 7A)                                   | 327.8                     | -              | -              | \$19,004            | \$-             | \$-             |
| <b>Net Totals, Salaries and Wages</b>                                   | <b>327.8</b>              | <b>-</b>       | <b>-</b>       | <b>\$19,004</b>     | <b>\$-</b>      | <b>\$-</b>      |
| Staff Benefits  | -                         | -              | -              | 8,356               | -               | -               |
| <b>Totals, Personal Services</b>  | <b>327.8</b>              | <b>-</b>       | <b>-</b>       | <b>\$27,360</b>     | <b>\$-</b>      | <b>\$-</b>      |
| <b>OPERATING EXPENSES AND EQUIPMENT</b>                                 |                           |                |                |                     |                 |                 |
|   |                           |                |                | \$15,763            | \$-             | \$-             |
| <b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b> |                           |                |                | <b>\$43,123</b>     | <b>\$-</b>      | <b>\$-</b>      |

**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

| <b>1 STATE OPERATIONS</b>                                 | <b>2012-13*</b> | <b>2013-14*</b> | <b>2014-15*</b> |
|---|-----------------|-----------------|-----------------|
| <b>0317 Real Estate Fund</b>                              |                 |                 |                 |
| <b>APPROPRIATIONS</b>                                     |                 |                 |                 |
| 001 Budget Act appropriation                              | \$46,734        | \$-             | \$-             |
| Allocation for employee compensation                      | 152             | -               | -               |
| Adjustment per Section 3.60                               | 508             | -               | -               |
| Adjustment per Section 3.90                               | -1,217          | -               | -               |
| <b>Totals Available</b>                                   | <b>\$46,177</b> | <b>\$-</b>      | <b>\$-</b>      |
| Unexpended balance, estimated savings                     | -3,184          | -               | -               |
| <b>TOTALS, EXPENDITURES</b>                               | <b>\$42,993</b> | <b>\$-</b>      | <b>\$-</b>      |
| <b>0995 Reimbursements</b>                                |                 |                 |                 |
| <b>APPROPRIATIONS</b>                                     |                 |                 |                 |
| Reimbursements  | \$130           | \$-             | \$-             |
| <b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b> | <b>\$43,123</b> | <b>\$-</b>      | <b>\$-</b>      |

\* Dollars in thousands, except in Salary Range.