



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

0110 Senate

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

		Positions			Expenditures		
		2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0960	Support of the Senate	40.0	40.0	40.0	\$115,692	\$116,247	\$116,247
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		40.0	40.0	40.0	\$115,692	\$116,247	\$116,247
FUNDING					2013-14*	2014-15*	2015-16*
0001	General Fund				\$115,692	\$116,247	\$116,247
TOTALS, EXPENDITURES, ALL FUNDS					\$115,692	\$116,247	\$116,247

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 2 and 7.5.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0110 Senate - Continued

Senate Expenditures by Category

	2013-14*	2014-15*	2015-16*
General Fund Expenses:			
Salaries of Senators	\$4,330	\$4,592	\$4,592
Mileage of Senators	11	11	11
Session Per Diem	1,137	1,411	1,411
Totals, General Fund Expenses	\$5,478	\$6,014	\$6,014
Operating Fund Expenses:			
Salaries and Employee Benefits	\$100,423	\$100,668	\$100,668
Travel and Per Diem	1,175	1,255	1,255
Automotive Expenses	220	521	521
Automotive Repairs	54	47	47
Telephone	35	51	51
Postage	849	453	453
Freight	53	57	57
Office Supplies	167	172	172
Printing	432	330	330
Publications	60	69	69
Building Expense	1,965	2,117	2,117
Office Alterations	0	0	0
Furniture and Equipment Expense	97	125	125
Contracts	570	200	200
Meals	53	60	60
Ceremonies and Events	36	40	40
All Other Expenses	109	133	133
Total, Operating Fund Expenses	\$106,298	\$106,298	\$106,298
Operating Fund Transfers:			
Legislative Analyst	\$3,916	\$3,935	\$3,935
Total, Fund Transfers	\$3,916	\$3,935	\$3,935
TOTAL, Senate Expenses	\$115,692	\$116,247	\$116,247

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0110 Senate - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Senate)	<u>\$115,692</u>	<u>\$116,247</u>	<u>\$116,247</u>
TOTALS, EXPENDITURES	\$115,692	\$116,247	\$116,247
0348 Senate Operating Fund			
APPROPRIATIONS			
Government Code Section 9129	<u>\$115,692</u>	<u>\$116,247</u>	<u>\$116,247</u>
TOTALS, EXPENDITURES	\$115,692	\$116,247	\$116,247
Less funding provided by the General Fund	<u>-115,692</u>	<u>-116,247</u>	<u>-116,247</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$115,692	\$116,247	\$116,247

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0348 Senate Operating Fund^s			
BEGINNING BALANCE	\$9	\$12	\$12
Prior Year Adjustments	<u>3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$12</u>	<u>\$12</u>	<u>\$12</u>
Total Resources	\$12	\$12	\$12
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0110 Senate (State Operations)	115,692	116,247	116,247
Expenditure Adjustments:			
Less funding provided by the General Fund (State Operations)	<u>-115,692</u>	<u>-116,247</u>	<u>-116,247</u>
FUND BALANCE	\$12	\$12	\$12
Reserve for economic uncertainties	12	12	12

0120 Assembly

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0970 Support of the Assembly	<u>80.0</u>	<u>80.0</u>	<u>80.0</u>	<u>\$152,438</u>	<u>\$153,170</u>	<u>\$153,170</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	80.0	80.0	80.0	\$152,438	\$153,170	\$153,170
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				<u>\$152,438</u>	<u>\$153,170</u>	<u>\$153,170</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$152,438	\$153,170	\$153,170

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0120 Assembly - Continued

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 2 and 7.5.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0120 Assembly - Continued

Assembly Expenditures By Category

	2013-14*	2014-15*	2015-16*
General Fund Expenses:			
!#"S!&')* +**& _-# . /& _-&\$'	012341	012451	012451
/!#&"6&)* +**& _-# . /& _-&\$'	7	1	1
!&'%)8 9&\$ = %& _	:2353	:2351	:2351
Totals, General Fund Expenses	\$11,173	\$11,564	\$11,564
Operating Fund Expenses:			
!"#"\$%&' "8< = >#) .&& ?&8&*&#'	0AA;2BC;	0AAC2DA1	0AAC2DA1
ES"F&# "8< 9&\$ = %& _	:2ADD	:2;74	:2;74
+G#) _)F& =H>&8'&'	13	13	13
+G#) _)F& I&>'%"\$'	CD	CD	CD
=JG!> _&8# "8< KG\$8%#G&&	C44	CA4	CA4
?G!#<186 L#%#%#&? /"8%&8"8M&2 "8< I&8#	:213C	:213C	:213C
N**%M& +#&#&%"#)8'	7	C7	C7
E&#&>0)8&	3A5	3A5	3A5
9) *# "6&	:CA	:DC	:DC
KS<#	A3A	A3A	A3A
P) _ _ G8M"%"#)8'	C23AA	:241D	:241D
N**%M& !G>>#&#'	3B;	3B;	3B;
9\$!8#86	:27B;	A253C	A253C
9G-#M"%"#)8'	A73	A73	A73
!#G< . P)8\$%"#)8'	7	D7	D7
/&"#'	7	B	B
P&\$& _)8&' "8< =F&8#'	AC	AC	AC
+## N#0&\$ =H>&8'&#'	BA5	BD1	BD1
Total, Operating Fund Expenses	\$125,916	\$125,970	\$125,970
Operating Fund Transfers:			
N**%M&)* #O& Q&G# "#%"#F& +8" _ . *#	0C24AB	0C24C3	0C24C3
!#"#& +G&8M&#'	AA2DCC	AA257A	AA257A
Total, Fund Transfers	\$15,349	\$15,636	\$15,636
TOTAL, Assembly Expenses	\$152,438	\$153,170	\$153,170

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0120 Assembly - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Assembly)	<u>\$152,438</u>	<u>\$153,170</u>	<u>\$153,170</u>
TOTALS, EXPENDITURES	\$152,438	\$153,170	\$153,170
0125 Assembly Operating Fund			
APPROPRIATIONS			
Government Code Section 9129	<u>\$152,438</u>	<u>\$153,170</u>	<u>\$153,170</u>
TOTALS, EXPENDITURES	\$152,438	\$153,170	\$153,170
Less funding provided by the General Fund	<u>-152,438</u>	<u>-153,170</u>	<u>-153,170</u>
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$152,438	\$153,170	\$153,170

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0125 Assembly Operating Fund^s			
BEGINNING BALANCE	\$134	\$149	\$149
Prior Year Adjustments	<u>15</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$149</u>	<u>\$149</u>	<u>\$149</u>
Total Resources	\$149	\$149	\$149
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0120 Assembly (State Operations)	152,438	153,170	153,170
Expenditure Adjustments:			
Less funding provided by the General Fund (State Operations)	<u>-152,438</u>	<u>-153,170</u>	<u>-153,170</u>
FUND BALANCE	\$149	\$149	\$149
Reserve for economic uncertainties	149	149	149
0160 Operating Funds of the Assembly and Senate^s			
BEGINNING BALANCE	\$23	\$31	\$31
Prior Year Adjustments	<u>8</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	<u>\$31</u>	<u>\$31</u>	<u>\$31</u>
Total Resources	<u>\$31</u>	<u>\$31</u>	<u>\$31</u>
FUND BALANCE	\$31	\$31	\$31
Reserve for economic uncertainties	31	31	31

0130 Joint Expenses

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. The State Assembly is comprised of 80 members who are elected for 2-year terms. Members of the Legislature may serve a maximum of 12 years total in the Senate, the Assembly, or both, in any combination of terms. Members first elected before June 6, 2012, however, may generally serve a maximum of 14 years total, with no more than two terms in the Senate and three terms in the Assembly.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0130 Joint Expenses - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0980 Support of the Office of Legislative Analyst Office	-	-	-	\$7,832	\$7,870	\$7,870
0985 Transferred from Item 0110-001-0001	-	-	-	-3,916	-3,935	-3,935
0990 Transferred from Item 0120-011-0001	-	-	-	-3,916	-3,935	-3,935
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

DETAILED EXPENDITURES BY PROGRAM

		2013-14*	2014-15*	2015-16*
PROGRAM REQUIREMENTS				
0980	SUPPORT OF THE OFFICE OF LEGISLATIVE ANALYST OFFICE			
	State Operations:			
0001	General Fund	\$7,832	\$7,870	\$7,870
	Totals, State Operations	\$7,832	\$7,870	\$7,870
PROGRAM REQUIREMENTS				
0985	TRANSFERRED FROM ITEM 0110-001-0001			
	State Operations:			
0001	General Fund	-\$3,916	-\$3,935	-\$3,935
	Totals, State Operations	-\$3,916	-\$3,935	-\$3,935
PROGRAM REQUIREMENTS				
0990	TRANSFERRED FROM ITEM 0120-011-0001			
	State Operations:			
0001	General Fund	-\$3,916	-\$3,935	-\$3,935
	Totals, State Operations	-\$3,916	-\$3,935	-\$3,935
TOTALS, EXPENDITURES				
	Totals, Expenditures	\$-	\$-	\$-

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for legislators, constitutional officers, and legislative statutory officers.

The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings. Between 2000-01 and 2011-12, the Legislators' Retirement System was super-funded and neither the state nor members were required to contribute. Beginning in 2012-13, contributions resumed for the state and members.

The California Public Employees' Retirement System (CalPERS) administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and final compensation. Benefits for death, disability, and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by voters in 1990, closed the Legislators' Retirement System to all new legislators after November 6, 1990.

Effective January 1, 2013, the Legislators' Retirement System was closed to all new members by the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340). New constitutional officers, statutory officers, Sergeant-at-Arms, and the Insurance Commissioner retain optional membership rights in CalPERS.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0110 Legislators' Retirement System	-	-	-	\$7,482	\$8,199	\$8,673
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$7,482	\$8,199	\$8,673

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0150 Contributions to the Legislators' Retirement System - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0820 Legislators Retirement Fund	\$7,482	\$8,199	\$8,673
TOTALS, EXPENDITURES, ALL FUNDS	\$7,482	\$8,199	\$8,673

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1, Chapter 3.5.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$261	-	\$-	\$735	-
Totals, Other Workload Budget Adjustments	\$-	\$261	-	\$-	\$735	-
Totals, Workload Budget Adjustments	\$-	\$261	-	\$-	\$735	-
Totals, Budget Adjustments	\$-	\$261	-	\$-	\$735	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0150 Contributions to the Legislators' Retirement System - Continued

0820 Legislators' Retirement Fund Summary

0820 Legislators' Retirement Fund	PY 2013-2014*	CY 2014-15*	BY 2015-16*
Beginning Balance	\$122,275	\$130,551	\$139,064
Revenues:			
Investment Income	\$15,995	\$17,075	\$18,189
State Contributions	-	27	36
Member Contributions	113	49	65
Contribution Refunds	-	-67	-22
Total Revenues	<u>\$16,108</u>	<u>\$17,084</u>	<u>\$18,268</u>
Expenditures:			
Pension Benefit Payments	\$7,482	\$8,199	\$8,673
Administrative Expenditures	350	372	372
Total Expenditures	<u>\$7,832</u>	<u>\$8,571</u>	<u>\$9,045</u>
Ending Fund Balance	<u>\$130,551</u>	<u>\$139,064</u>	<u>\$148,287</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0150 Contributions to the Legislators' Retirement System - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

4 UNCLASSIFIED	2013-14*†	2014-15*	2015-16*
0820 Legislators Retirement Fund			
APPROPRIATIONS			
Government Code Section 9359.1	\$7,482	\$7,938	\$8,673
Revised Estimates	-	261	-
TOTALS, EXPENDITURES	\$7,482	\$8,199	\$8,673
Total Expenditures, All Funds, (Unclassified)	\$7,482	\$8,199	\$8,673

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau (Bureau) provides legal assistance to the two houses of the Legislature, and their members and committees, by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attending as counsel meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

Pursuant to Chapter 46 of the Statutes of 2012, the California Commission on Uniform State Laws was transferred into the Legislative Counsel Bureau. The California Commission on Uniform State Laws presents to the Legislature uniform laws recommended by the National Conference of Commissioners on Uniform State Laws and then promotes passage of these uniform acts.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0120 Support	535.7	630.0	630.0	\$92,649	\$95,271	\$95,340
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	535.7	630.0	630.0	\$92,649	\$95,271	\$95,340
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$76,333	\$78,014	\$78,533
0995 Reimbursements				108	131	131
9740 Central Service Cost Recovery Fund				16,208	17,126	16,676
TOTALS, EXPENDITURES, ALL FUNDS				\$92,649	\$95,271	\$95,340

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Sections 10200-10248 and 10270-10282.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$1,087	\$239	-	\$1,086	\$239	-
• Salary Adjustments	708	155	-	708	156	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0160 Legislative Counsel Bureau - Continued

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Miscellaneous Baseline Adjustments	-	-	-	462	-462	-
• Benefit Adjustments	299	66	-	356	78	-
Totals, Other Workload Budget Adjustments	\$2,094	\$460	-	\$2,612	\$11	-
Totals, Workload Budget Adjustments	\$2,094	\$460	-	\$2,612	\$11	-
Totals, Budget Adjustments	\$2,094	\$460	-	\$2,612	\$11	-

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0120	SUPPORT			
	State Operations:			
0001	General Fund	\$76,333	\$78,014	\$78,533
0995	Reimbursements	\$108	\$131	\$131
9740	Central Service Cost Recovery Fund	16,208	17,126	16,676
	Totals, State Operations	\$92,649	\$95,271	\$95,340
TOTALS, EXPENDITURES				
	State Operations	92,649	95,271	95,340
	Totals, Expenditures	\$92,649	\$95,271	\$95,340

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	535.7	630.0	630.0	\$41,347	\$46,620	\$46,620
Total Adjustments	-	-	-	-	107	719
Net Totals, Salaries and Wages	535.7	630.0	630.0	\$41,347	\$46,727	\$47,339
Staff Benefits	-	-	-	17,945	21,662	21,731
Totals, Personal Services	535.7	630.0	630.0	\$59,292	\$68,389	\$69,070
OPERATING EXPENSES AND EQUIPMENT						
				\$33,357	\$26,882	\$26,270
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$92,649	\$95,271	\$95,340

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$76,333	\$75,921	\$78,533
Allocation for employee compensation	-	708	-
Allocation for staff benefits	-	299	-
Section 3.60 pension contribution adjustment	-	1,086	-
TOTALS, EXPENDITURES	\$76,333	\$78,014	\$78,533
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$108	\$131	\$131

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0160 Legislative Counsel Bureau - Continued

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES	\$108	\$131	\$131
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$16,208	\$16,665	\$16,676
Allocation for employee compensation	-	156	-
Allocation for staff benefits	-	66	-
Section 3.60 pension contribution adjustment	-	239	-
TOTALS, EXPENDITURES	<u>\$16,208</u>	<u>\$17,126</u>	<u>\$16,676</u>
Total Expenditures, All Funds, (State Operations)	\$92,649	\$95,271	\$95,340

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	535.7	630.0	630.0	\$41,347	\$46,620	\$46,620
Salary and Other Adjustments	-	-	-	-	107	719
Totals, Adjustments	-	-	-	\$-	\$107	\$719
TOTALS, SALARIES AND WAGES	535.7	630.0	630.0	\$41,347	\$46,727	\$47,339

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

The Lockyer-Isenberg Trial Court Funding Act of 1997 (Chapter 850, Statutes of 1997) provided a stable and consistent funding source for the trial courts. Beginning with fiscal year 1997-98, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. The Trial Court Facilities Act of 2002 (Chapter 1082, Statutes of 2002) provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007, which was extended to December 31, 2009, by Chapter 9, Statutes of 2008. The Trial Court Facilities Act of 2002 also established several new revenue sources, which went into effect on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. Counties contribute revenues for the ongoing operation and maintenance of court facilities based upon historical expenditures for facilities transferred to the state.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Judicial Branch's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0130 Supreme Court	142.7	167.1	167.1	\$43,440	\$45,973	\$46,095
0135 Courts of Appeal	759.4	867.5	867.0	204,544	216,212	216,626
0140 Judicial Council	589.8	676.2	676.2	132,966	139,869	134,678
0145 Judicial Branch Facility Program	123.9	161.0	161.0	236,110	338,528	360,704
0150 State Trial Court Funding	-	-	-	2,437,488	2,538,117	2,701,598

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0155 Habeas Corpus Resource Center	78.1	91.0	91.0	12,588	14,233	14,242
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,693.9	1,962.8	1,962.3	\$3,067,136	\$3,292,932	\$3,473,943
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$1,208,225	\$1,444,737	\$1,585,465
0044 Motor Vehicle Account, State Transportation Fund				195	199	198
0159 State Trial Court Improvement and Modernization Fund				31,171	27,673	25,674
0327 Court Interpreters Fund				165	164	163
0587 Family Law Trust Fund				1,346	1,705	1,813
0890 Federal Trust Fund				3,980	6,587	6,596
0932 Trial Court Trust Fund				1,471,777	1,373,898	1,369,396
0942 Special Deposit Fund				32	99	-
0995 Reimbursements				73,645	83,524	83,526
3037 State Court Facilities Construction Fund				61,984	130,462	140,818
3060 Appellate Court Trust Fund				5,580	6,807	6,756
3066 Court Facilities Trust Fund				104,672	107,899	103,681
3085 Mental Health Services Fund				1,039	1,058	1,050
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund				104,208	91,912	147,805
3259 Recidivism Reduction Fund				-	15,000	-
8059 State Community Corrections Performance Incentive Fund				1,085	1,206	1,000
9728 Judicial Branch Workers Compensation Fund				-1,968	2	2
TOTALS, EXPENDITURES, ALL FUNDS				\$3,067,136	\$3,292,932	\$3,473,943

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI.

PROGRAM AUTHORITY

0150-State Trial Court Funding:

California Constitution, Article VI, Section 4.

0150037-Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

0155-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

MAJOR PROGRAM CHANGES

- Support for Trial Court Operations-The Budget proposes an increase of \$90.1 million General Fund to support trial court operations and an increase of \$42.7 million General Fund for trial court employee benefit cost changes.
- Proposition 47-The Budget proposes \$26.9 million General Fund to support workload associated with Proposition 47.
- Trial Court Trust Fund Revenue-The Budget includes an increase of \$19.8 million General Fund to reflect a further reduction of fine and penalty revenue in 2015-16.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• 5-Percent Augmentation to Support Trial Court Operations	\$-	\$-	-	\$90,060	\$-	-
• Rent Augmentation	-	-	-	934	-	-
• State Trial Court Improvement and Modernization Fund Realignment	-	-	-	-	-	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$90,994	\$-	-
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	-\$45,165	-1.2	\$25,021	-\$31,650	-1.7
• Salary Adjustments	10,245	-	-	10,245	-	-
• Retirement Rate Adjustments	4,372	1,044	-	4,372	1,043	-
• Benefit Adjustments	3,053	157	-	881	94	-
• Pro Rata	-	-	-	-	6,953	-
• SWCAP	-	-	-	-	7	-
• Carryover/Reappropriation	-	1,938	-	-	-	-
• Lease Revenue Debt Service Adjustment	-63	130	-	-79	22,599	-
Totals, Other Workload Budget Adjustments	\$17,607	-\$41,896	-1.2	\$40,440	-\$954	-1.7
Totals, Workload Budget Adjustments	\$17,607	-\$41,896	-1.2	\$131,434	-\$954	-1.7
Policy Adjustments						
• Proposition 47 Workload	\$-	\$-	-	\$26,900	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$26,900	\$-	-
Totals, Budget Adjustments	\$17,607	-\$41,896	-1.2	\$158,334	-\$954	-1.7

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

Trial Court Funding, Expenditures, and Positions - 2013-14 and 2014-15

Table with columns for 2013-14 Actual and 2014-15 Estimated. Rows list various counties and funding categories like State Funding, Non-State Funding, Total Court Expenditures, and Filled Positions as of 7/1/2013 and 7/1/2014.

1 Reflects the FY 2013-14 4th quarter Quarterly Financial Statement information submitted by the Superior Courts. A detailed breakdown of this data can be found in the Report of Trial Court Revenue, Expenditure, and Fund Balance Constraints for Fiscal Year 2013-14. Non-state funding includes local fees and non-fee revenue, enhanced collections and other reimbursements, grants from non-state entities, etc.
2 Reflects the filled full-time equivalent positions reported on the Schedule 7As submitted by the superior courts. Does not include judges who are constitutional officers and not court employees.
3 Reflects the budgets of all 58 superior courts based on courts' FY 2014-15 Schedule 1 submissions as of December 10, 2014.
4 Reflects funding pending allocation from the Judicial Council. Courts may have budgeted for some of these funds in anticipation of their allocation above.
5 GC section 85502.5(c)(2)(B) requires 2 percent of the amount appropriated to Program 45.10 in the Budget Act to be set-aside by the Judicial Council for allocation to the trial courts "for unforeseen emergencies, unanticipated expenses for existing programs, or unavoidable funding shortfalls". Any amounts unallocated by March 15 are to be returned to the courts pro-rata.
6 Reflects only half of total funding (\$9.223 million). Allocation pending updated workload metrics from the courts related to FY 2014-15.
7 Funds disbursed on behalf of trial courts, for statewide programs, for judges compensation, or to non-court entities, and therefore are not included in the superior courts funding.
8 Reflects actual or estimated payments made directly to court-appointed dependency counsel from the Trial Court Trust Fund on behalf of superior courts participating in the Dependency Representation, Administration, Funding, and Training (DRAFT) Program. Under DRAFT, courts retain responsibility for juvenile dependency counsel selection while the Judicial Council staff are responsible for direct attorney contracting and service administration.
9 This amount excludes any reimbursement amounts courts reported in their state funding amounts above related to judicial compensation (\$106.8 million in FY 2013-14 and \$112.9 million in FY 2014-15). Judges from the Los Angeles, Riverside, and Ventura Superior Courts are compensated locally and reimbursed by the Trial Court Trust Fund (TCTF). In addition, 27 courts in FY 2013-14 and FY 2014-15, participate in the local salary reimbursement program in which a small portion of the judges' salary is paid locally and reimbursed by the TCTF.
10 For the prior year, primarily recognizes that the revenues courts report individually as received or to be received from the state will not be equal to the "State Trial Court Funding" expenditure amount reported at the state level due to timing and accounting differences. For the current year, this category reflects either differences in local versus state revenue projections, pending budgets, or unallocated appropriation.
11 Total state funding for trial courts ties to actual expenditures for FY 2013-14 and estimated expenditures for FY 2014-15 for the "0150 State Trial Court Funding" program included in the 2015-16 Governor's Budget.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

PROGRAM DESCRIPTIONS

0130 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

0135 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

0140 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 12 advisory members; the Chief Justice of California serves as chair. The Judicial Council staff serve as the administrative arm of the Council. Among its duties staff provide policy support to the Council, administrative accountability in the operation of the courts as specified by law, strategic planning for capital outlay, design, and construction of court facilities; and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

Consistent with the judiciary's mission, the Judicial Council is guided by the following principles:

- To make decisions in the best interests of the public and the court system as a whole.
- To conduct the Council's business based on an underlying commitment to equal and timely justice and public access to an independent forum for the resolution of disputes.
- To provide leadership in the administration of justice by planning and advocating for policies and resources that are necessary for courts to fulfill their mission.
- To ensure the continued development of an accessible, independent court system through planning, research, and evaluation programs, and through the use of modern management approaches and technological developments.
- To provide leadership in the administration of justice by establishing broad and consistent policies for the operation of the courts and appropriate uniform statewide rules and forms.
- To promote a competent, responsive, and ethical judiciary and staff through a comprehensive program of judicial education and training for court employees.
- To contribute to the public's understanding of the judicial process through a continuing program of public education.
- To provide assistance to the courts in developing action plans that are consistent with the Council's Strategic Plan and that address local needs and priorities.

0145 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, and new courthouse planning, design, and construction.

0150 - STATE TRIAL COURT FUNDING

0150010 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the statewide trial court system to adjudicate civil and criminal cases. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of Superior Court judges, compensation for assigned judges, and support for language interpreters.

0150019 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

0150028 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

0150037 - COURT INTERPRETERS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

0155 - HABEAS CORPUS RESOURCE CENTER

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0130	SUPREME COURT			
	State Operations:			
0001	General Fund	\$43,105	\$44,805	\$44,937
3060	Appellate Court Trust Fund	391	1,168	1,158
9728	Judicial Branch Workers Compensation Fund	-56	-	-
	Totals, State Operations	\$43,440	\$45,973	\$46,095
	PROGRAM REQUIREMENTS			
0135	COURTS OF APPEAL			
	State Operations:			
0001	General Fund	\$199,438	\$210,572	\$211,027
0995	Reimbursements	-	1	1
3060	Appellate Court Trust Fund	5,189	5,639	5,598
9728	Judicial Branch Workers Compensation Fund	-83	-	-
	Totals, State Operations	\$204,544	\$216,212	\$216,626
	PROGRAM REQUIREMENTS			
0140	JUDICIAL COUNCIL			
	State Operations:			
0001	General Fund	\$87,350	\$86,140	\$86,506
0044	Motor Vehicle Account, State Transportation Fund	195	199	198
0159	State Trial Court Improvement and Modernization Fund	13,374	10,983	9,533
0327	Court Interpreters Fund	165	164	163
0587	Family Law Trust Fund	1,346	1,705	1,813
0890	Federal Trust Fund	2,814	3,286	3,295
0932	Trial Court Trust Fund	22,674	21,766	17,877
0942	Special Deposit Fund	32	99	-
0995	Reimbursements	6,029	6,014	6,016
3037	State Court Facilities Construction Fund	5,427	7,247	7,225
3066	Court Facilities Trust Fund	-8,053	-	-
3085	Mental Health Services Fund	1,039	1,058	1,050
8059	State Community Corrections Performance Incentive Fund	1,085	1,206	1,000
9728	Judicial Branch Workers Compensation Fund	-511	2	2
	Totals, State Operations	\$132,966	\$139,869	\$134,678
	SUBPROGRAM REQUIREMENTS			
0140010	Judicial Council			
	State Operations:			
0001	General Fund	\$87,350	\$86,140	\$86,506
0044	Motor Vehicle Account, State Transportation Fund	195	199	198

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0159	State Trial Court Improvement and Modernization Fund	13,374	10,983	9,533
0327	Court Interpreters Fund	165	164	163
0587	Family Law Trust Fund	1,346	1,705	1,813
0890	Federal Trust Fund	2,814	3,286	3,295
0932	Trial Court Trust Fund	3,766	3,624	4,852
0942	Special Deposit Fund	32	99	-
0995	Reimbursements	6,029	6,014	6,016
3037	State Court Facilities Construction Fund	5,427	7,247	7,225
3066	Court Facilities Trust Fund	-8,053	-	-
3085	Mental Health Services Fund	1,039	1,058	1,050
8059	State Community Corrections Performance Incentive Fund	1,085	1,206	1,000
9728	Judicial Branch Workers Compensation Fund	-511	2	2
	Totals, State Operations	\$114,058	\$121,727	\$121,653
	SUBPROGRAM REQUIREMENTS			
0140019	Trial Court Operations			
	State Operations:			
0932	Trial Court Trust Fund	\$18,908	\$18,142	\$13,025
	Totals, State Operations	\$18,908	\$18,142	\$13,025
	PROGRAM REQUIREMENTS			
0145	JUDICIAL BRANCH FACILITY PROGRAM			
	State Operations:			
0001	General Fund	\$419	\$8,500	\$8,623
0995	Reimbursements	12,201	17,002	17,002
3037	State Court Facilities Construction Fund	56,557	123,215	133,593
3066	Court Facilities Trust Fund	112,725	107,899	103,681
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	54,208	81,912	97,805
	Totals, State Operations	\$236,110	\$338,528	\$360,704
	PROGRAM REQUIREMENTS			
0150	STATE TRIAL COURT FUNDING			
	Local Assistance:			
0001	General Fund	\$865,312	\$1,081,513	\$1,221,156
0159	State Trial Court Improvement and Modernization Fund	17,797	16,690	16,141
0890	Federal Trust Fund	1,166	2,275	2,275
0932	Trial Court Trust Fund	1,449,103	1,352,132	1,351,519
0995	Reimbursements	55,415	60,507	60,507
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	10,000	50,000
3259	Recidivism Reduction Fund	-	15,000	-
9728	Judicial Branch Workers Compensation Fund	-1,305	-	-
	Totals, Local Assistance	\$2,437,488	\$2,538,117	\$2,701,598
	SUBPROGRAM REQUIREMENTS			
0150010	Support for Operation of Trial Courts			
	Local Assistance:			
0001	General Fund	\$416,940	\$617,645	\$755,413

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0159	State Trial Court Improvement and Modernization Fund	17,797	16,690	16,141
0932	Trial Court Trust Fund	1,447,753	1,352,132	1,351,519
0995	Reimbursements	-	1	1
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	50,000	10,000	50,000
3259	Recidivism Reduction Fund	-	15,000	-
9728	Judicial Branch Workers Compensation Fund	<u>-325</u>	<u>-</u>	<u>-</u>
	Totals, Local Assistance	\$1,932,165	\$2,011,468	\$2,173,074
	SUBPROGRAM REQUIREMENTS			
0150019	Compensation of Superior Court Judges			
	Local Assistance:			
0001	General Fund	\$310,789	\$323,645	\$323,784
0932	Trial Court Trust Fund	1,350	-	-
9728	Judicial Branch Workers Compensation Fund	<u>-980</u>	<u>-</u>	<u>-</u>
	Totals, Local Assistance	\$311,159	\$323,645	\$323,784
	SUBPROGRAM REQUIREMENTS			
0150028	Assigned Judges			
	Local Assistance:			
0001	General Fund	<u>\$25,496</u>	<u>\$26,047</u>	<u>\$26,047</u>
	Totals, Local Assistance	\$25,496	\$26,047	\$26,047
	SUBPROGRAM REQUIREMENTS			
0150037	Court Interpreters			
	Local Assistance:			
0001	General Fund	<u>\$90,984</u>	<u>\$92,795</u>	<u>\$94,531</u>
	Totals, Local Assistance	\$90,984	\$92,795	\$94,531
	SUBPROGRAM REQUIREMENTS			
0150051	Child Support Commissioner Program (AB 1058)			
	Local Assistance:			
0995	Reimbursements	<u>\$53,243</u>	<u>\$54,332</u>	<u>\$54,332</u>
	Totals, Local Assistance	\$53,243	\$54,332	\$54,332
	SUBPROGRAM REQUIREMENTS			
0150055	California Collaborative and Drug Court Projects			
	Local Assistance:			
0001	General Fund	\$1,160	\$1,160	\$1,160
0995	Reimbursements	<u>877</u>	<u>4,588</u>	<u>4,588</u>
	Totals, Local Assistance	\$2,037	\$5,748	\$5,748
	SUBPROGRAM REQUIREMENTS			
0150059	Federal Child Access and Visitation Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$669</u>	<u>\$800</u>	<u>\$800</u>
	Totals, Local Assistance	\$669	\$800	\$800
	SUBPROGRAM REQUIREMENTS			
0150063	Federal Court Improvement Grant Program			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$18</u>	<u>\$700</u>	<u>\$700</u>
	Totals, Local Assistance	\$18	\$700	\$700
	SUBPROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0150067	Court Appointed Special Advocate (CASA) Program			
	Local Assistance:			
0001	General Fund	<u>\$2,213</u>	<u>\$2,213</u>	<u>\$2,213</u>
	Totals, Local Assistance	\$2,213	\$2,213	\$2,213
	SUBPROGRAM REQUIREMENTS			
0150071	Model Self-Help Program			
	Local Assistance:			
0001	General Fund	<u>\$957</u>	<u>\$957</u>	<u>\$957</u>
	Totals, Local Assistance	\$957	\$957	\$957
	SUBPROGRAM REQUIREMENTS			
0150075	Grants-Other			
	Local Assistance:			
0995	Reimbursements	<u>\$1,295</u>	<u>\$1,586</u>	<u>\$1,586</u>
	Totals, Local Assistance	\$1,295	\$1,586	\$1,586
	SUBPROGRAM REQUIREMENTS			
0150079	Federal Grants-Other			
	Local Assistance:			
0890	Federal Trust Fund	<u>\$479</u>	<u>\$775</u>	<u>\$775</u>
	Totals, Local Assistance	\$479	\$775	\$775
	SUBPROGRAM REQUIREMENTS			
0150083	Equal Access Fund			
	Local Assistance:			
0001	General Fund	<u>\$15,600</u>	<u>\$15,874</u>	<u>\$15,874</u>
	Totals, Local Assistance	\$15,600	\$15,874	\$15,874
	SUBPROGRAM REQUIREMENTS			
0150087	Family Law Information Centers			
	Local Assistance:			
0001	General Fund	<u>\$345</u>	<u>\$345</u>	<u>\$345</u>
	Totals, Local Assistance	\$345	\$345	\$345
	SUBPROGRAM REQUIREMENTS			
0150091	Civil Case Coordination			
	Local Assistance:			
0001	General Fund	<u>\$828</u>	<u>\$832</u>	<u>\$832</u>
	Totals, Local Assistance	\$828	\$832	\$832
	PROGRAM REQUIREMENTS			
0155	HABEAS CORPUS RESOURCE CENTER			
	State Operations:			
0001	General Fund	\$12,601	\$13,207	\$13,216
0890	Federal Trust Fund	-	1,026	1,026
9728	Judicial Branch Workers Compensation Fund	<u>-13</u>	<u>-</u>	<u>-</u>
	Totals, State Operations	\$12,588	\$14,233	\$14,242
	TOTALS, EXPENDITURES			
	State Operations	629,648	754,815	772,345
	Local Assistance	<u>2,437,488</u>	<u>2,538,117</u>	<u>2,701,598</u>
	Totals, Expenditures	\$3,067,136	\$3,292,932	\$3,473,943

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,693.9	1,964.0	1,964.0	\$176,745	\$200,067	\$200,067
Total Adjustments	-	-1.2	-1.7	-	2,463	4,902
Net Totals, Salaries and Wages	1,693.9	1,962.8	1,962.3	\$176,745	\$202,530	\$204,969
Staff Benefits	-	-	-	64,324	80,074	79,377
Totals, Personal Services	1,693.9	1,962.8	1,962.3	\$241,069	\$282,604	\$284,346
OPERATING EXPENSES AND EQUIPMENT				\$294,072	\$392,421	\$408,209
SPECIAL ITEMS OF EXPENSES				94,507	79,790	79,790
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$629,648	\$754,815	\$772,345

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$335,279	\$343,748	\$351,288
Allocation for employee compensation	-	717	-
Allocation for staff benefits	-	1,350	-
Section 3.60 pension contribution adjustment	-	4,372	-
003 Budget Act appropriation	5,150	5,046	4,967
Section 4.30 lease revenue payment adjustment	-	-63	-
011 Budget Act appropriation (transfer to the Judicial Branch Workers' Compensation Fund)	994	1	1
012 Budget Act appropriation (transfer to Court Facilities Trust Fund)	8,053	8,053	8,053
Totals Available	\$349,476	\$363,224	\$364,309
Unexpended balance, estimated savings	-6,563	-	-
TOTALS, EXPENDITURES	\$342,913	\$363,224	\$364,309
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$197	\$195	\$198
Section 3.60 pension contribution adjustment	-	4	-
Totals Available	\$197	\$199	\$198
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$195	\$199	\$198
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$13,410	\$9,216	\$9,533
Allocation for staff benefits	-	17	-
Miscellaneous Baseline Adjustment	-	1,450	-
Section 3.60 pension contribution adjustment	-	300	-
Totals Available	\$13,410	\$10,983	\$9,533
Unexpended balance, estimated savings	-36	-	-
TOTALS, EXPENDITURES	\$13,374	\$10,983	\$9,533

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0327 Court Interpreters Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$166	\$164	\$163
Totals Available	\$166	\$164	\$163
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$165	\$164	\$163
0587 Family Law Trust Fund			
APPROPRIATIONS			
Family Code Section 1852	\$1,346	\$1,675	\$1,813
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	29	-
TOTALS, EXPENDITURES	\$1,346	\$1,705	\$1,813
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,814	\$4,249	\$4,321
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	60	-
TOTALS, EXPENDITURES	\$2,814	\$4,312	\$4,321
0932 Trial Court Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$35,733	\$24,459	\$17,877
Allocation for staff benefits	-	4	-
Miscellaneous Baseline Adjustment	-	-2,748	-
Section 3.60 pension contribution adjustment	-	51	-
Totals Available	\$35,733	\$21,766	\$17,877
Unexpended balance, estimated savings	-13,059	-	-
TOTALS, EXPENDITURES	\$22,674	\$21,766	\$17,877
0942 Special Deposit Fund			
APPROPRIATIONS			
Government Code Section 16370	\$32	-	-
Carryover for Administration of Justice Fund	-	99	-
TOTALS, EXPENDITURES	\$32	\$99	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$18,230	\$23,017	\$23,019
TOTALS, EXPENDITURES	\$18,230	\$23,017	\$23,019
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$64,098	\$78,580	\$79,946
7A FISCAL Current Service Level Adjustment	-	-1	-
Allocation for staff benefits	-	121	-
Section 3.60 pension contribution adjustment	-	528	-
003 Budget Act appropriation	4,858	51,097	60,872
Section 4.30 lease revenue payment adjustment	-	137	-
Totals Available	\$68,956	\$130,462	\$140,818
Unexpended balance, estimated savings	-6,972	-	-
TOTALS, EXPENDITURES	\$61,984	\$130,462	\$140,818
3060 Appellate Court Trust Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
001 Budget Act appropriation	\$6,600	\$6,791	\$6,756
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	14	-
Totals Available	\$6,600	\$6,807	\$6,756
Unexpended balance, estimated savings	-1,020	-	-
TOTALS, EXPENDITURES	\$5,580	\$6,807	\$6,756
3066 Court Facilities Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$113,008	\$109,809	\$111,734
Miscellaneous Baseline Adjustment	-	6,143	-
Totals Available	\$113,008	\$115,952	\$111,734
Unexpended balance, estimated savings	-283	-	-
TOTALS, EXPENDITURES	\$112,725	\$115,952	\$111,734
Less funding provided by the General Fund	-8,053	-8,053	-8,053
NET TOTALS, EXPENDITURES	\$104,672	\$107,899	\$103,681
3085 Mental Health Services Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,053	\$1,037	\$1,050
Allocation for staff benefits	-	6	-
Section 3.60 pension contribution adjustment	-	15	-
Totals Available	\$1,053	\$1,058	\$1,050
Unexpended balance, estimated savings	-14	-	-
TOTALS, EXPENDITURES	\$1,039	\$1,058	\$1,050
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$26,229	\$27,177	\$30,239
002 Budget Act appropriation	34,832	54,214	54,214
003 Budget Act appropriation	-	528	13,352
Section 4.30 lease revenue payment adjustment	-	-7	-
Totals Available	\$61,061	\$81,912	\$97,805
Unexpended balance, estimated savings	-6,853	-	-
TOTALS, EXPENDITURES	\$54,208	\$81,912	\$97,805
8059 State Community Corrections Performance Incentive Fund			
APPROPRIATIONS			
Penal Code Section 1233.6	\$95	-	-
Carryover for Community Corrections Grant Fund	-	206	-
Penal Code Section 1233.6	990	1,000	1,000
TOTALS, EXPENDITURES	\$1,085	\$1,206	\$1,000
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code Section 68114.10	\$330	\$3	\$3
TOTALS, EXPENDITURES	\$330	\$3	\$3
Less funding provided by the General Fund	-993	-1	-1
NET TOTALS, EXPENDITURES	\$-663	\$2	\$2
Total Expenditures, All Funds, (State Operations)	\$629,648	\$754,815	\$772,345

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$17,753	\$17,753	\$17,753
102 Budget Act appropriation	71,502	71,502	71,502
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	742,319	911,419	1,042,492
Allocation for employee compensation	-	9,528	-
Allocation for staff benefits	-	1,702	-
112 Budget Act appropriation (transfer to State Trial Court Improvement and Modernization Fund)	38,709	38,709	38,709
113 Budget Act appropriation (transfer to Trial Court Trust Fund)	-	30,900	50,700
Totals Available	\$870,283	\$1,081,513	\$1,221,156
Unexpended balance, estimated savings	-4,971	-	-
TOTALS, EXPENDITURES	\$865,312	\$1,081,513	\$1,221,156
0159 State Trial Court Improvement and Modernization Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$71,309	\$63,000	\$54,850
Miscellaneous Baseline Adjustment	-	-7,601	-
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	(20,594)	(20,594)	(594)
Government Code Section 77209(J)	(13,397)	(-)	(-)
Totals Available	\$71,309	\$55,399	\$54,850
Unexpended balance, estimated savings	-14,803	-	-
TOTALS, EXPENDITURES	\$56,506	\$55,399	\$54,850
Less funding provided by the General Fund	-38,709	-38,709	-38,709
NET TOTALS, EXPENDITURES	\$17,797	\$16,690	\$16,141
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,166	\$2,275	\$2,275
TOTALS, EXPENDITURES	\$1,166	\$2,275	\$2,275
0932 Trial Court Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$2,182,473	\$2,335,226	\$2,444,710
Allocation for employee compensation	-	9,528	-
Allocation for staff benefits	-	1,702	-
Miscellaneous Baseline Adjustment	-	-42,409	-
115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund)	17,062	1	1
Prior Year Balances Available:			
Chapter 193, Statutes of 2011	1	-	-
Chapter 26, Statutes of 2012	1,779	-	-
Chapter 36, Statutes of 2011	1	-	-
Chapter 193, Statutes of 2011	-	1	-
Chapter 26, Statutes of 2012	-	1,632	-
Totals Available	\$2,201,316	\$2,305,681	\$2,444,711
Unexpended balance, estimated savings	-8,261	-	-
Balance available in subsequent years	-1,633	-	-
TOTALS, EXPENDITURES	\$2,191,422	\$2,305,681	\$2,444,711
Less funding provided by the General Fund	-	-30,900	-50,700
Less funding provided by the General Fund	-742,319	-922,649	-1,042,492
NET TOTALS, EXPENDITURES	\$1,449,103	\$1,352,132	\$1,351,519

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$55,415</u>	<u>\$60,507</u>	<u>\$60,507</u>
TOTALS, EXPENDITURES	\$55,415	\$60,507	\$60,507
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
111 Budget Act appropriation (transfer to Trial Court Trust Fund)	<u>(\$5,486)</u>	<u>(\$5,486)</u>	<u>(\$5,486)</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$50,000	\$10,000	\$50,000
111 Budget Act appropriation (transfer to the General Fund)	<u>(200,000)</u>	<u>(-)</u>	<u>(-)</u>
TOTALS, EXPENDITURES	\$50,000	\$10,000	\$50,000
3259 Recidivism Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>\$15,000</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$15,000	\$-
9728 Judicial Branch Workers Compensation Fund			
APPROPRIATIONS			
Government Code Section 68114.10	<u>\$15,756</u>	<u>\$1</u>	<u>\$1</u>
TOTALS, EXPENDITURES	\$15,756	\$1	\$1
Less funding provided by the Trial Court Trust Fund	<u>-17,061</u>	<u>-1</u>	<u>-1</u>
NET TOTALS, EXPENDITURES	\$-1,305	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	<u>\$2,437,488</u>	<u>\$2,538,117</u>	<u>\$2,701,598</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$3,067,136	\$3,292,932	\$3,473,943

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0159 State Trial Court Improvement and Modernization Fund ^s			
BEGINNING BALANCE	\$44,827	\$26,206	\$2,974
Prior Year Adjustments	<u>4,411</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$49,238	\$26,206	\$2,974
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	445	484	484
4163000 Investment Income - Surplus Money Investments	125	89	89
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	1	-	-
4172000 Fines and Forfeitures	42,116	37,856	36,815
4172500 Miscellaneous Revenue	23	3	-
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Government Code Section 77209(j)	-13,397	-13,397	-13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Item 0250-111-0159, Budget Acts of 2013, 2014, and 2015	-20,594	-20,594	-594
Total Revenues, Transfers, and Other Adjustments	<u>\$8,720</u>	<u>\$4,441</u>	<u>\$23,397</u>
Total Resources	\$57,958	\$30,647	\$26,371
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	13,373	10,983	9,533

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

	2013-14*	2014-15*	2015-16*
0250 Judicial Branch (Local Assistance)	56,506	55,399	54,850
0840 State Controller (State Operations)	1	-	-
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	581	-	-
Expenditure Adjustments:			
Less funding provided by the General Fund (Local Assistance)	<u>-38,709</u>	<u>-38,709</u>	<u>-38,709</u>
Total Expenditures and Expenditure Adjustments	<u>\$31,752</u>	<u>\$27,673</u>	<u>\$25,674</u>
FUND BALANCE	\$26,206	\$2,974	\$697
Reserve for economic uncertainties	26,206	2,974	697

0327 Court Interpreters Fund ^s

BEGINNING BALANCE	\$334	\$438	\$524
Prior Year Adjustments	<u>22</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$356	\$438	\$524
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	<u>250</u>	<u>250</u>	<u>250</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
Total Resources	\$605	\$688	\$774
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	165	164	163
0840 State Controller (State Operations)	1	-	-
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$168</u>	<u>\$164</u>	<u>\$163</u>
FUND BALANCE	\$438	\$524	\$611
Reserve for economic uncertainties	438	524	611

0587 Family Law Trust Fund ^s

BEGINNING BALANCE	\$2,388	\$3,131	\$3,504
Prior Year Adjustments	<u>1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,389	\$3,131	\$3,504
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	7	7	7
4172500 Miscellaneous Revenue	<u>2,244</u>	<u>2,072</u>	<u>2,072</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,251</u>	<u>\$2,078</u>	<u>\$2,078</u>
Total Resources	\$4,640	\$5,209	\$5,582
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	1,347	1,706	1,813
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	<u>162</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,509</u>	<u>\$1,706</u>	<u>\$1,813</u>
FUND BALANCE	\$3,131	\$3,504	\$3,769
Reserve for economic uncertainties	3,131	3,504	3,769

0932 Trial Court Trust Fund ^s

BEGINNING BALANCE	\$82,346	\$21,217	\$16,202
Prior Year Adjustments	<u>-2,689</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$79,657	\$21,217	\$16,202
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

	2013-14*	2014-15*	2015-16*
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	498,600	498,600	498,600
4163000 Investment Income - Surplus Money Investments	95	61	61
4170700 Civil and Criminal Violation Assessment	154,784	146,573	162,148
4171200 Court Filing Fees and Surcharges	534,053	498,949	486,797
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	64	18	18
4172000 Fines and Forfeitures	161,393	161,629	161,591
4172500 Miscellaneous Revenue	102	168	93
4173000 Penalty Assessments - Other	25,361	23,582	24,683
Transfers and Other Adjustments			
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Government Code Section 77209(j)	-	-	13,397
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Government Code Section 77209(j)	13,397	13,397	-
Revenue Transfer from the State Court Facilities Construction Fund to the Trial Court Trust Fund per Item 0250-111-3037, Budget Acts of 2013, 2014, and 2015	5,486	5,486	5,486
Revenue Transfer from the State Trial Court Improvement and Modernization Fund to the Trial Court Trust Fund per Item 0250-111-0159, Budget Acts of 2013, 2014, and 2015	20,594	20,594	594
Total Revenues, Transfers, and Other Adjustments	<u>\$1,413,928</u>	<u>\$1,369,057</u>	<u>\$1,353,468</u>
Total Resources	\$1,493,585	\$1,390,274	\$1,369,670
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	22,672	21,766	17,877
0250 Judicial Branch (Local Assistance)	2,191,422	2,305,680	2,444,711
0840 State Controller (State Operations)	178	174	174
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	415	-	-
Expenditure Adjustments:			
Less funding provided by the General Fund (Local Assistance)	-742,319	-922,648	-1,042,492
Less funding provided by the General Fund (Local Assistance)	-	-30,900	-50,700
Total Expenditures and Expenditure Adjustments	<u>\$1,472,368</u>	<u>\$1,374,072</u>	<u>\$1,369,570</u>
FUND BALANCE	\$21,217	\$16,202	\$100
Reserve for economic uncertainties	21,217	16,202	100
3037 State Court Facilities Construction Fund [§]			
BEGINNING BALANCE	\$80,725	\$132,833	\$224,767
Prior Year Adjustments	7,415	-	-
Adjusted Beginning Balance	<u>\$88,140</u>	<u>\$132,833</u>	<u>\$224,767</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	45	18	18
4163000 Investment Income - Surplus Money Investments	174	174	174
4171200 Court Filing Fees and Surcharges	24,939	21,849	20,548
4172500 Miscellaneous Revenue	179	50	50
4172900 Penalty Assessments - Criminal Fines	74,177	66,734	63,238
4173000 Penalty Assessments - Other	12,655	12,141	11,838
Transfers and Other Adjustments			
Revenue Transfer from the State Court Facilities Construction Fund to the Trial Court Trust Fund per Item 0250-111-3037, Budget Acts of 2013, 2014, and 2015	-5,486	-5,486	-5,486
Loan Repayment from the General Fund to the State Court Facilities Construction Fund per Item 0250-012-3037, Budget Act of 2011	-	130,000	220,000

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Revenues, Transfers, and Other Adjustments	\$106,684	\$225,480	\$310,380
Total Resources	\$194,824	\$358,313	\$535,147
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	61,983	130,463	140,818
0250 Judicial Branch (Capital Outlay)	-	3,083	-
0840 State Controller (State Operations)	<u>8</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$61,991</u>	<u>\$133,546</u>	<u>\$140,818</u>
FUND BALANCE	\$132,833	\$224,767	\$394,329
Reserve for economic uncertainties	132,833	224,767	394,329

3060 Appellate Court Trust Fund [§]

BEGINNING BALANCE	\$4,243	\$4,592	\$3,734
Prior Year Adjustments	<u>-1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,242	\$4,592	\$3,734
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	13	9	9
4171200 Court Filing Fees and Surcharges	<u>5,918</u>	<u>5,941</u>	<u>5,941</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,931</u>	<u>\$5,950</u>	<u>\$5,950</u>
Total Resources	\$10,173	\$10,541	\$9,684
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	5,580	6,808	6,756
0840 State Controller (State Operations)	<u>1</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$5,581</u>	<u>\$6,808</u>	<u>\$6,756</u>
FUND BALANCE	\$4,592	\$3,734	\$2,928
Reserve for economic uncertainties	4,592	3,734	2,928

3066 Court Facilities Trust Fund [§]

BEGINNING BALANCE	\$6,577	\$8,134	\$3,915
Prior Year Adjustments	<u>4,507</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$11,084	\$8,134	\$3,915
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	96,566	98,513	98,862
4152500 Rental of State Property	4,677	5,079	5,079
4163000 Investment Income - Surplus Money Investments	78	78	78
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	394	8	8
4172500 Miscellaneous Revenue	<u>7</u>	<u>2</u>	<u>2</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$101,721</u>	<u>\$103,680</u>	<u>\$104,029</u>
Total Resources	\$112,805	\$111,814	\$107,944
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	112,724	115,952	111,734
Expenditure Adjustments:			
Less funding provided by the General Fund (State Operations)	<u>-8,053</u>	<u>-8,053</u>	<u>-8,053</u>
Total Expenditures and Expenditure Adjustments	<u>\$104,671</u>	<u>\$107,899</u>	<u>\$103,681</u>
FUND BALANCE	\$8,134	\$3,915	\$4,263

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

	2013-14*	2014-15*	2015-16*
Reserve for economic uncertainties	8,134	3,915	4,263
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund [§]			
BEGINNING BALANCE	\$108,476	\$86,266	\$67,895
Prior Year Adjustments	<u>26,189</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$134,665	\$86,266	\$67,895
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4152500 Rental of State Property	5	-	-
4163000 Investment Income - Surplus Money Investments	278	278	278
4171200 Court Filing Fees and Surcharges	27,800	24,263	21,957
4172500 Miscellaneous Revenue	21,182	20,331	19,717
4172900 Penalty Assessments - Criminal Fines	171,777	156,942	148,214
4173000 Penalty Assessments - Other	25,967	25,358	24,768
4173800 Traffic Violations	27,434	25,538	24,085
Transfers and Other Adjustments			
Revenue Transfer from the Immediate and Critical Needs Account, State Court Facilities Construction Fund to the General Fund per Item 0250-111-3138, Budget Act of 2013	<u>-200,000</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$74,444</u>	<u>\$252,710</u>	<u>\$239,019</u>
Total Resources	\$209,109	\$338,976	\$306,914
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	54,208	81,912	97,805
0250 Judicial Branch (Local Assistance)	50,000	10,000	50,000
0250 Judicial Branch (Capital Outlay)	<u>18,636</u>	<u>179,169</u>	<u>76,936</u>
Total Expenditures and Expenditure Adjustments	<u>\$122,843</u>	<u>\$271,081</u>	<u>\$224,741</u>
FUND BALANCE	\$86,266	\$67,895	\$82,173
Reserve for economic uncertainties	86,266	67,895	82,173

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	1,693.9	1,964.0	1,964.0	\$176,745	\$200,067	\$200,067
Salary and Other Adjustments	<u>-</u>	<u>-1.2</u>	<u>-1.7</u>	<u>-</u>	<u>2,463</u>	<u>4,902</u>
Totals, Adjustments	<u>-</u>	<u>-1.2</u>	<u>-1.7</u>	<u>\$-</u>	<u>\$2,463</u>	<u>\$4,902</u>
TOTALS, SALARIES AND WAGES	1,693.9	1,962.8	1,962.3	\$176,745	\$202,530	\$204,969

INFRASTRUCTURE OVERVIEW

The Judicial Council facilities consist of the offices of its staff, the Supreme Court, the Courts of Appeal, the Habeas Corpus Resource Center, the Commission on Judicial Performance, and all Trial Courts statewide. The Supreme Court is located within the Earl Warren Building of the Ronald M. George State Office Complex in San Francisco (98,155 square feet) and the Ronald Reagan State Building in Los Angeles (7,598 sf). The Courts of Appeal are organized into six districts, operate in nine different locations, and consist of 508,386 sf. The Trial Courts are located in 58 counties statewide consisting of more than 500 buildings and 2,100 courtrooms and approximately 13 million sf of usable area. The space includes public areas, such as courtrooms, waiting areas, clerks' offices, child waiting, records viewing, rooms for jury assembly and deliberation, and centers for self-help, alternative dispute resolution, and mediation, as well as private areas, such as judicial officer chambers, staff workspace, storage space, training rooms, and conference rooms. Judicial Council staff facilities, occupying approximately 261,500 sf, are located in San Francisco (Headquarters), Burbank, Sacramento, and field offices throughout the state. Judicial Council responsibility and management has gradually increased to what is now approximately 20 million square feet of facility space statewide.

SUMMARY OF PROJECTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

State Building Program Expenditures		2013-14*	2014-15*	2015-16*
0165	CAPITAL OUTLAY			
	Projects			
0000071	Alameda County: New East County Courthouse	-	39,113 ^{As}	-
0000073	Calaveras County: New San Andreas Courthouse	1,189 ^{Cn}	-	-
0000076	El Dorado County: New Placerville Courthouse	-	-	4,780 ^{APs}
0000078	Glenn County: Renovation and Addition to Willows Courthouse	2,600 ^{Ws}	34,793 ^{Cn}	-
0000079	Imperial County: New El Centro Courthouse	-	3,344 ^{Ws}	-
0000080	Inyo County: New Inyo County Courthouse	-	-	1,930 ^{APs}
0000084	Lake County: New Lakeport Courthouse	-	4,450 ^{Ws}	40,803 ^{Cn}
0000086	Los Angeles County: New Eastlake Juvenile Courthouse	-	5,119 ^{As}	13,772 ^{As}
0000088	Los Angeles County: Hollywood Courthouse Modernization	-	45,177 ^{DBs}	-
0000092	Mendocino County: New Ukiah Courthouse	-	8,016 ^{APs}	6,068 ^{Ws}
0000093	Merced County: New Los Banos Courthouse	1,974 ^{Ws}	21,889 ^{Cn}	-
0000100	Riverside County: New Mid-County Courthouse	2,700 ^{Cn}	-	-
0000101	Riverside County: New Indio Juvenile and Family Courthouse	-	3,484 ^{Ws}	-
0000102	Riverside County: New Mid-County Civil Courthouse	-	-	4,673 ^{APs}
0000103	Sacramento County: New Sacramento Courthouse	-	33,347 ^{APWs}	-
0000104	San Benito County: New Hollister Courthouse	1,170 ^{Cn}	-	-
0000106	San Diego County: New San Diego Courthouse	513,668 ^{Cn}	832 ^{Cn}	-
0000107	San Joaquin County: New Stockton Courthouse	243,022 ^{Cn}	-	-
0000108	San Joaquin County: Renovation/Expansion to Juvenile Justice Center	3,205 ^{Cn}	-	-
0000109	Santa Barbara County: New Santa Barbara Criminal Courthouse	-	4,411 ^{Ps}	6,294 ^{WCs}
0000110	Santa Clara County: New Family Justice Center	205,258 ^{Cn}	-	-
0000111	Shasta County: New Redding Courthouse	-	6,028 ^{Ps}	8,849 ^{WCs}
0000112	Siskiyou County: New Yreka Courthouse	3,277 ^{Ps}	4,518 ^{Ws}	56,936 ^{Cn}
0000113	Solano County: Renovation to Old Solano Courthouse	1,119 ^{Cn}	55 ^{Cn}	-
0000114	Sonoma County: New Santa Rosa Criminal Courthouse	-	7,670 ^{Ps}	11,252 ^{Ws}
0000115	Stanislaus County: New Modesto Courthouse	-	12,083 ^{APs}	15,252 ^{Ws}
0000116	Sutter County: New Yuba City Courthouse	51,308 ^{Cn}	-	-
0000117	Tehama County: New Red Bluff Courthouse	3,982 ^{Ws}	46,662 ^{Cn}	-
0000119	Tuolumne County: New Sonora Courthouse	-	3,049 ^{Ps}	4,066 ^{Ws}
	Totals, Projects	\$1,034,472	\$284,040	\$174,675
TOTALS, EXPENDITURES, ALL PROJECTS		\$1,034,472	\$284,040	\$174,675
FUNDING		2013-14*	2014-15*	2015-16*
0660	Public Buildings Construction Fund	\$246,117	\$55	\$-
0668	Public Buildings Construction Fund Subaccount	766,443	101,733	97,739
0995	Reimbursements	3,277	-	-
3037	State Court Facilities Construction Fund	-	3,083	-
3138	Immediate and Critical Needs Account, State Court Facilities Construction Fund	18,635	179,169	76,936
TOTALS, EXPENDITURES, ALL FUNDS		\$1,034,472	\$284,040	\$174,675

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2013-14*†	2014-15*	2015-16*
0660 Public Buildings Construction Fund			
Prior Year Balances Available:			
Item 0250-301-0660, Budget Act of 2010 as reappropriated by Items 0250-490 and 0250-491, BA of 2011 and as partially reappropriated by Item 0250-490, BA of 2013	266,218	-	-
Item 0250-301-0660, Budget Act of 2010 as reappropriated by Items 0250-490 and 0250-491, BA of 2011 and as partially reappropriated by Item 0250-490, BA of 2013	-	299	244
Totals Available	\$266,218	\$299	\$244
Unexpended balance, estimated savings	-19,857	-	-244
Balance available in subsequent years	-244	-244	-
TOTALS, EXPENDITURES	\$246,117	\$55	\$-
0668 Public Buildings Construction Fund Subaccount			
APPROPRIATIONS			
301 Budget Act appropriation	\$511,374	\$101,733	\$97,739
Prior Year Balances Available:			
Item 0250-301-0668, Budget Act of 2012	92,518	41,210	41,210
Item 0250-302-0668, Budget Act of 2012 as added by Chapter 29, Statutes of 2012	208,144	2,886	2,886
Various Projects: Carryover/Reappropriation Adjustments	-	1,497	1,497
Totals Available	\$812,036	\$147,326	\$143,332
Unexpended balance, estimated savings	-	-	-44,096
Balance available in subsequent years	-45,593	-45,593	-1,497
TOTALS, EXPENDITURES	\$766,443	\$101,733	\$97,739
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,277	-	-
TOTALS, EXPENDITURES	\$3,277	\$-	\$-
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	-	\$3,083	-
TOTALS, EXPENDITURES	\$-	\$3,083	\$-
3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$26,295	\$142,254	\$51,781
Various Projects: Carryover/Reappropriation Adjustments	-	27,000	-
Prior Year Balances Available:			
Item 0250-301-3138, Budget Act of 2009, as partially reverted by Item 0250-495, BAs of 2010 and 2013, and as reapprop by Item 0250-490, BA of 2012	21,139	-	-
Item 0250-301-3138, Budget Act of 2012 as reappropriated by Item 0250-490, Budget Act of 2015 and as reverted by Item 0250-495, Budget Act of 2013	87,424	47,925	-
Item 0250-301-3138, Budget Act of 2013 as reappropriated by Item 0250-490, Budget Act of 2014	-	6,828	-
0000076 - El Dorado County, New Placerville Courthouse - COBCP - A, PP	-	-	4,780
0000080 - Inyo County, New Inyo County Courthouse - COBCP - A, PP	-	-	1,930
0000086 - Los Angeles County, New Eastlake Juvenile Courthouse - COBCP - A	-	-	13,772
0000102 - Riverside County, New Mid-County Civil Courthouse - COBCP - A, PP	-	-	4,673
Various Projects: Carryover/Reappropriation Adjustments	-	-10,227	-
Totals Available	\$134,858	\$213,780	\$76,936
Unexpended balance, estimated savings	-21,139	-9,456	-
Balance available in subsequent years	-95,084	-25,155	-
TOTALS, EXPENDITURES	\$18,635	\$179,169	\$76,936

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0250 Judicial Branch - Continued

3 CAPITAL OUTLAY	2013-14*†	2014-15*	2015-16*
Total Expenditures, All Funds, (Capital Outlay)	\$1,034,472	\$284,040	\$174,675

0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court, 2 attorneys appointed by the Governor, and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0180 Commission on Judicial Performance	20.1	22.0	23.0	\$4,085	\$4,418	\$4,421
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	20.1	22.0	23.0	\$4,085	\$4,418	\$4,421
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$4,048	\$4,340	\$4,343
0995 Reimbursements				42	79	79
9728 Judicial Branch Workers Compensation Fund				-5	-1	-1
TOTALS, EXPENDITURES, ALL FUNDS				\$4,085	\$4,418	\$4,421

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Trial Counsel Staffing	\$-	\$-	-	\$-	\$-	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$-	1.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$68	\$-	-	\$68	\$-	-
• Miscellaneous Baseline Adjustments	-	-	-	15	-	-
• Benefit Adjustments	18	-	-	6	-	-
Totals, Other Workload Budget Adjustments	\$86	\$-	-	\$89	\$-	-
Totals, Workload Budget Adjustments	\$86	\$-	-	\$89	\$-	1.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0280 Commission on Judicial Performance - Continued

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Totals, Budget Adjustments	\$86	\$-	-	\$89	\$-	1.0

DETAILED EXPENDITURES BY PROGRAM

		2013-14*	2014-15*	2015-16*
PROGRAM REQUIREMENTS				
0180	COMMISSION ON JUDICIAL PERFORMANCE			
	State Operations:			
0001	General Fund	\$4,048	\$4,340	\$4,343
0995	Reimbursements	42	79	79
9728	Judicial Branch Workers Compensation Fund	-5	-1	-1
	Totals, State Operations	\$4,085	\$4,418	\$4,421
TOTALS, EXPENDITURES				
	State Operations	4,085	4,418	4,421
	Totals, Expenditures	\$4,085	\$4,418	\$4,421

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	20.1	22.0	22.0	\$2,057	\$2,262	\$2,262
Total Adjustments	-	-	1.0	-	-28	-41
Net Totals, Salaries and Wages	20.1	22.0	23.0	\$2,057	\$2,234	\$2,221
Staff Benefits	-	-	-	850	945	948
Totals, Personal Services	20.1	22.0	23.0	\$2,907	\$3,179	\$3,169
OPERATING EXPENSES AND EQUIPMENT				\$1,178	\$1,239	\$1,252
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4,085	\$4,418	\$4,421

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,208	\$4,253	\$4,342
Allocation for staff benefits	-	18	-
Section 3.60 pension contribution adjustment	-	68	-
011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund)	6	1	1
Totals Available	\$4,214	\$4,340	\$4,343
Unexpended balance, estimated savings	-166	-	-
TOTALS, EXPENDITURES	\$4,048	\$4,340	\$4,343
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$42	\$79	\$79
TOTALS, EXPENDITURES	\$42	\$79	\$79

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0280 Commission on Judicial Performance - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
9728 Judicial Branch Workers Compensation Fund			
Less funding provided by the General Fund	<u>-5</u>	<u>-1</u>	<u>-1</u>
NET TOTALS, EXPENDITURES	<u>\$-5</u>	<u>\$-1</u>	<u>\$-1</u>
Total Expenditures, All Funds, (State Operations)	\$4,085	\$4,418	\$4,421

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Totals, Authorized Positions	20.1	22.0	22.0	\$2,057	\$2,262	\$2,262
Salary and Other Adjustments	-	-	-	-	-28	-41
Workload and Administrative Adjustments						
Trial Counsel Staffing						
General Counsel	-	-	1.0	-	-	108
Temporary Help	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-108</u>
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	1.0	\$-	\$-	\$-
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>\$-</u>	<u>\$-28</u>	<u>\$-41</u>
TOTALS, SALARIES AND WAGES	20.1	22.0	23.0	\$2,057	\$2,234	\$2,221

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for judges on California's Supreme, Appellate, and Superior/Municipal courts.

The Judges' Retirement System provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility, as determined by specific sections of the Judges' Retirement Law. Active judges and the state each contribute 8 percent of salary to the Judges' Retirement System. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

A second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of the Judges' Retirement System II. The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as any investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund. Judges' Retirement System II members eligible for a service retirement have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan.

Effective January 1, 2013, provisions of the California Public Employees' Pension Reform Act of 2013, Chapter 296, Statutes of 2012 (AB 340), require that new judges contribute 50 percent of the normal cost of their pension benefits.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0190 State Operations	-	-	-	\$3,842	\$3,709	\$3,683
0195 Local Assistance	-	-	-	242,501	238,802	253,553
0200 Benefit Payments	<u>-</u>	<u>-</u>	<u>-</u>	<u>202,789</u>	<u>205,742</u>	<u>217,452</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$449,132	\$448,253	\$474,688
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$246,343	\$242,511	\$257,236
0815 Judges Retirement Fund				193,924	198,359	207,960
0884 Judges Retirement System II Fund				<u>8,865</u>	<u>7,383</u>	<u>9,492</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$449,132	\$448,253	\$474,688

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0390 Contributions to the Judges' Retirement System - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$6,704	-\$10,060	-	\$21,429	\$1,650	-
Totals, Other Workload Budget Adjustments	\$6,704	-\$10,060	-	\$21,429	\$1,650	-
Totals, Workload Budget Adjustments	\$6,704	-\$10,060	-	\$21,429	\$1,650	-
Totals, Budget Adjustments	\$6,704	-\$10,060	-	\$21,429	\$1,650	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0390 Contributions to the Judges' Retirement System - Continued

Judges' Retirement Fund Summaries

0815 Judges' Retirement Fund	PY* 2013-14	CY* 2014-15	BY* 2015-16
Beginning Balance	\$54,250	\$57,714	\$45,586
Revenues:			
Investment Income	\$57	\$61	\$48
State Contributions	193,676	183,493	194,703
Member Contributions	4,724	3,840	3,290
Contribution Refunds	(10)	(9)	(9)
Total Revenues	\$198,447	\$187,385	\$198,032
Expenditures:			
Pension Benefit Payments	\$193,925	\$198,359	\$207,960
Administrative Expenditures	1,058	1,154	1,154
Total Expenditures	\$194,983	\$199,513	\$209,114
Ending Fund Balance	\$57,714	\$45,586	\$34,504
0884 Judges' Retirement System II Fund	PY* 2013-14	CY* 2014-15	BY* 2015-16
Beginning Balance	\$795,934	\$1,014,149	\$1,162,751
Revenues:			
Investment Income	\$149,990	\$73,526	\$84,299
State Contributions	57,642	63,683	67,198
Member Contributions	20,413	20,631	21,773
Contribution Refunds	(85)	(916)	(916)
Total Revenues	\$227,960	\$156,924	\$172,354
Expenditures:			
Pension Benefit Payments	\$8,867	\$7,383	\$9,492
Administrative Expenditures	878	939	939
Total Expenditures	\$9,745	\$8,322	\$10,431
Ending Fund Balance	\$1,014,149	\$1,162,751	\$1,324,674

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0390 Contributions to the Judges' Retirement System - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0190	STATE OPERATIONS			
	State Operations:			
0001	General Fund	\$3,842	\$3,709	\$3,683
	Totals, State Operations	\$3,842	\$3,709	\$3,683
	PROGRAM REQUIREMENTS			
0195	LOCAL ASSISTANCE			
	Local Assistance:			
0001	General Fund	\$242,501	\$238,802	\$253,553
	Totals, Local Assistance	\$242,501	\$238,802	\$253,553
	PROGRAM REQUIREMENTS			
0200	BENEFIT PAYMENTS			
	Unclassified:			
0815	Judges Retirement Fund	\$193,924	\$198,359	\$207,960
0884	Judges Retirement System II Fund	8,865	7,383	9,492
	Totals, Unclassified	\$202,789	\$205,742	\$217,452
	TOTALS, EXPENDITURES			
	State Operations	3,842	3,709	3,683
	Local Assistance	242,501	238,802	253,553
	Unclassified	202,789	205,742	217,452
	Totals, Expenditures	\$449,132	\$448,253	\$474,688

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$1,150	\$1,150	\$1,150
Government Code Section 75101 (JRS I)	1,209	771	724
Revised Estimates	-	74	-
Government Code Section 75600.5 (JRS II)	1,483	1,542	1,809
Revised Estimates	-	172	-
TOTALS, EXPENDITURES	\$3,842	\$3,709	\$3,683
Total Expenditures, All Funds, (State Operations)	\$3,842	\$3,709	\$3,683

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation (transfer to Judges' Retirement Fund)	\$182,931	\$174,043	\$185,803
Government Code Section 75101 (JRS I)	4,025	2,735	2,566
Revised Estimates	-	260	-
Government Code Section 75600.5 (JRS II)	55,545	55,566	65,184
Revised Estimates	-	6,198	-
TOTALS, EXPENDITURES	\$242,501	\$238,802	\$253,553
Total Expenditures, All Funds, (Local Assistance)	\$242,501	\$238,802	\$253,553

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0390 Contributions to the Judges' Retirement System - Continued

4 UNCLASSIFIED	2013-14*†	2014-15*	2015-16*
0815 Judges Retirement Fund			
APPROPRIATIONS			
Government Code Section 75025	\$193,924	\$209,073	\$207,960
Revised Estimates	-	-10,714	-
TOTALS, EXPENDITURES	\$193,924	\$198,359	\$207,960
0884 Judges Retirement System II Fund			
APPROPRIATIONS			
Government Code Section 75522	\$8,865	\$6,729	\$9,492
Revised Estimates	-	654	-
TOTALS, EXPENDITURES	\$8,865	\$7,383	\$9,492
Total Expenditures, All Funds, (Unclassified)	\$202,789	\$205,742	\$217,452
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified)	\$449,132	\$448,253	\$474,688

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained in Sacramento.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0210 Governor's Office	81.0	81.0	81.0	\$11,018	\$13,445	\$13,451
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	81.0	81.0	81.0	\$11,018	\$13,445	\$13,451
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$9,510	\$11,132	\$11,165
9740 Central Service Cost Recovery Fund				1,508	2,313	2,286
TOTALS, EXPENDITURES, ALL FUNDS				\$11,018	\$13,445	\$13,451

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

DETAILED BUDGET ADJUSTMENTS

	<u>2014-15*</u>			<u>2015-16*</u>		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$198	\$-	-	\$198	\$-	-
• Salary Adjustments	172	-	-	173	-	-
• Miscellaneous Baseline Adjustments	-	-	-	27	-27	-
• Benefit Adjustments	10	-	-	16	-	-
Totals, Other Workload Budget Adjustments	\$380	\$-	-	\$414	-\$27	-
Totals, Workload Budget Adjustments	\$380	\$-	-	\$414	-\$27	-
Totals, Budget Adjustments	\$380	\$-	-	\$414	-\$27	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0500 Governor's Office - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	81.0	81.0	81.0	\$6,967	\$9,380	\$9,380
Total Adjustments	-	-	-	-	129	129
Net Totals, Salaries and Wages	81.0	81.0	81.0	\$6,967	\$9,509	\$9,509
Staff Benefits	-	-	-	2,494	1,548	1,554
Totals, Personal Services	81.0	81.0	81.0	\$9,461	\$11,057	\$11,063
OPERATING EXPENSES AND EQUIPMENT				\$1,557	\$2,388	\$2,388
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$11,018	\$13,445	\$13,451

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,818	\$10,751	\$11,165
Allocation for employee compensation	-	173	-
Allocation for staff benefits	-	10	-
Section 3.60 pension contribution adjustment	-	198	-
Totals Available	\$10,818	\$11,132	\$11,165
Unexpended balance, estimated savings	-1,308	-	-
TOTALS, EXPENDITURES	\$9,510	\$11,132	\$11,165
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,239	\$2,313	\$2,286
Totals Available	\$2,239	\$2,313	\$2,286
Unexpended balance, estimated savings	-731	-	-
TOTALS, EXPENDITURES	\$1,508	\$2,313	\$2,286
Total Expenditures, All Funds, (State Operations)	\$11,018	\$13,445	\$13,451

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	81.0	81.0	81.0	\$6,967	\$9,380	\$9,380
Salary and Other Adjustments	-	-	-	-	129	129
Totals, Adjustments	-	-	-	\$-	\$129	\$129
TOTALS, SALARIES AND WAGES	81.0	81.0	81.0	\$6,967	\$9,509	\$9,509

0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the nation to market the benefits of doing business in California, recruit new businesses, retain businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, economic growth, export promotion, permit assistance, innovation and entrepreneurship.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0220 Go-Biz	15.7	33.0	32.3	\$2,063	\$5,069	\$4,513
0225 California Business Investment Services	9.9	13.0	13.0	1,509	1,781	1,682
0230 Office of the Small Business Advocate	2.8	3.0	3.0	442	2,459	459
0235 Infrastructure, Finance and Economic Development	<u>35.6</u>	<u>40.0</u>	<u>49.0</u>	<u>115,739</u>	<u>16,084</u>	<u>16,857</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	64.0	89.0	97.3	\$119,753	\$25,393	\$23,511
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$6,464	\$11,682	\$10,280
0649 California Infrastructure and Economic Development Bank Fund				80,483	9,586	9,375
0890 Federal Trust Fund				27,414	27,609	-
0918 California Small Business Expansion Fund				4,630	-25,338	2,109
0995 Reimbursements				670	1,731	1,630
3083 Welcome Center Fund				92	113	107
3095 Film Promotion and Marketing Fund				<u>-</u>	<u>10</u>	<u>10</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$119,753	\$25,393	\$23,511

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12098.5, and 63050; and Corporations Code Section 14030.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Film and Tax Credit Program	\$-	\$-	-	\$1,152	\$-	9.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$1,152	\$-	9.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$137	\$66	-	\$137	\$66	-
• Salary Adjustments	87	45	-	87	46	-
• Benefit Adjustments	32	18	-	35	20	-
• Carryover/Reappropriation	504	-	-	-	-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-0.7
• Pro Rata	-	-	-	-	-381	-
Totals, Other Workload Budget Adjustments	\$760	\$129	-	\$259	-\$249	-0.7
Totals, Workload Budget Adjustments	\$760	\$129	-	\$1,411	-\$249	8.3
Totals, Budget Adjustments	\$760	\$129	-	\$1,411	-\$249	8.3

PROGRAM DESCRIPTIONS

0220 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth, and export promotion. This program makes

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

0225 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local, state, and federal partners to attract, retain, and grow businesses in addition to providing permit assistance and helping businesses succeed in California. The Innovation Hub (iHub) initiative improves the state's national and global competitiveness by stimulating partnerships, economic development, and job creation around specific research clusters through state-designated iHubs.

0230 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to issues from small businesses concerning the actions of state agencies, state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

0235010 - CALIFORNIA FILM COMMISSION

The California Film Commission (CFC) enhances California's status as the leader in motion picture, television and commercial production. The Commission is tasked with retaining and increasing motion picture production and to see that it continues to create jobs and boost business throughout the State. A one-stop office for filmmakers, the Commission supports productions of all sizes and budgets with a variety of services. In addition to issuing film permits for all state properties, administering the film and TV tax credit program, maintaining an extensive location library, and offering production assistance on a wide variety of issues, CFC also works closely with cities and counties with the goal of creating "film friendly" policies that are consistent state-wide.

0235019 - DIVISION OF TOURISM

The California Tourism Market Act provides for the marketing of California through an assessment of businesses that benefit from travel and tourism. The objective of the Tourism Assessment Program is to identify potentially assessable businesses, assist companies with determining the appropriate amount of their self-assessment, and collect the fee.

0235028 - CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK

California Infrastructure and Economic Development Bank (IBank) was created to finance public infrastructure and private development that promote a healthy climate for jobs, contribute to a strong economy and improve the quality of life in California communities. IBank has a broad authority to issue tax-exempt and taxable revenue bonds, provide financing to public agencies, provide credit enhancements, acquire or lease facilities, and leverage State and Federal funds. IBank's current programs include the infrastructure state revolving fund, 501(c)(3) tax-exempt and taxable revenue bond program, industrial development revenue bond program, exempt facility revenue bond program, governmental bond program and the Clean Energy Finance Center (CEFC) and the Statewide Energy Efficiency Program under the CEFC.

0235037 - SMALL BUSINESS LOAN GUARANTEE PROGRAM

The Small Business Loan Guarantee Program (SBLGP) promotes local economic development by providing guarantees for loans issued to small businesses from financial institutions, typically banks, that otherwise would not approve a term loan or line of credit to a small business. As a result of the SBLGP, participating small businesses are able to secure financing that allows them to grow and expand their business. The loan guarantee serves as a credit enhancement and an incentive for financial institutions to make loans to small businesses that otherwise would not be eligible for such financing.

0235046 - CALIFORNIA WELCOME CENTERS

California Welcome Centers are visitor information centers that are readily accessible to and recognizable by tourists to encourage tourism in California and provide benefits to the state economy. The objective of the California Welcome Center Program is to determine the locality of underserved travelers, designate a welcome center, and establish operating standards across the network.

DETAILED EXPENDITURES BY PROGRAM

		2013-14*	2014-15*	2015-16*
	PROGRAM REQUIREMENTS			
0220	GO-BIZ			
	State Operations:			
0001	General Fund	\$2,063	\$5,069	\$4,513

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	Totals, State Operations	\$2,063	\$5,069	\$4,513
	PROGRAM REQUIREMENTS			
0225	CALIFORNIA BUSINESS INVESTMENT SERVICES			
	State Operations:			
0001	General Fund	\$1,444	\$1,631	\$1,632
0995	Reimbursements	<u>65</u>	<u>150</u>	<u>50</u>
	Totals, State Operations	\$1,509	\$1,781	\$1,682
	PROGRAM REQUIREMENTS			
0230	OFFICE OF THE SMALL BUSINESS ADVOCATE			
	State Operations:			
0001	General Fund	<u>\$442</u>	<u>\$2,459</u>	<u>\$459</u>
	Totals, State Operations	\$442	\$2,459	\$459
	PROGRAM REQUIREMENTS			
0235	INFRASTRUCTURE, FINANCE AND ECONOMIC DEVELOPMENT			
	State Operations:			
0001	General Fund	\$2,515	\$2,523	\$3,676
0649	California Infrastructure and Economic Development Bank Fund	3,033	4,086	3,875
0890	Federal Trust Fund	27,414	27,609	-
0918	California Small Business Expansion Fund	4,630	-25,338	2,109
0995	Reimbursements	605	1,581	1,580
3083	Welcome Center Fund	92	113	107
3095	Film Promotion and Marketing Fund	<u>-</u>	<u>10</u>	<u>10</u>
	Totals, State Operations	\$38,289	\$10,584	\$11,357
	Local Assistance:			
0649	California Infrastructure and Economic Development Bank Fund	\$77,450	\$5,500	\$5,500
	Totals, Local Assistance	\$77,450	\$5,500	\$5,500
	SUBPROGRAM REQUIREMENTS			
0235010	California Film Commission			
	State Operations:			
0001	General Fund	\$1,375	\$1,458	\$2,610
3095	Film Promotion and Marketing Fund	<u>-</u>	<u>10</u>	<u>10</u>
	Totals, State Operations	\$1,375	\$1,468	\$2,620
	SUBPROGRAM REQUIREMENTS			
0235019	Tourism			
	State Operations:			
0001	General Fund	\$54	\$200	\$201
0995	Reimbursements	<u>605</u>	<u>882</u>	<u>881</u>
	Totals, State Operations	\$659	\$1,082	\$1,082
	SUBPROGRAM REQUIREMENTS			
0235028	California Infrastructure and Economic Development Bank			
	State Operations:			
0649	California Infrastructure and Economic Development Bank Fund	\$3,033	4,086	3,875

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0995	Reimbursements	-	212	212
	Totals, State Operations	\$3,033	\$4,298	\$4,087
	Local Assistance:			
0649	California Infrastructure and Economic Development Bank Fund	\$77,450	\$5,500	\$5,500
	Totals, Local Assistance	\$77,450	\$5,500	\$5,500
	SUBPROGRAM REQUIREMENTS			
0235037	Small Business Expansion			
	State Operations:			
0001	General Fund	\$1,086	\$865	\$865
0890	Federal Trust Fund	27,414	27,609	-
0918	California Small Business Expansion Fund	4,630	-25,338	2,109
0995	Reimbursements	-	487	487
	Totals, State Operations	\$33,130	\$3,623	\$3,461
	SUBPROGRAM REQUIREMENTS			
0235046	Welcome Center Program			
	State Operations:			
3083	Welcome Center Fund	\$92	\$113	\$107
	Totals, State Operations	\$92	\$113	\$107
	TOTALS, EXPENDITURES			
	State Operations	42,303	19,893	18,011
	Local Assistance	77,450	5,500	5,500
	Totals, Expenditures	\$119,753	\$25,393	\$23,511

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	64.0	89.0	89.0	\$5,127	\$6,553	\$6,515
Total Adjustments	-	-	8.3	-	232	743
Net Totals, Salaries and Wages	64.0	89.0	97.3	\$5,127	\$6,785	\$7,258
Staff Benefits	-	-	-	2,007	2,513	2,677
Totals, Personal Services	64.0	89.0	97.3	\$7,134	\$9,298	\$9,935
OPERATING EXPENSES AND EQUIPMENT				\$2,332	\$5,747	\$5,228
SPECIAL ITEMS OF EXPENSES				32,837	4,848	2,848
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$42,303	\$19,893	\$18,011

2 Local Assistance	<u>Expenditures</u>		
	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Other Special Items of Expense	\$77,450	\$5,500	\$5,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$77,450	\$5,500	\$5,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,342	\$8,062	\$9,419
Allocation for employee compensation	-	87	-
Allocation for staff benefits	-	32	-
Allocation for staff benefits (Reimbursement)	-	-1	-
Section 3.60 pension contribution adjustment	-	137	-
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	861	861	861
Chapter 69, Statutes of 2013	404	-	-
Small Business Development Corp. Grants	-	2,000	-
Carryover Adjustment	-	404	-
Chapter 360, Statutes of 2013	100	-	-
Carryover Adjustment	-	100	-
Totals Available	\$7,707	\$11,682	\$10,280
Unexpended balance, estimated savings	-739	-	-
Balance available in subsequent years	-504	-	-
TOTALS, EXPENDITURES	\$6,464	\$11,682	\$10,280
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,767	\$3,981	\$3,875
Allocation for employee compensation	-	36	-
Allocation for staff benefits	-	15	-
Section 3.60 pension contribution adjustment	-	54	-
Totals Available	\$3,767	\$4,086	\$3,875
Unexpended balance, estimated savings	-734	-	-
TOTALS, EXPENDITURES	\$3,033	\$4,086	\$3,875
0890 Federal Trust Fund			
APPROPRIATIONS			
011 Budget Act appropriation (transfer to Small Business Expansion Fund)	\$27,414	\$27,609	-
TOTALS, EXPENDITURES	\$27,414	\$27,609	\$-
0918 California Small Business Expansion Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$117	\$279	\$122
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	1	-
Corporations Code Section 14030	-	1,848	1,848
Government Code Section 63089.5	28,334	-	-
Corporations Code Section 14030(a) (Default Payments)	-	1,000	1,000
Government Code Section 63089.5 (e) (Default Payments)	4,503	-	-
Totals Available	\$32,954	\$3,132	\$2,970
Unexpended balance, estimated savings	-49	-	-
TOTALS, EXPENDITURES	\$32,905	\$3,132	\$2,970
Less funding provided by the Federal Trust Fund	-27,414	-27,609	-
Less funding provided by the General Fund	-861	-861	-861

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

**0509 Governor's Office of Business and Economic Development (GO-Biz) -
Continued**

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
NET TOTALS, EXPENDITURES	\$4,630	\$-25,338	\$2,109
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$670	\$1,731	\$1,630
TOTALS, EXPENDITURES	\$670	\$1,731	\$1,630
3083 Welcome Center Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$105	\$110	\$107
Allocation for employee compensation	-	1	-
Section 3.60 pension contribution adjustment	-	2	-
Totals Available	\$105	\$113	\$107
Unexpended balance, estimated savings	-13	-	-
TOTALS, EXPENDITURES	\$92	\$113	\$107
3095 Film Promotion and Marketing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10	\$10	\$10
Totals Available	\$10	\$10	\$10
Unexpended balance, estimated savings	-10	-	-
TOTALS, EXPENDITURES	\$-	\$10	\$10
Total Expenditures, All Funds, (State Operations)	\$42,303	\$19,893	\$18,011
2 LOCAL ASSISTANCE			
0649 California Infrastructure and Economic Development Bank Fund			
APPROPRIATIONS			
Government Code Section 63050	\$77,450	\$5,500	\$5,500
TOTALS, EXPENDITURES	\$77,450	\$5,500	\$5,500
Total Expenditures, All Funds, (Local Assistance)	\$77,450	\$5,500	\$5,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$119,753	\$25,393	\$23,511

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
3083 Welcome Center Fund^s			
BEGINNING BALANCE	\$68	\$57	\$44
Prior Year Adjustments	-10	-	-
Adjusted Beginning Balance	\$58	\$57	\$44
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	90	100	100
Total Revenues, Transfers, and Other Adjustments	\$90	\$100	\$100
Total Resources	\$148	\$157	\$144
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	90	113	107
Total Expenditures and Expenditure Adjustments	\$90	\$113	\$107
FUND BALANCE	\$57	\$44	\$37
Reserve for economic uncertainties	57	44	37

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

	2013-14*	2014-15*	2015-16*
3095 Film Promotion and Marketing Fund ^s			
BEGINNING BALANCE	\$11	\$19	\$19
Prior Year Adjustments	<u>-6</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$5	\$19	\$19
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140000 Document Sales	3	10	10
4172500 Miscellaneous Revenue	<u>11</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$14</u>	<u>\$10</u>	<u>\$10</u>
Total Resources	\$19	\$29	\$29
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0509 Governor's Office of Business and Economic Development (GO-Biz) (State Operations)	-	10	10
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$10</u>	<u>\$10</u>
FUND BALANCE	\$19	\$19	\$19
Reserve for economic uncertainties	19	19	19

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	64.0	89.0	89.0	\$5,127	\$6,553	\$6,515
Salary and Other Adjustments	-	-	-0.7	-	232	299
Workload and Administrative Adjustments						
Film and Tax Credit Program						
Assoc Govtl Program Analyst	-	-	2.0	-	-	119
Motion Picture Prod Analyst	-	-	3.0	-	-	178
Office Techn (Typing)	-	-	3.0	-	-	109
Staff Svcs Analyst (Gen)	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>-</u>	<u>-</u>	<u>38</u>
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>9.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$444</u>
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>8.3</u>	<u>\$-</u>	<u>\$232</u>	<u>\$743</u>
TOTALS, SALARIES AND WAGES	<u>64.0</u>	<u>89.0</u>	<u>97.3</u>	<u>\$5,127</u>	<u>\$6,785</u>	<u>\$7,258</u>

0511 Secretary for Government Operations Agency

The Government Operations Agency is responsible for coordinating state operations, including procurement, information technology, and human resources. The mission of the Government Operations Agency is to improve management and accountability of government programs, increase efficiency, and promote better and more coordinated operations decisions. The Government Operations Agency oversees the Department of General Services, the Department of Human Resources, the Department of Technology, the Office of Administrative Law, the Franchise Tax Board, the State Personnel Board, the Victim Compensation and Government Claims Board, the California Public Employees' Retirement System, and the California State Teachers' Retirement System.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0250 Administration of Government Operations Agency	8.5	17.0	17.0	\$1,930	\$3,242	\$3,242

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0511 Secretary for Government Operations Agency - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.5	17.0	17.0	\$1,930	\$3,242	\$3,242
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$1,326	\$1,264	\$1,264
0995 Reimbursements				604	1,978	1,978
TOTALS, EXPENDITURES, ALL FUNDS				\$1,930	\$3,242	\$3,242

LEGAL CITATIONS AND AUTHORITY

Government Code, section 12803.2.

DETAILED BUDGET ADJUSTMENTS

	2014-15*		Positions	2015-16*		Positions
	General Fund	Other Funds		General Fund	Other Funds	
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$21	\$32	-	\$21	\$32	-
• Salary Adjustments	13	21	-	14	21	-
• Benefit Adjustments	5	7	-	4	7	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$39	\$60	-	\$39	\$60	-
Totals, Workload Budget Adjustments	\$39	\$60	-	\$39	\$60	-
Totals, Budget Adjustments	\$39	\$60	-	\$39	\$60	-

DETAILED EXPENDITURES BY PROGRAM

		2013-14*	2014-15*	2015-16*
PROGRAM REQUIREMENTS				
0250	ADMINISTRATION OF GOVERNMENT OPERATIONS AGENCY			
	State Operations:			
0001	General Fund	\$1,326	\$1,264	\$1,264
0995	Reimbursements	604	1,978	1,978
	Totals, State Operations	\$1,930	\$3,242	\$3,242
	TOTALS, EXPENDITURES			
	State Operations	1,930	3,242	3,242
	Totals, Expenditures	\$1,930	\$3,242	\$3,242

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8.5	17.0	17.0	\$866	\$1,778	\$1,778
Total Adjustments	-	-	-	-	34	35
Net Totals, Salaries and Wages	8.5	17.0	17.0	\$866	\$1,812	\$1,813
Staff Benefits	-	-	-	307	824	823
Totals, Personal Services	8.5	17.0	17.0	\$1,173	\$2,636	\$2,636
OPERATING EXPENSES AND EQUIPMENT				\$757	\$606	\$606

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0511 Secretary for Government Operations Agency - Continued

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,930	\$3,242	\$3,242

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,346	\$1,225	\$1,264
Allocation for employee compensation	-	13	-
Allocation for staff benefits	-	5	-
Section 3.60 pension contribution adjustment	-	21	-
Totals Available	\$1,346	\$1,264	\$1,264
Unexpended balance, estimated savings	-20	-	-
TOTALS, EXPENDITURES	\$1,326	\$1,264	\$1,264
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$604	\$1,978	\$1,978
TOTALS, EXPENDITURES	\$604	\$1,978	\$1,978
Total Expenditures, All Funds, (State Operations)	\$1,930	\$3,242	\$3,242

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	8.5	17.0	17.0	\$866	\$1,778	\$1,778
Salary and Other Adjustments	-	-	-	-	34	35
Totals, Adjustments	-	-	-	\$-	\$34	\$35
TOTALS, SALARIES AND WAGES	8.5	17.0	17.0	\$866	\$1,812	\$1,813

0515 Secretary for Business, Consumer Services, and Housing Agency

The Business, Consumer Services and Housing Agency was established in 2013. The Agency is responsible for overseeing departments, boards, commissions and agencies that provide the following services to the people of California: protection of the public through the licensing and regulation of more than 100 businesses and 200 professional categories; regulation of financial services and state-licensed financial institutions; enforcement of the sale of alcoholic beverages; regulation of the horse racing industry; the investigation and prosecution of civil rights; safe and affordable housing opportunities; and earthquake safety. The Agency is comprised of the following entities: Department of Consumer Affairs; Department of Business Oversight; Department of Fair Employment and Housing; Department of Housing and Community Development; California Housing and Finance Agency; Alcoholic Beverage Control; Alcoholic Beverage Control Appeals Board; California Horse Racing Board; and the Alfred E. Alquist Seismic Safety Commission.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0260 Support	11.1	15.0	15.0	\$2,312	\$2,983	\$3,011
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	11.1	15.0	15.0	\$2,312	\$2,983	\$3,011
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$16	\$107	\$108
0067 State Corporations Fund				157	273	287

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0240 Local Agency Deposit Security Fund	1	1	1
0298 Financial Institutions Fund	79	136	142
0299 Credit Union Fund	25	41	43
0995 Reimbursements	1,815	2,138	2,140
3036 Alcohol Beverages Control Fund	192	240	240
3153 Horse Racing Fund	<u>27</u>	<u>47</u>	<u>50</u>
TOTALS, EXPENDITURES, ALL FUNDS	\$2,312	\$2,983	\$3,011

LEGAL CITATIONS AND AUTHORITY

Government Code, Sections 12800, 12804, 12804.5, 12855, and 12856.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$2	\$47	-	\$2	\$47	-
• Salary Adjustments	1	30	-	1	31	-
• Benefit Adjustments	-	12	-	1	14	-
• Pro Rata	-	-	-	-	27	-
• Miscellaneous Baseline Adjustments	-	1	-	-	-	-
Totals, Other Workload Budget Adjustments	\$3	\$90	-	\$4	\$119	-
Totals, Workload Budget Adjustments	\$3	\$90	-	\$4	\$119	-
Totals, Budget Adjustments	\$3	\$90	-	\$4	\$119	-

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0260	SUPPORT			
State Operations:				
0001	General Fund	\$16	\$107	\$108
0067	State Corporations Fund	157	273	287
0240	Local Agency Deposit Security Fund	1	1	1
0298	Financial Institutions Fund	79	136	142
0299	Credit Union Fund	25	41	43
0995	Reimbursements	1,815	2,138	2,140
3036	Alcohol Beverages Control Fund	192	240	240
3153	Horse Racing Fund	<u>27</u>	<u>47</u>	<u>50</u>
Totals, State Operations		\$2,312	\$2,983	\$3,011
TOTALS, EXPENDITURES				
State Operations		<u>2,312</u>	<u>2,983</u>	<u>3,011</u>
Totals, Expenditures		\$2,312	\$2,983	\$3,011

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	11.1	15.0	15.0	\$1,166	\$1,555	\$1,555
Total Adjustments	-	-	-	-	57	68
Net Totals, Salaries and Wages	11.1	15.0	15.0	\$1,166	\$1,612	\$1,623
Staff Benefits	-	-	-	461	684	678
Totals, Personal Services	11.1	15.0	15.0	\$1,627	\$2,296	\$2,301
OPERATING EXPENSES AND EQUIPMENT				\$685	\$687	\$710
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,312	\$2,983	\$3,011

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$108	\$104	\$108
Allocation for employee compensation	-	1	-
Section 3.60 pension contribution adjustment	-	2	-
Totals Available	\$108	\$107	\$108
Unexpended balance, estimated savings	-92	-	-
TOTALS, EXPENDITURES	\$16	\$107	\$108
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$193	\$265	\$287
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	4	-
Totals Available	\$193	\$273	\$287
Unexpended balance, estimated savings	-36	-	-
TOTALS, EXPENDITURES	\$157	\$273	\$287
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1	\$1	\$1
TOTALS, EXPENDITURES	\$1	\$1	\$1
0298 Financial Institutions Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$97	\$131	\$142
Allocation for employee compensation	-	1	-
Allocation for staff benefits	-	1	-
Miscellaneous adjustment to realign Current Service Level	-	1	-
Section 3.60 pension contribution adjustment	-	2	-
Totals Available	\$97	\$136	\$142
Unexpended balance, estimated savings	-18	-	-
TOTALS, EXPENDITURES	\$79	\$136	\$142
0299 Credit Union Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$30	\$40	\$43

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0515 Secretary for Business, Consumer Services, and Housing Agency - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Section 3.60 pension contribution adjustment	-	1	-
Totals Available	\$30	\$41	\$43
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$25	\$41	\$43
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,815	\$2,138	\$2,140
TOTALS, EXPENDITURES	\$1,815	\$2,138	\$2,140
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$238	\$232	\$240
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	4	-
Totals Available	\$238	\$240	\$240
Unexpended balance, estimated savings	-46	-	-
TOTALS, EXPENDITURES	\$192	\$240	\$240
3153 Horse Racing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$33	\$46	\$50
Allocation for employee compensation	-	1	-
Pro Rata Realignment	-	-1	-
Section 3.60 pension contribution adjustment	-	1	-
Totals Available	\$33	\$47	\$50
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	\$27	\$47	\$50
Total Expenditures, All Funds, (State Operations)	\$2,312	\$2,983	\$3,011

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	11.1	15.0	15.0	\$1,166	\$1,555	\$1,555
Salary and Other Adjustments	-	-	-	-	57	68
Totals, Adjustments	-	-	-	\$-	\$57	\$68
TOTALS, SALARIES AND WAGES	11.1	15.0	15.0	\$1,166	\$1,612	\$1,623

0521 Secretary for Transportation Agency

The mission of the California State Transportation Agency is to develop and coordinate the policies and programs of the state's transportation entities to achieve the state's mobility, safety and air quality objectives from its transportation system.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0270 Administration of Transportation Agency	24.0	26.0	26.0	\$3,716	\$4,327	\$4,329
0275 California Traffic Safety Program	29.0	32.0	32.0	99,263	117,563	97,009
0276 Local Assistance Grant Program	-	-	-	-	-	100,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	53.0	58.0	58.0	\$102,979	\$121,890	\$201,338

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0521 Secretary for Transportation Agency - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0044 Motor Vehicle Account, State Transportation Fund	\$2,014	\$2,633	\$2,635
0046 Public Transportation Account, State Transportation Fund	6	6	6
0890 Federal Trust Fund	98,870	117,093	96,538
0995 Reimbursements	2,089	2,158	2,159
3228 Greenhouse Gas Reduction Fund	-	-	100,000
TOTALS, EXPENDITURES, ALL FUNDS	\$102,979	\$121,890	\$201,338

LEGAL CITATIONS AND AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Legislation with an Appropriation	\$-	\$-	-	\$-	\$100,000	-
• SWCAP	-	-	-	-	197	-
• Retirement Rate Adjustments	-	136	-	-	136	-
• Salary Adjustments	-	115	-	-	115	-
• Benefit Adjustments	-	10	-	-	18	-
• Carryover/Reappropriation	-	20,756	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$21,017	-	\$-	\$100,466	-
Totals, Workload Budget Adjustments	\$-	\$21,017	-	\$-	\$100,466	-
Totals, Budget Adjustments	\$-	\$21,017	-	\$-	\$100,466	-

PROGRAM DESCRIPTIONS

0270 - ADMINISTRATION OF TRANSPORTATION AGENCY

The Administration of Transportation Agency Program, under direction of the Secretary, is responsible for advising the Governor on major policy and program matters and providing oversight support of the operations of the Agency's departments and programs.

0275 - CALIFORNIA TRAFFIC SAFETY PROGRAM

The California Traffic Safety Program is responsible for developing the California Highway Safety Plan, which identifies major traffic safety problems throughout the state and coordinates statewide traffic safety programs and activities to address these problems using available state and federal funds.

0276 - LOCAL ASSISTANCE GRANT PROGRAM

The Local Assistance Grant Program provides grants to encourage low carbon transit operations, and is part of the Transit, Affordable Housing, and Sustainable Communities Program. The goals of the program include reducing greenhouse gas emissions, improving mobility access across the state, and providing benefits to California's disadvantaged communities.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0270	ADMINISTRATION OF TRANSPORTATION AGENCY			
	State Operations:			
0044	Motor Vehicle Account, State Transportation Fund	\$1,621	\$2,163	\$2,164

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0521 Secretary for Transportation Agency - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0046	Public Transportation Account, State Transportation Fund	6	6	6
0995	Reimbursements	<u>2,089</u>	<u>2,158</u>	<u>2,159</u>
	Totals, State Operations	\$3,716	\$4,327	\$4,329
	PROGRAM REQUIREMENTS			
0275	CALIFORNIA TRAFFIC SAFETY PROGRAM			
	State Operations:			
0044	Motor Vehicle Account, State Transportation Fund	\$393	\$470	\$471
0890	Federal Trust Fund	<u>58,381</u>	<u>59,344</u>	<u>59,545</u>
	Totals, State Operations	\$58,774	\$59,814	\$60,016
	Local Assistance:			
0890	Federal Trust Fund	<u>\$40,489</u>	<u>\$57,749</u>	<u>\$36,993</u>
	Totals, Local Assistance	\$40,489	\$57,749	\$36,993
	PROGRAM REQUIREMENTS			
0276	LOCAL ASSISTANCE GRANT PROGRAM			
	Local Assistance:			
3228	Greenhouse Gas Reduction Fund	<u>\$-</u>	<u>\$-</u>	<u>\$100,000</u>
	Totals, Local Assistance	\$-	\$-	\$100,000
	TOTALS, EXPENDITURES			
	State Operations	62,490	64,141	64,345
	Local Assistance	<u>40,489</u>	<u>57,749</u>	<u>136,993</u>
	Totals, Expenditures	\$102,979	\$121,890	\$201,338

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	51.3	58.0	58.0	\$4,059	\$4,430	\$4,430
Total Adjustments	<u>1.7</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>115</u>	<u>115</u>
Net Totals, Salaries and Wages	53.0	58.0	58.0	\$4,059	\$4,545	\$4,545
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,878</u>	<u>2,175</u>	<u>2,182</u>
Totals, Personal Services	53.0	58.0	58.0	\$5,937	\$6,720	\$6,727
OPERATING EXPENSES AND EQUIPMENT				\$2,764	\$3,579	\$3,776
SPECIAL ITEMS OF EXPENSES				<u>53,789</u>	<u>53,842</u>	<u>53,842</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$62,490	\$64,141	\$64,345

	2 Local Assistance			Expenditures		
	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Grants and Subventions - Governmental	\$40,489	\$36,993	\$136,993			
Grants and Subventions - Non-Governmental	<u>-</u>	<u>20,756</u>	<u>-</u>			
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$40,489	\$57,749	\$136,993			

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0521 Secretary for Transportation Agency - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,554	\$2,549	\$2,635
Allocation for employee compensation	-	37	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	44	-
Totals Available	\$2,554	\$2,633	\$2,635
Unexpended balance, estimated savings	-540	-	-
TOTALS, EXPENDITURES	\$2,014	\$2,633	\$2,635
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6	\$6	\$6
TOTALS, EXPENDITURES	\$6	\$6	\$6
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,592	\$5,392	\$5,703
Allocation for employee compensation	-	48	-
Allocation for staff benefits	-	6	-
Section 3.60 pension contribution adjustment	-	56	-
002 Budget Act appropriation	53,842	53,842	53,842
Totals Available	\$58,434	\$59,344	\$59,545
Balance available in subsequent years	-53	-	-
TOTALS, EXPENDITURES	\$58,381	\$59,344	\$59,545
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,089	\$2,158	\$2,159
TOTALS, EXPENDITURES	\$2,089	\$2,158	\$2,159
Total Expenditures, All Funds, (State Operations)	\$62,490	\$64,141	\$64,345
2 LOCAL ASSISTANCE			
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$36,993	\$36,993	\$36,993
Prior Year Balances Available:			
Item 2700-101-0890, Budget Act of 2012	24,252	-	-
Item 0521-101-0890, Budget Act of 2013	-	20,756	-
Totals Available	\$61,245	\$57,749	\$36,993
Balance available in subsequent years	-20,756	-	-
TOTALS, EXPENDITURES	\$40,489	\$57,749	\$36,993
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
Health and Safety Code section 39719 (b) (1) (A)	-	-	\$100,000
TOTALS, EXPENDITURES	\$-	\$-	\$100,000
Total Expenditures, All Funds, (Local Assistance)	\$40,489	\$57,749	\$136,993
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$102,979	\$121,890	\$201,338

CHANGES IN AUTHORIZED POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0521 Secretary for Transportation Agency - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	51.3	58.0	58.0	\$4,059	\$4,430	\$4,430
Salary and Other Adjustments	1.7	-	-	-	115	115
Totals, Adjustments	1.7	-	-	\$-	\$115	\$115
TOTALS, SALARIES AND WAGES	53.0	58.0	58.0	\$4,059	\$4,545	\$4,545

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHS) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHS departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHS accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHS is committed to striking a balance between the twin imperatives of maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while constantly pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHS:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Managed Health Care
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0280 Secretary of California Health and Human Services	25.7	34.3	34.3	\$5,262	\$9,024	\$8,376
0285 California Office of Health Information Integrity (CALOHII)	17.2	12.5	11.2	18,449	3,774	3,773
0290 Office of Systems Integration	168.1	215.4	211.1	296,541	342,046	345,901
0295 Office of the Patient Advocate	10.7	7.3	6.1	2,360	2,124	2,089
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	221.7	269.5	262.7	\$322,612	\$356,968	\$360,139

FUNDING				2013-14*	2014-15*	2015-16*
0001	General Fund			\$2,417	\$4,480	\$4,125
0890	Federal Trust Fund			1,968	3,643	3,643
0995	Reimbursements			3,471	4,272	3,672
3151	Internal Health Information Integrity Quality Improvement Account			25	-	-
3163	California Health Information Technology and Exchange Fund			15,738	-	1
3209	Office of Patient Advocate Trust Fund			2,137	2,124	2,089
9740	Central Service Cost Recovery Fund			819	849	1,154
9745	California Health and Human Services Automation Fund			296,037	341,600	345,455
TOTALS, EXPENDITURES, ALL FUNDS				\$322,612	\$356,968	\$360,139

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0530 Secretary for California Health and Human Services Agency - Continued

LEGAL CITATIONS AND AUTHORITY

0280-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

0285-The California Office of Health Information Integrity:

Health and Safety Code Division 110, Section 130300 et seq. Health and Safety Code Section 130200 et seq, 130250 et seq, 130275 et seq.

0290-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

0295-Office of the Patient Advocate:

Health and Safety Code Division 115, Section 136000 et seq.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• EBT 3 Project-Transition to New EBT Services	\$-	\$-	-	\$-	\$1,584	-
• Health Care Complaint Data Reporting	-	-	-	-	206	1.0
• Appeals Case Management System Limited-Term Position Extension	-	-2,546	-	-	176	1.0
Totals, Workload Budget Change Proposals	\$-	-\$2,546	-	\$-	\$1,966	2.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$108	\$498	-	\$108	\$497	-
• Salary Adjustments	69	324	-	70	322	-
• Benefit Adjustments	24	136	-	28	158	-
• SWCAP	-	-	-	-	96	-
• Pro Rata	-	-	-	-	30	-
• Miscellaneous Baseline Adjustments	-	-9,823	4.9	-307	7,514	-1.1
Totals, Other Workload Budget Adjustments	\$201	-\$8,865	4.9	-\$101	\$8,617	-1.1
Totals, Workload Budget Adjustments	\$201	-\$11,411	4.9	-\$101	\$10,583	0.9
Totals, Budget Adjustments	\$201	-\$11,411	4.9	-\$101	\$10,583	0.9

PROGRAM DESCRIPTIONS

0280 - SECRETARY FOR HEALTH AND HUMAN SERVICES

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency-wide efforts to promote the health and well being of a growing and increasingly diverse California population.

0285 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY

The California Office of Health Information Integrity (CalOHII), under the direction of the Deputy Secretary, Health Information Exchange (HIE), is responsible for statewide leadership, coordination, policy formation, direction, and oversight responsibilities for federal Health Insurance Portability and Accountability Act (HIPAA) requirements. As the leading resource for providing HIPAA expertise to the State of California, CalOHII exercises full authority relative to state entities to establish policy, provide direction to state entities, monitor progress and report on implementation efforts based on state and federal laws. In addition, CalOHII promotes the development of standards to facilitate and expand electronic HIE as HIPAA privacy and security standards correlate with the baseline standards for HIE.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0530 Secretary for California Health and Human Services Agency - Continued

0290 - OFFICE OF SYSTEMS INTEGRATION

This Office provides project management services for automation projects for the Health and Human Services Agency, the Department of Social Services, the Department of Health Care Services, and the Health Benefit Exchange, including:

- Child Welfare Services/Case Management System
- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Electronic Benefit Transfer System
- Case Management, Information and Payrolling System II
- California Healthcare Eligibility, Enrollment and Retention System Project.
- Appeals Case Management System
- Child Welfare Services - New System
- Welfare Data Tracking Implementation Project

0295 - OFFICE OF THE PATIENT ADVOCATE

The mission of the Office of the Patient Advocate (OPA) is to improve the access to and quality of health care services for all Californians. With its commitment to transparency and accountability, OPA produces a number of mandated public reporting services and products including the annual Health Care Quality Report Cards for health plans and medical groups. OPA also has begun their new responsibilities for preparing annual Complaint Data Reports and Baseline Review for the State Consumer Assistance Call Centers; annual Timely Access Complaint Rate reporting, and the development of model protocols for State Consumer Assistance Call Centers.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0280	SECRETARY OF CALIFORNIA HEALTH AND HUMAN SERVICES			
	State Operations:			
0001	General Fund	\$688	\$2,040	\$1,687
0890	Federal Trust Fund	1,464	3,643	3,643
0995	Reimbursements	2,291	2,492	1,892
9740	Central Service Cost Recovery Fund	<u>819</u>	<u>849</u>	<u>1,154</u>
	Totals, State Operations	\$5,262	\$9,024	\$8,376
PROGRAM REQUIREMENTS				
0285	CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)			
	State Operations:			
0001	General Fund	\$1,729	\$2,440	\$2,438
0995	Reimbursements	957	1,334	1,334
3151	Internal Health Information Integrity Quality Improvement Account	25	-	-
3163	California Health Information Technology and Exchange Fund	15,738	-	1
	Totals, State Operations	\$18,449	\$3,774	\$3,773
PROGRAM REQUIREMENTS				
0290	OFFICE OF SYSTEMS INTEGRATION			
	State Operations:			
0890	Federal Trust Fund	\$504	\$-	\$-
0995	Reimbursements	-	446	446
9745	California Health and Human Services Automation Fund	296,037	341,600	345,455
	Totals, State Operations	\$296,541	\$342,046	\$345,901
PROGRAM REQUIREMENTS				
0295	OFFICE OF THE PATIENT ADVOCATE			
	State Operations:			
0995	Reimbursements	\$223	\$-	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0530 Secretary for California Health and Human Services Agency - Continued

		2013-14*	2014-15*	2015-16*
3209	Office of Patient Advocate Trust Fund	2,137	2,124	2,089
	Totals, State Operations	\$2,360	\$2,124	\$2,089
	TOTALS, EXPENDITURES			
	State Operations	322,612	356,968	360,139
	Totals, Expenditures	\$322,612	\$356,968	\$360,139

EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	221.7	264.6	261.8	\$17,848	\$21,214	\$21,015
Total Adjustments	-	4.9	0.9	-	586	607
Net Totals, Salaries and Wages	221.7	269.5	262.7	\$17,848	\$21,800	\$21,622
Staff Benefits	-	-	-	6,980	10,496	10,255
Totals, Personal Services	221.7	269.5	262.7	\$24,828	\$32,296	\$31,877
OPERATING EXPENSES AND EQUIPMENT				\$297,784	\$324,672	\$328,262
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$322,612	\$356,968	\$360,139
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	2013-14*†	2014-15*	2015-16*
1 STATE OPERATIONS			
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,128	\$1,886	\$1,687
Allocation for Employee Compensation	-	52	-
Allocation for Staff Benefits	-	18	-
Retirement Baseline Adjustments	-	83	-
017 Budget Act appropriation	2,017	2,391	2,438
Allocation for Employee Compensation	-	17	-
Allocation for Staff Benefits	-	7	-
Retirement Baseline Adjustments	-	25	-
Prior Year Balances Available:			
Chapter 47, Statutes of 2012	1	1	-
Totals Available	\$3,146	\$4,480	\$4,125
Unexpended balance, estimated savings	-728	-	-
Balance available in subsequent years	-1	-	-
TOTALS, EXPENDITURES	\$2,417	\$4,480	\$4,125
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,968	\$3,643	\$3,643
TOTALS, EXPENDITURES	\$1,968	\$3,643	\$3,643
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$3,471	\$4,272	\$3,672
TOTALS, EXPENDITURES	\$3,471	\$4,272	\$3,672

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0530 Secretary for California Health and Human Services Agency - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
3151 Internal Health Information Integrity Quality Improvement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$25	\$24	-
Removal of Internal Health Information Integrity Quality Improvement Account (3151)	-	-24	-
TOTALS, EXPENDITURES	\$25	\$-	\$-
3163 California Health Information Technology and Exchange Fund			
APPROPRIATIONS			
017 Budget Act appropriation	\$20,999	\$9,798	\$1
Reduction of California Health Information Technology and Exchange Fund (3163)	-	-9,798	-
Totals Available	\$20,999	\$-	\$1
Unexpended balance, estimated savings	-5,261	-	-
TOTALS, EXPENDITURES	\$15,738	\$-	\$1
3209 Office of Patient Advocate Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,730	\$2,093	\$2,089
Allocation for Employee Compensation	-	11	-
Allocation for Staff Benefits	-	4	-
Retirement Baseline Adjustments	-	16	-
Totals Available	\$2,730	\$2,124	\$2,089
Unexpended balance, estimated savings	-593	-	-
TOTALS, EXPENDITURES	\$2,137	\$2,124	\$2,089
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$819	\$849	\$1,154
TOTALS, EXPENDITURES	\$819	\$849	\$1,154
9745 California Health and Human Services Automation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$365,562	\$343,236	\$345,455
7A Current Service Level Adjustment	-	-1	-
Allocation for Employee Compensation	-	308	-
Allocation for Staff Benefits	-	130	-
Appeals Case Management System Limited-Term Position Extension	-	-2,546	-
Retirement Baseline Adjustments	-	473	-
Totals Available	\$365,562	\$341,600	\$345,455
Unexpended balance, estimated savings	-69,525	-	-
TOTALS, EXPENDITURES	\$296,037	\$341,600	\$345,455
Total Expenditures, All Funds, (State Operations)	\$322,612	\$356,968	\$360,139

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
3163 California Health Information Technology and Exchange Fund^s			
BEGINNING BALANCE			
Prior Year Adjustments	-	\$1	\$1
Adjusted Beginning Balance	\$253	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from Federal Fund (0890) to Health Information Technology and Exchange Fund (3163) per Health and Safety Code Section 130255	15,487	-	-
Total Revenues, Transfers, and Other Adjustments	\$15,487	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0530 Secretary for California Health and Human Services Agency - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Resources	\$15,740	\$1	\$1
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	15,740	-	-
Total Expenditures and Expenditure Adjustments	<u>\$15,740</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$1	\$1	\$1
Reserve for economic uncertainties	1	1	1
3209 Office of Patient Advocate Trust Fund ^s			
BEGINNING BALANCE	\$402	\$1,047	\$1,016
Prior Year Adjustments	71	-	-
Adjusted Beginning Balance	<u>\$473</u>	<u>\$1,047</u>	<u>\$1,016</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	3	-	-
Transfers and Other Adjustments			
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	268	209	209
Revenue Transfer from Managed Care Fund (0933) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	2,439	1,884	1,880
Total Revenues, Transfers, and Other Adjustments	<u>\$2,710</u>	<u>\$2,093</u>	<u>\$2,089</u>
Total Resources	\$3,183	\$3,140	\$3,105
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0530 Secretary for California Health and Human Services Agency (State Operations)	2,136	2,124	2,089
Total Expenditures and Expenditure Adjustments	<u>\$2,136</u>	<u>\$2,124</u>	<u>\$2,089</u>
FUND BALANCE	\$1,047	\$1,016	\$1,016
Reserve for economic uncertainties	1,047	1,016	1,016

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Totals, Authorized Positions	221.7	264.6	261.8	\$17,848	\$21,214	\$21,015
Salary and Other Adjustments	-	4.9	-1.1	-	586	463
Workload and Administrative Adjustments						
Appeals Case Management System Limited-Term Position Extension						
Sr Info Sys Analyst (Spec) (Limited Term 06-30-2016)	-	-	1.0	-	-	77
Health Care Complaint Data Reporting						
Hlth Program Spec I	-	-	1.0	-	-	67
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$144</u>
Totals, Adjustments	<u>-</u>	<u>4.9</u>	<u>0.9</u>	<u>\$-</u>	<u>\$586</u>	<u>\$607</u>
TOTALS, SALARIES AND WAGES	221.7	269.5	262.7	\$17,848	\$21,800	\$21,622

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Wildlife, Parks and Recreation, and Water Resources; the California Conservation Corps; Exposition Park; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; the Sacramento-San Joaquin Delta Conservancy; the Native American Heritage Commission; and the Special Resources Program.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0320 Administration of Natural Resources Agency	41.3	50.5	55.0	\$41,898	\$59,031	\$53,160
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	41.3	50.5	55.0	\$41,898	\$59,031	\$53,160

		2013-14*	2014-15*	2015-16*
FUNDING				
0005	Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	\$125	\$141	\$52
0140	California Environmental License Plate Fund	4,824	4,561	6,703
0183	Environmental Enhancement and Mitigation Program Fund	5,705	11,404	13,716
0890	Federal Trust Fund	1,603	9,209	9,209
0995	Reimbursements	1,245	598	598
3212	Timber Regulation and Forest Restoration Fund	216	492	505
3237	Cost of Implementation Account, Air Pollution Control Fund	-	533	493
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	1,313	5,312	4,983
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	1,864	1,236	813
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	12,814	24,847	5,571
6052	Disaster Preparedness and Flood Prevention Bond Fund of 2006	50	98	98
6076	California Ocean Protection Trust Fund	10,139	600	600
6083	Water Quality, Supply, and Infrastructure Improvement Fund of 2014	-	-	9,819
8018	Salton Sea Restoration Fund	2,000	-	-
TOTALS, EXPENDITURES, ALL FUNDS		\$41,898	\$59,031	\$53,160

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000. Education Code Sections 20053, 20080, 20092.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Marine Protected Area Monitoring	\$-	\$-	-	\$-	\$2,500	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• California River Parkways Program - Staffing Extensions	-	-	-	-	220	2.0
• Environmental License Plate Fund Shortfall	-	-2,500	-	-	-	-
Totals, Workload Budget Change Proposals	\$-	-\$2,500	-	\$-	\$2,720	2.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$-	\$130	-	\$-	\$130	-
• Salary Adjustments	-	82	-	-	77	-
• Benefit Adjustments	-	34	-	-	36	-
• SWCAP	-	-	-	-	-	-
• Pro Rata	-	-	-	-	-330	-
• Miscellaneous Baseline Adjustments	-	529	3.0	-	-353	3.0
Totals, Other Workload Budget Adjustments	\$-	\$775	3.0	\$-	-\$440	3.0
Totals, Workload Budget Adjustments	\$-	-\$1,725	3.0	\$-	\$2,280	5.0
Policy Adjustments						
• Water Bond-Proposition 1	\$-	\$-	-	\$-	\$9,819	4.0
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$9,819	4.0
Totals, Budget Adjustments	\$-	-\$1,725	3.0	\$-	\$12,099	9.0

PROGRAM DESCRIPTIONS

0320 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of 26 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, Ocean Protection Council, California Environmental Quality Act, Environmental Enhancement Mitigation Program, River Parkways, Urban Greening, and the California Cultural and Historical Endowment grant programs.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0320	ADMINISTRATION OF NATURAL RESOURCES AGENCY			
State Operations:				
0005	Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund	\$125	\$141	\$52
0140	California Environmental License Plate Fund	4,824	4,561	6,703
0183	Environmental Enhancement and Mitigation Program Fund	247	304	316
0890	Federal Trust Fund	1,603	9,209	9,209
0995	Reimbursements	1,245	598	598
3212	Timber Regulation and Forest Restoration Fund	216	492	505
3237	Cost of Implementation Account, Air Pollution Control Fund	-	533	493
6029	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	594	739	410
6031	Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	931	1,236	813
6051	Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	1,448	3,079	5,571

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006	50	98	98
6076 California Ocean Protection Trust Fund	-	600	600
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014	-	-	519
8018 Salton Sea Restoration Fund	<u>2,000</u>	<u>-</u>	<u>-</u>
Totals, State Operations	\$13,283	\$21,590	\$25,887
Local Assistance:			
0183 Environmental Enhancement and Mitigation Program Fund	\$5,458	\$11,100	\$13,400
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund	719	4,573	4,573
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002	933	-	-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006	11,366	21,768	-
6076 California Ocean Protection Trust Fund	10,139	-	-
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014	-	-	9,300
Totals, Local Assistance	\$28,615	\$37,441	\$27,273
TOTALS, EXPENDITURES			
State Operations	13,283	21,590	25,887
Local Assistance	<u>28,615</u>	<u>37,441</u>	<u>27,273</u>
Totals, Expenditures	\$41,898	\$59,031	\$53,160

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	41.3	47.5	46.0	\$3,657	\$4,072	\$3,916
Total Adjustments	<u>-</u>	<u>3.0</u>	<u>9.0</u>	<u>-</u>	<u>227</u>	<u>241</u>
Net Totals, Salaries and Wages	41.3	50.5	55.0	\$3,657	\$4,299	\$4,157
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,304</u>	<u>1,302</u>	<u>1,376</u>
Totals, Personal Services	41.3	50.5	55.0	\$4,961	\$5,601	\$5,533
OPERATING EXPENSES AND EQUIPMENT				\$6,322	\$13,417	\$17,942
SPECIAL ITEMS OF EXPENSES				<u>2,000</u>	<u>2,572</u>	<u>2,412</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$13,283	\$21,590	\$25,887

2 Local Assistance	<u>Expenditures</u>		
	2013-14*	2014-15*	2015-16*
Grants and Subventions - Governmental	\$28,615	\$37,441	\$27,273
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$28,615	\$37,441	\$27,273

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$131	\$135	\$52
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$131	\$141	\$52
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	\$125	\$141	\$52
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
002 Budget Act appropriation (transfer to the Environmental Enhancement and Mitigation Program Fund)	(-)	(\$7,000)	(\$7,000)
TOTALS, EXPENDITURES	\$-	\$-	\$-
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$9,403	\$4,203
001 Budget Act appropriation as amended by Chapter 354, Statutes of 2013	4,937	-	-
Allocation for Employee Compensation	-	51	-
Allocation for Staff Benefits	-	20	-
Environmental License Plate Fund Shortfall	-	-2,500	-
Section 3.60 Pension Contribution Adjustment	-	87	-
Prior Year Balances Available:			
Item 0540-001-0140, Budget Act of 2014	-	-	2,500
Totals Available	\$4,937	\$7,061	\$6,703
Unexpended balance, estimated savings	-113	-	-
Balance available in subsequent years	-	-2,500	-
TOTALS, EXPENDITURES	\$4,824	\$4,561	\$6,703
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$297	\$316
001 Budget Act appropriation as amended by Chapter 354, Statutes of 2013	304	-	-
Allocation for Employee Compensation	-	2	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	4	-
Totals Available	\$304	\$304	\$316
Unexpended balance, estimated savings	-57	-	-
TOTALS, EXPENDITURES	\$247	\$304	\$316
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,603	\$9,205	\$9,209
Allocation for Employee Compensation	-	1	-
Allocation for Staff Benefits	-	1	-
Section 3.60 Pension Contribution Adjustment	-	2	-
TOTALS, EXPENDITURES	\$1,603	\$9,209	\$9,209
0995 Reimbursements			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Reimbursements	<u>\$1,245</u>	<u>\$598</u>	<u>\$598</u>
TOTALS, EXPENDITURES	\$1,245	\$598	\$598
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$216	\$480	\$505
Allocation for Employee Compensation	-	4	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	<u>-</u>	<u>6</u>	<u>-</u>
TOTALS, EXPENDITURES	\$216	\$492	\$505
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$493
Allocation for Employee Compensation	-	3	-
Allocation for Staff Benefits	-	1	-
Technical Correction to Add 3237 Funding	<u>-</u>	<u>529</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$533	\$493
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,246	\$728	\$410
Allocation for Employee Compensation	-	4	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	<u>-</u>	<u>5</u>	<u>-</u>
Totals Available	\$1,246	\$739	\$410
Unexpended balance, estimated savings	<u>-652</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$594	\$739	\$410
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,975	\$1,207	\$813
Allocation for Employee Compensation	-	10	-
Allocation for Staff Benefits	-	4	-
Section 3.60 Pension Contribution Adjustment	<u>-</u>	<u>15</u>	<u>-</u>
Totals Available	\$1,975	\$1,236	\$813
Unexpended balance, estimated savings	<u>-1,044</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$931	\$1,236	\$813
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,461	\$3,064	\$5,571
Allocation for Employee Compensation	-	5	-
Allocation for Staff Benefits	-	2	-
Section 3.60 Pension Contribution Adjustment	<u>-</u>	<u>8</u>	<u>-</u>
Prior Year Balances Available:			
Item 0540-001-6051, Budget Act of 2009, as reappropriated by Item 0540-490, Budget Act of 2012	<u>2,579</u>	<u>-</u>	<u>-</u>
Totals Available	\$6,040	\$3,079	\$5,571
Unexpended balance, estimated savings	<u>-2,013</u>	<u>-</u>	<u>-</u>
Balance available in subsequent years	<u>-2,579</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,448	\$3,079	\$5,571

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$98	\$98	\$98
Totals Available	\$98	\$98	\$98
Unexpended balance, estimated savings	-48	-	-
TOTALS, EXPENDITURES	\$50	\$98	\$98
6076 California Ocean Protection Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$600	\$600	\$600
Totals Available	\$600	\$600	\$600
Unexpended balance, estimated savings	-600	-	-
TOTALS, EXPENDITURES	\$-	\$600	\$600
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$519
TOTALS, EXPENDITURES	\$-	\$-	\$519
8018 Salton Sea Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,000	-	-
TOTALS, EXPENDITURES	\$2,000	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$13,283	\$21,590	\$25,887
2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund			
Prior Year Balances Available:			
Item 0540-101-0005, Budget Act of 2000, as reappropriated by Item 0540-490, Budget Acts of 2002, 2007, and 2011	192	-	-
Totals Available	\$192	\$-	\$-
Unexpended balance, estimated savings	-192	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$11,100	\$6,700
101 Budget Act appropriation as added by Chapter 354, Statutes of 2013	6,700	-	-
Chapter 359, Statutes of 2013	10,000	-	-
Prior Year Balances Available:			
Item 0540-101-0183, Budget Act of 2013, as added by Chapter 354, Statutes of 2013	-	6,700	6,700
Totals Available	\$16,700	\$17,800	\$13,400
Balance available in subsequent years	-11,242	-6,700	-
TOTALS, EXPENDITURES	\$5,458	\$11,100	\$13,400
6015 River Protection Subaccount			
Prior Year Balances Available:			
Item 0540-101-6015, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Acts of 2007 and 2011	117	-	-
Totals Available	\$117	\$-	\$-
Unexpended balance, estimated savings	-117	-	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
APPROPRIATIONS			
101 Budget Act appropriation	-	\$4,573	\$4,573
Prior Year Balances Available:			
Item 0540-101-6029, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Acts of 2004, 2007, and 2011	964	-	-
Totals Available	\$964	\$4,573	\$4,573
Unexpended balance, estimated savings	-245	-	-
TOTALS, EXPENDITURES	\$719	\$4,573	\$4,573
6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002			
Prior Year Balances Available:			
Item 0540-101-6031, Budget Act of 2005, as reappropriated by Items 0540-491, Budget Act of 2009 and 0540-490, Budget Act of 2011	1	-	-
Item 0540-101-6031, Budget Act of 2006, as reappropriated by Item 0540-490, Budget Act of 2011	553	-	-
Item 0540-101-6031, Budget Act of 2007 as reappropriated by Item 0540-490, Budget Act of 2011	1,385	-	-
Totals Available	\$1,939	\$-	\$-
Unexpended balance, estimated savings	-1,006	-	-
TOTALS, EXPENDITURES	\$933	\$-	\$-
6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006			
Prior Year Balances Available:			
Item 0540-001-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Act of 2011	10,958	-	-
Item 0540-101-6051, Budget Act of 2009	576	-	-
Item 0540-101-6051, Budget Act of 2010 as reappropriated by Item 0540-490, Budget Act of 2013	1,813	-	-
Item 0540-101-6051, Budget Act of 2010 as reappropriated by Item 0540-490, Budget Act of 2013 and 2014	-	768	-
Item 0540-101-6051, Budget Act of 2012	21,000	21,000	-
Totals Available	\$34,347	\$21,768	\$-
Unexpended balance, estimated savings	-217	-	-
Balance available in subsequent years	-22,764	-	-
TOTALS, EXPENDITURES	\$11,366	\$21,768	\$-
6076 California Ocean Protection Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$20,154	-	-
Totals Available	\$20,154	\$-	\$-
Balance available in subsequent years	-10,015	-	-
TOTALS, EXPENDITURES	\$10,139	\$-	\$-
6083 Water Quality, Supply, and Infrastructure Improvement Fund of 2014			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$9,300
TOTALS, EXPENDITURES	\$-	\$-	\$9,300
Total Expenditures, All Funds, (Local Assistance)	\$28,615	\$37,441	\$27,273
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$41,898	\$59,031	\$53,160

FUND CONDITION STATEMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

	2013-14*	2014-15*	2015-16*
0183 Environmental Enhancement and Mitigation Program Fund ^s			
BEGINNING BALANCE	\$16,246	\$20,687	\$21,497
Prior Year Adjustments	<u>3,374</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$19,620	\$20,687	\$21,497
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4151000 Interest Income - Other Loans	-	721	721
4163000 Investment Income - Surplus Money Investments	92	93	93
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-401, Budget Act of 2012	-	4,400	-
Revenue Transfer from State Highway Account, State Transportation Fund (0042) to Environmental Enhancement and Mitigation Program Fund (0183) per Budget Act Item 2660-022-0042.	7,000	7,000	7,000
Total Revenues, Transfers, and Other Adjustments	<u>\$7,092</u>	<u>\$12,214</u>	<u>\$7,814</u>
Total Resources	\$26,712	\$32,901	\$29,311
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	247	304	316
0540 Secretary of the Natural Resources Agency (Local Assistance)	5,458	11,100	13,400
2660 Department of Transportation (Local Assistance)	319	-	-
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>-</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$6,025</u>	<u>\$11,404</u>	<u>\$13,717</u>
FUND BALANCE	\$20,687	\$21,497	\$15,594
Reserve for economic uncertainties	20,687	21,497	15,594
3212 Timber Regulation and Forest Restoration Fund ^s			
BEGINNING BALANCE	\$3,933	\$14,531	\$15,500
Prior Year Adjustments	<u>998</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$4,931	\$14,531	\$15,500
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	34,304	34,000	34,000
4172400 Forest Product Sales	<u>-1,696</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$32,608</u>	<u>\$34,000</u>	<u>\$34,000</u>
Total Resources	\$37,539	\$48,531	\$49,500
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	217	492	505
0860 State Board of Equalization (State Operations)	884	2,257	2,239
3480 Department of Conservation (State Operations)	2,609	3,245	3,450
3540 Department of Forestry and Fire Protection (State Operations)	12,715	15,514	16,647
3600 Department of Fish and Wildlife (State Operations)	4,514	6,777	7,283
3600 Department of Fish and Wildlife (Local Assistance)	-	2,000	2,000
3940 State Water Resources Control Board (State Operations)	2,019	2,725	2,825
8880 Financial Information System for California (State Operations)	<u>50</u>	<u>21</u>	<u>51</u>
Total Expenditures and Expenditure Adjustments	<u>\$23,008</u>	<u>\$33,031</u>	<u>\$35,000</u>
FUND BALANCE	\$14,531	\$15,500	\$14,501
Reserve for economic uncertainties	14,531	15,500	14,501

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0540 Secretary of the Natural Resources Agency - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	41.3	47.5	46.0	\$3,657	\$4,072	\$3,916
Salary and Other Adjustments	-	3.0	3.0	-	227	-200
Proposed New Positions						
Water Bond-Proposition 1						
Assoc Park & Recr Spec (Limited Term 06-30-2023)	-	-	1.0	-	-	69
C.E.A.	-	-	1.0	-	-	109
Coastal Program Analyst II (Limited Term 06-30-2024)	-	-	2.0	-	-	127
TOTALS, PROPOSED NEW POSTIONS	-	-	4.0	\$-	\$-	\$305
Workload and Administrative Adjustments						
California River Parkways Program - Staffing Extensions						
Assoc Park & Recr Spec (Limited Term 06-30-2020)	-	-	2.0	-	-	137
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.0	\$-	\$-	\$137
Totals, Adjustments	-	3.0	9.0	\$-	\$227	\$241
TOTALS, SALARIES AND WAGES	41.3	50.5	55.0	\$3,657	\$4,299	\$4,157

0552 Office of the Inspector General

The Office of the Inspector General (OIG) protects public safety by safeguarding the integrity of California's correctional system. The OIG is responsible for contemporaneous oversight of the California Department of Corrections and Rehabilitation's (CDCR) internal affairs investigations, use of force, and the employee disciplinary process. When requested by the Governor, the Senate Committee on Rules, or the Speaker of the Assembly, the Inspector General reviews the policies, practices, and procedures of the CDCR. The Inspector General reviews the Governor's candidates for appointment to serve as warden for the state's adult correctional institutions and as superintendents for the state's juvenile facilities; conducts metric-oriented inspection programs to periodically review delivery of medical care at each state prison and the delivery of reforms identified in the department's document released in April 2012 entitled "The Future of California Corrections: a blueprint to save billions of dollars, end federal court oversight, and improve the prison system." The OIG receives communications from individuals alleging improper governmental activity and maintains a toll-free public telephone number to receive allegations of wrongdoing by employees of the CDCR; conducts formal reviews of complaints of retaliation from CDCR employees against upper management where a legally cognizable cause of action is present; and reviews the mishandling of sexual abuse incidents within correctional institutions. The OIG provides critical public transparency for the state correctional system by publicly reporting its findings.

In addition, the Public Safety and Offender Rehabilitation Services Act of 2007, Chapter 7, Statutes of 2007, created the California Rehabilitation Oversight Board (Board) within the OIG. The Board's mandate is to examine the CDCR's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets at least twice annually to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0330 Office of the Inspector General	93.1	95.4	95.4	\$14,247	\$17,617	\$17,715
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	93.1	95.4	95.4	\$14,247	\$17,617	\$17,715
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$14,020	\$17,617	\$17,715
0995 Reimbursements				227	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0552 Office of the Inspector General - Continued

FUNDING	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES, ALL FUNDS	\$14,247	\$17,617	\$17,715

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Penal Code, Part III, Title 1, Chapter 3, Section 2641 and Title 7, Chapter 8.2, Sections 6125 to 6141.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$317	\$-	-	\$317	\$-	-
• Salary Adjustments	192	-	-	256	-	-
• Benefit Adjustments	77	-	-	111	-	-
Totals, Other Workload Budget Adjustments	\$586	\$-	-	\$684	\$-	-
Totals, Workload Budget Adjustments	\$586	\$-	-	\$684	\$-	-
Totals, Budget Adjustments	\$586	\$-	-	\$684	\$-	-

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0330	OFFICE OF THE INSPECTOR GENERAL			
	State Operations:			
0001	General Fund	\$14,020	\$17,617	\$17,715
0995	Reimbursements	<u>227</u>	<u>-</u>	<u>-</u>
	Totals, State Operations	\$14,247	\$17,617	\$17,715
	TOTALS, EXPENDITURES			
	State Operations	<u>14,247</u>	<u>17,617</u>	<u>17,715</u>
	Totals, Expenditures	\$14,247	\$17,617	\$17,715

EXPENDITURES BY CATEGORY

	1 State Operations					
	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	93.1	95.4	95.4	\$9,131	\$9,942	\$9,942
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>192</u>	<u>256</u>
Net Totals, Salaries and Wages	93.1	95.4	95.4	\$9,131	\$10,134	\$10,198
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>3,376</u>	<u>4,799</u>	<u>4,833</u>
Totals, Personal Services	93.1	95.4	95.4	\$12,507	\$14,933	\$15,031
OPERATING EXPENSES AND EQUIPMENT				<u>\$1,740</u>	<u>\$2,684</u>	<u>\$2,684</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$14,247	\$17,617	\$17,715
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0552 Office of the Inspector General - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,762	\$17,031	\$17,715
Allocation for Employee Compensation	-	192	-
Allocation for Staff Benefits	-	77	-
Section 3.60 Pension Contribution Adjustment	-	317	-
Totals Available	\$15,762	\$17,617	\$17,715
Unexpended balance, estimated savings	-1,742	-	-
TOTALS, EXPENDITURES	\$14,020	\$17,617	\$17,715
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$227	-	-
TOTALS, EXPENDITURES	\$227	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$14,247	\$17,617	\$17,715

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	93.1	95.4	95.4	\$9,131	\$9,942	\$9,942
Salary and Other Adjustments	-	-	-	-	192	256
Totals, Adjustments	-	-	-	\$-	\$192	\$256
TOTALS, SALARIES AND WAGES	93.1	95.4	95.4	\$9,131	\$10,134	\$10,198

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet level agency responsible for protecting human health and the environment. The agency's programs restore, protect, and enhance environmental quality, and promote public health. The Office of the Secretary coordinates the state's environmental regulatory programs and promotes the state's economic vitality. The Secretary also administers environmental justice, environmental law enforcement, emergency preparedness and response, Certified Unified Program Agencies, and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary also centralizes coordination of California-Mexico border environmental efforts.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0340 Support	62.2	69.0	69.0	\$12,451	\$20,603	\$19,148
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	62.2	69.0	69.0	\$12,451	\$20,603	\$19,148
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$1,833	\$1,906	\$1,908
0014 Hazardous Waste Control Account				327	346	353
0028 Unified Program Account				2,066	4,725	4,455
0044 Motor Vehicle Account, State Transportation Fund				1,694	1,929	1,976
0106 Department of Pesticide Regulation Fund				852	866	887
0115 Air Pollution Control Fund				998	1,001	994
0193 Waste Discharge Permit Fund				341	1,831	331
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund				59	64	67

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0555 Secretary for Environmental Protection - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0387 Integrated Waste Management Account, Integrated Waste Management Fund	152	123	123
0439 Underground Storage Tank Cleanup Fund	884	908	931
0679 State Water Quality Control Fund	232	189	189
0890 Federal Trust Fund	395	1,888	1,888
0995 Reimbursements	1,818	2,047	2,047
1006 Rural CUPA Reimbursement Account	-55	-	-
3058 Water Rights Fund	38	37	38
3237 Cost of Implementation Account, Air Pollution Control Fund	562	611	658
8013 Environmental Enforcement and Training Account	255	2,132	2,303
TOTALS, EXPENDITURES, ALL FUNDS	\$12,451	\$20,603	\$19,148

LEGAL CITATIONS AND AUTHORITY

Governor's Reorganization Plan No. 1 of 1991; Government Code Section 12812.6; Health and Safety Code, Division 37, Section 57000 et seq.; Public Resources Code Sections 71000 and 71110; and Governor's Reorganization Plan No. 2 of 2012.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Salary Adjustments	\$30	\$202	-	\$30	\$207	-
• Retirement Rate Adjustments	21	133	-	21	134	-
• Benefit Adjustments	11	72	-	13	79	-
• Pro Rata	-	-	-	-	31	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-1,500	-
Totals, Other Workload Budget Adjustments	\$62	\$407	-	\$64	-\$1,049	-
Totals, Workload Budget Adjustments	\$62	\$407	-	\$64	-\$1,049	-
Totals, Budget Adjustments	\$62	\$407	-	\$64	-\$1,049	-

PROGRAM DESCRIPTIONS**0340 - SUPPORT PROGRAM**

The Secretary for Environmental Protection's primary program objectives are restoring, protecting, and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state's environmental regulatory programs with particular emphasis on ensuring "cross-media" coordination, enhancing risk assessment (especially for disadvantaged communities and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

DETAILED EXPENDITURES BY PROGRAM

	2013-14*	2014-15*	2015-16*
PROGRAM REQUIREMENTS			
0340 SUPPORT			
State Operations:			
0001 General Fund	\$1,833	\$1,906	\$1,908
0014 Hazardous Waste Control Account	327	346	353
0028 Unified Program Account	2,066	4,725	4,455
0044 Motor Vehicle Account, State Transportation Fund	1,694	1,929	1,976

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0555 Secretary for Environmental Protection - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0106 Department of Pesticide Regulation Fund	852	866	887
0115 Air Pollution Control Fund	998	1,001	994
0193 Waste Discharge Permit Fund	341	1,831	331
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund	59	64	67
0387 Integrated Waste Management Account, Integrated Waste Management Fund	152	123	123
0439 Underground Storage Tank Cleanup Fund	884	908	931
0679 State Water Quality Control Fund	232	189	189
0890 Federal Trust Fund	395	1,888	1,888
0995 Reimbursements	1,818	2,047	2,047
1006 Rural CUPA Reimbursement Account	-55	-	-
3058 Water Rights Fund	38	37	38
3237 Cost of Implementation Account, Air Pollution Control Fund	562	611	658
8013 Environmental Enforcement and Training Account	<u>255</u>	<u>2,132</u>	<u>2,303</u>
Totals, State Operations	\$12,451	\$20,603	\$19,148
TOTALS, EXPENDITURES			
State Operations	<u>12,451</u>	<u>20,603</u>	<u>19,148</u>
Totals, Expenditures	\$12,451	\$20,603	\$19,148

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	<u>62.2</u>	<u>69.0</u>	<u>69.0</u>	<u>\$4,569</u>	<u>\$5,287</u>	<u>\$5,287</u>
Net Totals, Salaries and Wages	62.2	69.0	69.0	\$4,569	\$5,287	\$5,287
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,420</u>	<u>1,654</u>	<u>1,668</u>
Totals, Personal Services	62.2	69.0	69.0	\$5,989	\$6,941	\$6,955
OPERATING EXPENSES AND EQUIPMENT				\$6,462	\$8,735	\$8,766
SPECIAL ITEMS OF EXPENSES				<u>-</u>	<u>4,927</u>	<u>3,427</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$12,451	\$20,603	\$19,148

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,007	\$1,009	\$1,073
Allocation for Employee Compensation	-	30	-
Allocation for Staff Benefits	-	11	-
Section 3.60 Pension Contribution Adjustment	-	21	-
011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account)	<u>835</u>	<u>835</u>	<u>835</u>
Totals Available	\$1,842	\$1,906	\$1,908
Unexpended balance, estimated savings	<u>-9</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,833	\$1,906	\$1,908

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$332	\$324	\$353
Allocation for Employee Compensation	-	12	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	7	-
Totals Available	\$332	\$346	\$353
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$327	\$346	\$353
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,363	\$4,649	\$4,455
Allocation for Employee Compensation	-	32	-
Allocation for Staff Benefits	-	15	-
Miscellaneous Baseline Adjustments	-	-1	-
Section 3.60 Pension Contribution Adjustment	-	30	-
Totals Available	\$4,363	\$4,725	\$4,455
Unexpended balance, estimated savings	-2,297	-	-
TOTALS, EXPENDITURES	\$2,066	\$4,725	\$4,455
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,882	\$1,827	\$1,976
Allocation for Employee Compensation	-	51	-
Allocation for Staff Benefits	-	17	-
Section 3.60 Pension Contribution Adjustment	-	34	-
Totals Available	\$1,882	\$1,929	\$1,976
Unexpended balance, estimated savings	-188	-	-
TOTALS, EXPENDITURES	\$1,694	\$1,929	\$1,976
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$853	\$817	\$887
Allocation for Employee Compensation	-	24	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	16	-
Totals Available	\$853	\$866	\$887
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$852	\$866	\$887
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,024	\$970	\$994
Allocation for Employee Compensation	-	14	-
Allocation for Staff Benefits	-	5	-
Section 3.60 Pension Contribution Adjustment	-	12	-
Totals Available	\$1,024	\$1,001	\$994
Unexpended balance, estimated savings	-26	-	-
TOTALS, EXPENDITURES	\$998	\$1,001	\$994
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
001 Budget Act appropriation	\$342	\$1,800	\$331
Allocation for Employee Compensation	-	12	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	-	16	-
Totals Available	\$342	\$1,831	\$331
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$341	\$1,831	\$331
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$59	\$57	\$67
Allocation for Employee Compensation	-	6	-
Allocation for Staff Benefits	-	1	-
TOTALS, EXPENDITURES	\$59	\$64	\$67
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$157	\$112	\$123
Allocation for Employee Compensation	-	8	-
Allocation for Staff Benefits	-	3	-
Totals Available	\$157	\$123	\$123
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$152	\$123	\$123
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$887	\$861	\$931
Allocation for Employee Compensation	-	26	-
Allocation for Staff Benefits	-	9	-
Section 3.60 Pension Contribution Adjustment	-	12	-
Totals Available	\$887	\$908	\$931
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$884	\$908	\$931
0679 State Water Quality Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$233	\$178	\$189
Allocation for Employee Compensation	-	8	-
Allocation for Staff Benefits	-	3	-
Totals Available	\$233	\$189	\$189
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$232	\$189	\$189
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$395	\$1,888	\$1,888
TOTALS, EXPENDITURES	\$395	\$1,888	\$1,888
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,818	\$2,047	\$2,047
TOTALS, EXPENDITURES	\$1,818	\$2,047	\$2,047
1006 Rural CUPA Reimbursement Account			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0555 Secretary for Environmental Protection - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
001 Budget Act appropriation	<u>\$835</u>	<u>\$835</u>	<u>\$835</u>
Totals Available	\$835	\$835	\$835
Unexpended balance, estimated savings	<u>-55</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$780	\$835	\$835
Less funding provided by General Fund	<u>-835</u>	<u>-835</u>	<u>-835</u>
NET TOTALS, EXPENDITURES	\$-55	\$-	\$-
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$39</u>	<u>\$37</u>	<u>\$38</u>
Totals Available	\$39	\$37	\$38
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$38	\$37	\$38
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$591	\$591	\$658
Allocation for Employee Compensation	-	10	-
Allocation for Staff Benefits	-	3	-
Section 3.60 Pension Contribution Adjustment	<u>-</u>	<u>7</u>	<u>-</u>
Totals Available	\$591	\$611	\$658
Unexpended balance, estimated savings	<u>-29</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$562	\$611	\$658
8013 Environmental Enforcement and Training Account			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2,132</u>	<u>\$2,132</u>	<u>\$2,303</u>
Totals Available	\$2,132	\$2,132	\$2,303
Unexpended balance, estimated savings	<u>-1,877</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$255	\$2,132	\$2,303
Total Expenditures, All Funds, (State Operations)	\$12,451	\$20,603	\$19,148

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0028 Unified Program Account ^s			
BEGINNING BALANCE	\$6,618	\$10,939	\$9,433
Prior Year Adjustments	<u>-191</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$6,427	\$10,939	\$9,433
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	9,381	6,666	6,666
4163000 Investment Income - Surplus Money Investments	1	1	1
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	<u>5</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$9,387</u>	<u>\$6,667</u>	<u>\$6,667</u>
Total Resources	\$15,813	\$17,606	\$16,100
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	2,068	4,725	4,455
0690 Office of Emergency Services (State Operations)	626	817	826
0840 State Controller (State Operations)	1	-	-
3540 Department of Forestry and Fire Protection (State Operations)	452	678	734

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0555 Secretary for Environmental Protection - Continued

	2013-14*	2014-15*	2015-16*
3940 State Water Resources Control Board (State Operations)	459	608	608
3960 Department of Toxic Substances Control (State Operations)	1,066	1,186	1,196
3980 Office of Environmental Health Hazard Assessment (State Operations)	143	152	153
8880 Financial Information System for California (State Operations)	<u>60</u>	<u>7</u>	<u>14</u>
Total Expenditures and Expenditure Adjustments	<u>\$4,874</u>	<u>\$8,173</u>	<u>\$7,987</u>
FUND BALANCE	\$10,939	\$9,433	\$8,114
Reserve for economic uncertainties	10,939	9,433	8,114
1006 Rural CUPA Reimbursement Account [§]			
BEGINNING BALANCE	\$268	\$1,634	\$1,634
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$266	\$1,634	\$1,634
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4150500 Interest Income - Interfund Loans	14	-	-
Transfers and Other Adjustments			
Loan Repayment From the General Fund (0001) to the Rural CUPA Reimbursement Account (1006) per Item 0555-011-1006, Budget Act of 2011	1,300	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1,314</u>	<u>-</u>	<u>-</u>
Total Resources	\$1,579	\$1,634	\$1,634
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0555 Secretary for Environmental Protection (State Operations)	780	835	835
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-835</u>	<u>-835</u>	<u>-835</u>
Total Expenditures and Expenditure Adjustments	<u>\$-55</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$1,634	\$1,634	\$1,634
Reserve for economic uncertainties	1,634	1,634	1,634

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address issues relating to California workers and their employers. The Agency is responsible for labor law enforcement, workforce development, and benefit payment and adjudication. The Agency works to combat the underground economy and help legitimate businesses and workers in California through a combination of enforcement and education activities.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0350 Office of the Secretary of Labor and Workforce Development	11.5	11.0	11.0	\$2,227	\$2,360	\$2,416
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	11.5	11.0	11.0	\$2,227	\$2,360	\$2,416
FUNDING				2013-14*	2014-15*	2015-16*
0995 Reimbursements				\$1,996	\$2,091	\$2,092
3078 Labor and Workforce Development Fund				<u>231</u>	<u>269</u>	<u>324</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$2,227	\$2,360	\$2,416

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0559 Secretary for Labor and Workforce Development Agency - Continued

DEPARTMENT AUTHORITY

Government Code Sections 12800 and 12813.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Pro Rata	\$-	\$-	-	\$-	\$55	-
• Retirement Rate Adjustments	-	34	-	-	34	-
• Salary Adjustments	-	21	-	-	21	-
• Benefit Adjustments	-	8	-	-	9	-
Totals, Other Workload Budget Adjustments	\$-	\$63	-	\$-	\$119	-
Totals, Workload Budget Adjustments	\$-	\$63	-	\$-	\$119	-
Totals, Budget Adjustments	\$-	\$63	-	\$-	\$119	-

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0350	OFFICE OF THE SECRETARY OF LABOR AND WORKFORCE DEVELOPMENT			
State Operations:				
0995	Reimbursements	1,996	2,091	2,092
3078	Labor and Workforce Development Fund	231	269	324
Totals, State Operations		\$2,227	\$2,360	\$2,416
TOTALS, EXPENDITURES				
State Operations		2,227	2,360	2,416
Totals, Expenditures		\$2,227	\$2,360	\$2,416

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	11.5	11.0	11.0	\$1,124	\$1,067	\$1,067
Total Adjustments	-	-	-	-	21	21
Net Totals, Salaries and Wages	11.5	11.0	11.0	\$1,124	\$1,088	\$1,088
Staff Benefits	-	-	-	389	431	432
Totals, Personal Services	11.5	11.0	11.0	\$1,513	\$1,519	\$1,520
OPERATING EXPENSES AND EQUIPMENT						
				\$714	\$841	\$896
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,227	\$2,360	\$2,416

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0559 Secretary for Labor and Workforce Development Agency - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$1,996</u>	<u>\$2,091</u>	<u>\$2,092</u>
TOTALS, EXPENDITURES	\$1,996	\$2,091	\$2,092
3078 Labor and Workforce Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$232</u>	<u>\$269</u>	<u>\$324</u>
Totals Available	\$232	\$269	\$324
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$231	\$269	\$324
Total Expenditures, All Funds, (State Operations)	\$2,227	\$2,360	\$2,416

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
3078 Labor and Workforce Development Fund ^s			
BEGINNING BALANCE	\$9,289	\$10,013	\$8,522
Prior Year Adjustments	<u>-31</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$9,258	\$10,013	\$8,522
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173000 Penalty Assessments - Other	5,188	2	3
4173500 Settlements and Judgments - Other	<u>492</u>	<u>4,250</u>	<u>4,250</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,680</u>	<u>\$4,252</u>	<u>\$4,253</u>
Total Resources	\$14,938	\$14,265	\$12,775
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0559 Secretary for Labor and Workforce Development Agency (State Operations)	232	269	324
7300 Agricultural Labor Relations Board (State Operations)	1,000	1,093	1,167
7350 Department of Industrial Relations (State Operations)	3,668	4,377	4,543
8880 Financial Information System for California (State Operations)	<u>26</u>	<u>4</u>	<u>10</u>
Total Expenditures and Expenditure Adjustments	<u>\$4,926</u>	<u>\$5,743</u>	<u>\$6,044</u>
FUND BALANCE	\$10,013	\$8,522	\$6,731
Reserve for economic uncertainties	10,013	8,522	6,731

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	11.5	11.0	11.0	\$1,124	\$1,067	\$1,067
Salary and Other Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>21</u>	<u>21</u>
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$21</u>	<u>\$21</u>
TOTALS, SALARIES AND WAGES	11.5	11.0	11.0	\$1,124	\$1,088	\$1,088

0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, groundwater sustainability and drought response, and resource protection. OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. OPR houses and supports the Strategic Growth Council. In addition, OPR houses California Volunteers, a program aimed to increase the number and impact of Californians involved with service and volunteering throughout the state, and runs the State Clearinghouse, coordinating CEQA filings and state

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0650 Office of Planning and Research - Continued

compliance issues.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0360 State Planning & Policy Development	15.3	16.1	16.1	\$2,881	\$11,465	\$3,143
0365 California Volunteers	21.1	34.6	34.6	25,391	31,629	31,662
0370 Strategic Growth Council	-	6.0	8.0	-	130,025	201,199
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	36.4	56.7	58.7	\$28,272	\$173,119	\$236,004
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$2,726	\$10,993	\$2,677
0890 Federal Trust Fund				23,533	27,845	27,876
0995 Reimbursements				1,731	3,960	3,962
3228 Greenhouse Gas Reduction Fund				-	130,025	201,199
9740 Central Service Cost Recovery Fund				282	296	290
TOTALS, EXPENDITURES, ALL FUNDS				\$28,272	\$173,119	\$236,004

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Fish and Game Code Section 711.4; Government Code Sections: 4530-4535.3, 13073.5, 17525, 65025-65049, 65352, 65352.3, 65940, and 65944; Health and Safety Code Sections: 25199.4, 25199.7, and 50901; Public Resources Code Sections: 5024.5, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21094.5.5, 21108, 21152.1, 21153, 21159.9(c), 21161, 21162, 21165, and 75121; California Code of Regulations: Title 2, Section 1896.38; Title 14, Sections: 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3, and 16000-16041.

MAJOR PROGRAM CHANGES

- Sustainable Communities Program- The Budget proposes an additional \$70.8 million to support efforts related to sustainable development, the Strategic Growth Council, the state's greenhouse gas emissions reduction goals, and to provide assistance to local and regional governments in support of these goals.
- Chaptered Legislation-Pursuant to Chapter 678 of the statutes of 2014, the Budget reflects \$75,000 General Fund for the Governor to designate three state agencies to participate in a pilot program to establish and administer an innovation contest.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Strategic Growth Council: 2015-16 Affordable Housing and Sustainable Communities Program Adjustment	\$-	\$-	-	\$-	\$200,000	-
• Strategic Growth Council: Sustainable Communities Program Workload	-	-	-	-	255	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$200,255	2.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$23	\$61	-	\$23	\$61	-
• Salary Adjustments	23	59	-	22	60	-
• Benefit Adjustments	2	4	-	3	7	-
• Pro Rata	-	-	-	-	120	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0650 Office of Planning and Research - Continued

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• SWCAP	-	-	-	-	31	-
• Legislation with an Appropriation	75	-	-	-	-	-
• Miscellaneous Baseline Adjustments	-500	-5,000	-	-8,743	-129,208	-
Totals, Other Workload Budget Adjustments	-\$377	-\$4,876	-	-\$8,695	-\$128,929	-
Totals, Workload Budget Adjustments	-\$377	-\$4,876	-	-\$8,695	\$71,326	2.0
Totals, Budget Adjustments	-\$377	-\$4,876	-	-\$8,695	\$71,326	2.0

PROGRAM DESCRIPTIONS

0360 - STATE PLANNING AND POLICY DEVELOPMENT

The major activities of OPR include: (1) recommending and implementing state policies on land-use and growth planning; (2) coordinating the implementation of the California Environmental Quality Act, including: (a) operating the State Clearinghouse; (b) maintaining an online database for the electronic submission and archiving of CEQA documents; (c) developing CEQA guidelines; (d) providing technical assistance; (e) streamlining permitting; and (f) providing training; (3) supporting the Governor's Advisor for Military Affairs and Governor's Military Council; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to local governments on land use planning and compliance with environmental statutes and regulations; (6) preparing guidelines to local agency formation commissions; (7) supporting drought response efforts; (8) supporting Water Action Plan work; (9) supporting multiple efforts to address climate change and response to carbon pollution; and (10) conducting other activities as the Governor may direct.

0365 - CALIFORNIA VOLUNTEERS

California Volunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. California Volunteers administers programs such as AmeriCorps and Disaster Volunteering and Preparedness, guides policy development to support the nonprofit and service fields, and leads the Service Enterprise Initiative, which empowers a nonprofit to more efficiently engage volunteers and effectively address community needs. Through the efforts of California Volunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

0370 - STRATEGIC GROWTH COUNCIL

The Council coordinates interagency efforts and partners with local and regional government stakeholders to promote sustainable development, improving air and water quality, protecting natural resources and agricultural lands, and reducing greenhouse gas emissions. The Council administers the Affordable Housing and Sustainable Communities Program, developing guidelines, reviewing applications, and providing funding as part of greenhouse gas reduction efforts associated with cap and trade funds. The Council is charged with review of the California 5-Year Infrastructure plan and with making grants and loans to institutions for planning and implementing land uses that achieve the goals of the State's Planning Priorities. The Council oversees the Administration's Health in All Policies program, sponsors research on infill development and other planning issues and is the administrator for the Greenhouse Gas Reduction Investment program for Affordable Housing and Sustainable Communities.

DETAILED EXPENDITURES BY PROGRAM

		2013-14*	2014-15*	2015-16*
PROGRAM REQUIREMENTS				
0360	STATE PLANNING & POLICY DEVELOPMENT			
	State Operations:			
0001	General Fund	\$2,026	\$5,175	\$1,858
0890	Federal Trust Fund	107	-	-
0995	Reimbursements	466	994	995
9740	Central Service Cost Recovery Fund	282	296	290
	Totals, State Operations	\$2,881	\$6,465	\$3,143
	Local Assistance:			
0001	General Fund	\$-	\$5,000	\$-
	Totals, Local Assistance	\$-	\$5,000	\$-
PROGRAM REQUIREMENTS				

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0650 Office of Planning and Research - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0365 CALIFORNIA VOLUNTEERS				
	State Operations:			
0001	General Fund	\$700	\$818	\$819
0890	Federal Trust Fund	1,421	1,845	1,876
0995	Reimbursements	<u>1,265</u>	<u>2,966</u>	<u>2,967</u>
	Totals, State Operations	\$3,386	\$5,629	\$5,662
	Local Assistance:			
0890	Federal Trust Fund	<u>\$22,005</u>	<u>\$26,000</u>	<u>\$26,000</u>
	Totals, Local Assistance	\$22,005	\$26,000	\$26,000
	PROGRAM REQUIREMENTS			
0370 STRATEGIC GROWTH COUNCIL				
	State Operations:			
3228	Greenhouse Gas Reduction Fund	<u>\$-</u>	<u>\$824</u>	<u>\$1,199</u>
	Totals, State Operations	\$-	\$824	\$1,199
	Local Assistance:			
3228	Greenhouse Gas Reduction Fund	<u>\$-</u>	<u>\$129,201</u>	<u>\$200,000</u>
	Totals, Local Assistance	\$-	\$129,201	\$200,000
	TOTALS, EXPENDITURES			
	State Operations	6,267	12,918	10,004
	Local Assistance	<u>22,005</u>	<u>160,201</u>	<u>226,000</u>
	Totals, Expenditures	\$28,272	\$173,119	\$236,004

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	36.4	56.7	56.7	\$2,528	\$3,161	\$3,161
Total Adjustments	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>-</u>	<u>62</u>	<u>182</u>
Net Totals, Salaries and Wages	36.4	56.7	58.7	\$2,528	\$3,223	\$3,343
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>843</u>	<u>1,168</u>	<u>1,246</u>
Totals, Personal Services	36.4	56.7	58.7	\$3,371	\$4,391	\$4,589
OPERATING EXPENSES AND EQUIPMENT				\$2,491	\$8,527	\$5,415
SPECIAL ITEMS OF EXPENSES				<u>405</u>	<u>-</u>	<u>-</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$6,267	\$12,918	\$10,004

2 Local Assistance

	Expenditures		
	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Grants and Subventions - Governmental	<u>\$22,005</u>	<u>\$160,201</u>	<u>\$226,000</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	22,005	160,201	226,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0650 Office of Planning and Research - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
001 Budget Act appropriation	\$2,128	\$5,122	\$2,177
Allocation for employee compensation	-	22	-
Allocation for staff benefits	-	1	-
Chaptered Legislation (AB 2138) CH. 678/14	-	75	-
Section 3.60 pension contribution adjustment	-	23	-
Chapter 47, Statutes of 2013	1,999	1,250	500
Align Expenditures per Chapter 47, Statutes of 2013	-	-500	-
Totals Available	\$4,127	\$5,993	\$2,677
Unexpended balance, estimated savings	-156	-	-
Balance available in subsequent years	-1,245	-	-
TOTALS, EXPENDITURES	\$2,726	\$5,993	\$2,677
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,528	\$1,820	\$1,876
Allocation for employee compensation	-	12	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	12	-
TOTALS, EXPENDITURES	\$1,528	\$1,845	\$1,876
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,731	\$3,960	\$3,962
TOTALS, EXPENDITURES	\$1,731	\$3,960	\$3,962
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$799	\$1,199
Allocation for employee compensation	-	12	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	12	-
TOTALS, EXPENDITURES	\$-	\$824	\$1,199
3259 Recidivism Reduction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$5,000	-
One-Time Cost Adjustment (Recidivism Reduction Plan)	-	-5,000	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$282	\$288	\$290
Allocation for employee compensation	-	4	-
Section 3.60 pension contribution adjustment	-	4	-
TOTALS, EXPENDITURES	\$282	\$296	\$290
Total Expenditures, All Funds, (State Operations)	\$6,267	\$12,918	\$10,004

2 LOCAL ASSISTANCE

0001 General Fund	2013-14*†	2014-15*	2015-16*
APPROPRIATIONS			
101 Budget Act appropriation	-	\$5,000	-
TOTALS, EXPENDITURES	\$-	\$5,000	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0650 Office of Planning and Research - Continued

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$22,005</u>	<u>\$26,000</u>	<u>\$26,000</u>
TOTALS, EXPENDITURES	\$22,005	\$26,000	\$26,000
3228 Greenhouse Gas Reduction Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$129,201	-
Health and Safety Code section 39719 (b) (1) (C)	<u>-</u>	<u>-</u>	<u>200,000</u>
TOTALS, EXPENDITURES	\$-	\$129,201	\$200,000
Total Expenditures, All Funds, (Local Assistance)	<u>\$22,005</u>	<u>\$160,201</u>	<u>\$226,000</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$28,272	\$173,119	\$236,004

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	36.4	56.7	56.7	\$2,528	\$3,161	\$3,161
Salary and Other Adjustments	-	-	-	-	62	62
Workload and Administrative Adjustments						
Strategic Growth Council: Sustainable Communities Program Workload						
Assoc Intergovtl Program Analyst	-	-	1.0	-	-	50
Sr Intergovtl Program Analyst	<u>-</u>	<u>-</u>	<u>1.0</u>	<u>-</u>	<u>-</u>	<u>70</u>
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.0	\$-	\$-	\$120
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>\$-</u>	<u>\$62</u>	<u>\$182</u>
TOTALS, SALARIES AND WAGES	36.4	56.7	58.7	\$2,528	\$3,223	\$3,343

0690 Office of Emergency Services

The principal objective of the Office of Emergency Services (OES) is to protect lives and property, build capabilities, and support our communities for a resilient California. The OES serves the public through effective collaboration in preparing for, protecting against, responding to, recovering from, and mitigating the impacts of all hazards and threats.

On a day-to-day basis, the OES provides leadership, assistance, training, and support to state and local agencies and coordinates with federal agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The OES's plans and programs are coordinated with those of the federal government, other states, private sector, utilities, and state and local agencies within California.

During an emergency, the OES functions as the Governor's immediate staff to provide guidance and coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, the OES is responsible for the development and coordination of a comprehensive state strategy related to all hazards, including terrorism, which includes prevention, preparedness, mitigation, response, and recovery.

Further, the OES improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies, and the private sector for homeland security, public safety, and victim services.

Since department programs drive the need for infrastructure investment, the OES has a related capital outlay program to support this need. For the specifics on the OES' Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0380 Emergency Management Services	180.7	197.0	197.0	\$39,079	\$61,753	\$60,875
0385 Special Programs and Grant Management	193.5	231.1	230.6	634,093	1,192,698	1,193,093
0395 Public Safety Communications	372.1	398.4	398.4	146,853	184,317	189,893
9900100 Administration	118.0	124.4	124.4	13,472	17,173	17,185
9900200 Administration - Distributed	-	-	-	-13,470	-17,175	-17,185
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	864.3	950.9	950.4	\$820,027	\$1,438,766	\$1,443,861
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$98,639	\$108,730	\$108,409
0022 State Emergency Telephone Number Account				79,191	111,066	111,160
0028 Unified Program Account				625	817	826
0029 Nuclear Planning Assessment Special Account				4,453	5,276	5,001
0214 Restitution Fund				519	508	1,008
0241 Local Public Prosecutors and Public Defenders Training Fund				838	882	883
0425 Victim - Witness Assistance Fund				18,547	18,718	18,733
0437 State Assistance For Fire Equipment Account				5	100	100
0890 Federal Trust Fund				446,285	1,001,306	1,000,017
0995 Reimbursements				2,216	4,383	4,385
3034 Antiterrorism Fund				317	730	782
3112 Equality in Prevention and Services for Domestic Abuse Fund				98	103	76
3260 Regional Railroad Accident Preparedness and Immediate Response Fund				-	10,000	10,000
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				100,632	102,689	102,690
8039 Disaster Resistant Communities Account				-	207	207
8069 Child Victims of Human Trafficking Fund				-	-	451
8084 American Red Cross, California Chapters Fund				-	-	400
9730 Technology Services Revolving Fund				67,662	73,251	78,733
TOTALS, EXPENDITURES, ALL FUNDS				\$820,027	\$1,438,766	\$1,443,861

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7; Government Code, Title 5, Division 2, Part 1, Chapter 1, Article 6; Penal Code, Part 4, Title 6, Chapter 3.

MAJOR PROGRAM CHANGES

- The Budget proposes \$10 million Regional Railroad Accident Preparedness and Immediate Response Fund for the Office of Emergency Services to coordinate with local agencies to better prepare for, and respond to, emergencies involving hazardous materials transported by railroad tank car.
- The Budget proposes \$4.4 million General Fund for the State Operations Center to provide communities with technical guidance and disaster recovery support related to the drought.
- The Budget proposes \$500,000 Restitution Fund to augment the Internet Crimes Against Children Program.
- The Budget proposes \$451,000 Child Victims of Human Trafficking Fund to provide Child Victims of Human Trafficking Fund grants pursuant to Chapter 465 of Statutes of 2011.
- Chaptered Legislation-The Budget proposes \$400,000 American Red Cross, California Chapters Fund to provide American Red Cross, California Chapter Fund revenues collected pursuant to Chapter 451, Statutes of 2013, to the California Chapters of the American Red Cross.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Drought Emergency - State Operations Center	\$-	\$-	-	\$4,372	\$-	-
• Reflect Regional Railroad Accident Preparedness and Immediate Response Fund Appropriation, per Chapter 663, Statutes of 2014	-	10,000	-	-	10,000	-
• Reflect Available Child Victims of Human Trafficking Fund Voluntary Contributions	-	-	-	-	451	-
• Reflect Available American Red Cross - California Chapters Fund Voluntary Contributions	-	-	-	-	400	-
Totals, Workload Budget Change Proposals	\$-	\$10,000	-	\$4,372	\$10,851	-
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$429	\$1,419	-	\$430	\$1,420	-
• Salary Adjustments	247	945	-	240	759	-
• Benefit Adjustments	18	66	-	30	113	-
• Pro Rata	-	-	-	-	5,825	-
• Carryover/Reappropriation	331	347	-	-	-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-469	-
• SWCAP	-	-	-	-	-771	-
• Lease Revenue Debt Service Adjustment	-20	-	-	-19	-	-
Totals, Other Workload Budget Adjustments	\$1,005	\$2,777	-	\$681	\$6,877	-
Totals, Workload Budget Adjustments	\$1,005	\$12,777	-	\$5,053	\$17,728	-
Policy Adjustments						
• Internet Crimes Against Children Program Augmentation	\$-	\$-	-	\$-	\$500	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$500	-
Totals, Budget Adjustments	\$1,005	\$12,777	-	\$5,053	\$18,228	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

Program 0385-Criminal Justice Projects, Local Assistance

Component	Program Name	Source of Funds	Actual Expenditures 2013-14	Proposed Expenditures 2014-15	Proposed Expenditures 2015-16
Victim Services					
40.20.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	\$12,671	\$-	\$-
40.20.102	Victims' Legal Resource Center	0001 General Fund	37	-	-
40.20.151	Domestic Violence ¹	0001 General Fund	20,602	-	-
40.20.151	Family Violence Prevention	0890 Federal Trust Fund	6,748	-	-
40.20.152	Family Violence Prevention	0001 General Fund	45	-	-
40.20.161	Violence Against Women Act	0890 Federal Trust Fund	11,884	-	-
40.20.301	Rape Crisis ¹	0001 General Fund	45	-	-
40.20.301	Rape Crisis ¹	0425 Victim-Witness Assist Fund	3,670	-	-
40.20.351	Homeless Youth	0001 General Fund	356	-	-
40.20.352	Youth Emergency Telephone Refer	0001 General Fund	114	-	-
40.20.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	974	-	-
40.20.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	272	-	-
40.20.451	Victims of Crime Act	0890 Federal Trust Fund	45,838	-	-
40.20.902	Child Justice Act	0890 Federal Trust Fund	1,619	-	-
40.20.906	Equality in Prevention & Serv.	3112 EPSDA	98	-	-
Victim Services Total			\$104,973	\$-	\$-
Public Safety					
40.30.504	Project Safe Neighborhoods	0890 Federal Trust Fund	\$474	\$-	\$-
40.30.523	Forensic Science Improvement Act	0890 Federal Trust Fund	1,652	-	-
40.30.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	799	-	-
40.30.559	Peace Officer Protective Equipment	0890 Federal Trust Fund	13	-	-
40.30.560	Justice Assistance Grant	0890 Federal Trust Fund	1,356	-	-
40.30.908	Internet Crimes Against Children	0214 Restitution Fund	500	-	-
Public Safety Total			\$4,794	\$-	\$-
Public Safety / Victim Services					
0385.101	Victim-Witness Assistance	0425 Victim-Witness Assist Fund	\$-	\$12,671	\$12,671
0385.102	Victims' Legal Resource Center	0001 General Fund	-	37	37
0385.151	Domestic Violence ¹	0001 General Fund	-	20,602	20,602
0385.151	Family Violence Prevention	0890 Federal Trust Fund	-	8,100	8,100
0385.152	Family Violence Prevention	0001 General Fund	-	45	45
0385.161	Violence Against Women Act	0890 Federal Trust Fund	-	13,650	13,650
0385.301	Rape Crisis ¹	0001 General Fund	-	45	45
0385.301	Rape Crisis ¹	0425 Victim-Witness Assist Fund	-	3,670	3,670
0385.351	Homeless Youth	0001 General Fund	-	356	356
0385.352	Youth Emergency Telephone Refer	0001 General Fund	-	114	114
0385.353	Child Sexual Abuse & Exploitation	0425 Victim-Witness Assist Fund	-	978	978
0385.354	Child Sexual Abuse Prevention/Trng	0001 General Fund	-	272	272
0385.451	Victims of Crime Act	0890 Federal Trust Fund	-	48,000	48,000
0385.504	Project Safe Neighborhoods	0890 Federal Trust Fund	-	700	700
0385.523	Forensic Science Improvement Act	0890 Federal Trust Fund	-	2,500	2,500
0385.541	Public Pros/Pub Defender Training	0241 Local Pub Pros/Def Trng Fund	-	799	799
0385.559	Peace Officer Protective Equipment	0890 Federal Trust Fund	-	1,300	1,300
0385.560	Justice Assistance Grant	0890 Federal Trust Fund	-	500	-
0385.902	Child Justice Act	0890 Federal Trust Fund	-	1,800	1,800
0385.906	Equality in Prevention & Serv.	3112 EPSDA	-	98	71
0385.908	Internet Crimes Against Children	0214 Restitution Fund	-	500	1,000
0385.910	American Red Cross	8064 American Red Cross, CC Fund	-	-	400
0385.911	Child Victims of Human Trafficking	8069 Child Victims Human Traff Fund	-	-	451
Public Safety / Victim Services Total			\$-	\$116,737	\$117,561
Total, Program 0385-Criminal Justice Projects, Local Assistance²			\$109,767	\$116,737	\$117,561

¹ Program has multiple funding sources.

² Program 40 was renumbered to Program 0385 beginning Fiscal Year 2014-15.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

PROGRAM DESCRIPTIONS

0380 - EMERGENCY MANAGEMENT SERVICES

Through the Emergency Management Services Program, the OES provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. Also, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, mitigation, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, the OES also trains emergency managers, responders, and state and local leaders in preparedness and response to all hazards.

0385 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

The OES serves as the State Administering Agent for federal homeland security, emergency management, and criminal justice grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, the OES serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, the OES administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters. Included in this program is the State Threat Assessment Center which is the centerpiece of the State's intelligence information sharing environment and serves as the Governor's designated primary fusion center.

0395 - PUBLIC SAFETY COMMUNICATIONS

Public Safety Communications (PSC) serves the State of California by providing public safety communications to the State's first responders and oversight of the 9-1-1 system to the people of California. The PSC is dedicated to the preservation and protection of human life and public safety by delivering reliable and dependable communication services keeping the public connected during times of crisis.

9900 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of the OES and support services such as accounting, fiscal, personnel, information technology, and business services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0380	EMERGENCY MANAGEMENT SERVICES			
	State Operations:			
0001	General Fund	\$21,989	\$22,512	\$22,515
0028	Unified Program Account	625	817	826
0029	Nuclear Planning Assessment Special Account	845	1,592	1,266
0437	State Assistance For Fire Equipment Account	5	100	100
0890	Federal Trust Fund	13,157	21,551	20,933
0995	Reimbursements	2,216	4,362	4,364
3034	Antiterrorism Fund	242	612	664
3260	Regional Railroad Accident Preparedness and Immediate Response Fund	-	10,000	10,000
8039	Disaster Resistant Communities Account	-	207	207
	Totals, State Operations	\$39,079	\$61,753	\$60,875
PROGRAM REQUIREMENTS				
0385	SPECIAL PROGRAMS AND GRANT MANAGEMENT			
	State Operations:			
0001	General Fund	\$20,821	\$24,623	\$24,297
0214	Restitution Fund	19	8	8
0241	Local Public Prosecutors and Public Defenders Training Fund	39	83	84
0425	Victim - Witness Assistance Fund	1,232	1,399	1,414

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0890	Federal Trust Fund	37,077	50,089	49,918
0995	Reimbursements	-	21	21
3034	Antiterrorism Fund	75	118	118
3112	Equality in Prevention and Services for Domestic Abuse Fund	-	5	5
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	632	2,689	2,690
	Totals, State Operations	<u>\$59,895</u>	<u>\$79,035</u>	<u>\$78,555</u>
	Local Assistance:			
0001	General Fund	\$55,827	\$61,597	\$61,597
0029	Nuclear Planning Assessment Special Account	3,608	3,684	3,735
0214	Restitution Fund	500	500	1,000
0241	Local Public Prosecutors and Public Defenders Training Fund	799	799	799
0425	Victim - Witness Assistance Fund	17,315	17,319	17,319
0890	Federal Trust Fund	396,051	929,666	929,166
3112	Equality in Prevention and Services for Domestic Abuse Fund	98	98	71
6061	Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	100,000	100,000	100,000
8069	Child Victims of Human Trafficking Fund	-	-	451
8084	American Red Cross, California Chapters Fund	-	-	400
	Totals, Local Assistance	<u>\$574,198</u>	<u>\$1,113,663</u>	<u>\$1,114,538</u>
	PROGRAM REQUIREMENTS			
0395	PUBLIC SAFETY COMMUNICATIONS			
	State Operations:			
0022	State Emergency Telephone Number Account	\$2,061	\$2,447	\$2,541
9730	Technology Services Revolving Fund	<u>67,662</u>	<u>73,251</u>	<u>78,733</u>
	Totals, State Operations	<u>\$69,723</u>	<u>\$75,698</u>	<u>\$81,274</u>
	Local Assistance:			
0022	State Emergency Telephone Number Account	<u>\$77,130</u>	<u>\$108,619</u>	<u>\$108,619</u>
	Totals, Local Assistance	<u>\$77,130</u>	<u>\$108,619</u>	<u>\$108,619</u>
	PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0001	General Fund	<u>\$2</u>	<u>-\$2</u>	<u>\$-</u>
	Totals, State Operations	<u>\$2</u>	<u>-\$2</u>	<u>\$-</u>
	SUBPROGRAM REQUIREMENTS			
9900100	Administration			
	State Operations:			
0001	General Fund	<u>\$13,472</u>	<u>\$17,173</u>	<u>\$17,185</u>
	Totals, State Operations	<u>\$13,472</u>	<u>\$17,173</u>	<u>\$17,185</u>
	SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	-\$13,470	-\$17,175	-\$17,185

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

	2013-14*	2014-15*	2015-16*
Totals, State Operations	-\$13,470	-\$17,175	-\$17,185
TOTALS, EXPENDITURES			
State Operations	168,699	216,484	220,704
Local Assistance	651,328	1,222,282	1,223,157
Totals, Expenditures	\$820,027	\$1,438,766	\$1,443,861

EXPENDITURES BY CATEGORY

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	864.3	950.9	950.4	\$63,638	\$70,081	\$67,815
Total Adjustments	-	-	-	-	1,081	6,236
Net Totals, Salaries and Wages	864.3	950.9	950.4	\$63,638	\$71,162	\$74,051
Staff Benefits	-	-	-	26,379	30,433	30,453
Totals, Personal Services	864.3	950.9	950.4	\$90,017	\$101,595	\$104,504
OPERATING EXPENSES AND EQUIPMENT				\$73,894	\$114,889	\$116,200
SPECIAL ITEMS OF EXPENSES				4,788	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$168,699	\$216,484	\$220,704

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	2013-14*†	2014-15*	2015-16*
1 STATE OPERATIONS			
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$39,746	\$40,446
001 Budget Act appropriation as amended by Chapter 2, Statutes of 2014	37,159	-	-
Allocation for employee compensation	-	243	-
Allocation for staff benefits	-	17	-
Section 3.60 pension contribution adjustment	-	430	-
003 Budget Act appropriation	6,385	6,385	6,366
Lease Revenue Debt Service Adjustment	-	-20	-
Prior Year Balances Available:			
Chapter 13, Statutes of 2011	1	-	-
Chapter 14, Statutes of 2011	1	-	-
Item 0690-001-0001, Budget Act of 2013 as amended by Chapter 2, Statutes of 2014	-	332	-
Totals Available	\$43,546	\$47,133	\$46,812
Unexpended balance, estimated savings	-402	-	-
Balance available in subsequent years	-332	-	-
TOTALS, EXPENDITURES	\$42,812	\$47,133	\$46,812
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,459	\$2,394	\$2,541
Allocation for employee compensation	-	22	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	30	-
Totals Available	\$2,459	\$2,447	\$2,541

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Unexpended balance, estimated savings	-398	-	-
TOTALS, EXPENDITURES	\$2,061	\$2,447	\$2,541
0028 Unified Program Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$810	\$812	\$826
Allocation for employee compensation	-	1	-
Section 3.60 pension contribution adjustment	-	4	-
Totals Available	\$810	\$817	\$826
Unexpended balance, estimated savings	-185	-	-
TOTALS, EXPENDITURES	\$625	\$817	\$826
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$845	\$1,224	\$1,266
Allocation for employee compensation	-	7	-
Carryover per Executive Order E14/15-22	-	347	-
Section 3.60 pension contribution adjustment	-	14	-
TOTALS, EXPENDITURES	\$845	\$1,592	\$1,266
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$19	\$8	\$8
TOTALS, EXPENDITURES	\$19	\$8	\$8
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$84
002 Budget Act appropriation	82	83	-
Totals Available	\$82	\$83	\$84
Unexpended balance, estimated savings	-43	-	-
TOTALS, EXPENDITURES	\$39	\$83	\$84
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$1,414
002 Budget Act appropriation	1,365	1,366	-
Allocation for employee compensation	-	15	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	17	-
Totals Available	\$1,365	\$1,399	\$1,414
Unexpended balance, estimated savings	-133	-	-
TOTALS, EXPENDITURES	\$1,232	\$1,399	\$1,414
0437 State Assistance For Fire Equipment Account			
APPROPRIATIONS			
Government Code Section 8589.16	\$5	\$100	\$100
TOTALS, EXPENDITURES	\$5	\$100	\$100
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$50,234	\$70,754	\$70,851
Allocation for employee compensation	-	370	-
Allocation for staff benefits	-	28	-
Section 3.60 pension contribution adjustment	-	488	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES	\$50,234	\$71,640	\$70,851
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$2,216	\$4,383	\$4,385
TOTALS, EXPENDITURES	\$2,216	\$4,383	\$4,385
3034 Antiterrorism Fund			
APPROPRIATIONS			
010 Budget Act appropriation	\$727	\$723	\$782
Allocation for employee compensation	-	2	-
Section 3.60 pension contribution adjustment	-	5	-
Totals Available	\$727	\$730	\$782
Unexpended balance, estimated savings	-410	-	-
TOTALS, EXPENDITURES	\$317	\$730	\$782
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5	\$5	\$5
Totals Available	\$5	\$5	\$5
Unexpended balance, estimated savings	-5	-	-
TOTALS, EXPENDITURES	\$-	\$5	\$5
3260 Regional Railroad Accident Preparedness and Immediate Response Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$10,000
Reflect Regional Railroad Accident Preparedness and Immediate Response Fund	-	10,000	-
Appropriation, per Chapter 663, Statutes of 2014	-	-	-
TOTALS, EXPENDITURES	\$-	\$10,000	\$10,000
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,660	\$2,660	\$2,690
Allocation for employee compensation	-	13	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	15	-
Totals Available	\$2,660	\$2,689	\$2,690
Unexpended balance, estimated savings	-2,028	-	-
TOTALS, EXPENDITURES	\$632	\$2,689	\$2,690
8039 Disaster Resistant Communities Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$207	\$207	\$207
Totals Available	\$207	\$207	\$207
Unexpended balance, estimated savings	-207	-	-
TOTALS, EXPENDITURES	\$-	\$207	\$207
9730 Technology Services Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$71,882	\$71,915	\$78,733
Allocation for employee compensation	-	492	-
Allocation for staff benefits	-	32	-
Section 3.60 pension contribution adjustment	-	812	-
Totals Available	\$71,882	\$73,251	\$78,733
Unexpended balance, estimated savings	-4,220	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES	\$67,662	\$73,251	\$78,733
Total Expenditures, All Funds, (State Operations)	\$168,699	\$216,484	\$220,704
2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$21,471
102 Budget Act appropriation	21,471	21,471	-
112 Budget Act appropriation	39,114	39,114	39,114
115 Budget Act appropriation	1,011	1,012	1,012
Totals Available	\$61,596	\$61,597	\$61,597
Unexpended balance, estimated savings	-5,769	-	-
TOTALS, EXPENDITURES	\$55,827	\$61,597	\$61,597
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$110,619	\$108,619	\$108,619
Totals Available	\$110,619	\$108,619	\$108,619
Unexpended balance, estimated savings	-33,489	-	-
TOTALS, EXPENDITURES	\$77,130	\$108,619	\$108,619
0029 Nuclear Planning Assessment Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,608	\$3,684	\$3,735
TOTALS, EXPENDITURES	\$3,608	\$3,684	\$3,735
0214 Restitution Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$1,000
102 Budget Act appropriation	500	500	-
TOTALS, EXPENDITURES	\$500	\$500	\$1,000
0241 Local Public Prosecutors and Public Defenders Training Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$799
102 Budget Act appropriation	799	799	-
TOTALS, EXPENDITURES	\$799	\$799	\$799
0425 Victim - Witness Assistance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$17,319
102 Budget Act appropriation	17,319	17,319	-
Totals Available	\$17,319	\$17,319	\$17,319
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$17,315	\$17,319	\$17,319
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$326,466	\$929,666	\$929,166
102 Budget Act appropriation	69,585	-	-
TOTALS, EXPENDITURES	\$396,051	\$929,666	\$929,166
3112 Equality in Prevention and Services for Domestic Abuse Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	-	\$71
102 Budget Act appropriation	98	98	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

2 LOCAL ASSISTANCE	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES	\$98	\$98	\$71
6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$100,000</u>	<u>\$100,000</u>	<u>\$100,000</u>
TOTALS, EXPENDITURES	\$100,000	\$100,000	\$100,000
8069 Child Victims of Human Trafficking Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>\$451</u>
TOTALS, EXPENDITURES	\$-	\$-	\$451
8084 American Red Cross, California Chapters Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>-</u>	<u>\$400</u>
TOTALS, EXPENDITURES	\$-	\$-	\$400
Total Expenditures, All Funds, (Local Assistance)	<u>\$651,328</u>	<u>\$1,222,282</u>	<u>\$1,223,157</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$820,027	\$1,438,766	\$1,443,861

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0022 State Emergency Telephone Number Account[§]			
BEGINNING BALANCE	\$61,204	\$60,629	\$39,599
Prior Year Adjustments	<u>-1,215</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$59,989	\$60,629	\$39,599
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4140500 Emergency Telephone User's Surcharge	<u>85,223</u>	<u>96,000</u>	<u>96,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$85,223</u>	<u>\$96,000</u>	<u>\$96,000</u>
Total Resources	\$145,212	\$156,629	\$135,599
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	2,064	2,448	2,541
0690 Office of Emergency Services (Local Assistance)	77,130	108,619	108,619
0860 State Board of Equalization (State Operations)	1,059	1,634	1,632
3540 Department of Forestry and Fire Protection (State Operations)	4,331	4,322	4,051
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>7</u>	<u>15</u>
Total Expenditures and Expenditure Adjustments	<u>\$84,583</u>	<u>\$117,030</u>	<u>\$116,858</u>
FUND BALANCE	\$60,629	\$39,599	\$18,741
Reserve for economic uncertainties	60,629	39,599	18,741
0029 Nuclear Planning Assessment Special Account[§]			
BEGINNING BALANCE	\$145	\$155	-
Prior Year Adjustments	<u>-160</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$-15	\$155	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	<u>5,642</u>	<u>6,143</u>	<u>\$6,266</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$5,642</u>	<u>\$6,143</u>	<u>\$6,266</u>
Total Resources	\$5,627	\$6,298	\$6,266
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

	2013-14*	2014-15*	2015-16*
Expenditures:			
0690 Office of Emergency Services (State Operations)	845	1,593	1,266
0690 Office of Emergency Services (Local Assistance)	3,608	3,684	3,735
4265 Department of Public Health (State Operations)	993	1,016	1,021
8880 Financial Information System for California (State Operations)	<u>26</u>	<u>5</u>	<u>11</u>
Total Expenditures and Expenditure Adjustments	<u>\$5,473</u>	<u>\$6,298</u>	<u>\$6,033</u>
FUND BALANCE	\$155	-	\$233
Reserve for economic uncertainties	155	-	233
0241 Local Public Prosecutors and Public Defenders Training Fund [§]			
BEGINNING BALANCE	<u>\$1,077</u>	<u>\$1,091</u>	<u>\$1,062</u>
Adjusted Beginning Balance	\$1,077	\$1,091	\$1,062
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	3	3	3
4173000 Penalty Assessments - Other	<u>850</u>	<u>850</u>	<u>850</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$853</u>	<u>\$853</u>	<u>\$853</u>
Total Resources	\$1,930	\$1,944	\$1,915
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	40	83	84
0690 Office of Emergency Services (Local Assistance)	<u>799</u>	<u>799</u>	<u>799</u>
Total Expenditures and Expenditure Adjustments	<u>\$839</u>	<u>\$882</u>	<u>\$883</u>
FUND BALANCE	\$1,091	\$1,062	\$1,032
Reserve for economic uncertainties	1,091	1,062	1,032
0372 Disaster Relief Fund [§]			
BEGINNING BALANCE	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
Adjusted Beginning Balance	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
Total Resources	<u>\$1</u>	<u>\$1</u>	<u>\$1</u>
FUND BALANCE	\$1	\$1	\$1
Reserve for economic uncertainties	1	1	1
0425 Victim - Witness Assistance Fund [§]			
BEGINNING BALANCE	\$2,860	\$-95	\$5,688
Prior Year Adjustments	<u>62</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,922	\$-95	\$5,688
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4131500 Felony Conviction Penalties	1	1	1
4150500 Interest Income - Interfund Loans	10	106	-
4163000 Investment Income - Surplus Money Investments	8	18	18
4173000 Penalty Assessments - Other	10,498	10,155	9,847
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Victim - Witness Assistance Fund (0425) per Item 0690-011-0425, Budget Act of 2011	900	-	-
Loan Repayment from General Fund (0001) to Victim - Witness Assistance Fund (0425) per Item 0690-011-0425, Budget Act of 2011	-	10,100	-
Revenue Transfer From Driver Training Penalty Assessment Fund (0178) to the Victim - Witness Assistance Fund (0425), per Control Section 24.10, Budget Acts	4,121	4,121	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

	2013-14*	2014-15*	2015-16*
Transfer From Driver Training Penalty Assessment Fund to the Victim - Witness Assistance Fund, per Code Section 24.10, Budget Acts	-	-	4,067
Total Revenues, Transfers, and Other Adjustments	<u>\$15,538</u>	<u>\$24,501</u>	<u>\$13,933</u>
Total Resources	\$18,460	\$24,406	\$19,621
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	1,233	1,398	1,414
0690 Office of Emergency Services (Local Assistance)	17,315	17,319	17,319
8880 Financial Information System for California (State Operations)	<u>6</u>	<u>1</u>	<u>2</u>
Total Expenditures and Expenditure Adjustments	<u>\$18,554</u>	<u>\$18,718</u>	<u>\$18,735</u>
FUND BALANCE	\$-95	\$5,688	\$886
Reserve for economic uncertainties	-95	5,688	886

0437 State Assistance For Fire Equipment Account ^S

BEGINNING BALANCE	\$818	\$961	\$961
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$816	\$961	\$961
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4170400 Capital Asset Sales Proceeds	<u>151</u>	<u>100</u>	<u>100</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$151</u>	<u>\$100</u>	<u>\$100</u>
Total Resources	\$967	\$1,061	\$1,061
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	5	100	100
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$6</u>	<u>\$100</u>	<u>\$100</u>
FUND BALANCE	\$961	\$961	\$961
Reserve for economic uncertainties	961	961	961

0903 State Penalty Fund ^N

BEGINNING BALANCE	-	\$58	\$58
Prior Year Adjustments	<u>\$3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3	\$58	\$58
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172240 Fines and Penalties - External - Other	123,227	119,384	115,802
4172500 Miscellaneous Revenue	-121,573	-117,601	-114,036
Less Revenues Collected For Other Funds:	-	-	-
Restitution Fund (Indemnity Fund)	(-39,003)	(-37,701)	(-36,533)
Peace Officers Training Fund	(-29,148)	(-28,196)	(-27,342)
Fish and Game Preservation Fund	(-472)	(-455)	(-440)
Corrections Training Fund	(-9,574)	(-9,262)	(-8,981)
Driver Training Penalty Assessment Fund	(-31,226)	(-30,206)	(-29,291)
Local Public Prosecutors/Defenders Training Fund	(-850)	(-850)	(-850)
Victim/Witness Assistance Fund	(-10,498)	(-10,155)	(-9,847)
Traumatic Brain Injury Fund	(-802)	(-776)	(-752)
Transfers and Other Adjustments			
Transfer from State Penalty Fund (0903) to California Motorcyclist Fund (0840) per Item 2720-012-0903, Budget Acts	-250	-250	-250

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Revenues, Transfers, and Other Adjustments	\$1,404	\$1,533	\$1,516
Total Resources	\$1,407	\$1,591	\$1,574
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1,349	1,533	1,516
Total Expenditures and Expenditure Adjustments	\$1,349	\$1,533	\$1,516
FUND BALANCE	\$58	\$58	\$58
Reserve for economic uncertainties	58	58	58
3034 Antiterrorism Fund ^s			
BEGINNING BALANCE	\$1,436	\$1,858	\$1,275
Prior Year Adjustments	-6	-	-
Adjusted Beginning Balance	\$1,430	\$1,858	\$1,275
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	1,287	1,200	1,200
Total Revenues, Transfers, and Other Adjustments	\$1,287	\$1,200	\$1,200
Total Resources	\$2,717	\$3,058	\$2,475
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	317	731	782
8120 Commission on Peace Officer Standards and Training (State Operations)	-	500	-
8570 Department of Food and Agriculture (State Operations)	542	551	552
8880 Financial Information System for California (State Operations)	-	1	3
Total Expenditures and Expenditure Adjustments	\$859	\$1,783	\$1,337
FUND BALANCE	\$1,858	\$1,275	\$1,138
Reserve for economic uncertainties	1,858	1,275	1,138
3075 Unlawful Sales Reduction Fund ^s			
BEGINNING BALANCE	\$92	\$90	\$91
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$90	\$90	\$91
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173000 Penalty Assessments - Other	-	1	1
Total Revenues, Transfers, and Other Adjustments	-	\$1	\$1
Total Resources	\$90	\$91	\$92
FUND BALANCE	\$90	\$91	\$92
Reserve for economic uncertainties	90	91	92
3112 Equality in Prevention and Services for Domestic Abuse Fund ^s			
BEGINNING BALANCE	\$197	\$129	\$51
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$195	\$129	\$51
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	32	25	25
Total Revenues, Transfers, and Other Adjustments	\$32	\$25	\$25
Total Resources	\$227	\$154	\$76
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

	2013-14*	2014-15*	2015-16*
Expenditures:			
0690 Office of Emergency Services (State Operations)	-	5	5
0690 Office of Emergency Services (Local Assistance)	<u>98</u>	<u>98</u>	<u>71</u>
Total Expenditures and Expenditure Adjustments	<u>\$98</u>	<u>\$103</u>	<u>\$76</u>
FUND BALANCE	\$129	\$51	-
Reserve for economic uncertainties	129	51	-
3260 Regional Railroad Accident Preparedness and Immediate Response Fund^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Loan from High-Cost Fund-B Administrative Committee Fund (0470) to Regional Railroad Accident Preparedness and Immediate Response Fund (3260) per Chapter 663 of the Statutes of 2014	-	10,000	10,000
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$10,000</u>	<u>\$10,000</u>
Total Resources	-	\$10,000	\$10,000
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0690 Office of Emergency Services (State Operations)	<u>-</u>	<u>10,000</u>	<u>10,000</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$10,000</u>	<u>\$10,000</u>
FUND BALANCE	-	-	-

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	864.3	950.9	950.4	\$63,638	\$70,081	\$67,815
Salary and Other Adjustments	-	-	-	-	1,081	3,996
Workload and Administrative Adjustments						
Drought Emergency - State Operations Center	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>2,240</u>
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$-</u>	<u>\$2,240</u>
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$1,081</u>	<u>\$6,236</u>
TOTALS, SALARIES AND WAGES	<u>864.3</u>	<u>950.9</u>	<u>950.4</u>	<u>\$63,638</u>	<u>\$71,162</u>	<u>\$74,051</u>

INFRASTRUCTURE OVERVIEW

The OES infrastructure includes a headquarters facility, an administrative building, and an Inland Regional Operations Center in Sacramento County; a Coastal Regional Operations Center in Walnut Creek, Contra Costa County; a Southern Regional Operations Center located at Los Alamitos Air Field in Orange County; the California Specialized Training Institute at Camp San Luis Obispo; and various small field offices throughout the state.

PSC is comprised of a main leased complex in Sacramento and 45 field locations throughout the state. These locations include 8 area offices and 37 area shops, positioned geographically to facilitate maintenance and installation services to remote communication sites and customers throughout the state. In addition, the PSC owns 10 communications vaults/towers and maintains and operates a total of more than 3,500 radio frequency points of presence.

SUMMARY OF PROJECTS

	State Building Program Expenditures	2013-14*	2014-15*	2015-16*
0405 CAPITAL OUTLAY Projects				
0000121 Relocation of Red Mountain Communications Site, Del Norte County		-	2,683 ^{Pg}	1,261 ^{Wg}

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0690 Office of Emergency Services - Continued

State Building Program Expenditures		2013-14*	2014-15*	2015-16*
0000711	Headquarters Complex, Rancho Cordova: Public Safety Communications Network Operations Center	-	-	1,528 ^{Pg}
Totals, Projects		<u>\$-</u>	<u>\$2,683</u>	<u>\$2,789</u>
TOTALS, EXPENDITURES, ALL PROJECTS		\$-	\$2,683	\$2,789

FUNDING		2013-14*	2014-15*	2015-16*
0001	General Fund	\$-	\$2,683	\$2,789
TOTALS, EXPENDITURES, ALL FUNDS		\$-	\$2,683	\$2,789

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2013-14*†	2014-15*	2015-16*
0001 General Fund				
APPROPRIATIONS				
301	Budget Act appropriation	-	\$2,683	\$2,789
TOTALS, EXPENDITURES		<u>\$-</u>	<u>\$2,683</u>	<u>\$2,789</u>
Total Expenditures, All Funds, (Capital Outlay)		\$0	\$2,683	\$2,789

0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Ocean Protection Council.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0430 General Activities	6.0	6.0	6.0	\$1,021	\$1,068	\$1,068
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	6.0	6.0	6.0	\$1,021	\$1,068	\$1,068

FUNDING		2013-14*	2014-15*	2015-16*
0001	General Fund	\$1,021	\$1,068	\$1,068
TOTALS, EXPENDITURES, ALL FUNDS		\$1,021	\$1,068	\$1,068

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0750 Office of the Lieutenant Governor - Continued

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$18	\$-	-	\$18	\$-	-
• Salary Adjustments	12	-	-	12	-	-
• Benefit Adjustments	5	-	-	5	-	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$35	\$-	-	\$35	\$-	-
Totals, Workload Budget Adjustments	\$35	\$-	-	\$35	\$-	-
Totals, Budget Adjustments	\$35	\$-	-	\$35	\$-	-

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	6.0	6.0	6.0	\$565	\$586	\$586
Total Adjustments	-	-	-	-	8	8
Net Totals, Salaries and Wages	6.0	6.0	6.0	\$565	\$594	\$594
Staff Benefits	-	-	-	160	188	188
Totals, Personal Services	6.0	6.0	6.0	\$725	\$782	\$782
OPERATING EXPENSES AND EQUIPMENT				\$296	\$286	\$286
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,021	\$1,068	\$1,068

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,032	\$1,033	\$1,068
Allocation for employee compensation	-	12	-
Allocation for staff benefits	-	5	-
Section 3.60 pension contribution adjustment	-	18	-
Totals Available	\$1,032	\$1,068	\$1,068
Unexpended balance, estimated savings	-11	-	-
TOTALS, EXPENDITURES	\$1,021	\$1,068	\$1,068
Total Expenditures, All Funds, (State Operations)	\$1,021	\$1,068	\$1,068

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	6.0	6.0	6.0	\$565	\$586	\$586
Salary and Other Adjustments	-	-	-	-	8	8
Totals, Adjustments	-	-	-	-	\$8	\$8
TOTALS, SALARIES AND WAGES	6.0	6.0	6.0	\$565	\$594	\$594

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners, and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assist district attorneys in the administration of justice. The Department provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0435 Division of Legal Services	1,505.8	1,632.2	1,667.2	\$367,640	\$411,930	\$413,225
0440 Law Enforcement	897.8	1,120.7	1,124.7	174,203	209,384	211,057
0445 California Justice Information Services	925.3	1,103.0	1,103.0	159,226	171,996	168,740
9900100 Administration	826.9	947.0	958.0	86,175	98,530	100,324
9900200 Administration - Distributed	-	-	-	-86,175	-98,530	-100,324
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4,155.8	4,802.9	4,852.9	\$701,069	\$793,310	\$793,022
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$171,665	\$200,568	\$200,992
0012 Attorney General Antitrust Account				2,390	2,474	2,475
0017 Fingerprint Fees Account				67,897	71,526	71,428
0032 Firearm Safety Account				274	339	339
0044 Motor Vehicle Account, State Transportation Fund				25,845	26,354	26,385
0142 Department of Justice Sexual Habitual Offender Fund				2,057	2,415	2,420
0158 Travel Seller Fund				686	1,439	1,438
0214 Restitution Fund				5,131	5,229	5,229
0256 Sexual Predator Public Information Account				180	183	182
0367 Indian Gaming Special Distribution Fund				17,374	19,976	20,370
0378 False Claims Act Fund				10,734	12,699	12,701
0460 Dealers Record of Sale Special Account				29,170	28,499	29,217
0566 Department of Justice Child Abuse Fund				389	397	398
0567 Gambling Control Fund				8,151	9,495	11,172
0569 Gambling Control Fines and Penalties Account				42	47	47
0641 Domestic Violence Restraining Order Reimbursement Fund				1,018	1,018	1,018
0890 Federal Trust Fund				25,066	34,315	34,470
0942 Special Deposit Fund				1,707	2,769	2,769
0995 Reimbursements				22,211	44,047	39,610
1008 Firearms Safety and Enforcement Special Fund				3,151	3,543	3,535
3016 Missing Persons DNA Data Base Fund				2,935	3,471	3,475
3053 Public Rights Law Enforcement Special Fund				2,553	6,112	6,075
3086 DNA Identification Fund				70,053	78,311	78,382
3087 Unfair Competition Law Fund				10,716	11,085	11,097
3088 Registry of Charitable Trusts Fund				2,844	3,431	5,564
3131 California Bingo Fund				-	48	53
3240 Secondhand Dealer and Pawnbroker Fund				1,032	510	620
8071 National Mortgage Special Deposit Fund				18,389	5,000	-
9731 Legal Services Revolving Fund				196,076	216,684	219,971

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

FUNDING	2013-14*	2014-15*	2015-16*
9740 Central Service Cost Recovery Fund	1,333	1,326	1,590
TOTALS, EXPENDITURES, ALL FUNDS	\$701,069	\$793,310	\$793,022

Less amount funded in the Political Reform Act (2013-14 \$116 and 2014-15 \$195); 0942 Special Deposit Fund includes State Asset Forfeiture Account (2013-14 \$0, 2014-15 \$568, and 2015-16 \$568) and Federal Asset Forfeiture Account (2013-14 \$1,487, 2014-15 \$1,551, and 2015-16 \$1,551), and Electronic Recording Authorization Account (2013-14 \$220, 2014-15 \$650, and 2015-16 \$650).

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY

0435-Legal Services:

California Constitution, Article V, Section 13; Business and Professions Code Sections 16700, 17200, and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11043, 11157, 12510 et seq., 12580 et seq., 12600 et seq., 12650 et seq., 12657 et seq., 12989.3, and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7, and 104555-104557; Penal Code Sections 320.5, 1256, 1475, and 1548.3; and Revenue and Taxation Code Section 30165.1.

0440-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 319-337z, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 13511, 14160-14167, 14250, 18900, 26190, 27560, 28225-28245, 29055, 30900-30905, 31005, 31115, 32010, 32015-32025, 32655, 32715, 33305; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100-11103, 11106-11106.7, 11450-11454, 11641, and 11646.

0445-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

9900-Directorate and Administration:

Government Code Section 12519.

MAJOR PROGRAM CHANGES

- Legal Services-The Budget includes an increase of \$5.8 million Legal Service Revolving Fund to support legal services workload within the Licensing, Correctional Law, Health, Education, and Welfare, and Health Quality Enforcement sections.
- Cardroom Licensing-The Budget includes an increase of \$1.6 million Gambling Control Fund to support workload for Cardroom and Third-Party Providers of Proposition Player Services license applications.
- Chaptered Legislation-The Budget includes an increase of \$0.8 million General Fund and \$2.1 million Registry of Charitable Trusts Fund to support workload associated with the amended Initiatives process, and enforcement activities related to the Supervision of Trustees and Fundraisers for Charitable Purposes Act.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Chaptered Legislation: Initiatives Workload (Chapter 697, Statutes of 2014)	\$-	\$-	-	\$720	\$-	4.0
• Legal Services Workload	-	-	-	-	5,787	29.0

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
• Chaptered Legislation: Registry of Charitable Trusts Enforcement Program (Chapter 465, Statutes of 2014)	-	-	-	-	2,126	13.0
• Bureau of Gambling Control - Cardroom Licensing Workload	-	-	-	-	1,559	12.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$720	\$9,472	58.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$3,203	\$7,625	-	\$3,203	\$7,624	-
• Salary Adjustments	1,953	3,800	-	1,953	3,801	-
• Benefit Adjustments	860	1,729	-	1,022	2,082	-
• SWCAP	-	-	-	-	155	-
• Pro Rata	-	-	-	-	-1,297	-
• Lease Revenue Debt Service Adjustment	-9	-	-	-13	-	-
• Miscellaneous Baseline Adjustments	195	-3,000	36.7	-262	262	36.7
Totals, Other Workload Budget Adjustments	\$6,202	\$10,154	36.7	\$5,903	\$12,627	36.7
Totals, Workload Budget Adjustments	\$6,202	\$10,154	36.7	\$6,623	\$22,099	94.7
Totals, Budget Adjustments	\$6,202	\$10,154	36.7	\$6,623	\$22,099	94.7

PROGRAM DESCRIPTIONS

0435 - LEGAL SERVICES

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions, and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law are responsible for managing and litigating cases before administrative tribunals, and in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of nine sections: Business and Tax; Correctional Law; Employment and Administrative Mandates; Opinions; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the state in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations, represents the Governor, Board of Parole Hearings, and California Department of Corrections and Rehabilitation in state and federal habeas corpus cases and appeals, and other proceedings relating to parole decisions and conditions of confinement in the state prisons and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer/privacy crime, and financial crimes against the elderly.

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trusts (including Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Underground Economy, Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law; Land Law; Consumer Law (including Sellers of Travel); Antitrust Law; and Tobacco Litigation Enforcement.

0440 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) Bureau of Investigation, and (5) the Office of the Director.

The Bureau of Firearms provides oversight, enforcement, education, and regulation of California's firearms/dangerous weapon laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of weapons. Agents also conduct firearms investigations to prevent illegal gun trafficking at in-state and out-of-state gun shows in accordance with state and federal law.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

The Bureau of Forensic Services provides evaluation and analysis of physical evidence, including crime scene investigation and expert court testimony to state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders.

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements by investigating the qualifications of individuals and business entities who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act. Further, it conducts criminal investigations in, on or about Tribal casinos and cardrooms. Its role also includes regulating Tribal gaming by ensuring that each Tribe is in compliance with all aspects of the gaming compact.

The Bureau of Investigation leads the investigations of crimes that present serious multi-jurisdictional threats to California. The Bureau prioritizes cases involving human trafficking, environmental crimes, public corruption, mortgage and other major frauds, underground economy cases, and digital or high-technology crimes. In addition, the Bureau provides leadership, coordination, and support to law enforcement through multi-agency drug, gang and major crimes task forces, and special operations units.

The Office of the Director enhances public safety through training, technical, intelligence and administrative support to the investigative, regulatory and forensic components of the Division of Law Enforcement and other criminal justice agencies. The Office serves as the policy-making and oversight body for its four operational bureaus.

0445 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services: 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system; 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes; 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System; and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

0455 - NATIONAL MORTGAGE SETTLEMENT OFFSET PROGRAM

The National Mortgage Settlement Offset Program utilizes funds received from the National Mortgage Settlement for administrative costs and to support programs that benefit California homeowners affected by the mortgage and foreclosure crisis.

9900 - DIRECTORATE AND ADMINISTRATION

The Directorate and the Administration Division of the Department of Justice consists of the Division of Administrative Support and the Attorney General's Executive Office. The executive office maintains overall direction and administration over the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Review and Audits, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Administrative Support provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0435	DIVISION OF LEGAL SERVICES			
	State Operations:			
0001	General Fund	\$112,587	\$136,074	\$136,573
0012	Attorney General Antitrust Account	2,347	2,432	2,433
0158	Travel Seller Fund	673	1,426	1,425
0367	Indian Gaming Special Distribution Fund	1,679	1,968	1,971
0378	False Claims Act Fund	9,536	11,416	11,416
0567	Gambling Control Fund	329	386	386
0890	Federal Trust Fund	21,902	24,975	25,118
0942	Special Deposit Fund	-	71	71
0995	Reimbursements	210	932	932
3053	Public Rights Law Enforcement Special Fund	2,553	6,112	6,075

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
3087	Unfair Competition Law Fund	10,716	11,085	11,097
3088	Registry of Charitable Trusts Fund	2,557	3,136	5,269
8071	National Mortgage Special Deposit Fund	15,889	5,000	-
9731	Legal Services Revolving Fund	185,329	205,591	208,869
9740	Central Service Cost Recovery Fund	<u>1,333</u>	<u>1,326</u>	<u>1,590</u>
	Totals, State Operations	\$367,640	\$411,930	\$413,225
	SUBPROGRAM REQUIREMENTS			
0435010	Civil Law			
	State Operations:			
0001	General Fund	\$12,902	\$15,876	\$16,396
0942	Special Deposit Fund	-	71	71
9731	Legal Services Revolving Fund	140,959	155,894	159,788
9740	Central Service Cost Recovery Fund	<u>1,050</u>	<u>1,059</u>	<u>1,268</u>
	Totals, State Operations	\$154,911	\$172,900	\$177,523
	SUBPROGRAM REQUIREMENTS			
0435019	Criminal Law			
	State Operations:			
0001	General Fund	\$88,655	\$93,360	\$93,371
0378	False Claims Act Fund	2,848	3,912	3,734
0890	Federal Trust Fund	21,902	24,975	25,118
0995	Reimbursements	210	932	932
9731	Legal Services Revolving Fund	<u>8,263</u>	<u>12,587</u>	<u>12,457</u>
	Totals, State Operations	\$121,878	\$135,766	\$135,612
	SUBPROGRAM REQUIREMENTS			
0435028	Public Rights			
	State Operations:			
0001	General Fund	\$11,030	\$26,838	\$26,806
0012	Attorney General Antitrust Account	2,347	2,432	2,433
0158	Travel Seller Fund	673	1,426	1,425
0367	Indian Gaming Special Distribution Fund	1,679	1,968	1,971
0378	False Claims Act Fund	6,688	7,504	7,682
0567	Gambling Control Fund	329	386	386
3053	Public Rights Law Enforcement Special Fund	2,553	6,112	6,075
3087	Unfair Competition Law Fund	10,716	11,085	11,097
3088	Registry of Charitable Trusts Fund	2,557	3,136	5,269
8071	National Mortgage Special Deposit Fund	15,889	5,000	-
9731	Legal Services Revolving Fund	36,107	37,110	36,624
9740	Central Service Cost Recovery Fund	<u>283</u>	<u>267</u>	<u>322</u>
	Totals, State Operations	\$90,851	\$103,264	\$100,090
	PROGRAM REQUIREMENTS			
0440	LAW ENFORCEMENT			
	State Operations:			
0001	General Fund	\$6,513	\$9,424	\$9,297
0012	Attorney General Antitrust Account	29	28	28
0032	Firearm Safety Account	274	339	339
0142	Department of Justice Sexual Habitual Offender Fund	615	778	779
0214	Restitution Fund	276	374	374

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0367	Indian Gaming Special Distribution Fund	15,379	17,684	18,075
0378	False Claims Act Fund	716	787	789
0460	Dealers Record of Sale Special Account	27,432	26,646	27,363
0567	Gambling Control Fund	7,822	9,109	10,786
0569	Gambling Control Fines and Penalties Account	16	21	21
0890	Federal Trust Fund	2,237	6,165	6,185
0942	Special Deposit Fund	1,487	2,112	2,112
0995	Reimbursements	19,359	37,089	36,001
1008	Firearms Safety and Enforcement Special Fund	3,151	3,543	3,535
3016	Missing Persons DNA Data Base Fund	2,935	3,471	3,475
3086	DNA Identification Fund	67,832	75,790	75,860
3131	California Bingo Fund	-	48	53
8071	National Mortgage Special Deposit Fund	2,500	-	-
9731	Legal Services Revolving Fund	<u>10,747</u>	<u>11,093</u>	<u>11,102</u>
	Totals, State Operations	\$169,320	\$204,501	\$206,174
	Local Assistance:			
0214	Restitution Fund	\$4,855	\$4,855	\$4,855
0460	Dealers Record of Sale Special Account	<u>28</u>	<u>28</u>	<u>28</u>
	Totals, Local Assistance	\$4,883	\$4,883	\$4,883
	SUBPROGRAM REQUIREMENTS			
0440010	Investigation			
	State Operations:			
0001	General Fund	\$1,466	\$4,439	\$4,315
0012	Attorney General Antitrust Account	29	28	28
0378	False Claims Act Fund	716	787	789
0890	Federal Trust Fund	552	1,831	1,820
0942	Special Deposit Fund	1,487	2,112	2,112
0995	Reimbursements	15,577	25,844	24,756
8071	National Mortgage Special Deposit Fund	2,500	-	-
9731	Legal Services Revolving Fund	<u>10,036</u>	<u>10,793</u>	<u>10,802</u>
	Totals, State Operations	\$32,363	\$45,834	\$44,622
	SUBPROGRAM REQUIREMENTS			
0440019	Office of the Director			
	State Operations:			
0001	General Fund	\$972	\$927	\$928
0214	Restitution Fund	276	374	374
0367	Indian Gaming Special Distribution Fund	645	403	404
0567	Gambling Control Fund	361	226	226
0890	Federal Trust Fund	-	451	462
0995	Reimbursements	577	6,953	6,953
3086	DNA Identification Fund	3,635	2,297	2,299
9731	Legal Services Revolving Fund	<u>711</u>	<u>300</u>	<u>300</u>
	Totals, State Operations	\$7,177	\$11,931	\$11,946
	Local Assistance:			
0214	Restitution Fund	<u>\$4,855</u>	<u>\$4,855</u>	<u>\$4,855</u>
	Totals, Local Assistance	\$4,855	\$4,855	\$4,855
	SUBPROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0440028	Forensic Services			
	State Operations:			
0001	General Fund	\$4,075	\$4,058	\$4,054
0142	Department of Justice Sexual Habitual Offender Fund	615	778	779
0890	Federal Trust Fund	1,685	3,883	3,903
0995	Reimbursements	2,863	3,848	3,848
3016	Missing Persons DNA Data Base Fund	2,935	3,471	3,475
3086	DNA Identification Fund	<u>64,197</u>	<u>73,493</u>	<u>73,561</u>
	Totals, State Operations	\$76,370	\$89,531	\$89,620
	SUBPROGRAM REQUIREMENTS			
0440037	Gambling			
	State Operations:			
0367	Indian Gaming Special Distribution Fund	\$14,734	\$17,281	\$17,671
0567	Gambling Control Fund	7,461	8,883	10,560
0569	Gambling Control Fines and Penalties Account	16	21	21
0995	Reimbursements	10	100	100
3131	California Bingo Fund	<u>-</u>	<u>48</u>	<u>53</u>
	Totals, State Operations	\$22,221	\$26,333	\$28,405
	SUBPROGRAM REQUIREMENTS			
0440046	Firearms			
	State Operations:			
0032	Firearm Safety Account	\$274	\$339	\$339
0460	Dealers Record of Sale Special Account	27,432	26,646	27,363
0995	Reimbursements	332	344	344
1008	Firearms Safety and Enforcement Special Fund	<u>3,151</u>	<u>3,543</u>	<u>3,535</u>
	Totals, State Operations	\$31,189	\$30,872	\$31,581
	Local Assistance:			
0460	Dealers Record of Sale Special Account	<u>\$28</u>	<u>\$28</u>	<u>\$28</u>
	Totals, Local Assistance	\$28	\$28	\$28
	PROGRAM REQUIREMENTS			
0445	CALIFORNIA JUSTICE INFORMATION SERVICES			
	State Operations:			
0001	General Fund	\$52,565	\$55,070	\$55,122
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	67,897	71,526	71,428
0044	Motor Vehicle Account, State Transportation Fund	25,845	26,354	26,385
0142	Department of Justice Sexual Habitual Offender Fund	1,442	1,637	1,641
0158	Travel Seller Fund	13	13	13
0256	Sexual Predator Public Information Account	180	183	182
0367	Indian Gaming Special Distribution Fund	316	324	324
0378	False Claims Act Fund	482	496	496
0460	Dealers Record of Sale Special Account	1,710	1,825	1,826
0566	Department of Justice Child Abuse Fund	389	397	398
0569	Gambling Control Fines and Penalties Account	26	26	26
0890	Federal Trust Fund	927	3,175	3,167
0942	Special Deposit Fund	220	586	586
0995	Reimbursements	2,642	6,026	2,677

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
3086	DNA Identification Fund	2,221	2,521	2,522
3088	Registry of Charitable Trusts Fund	287	295	295
3240	Secondhand Dealer and Pawnbroker Fund	<u>1,032</u>	<u>510</u>	<u>620</u>
	Totals, State Operations	\$158,208	\$170,978	\$167,722
	Local Assistance:			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018
	Totals, Local Assistance	\$1,018	\$1,018	\$1,018
	SUBPROGRAM REQUIREMENTS			
0445010	O. J. Hawkins Data Center			
	State Operations:			
0001	General Fund	\$17,606	\$14,204	\$14,215
0012	Attorney General Antitrust Account	14	14	14
0017	Fingerprint Fees Account	9,662	12,733	12,740
0044	Motor Vehicle Account, State Transportation Fund	23,628	22,817	22,844
0158	Travel Seller Fund	13	13	13
0367	Indian Gaming Special Distribution Fund	316	324	324
0378	False Claims Act Fund	482	496	496
0460	Dealers Record of Sale Special Account	1,279	1,234	1,234
0569	Gambling Control Fines and Penalties Account	26	26	26
0995	Reimbursements	681	510	510
3086	DNA Identification Fund	783	1,049	1,049
3088	Registry of Charitable Trusts Fund	<u>287</u>	<u>295</u>	<u>295</u>
	Totals, State Operations	\$54,777	\$53,715	\$53,760
	SUBPROGRAM REQUIREMENTS			
0445019	Criminal Information and Analysis			
	State Operations:			
0001	General Fund	\$17,897	\$19,164	\$19,186
0017	Fingerprint Fees Account	42,156	42,242	42,120
0142	Department of Justice Sexual Habitual Offender Fund	351	437	437
0460	Dealers Record of Sale Special Account	196	199	200
0566	Department of Justice Child Abuse Fund	381	389	390
0942	Special Deposit Fund	<u>220</u>	<u>579</u>	<u>579</u>
	Totals, State Operations	\$61,201	\$63,010	\$62,912
	SUBPROGRAM REQUIREMENTS			
0445028	Criminal Identification and Investigation Services			
	State Operations:			
0001	General Fund	\$12,954	\$14,276	\$14,291
0017	Fingerprint Fees Account	9,522	9,151	9,164
0044	Motor Vehicle Account, State Transportation Fund	1,056	1,687	1,690
0142	Department of Justice Sexual Habitual Offender Fund	802	1,182	1,186
0256	Sexual Predator Public Information Account	72	163	162
0460	Dealers Record of Sale Special Account	233	390	390
3086	DNA Identification Fund	<u>1,078</u>	<u>1,460</u>	<u>1,461</u>
	Totals, State Operations	\$25,717	\$28,309	\$28,344
	Local Assistance:			
0641	Domestic Violence Restraining Order Reimbursement Fund	\$1,018	\$1,018	\$1,018

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Totals, Local Assistance	\$1,018	\$1,018	\$1,018
SUBPROGRAM REQUIREMENTS			
0445037 Criminal Justice Operational Support Program			
State Operations:			
0001 General Fund	\$4,108	\$7,426	\$7,430
0017 Fingerprint Fees Account	6,557	7,400	7,404
0044 Motor Vehicle Account, State Transportation Fund	1,161	1,850	1,851
0142 Department of Justice Sexual Habitual Offender Fund	289	18	18
0256 Sexual Predator Public Information Account	108	20	20
0460 Dealers Record of Sale Special Account	2	2	2
0566 Department of Justice Child Abuse Fund	8	8	8
0890 Federal Trust Fund	927	3,175	3,167
0942 Special Deposit Fund	-	7	7
0995 Reimbursements	1,961	5,516	2,167
3086 DNA Identification Fund	360	12	12
3240 Secondhand Dealer and Pawnbroker Fund	<u>1,032</u>	<u>510</u>	<u>620</u>
Totals, State Operations	\$16,513	\$25,944	\$22,706
SUBPROGRAM REQUIREMENTS			
9900100 Administration			
State Operations:			
0001 General Fund	<u>\$86,175</u>	<u>\$98,530</u>	<u>\$100,324</u>
Totals, State Operations	\$86,175	\$98,530	\$100,324
SUBPROGRAM REQUIREMENTS			
9900200 Administration - Distributed			
State Operations:			
0001 General Fund	<u>-\$86,175</u>	<u>-\$98,530</u>	<u>-\$100,324</u>
Totals, State Operations	-\$86,175	-\$98,530	-\$100,324
TOTALS, EXPENDITURES			
State Operations	695,168	787,409	787,121
Local Assistance	<u>5,901</u>	<u>5,901</u>	<u>5,901</u>
Totals, Expenditures	\$701,069	\$793,310	\$793,022

EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	4,155.8	4,766.2	4,758.2	\$330,521	\$370,305	\$368,579
Total Adjustments	<u>-</u>	<u>36.7</u>	<u>94.7</u>	<u>-</u>	<u>5,824</u>	<u>15,253</u>
Net Totals, Salaries and Wages	4,155.8	4,802.9	4,852.9	\$330,521	\$376,129	\$383,832
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>136,094</u>	<u>165,098</u>	<u>166,477</u>
Totals, Personal Services	4,155.8	4,802.9	4,852.9	\$466,615	\$541,227	\$550,309
OPERATING EXPENSES AND EQUIPMENT				\$314,728	\$344,712	\$337,136
UNCLASSIFIED EXPENDITURES				<u>-86,175</u>	<u>-98,530</u>	<u>-100,324</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$695,168	\$787,409	\$787,121

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

2 Local Assistance

	Expenditures		
	2013-14*	2014-15*	2015-16*
Consulting and Professional Services - External - Other	\$5,901	\$5,901	\$5,901
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$5,901	\$5,901	\$5,901

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$188,696	\$188,802	\$195,438
7A FISCAL Current Service Level Adjustment	-	-1	-
7A FISCAL Current Service Level Adjustment, Reimbursements	-	-1	-
Allocation for employee compensation	-	1,953	-
Allocation for staff benefits	-	859	-
Section 3.60 pension contribution adjustment	-	3,203	-
Transfer pursuant to the Political Reform Act of 1974	-	195	-
003 Budget Act appropriation, for rental payments on lease-revenue bonds	4,076	4,067	4,054
Lease Revenue Debt Service Adjustment	-	-9	-
015 Budget Act appropriation (transfer to Legal Services Revolving Fund)	1,500	1,500	1,500
Totals Available	\$194,272	\$200,568	\$200,992
Unexpended balance, estimated savings	-6,107	-	-
TOTALS, EXPENDITURES	\$188,165	\$200,568	\$200,992
Less funding provided by the National Mortgage Special Deposit Fund	-16,500	-	-
NET TOTALS, EXPENDITURES	\$171,665	\$200,568	\$200,992
0012 Attorney General Antitrust Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,454	\$2,402	\$2,475
Allocation for employee compensation	-	26	-
Allocation for staff benefits	-	10	-
Section 3.60 pension contribution adjustment	-	36	-
Totals Available	\$2,454	\$2,474	\$2,475
Unexpended balance, estimated savings	-64	-	-
TOTALS, EXPENDITURES	\$2,390	\$2,474	\$2,475
0017 Fingerprint Fees Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$70,878	\$70,238	\$71,428
Allocation for employee compensation	-	402	-
Allocation for staff benefits	-	197	-
Section 3.60 pension contribution adjustment	-	689	-
Totals Available	\$70,878	\$71,526	\$71,428
Unexpended balance, estimated savings	-2,981	-	-
TOTALS, EXPENDITURES	\$67,897	\$71,526	\$71,428
0032 Firearm Safety Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$343	\$339	\$339
Totals Available	\$343	\$339	\$339
Unexpended balance, estimated savings	-69	-	-
TOTALS, EXPENDITURES	\$274	\$339	\$339

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,845	\$25,594	\$26,385
Allocation for employee compensation	-	249	-
Allocation for staff benefits	-	106	-
Section 3.60 pension contribution adjustment	-	405	-
TOTALS, EXPENDITURES	\$25,845	\$26,354	\$26,385
0142 Department of Justice Sexual Habitual Offender Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,399	\$2,379	\$2,420
Allocation for employee compensation	-	7	-
Allocation for staff benefits	-	5	-
Section 3.60 pension contribution adjustment	-	24	-
Totals Available	\$2,399	\$2,415	\$2,420
Unexpended balance, estimated savings	-342	-	-
TOTALS, EXPENDITURES	\$2,057	\$2,415	\$2,420
0158 Travel Seller Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,433	\$1,418	\$1,438
Allocation for employee compensation	-	7	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	11	-
Totals Available	\$1,433	\$1,439	\$1,438
Unexpended balance, estimated savings	-747	-	-
TOTALS, EXPENDITURES	\$686	\$1,439	\$1,438
0214 Restitution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$371	\$366	\$374
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	4	-
Totals Available	\$371	\$374	\$374
Unexpended balance, estimated savings	-95	-	-
TOTALS, EXPENDITURES	\$276	\$374	\$374
0256 Sexual Predator Public Information Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$184	\$183	\$182
Totals Available	\$184	\$183	\$182
Unexpended balance, estimated savings	-4	-	-
TOTALS, EXPENDITURES	\$180	\$183	\$182
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$19,660	\$19,332	\$20,370
Allocation for employee compensation	-	137	-
Allocation for staff benefits	-	61	-
Section 3.60 pension contribution adjustment	-	446	-
Totals Available	\$19,660	\$19,976	\$20,370
Unexpended balance, estimated savings	-2,286	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES	\$17,374	\$19,976	\$20,370
0378 False Claims Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$12,309	\$12,272	\$12,701
Allocation for employee compensation	-	120	-
Allocation for staff benefits	-	53	-
Section 3.60 pension contribution adjustment	-	254	-
011 Budget Act appropriation (Transfer to the General Fund)	(-)	(20,000)	(-)
012 Budget Act appropriation (Transfer to the General Fund)	(-)	(14,000)	(-)
Totals Available	\$12,309	\$12,699	\$12,701
Unexpended balance, estimated savings	-1,575	-	-
TOTALS, EXPENDITURES	\$10,734	\$12,699	\$12,701
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$22,993	\$22,736	\$21,189
Allocation for employee compensation	-	93	-
Allocation for staff benefits	-	54	-
Section 3.60 pension contribution adjustment	-	588	-
Prior Year Balances Available:			
Chapter 2, Statutes of 2013	24,000	8,000	8,000
Miscellaneous Baseline Adjustment	-	-3,000	-
Totals Available	\$46,993	\$28,471	\$29,189
Unexpended balance, estimated savings	-308	-	-
Balance available in subsequent years	-17,543	-	-
TOTALS, EXPENDITURES	\$29,142	\$28,471	\$29,189
0566 Department of Justice Child Abuse Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$391	\$386	\$398
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	6	-
Totals Available	\$391	\$397	\$398
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$389	\$397	\$398
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$9,349	\$9,189	\$11,172
Allocation for employee compensation	-	73	-
Allocation for staff benefits	-	33	-
Section 3.60 pension contribution adjustment	-	200	-
Totals Available	\$9,349	\$9,495	\$11,172
Unexpended balance, estimated savings	-1,198	-	-
TOTALS, EXPENDITURES	\$8,151	\$9,495	\$11,172
0569 Gambling Control Fines and Penalties Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$47	\$47	\$47
Totals Available	\$47	\$47	\$47
Unexpended balance, estimated savings	-5	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES	\$42	\$47	\$47
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,066	\$34,315	\$34,470
TOTALS, EXPENDITURES	\$25,066	\$34,315	\$34,470
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,551	\$1,551	\$1,551
011 Budget Act appropriation (State Asset Forfeiture Account)	568	568	568
Government Code Section 27397 (e)	220	650	650
Totals Available	\$2,339	\$2,769	\$2,769
Unexpended balance, estimated savings	-632	-	-
TOTALS, EXPENDITURES	\$1,707	\$2,769	\$2,769
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$22,211	\$44,047	\$39,610
TOTALS, EXPENDITURES	\$22,211	\$44,047	\$39,610
1008 Firearms Safety and Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,516	\$3,492	\$3,535
Allocation for employee compensation	-	4	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	44	-
Totals Available	\$3,516	\$3,543	\$3,535
Unexpended balance, estimated savings	-365	-	-
TOTALS, EXPENDITURES	\$3,151	\$3,543	\$3,535
3016 Missing Persons DNA Data Base Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,472	\$3,440	\$3,475
Allocation for employee compensation	-	6	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	22	-
Totals Available	\$3,472	\$3,471	\$3,475
Unexpended balance, estimated savings	-537	-	-
TOTALS, EXPENDITURES	\$2,935	\$3,471	\$3,475
3053 Public Rights Law Enforcement Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,792	\$5,958	\$6,075
Allocation for employee compensation	-	49	-
Allocation for staff benefits	-	22	-
Section 3.60 pension contribution adjustment	-	83	-
Totals Available	\$5,792	\$6,112	\$6,075
Unexpended balance, estimated savings	-3,239	-	-
TOTALS, EXPENDITURES	\$2,553	\$6,112	\$6,075
3086 DNA Identification Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$78,399	\$77,273	\$78,382
Allocation for employee compensation	-	226	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Allocation for staff benefits	-	117	-
Section 3.60 pension contribution adjustment	-	695	-
Totals Available	\$78,399	\$78,311	\$78,382
Unexpended balance, estimated savings	-8,346	-	-
TOTALS, EXPENDITURES	\$70,053	\$78,311	\$78,382
3087 Unfair Competition Law Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,014	\$10,690	\$11,097
Allocation for employee compensation	-	129	-
Allocation for staff benefits	-	58	-
Section 3.60 pension contribution adjustment	-	208	-
Totals Available	\$11,014	\$11,085	\$11,097
Unexpended balance, estimated savings	-298	-	-
TOTALS, EXPENDITURES	\$10,716	\$11,085	\$11,097
3088 Registry of Charitable Trusts Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,156	\$3,334	\$5,564
Allocation for employee compensation	-	33	-
Allocation for staff benefits	-	14	-
Section 3.60 pension contribution adjustment	-	50	-
Totals Available	\$3,156	\$3,431	\$5,564
Unexpended balance, estimated savings	-312	-	-
TOTALS, EXPENDITURES	\$2,844	\$3,431	\$5,564
3131 California Bingo Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$48	\$53
002 Budget Act appropriation	48	-	-
Totals Available	\$48	\$48	\$53
Unexpended balance, estimated savings	-48	-	-
TOTALS, EXPENDITURES	\$-	\$48	\$53
3240 Secondhand Dealer and Pawnbroker Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,133	\$500	\$620
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	6	-
Totals Available	\$1,133	\$510	\$620
Unexpended balance, estimated savings	-101	-	-
TOTALS, EXPENDITURES	\$1,032	\$510	\$620
8071 National Mortgage Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,000	\$5,000	-
Government Code Section 12531(e) (Transfer to the General Fund)	16,500	-	-
Totals Available	\$22,500	\$5,000	\$-
Unexpended balance, estimated savings	-4,111	-	-
TOTALS, EXPENDITURES	\$18,389	\$5,000	\$-
9731 Legal Services Revolving Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
001 Budget Act appropriation	\$211,494	\$211,148	\$221,471
7A FI\$CAL Current Service Level Adjustment	-	1	-
Allocation for employee compensation	-	2,219	-
Allocation for staff benefits	-	981	-
Section 3.60 pension contribution adjustment	-	3,835	-
Totals Available	\$211,494	\$218,184	\$221,471
Unexpended balance, estimated savings	-13,918	-	-
TOTALS, EXPENDITURES	\$197,576	\$218,184	\$221,471
Less funding provided by the General Fund	-1,500	-1,500	-1,500
NET TOTALS, EXPENDITURES	\$196,076	\$216,684	\$219,971
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,539	\$1,291	\$1,590
Allocation for employee compensation	-	12	-
Allocation for staff benefits	-	5	-
Section 3.60 pension contribution adjustment	-	18	-
Totals Available	\$1,539	\$1,326	\$1,590
Unexpended balance, estimated savings	-206	-	-
TOTALS, EXPENDITURES	\$1,333	\$1,326	\$1,590
Total Expenditures, All Funds, (State Operations)	\$695,168	\$787,409	\$787,121
2 LOCAL ASSISTANCE			
0214 Restitution Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$4,855	\$4,855	\$4,855
TOTALS, EXPENDITURES	\$4,855	\$4,855	\$4,855
0460 Dealers Record of Sale Special Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$28	\$28	\$28
TOTALS, EXPENDITURES	\$28	\$28	\$28
0641 Domestic Violence Restraining Order Reimbursement Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,018	\$1,018	\$1,018
TOTALS, EXPENDITURES	\$1,018	\$1,018	\$1,018
Total Expenditures, All Funds, (Local Assistance)	\$5,901	\$5,901	\$5,901
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$701,069	\$793,310	\$793,022

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0012 Attorney General Antitrust Account ^s			
BEGINNING BALANCE	\$161	\$447	\$371
Prior Year Adjustments	79	-	-
Adjusted Beginning Balance	\$240	\$447	\$371
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173400 Settlements and Judgments - Anti-Trust Actions (Attorney General)	2,606	2,400	2,400
Total Revenues, Transfers, and Other Adjustments	\$2,606	\$2,400	\$2,400
Total Resources	\$2,846	\$2,847	\$2,771

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	2013-14*	2014-15*	2015-16*
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,388	2,474	2,475
8880 Financial Information System for California (State Operations)	<u>11</u>	<u>2</u>	<u>4</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,399</u>	<u>\$2,476</u>	<u>\$2,479</u>
FUND BALANCE	\$447	\$371	\$292
Reserve for economic uncertainties	447	371	292
0017 Fingerprint Fees Account ^s			
BEGINNING BALANCE	\$20,713	\$25,110	\$25,139
Prior Year Adjustments	<u>1,722</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$22,435	\$25,110	\$25,139
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4132000 Fingerprint Identification Card Fees	70,891	71,612	71,612
4163000 Investment Income - Surplus Money Investments	<u>2</u>	<u>2</u>	<u>2</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$70,893</u>	<u>\$71,614</u>	<u>\$71,614</u>
Total Resources	\$93,328	\$96,724	\$96,753
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	67,898	71,527	71,428
0840 State Controller (State Operations)	4	-	-
8880 Financial Information System for California (State Operations)	<u>316</u>	<u>58</u>	<u>128</u>
Total Expenditures and Expenditure Adjustments	<u>\$68,218</u>	<u>\$71,585</u>	<u>\$71,556</u>
FUND BALANCE	\$25,110	\$25,139	\$25,197
Reserve for economic uncertainties	25,110	25,139	25,197
0032 Firearm Safety Account ^s			
BEGINNING BALANCE	\$2,354	\$2,939	\$3,440
Prior Year Adjustments	<u>1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,355	\$2,939	\$3,440
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	<u>858</u>	<u>841</u>	<u>841</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$859</u>	<u>\$841</u>	<u>\$841</u>
Total Resources	\$3,214	\$3,780	\$4,281
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	273	339	339
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>1</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$276</u>	<u>\$340</u>	<u>\$340</u>
FUND BALANCE	\$2,939	\$3,440	\$3,941
Reserve for economic uncertainties	2,939	3,440	3,941
0142 Department of Justice Sexual Habitual Offender Fund ^s			
BEGINNING BALANCE	\$2,031	\$2,074	\$1,767
Prior Year Adjustments	<u>1</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,032	\$2,074	\$1,767
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	2013-14*	2014-15*	2015-16*
4143500 Miscellaneous Services to the Public	2,097	2,097	2,097
4172500 Miscellaneous Revenue	<u>14</u>	<u>14</u>	<u>14</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$2,111</u>	<u>\$2,111</u>	<u>\$2,111</u>
Total Resources	\$4,143	\$4,185	\$3,878
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,058	2,416	2,420
8880 Financial Information System for California (State Operations)	<u>11</u>	<u>2</u>	<u>4</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,069</u>	<u>\$2,418</u>	<u>\$2,424</u>
FUND BALANCE	\$2,074	\$1,767	\$1,454
Reserve for economic uncertainties	2,074	1,767	1,454
0158 Travel Seller Fund [§]			
BEGINNING BALANCE	\$1,879	\$1,958	\$1,208
Prior Year Adjustments	<u>58</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,937	\$1,958	\$1,208
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	708	683	683
4163000 Investment Income - Surplus Money Investments	<u>5</u>	<u>5</u>	<u>5</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$713</u>	<u>\$688</u>	<u>\$688</u>
Total Resources	\$2,650	\$2,646	\$1,896
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	686	1,438	1,438
8880 Financial Information System for California (State Operations)	<u>6</u>	<u>1</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$692</u>	<u>\$1,439</u>	<u>\$1,441</u>
FUND BALANCE	\$1,958	\$1,208	\$455
Reserve for economic uncertainties	1,958	1,208	455
0256 Sexual Predator Public Information Account [§]			
BEGINNING BALANCE	\$461	\$339	\$211
Prior Year Adjustments	<u>4</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$465	\$339	\$211
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	54	54	54
4163000 Investment Income - Surplus Money Investments	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$55</u>	<u>\$55</u>	<u>\$55</u>
Total Resources	\$520	\$394	\$266
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	180	183	182
8880 Financial Information System for California (State Operations)	<u>1</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$181</u>	<u>\$183</u>	<u>\$182</u>
FUND BALANCE	\$339	\$211	\$84
Reserve for economic uncertainties	339	211	84

0288 The Registry of International Student Exchange Visitor Placement Organizations**Fund [§]**

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	2013-14*	2014-15*	2015-16*
BEGINNING BALANCE	\$82	\$89	\$94
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$80	\$89	\$94
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	<u>9</u>	<u>5</u>	<u>5</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$9</u>	<u>\$5</u>	<u>\$5</u>
Total Resources	<u>\$89</u>	<u>\$94</u>	<u>\$99</u>
FUND BALANCE	\$89	\$94	\$99
Reserve for economic uncertainties	89	94	99

0378 False Claims Act Fund ^s

BEGINNING BALANCE	\$4,403	\$2,710	\$3,006
Prior Year Adjustments	<u>-1,396</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,007	\$2,710	\$3,006
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4150500 Interest Income - Interfund Loans	45	-	-
4163000 Investment Income - Surplus Money Investments	5	5	5
4170700 Civil and Criminal Violation Assessment	7,441	47,000	12,300
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the False Claims Act Fund (0378) per Item 0820-012-0378, Budget Act of 2010	3,000	-	-
Revenue Transfer from the False Claims Act Fund (0378) to the General Fund (0001) per Item 0820-011-0378, Budget Act of 2014	-	-20,000	-
Revenue Transfer from the False Claims Act Fund (0378) to the General Fund (0001) per Item 0820-012-0378, Budget Act of 2014	-	-14,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$10,491</u>	<u>\$13,005</u>	<u>\$12,305</u>
Total Resources	\$13,498	\$15,715	\$15,311
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	10,733	12,699	12,701
0840 State Controller (State Operations)	1	-	-
8880 Financial Information System for California (State Operations)	<u>54</u>	<u>10</u>	<u>22</u>
Total Expenditures and Expenditure Adjustments	<u>\$10,788</u>	<u>\$12,709</u>	<u>\$12,723</u>
FUND BALANCE	\$2,710	\$3,006	\$2,588
Reserve for economic uncertainties	2,710	3,006	2,588

0460 Dealers Record of Sale Special Account ^s

BEGINNING BALANCE	\$11,889	\$5,233	\$2,741
Prior Year Adjustments	<u>1,546</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$13,435	\$5,233	\$2,741
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129400 Other Regulatory Licenses and Permits	2,995	2,991	2,991
4143500 Miscellaneous Services to the Public	18,061	18,033	18,033
4163000 Investment Income - Surplus Money Investments	1	1	1
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Dealers' Record of Sale Special Account (0460) per Item 0820-011-0460, Budget Act of 2011	-	5,000	6,500

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Revenues, Transfers, and Other Adjustments	\$21,058	\$26,025	\$27,525
Total Resources	\$34,493	\$31,258	\$30,266
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	29,144	28,471	29,189
0820 Department of Justice (Local Assistance)	28	28	28
0840 State Controller (State Operations)	1	-	-
8880 Financial Information System for California (State Operations)	<u>86</u>	<u>18</u>	<u>56</u>
Total Expenditures and Expenditure Adjustments	<u>\$29,260</u>	<u>\$28,517</u>	<u>\$29,273</u>
FUND BALANCE	\$5,233	\$2,741	\$993
Reserve for economic uncertainties	5,233	2,741	993

0566 Department of Justice Child Abuse Fund [§]

BEGINNING BALANCE	\$1,830	\$1,810	\$1,775
Prior Year Adjustments	<u>9</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,839	\$1,810	\$1,775

REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

Revenues:			
4143500 Miscellaneous Services to the Public	<u>362</u>	<u>362</u>	<u>362</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$362</u>	<u>\$362</u>	<u>\$362</u>
Total Resources	\$2,201	\$2,172	\$2,137

EXPENDITURE AND EXPENDITURE ADJUSTMENTS

Expenditures:			
0820 Department of Justice (State Operations)	389	397	398
8880 Financial Information System for California (State Operations)	<u>2</u>	<u>-</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$391</u>	<u>\$397</u>	<u>\$399</u>
FUND BALANCE	\$1,810	\$1,775	\$1,738
Reserve for economic uncertainties	1,810	1,775	1,738

0567 Gambling Control Fund [§]

BEGINNING BALANCE	\$21,508	\$30,154	\$36,432
Prior Year Adjustments	<u>198</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$21,706	\$30,154	\$36,432

REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS

Revenues:			
4127400 Renewal Fees	1,166	1,166	1,166
4129200 Other Regulatory Fees	15,601	15,601	15,601
4129400 Other Regulatory Licenses and Permits	1,503	1,503	1,503
4143500 Miscellaneous Services to the Public	1,254	1,254	1,254
4163000 Investment Income - Surplus Money Investments	3	3	3
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	<u>1</u>	<u>1</u>	<u>1</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$19,528</u>	<u>\$19,528</u>	<u>\$19,528</u>
Total Resources	\$41,234	\$49,682	\$55,960

EXPENDITURE AND EXPENDITURE ADJUSTMENTS

Expenditures:			
0820 Department of Justice (State Operations)	8,150	9,495	11,172
0840 State Controller (State Operations)	1	-	-
0855 California Gambling Control Commission (State Operations)	2,879	3,744	3,815
8880 Financial Information System for California (State Operations)	<u>51</u>	<u>11</u>	<u>23</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Expenditures and Expenditure Adjustments	\$11,081	\$13,249	\$15,010
FUND BALANCE	\$30,154	\$36,432	\$40,950
Reserve for economic uncertainties	30,154	36,432	40,950
0569 Gambling Control Fines and Penalties Account ^s			
BEGINNING BALANCE	\$2,614	\$2,605	\$2,789
Prior Year Adjustments	<u>33</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,647	\$2,605	\$2,789
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4173000 Penalty Assessments - Other	<u>-</u>	<u>231</u>	<u>21</u>
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$231</u>	<u>\$21</u>
Total Resources	\$2,647	\$2,836	\$2,810
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	<u>42</u>	<u>47</u>	<u>47</u>
Total Expenditures and Expenditure Adjustments	<u>\$42</u>	<u>\$47</u>	<u>\$47</u>
FUND BALANCE	\$2,605	\$2,789	\$2,763
Reserve for economic uncertainties	2,605	2,789	2,763
1008 Firearms Safety and Enforcement Special Fund ^s			
BEGINNING BALANCE	\$7,631	\$11,347	\$14,809
Prior Year Adjustments	<u>202</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$7,833	\$11,347	\$14,809
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	6,661	6,987	6,987
4163000 Investment Income - Surplus Money Investments	<u>21</u>	<u>21</u>	<u>21</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$6,682</u>	<u>\$7,008</u>	<u>\$7,008</u>
Total Resources	\$14,515	\$18,355	\$21,817
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	3,151	3,543	3,535
8880 Financial Information System for California (State Operations)	<u>16</u>	<u>3</u>	<u>5</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,167</u>	<u>\$3,546</u>	<u>\$3,540</u>
FUND BALANCE	\$11,347	\$14,809	\$18,277
Reserve for economic uncertainties	11,347	14,809	18,277
3016 Missing Persons DNA Data Base Fund ^s			
BEGINNING BALANCE	\$1,069	\$2,227	\$1,589
Prior Year Adjustments	<u>101</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,170	\$2,227	\$1,589
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4135000 Local Agencies - Miscellaneous Revenue	2,993	2,832	2,732
4150500 Interest Income - Interfund Loans	11	-	-
4163000 Investment Income - Surplus Money Investments	4	4	4
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the Missing Persons DNA Fund (3016) per Item 0820-011-3016, Budget Act of 2011	1,000	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Revenues, Transfers, and Other Adjustments	\$4,007	\$2,836	\$2,736
Total Resources	\$5,177	\$5,063	\$4,325
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,935	3,471	3,475
8880 Financial Information System for California (State Operations)	<u>15</u>	<u>3</u>	<u>5</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,951</u>	<u>\$3,474</u>	<u>\$3,480</u>
FUND BALANCE	\$2,227	\$1,589	\$845
Reserve for economic uncertainties	2,227	1,589	845
3053 Public Rights Law Enforcement Special Fund ^s			
BEGINNING BALANCE	\$364	\$2,375	\$1,164
Prior Year Adjustments	<u>178</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$542	\$2,375	\$1,164
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	5	5	5
4173500 Settlements and Judgments - Other	<u>4,408</u>	<u>4,900</u>	<u>5,200</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,413</u>	<u>\$4,905</u>	<u>\$5,205</u>
Total Resources	\$4,955	\$7,280	\$6,369
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,552	6,112	6,075
8880 Financial Information System for California (State Operations)	<u>27</u>	<u>5</u>	<u>10</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,580</u>	<u>\$6,117</u>	<u>\$6,085</u>
FUND BALANCE	\$2,375	\$1,164	\$284
Reserve for economic uncertainties	2,375	1,164	284
3086 DNA Identification Fund ^s			
BEGINNING BALANCE	\$23,047	\$25,375	\$14,086
Prior Year Adjustments	<u>3,583</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$26,630	\$25,375	\$14,086
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	58	58	58
4172500 Miscellaneous Revenue	6	6	6
4173000 Penalty Assessments - Other	<u>69,095</u>	<u>67,022</u>	<u>65,011</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$69,160</u>	<u>\$67,086</u>	<u>\$65,075</u>
Total Resources	\$95,790	\$92,461	\$79,161
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	70,053	78,311	78,382
0840 State Controller (State Operations)	5	-	-
8880 Financial Information System for California (State Operations)	<u>357</u>	<u>64</u>	<u>137</u>
Total Expenditures and Expenditure Adjustments	<u>\$70,415</u>	<u>\$78,375</u>	<u>\$78,519</u>
FUND BALANCE	\$25,375	\$14,086	\$642
Reserve for economic uncertainties	25,375	14,086	642
3087 Unfair Competition Law Fund ^s			
BEGINNING BALANCE	\$8,510	\$9,808	\$4,542

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	2013-14*	2014-15*	2015-16*
Prior Year Adjustments	<u>150</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$8,660	\$9,808	\$4,542
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	28	28	28
4173000 Penalty Assessments - Other	<u>11,886</u>	<u>5,800</u>	<u>8,900</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$11,913</u>	<u>\$5,828</u>	<u>\$8,928</u>
Total Resources	\$20,573	\$15,636	\$13,470
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	10,716	11,085	11,097
0840 State Controller (State Operations)	1	-	-
8880 Financial Information System for California (State Operations)	<u>48</u>	<u>9</u>	<u>18</u>
Total Expenditures and Expenditure Adjustments	<u>\$10,765</u>	<u>\$11,094</u>	<u>\$11,115</u>
FUND BALANCE	\$9,808	\$4,542	\$2,355
Reserve for economic uncertainties	9,808	4,542	2,355
3088 Registry of Charitable Trusts Fund ^s			
BEGINNING BALANCE	\$3,262	\$4,286	\$5,067
Prior Year Adjustments	<u>191</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,453	\$4,286	\$5,067
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4143500 Miscellaneous Services to the Public	3,680	4,206	5,256
4163000 Investment Income - Surplus Money Investments	<u>10</u>	<u>10</u>	<u>10</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,690</u>	<u>\$4,216</u>	<u>\$5,266</u>
Total Resources	\$7,142	\$8,502	\$10,333
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	2,843	3,432	5,564
8880 Financial Information System for California (State Operations)	<u>14</u>	<u>3</u>	<u>5</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,857</u>	<u>\$3,435</u>	<u>\$5,569</u>
FUND BALANCE	\$4,286	\$5,067	\$4,764
Reserve for economic uncertainties	4,286	5,067	4,764
3131 California Bingo Fund ^s			
BEGINNING BALANCE	\$630	\$664	\$651
Prior Year Adjustments	<u>-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$628	\$664	\$651
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	25	12	12
4129400 Other Regulatory Licenses and Permits	12	-	-
4143500 Miscellaneous Services to the Public	<u>-</u>	<u>25</u>	<u>25</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$37</u>	<u>\$37</u>	<u>\$37</u>
Total Resources	\$665	\$701	\$688
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	-	48	53
0855 California Gambling Control Commission (State Operations)	1	2	2

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Expenditures and Expenditure Adjustments	<u>\$1</u>	<u>\$50</u>	<u>\$55</u>
FUND BALANCE	\$664	\$651	\$633
Reserve for economic uncertainties	664	651	633
3132 Charity Bingo Mitigation Fund ^s			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4172500 Miscellaneous Revenue	<u>-</u>	<u>11</u>	<u>12</u>
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$11</u>	<u>\$12</u>
Total Resources	-	\$11	\$12
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0855 California Gambling Control Commission (State Operations)	<u>-</u>	<u>11</u>	<u>12</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$11</u>	<u>\$12</u>
FUND BALANCE	-	-	-
3136 Foreclosure Consultant Regulation Fund ^s			
BEGINNING BALANCE	<u>\$12</u>	<u>\$12</u>	<u>\$12</u>
Adjusted Beginning Balance	<u>\$12</u>	<u>\$12</u>	<u>\$12</u>
Total Resources	<u>\$12</u>	<u>\$12</u>	<u>\$12</u>
FUND BALANCE	\$12	\$12	\$12
Reserve for economic uncertainties	12	12	12
3240 Secondhand Dealer and Pawnbroker Fund ^s			
BEGINNING BALANCE	\$1,624	\$1,663	\$2,138
Prior Year Adjustments	<u>91</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,715	\$1,663	\$2,138
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4127400 Renewal Fees	726	726	726
4129400 Other Regulatory Licenses and Permits	249	253	253
4163000 Investment Income - Surplus Money Investments	<u>5</u>	<u>5</u>	<u>5</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$980</u>	<u>\$984</u>	<u>\$984</u>
Total Resources	\$2,695	\$2,647	\$3,122
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	<u>1,032</u>	<u>509</u>	<u>620</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,032</u>	<u>\$509</u>	<u>\$620</u>
FUND BALANCE	\$1,663	\$2,138	\$2,502
Reserve for economic uncertainties	1,663	2,138	2,502

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	4,155.8	4,766.2	4,758.2	\$330,521	\$370,305	\$368,579
Salary and Other Adjustments	-	36.7	36.7	-	5,824	10,660
Workload and Administrative Adjustments						
Bureau of Gambling Control - Cardroom						
Licensing Workload						

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0820 Department of Justice - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Assoc Govtl Program Analyst (Limited Term 06-30-2018)	-	-	12.0	-	-	728
Temporary Help (Limited Term 06-30-2016)	-	-	-	-	-	24
Chaptered Legislation: Initiatives Workload (Chapter 697, Statutes of 2014)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	61
Dep Atty Gen IV	-	-	2.0	-	-	239
Legal Secty	-	-	1.0	-	-	44
Chaptered Legislation: Registry of Charitable Trusts Enforcement Program (Chapter 465, Statutes of 2014)						
Assoc Govtl Program Analyst (Limited Term 06-30-2017)	-	-	2.0	-	-	122
Dep Atty Gen	-	-	2.0	-	-	238
Investigative Auditor II	-	-	2.0	-	-	109
Investigative Auditor III (Limited Term 06-30-2017)	-	-	1.0	-	-	66
Legal Secty	-	-	1.0	-	-	44
Office Techn (Gen) (Limited Term 06-30-2017)	-	-	3.0	-	-	112
Staff Svcs Analyst (Gen) (Limited Term 06-30-2017)	-	-	2.0	-	-	84
Legal Services Workload						
Dep Atty Gen IV	-	-	20.0	-	-	2,326
Legal Secty	-	-	9.0	-	-	396
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	58.0	\$-	\$-	\$4,593
Totals, Adjustments	-	36.7	94.7	\$-	\$5,824	\$15,253
TOTALS, SALARIES AND WAGES	4,155.8	4,802.9	4,852.9	\$330,521	\$376,129	\$383,832

0840 State Controller

The State Controller is the Chief Fiscal Officer of California, the eighth largest economy in the world, and is principally responsible for accountability of the state's resources. The Controller ensures the appropriate expenditure of -- and accounting for -- every taxpayer dollar, advancing the long-term sustainability and responsible stewardship of California public resources. The Controller chairs or serves on 81 state boards and commissions, and is charged with duties ranging from overseeing the administration of the nation's two largest public pension funds, to protecting our coastline, helping to build hospitals and schools, and modernizing and maintaining California's vast infrastructure. The Controller provides sound fiscal control for, and independent oversight of, more than \$100 billion in receipts and disbursements of public funds. In addition, the Controller offers fiscal guidance to local governments, and performs audit functions to uncover fraud and abuse of taxpayer dollars. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds.
- Determine legality and accuracy of every claim against the State.
- Issue warrants in payment of the State's bills including lottery prizes.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, exempt employees, and state university and college system employees.
- Audit state and local government programs.
- Inform the public of the State's financial condition.
- Administer the Unclaimed Property Law.
- Inform the public of financial transactions of city, county and other local governments.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0500100 Accounting and Reporting	236.4	235.1	249.0	\$36,151	\$38,475	\$40,075
0500200 Audits	326.9	320.9	295.9	44,918	48,198	45,495
0500300 Personnel/Payroll Services	212.7	209.0	211.0	45,506	44,633	44,984
0500400 Unclaimed Property	236.5	257.4	257.4	35,522	37,205	36,832
0500500 Disbursements	80.5	95.8	95.8	26,940	28,825	28,841
0505 Loan Repayments	-	-	-	-116	-52	-52
9900100 Administration	283.6	277.4	277.7	49,405	55,530	55,690
9900200 Administration - Distributed	-	-	-	-48,883	-53,389	-53,718
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,376.6	1,395.6	1,386.8	\$189,443	\$199,425	\$198,147
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$54,832	\$55,526	\$49,423
0009 Breast Cancer Control Account, Breast Cancer Fund				3	-	-
0014 Hazardous Waste Control Account				3	-	-
0017 Fingerprint Fees Account				4	-	-
0042 State Highway Account, State Transportation Fund				386	-	-
0044 Motor Vehicle Account, State Transportation Fund				401	-	-
0046 Public Transportation Account, State Transportation Fund				18	19	19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				4,287	4,775	4,735
0062 Highway Users Tax Account, Transportation Tax Fund				1,501	1,666	1,653
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				18	17	17
0075 Radiation Control Fund				3	-	-
0099 Health Statistics Special Fund				3	-	-
0102 State Fire Marshal Licensing and Certification Fund				1	-	-
0106 Department of Pesticide Regulation Fund				4	-	-
0111 Department of Agriculture Account, Department of Food and Agriculture Fund				9	-	-
0115 Air Pollution Control Fund				4	-	-
0121 Hospital Building Fund				3	-	-
0140 California Environmental License Plate Fund				3	-	-
0163 Continuing Care Provider Fee Fund				5	-	-
0172 Developmental Disabilities Program Development Fund				10	-	-
0178 Driver Training Penalty Assessment Fund				1	-	-
0184 Employment Development Department Benefit Audit Fund				4	-	-
0185 Employment Development Department Contingent Fund				25	-	-
0193 Waste Discharge Permit Fund				4	-	-
0198 California Fire and Arson Training Fund				2	-	-
0200 Fish and Game Preservation Fund				11	-	-
0203 Genetic Disease Testing Fund				4	-	-
0209 California Hazardous Liquid Pipeline Safety Fund				2	-	-
0214 Restitution Fund				3	-	-
0217 Insurance Fund				15	-	-
0223 Workers Compensation Administration Revolving Fund				15	-	-
0228 Secretary of States Business Fees Fund				3	-	-
0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund				3	-	-
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund				2	-	-
0263 Off-Highway Vehicle Trust Fund				11	-	-
0271 Certification Fund				7	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0279 Child Health and Safety Fund	18	-	-
0317 Real Estate Fund	3	-	-
0318 Collins-Dugan Calif Conservation Corps Reimbursement Acct	9	-	-
0320 Oil Spill Prevention and Administration Fund	4	-	-
0328 Public School Planning, Design, and Construction Review Revolving Fund	1	-	-
0330 Local Revenue Fund	685	789	784
0367 Indian Gaming Special Distribution Fund	1	-	-
0392 State Parks and Recreation Fund	27	-	-
0421 Vehicle Inspection and Repair Fund	9	-	-
0439 Underground Storage Tank Cleanup Fund	9	-	-
0465 Energy Resources Programs Account	2	-	-
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	2	-	-
0494 Other - Unallocated Special Funds	93	1,775	6,665
0501 California Housing Finance Fund	3	-	-
0502 California Water Resources Development Bond Fund	46	-	-
0512 State Compensation Insurance Fund	54	-	-
0514 Employment Training Fund	15	-	-
0516 Harbors and Watercraft Revolving Fund	6	-	-
0557 Toxic Substances Control Account	3	-	-
0571 Uninsured Employers Benefits Trust Fund	1	-	-
0582 High Polluter Repair or Removal Account	4	-	-
0588 Unemployment Compensation Disability Fund	77	-	-
0602 Architecture Revolving Fund	1	-	-
0666 Service Revolving Fund	36	-	-
0687 Donated Food Revolving Fund	10	-	-
0735 Contractors License Fund	3	-	-
0758 Contingent Fund of the Medical Board of California	4	-	-
0797 Unallocated Bond Funds - Select	658	688	791
0803 State Childrens Trust Fund	1	-	-
0822 Public Employees Health Care Fund (PEHCF)	1	-	-
0830 Public Employees Retirement Fund	31	-	-
0835 Teachers Retirement Fund	10	-	-
0877 DMV Local Agency Collection Fund	2	2	2
0890 Federal Trust Fund	881	1,187	1,224
0903 State Penalty Fund	1,349	1,532	1,516
0928 Forest Resources Improvement Fund	5	-	-
0932 Trial Court Trust Fund	177	174	174
0933 Managed Care Fund	3	-	-
0969 Public Safety Account, Local Public Safety Fund	267	268	268
0970 Unclaimed Property Fund	35,805	39,553	39,178
0988 Other - Unallocated Non-Governmental Cost Funds	338	360	750
0995 Reimbursements	62,031	65,657	63,070
3010 Pierces Disease Management Account	1	-	-
3015 Gas Consumption Surcharge Fund	4	-	-
3036 Alcohol Beverages Control Fund	9	-	-
3037 State Court Facilities Construction Fund	9	-	-
3046 Oil, Gas, and Geothermal Administrative Fund	2	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

FUNDING	2013-14*	2014-15*	2015-16*
3063 State Responsibility Area Fire Prevention Fund	46	-	-
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	5	-	-
3085 Mental Health Services Fund	40	-	-
3086 DNA Identification Fund	4	-	-
3098 State Department of Public Health Licensing and Certification Program Fund	9	-	-
3103 Hatchery and Inland Fisheries Fund	3	-	-
3113 Residential and Outpatient Program Licensing Fund	3	-	-
3117 Alternative and Renewable Fuel and Vehicle Technology Fund	3	-	-
3119 Air Quality Improvement Fund	2	-	-
3121 Occupational Safety and Health Fund	3	-	-
3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account	3	-	-
3152 Labor Enforcement and Compliance Fund	2	-	-
3237 Cost of Implementation Account, Air Pollution Control Fund	4	-	-
3238 State Parks Revenue Incentive Subaccount, State Parks and Recreation Fund	3	-	-
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund	-	254	2,224
6036 2002 State School Facilities Fund	8	11	11
6044 2004 State School Facilities Fund	232	296	296
6057 2006 State School Facilities Fund	574	759	757
9730 Technology Services Revolving Fund	2	-	-
9731 Legal Services Revolving Fund	12	-	-
9740 Central Service Cost Recovery Fund	24,165	24,117	24,590
TOTALS, EXPENDITURES, ALL FUNDS	\$189,443	\$199,425	\$198,147

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Constitution, Article XVI, Section 7; Government Code Section 12410 and 12411.

PROGRAM AUTHORITY

0500100-Accounting and Reporting:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2, Division 3, Part 2, Chapter 5 and Part 10b, Chapter 4; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapter 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Health & Safety Code Division 24, Part 1, Chapter 1, Article 6; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets & Highways Code Division 3, Chapter 3 and 4; Welfare & Institutions Code Division 9, Part 5, Chapter 6; Revenue & Taxation Code Division 2, Part 2, Chapter 7, Article 1.

0500200-Audits:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.

0500300-Personnel/Payroll Services:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq., and Government Code Section 19822.3.

0500400-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

0500500-Disbursements:

Constitution, Article XVI, Section 7 and Government Code 17004, Mailing of Warrants.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

9900100-Administration:

Government Code Section 12402 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 22200 California Victim Compensation and Government Claims Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; Pooled Money Investment Board-Government Code Section 16480.1; various bond and finance committees-Education Code Section 19510, Military and Veterans Code Section 991, Water Code Section 12933, Harbors and Navigation Code Sections 3902-3, and Government Code Section 17220.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• 21st Century Project Legal Efforts	\$-	\$-	-	\$4,397	\$8,147	8.0
• Payroll Audits	-	-	-	400	301	5.0
• Special Fund Review and Reporting Workload	-	-	-	93	70	1.5
• State Government Reporting	-	-	-	-	592	5.3
• Property Tax Postponement Program Reinstatement	-254	254	-	-509	2,223	16.9
Totals, Workload Budget Change Proposals	-\$254	\$254	-	\$4,381	\$11,333	36.7
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$601	\$1,987	-	\$582	\$1,960	-
• Salary Adjustments	390	1,295	-	383	1,272	-
• Benefit Adjustments	174	566	-	211	665	-
• SWCAP	-	-	-	-	37	-
• Pro Rata	-	-	-	-	-431	-
• Miscellaneous Baseline Adjustments	3,160	-48	2.5	-115	67	-1.0
Totals, Other Workload Budget Adjustments	\$4,325	\$3,800	2.5	\$1,061	\$3,570	-1.0
Totals, Workload Budget Adjustments	\$4,071	\$4,054	2.5	\$5,442	\$14,903	35.7
Totals, Budget Adjustments	\$4,071	\$4,054	2.5	\$5,442	\$14,903	35.7

PROGRAM DESCRIPTIONS**0500100 - ACCOUNTING AND REPORTING**

The Division of Accounting and Reporting maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; maintains a database of information and reports on local financial transactions; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; monitors the cash flow of the General Fund; prescribes uniform accounting procedures for presentation of financial data for local governments; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; services and collects loans formerly serviced by the Technology, Trade and Commerce Agency (abolished January 1, 2004); refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; maintains the suspended Property Tax Postponement Program for senior and disabled citizens; administers the statewide discharge from accountability program in conjunction with the California Victim Compensation and Government Claims Board; collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) system to ensure best business practices; and participates in offsetting monies owed to the state.

0500200 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent auditors, performs field audits for a variety of state and federal programs, reviews the single audits reports of local governments and local education agencies that receive "pass-through federal funds" from state agencies; and audits major businesses for compliance with the Unclaimed Property Law.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

0500300 - PERSONNEL/PAYROLL SERVICES

The Personnel/Payroll Services Division administers the state's payroll, employment history, the automated travel expense reimbursement system, and leave accounting processes in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division pays state employees within the rules of the Uniform State Payroll process; maintains the state's official employment history and leave accounting processes in conformance with the appropriate laws, rules and memorandums of understanding and policies of the participating salary setting authorities; maintains the state's position roster and provides a wide variety of management and user information. The Division works with other entities, e.g., Department of Human Resources, Judicial Council, Department of Finance, and California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The 21st Century Project was initiated to replace existing statewide human resource management systems with a fully integrated solution (MyCalPAYS). The project is currently suspended until a comprehensive project assessment is completed.

0500400 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the state; receiving unclaimed property from banks, savings and loans, and other business firms; and providing outreach and education for California businesses holding unclaimed property.

0500500 - DISBURSEMENTS

The Disbursements Bureau provides services to all state Agencies, Departments and Boards; the primary responsibility being to produce and mail or deliver all payments of the state's obligations after auditing and clearance through control accounts, including personal income tax refunds, payroll, and retirement payments. Disbursements also provide post issuance services, including, but not limited to disposition of undeliverable warrants; lost warrant duplication; and the maintenance and production of paid warrant images.

9900100 - ADMINISTRATION

The Executive Office and the Administration Division provide executive direction and support services to programs in the State Controller's Office. The primary responsibilities include the establishment and maintenance of communications between the department and the public, budgeting, human resources, accounting information systems, and business services. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0500	STATE CONTROLLER'S OFFICE			
	State Operations:			
0001	General Fund	\$54,950	\$53,646	\$47,594
0009	Breast Cancer Control Account, Breast Cancer Fund	3	-	-
0014	Hazardous Waste Control Account	3	-	-
0017	Fingerprint Fees Account	4	-	-
0042	State Highway Account, State Transportation Fund	386	-	-
0044	Motor Vehicle Account, State Transportation Fund	401	-	-
0046	Public Transportation Account, State Transportation Fund	18	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	4,287	4,775	4,735
0062	Highway Users Tax Account, Transportation Tax Fund	1,501	1,666	1,653
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	18	17	17
0075	Radiation Control Fund	3	-	-
0099	Health Statistics Special Fund	3	-	-
0102	State Fire Marshal Licensing and Certification Fund	1	-	-
0106	Department of Pesticide Regulation Fund	4	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0111	Department of Agriculture Account, Department of Food and Agriculture Fund	9	-	-
0115	Air Pollution Control Fund	4	-	-
0121	Hospital Building Fund	3	-	-
0140	California Environmental License Plate Fund	3	-	-
0163	Continuing Care Provider Fee Fund	5	-	-
0172	Developmental Disabilities Program Development Fund	10	-	-
0178	Driver Training Penalty Assessment Fund	1	-	-
0184	Employment Development Department Benefit Audit Fund	4	-	-
0185	Employment Development Department Contingent Fund	25	-	-
0193	Waste Discharge Permit Fund	4	-	-
0198	California Fire and Arson Training Fund	2	-	-
0200	Fish and Game Preservation Fund	11	-	-
0203	Genetic Disease Testing Fund	4	-	-
0209	California Hazardous Liquid Pipeline Safety Fund	2	-	-
0214	Restitution Fund	3	-	-
0217	Insurance Fund	15	-	-
0223	Workers Compensation Administration Revolving Fund	15	-	-
0228	Secretary of States Business Fees Fund	3	-	-
0231	Health Education Account, Cigarette and Tobacco Products Surtax Fund	3	-	-
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	2	-	-
0263	Off-Highway Vehicle Trust Fund	11	-	-
0271	Certification Fund	7	-	-
0279	Child Health and Safety Fund	18	-	-
0317	Real Estate Fund	3	-	-
0318	Collins-Dugan Calif Conservation Corps Reimbursement Acct	9	-	-
0320	Oil Spill Prevention and Administration Fund	4	-	-
0328	Public School Planning, Design, and Construction Review Revolving Fund	1	-	-
0330	Local Revenue Fund	685	789	784
0367	Indian Gaming Special Distribution Fund	1	-	-
0392	State Parks and Recreation Fund	27	-	-
0421	Vehicle Inspection and Repair Fund	9	-	-
0439	Underground Storage Tank Cleanup Fund	9	-	-
0465	Energy Resources Programs Account	2	-	-
0471	Universal Lifeline Telephone Service Trust Administrative Committee Fund	2	-	-
0494	Other - Unallocated Special Funds	93	1,775	6,665
0501	California Housing Finance Fund	3	-	-
0502	California Water Resources Development Bond Fund	46	-	-
0512	State Compensation Insurance Fund	54	-	-
0514	Employment Training Fund	15	-	-
0516	Harbors and Watercraft Revolving Fund	6	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0557	Toxic Substances Control Account	3	-	-
0571	Uninsured Employers Benefits Trust Fund	1	-	-
0582	High Polluter Repair or Removal Account	4	-	-
0588	Unemployment Compensation Disability Fund	77	-	-
0602	Architecture Revolving Fund	1	-	-
0666	Service Revolving Fund	36	-	-
0687	Donated Food Revolving Fund	10	-	-
0735	Contractors License Fund	3	-	-
0758	Contingent Fund of the Medical Board of California	4	-	-
0797	Unallocated Bond Funds - Select	658	688	791
0803	State Childrens Trust Fund	1	-	-
0822	Public Employees Health Care Fund (PEHCF)	1	-	-
0830	Public Employees Retirement Fund	31	-	-
0835	Teachers Retirement Fund	10	-	-
0877	DMV Local Agency Collection Fund	2	2	2
0890	Federal Trust Fund	881	1,187	1,224
0903	State Penalty Fund	1,349	1,532	1,516
0928	Forest Resources Improvement Fund	5	-	-
0932	Trial Court Trust Fund	177	174	174
0933	Managed Care Fund	3	-	-
0969	Public Safety Account, Local Public Safety Fund	267	268	268
0970	Unclaimed Property Fund	35,805	39,553	39,178
0988	Other - Unallocated Non-Governmental Cost Funds	338	360	750
0995	Reimbursements	\$61,507	\$65,448	\$62,979
3010	Pierces Disease Management Account	1	-	-
3015	Gas Consumption Surcharge Fund	4	-	-
3036	Alcohol Beverages Control Fund	9	-	-
3037	State Court Facilities Construction Fund	9	-	-
3046	Oil, Gas, and Geothermal Administrative Fund	2	-	-
3063	State Responsibility Area Fire Prevention Fund	46	-	-
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	5	-	-
3085	Mental Health Services Fund	40	-	-
3086	DNA Identification Fund	4	-	-
3098	State Department of Public Health Licensing and Certification Program Fund	9	-	-
3103	Hatchery and Inland Fisheries Fund	3	-	-
3113	Residential and Outpatient Program Licensing Fund	3	-	-
3117	Alternative and Renewable Fuel and Vehicle Technology Fund	3	-	-
3119	Air Quality Improvement Fund	2	-	-
3121	Occupational Safety and Health Fund	3	-	-
3122	Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account	3	-	-
3152	Labor Enforcement and Compliance Fund	2	-	-
3237	Cost of Implementation Account, Air Pollution Control Fund	4	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
3238	State Parks Revenue Incentive Subaccount, State Parks and Recreation Fund	3	-	-
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	-	254	2,224
6036	2002 State School Facilities Fund	8	11	11
6044	2004 State School Facilities Fund	232	296	296
6057	2006 State School Facilities Fund	574	759	757
9730	Technology Services Revolving Fund	2	-	-
9731	Legal Services Revolving Fund	12	-	-
9740	Central Service Cost Recovery Fund	<u>24,165</u>	<u>24,117</u>	<u>24,590</u>
	Totals, State Operations	\$189,037	\$197,336	\$196,227
	SUBPROGRAM REQUIREMENTS			
0500100	Accounting and Reporting			
	State Operations:			
0001	General Fund	\$15,011	\$14,437	\$13,514
0046	Public Transportation Account, State Transportation Fund	18	19	19
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,199	2,887	2,850
0062	Highway Users Tax Account, Transportation Tax Fund	469	474	474
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	15	17	17
0330	Local Revenue Fund	685	849	844
0494	Other - Unallocated Special Funds	93	101	204
0797	Unallocated Bond Funds - Select	658	688	791
0877	DMV Local Agency Collection Fund	2	2	2
0903	State Penalty Fund	254	489	474
0932	Trial Court Trust Fund	173	174	174
0969	Public Safety Account, Local Public Safety Fund	267	268	268
0988	Other - Unallocated Non-Governmental Cost Funds	264	276	666
0995	Reimbursements	9,234	10,702	10,618
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	-	254	2,224
6036	2002 State School Facilities Fund	6	7	7
6044	2004 State School Facilities Fund	189	194	194
6057	2006 State School Facilities Fund	471	490	489
9740	Central Service Cost Recovery Fund	<u>6,143</u>	<u>6,147</u>	<u>6,246</u>
	Totals, State Operations	\$36,151	\$38,475	\$40,075
	SUBPROGRAM REQUIREMENTS			
0500200	Audits			
	State Operations:			
0001	General Fund	\$8,000	\$10,207	\$9,984
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	2,077	1,888	1,885
0062	Highway Users Tax Account, Transportation Tax Fund	1,032	1,192	1,179
0330	Local Revenue Fund	-	-60	-60
0890	Federal Trust Fund	881	1,187	1,224
0903	State Penalty Fund	1,095	1,043	1,042
0970	Unclaimed Property Fund	2,029	2,368	2,366
0988	Other - Unallocated Non-Governmental Cost Funds	74	84	84

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0995	Reimbursements	21,986	22,595	19,769
6036	2002 State School Facilities Fund	2	4	4
6044	2004 State School Facilities Fund	43	102	102
6057	2006 State School Facilities Fund	103	269	268
9740	Central Service Cost Recovery Fund	<u>7,596</u>	<u>7,319</u>	<u>7,648</u>
	Totals, State Operations	\$44,918	\$48,198	\$45,495
	SUBPROGRAM REQUIREMENTS			
0500300	Personnel/Payroll Services			
	State Operations:			
0001	General Fund	\$27,129	\$24,530	\$19,630
0009	Breast Cancer Control Account, Breast Cancer Fund	3	-	-
0014	Hazardous Waste Control Account	3	-	-
0017	Fingerprint Fees Account	4	-	-
0042	State Highway Account, State Transportation Fund	386	-	-
0044	Motor Vehicle Account, State Transportation Fund	401	-	-
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	11	-	-
0064	Motor Vehicle License Fee Account, Transportation Tax Fund	3	-	-
0075	Radiation Control Fund	3	-	-
0099	Health Statistics Special Fund	3	-	-
0102	State Fire Marshal Licensing and Certification Fund	1	-	-
0106	Department of Pesticide Regulation Fund	4	-	-
0111	Department of Agriculture Account, Department of Food and Agriculture Fund	9	-	-
0115	Air Pollution Control Fund	4	-	-
0121	Hospital Building Fund	3	-	-
0140	California Environmental License Plate Fund	3	-	-
0163	Continuing Care Provider Fee Fund	5	-	-
0172	Developmental Disabilities Program Development Fund	10	-	-
0178	Driver Training Penalty Assessment Fund	1	-	-
0184	Employment Development Department Benefit Audit Fund	4	-	-
0185	Employment Development Department Contingent Fund	25	-	-
0193	Waste Discharge Permit Fund	4	-	-
0198	California Fire and Arson Training Fund	2	-	-
0200	Fish and Game Preservation Fund	11	-	-
0203	Genetic Disease Testing Fund	4	-	-
0209	California Hazardous Liquid Pipeline Safety Fund	2	-	-
0214	Restitution Fund	3	-	-
0217	Insurance Fund	15	-	-
0223	Workers Compensation Administration Revolving Fund	15	-	-
0228	Secretary of States Business Fees Fund	3	-	-
0231	Health Education Account, Cigarette and Tobacco Products Surtax Fund	3	-	-
0235	Public Resources Account, Cigarette and Tobacco Products Surtax Fund	2	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0263 Off-Highway Vehicle Trust Fund	11	-	-
0271 Certification Fund	7	-	-
0279 Child Health and Safety Fund	18	-	-
0317 Real Estate Fund	3	-	-
0318 Collins-Dugan Calif Conservation Corps Reimbursement Acct	9	-	-
0320 Oil Spill Prevention and Administration Fund	4	-	-
0328 Public School Planning, Design, and Construction Review Revolving Fund	1	-	-
0367 Indian Gaming Special Distribution Fund	1	-	-
0392 State Parks and Recreation Fund	27	-	-
0421 Vehicle Inspection and Repair Fund	9	-	-
0439 Underground Storage Tank Cleanup Fund	9	-	-
0465 Energy Resources Programs Account	2	-	-
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund	2	-	-
0494 Other - Unallocated Special Funds	-	1,674	6,461
0501 California Housing Finance Fund	3	-	-
0502 California Water Resources Development Bond Fund	46	-	-
0512 State Compensation Insurance Fund	54	-	-
0514 Employment Training Fund	15	-	-
0516 Harbors and Watercraft Revolving Fund	6	-	-
0557 Toxic Substances Control Account	3	-	-
0571 Uninsured Employers Benefits Trust Fund	1	-	-
0582 High Polluter Repair or Removal Account	4	-	-
0588 Unemployment Compensation Disability Fund	77	-	-
0602 Architecture Revolving Fund	1	-	-
0666 Service Revolving Fund	36	-	-
0687 Donated Food Revolving Fund	10	-	-
0735 Contractors License Fund	3	-	-
0758 Contingent Fund of the Medical Board of California	4	-	-
0803 State Childrens Trust Fund	1	-	-
0822 Public Employees Health Care Fund (PEHCF)	1	-	-
0830 Public Employees Retirement Fund	31	-	-
0835 Teachers Retirement Fund	10	-	-
0928 Forest Resources Improvement Fund	5	-	-
0932 Trial Court Trust Fund	4	-	-
0933 Managed Care Fund	3	-	-
0995 Reimbursements	8,887	9,903	10,333
3010 Pierces Disease Management Account	1	-	-
3015 Gas Consumption Surcharge Fund	4	-	-
3036 Alcohol Beverages Control Fund	9	-	-
3037 State Court Facilities Construction Fund	9	-	-
3046 Oil, Gas, and Geothermal Administrative Fund	2	-	-
3063 State Responsibility Area Fire Prevention Fund	46	-	-
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	5	-	-
3085 Mental Health Services Fund	40	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
3086 DNA Identification Fund	4	-	-
3098 State Department of Public Health Licensing and Certification Program Fund	9	-	-
3103 Hatchery and Inland Fisheries Fund	3	-	-
3113 Residential and Outpatient Program Licensing Fund	3	-	-
3117 Alternative and Renewable Fuel and Vehicle Technology Fund	3	-	-
3119 Air Quality Improvement Fund	2	-	-
3121 Occupational Safety and Health Fund	3	-	-
3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account	3	-	-
3152 Labor Enforcement and Compliance Fund	2	-	-
3237 Cost of Implementation Account, Air Pollution Control Fund	4	-	-
3238 State Parks Revenue Incentive Subaccount, State Parks and Recreation Fund	3	-	-
9730 Technology Services Revolving Fund	2	-	-
9731 Legal Services Revolving Fund	12	-	-
9740 Central Service Cost Recovery Fund	<u>7,950</u>	<u>8,526</u>	<u>8,560</u>
Totals, State Operations	\$45,506	\$44,633	\$44,984
SUBPROGRAM REQUIREMENTS			
0500400 Unclaimed Property			
State Operations:			
0001 General Fund	\$1,728	\$-	\$-
0970 Unclaimed Property Fund	33,776	37,185	36,812
0995 Reimbursements	<u>18</u>	<u>20</u>	<u>20</u>
Totals, State Operations	\$35,522	\$37,205	\$36,832
SUBPROGRAM REQUIREMENTS			
0500500 Disbursements			
State Operations:			
0001 General Fund	\$3,082	\$4,472	\$4,466
0995 Reimbursements	21,382	22,228	22,239
9740 Central Service Cost Recovery Fund	<u>2,476</u>	<u>2,125</u>	<u>2,136</u>
Totals, State Operations	\$26,940	\$28,825	\$28,841
PROGRAM REQUIREMENTS			
0505 LOAN REPAYMENTS			
State Operations:			
0001 General Fund	<u>\$2</u>	<u>\$-</u>	<u>\$-</u>
Totals, State Operations	\$2	\$-	\$-
Local Assistance:			
0001 General Fund	<u>\$-118</u>	<u>\$-52</u>	<u>\$-52</u>
Totals, Local Assistance	\$-118	\$-52	\$-52
PROGRAM REQUIREMENTS			
9900 ADMINISTRATION - TOTAL			
State Operations:			
0001 General Fund	\$-2	\$1,932	\$1,881
0995 Reimbursements	<u>524</u>	<u>209</u>	<u>91</u>
Totals, State Operations	\$522	\$2,141	\$1,972

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
	State Operations:			
0001	General Fund	\$30,048	\$55,104	\$54,999
0494	Other - Unallocated Special Funds	-	-	5
0797	Unallocated Bond Funds - Select	-	-	5
0988	Other - Unallocated Non-Governmental Cost Funds	-	-	20
0995	Reimbursements	19,357	376	451
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	-	50	210
	Totals, State Operations	\$49,405	\$55,530	\$55,690
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
	State Operations:			
0001	General Fund	\$-30,050	\$-53,172	\$-53,118
0494	Other - Unallocated Special Funds	-	-	-5
0797	Unallocated Bond Funds - Select	-	-	-5
0988	Other - Unallocated Non-Governmental Cost Funds	-	-	-20
0995	Reimbursements	-18,833	-167	-360
3268	Senior Citizens and Disabled Citizens Property Tax Postponement Fund	-	-50	-210
	Totals, State Operations	\$-48,883	\$-53,389	\$-53,718
TOTALS, EXPENDITURES				
	State Operations	189,561	199,477	198,199
	Local Assistance	-118	-52	-52
	Totals, Expenditures	\$189,443	\$199,425	\$198,147

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,373.4	1,393.1	1,351.1	\$87,049	\$85,733	\$82,605
Total Adjustments	<u>3.2</u>	<u>2.5</u>	<u>35.7</u>	-	<u>1,646</u>	<u>4,124</u>
Net Totals, Salaries and Wages	1,376.6	1,395.6	1,386.8	\$87,049	\$87,379	\$86,729
Staff Benefits	-	-	-	<u>36,929</u>	<u>42,676</u>	<u>42,703</u>
Totals, Personal Services	1,376.6	1,395.6	1,386.8	\$123,978	\$130,055	\$129,432
OPERATING EXPENSES AND EQUIPMENT						
				<u>\$65,583</u>	<u>\$69,422</u>	<u>\$68,767</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$189,561	\$199,477	\$198,199

2 Local Assistance	<u>Expenditures</u>		
	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Loans, Transfers and Other Disbursements	-118	-52	-52
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	-118	-52	-52

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$54,947	\$51,504	\$49,475
Adjustment per Government Code Section 12439	-	-20	-
Allocation for employee compensation	-	389	-
Allocation for staff benefits	-	176	-
Allocation of unanticipated costs per Provision 17, Budget Act of 2014	-	3,180	-
Budget Baseline Adjustment	-	1	-
Section 3.60 pension contribution adjustment	-	602	-
Chapter 1, Statutes of 2012	1	-	-
Chapter 13, Statutes of 2012	1	-	-
Penal Code Section 290.3(b)(2)	<u>2</u>	<u>-</u>	<u>-</u>
Totals Available	\$54,951	\$55,832	\$49,475
Unexpended balance, estimated savings	<u>-1</u>	<u>-254</u>	<u>-</u>
TOTALS, EXPENDITURES	\$54,950	\$55,578	\$49,475
0009 Breast Cancer Control Account, Breast Cancer Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
0014 Hazardous Waste Control Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
0017 Fingerprint Fees Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4	\$-	\$-
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$386</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$386	\$-	\$-
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$401</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$401	\$-	\$-
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$19	-	-
Apportionment Payment System Assessments per Section 25.50	<u>-</u>	<u>19</u>	<u>19</u>
Totals Available	\$19	\$19	\$19
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$18	\$19	\$19
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,378	\$4,622	\$4,735
Allocation for employee compensation	-	51	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Allocation for staff benefits	-	22	-
Section 3.60 pension contribution adjustment	-	80	-
Human Resources Management System Assessments per Section 25.25	<u>11</u>	<u>-</u>	<u>-</u>
Totals Available	\$4,389	\$4,775	\$4,735
Unexpended balance, estimated savings	<u>-102</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,287	\$4,775	\$4,735
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,250	\$1,317	\$1,348
Allocation for employee compensation	-	15	-
Allocation for staff benefits	-	6	-
Section 3.60 pension contribution adjustment	-	23	-
Apportionment Payment System Assessments per Section 25.50	303	-	-
Apportionment Payment System Assessments per Section 25.50	<u>-</u>	<u>305</u>	<u>305</u>
Totals Available	\$1,553	\$1,666	\$1,653
Unexpended balance, estimated savings	<u>-52</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,501	\$1,666	\$1,653
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$16	-	-
Human Resources Management System Assessments per Section 25.25	3	-	-
001 Budget Act appropriation	<u>-</u>	<u>17</u>	<u>17</u>
Totals Available	\$19	\$17	\$17
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$18	\$17	\$17
0075 Radiation Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
0099 Health Statistics Special Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
0102 State Fire Marshal Licensing and Certification Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1	\$-	\$-
0106 Department of Pesticide Regulation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4	\$-	\$-
0111 Department of Agriculture Account, Department of Food and Agriculture Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$9	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES	\$9	\$-	\$-
0115 Air Pollution Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>-</u>	<u>-</u>
Totals Available	\$3	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4	\$-	\$-
0121 Hospital Building Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
0140 California Environmental License Plate Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
0163 Continuing Care Provider Fee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$5	\$-	\$-
0172 Developmental Disabilities Program Development Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$10</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$10	\$-	\$-
0178 Driver Training Penalty Assessment Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1	\$-	\$-
0184 Employment Development Department Benefit Audit Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4	\$-	\$-
0185 Employment Development Department Contingent Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$25</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$25	\$-	\$-
0193 Waste Discharge Permit Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>-</u>	<u>-</u>
Totals Available	\$3	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4	\$-	\$-
0198 California Fire and Arson Training Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2	\$-	\$-
0200 Fish and Game Preservation Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Human Resources Management System Assessments per Section 25.25	\$11	-	-
TOTALS, EXPENDITURES	\$11	\$-	\$-
0203 Genetic Disease Testing Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
Totals Available	\$3	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$4	\$-	\$-
0209 California Hazardous Liquid Pipeline Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
TOTALS, EXPENDITURES	\$2	\$-	\$-
0214 Restitution Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
0217 Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$15	-	-
TOTALS, EXPENDITURES	\$15	\$-	\$-
0223 Workers Compensation Administration Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$15	-	-
TOTALS, EXPENDITURES	\$15	\$-	\$-
0228 Secretary of States Business Fees Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
TOTALS, EXPENDITURES	\$2	\$-	\$-
0263 Off-Highway Vehicle Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$11	-	-
TOTALS, EXPENDITURES	\$11	\$-	\$-
0271 Certification Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$7	-	-
TOTALS, EXPENDITURES	\$7	\$-	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0279 Child Health and Safety Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$18	-	-
TOTALS, EXPENDITURES	\$18	\$-	\$-
0317 Real Estate Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
0318 Collins-Dugan Calif Conservation Corps Reimbursement Acct			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$8	-	-
Totals Available	\$8	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$9	\$-	\$-
0320 Oil Spill Prevention and Administration Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	-	-
TOTALS, EXPENDITURES	\$4	\$-	\$-
0328 Public School Planning, Design, and Construction Review Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	-	-
TOTALS, EXPENDITURES	\$1	\$-	\$-
0330 Local Revenue Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$635	\$668	\$684
Allocation for employee compensation	-	7	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	11	-
Apportionment Payment System Assessments per Section 25.50	96	-	-
Apportionment Payment System Assessments per Section 25.50	-	100	100
Totals Available	\$731	\$789	\$784
Unexpended balance, estimated savings	-46	-	-
TOTALS, EXPENDITURES	\$685	\$789	\$784
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	-	-
TOTALS, EXPENDITURES	\$1	\$-	\$-
0392 State Parks and Recreation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$27	-	-
TOTALS, EXPENDITURES	\$27	\$-	\$-
0421 Vehicle Inspection and Repair Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$8	-	-
Totals Available	\$8	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$9	\$-	\$-
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Human Resources Management System Assessments per Section 25.25	\$9	-	-
TOTALS, EXPENDITURES	\$9	\$-	\$-
0465 Energy Resources Programs Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
TOTALS, EXPENDITURES	\$2	\$-	\$-
0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
TOTALS, EXPENDITURES	\$2	\$-	\$-
0494 Other - Unallocated Special Funds			
APPROPRIATIONS			
011 Budget Act appropriation	\$96	\$99	\$204
Allocation for employee compensation	-	1	-
Section 3.60 pension contribution adjustment	-	1	-
Human Resources Management System Assessments per Section 25.25	-	1,674	6,461
Totals Available	\$96	\$1,775	\$6,665
Unexpended balance, estimated savings	-3	-	-
TOTALS, EXPENDITURES	\$93	\$1,775	\$6,665
0501 California Housing Finance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
0502 California Water Resources Development Bond Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$45	-	-
Totals Available	\$45	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$46	\$-	\$-
0512 State Compensation Insurance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$54	-	-
TOTALS, EXPENDITURES	\$54	\$-	\$-
0514 Employment Training Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$16	-	-
Totals Available	\$16	\$-	\$-
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$15	\$-	\$-
0516 Harbors and Watercraft Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$6	-	-
TOTALS, EXPENDITURES	\$6	\$-	\$-
0557 Toxic Substances Control Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0571 Uninsured Employers Benefits Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	-	-
TOTALS, EXPENDITURES	\$1	\$-	\$-
0582 High Polluter Repair or Removal Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
Totals Available	\$3	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$4	\$-	\$-
0588 Unemployment Compensation Disability Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$78	-	-
Totals Available	\$78	\$-	\$-
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$77	\$-	\$-
0602 Architecture Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	-	-
TOTALS, EXPENDITURES	\$1	\$-	\$-
0666 Service Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$36	-	-
TOTALS, EXPENDITURES	\$36	\$-	\$-
0687 Donated Food Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$9	-	-
Totals Available	\$9	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$10	\$-	\$-
0735 Contractors License Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
0758 Contingent Fund of the Medical Board of California			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
Totals Available	\$3	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$4	\$-	\$-
0797 Unallocated Bond Funds - Select			
APPROPRIATIONS			
011 Budget Act appropriation	\$665	\$667	\$791
Allocation for employee compensation	-	7	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	11	-
Totals Available	\$665	\$688	\$791
Unexpended balance, estimated savings	-7	-	-
TOTALS, EXPENDITURES	\$658	\$688	\$791

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0803 State Childrens Trust Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	-	-
TOTALS, EXPENDITURES	\$1	\$-	\$-
0822 Public Employees Health Care Fund (PEHCF)			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	-	-
TOTALS, EXPENDITURES	\$1	\$-	\$-
0830 Public Employees Retirement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$31	-	-
TOTALS, EXPENDITURES	\$31	\$-	\$-
0835 Teachers Retirement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$10	-	-
TOTALS, EXPENDITURES	\$10	\$-	\$-
0877 DMV Local Agency Collection Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$2	-	-
Apportionment Payment System Assessments per Section 25.50	-	2	2
TOTALS, EXPENDITURES	\$2	\$2	\$2
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$881	\$1,149	\$1,224
Allocation for employee compensation	-	13	-
Allocation for staff benefits	-	5	-
Section 3.60 pension contribution adjustment	-	20	-
TOTALS, EXPENDITURES	\$881	\$1,187	\$1,224
0903 State Penalty Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,402	\$1,483	\$1,516
Allocation for employee compensation	-	18	-
Allocation for staff benefits	-	6	-
Section 3.60 pension contribution adjustment	-	25	-
Totals Available	\$1,402	\$1,532	\$1,516
Unexpended balance, estimated savings	-53	-	-
TOTALS, EXPENDITURES	\$1,349	\$1,532	\$1,516
0928 Forest Resources Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$5	-	-
TOTALS, EXPENDITURES	\$5	\$-	\$-
0932 Trial Court Trust Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$173	-	-
Human Resources Management System Assessments per Section 25.25	4	-	-
Apportionment Payment System Assessments per Section 25.50	-	174	174
TOTALS, EXPENDITURES	\$177	\$174	\$174
0933 Managed Care Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Human Resources Management System Assessments per Section 25.25	\$3	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
0969 Public Safety Account, Local Public Safety Fund			
APPROPRIATIONS			
Apportionment Payment System Assessments per Section 25.50	\$267	-	-
Apportionment Payment System Assessments per Section 25.50	-	268	268
TOTALS, EXPENDITURES	\$267	\$268	\$268
0970 Unclaimed Property Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$35,802	\$38,406	\$39,178
Allocation for employee compensation	-	387	-
Allocation for staff benefits	-	168	-
Section 3.60 pension contribution adjustment	-	592	-
Totals Available	\$35,802	\$39,553	\$39,178
Unexpended balance, estimated savings	3	-	-
TOTALS, EXPENDITURES	\$35,805	\$39,553	\$39,178
0988 Other - Unallocated Non-Governmental Cost Funds			
APPROPRIATIONS			
001 Budget Act appropriation	\$251	\$256	\$263
Allocation for employee compensation	-	2	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	4	-
011 Budget Act appropriation	93	95	487
Allocation for employee compensation	-	1	-
Section 3.60 pension contribution adjustment	-	1	-
Totals Available	\$344	\$360	\$750
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	\$338	\$360	\$750
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$62,031	\$65,657	\$63,070
TOTALS, EXPENDITURES	\$62,031	\$65,657	\$63,070
3010 Pierces Disease Management Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$1	-	-
TOTALS, EXPENDITURES	\$1	\$-	\$-
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$4	-	-
TOTALS, EXPENDITURES	\$4	\$-	\$-
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$8	-	-
Totals Available	\$8	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$9	\$-	\$-
3037 State Court Facilities Construction Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$8	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
Totals Available	\$8	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$9	\$-	\$-
3046 Oil, Gas, and Geothermal Administrative Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2	\$-	\$-
3063 State Responsibility Area Fire Prevention Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$45</u>	<u>-</u>	<u>-</u>
Totals Available	\$45	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$46	\$-	\$-
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$5</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$5	\$-	\$-
3085 Mental Health Services Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$40</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$40	\$-	\$-
3086 DNA Identification Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$4</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4	\$-	\$-
3098 State Department of Public Health Licensing and Certification Program Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$9</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$9	\$-	\$-
3103 Hatchery and Inland Fisheries Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	<u>1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
3113 Residential and Outpatient Program Licensing Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
3117 Alternative and Renewable Fuel and Vehicle Technology Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$3</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$3	\$-	\$-
3119 Air Quality Improvement Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	<u>\$2</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2	\$-	\$-
3121 Occupational Safety and Health Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Human Resources Management System Assessments per Section 25.25	\$2	-	-
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair or Removal Account			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
Totals Available	\$2	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
3152 Labor Enforcement and Compliance Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
TOTALS, EXPENDITURES	\$2	\$-	\$-
3237 Cost of Implementation Account, Air Pollution Control Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
Totals Available	\$3	\$-	\$-
Unexpended balance, estimated savings	1	-	-
TOTALS, EXPENDITURES	\$4	\$-	\$-
3238 State Parks Revenue Incentive Subaccount, State Parks and Recreation Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$3	-	-
TOTALS, EXPENDITURES	\$3	\$-	\$-
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	-	\$2,224
Property Tax Postponement Program Reinstatement	-	254	-
TOTALS, EXPENDITURES	\$-	\$254	\$2,224
6036 2002 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10	\$11	\$11
Totals Available	\$10	\$11	\$11
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$8	\$11	\$11
6044 2004 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$288	\$288	\$296
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	4	-
Totals Available	\$288	\$296	\$296
Unexpended balance, estimated savings	-56	-	-
TOTALS, EXPENDITURES	\$232	\$296	\$296
6057 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$731	\$734	\$757
Allocation for employee compensation	-	8	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Allocation for staff benefits	-	4	-
Section 3.60 pension contribution adjustment	-	13	-
Totals Available	\$731	\$759	\$757
Unexpended balance, estimated savings	-157	-	-
TOTALS, EXPENDITURES	\$574	\$759	\$757
9730 Technology Services Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$2	-	-
TOTALS, EXPENDITURES	\$2	\$-	\$-
9731 Legal Services Revolving Fund			
APPROPRIATIONS			
Human Resources Management System Assessments per Section 25.25	\$12	-	-
TOTALS, EXPENDITURES	\$12	\$-	\$-
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$24,163	\$23,415	\$24,590
Allocation for employee compensation	-	236	-
Allocation for staff benefits	-	103	-
Section 3.60 pension contribution adjustment	-	363	-
Totals Available	\$24,163	\$24,117	\$24,590
Unexpended balance, estimated savings	2	-	-
TOTALS, EXPENDITURES	\$24,165	\$24,117	\$24,590
Total Expenditures, All Funds, (State Operations)	\$189,561	\$199,477	\$198,199
2 LOCAL ASSISTANCE			
0001 General Fund			
Loan repayment per Government Code Section 15373	-102	-52	-52
Loan repayment per Government Code Section 15373.2(b)	-16	-	-
NET TOTALS, EXPENDITURES	\$-118	\$-52	\$-52
0979 California Firefighters Memorial Fund			
APPROPRIATIONS			
101 Budget Act appropriation	-	\$500	\$500
Allocation to California Firefighters' Memorial Fund	-	-500	-500
TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$-118	\$-52	\$-52
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$189,443	\$199,425	\$198,147

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0442 California Olympic Training Account^s			
BEGINNING BALANCE			
Prior Year Adjustments	-	-	-
Adjusted Beginning Balance	\$-2	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4142500 License Plate Fees - Personalized Plates	75	\$84	\$84
Transfers and Other Adjustments			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

	2013-14*	2014-15*	2015-16*
Revenue Transfer from the California Olympic Training Account (0442) to the General Fund (0001) per Government Code Section 7592	-73	-84	-84
Total Revenues, Transfers, and Other Adjustments	<u>\$2</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-
3268 Senior Citizens and Disabled Citizens Property Tax Postponement Fund^s			
BEGINNING BALANCE	-	-	10,446
Adjusted Beginning Balance	-	-	\$10,446
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue transfer from the Special Deposit Fund (0942) to the Senior Citizens and Disabled Citizens Property Tax Postponement Fund (3268) per Government Code Section 16180.	-	5,350	-
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>\$5,350</u>	<u>-</u>
Total Resources	-	\$5,350	\$10,446
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	254	2,223
9100 Tax Relief (Local Assistance)	<u>-</u>	<u>-5,350</u>	<u>-9,900</u>
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>\$-5,096</u>	<u>\$-7,677</u>
FUND BALANCE	-	\$10,446	\$18,123
Reserve for economic uncertainties	-	10,446	18,123

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	1,373.4	1,393.1	1,351.1	\$87,049	\$85,733	\$82,605
Salary and Other Adjustments	3.2	2.5	-1.0	-	1,646	1,624
Workload and Administrative Adjustments						
21st Century Project Legal Efforts						
Assoc Govtl Program Analyst (Limited Term 06-30-2016)	-	-	1.0	-	-	67
Chief (Limited Term 06-30-2016)	-	-	1.0	-	-	131
Dp Mgr IV (Limited Term 06-30-2016)	-	-	1.0	-	-	114
Staff Info Sys Analyst (Spec) (Limited Term 06-30-2016)	-	-	1.0	-	-	72
Staff Svcs Mgr I (Limited Term 06-30-2016)	-	-	2.0	-	-	147
Staff Svcs Mgr III (Limited Term 06-30-2016)	-	-	1.0	-	-	94
Sys Software Spec II (Tech) (Limited Term 06-30-2016)	-	-	1.0	-	-	79
Payroll Audits						
Assoc Mgmt Auditor	-	-	2.0	-	-	131
Sr Mgmt Auditor	-	-	1.0	-	-	78
Staff Mgmt Auditor (Spec)	-	-	2.0	-	-	137
Property Tax Postponement Program Reinstatement						
Accounting Administrator I (Spec)	-	-	1.0	-	-	67
Accounting Analyst (Limited Term 06-30-2017)	-	-	1.0	-	-	48
Assoc Accounting Analyst (Limited Term 06-30-2017)	-	-	1.0	-	-	64

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0840 State Controller - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Assoc Govtl Program Analyst (Limited Term 06-30-3017)	-	-	1.2	-	-	73
Assoc Govtl Program Analyst	-	-	4.0	-	-	240
Assoc Info Sys Analyst (Spec) (Limited Term 06-30-2017)	-	-	0.5	-	-	33
Program Techn (Limited Term 06-30-2017)	-	-	1.0	-	-	33
Staff Info Sys Analyst (Supvr)	-	-	0.2	-	-	15
Staff Svcs Analyst (Gen) (Limited Term 06-30-2017)	-	-	2.0	-	-	91
Staff Svcs Analyst (Gen)	-	-	2.0	-	-	91
Staff Svcs Mgr I	-	-	1.0	-	-	70
Staff Svcs Mgr II (Mgrial)	-	-	1.0	-	-	77
Staff Svcs Mgr III	-	-	1.0	-	-	89
Special Fund Review and Reporting Workload						
Accounting Administrator I (Spec)	-	-	1.0	-	-	67
Assoc Info Sys Analyst (Spec)	-	-	0.5	-	-	33
State Government Reporting						
Accounting Administrator I (Spec)	-	-	2.0	-	-	133
Accounting Administrator II	-	-	1.0	-	-	77
Assoc Govtl Program Analyst	-	-	0.3	-	-	18
Assoc Info Sys Analyst (Spec)	-	-	2.0	-	-	131
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	36.7	\$-	\$-	\$2,500
Totals, Adjustments	3.2	2.5	35.7	\$-	\$1,646	\$4,124
TOTALS, SALARIES AND WAGES	1,376.6	1,395.6	1,386.8	\$87,049	\$87,379	\$86,729

0845 Department of Insurance

California's publicly-elected Insurance Commissioner regulates the largest insurance market in the United States, and the eighth largest insurance market in the world, with \$123 billion in direct premiums; enforces the California Insurance Code; and oversees the California Department of Insurance (CDI). The CDI acts to ensure vibrant markets where insurers keep their promises and the health and economic security of individuals, families and businesses are protected.

The CDI licenses and regulates insurance companies, agents and brokers in California. Currently, the CDI oversees and licenses approximately 1,300 insurance companies and 360,000 individuals and business entities as insurance agents, brokers, adjusters and bail agents. Additionally, the CDI receives and investigates over 250,000 consumer inquiries and complaints annually; performs examinations to ensure the financial solvency of companies; receives approximately 28,000 suspected fraudulent claim referrals annually; and works in conjunction with local, state and federal law enforcement agencies to investigate and prosecute fraudulent insurance practices.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0520 Regulation of Insurance Companies and Insurance Producers	438.8	474.5	471.0	\$77,330	\$83,381	\$80,520
0525 Consumer Protection	296.6	344.0	346.0	51,130	57,208	56,988
0530 Fraud Control	265.2	306.3	327.3	109,109	114,647	121,614
0535 General Fund Tax Collection and Compliance	12.2	14.0	14.0	1,853	2,042	2,047
9900100 Administration	220.6	244.5	244.5	29,386	31,938	31,714
9900200 Administration - Distributed	-	-	-	-29,386	-31,938	-31,714
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	1,233.4	1,383.3	1,402.8	\$239,422	\$257,278	\$261,169

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0001 General Fund	\$-	\$4,643	\$6,171
0217 Insurance Fund	236,575	249,282	253,940
0890 Federal Trust Fund	2,675	3,103	808
0995 Reimbursements	172	250	250
TOTALS, EXPENDITURES, ALL FUNDS	\$239,422	\$257,278	\$261,169

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

0520-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16032, except as noted below.

0525-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

0530-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

0535-General Fund Tax Collection and Compliance:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Menu Modernization Project (Year 2)	\$-	\$-	-	\$1,527	\$1,250	7.5
• Workers' Compensation Fraud Program	-	-	-	-	5,417	15.0
• Health Insurance Reform	-	-	-	-	1,005	-
• Federal Grant for Health Insurance Rate Review/Increased Transparency in Medical Services Pricing	-	-	-	-	452	-
• Health Policy Form Review for ACA Compliance	-	-	-	-	280	2.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$1,527	\$8,404	24.5
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$51	\$3,285	-	\$51	\$3,285	-
• Salary Adjustments	6	1,588	-	6	1,588	-
• Benefit Adjustments	2	723	-	2	862	-
• Pro Rata	-	-	-	-	195	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-6,381	-5.0
Totals, Other Workload Budget Adjustments	\$59	\$5,596	-	\$59	-\$451	-5.0
Totals, Workload Budget Adjustments	\$59	\$5,596	-	\$1,586	\$7,953	19.5
Totals, Budget Adjustments	\$59	\$5,596	-	\$1,586	\$7,953	19.5

PROGRAM DESCRIPTIONS

0520 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

The objectives of this program are to: (1) prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers; (2) prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) ensure that property and casualty insurance rates are not excessive, inadequate, unfairly discriminatory or otherwise in violation of the Insurance Code; (4) review health insurance rates filed with the Department to determine whether they are reasonable and attempt to get unreasonable rates lowered by insurers; (5) ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure. Through the Conservation and Liquidation Office, the CDI administers the estates of insolvent and delinquent insurance companies.

0525 - CONSUMER PROTECTION

The objectives of this program are to: (1) provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers and (2) protect applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance.

0530 - FRAUD CONTROL

The objective of this program is to protect the public from economic loss by actively investigating, arresting and referring for prosecution or adjudication those who commit insurance fraud and other violations of the law. The program is staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases.

0535 - GENERAL FUND TAX COLLECTION AND COMPLIANCE

This program performs tax collection; ensures compliance from insurance companies and surplus line brokers with the laws contained in the Insurance Code and Revenue and Taxation Code; and assists the Board of Equalization and State Controller's Office with various refund, assessment, and accounting matters relative to the premium tax program. Tax collections from this program are deposited in the State's General Fund.

9900 - ADMINISTRATION

This program provides administrative support services to the CDI including budgets, accounting, human resources, business services and information technology, as well as legislative and legal services.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0520	REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS			
	State Operations:			
0217	Insurance Fund	\$74,483	\$80,028	\$79,462
0890	Federal Trust Fund	2,675	3,103	808
0995	Reimbursements	<u>172</u>	<u>250</u>	<u>250</u>
	Totals, State Operations	\$77,330	\$83,381	\$80,520
SUBPROGRAM REQUIREMENTS				
0520010	Rate Regulation			
	State Operations:			
0217	Insurance Fund	\$22,285	\$20,741	\$19,934
0890	Federal Trust Fund	2,675	3,103	808
0995	Reimbursements	<u>172</u>	<u>250</u>	<u>250</u>
	Totals, State Operations	\$25,132	\$24,094	\$20,992
SUBPROGRAM REQUIREMENTS				
0520019	Regulatory			
	State Operations:			
0217	Insurance Fund	<u>\$17,559</u>	<u>\$24,256</u>	<u>\$24,231</u>
	Totals, State Operations	\$17,559	\$24,256	\$24,231
SUBPROGRAM REQUIREMENTS				
0520028	Licensing			
	State Operations:			
0217	Insurance Fund	\$27,813	\$25,643	\$25,931

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	Totals, State Operations	\$27,813	\$25,643	\$25,931
	SUBPROGRAM REQUIREMENTS			
0520037	Special Programs			
	State Operations:			
0217	Insurance Fund	<u>\$6,826</u>	<u>\$9,388</u>	<u>\$9,366</u>
	Totals, State Operations	\$6,826	\$9,388	\$9,366
	PROGRAM REQUIREMENTS			
0525	CONSUMER PROTECTION			
	State Operations:			
0001	General Fund	\$-	\$699	\$699
0217	Insurance Fund	<u>50,416</u>	<u>55,759</u>	<u>55,539</u>
	Totals, State Operations	\$50,416	\$56,458	\$56,238
	Local Assistance:			
0217	Insurance Fund	<u>\$714</u>	<u>\$750</u>	<u>\$750</u>
	Totals, Local Assistance	\$714	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525010	Legal Compliance			
	State Operations:			
0217	Insurance Fund	<u>\$7,104</u>	<u>\$9,928</u>	<u>\$9,804</u>
	Totals, State Operations	\$7,104	\$9,928	\$9,804
	SUBPROGRAM REQUIREMENTS			
0525019	Investigations			
	State Operations:			
0217	Insurance Fund	<u>\$16,066</u>	<u>\$16,218</u>	<u>\$16,191</u>
	Totals, State Operations	\$16,066	\$16,218	\$16,191
	Local Assistance:			
0217	Insurance Fund	<u>\$714</u>	<u>\$750</u>	<u>\$750</u>
	Totals, Local Assistance	\$714	\$750	\$750
	SUBPROGRAM REQUIREMENTS			
0525028	Consumer Services and Market Conduct			
	State Operations:			
0217	Insurance Fund	<u>\$27,246</u>	<u>\$29,613</u>	<u>\$29,544</u>
	Totals, State Operations	\$27,246	\$29,613	\$29,544
	SUBPROGRAM REQUIREMENTS			
0525037	Enhanced Fraud Investigation Division			
	State Operations:			
0001	General Fund	<u>\$-</u>	<u>\$699</u>	<u>\$699</u>
	Totals, State Operations	\$-	\$699	\$699
	PROGRAM REQUIREMENTS			
0530	FRAUD CONTROL			
	State Operations:			
0001	General Fund	\$-	\$2,944	\$4,472
0217	Insurance Fund	<u>47,645</u>	<u>50,062</u>	<u>52,274</u>
	Totals, State Operations	\$47,645	\$53,006	\$56,746
	Local Assistance:			
0001	General Fund	\$-	\$1,000	\$1,000
0217	Insurance Fund	61,464	60,641	63,868

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	Totals, Local Assistance	\$61,464	\$61,641	\$64,868
	SUBPROGRAM REQUIREMENTS			
0530010	Fraud - Auto			
	State Operations:			
0217	Insurance Fund	<u>\$19,134</u>	<u>\$21,329</u>	<u>\$21,334</u>
	Totals, State Operations	\$19,134	\$21,329	\$21,334
	Local Assistance:			
0217	Insurance Fund	<u>\$23,676</u>	<u>\$21,951</u>	<u>\$21,951</u>
	Totals, Local Assistance	\$23,676	\$21,951	\$21,951
	SUBPROGRAM REQUIREMENTS			
0530019	Fraud - Workers' Compensation			
	State Operations:			
0217	Insurance Fund	<u>\$22,149</u>	<u>\$22,277</u>	<u>\$24,478</u>
	Totals, State Operations	\$22,149	\$22,277	\$24,478
	Local Assistance:			
0217	Insurance Fund	<u>\$31,117</u>	<u>\$31,874</u>	<u>\$35,101</u>
	Totals, Local Assistance	\$31,117	\$31,874	\$35,101
	SUBPROGRAM REQUIREMENTS			
0530028	Fraud - General Assessment			
	State Operations:			
0217	Insurance Fund	<u>\$3,413</u>	<u>\$2,854</u>	<u>\$2,854</u>
	Totals, State Operations	\$3,413	\$2,854	\$2,854
	SUBPROGRAM REQUIREMENTS			
0530037	Fraud - Disability and Healthcare			
	State Operations:			
0217	Insurance Fund	<u>\$2,949</u>	<u>\$3,602</u>	<u>\$3,608</u>
	Totals, State Operations	\$2,949	\$3,602	\$3,608
	Local Assistance:			
0217	Insurance Fund	<u>\$6,671</u>	<u>\$6,816</u>	<u>\$6,816</u>
	Totals, Local Assistance	\$6,671	\$6,816	\$6,816
	SUBPROGRAM REQUIREMENTS			
0530055	Enhanced Fraud FRAUD Division			
	State Operations:			
0001	General Fund	<u>\$-</u>	<u>\$2,258</u>	<u>\$3,785</u>
	Totals, State Operations	\$-	\$2,258	\$3,785
	Local Assistance:			
0001	General Fund	<u>\$-</u>	<u>\$1,000</u>	<u>\$1,000</u>
	Totals, Local Assistance	\$-	\$1,000	\$1,000
	SUBPROGRAM REQUIREMENTS			
0530064	Enhanced Fraud Legal Branch			
	State Operations:			
0001	General Fund	<u>\$-</u>	<u>\$686</u>	<u>\$687</u>
	Totals, State Operations	\$-	\$686	\$687
	PROGRAM REQUIREMENTS			
0535	GENERAL FUND TAX COLLECTION AND COMPLIANCE			
	State Operations:			
0217	Insurance Fund	\$1,853	\$2,042	\$2,047

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Totals, State Operations		\$1,853	\$2,042	\$2,047
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
State Operations:				
0217	Insurance Fund	<u>\$29,386</u>	<u>\$31,938</u>	<u>\$31,714</u>
Totals, State Operations		\$29,386	\$31,938	\$31,714
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
State Operations:				
0217	Insurance Fund	<u>-\$29,386</u>	<u>-\$31,938</u>	<u>-\$31,714</u>
Totals, State Operations		-\$29,386	-\$31,938	-\$31,714
TOTALS, EXPENDITURES				
State Operations		177,244	194,887	195,551
Local Assistance		<u>62,178</u>	<u>62,391</u>	<u>65,618</u>
Totals, Expenditures		\$239,422	\$257,278	\$261,169

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	1,233.4	1,383.3	1,383.3	\$88,376	\$99,361	\$99,361
Total Adjustments	<u>-</u>	<u>-</u>	<u>19.5</u>	<u>-</u>	<u>56</u>	<u>1,828</u>
Net Totals, Salaries and Wages	1,233.4	1,383.3	1,402.8	\$88,376	\$99,417	\$101,189
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>31,979</u>	<u>42,212</u>	<u>43,231</u>
Totals, Personal Services	1,233.4	1,383.3	1,402.8	\$120,355	\$141,629	\$144,420
OPERATING EXPENSES AND EQUIPMENT						
				<u>\$56,889</u>	<u>\$53,258</u>	<u>\$51,131</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$177,244	\$194,887	\$195,551

2 Local Assistance

	<u>Expenditures</u>		
	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Grants and Subventions - Governmental	<u>\$62,178</u>	<u>\$62,391</u>	<u>\$65,618</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$62,178	\$62,391	\$65,618

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$3,585	\$5,171
Allocation for employee compensation	-	6	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	50	-
011 Budget Act appropriation (Loan to the Insurance Fund)	<u>(1,122)</u>	<u>(-)</u>	<u>(-)</u>
TOTALS, EXPENDITURES	\$-	\$3,643	\$5,171

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0217 Insurance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$176,519	\$182,290	\$189,322
Allocation for employee compensation	-	1,587	-
Allocation for staff benefits	-	721	-
Miscellaneous adjustment to realign Current Service Level	-	6	-
Section 3.60 pension contribution adjustment	<u>-</u>	<u>3,287</u>	<u>-</u>
Totals Available	\$176,519	\$187,891	\$189,322
Unexpended balance, estimated savings	<u>-2,122</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$174,397	\$187,891	\$189,322
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	<u>\$2,675</u>	<u>\$3,103</u>	<u>\$808</u>
TOTALS, EXPENDITURES	\$2,675	\$3,103	\$808
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$172</u>	<u>\$250</u>	<u>\$250</u>
TOTALS, EXPENDITURES	\$172	\$250	\$250
Total Expenditures, All Funds, (State Operations)	\$177,244	\$194,887	\$195,551
2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>-</u>	<u>\$1,000</u>	<u>\$1,000</u>
TOTALS, EXPENDITURES	\$-	\$1,000	\$1,000
0217 Insurance Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$64,223</u>	<u>\$61,391</u>	<u>\$64,618</u>
Totals Available	\$64,223	\$61,391	\$64,618
Unexpended balance, estimated savings	<u>-2,045</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$62,178	\$61,391	\$64,618
Total Expenditures, All Funds, (Local Assistance)	\$62,178	\$62,391	\$65,618
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$239,422	\$257,278	\$261,169

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0217 Insurance Fund^s			
BEGINNING BALANCE	\$30,384	\$13,699	\$595
Prior Year Adjustments	<u>-1,748</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$28,636	\$13,699	\$595
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4124000 Insurance Company - Examination Fees	19,171	22,517	22,753
4124200 Insurance Company - License Fees and Penalties	40,977	45,198	51,002
4124400 Insurance Company - General Fees	21,647	24,867	27,159
4124600 Insurance Company - Proposition 103 Fees	27,758	29,208	30,512
4124800 Insurance Fraud Assessment - Automobile	49,084	49,119	50,592
4125000 Insurance Fraud Assessment - General	11,223	12,642	13,786

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

	2013-14*	2014-15*	2015-16*
4125200 Insurance Fraud Assessment - Workers Compensation	50,139	52,055	58,862
4140000 Document Sales	78	78	78
4143500 Miscellaneous Services to the Public	16	16	16
4163000 Investment Income - Surplus Money Investments	46	46	46
4171100 Cost Recoveries - Other	2,499	1,700	1,300
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	12	12	12
4172500 Miscellaneous Revenue	-1	365	165
4173000 Penalty Assessments - Other	1	-	-
Transfers and Other Adjustments			
Loan Repayment from Insurance Fund (0217) to General Fund (0001) per Item 0845-011-0001, Budget Act of 2013	-	-112	-239
Loan from General Fund (0001) to Insurance Fund (0217) per Item 0845-011-0001, Budget Act of 2013	1,122	-	-
Revenue Transfer from Insurance Fund (0217) to Office of Patient Advocate Trust Fund (3209) per Chapter 552, Statutes of 2011	-268	-209	-209
Total Revenues, Transfers, and Other Adjustments	\$223,502	\$237,502	\$255,835
Total Resources	\$252,139	\$251,201	\$256,430
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	15	-	-
0845 Department of Insurance (State Operations)	174,390	187,886	189,311
0845 Department of Insurance (Local Assistance)	62,178	61,391	64,618
1690 Alfred E. Alquist Seismic Safety Commission (State Operations)	1,083	1,185	1,380
8880 Financial Information System for California (State Operations)	774	144	334
Total Expenditures and Expenditure Adjustments	\$238,440	\$250,606	\$255,643
FUND BALANCE	\$13,699	\$595	\$787
Reserve for economic uncertainties	13,699	595	787

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	1,233.4	1,383.3	1,383.3	\$88,376	\$99,361	\$99,361
Salary and Other Adjustments	-	-	-5.0	-	56	-727
Workload and Administrative Adjustments						
Federal Grant for Health Insurance Rate Review/Increased Transparency in Medical Services Pricing						
Various	-	-	-	-	-	251
Health Insurance Reform						
Various	-	-	-	-	-	593
Health Policy Form Review for ACA Compliance						
Atty	-	-	2.0	-	-	157
Menu Modernization Project (Year 2)						
Sr Programmer Analyst (Spec) (Limited Term 06-30-2016)	-	-	1.0	-	-	79
Sys Software Spec II (Tech) (Limited Term 06-30-2016)	-	-	1.0	-	-	79
Sys Software Spec III (Supvry) (Limited Term 06-30-2016)	-	-	1.0	-	-	91

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0845 Department of Insurance - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Sys Software Spec III (Tech) (Limited Term 06-30-2016)	-	-	1.0	-	-	87
Temporary Help (Limited Term 06-30-2016)	-	-	3.5	-	-	283
Workers' Compensation Fraud Program						
Assoc Govtl Program Analyst	-	-	1.0	-	-	61
Gen Auditor III	-	-	2.0	-	-	130
Investigator	-	-	9.0	-	-	552
Special Investigator Asst	-	-	1.0	-	-	39
Supvng Fraud Investigator I	-	-	2.0	-	-	152
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	24.5	\$-	\$-	\$2,554
Totals, Adjustments	-	-	19.5	\$-	\$56	\$1,828
TOTALS, SALARIES AND WAGES	1,233.4	1,383.3	1,402.8	\$88,376	\$99,417	\$101,189

0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities, including the California Schools for the Deaf and Blind.

In the 29 years since sales began in October 1985 through June 30, 2014, the California State Lottery has raised approximately \$28 billion for public education, including \$1.35 billion in FY 2013-14.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2014-15 and 2015-16 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0850 California State Lottery Commission - Continued**Statement of Operations**

	2013-14*	2014-15*	2015-16*
Lottery sales	\$5,034,661	\$5,400,000	\$5,400,000
Less prizes	<u>3,082,376</u>	<u>3,381,830</u>	<u>3,381,830</u>
Sales after prizes	1,952,285	2,018,170	2,018,170
Less Game Costs:			
Retailer costs	345,513	374,968	374,968
Draw game costs	72,071	81,532	81,532
Instant ticket game costs	<u>27,956</u>	<u>35,663</u>	<u>35,663</u>
Total, Game Costs	\$445,540	\$492,163	\$492,163
Resources before operating expenses	\$1,506,745	\$1,526,007	\$1,526,007
Operating Expenses:			
Salaries, wages and benefits	66,109	76,253	76,253
Advertising	63,699	68,541	68,541
Promotion, public relations and point-of-sale	14,507	14,563	14,563
Other professional services	13,331	14,683	14,683
Depreciation and amortization	6,075	6,201	6,201
Other general and administrative expenses	<u>16,371</u>	<u>29,596</u>	<u>29,596</u>
Total, Operating Expenses	\$180,092	\$209,837	\$209,837
Income and Proceeds to Education	1,326,652	1,316,170	1,316,170
Interest Income	<u>1,276</u>	<u>1,300</u>	<u>1,300</u>
Net Resources	\$1,327,928	\$1,317,470	\$1,317,470
Unclaimed Prizes	21,673	25,200	25,200
Administrative Reserve	<u>-</u>	<u>9,784</u>	<u>9,784</u>
TOTAL RESOURCES DUE TO EDUCATION FUND	\$1,349,601	\$1,352,454	\$1,352,454

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0850 California State Lottery Commission - Continued**Distribution of State Lottery Education Fund Revenues**

	2013-14*	2014-15*	2015-16*
Department of Education (K-12)	\$1,089,016	\$1,091,319	\$1,091,319
California Community Colleges	182,465	182,851	182,851
California State University	46,676	46,774	46,774
University of California	30,856	30,921	30,921
Other Public Colleges and Universities	143	143	143
Miscellaneous Educational Institutions	445	446	446
TOTALS	<u>\$1,349,601</u>	<u>\$1,352,454</u>	<u>\$1,352,454</u>

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0855 California Gambling Control Commission

The California Gambling Control Commission (Commission) has jurisdiction over gambling establishments (cardrooms), third-party providers of proposition player services, Tribal casinos, and charitable organizations that offer remote caller bingo, pursuant to its authority under state law and Tribal-State Gaming Compacts (Compacts). The Commission also has jurisdiction over gaming policies, regulations, criteria, and standards.

There are 89 licensed cardrooms in California over which the Commission has regulatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) distribution of Tribal gaming revenues to various state funds and to authorized federally-recognized non-Compact Tribes, and (2) monitoring of Tribal gaming through initial and periodic background checks of key employees, vendors, and financial sources.

The Commission has regulatory responsibilities related to remote caller bingo.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0560 California Gambling Control Commission	32.9	35.0	35.0	\$99,728	\$102,968	\$103,091
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	32.9	35.0	35.0	\$99,728	\$102,968	\$103,091
FUNDING				2013-14*	2014-15*	2015-16*
0366 Indian Gaming Revenue Sharing Trust Fund				\$78,925	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund				11,769	2,711	2,762
0567 Gambling Control Fund				2,879	3,744	3,815
3131 California Bingo Fund				1	2	2
3132 Charity Bingo Mitigation Fund				-	11	12
8088 Graton Mitigation Fund				6,154	-	-
TOTALS, EXPENDITURES, ALL FUNDS				\$99,728	\$102,968	\$103,091

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012.25 - 12012.90, 12710 - 12715, 12716 - 12718, and 63048.65

Penal Code Sections 326.3-326.5, and 337j

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Pro Rata	\$-	\$-	-	\$-	\$120	-
• Retirement Rate Adjustments	-	88	-	-	88	-
• Salary Adjustments	-	76	-	-	76	-
• Benefit Adjustments	-	5	-	-	8	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$169	-	\$-	\$292	-
Totals, Workload Budget Adjustments	\$-	\$169	-	\$-	\$292	-
Totals, Budget Adjustments	\$-	\$169	-	\$-	\$292	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0855 California Gambling Control Commission - Continued

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0560	CALIFORNIA GAMBLING CONTROL COMMISSION			
	State Operations:			
0367	Indian Gaming Special Distribution Fund	\$2,708	\$2,711	\$2,762
0567	Gambling Control Fund	2,879	3,744	3,815
3131	California Bingo Fund	1	2	2
3132	Charity Bingo Mitigation Fund	-	11	12
	Totals, State Operations	\$5,588	\$6,468	\$6,591
	Local Assistance:			
0366	Indian Gaming Revenue Sharing Trust Fund	\$78,925	\$96,500	\$96,500
0367	Indian Gaming Special Distribution Fund	9,061	-	-
8088	Graton Mitigation Fund	6,154	-	-
	Totals, Local Assistance	\$94,140	\$96,500	\$96,500
	TOTALS, EXPENDITURES			
	Local Assistance	94,140	96,500	96,500
	State Operations	5,588	6,468	6,591
	Totals, Expenditures	\$99,728	\$102,968	\$103,091

EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	32.9	35.0	35.0	\$2,820	\$2,952	\$2,952
Total Adjustments	-	-	-	-	76	120
Net Totals, Salaries and Wages	32.9	35.0	35.0	\$2,820	\$3,028	\$3,072
Staff Benefits	-	-	-	1,113	1,447	1,461
Totals, Personal Services	32.9	35.0	35.0	\$3,933	\$4,475	\$4,533
OPERATING EXPENSES AND EQUIPMENT				\$1,655	\$1,982	\$2,047
SPECIAL ITEMS OF EXPENSES				-	11	11
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,588	\$6,468	\$6,591

2 Local Assistance

	<u>Expenditures</u>		
	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Grants and Subventions - Governmental	\$9,061	\$-	\$-
Grants and Subventions - Non-Governmental	78,925	96,500	96,500
Interagency Passthrough Disbursements	6,154	-	-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$94,140	\$96,500	\$96,500

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
1 STATE OPERATIONS			
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0855 California Gambling Control Commission - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
001 Budget Act appropriation	\$2,805	\$2,640	\$2,762
Allocation for employee compensation	-	32	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	37	-
Totals Available	\$2,805	\$2,711	\$2,762
Unexpended balance, estimated savings	-97	-	-
TOTALS, EXPENDITURES	\$2,708	\$2,711	\$2,762
0567 Gambling Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,875	\$3,646	\$3,815
Allocation for employee compensation	-	44	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	51	-
Totals Available	\$3,875	\$3,744	\$3,815
Unexpended balance, estimated savings	-996	-	-
TOTALS, EXPENDITURES	\$2,879	\$3,744	\$3,815
3131 California Bingo Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$2	\$2
Chapter 32, Statutes of 2013	2	-	-
Totals Available	\$2	\$2	\$2
Unexpended balance, estimated savings	-1	-	-
TOTALS, EXPENDITURES	\$1	\$2	\$2
3132 Charity Bingo Mitigation Fund			
APPROPRIATIONS			
Interest Expense on Indian Gaming Special Distribution Fund per Penal Code section 326.4 (d) (1)	\$10	\$11	\$12
Totals Available	\$10	\$11	\$12
Unexpended balance, estimated savings	-10	-	-
TOTALS, EXPENDITURES	\$-	\$11	\$12
Total Expenditures, All Funds, (State Operations)	\$5,588	\$6,468	\$6,591
2 LOCAL ASSISTANCE			
0366 Indian Gaming Revenue Sharing Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$96,500	\$96,500	\$96,500
Totals Available	\$96,500	\$96,500	\$96,500
Unexpended balance, estimated savings	-17,575	-	-
TOTALS, EXPENDITURES	\$78,925	\$96,500	\$96,500
0367 Indian Gaming Special Distribution Fund			
APPROPRIATIONS			
111 Budget Act appropriation (Transfer to the Indian Gaming Revenue Sharing Trust Fund)	(\$40,000)	(\$25,000)	(\$25,000)
Chapter 746, Statutes of 2013	9,100	-	-
Prior Year Balances Available:			
Chapter 719, Statutes of 2010	141	-	-
Totals Available	\$9,241	\$-	\$-
Unexpended balance, estimated savings	-180	-	-
TOTALS, EXPENDITURES	\$9,061	\$-	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0855 California Gambling Control Commission - Continued

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
8088 Graton Mitigation Fund			
APPROPRIATIONS			
Chapter 12, Statutes of 2012	\$6,154	-	-
TOTALS, EXPENDITURES	\$6,154	\$-	\$-
Total Expenditures, All Funds, (Local Assistance)	\$94,140	\$96,500	\$96,500
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$99,728	\$102,968	\$103,091

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0367 Indian Gaming Special Distribution Fund ^s			
BEGINNING BALANCE	\$30,496	\$15,929	\$8,856
Prior Year Adjustments	6,011	-	-
Adjusted Beginning Balance	\$36,507	\$15,929	\$8,856
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	29	50	50
4173900 Tribal Gaming Revenues	45,187	48,978	50,173
Transfers and Other Adjustments			
Revenue Transfer from the Indian Gaming Special Distribution Fund (0367) to the Indian Gaming Revenue Sharing Trust Fund (0366) per Item 0855-111-0367, Budget Acts of 2013, 2014, and 2015	-28,200	-25,000	-25,000
Total Revenues, Transfers, and Other Adjustments	<u>\$17,016</u>	<u>\$24,028</u>	<u>\$25,223</u>
Total Resources	\$53,524	\$39,957	\$34,078
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0820 Department of Justice (State Operations)	17,372	19,976	20,370
0840 State Controller (State Operations)	2	-	-
0855 California Gambling Control Commission (State Operations)	2,708	2,711	2,762
0855 California Gambling Control Commission (Local Assistance)	9,061	-	-
4265 Department of Public Health (State Operations)	4,323	4,319	4,318
4265 Department of Public Health (Local Assistance)	3,998	4,000	4,000
7501 Department of Human Resources (State Operations)	-	75	75
8880 Financial Information System for California (State Operations)	132	22	48
Total Expenditures and Expenditure Adjustments	<u>\$37,595</u>	<u>\$31,102</u>	<u>\$31,573</u>
FUND BALANCE	\$15,929	\$8,856	\$2,505
Reserve for economic uncertainties	15,929	8,856	2,505

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	32.9	35.0	35.0	\$2,820	\$2,952	\$2,952
Salary and Other Adjustments	-	-	-	-	76	120
Totals, Adjustments	-	-	-	\$-	\$76	\$120
TOTALS, SALARIES AND WAGES	32.9	35.0	35.0	\$2,820	\$3,028	\$3,072

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization

The State Board of Equalization administers various tax and fee programs, including the Sales and Use tax; adopts rules and regulations to clarify tax laws; acts as an appellate body for the review of property, business and income tax assessments; assesses and allocates property values of railroads and specified utilities; and oversees the property tax assessment practices of all 58 county assessors.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0570025 County Assessment Standards Program	74.8	83.1	74.4	\$9,495	\$10,171	\$10,180
0570050 State-Assessed Property Program	66.9	83.7	74.6	8,243	9,844	9,776
0570075 Timber Tax Program	12.7	12.0	10.5	1,685	2,427	2,468
0570100 Sales and Use Tax Program	3,747.4	3,971.9	3,567.1	431,705	456,349	451,966
0570125 Hazardous Substances Tax Program	38.8	47.1	42.4	4,294	5,019	5,023
0570150 Alcoholic Beverage Tax Program	20.9	22.7	20.1	2,294	2,864	2,838
0570175 Tire Recycling Fee Program	13.4	17.9	16.4	1,366	1,742	1,743
0570200 Cigarette and Tobacco Products Tax Program	100.8	112.7	100.7	23,028	25,159	25,290
0570225 Cigarette and Tobacco Products Licensing Program	67.9	78.9	70.4	9,604	10,182	10,316
0570250 Transportation Fund Tax Program	179.7	178.8	155.1	25,728	28,902	29,026
0570275 Occupational Lead Poisoning Prevention Fee Program	8.1	8.2	7.5	799	855	869
0570300 Integrated Waste Management Program	3.1	5.1	4.6	403	567	575
0570325 Underground Storage Tank Fee Program	27.9	29.3	26.5	3,252	3,589	3,627
0570350 Oil Spill Prevention Program	1.7	2.3	3.3	259	475	671
0570375 Energy Resources Surcharge Program	1.6	2.5	2.2	253	306	308
0570400 Annual Water Rights Fee Program	3.6	4.8	4.4	402	496	504
0570425 Childhood Lead Poisoning Prevention Fee Program	3.1	5.1	4.7	418	608	616
0570450 Marine Invasive Species Program	2.5	4.0	3.6	312	488	489
0570475 Fire Prevention Fee Program	85.8	85.7	68.2	9,829	9,227	8,697
0570500 Emergency Telephone Users Surcharge Program	7.2	13.1	11.7	1,058	1,633	1,632
0570525 E-Waste Recycling Fee Program	31.9	38.2	33.8	4,106	5,270	5,344
0570550 Lumber Fee Program	4.9	17.8	15.1	881	2,257	2,239
0570575 Insurance Tax Program	2.3	2.4	2.0	321	318	319
0570600 Natural Gas Surcharge Program	4.9	4.6	3.9	768	892	871
0570625 Appeals from Other Governmental Programs	21.2	16.7	14.0	2,974	2,137	2,140
9900100 Administration	-	-	493.1	-	417	63,033
9900200 Administration - Distributed	-	-	-	26	-	-62,616
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4,533.1	4,848.6	4,830.3	\$543,503	\$582,194	\$577,944
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$296,538	\$326,586	\$323,619
0004 Breast Cancer Fund				758	812	819
0022 State Emergency Telephone Number Account				1,058	1,633	1,632
0061 Motor Vehicle Fuel Account, Transportation Tax Fund				25,646	28,463	28,591
0070 Occupational Lead Poisoning Prevention Account				799	855	869
0080 Childhood Lead Poisoning Prevention Fund				418	608	616
0230 Cigarette and Tobacco Products Surtax Fund				9,387	10,087	10,154
0320 Oil Spill Prevention and Administration Fund				259	475	671
0387 Integrated Waste Management Account, Integrated Waste Management Fund				403	567	575
0439 Underground Storage Tank Cleanup Fund				3,252	3,589	3,627
0465 Energy Resources Programs Account				253	306	308

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0623 California Children and Families First Trust Fund	16,589	17,811	17,939
0890 Federal Trust Fund	82	439	435
0965 Timber Tax Fund	1,685	2,427	2,468
0995 Reimbursements	172,225	167,481	165,981
3015 Gas Consumption Surcharge Fund	768	892	871
3058 Water Rights Fund	402	496	504
3063 State Responsibility Area Fire Prevention Fund	6,437	9,227	8,697
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	4,106	5,270	5,344
3067 Cigarette and Tobacco Products Compliance Fund	1,557	1,913	1,985
3212 Timber Regulation and Forest Restoration Fund	881	2,257	2,239
TOTALS, EXPENDITURES, ALL FUNDS	\$543,503	\$582,194	\$577,944

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XIII, Section 17 of the California Constitution.

PROGRAM AUTHORITY

0570025-County Assessment Standards Program:

California Constitution, Article XIII, Section 18, Revenue & Taxation Code Sections 63.1, 64, 69.5, 75.60, 155, 169, 214-214.5, 218.5, 251, 254.6, 401.5, 407, 408, 423, 452, 480.1, 480.2, 480.4, 601, 602, 615, 618, 670-673, 1153, 1254, 1366, 1603, 1650, 1716, 1817, 5364, 5781, and 5840, and Government Code Sections 15606-15608, 15611, 15624, and 15640-15646.

0570050-State-Assessed Property Program:

California Constitution, Article XIII, Section 19, Revenue and Taxation Code Sections 100-100.95, 721-868, 4876-4880, 5011-5014, 5148 and 11201-11702, and Government Code Sections 54900-54916.5.

0570075-Timber Tax Program:

Revenue & Taxation Code Sections 431-437, 38101-38908, and Public Resources Code, Section 4654.

0570100-Sales and Use Tax Program:

California Constitution (Sections 35 and 36, Article XIII), State Sales and Use Tax

Revenue & Taxation Code Sections 6001-7176, Bradley-Burns Uniform Local Sales and Use Tax, Revenue & Taxation Code Sections 7200-7226, District Transactions and Use Tax (cities/counties), and Revenue & Taxation Code Sections 7251-7279.6, 7285, and 7288.6.

0570125-Hazardous Substances Tax Program:

Revenue & Taxation Code, Part 22, Division 2 Sections 43001-43651, and Health & Safety Code Sections 25174-25174.11 and 25205.1-25205.23.

0570150-Alcoholic Beverage Tax Program:

California Constitution, Article XX, Section 22, Revenue & Taxation Code, Part 14, Division 2 Sections 32001-32557, and Alcoholic Beverage Control Act Sections 23000-23673.

0570175-Tire Recycling Fee Program:

Revenue & Taxation Code, Part 30, Division 2 Sections 55001-55381, and Public Resource Code Sections 42860-42895.

0570200-Cigarette and Tobacco Products Tax Program:

Constitution Article XIII B, Section 12, Revenue and Taxation Code - Part 13, Division 2, Sections 30001-30482, Federal Laws relating to collection of state cigarette tax: (15 U.S.C.A., Chapter 10A, Sections 375-378; 63 Stat. 884, as amended by 67 Stat. 617, and 69 Stat. 627.), and Health and Safety Code, Article 3, Chapter 1, Division 103, Sections 104555-104558.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

0570225-Cigarette and Tobacco Products Licensing Program:

Government Code Section 15618.5, Business and Professions Code, Division 8.6 (commencing with Section 22970), Health and Safety Code, Sections 14950, 104557, Penal Code, Section 830.11, Revenue and Taxation Code, Sections 30142, 30435, 30436, 30449, 30471, 30473, 30473.5, 30474, 30474.1, 30475, 30481, 30019, 30165.1, 30166.1, 30168, 30177.5, 30482, 30435, 30474.1, and Revenue and Taxation Code, Article 2.5 (commencing with Section 30210) of Chapter 4 of Part 13 of Division 2, Article 5 (commencing with Section 30355) of Chapter 5 of Part 13 of Division 2, Article 1 (commencing with Section 30140) of Chapter 3 of Part 13 of Division 2, and Article 3 (commencing with Section 30155) of Chapter 3 of Part 13 of Division 2.

0570250-Transportation Fund Tax Program:

Constitution Article XIX, Sections 1-9; Revenue and Taxation Code Part 2, Division 2, Sections 7301-8526, and Revenue and Taxation Code Part 3 and 31, Division 2, Sections 8601-9355, 9401-9433, 60001-60708.

0570275-Occupational Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code, Part 22, Division 2 Sections 43001-43651; Health and Safety Code, Chapter 5, Division 103 Sections 105185-105195; and California Code of Regulations Title 17, Chapter 11, Sections 38001-38005.

0570300-Integrated Waste Management Program:

Revenue and Taxation Code, Part 23, Division 2 Sections 45001-45984, and Public Resource Code, Division 30, Part 1 Sections 40000-48008.

0570325-Underground Storage Tank Maintenance Fee Program:

Revenue and Taxation Code Part 26, Division 2, Sections 50101-50162, and Health and Safety Code Chapter 6.7, Sections 25280-25299.99.

0570350-Oil Spill Prevention Program:

Revenue and Taxation Code Part 24, Division 2, Sections 46001-46751, and Government Code Chapter 7.4, Article 1, Sections 8670.1 and 8670.73.

0570375-Energy Resources Surcharge Program:

Revenue and Taxation Code, Part 19, Division 2, Sections 40001-40216.

0570400-Annual Water Rights Fee Program:

Water Code Part 2, Division 2, Chapter 8, Sections 1525-1552; Division 7, Chapter 2, Sections 13050-13160.1, and Revenue and Taxation Code Part 30, Division 2, Sections 55001-55381.

0570425-Childhood Lead Poisoning Prevention Fee Program:

Revenue and Taxation Code, Part 22, Division 2, Sections 43001-43651, and Health & Safety Code, Chapter 5, Division 103 Sections 105275-105310.

0570450-Marine Invasive Species Fee Program:

Revenue and Taxation Code, Part 22.5, Division 2, Sections 44000-44007, 55001-55381, and Public Resource Code, Division 36, Sections 71200-71271.

0570475-State Responsibility Area Fire Prevention Fee Program:

Public Resources Code Chapter 1.5, Part 2, Division 4, Sections 4210-4214 and 4220-4228, Revenue and Taxation Code, Part 30, Division 2 commencing with Section 55001.

0570500-Emergency Telephone Users Surcharge Program:

Revenue and Taxation Code, Part 20, Division 2, Sections 41001-41176.

0570525-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10, Public Resource Code Sections 41516 and 42460-42486, and Revenue and Taxation Code, Part 30, Division 2, Sections 55001-55381.

0570550-Lumber Fee Program:

California Public Resources Code, Section 4629.5.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

0570575-Insurance Tax Program:

Constitution Article XIII, Section 28, Revenue and Taxation Code Part 7, Division 2, Sections 12001-13170, and Insurance Code Sections 132, 685-685.4, 995.5, 1530, 1531, 1774-1780, 10089.44, 12976, and 12976.5.

0570600-Natural Gas Surcharge Program:

Revenue and Taxation Code, Sections 55001-55381, and Public Utilities Code, Chapter 4, Part 1, Division 1, Sections 890-900.

0570625-Appeals from Other Governmental Programs:

Administration of Franchise and Income Tax Laws: Revenue and Taxation Code, Sections 18401-19802.

Personal Income Tax Law: Revenue and Taxation Code, Sections 17001-18180.

Bank and Corporation Tax Law: Revenue and Taxation Code, Sections 23001-25141.

Senior Citizens Homeowners' and Renters' Property Tax Assistance: Revenue and Taxation Code, Sections 20501-20646.

Public Owned Property and California Constitution, Article XIII, Section 11, Revenue and Taxation Code Sections 1840-1841
Procedural Regulations: Title 18, California Code of Regulations, Section 5010, et seq.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$4,854	\$4,144	-	\$4,854	\$4,145	-
• Salary Adjustments	3,179	2,665	-	3,170	2,660	-
• Benefit Adjustments	1,411	1,143	-	1,685	1,344	-
• Pro Rata	-	-	-	-	1,157	-
• Miscellaneous Baseline Adjustments	-	151	0.5	-	346	1.8
• SWCAP	-	-	-	-	-4	-
Totals, Other Workload Budget Adjustments	\$9,444	\$8,103	0.5	\$9,709	\$9,648	1.8
Totals, Workload Budget Adjustments	\$9,444	\$8,103	0.5	\$9,709	\$9,648	1.8
Totals, Budget Adjustments	\$9,444	\$8,103	0.5	\$9,709	\$9,648	1.8

PROGRAM DESCRIPTIONS

0570025 - COUNTY ASSESSMENT STANDARDS PROGRAM

This program ensures that taxable properties are assessed and enrolled by county assessors in full conformity with the law.

0570050 - STATE-ASSESSED PROPERTY PROGRAM

This program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies. Local jurisdictions use the established values for the levy and collection of local property taxes.

0570075 - TIMBER TAX PROGRAM

This program administers the timber yield tax by establishing the harvest value of timber and collecting a tax on the harvested timber.

0570100 - SALES AND USE TAX PROGRAM

This program ensures that all sales and use tax revenues are collected in an equitable and effective manner through accurate reporting of tax liability. This is accomplished by detecting and correcting errors in self-assessments, and promptly collecting amounts determined to be due and economically recoverable. Under this program, the BOE administers the following taxes: State Sales and Use Tax, Bradley-Burns Uniform Local Sales and Use Tax, and District Transactions and

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

Use Tax (cities/counties).

0570125 - HAZARDOUS SUBSTANCES TAX PROGRAM

This program provides revenue for the Hazardous Waste Control Account (HWCA) and the Toxic Substances Control Account (TSCA). The fees provide funding to the Department of Toxic Substances to regulate hazardous waste in California. Generators of hazardous waste, hazardous waste facilities and certain business organizations, as identified by statutes, are subject to the fees. The BOE administers the following fee programs in partnership with the department: Disposal Fee (HWCA), Facility Fee (HWCA), Generator Fee (HWCA), Transportable Treatment Unit Fee (HWCA), Activity Fee (HWCA), and Environmental Fee (TSCA).

0570150 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects the excise tax imposed on the sale, distribution, or importation of alcoholic beverages in California.

0570175 - TIRE RECYCLING FEE PROGRAM

This program provides revenue for the California Tire Recycling Account in the California Tire Recycling Management Fund and Air Pollution Control Fund. The fee provides funding to reduce: air pollution, landfill disposal, and stockpiling of used tires. The BOE administers the program in partnership with the California Department of Resources Recycling and Recovery and the Air Resources Board.

0570200 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

This program provides revenue for the Cigarette Tax Fund, Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund. The program objective is to ensure that all cigarette and tobacco products tax revenues are collected equitably and effectively by ensuring timely reporting and payment of tax liabilities, detecting and correcting errors in reporting, and promptly collecting amounts determined to be due and economically recoverable.

0570225 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM

This program, established by the California Cigarette and Tobacco Licensing Act of 2003, reduces tax evasion, smuggling, and counterfeiting of cigarette and tobacco products and stamps. It requires statewide licensing of all distributors, wholesalers, importers, manufacturers, and retailers of cigarette and tobacco products. This program provides revenues for the Cigarette and Tobacco Products Compliance Fund.

0570250 - TRANSPORTATION FUND TAX PROGRAM

This program provides revenue for the Transportation Tax Fund. Allocations are made from the fund to the Aeronautics Account, Harbors and Watercraft Revolving Fund, Off-Highway Vehicle Trust Fund, Highway Users Tax Fund and Department of Food and Agriculture Fund. The objective of this program is to ensure that the motor vehicle fuel, jet fuel, various use fuel, and diesel fuel taxes are administered equitably and effectively. Pursuant to the International Fuel Tax Agreement (IFTA), the BOE registers interstate truckers whose base is in California. Revenues from this program are used to construct and maintain public roads and mass transit systems, airports and waterways.

0570275 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM

This program provides revenue for the Occupational Lead Poisoning Prevention Account in the General Fund. The funds are used to establish and maintain occupational health and disease prevention programs. Employers in specified Standard Industrial Classification codes who employ 10 or more qualifying employees are subject to the fee. The BOE administers the program in partnership with the California Department of Public Health.

0570300 - INTEGRATED WASTE MANAGEMENT PROGRAM

This program provides revenue for the Integrated Waste Management Account in the Integrated Waste Management Fund. The fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills, and supports State and local landfill permit enforcement programs. Operators of solid waste disposal facilities that include non-hazardous wood waste facilities are subject to the fee. The BOE administers the program in partnership with the California Department of Resources Recycling and Recovery.

0570325 - UNDERGROUND STORAGE TANK FEE PROGRAM

This program collects a fee for each gallon of petroleum placed in underground petroleum storage tanks for the Petroleum Underground Storage Tank Financing Account. The revenues provide funding to monitor and regulate underground storage tanks containing petroleum, and to protect human health and the environment.

0570350 - OIL SPILL PREVENTION PROGRAM

This program collects fees on all crude oil and petroleum products received in California via marine pipelines and terminals. The prevention and administration fees provide funding to implement oil spill prevention programs and reimburse the member agencies of the State Interagency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install, and maintain emergency programs, equipment, and facilities to respond to,

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

contain, and cleanup oil spills.

0570375 - ENERGY RESOURCES SURCHARGE PROGRAM

This program provides revenue for support of the State Energy Resources Conservation and Development Commission. The revenue is generated by administering a surcharge on consumers of electrical energy furnished by an electrical utility.

0570400 - ANNUAL WATER RIGHTS FEE PROGRAM

This program provides revenue for the Water Rights Fund. The fees provide funding to the State Water Resources Control Board to support the activities of its Division of Water Rights. The annual fee is collected from water right permit holders based on a schedule of fees adopted each fiscal year by the water board. The BOE administers the program in partnership with the water board.

0570425 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

This program collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. This revenue supports the Childhood Lead Poisoning Prevention Fee Program administered by the California Department of Public Health, Childhood Lead Poisoning Prevention Branch.

0570450 - MARINE INVASIVE SPECIES PROGRAM

This program provides revenue for the Marine Invasive Species Control Fund and is often referred to as the Ballast Water Management Program. The fees provide funding to the California State Lands Commission to address the problems of the introduction and spread of non-indigenous aquatic species into California waters. Owners or operators of qualifying vessels carrying, or capable of carrying, ballast water from outside California are subject to the fee. The BOE administers the program in partnership with the Commission.

0570475 - FIRE PREVENTION FEE PROGRAM

This program provides revenue for the State Responsibility Area Fire Prevention Fund. The revenue is generated by administering an annual fire prevention fee, in an amount not to exceed \$150 charged on each habitable structure on a parcel that is within a state responsibility area. The revenues are to be used by the California Department of Forestry and Fire Protection for fire prevention activities within the State Responsibility Area which benefits those owners who are subject to the fire prevention fee.

0570500 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

This program provides revenue to fund the State's "911" emergency telephone system by administering a surcharge on intrastate telephone and Voice over Internet Protocol (VoIP) communication services. The funds collected are deposited into the State Emergency Telephone Number Account.

0570525 - E-WASTE RECYCLING FEE PROGRAM

This program provides revenue for the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund. The fees provide funding for the California Department of Resources Recycling and Recovery by imposing a recycling fee upon the retail sale or lease of a new or refurbished video display devices identified by the Department of Toxic Substances containing hazardous materials.

0570550 - LUMBER FEE PROGRAM

This program collects a one percent assessment on purchases of lumber products and engineered wood products for use in California.

0570575 - INSURANCE TAX PROGRAM

This program administers taxes assessed on insurance premiums, underwriting profits from ocean marine insurance and retaliatory assessments levied on "foreign" insurers. The taxes collected are deposited into the Insurance Tax Fund.

0570600 - NATURAL GAS SURCHARGE PROGRAM

This program provides revenue to fund low-income assistance programs, cost effective energy efficiency programs, conservation activities, and public interest research and development. The revenue is generated by administering a surcharge on the consumption of all natural gas in California.

0570625 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

This program reviews income tax assessments and corporation taxes and eligibility determinations made in administering the Senior Citizens Property Tax Assistance Program upon a written request is filed by a taxpayer. The program also acts as an impartial tribunal to hear and decide property tax assessment appeals by a local government (or one of its agencies) that owns taxable real property located outside its boundaries. Property owned by a local government, such as a city, and located outside its boundaries is subject to assessment by the county in which the property is located.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

9900 - ADMINISTRATION

This program implements the policies and directives of the Board Members and provides direction, leadership, planning, and support services for all Board programs.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0570	ADMINISTRATION OF THE BOARD OF EQUALIZATION			
	State Operations:			
0001	General Fund	\$296,538	\$326,586	\$323,619
0004	Breast Cancer Fund	758	812	819
0022	State Emergency Telephone Number Account	1,058	1,633	1,632
0061	Motor Vehicle Fuel Account, Transportation Tax Fund	25,646	28,463	28,591
0070	Occupational Lead Poisoning Prevention Account	799	855	869
0080	Childhood Lead Poisoning Prevention Fund	418	608	616
0230	Cigarette and Tobacco Products Surtax Fund	9,387	10,087	10,154
0320	Oil Spill Prevention and Administration Fund	259	475	671
0387	Integrated Waste Management Account, Integrated Waste Management Fund	403	567	575
0439	Underground Storage Tank Cleanup Fund	3,252	3,589	3,627
0465	Energy Resources Programs Account	253	306	308
0623	California Children and Families First Trust Fund	16,589	17,811	17,939
0890	Federal Trust Fund	82	439	435
0965	Timber Tax Fund	1,685	2,427	2,468
0995	Reimbursements	172,199	167,064	165,564
3015	Gas Consumption Surcharge Fund	768	892	871
3058	Water Rights Fund	402	496	504
3063	State Responsibility Area Fire Prevention Fund	6,437	9,227	8,697
3065	Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund	4,106	5,270	5,344
3067	Cigarette and Tobacco Products Compliance Fund	1,557	1,913	1,985
3212	Timber Regulation and Forest Restoration Fund	881	2,257	2,239
	Totals, State Operations	\$543,477	\$581,777	\$577,527
	SUBPROGRAM REQUIREMENTS			
0570025	County Assessment Standards Program			
	State Operations:			
0001	General Fund	\$9,495	\$10,171	\$10,180
	Totals, State Operations	\$9,495	\$10,171	\$10,180
	SUBPROGRAM REQUIREMENTS			
0570050	State-Assessed Property Program			
	State Operations:			
0001	General Fund	\$7,815	\$9,237	\$9,169
0995	Reimbursements	428	607	607
	Totals, State Operations	\$8,243	\$9,844	\$9,776
	SUBPROGRAM REQUIREMENTS			
0570075	Timber Tax Program			
	State Operations:			
0965	Timber Tax Fund	\$1,685	\$2,427	\$2,468

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Totals, State Operations	\$1,685	\$2,427	\$2,468
SUBPROGRAM REQUIREMENTS			
0570100 Sales and Use Tax Program			
State Operations:			
0001 General Fund	\$265,906	\$297,141	\$294,264
0995 Reimbursements	<u>165,799</u>	<u>159,208</u>	<u>157,702</u>
Totals, State Operations	\$431,705	\$456,349	\$451,966
SUBPROGRAM REQUIREMENTS			
0570125 Hazardous Substances Tax Program			
State Operations:			
0995 Reimbursements	<u>\$4,294</u>	<u>\$5,019</u>	<u>\$5,023</u>
Totals, State Operations	\$4,294	\$5,019	\$5,023
SUBPROGRAM REQUIREMENTS			
0570150 Alcoholic Beverage Tax Program			
State Operations:			
0001 General Fund	<u>\$2,294</u>	<u>\$2,864</u>	<u>\$2,838</u>
Totals, State Operations	\$2,294	\$2,864	\$2,838
SUBPROGRAM REQUIREMENTS			
0570175 Tire Recycling Fee Program			
State Operations:			
0995 Reimbursements	<u>\$1,366</u>	<u>\$1,742</u>	<u>\$1,743</u>
Totals, State Operations	\$1,366	\$1,742	\$1,743
SUBPROGRAM REQUIREMENTS			
0570200 Cigarette and Tobacco Products Tax Program			
State Operations:			
0001 General Fund	\$3,416	\$3,767	\$3,758
0004 Breast Cancer Fund	570	622	628
0230 Cigarette and Tobacco Products Surtax Fund	7,075	7,711	7,759
0623 California Children and Families First Trust Fund	<u>11,967</u>	<u>13,059</u>	<u>13,145</u>
Totals, State Operations	\$23,028	\$25,159	\$25,290
SUBPROGRAM REQUIREMENTS			
0570225 Cigarette and Tobacco Products Licensing Program			
State Operations:			
0001 General Fund	\$925	\$951	\$951
0004 Breast Cancer Fund	188	190	191
0230 Cigarette and Tobacco Products Surtax Fund	2,312	2,376	2,395
0623 California Children and Families First Trust Fund	4,622	4,752	4,794
3067 Cigarette and Tobacco Products Compliance Fund	<u>1,557</u>	<u>1,913</u>	<u>1,985</u>
Totals, State Operations	\$9,604	\$10,182	\$10,316
SUBPROGRAM REQUIREMENTS			
0570250 Transportation Fund Tax Program			
State Operations:			
0061 Motor Vehicle Fuel Account, Transportation Tax Fund	\$25,646	\$28,463	\$28,591
0890 Federal Trust Fund	<u>82</u>	<u>439</u>	<u>435</u>
Totals, State Operations	\$25,728	\$28,902	\$29,026
SUBPROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0570275 Occupational Lead Poisoning Prevention Fee Program			
State Operations:			
0070 Occupational Lead Poisoning Prevention Account	\$799	\$855	\$869
Totals, State Operations	\$799	\$855	\$869
SUBPROGRAM REQUIREMENTS			
0570300 Integrated Waste Management Program			
State Operations:			
0387 Integrated Waste Management Account, Integrated Waste Management Fund	\$403	\$567	\$575
Totals, State Operations	\$403	\$567	\$575
SUBPROGRAM REQUIREMENTS			
0570325 Underground Storage Tank Fee Program			
State Operations:			
0439 Underground Storage Tank Cleanup Fund	\$3,252	\$3,589	\$3,627
Totals, State Operations	\$3,252	\$3,589	\$3,627
SUBPROGRAM REQUIREMENTS			
0570350 Oil Spill Prevention Program			
State Operations:			
0320 Oil Spill Prevention and Administration Fund	\$259	\$475	\$671
Totals, State Operations	\$259	\$475	\$671
SUBPROGRAM REQUIREMENTS			
0570375 Energy Resources Surcharge Program			
State Operations:			
0465 Energy Resources Programs Account	\$253	\$306	\$308
Totals, State Operations	\$253	\$306	\$308
SUBPROGRAM REQUIREMENTS			
0570400 Annual Water Rights Fee Program			
State Operations:			
3058 Water Rights Fund	\$402	\$496	\$504
Totals, State Operations	\$402	\$496	\$504
SUBPROGRAM REQUIREMENTS			
0570425 Childhood Lead Poisoning Prevention Fee Program			
State Operations:			
0080 Childhood Lead Poisoning Prevention Fund	\$418	\$608	\$616
Totals, State Operations	\$418	\$608	\$616
SUBPROGRAM REQUIREMENTS			
0570450 Marine Invasive Species Program			
State Operations:			
0995 Reimbursements	\$312	\$488	\$489
Totals, State Operations	\$312	\$488	\$489
SUBPROGRAM REQUIREMENTS			
0570475 Fire Prevention Fee Program			
State Operations:			
0001 General Fund	\$3,392	\$-	\$-
3063 State Responsibility Area Fire Prevention Fund	6,437	9,227	8,697
Totals, State Operations	\$9,829	\$9,227	\$8,697

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
SUBPROGRAM REQUIREMENTS			
0570500	Emergency Telephone Users Surcharge Program		
	State Operations:		
0022	\$1,058	\$1,633	\$1,632
	\$1,058	\$1,633	\$1,632
SUBPROGRAM REQUIREMENTS			
0570525	E-Waste Recycling Fee Program		
	State Operations:		
3065	\$4,106	\$5,270	\$5,344
	\$4,106	\$5,270	\$5,344
SUBPROGRAM REQUIREMENTS			
0570550	Lumber Fee Program		
	State Operations:		
3212	\$881	\$2,257	\$2,239
	\$881	\$2,257	\$2,239
SUBPROGRAM REQUIREMENTS			
0570575	Insurance Tax Program		
	State Operations:		
0001	\$321	\$318	\$319
	\$321	\$318	\$319
SUBPROGRAM REQUIREMENTS			
0570600	Natural Gas Surcharge Program		
	State Operations:		
3015	\$768	\$892	\$871
	\$768	\$892	\$871
SUBPROGRAM REQUIREMENTS			
0570625	Appeals from Other Governmental Programs		
	State Operations:		
0001	\$2,974	\$2,137	\$2,140
	\$2,974	\$2,137	\$2,140
PROGRAM REQUIREMENTS			
9900	ADMINISTRATION - TOTAL		
	State Operations:		
0995	\$26	\$417	\$417
	\$26	\$417	\$417
SUBPROGRAM REQUIREMENTS			
9900100	Administration		
	State Operations:		
0001	\$-	\$-	\$62,616
0995	-	417	417
	\$-	\$417	\$63,033
SUBPROGRAM REQUIREMENTS			
9900200	Administration - Distributed		
	State Operations:		
0001	\$-	\$-	\$-62,616
0995	26	-	-
	\$26	\$-	\$-62,616

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES			
State Operations	543,503	582,194	577,944
Totals, Expenditures	\$543,503	\$582,194	\$577,944

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	4,533.1	4,848.1	4,828.5	\$278,530	\$298,720	\$298,042
Total Adjustments	-	0.5	1.8	-	5,915	6,187
Net Totals, Salaries and Wages	4,533.1	4,848.6	4,830.3	\$278,530	\$304,635	\$304,229
Staff Benefits	-	-	-	120,921	130,922	130,946
Totals, Personal Services	4,533.1	4,848.6	4,830.3	\$399,451	\$435,557	\$435,175
OPERATING EXPENSES AND EQUIPMENT				\$144,052	\$146,637	\$142,769
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$543,503	\$582,194	\$577,944

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$316,648	\$317,144	\$323,619
Allocation for employee compensation	-	3,179	-
Allocation for staff benefits	-	1,410	-
Allocation for staff benefits - reimbursements	-	-1	-
Section 3.60 pension contribution adjustment	-	4,854	-
Chapters 69 and 70, Statutes of 2013	196	-	-
Prior Year Balances Available:			
Chapter 14, Statutes of 2011	1	-	-
Chapter 7, Statutes of 2011	1	-	-
Totals Available	\$316,846	\$326,586	\$323,619
Unexpended balance, estimated savings	-20,308	-	-
TOTALS, EXPENDITURES	\$296,538	\$326,586	\$323,619
0004 Breast Cancer Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$813	\$803	\$819
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	5	-
Totals Available	\$813	\$812	\$819
Unexpended balance, estimated savings	-55	-	-
TOTALS, EXPENDITURES	\$758	\$812	\$819
0022 State Emergency Telephone Number Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,586	\$1,576	\$1,632
Allocation for employee compensation	-	20	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Allocation for staff benefits	-	7	-
Section 3.60 pension contribution adjustment	-	30	-
Totals Available	\$1,586	\$1,633	\$1,632
Unexpended balance, estimated savings	-528	-	-
TOTALS, EXPENDITURES	\$1,058	\$1,633	\$1,632
0061 Motor Vehicle Fuel Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$27,813	\$27,782	\$28,591
Allocation for employee compensation	-	226	-
Allocation for staff benefits	-	103	-
Section 3.60 pension contribution adjustment	-	352	-
Totals Available	\$27,813	\$28,463	\$28,591
Unexpended balance, estimated savings	-2,167	-	-
TOTALS, EXPENDITURES	\$25,646	\$28,463	\$28,591
0063 Motor Vehicle Transportation Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
011 Budget Act appropriation	(\$1,751)	(-)	(-)
011 Budget Act appropriation (Transfer to the General Fund)	(-)	(1)	(-)
TOTALS, EXPENDITURES	\$-	\$-	\$-
0070 Occupational Lead Poisoning Prevention Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$845	\$844	\$869
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	6	-
Totals Available	\$845	\$855	\$869
Unexpended balance, estimated savings	-46	-	-
TOTALS, EXPENDITURES	\$799	\$855	\$869
0080 Childhood Lead Poisoning Prevention Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$594	\$596	\$616
Allocation for employee compensation	-	4	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	6	-
Totals Available	\$594	\$608	\$616
Unexpended balance, estimated savings	-176	-	-
TOTALS, EXPENDITURES	\$418	\$608	\$616
0230 Cigarette and Tobacco Products Surtax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,081	\$9,970	\$10,154
Allocation for employee compensation	-	40	-
Allocation for staff benefits	-	18	-
Section 3.60 pension contribution adjustment	-	59	-
Totals Available	\$10,081	\$10,087	\$10,154
Unexpended balance, estimated savings	-694	-	-
TOTALS, EXPENDITURES	\$9,387	\$10,087	\$10,154
0320 Oil Spill Prevention and Administration Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
001 Budget Act appropriation	\$303	\$301	\$671
Adjustment per Chapter 35, Statutes of 2014, Section 192	-	153	-
Allocation for employee compensation	-	8	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	11	-
Totals Available	\$303	\$475	\$671
Unexpended balance, estimated savings	-44	-	-
TOTALS, EXPENDITURES	\$259	\$475	\$671
0387 Integrated Waste Management Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$549	\$547	\$575
Allocation for employee compensation	-	6	-
Allocation for staff benefits	-	4	-
Section 3.60 pension contribution adjustment	-	10	-
Totals Available	\$549	\$567	\$575
Unexpended balance, estimated savings	-146	-	-
TOTALS, EXPENDITURES	\$403	\$567	\$575
0439 Underground Storage Tank Cleanup Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,532	\$3,523	\$3,627
Allocation for employee compensation	-	21	-
Allocation for staff benefits	-	10	-
Section 3.60 pension contribution adjustment	-	35	-
Totals Available	\$3,532	\$3,589	\$3,627
Unexpended balance, estimated savings	-280	-	-
TOTALS, EXPENDITURES	\$3,252	\$3,589	\$3,627
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$300	\$297	\$308
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	4	-
Totals Available	\$300	\$306	\$308
Unexpended balance, estimated savings	-47	-	-
TOTALS, EXPENDITURES	\$253	\$306	\$308
0623 California Children and Families First Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$17,797	\$17,578	\$17,939
Allocation for employee compensation	-	80	-
Allocation for staff benefits	-	35	-
Section 3.60 pension contribution adjustment	-	118	-
Totals Available	\$17,797	\$17,811	\$17,939
Unexpended balance, estimated savings	-1,208	-	-
TOTALS, EXPENDITURES	\$16,589	\$17,811	\$17,939
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$82	\$439	\$435
TOTALS, EXPENDITURES	\$82	\$439	\$435

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0965 Timber Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,446	\$2,407	\$2,468
Allocation for employee compensation	-	6	-
Allocation for staff benefits	-	4	-
Section 3.60 pension contribution adjustment	-	10	-
Totals Available	\$2,446	\$2,427	\$2,468
Unexpended balance, estimated savings	-761	-	-
TOTALS, EXPENDITURES	\$1,685	\$2,427	\$2,468
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$172,225</u>	<u>\$167,481</u>	<u>\$165,981</u>
TOTALS, EXPENDITURES	\$172,225	\$167,481	\$165,981
3015 Gas Consumption Surcharge Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$864	\$879	\$871
Allocation for employee compensation	-	5	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	7	-
Totals Available	\$864	\$892	\$871
Unexpended balance, estimated savings	-96	-	-
TOTALS, EXPENDITURES	\$768	\$892	\$871
3058 Water Rights Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$484	\$484	\$504
Allocation for employee compensation	-	4	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	6	-
Totals Available	\$484	\$496	\$504
Unexpended balance, estimated savings	-82	-	-
TOTALS, EXPENDITURES	\$402	\$496	\$504
3063 State Responsibility Area Fire Prevention Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,439	\$8,882	\$8,697
Allocation for employee compensation	-	112	-
Allocation for staff benefits	-	45	-
Section 3.60 pension contribution adjustment	-	188	-
Totals Available	\$6,439	\$9,227	\$8,697
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$6,437	\$9,227	\$8,697
3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$5,147	\$5,117	\$5,344
Allocation for employee compensation	-	50	-
Allocation for staff benefits	-	22	-
Section 3.60 pension contribution adjustment	-	81	-
Totals Available	\$5,147	\$5,270	\$5,344

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Unexpended balance, estimated savings	-1,041	-	-
TOTALS, EXPENDITURES	\$4,106	\$5,270	\$5,344
3067 Cigarette and Tobacco Products Compliance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,557	\$1,622	\$1,985
Allocation for employee compensation	-	105	-
Allocation for staff benefits	-	48	-
Section 3.60 pension contribution adjustment	-	138	-
TOTALS, EXPENDITURES	\$1,557	\$1,913	\$1,985
3212 Timber Regulation and Forest Restoration Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,376	\$2,209	\$2,239
Allocation for employee compensation	-	15	-
Allocation for staff benefits	-	7	-
Section 3.60 pension contribution adjustment	-	26	-
Totals Available	\$2,376	\$2,257	\$2,239
Unexpended balance, estimated savings	-1,495	-	-
TOTALS, EXPENDITURES	\$881	\$2,257	\$2,239
Total Expenditures, All Funds, (State Operations)	\$543,503	\$582,194	\$577,944

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0063 Motor Vehicle Transportation Tax Account, Transportation Tax Fund ^S			
BEGINNING BALANCE	\$1,752	1	-
Adjusted Beginning Balance	\$1,752	\$1	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments			
Revenue Transfer from Motor Vehicle Transportation Tax Account, Transportation Tax Fund (0063) to General Fund (0001) per Budget Act Item 0860-011-0063, Budget Acts of 2013 and 2014	-1,751	-1	-
Total Revenues, Transfers, and Other Adjustments	\$-1,751	\$-1	-
Total Resources	\$1	-	-
FUND BALANCE	\$1	-	-
Reserve for economic uncertainties	1	-	-
0965 Timber Tax Fund ^N			
BEGINNING BALANCE	\$2,127	\$2,332	-
Prior Year Adjustments	601	-	-
Adjusted Beginning Balance	\$2,728	\$2,332	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4153000 Sale of Natural Resources	8,535	7,000	\$7,000
Total Revenues, Transfers, and Other Adjustments	\$8,535	\$7,000	\$7,000
Total Resources	\$11,263	\$9,332	\$7,000
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	-	-
0860 State Board of Equalization (State Operations)	1,687	2,427	2,468
8880 Financial Information System for California (State Operations)	-	2	4

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0860 State Board of Equalization - Continued

	2013-14*	2014-15*	2015-16*
9350 Shared Revenues (Local Assistance)	<u>7,243</u>	<u>6,903</u>	<u>4,528</u>
Total Expenditures and Expenditure Adjustments	<u>\$8,931</u>	<u>\$9,332</u>	<u>\$7,000</u>
FUND BALANCE	\$2,332	-	-
Reserve for economic uncertainties	2,332	-	-
3067 Cigarette and Tobacco Products Compliance Fund ^s			
BEGINNING BALANCE	\$8,760	\$9,003	\$8,556
Prior Year Adjustments	<u>-14</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$8,746	\$9,003	\$8,556
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129000 Other Fees and Licenses	1,798	1,466	1,466
4163000 Investment Income - Surplus Money Investments	4	-	-
4171100 Cost Recoveries - Other	1	-	-
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	<u>19</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,822</u>	<u>\$1,466</u>	<u>\$1,466</u>
Total Resources	\$10,568	\$10,469	\$10,022
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	-	-
0860 State Board of Equalization (State Operations)	1,559	1,912	1,985
8880 Financial Information System for California (State Operations)	<u>5</u>	<u>1</u>	<u>3</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,565</u>	<u>\$1,913</u>	<u>\$1,988</u>
FUND BALANCE	\$9,003	\$8,556	\$8,034
Reserve for economic uncertainties	9,003	8,556	8,034

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	4,533.1	4,848.1	4,828.5	\$278,530	\$298,720	\$298,042
Salary and Other Adjustments	<u>-</u>	<u>0.5</u>	<u>1.8</u>	<u>-</u>	<u>5,915</u>	<u>6,187</u>
Totals, Adjustments	<u>-</u>	<u>0.5</u>	<u>1.8</u>	<u>\$-</u>	<u>\$5,915</u>	<u>\$6,187</u>
TOTALS, SALARIES AND WAGES	<u>4,533.1</u>	<u>4,848.6</u>	<u>4,830.3</u>	<u>\$278,530</u>	<u>\$304,635</u>	<u>\$304,229</u>

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office also is responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe At Home program, maintains the Domestic Partners and Advance Health Care Directives Registries, provides support functions for the Voting Modernization Board, and is home to the California Museum.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0700 Filings and Registrations	297.4	333.8	333.8	\$42,424	\$57,103	\$59,762
0705 Elections	58.7	66.7	69.0	39,070	48,281	56,460
0710 Archives	25.9	31.3	31.3	6,831	8,441	8,111
0715 DOJ Legal Services	-	-	-	256	333	333
9900100 Administration	120.0	130.2	130.2	16,319	23,975	23,879
9900200 Administration - Distributed	-	-	-	-16,318	-23,976	-23,879
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	502.0	562.0	564.3	\$88,582	\$114,157	\$124,666
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$10,349	\$31,265	\$28,352
0228 Secretary of States Business Fees Fund				39,984	53,803	55,579
0890 Federal Trust Fund				22,236	26,871	37,618
0995 Reimbursements				12,088	8	-
3042 Victims of Corporate Fraud Compensation Fund				3,871	1,630	1,530
3244 Political Disclosure, Accountability, Transparency, and Access Fund				54	80	87
3254 Business Programs Modernization Fund				-	500	1,500
TOTALS, EXPENDITURES, ALL FUNDS				\$88,582	\$114,157	\$124,666

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY

0700-Filings and Registrations:

Corporations Code, Titles 1-3; Government Code, Sections 960.3, 960.4, 1363, 6205-6210, 6215-6216, 6503.5, 6503.7, 6518, 7171, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.4, 8214.5, 8214.8, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12260-12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14217, 14220, 14230, 14235, 14240, 14245, 14417, 14427, 14461, 14482, 14483, 14492, 17550 et seq., 18896 et seq., 22441.1, 22442.4, 22443.1, 22443.2, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923; ; Civil Code, Sections 912, 1185, 1189, 1195, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.214, 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, 1812.607, 2945.45, 3344.1, 3440.5, 4225, 4280, 5405 6622, and 6760; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 416.10-416.40, 488.375, 488.405, 488.730, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 724.060, 2101, and 2103-2105; Family Code, Sections 297, 297.1, 298, 298.5, 298.6, 298.7, 299, 535, 6345, 17520, and 17523; Financial Code, Sections 1102-1106, 4966, 5403, 5703-5705, 5715, 5716, 5758, 5760, 14100, 14101, 14101.6, 14101.8, 14102.2, 14102.4, 14102.8, 15302, 15304, 15252, 15258, 18101.5, and 18102; Insurance Code, Sections 881, 881.3, 1556, 1560.10, 1560.17, 1560.18, 4093, 4097.11, 4097.18, 4097.19, 5053, 7049, 7084, 7085, 9103, 11542, 11546, and 11547; Food and Agriculture Code, Sections 3951, 3952, 5304, 6047.109, 6047.119, 6273, 6286, 8704, 8757, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, 57535, 57537, 57540, 57541, 57545, 57561 et seq., 57590, 57591, and 57595; Probate Code, Sections 4717, 4800-4806, 6389, and 8574; Vehicle Code, Section 40305.5; Penal Code, Sections 4056-4058, 4067, 4206, 4207, 4208 and 4227; Health and Safety Code, Sections 1341, 1341.2, 2043, 2832, 2834, 4927, 9043, 33103, 33103.5, 33104, 33142, 34126, 34117, 51153, 103435, and 123371; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22000 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

0705-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Elections Code 9082.7; California Government Code, Sections 12172.5, and 81000 et seq.; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections law; Government Code, Title 9.

0710-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, 14755-14756, and 14765-14768; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Chaptered Legislation: Ballot Measure Contributor Data (Chapter 920, Statutes of 2014)	\$-	\$-	-	\$135	\$-	1.3
• Help America Vote Act - VoteCal	-	-	-	-	34,337	-
• California Business Connect	-	-	-	-	7,760	-
• Help America Vote Act - Amended Spending Plan	-	-	-	-	2,976	-
• Help America Vote Act - Voting System Testing and Approval	-	-	-	-	305	1.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$135	\$45,378	2.3
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$258	\$647	-	\$258	\$647	-
• Salary Adjustments	187	494	-	187	494	-
• Benefit Adjustments	19	59	-	32	99	-
• SWCAP	-	-1,247	-	-	-21	-
• Pro Rata	-	-	-	-	-230	-
• Miscellaneous Baseline Adjustments	2,627	8,214	-	-434	-24,778	-
Totals, Other Workload Budget Adjustments	\$3,091	\$8,167	-	\$43	-\$23,789	-
Totals, Workload Budget Adjustments	\$3,091	\$8,167	-	\$178	\$21,589	2.3
Totals, Budget Adjustments	\$3,091	\$8,167	-	\$178	\$21,589	2.3

PROGRAM DESCRIPTIONS

0700 - FILINGS AND REGISTRATIONS

The Business Programs Division is comprised of three primary areas: Business Entities, Uniform Commercial Code, and Notary Public and Special Filings.

Business Entities examines, files, and maintains formation documents for new California business entities and qualifies out-of-state and international entities to conduct business in California; and also examines, files and maintains changes to the records of domestic or qualified foreign business entities to ensure the business entities are properly formed, merged, converted, amended, and dissolved in compliance with California law. Statements of Information containing key officers and contact information are also processed and maintained for public and governmental use.

Uniform Commercial Code files certain financing statements allowing lending institutions to protect their financial interest in personal property and established priority in case of debtor default or bankruptcy. Notices of judgment liens, attachment liens, agricultural liens, and equipment liens are also filed in Uniform Commercial Code, as well as notices of various tax liens submitted by state and federal taxing agencies such as the Franchise Tax Board and the Internal Revenue Service.

Notary Public and Special Filings appoints and commissions qualified notaries public for four- year terms, reviews and approves notary public education courses, and certifies to the authenticity of signatures of notaries public and public officials on documents for use in foreign countries. Special Filings include trademarks and service marks as well as surety bonds that certain business entities are required to file, notices of joint power agreements, city and county charters, and claims for successor-in-interest. This area also has disciplinary functions with regard to notaries public and immigration consultants.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate their medical treatment preferences if they cannot speak or make decisions for themselves. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian, or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California.

The Victims of Corporate Fraud Compensation Fund provides limited restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

Safe at Home is a confidential address program designed to assist victims of domestic violence, sexual assault, stalking, or a reproductive healthcare employee, patient, or volunteer. Participants in the Safe at Home program are provided with a free post office box to use as a mailing address thereby protecting their actual residential address. Once enrolled into the program, Safe at Home serves as a liaison for those participants who qualify for additional confidential services offered by various state and local agencies.

0705 - ELECTIONS

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees all federal and state elections within California, maintaining a statewide database of all registered voters, tracks and certifies ballot measures, certifies candidates, and certifies election results. In addition, the program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and investigates election related criminal violations as provided by California Elections Code.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level candidates and campaign recipients, major donor, slate mailer, political party, and independent expenditure committees. The program registers lobbyists (including placement agents), lobbying firms and lobbyist employers, reviews for compliance with statutory requirements the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so the public can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

0710 - ARCHIVES

The California State Archives acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires records, with legal, administrative or evidentiary value, or that have historical significance. Materials are transferred to the Archives for permanent preservation.

9900 - ADMINISTRATION AND TECHNOLOGY

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and requests from the public and advocates in the Legislature, administers the Secretary's role as ex officio member of the World Trade Commission and as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0700	FILINGS AND REGISTRATIONS			
	State Operations:			
0001	General Fund	\$-13,511	\$1,170	\$1,153
0228	Secretary of States Business Fees Fund	39,984	53,803	55,579
0995	Reimbursements	12,080	-	-
3042	Victims of Corporate Fraud Compensation Fund	3,871	1,630	1,530
3254	Business Programs Modernization Fund	-	500	1,500
	Totals, State Operations	\$42,424	\$57,103	\$59,762
	PROGRAM REQUIREMENTS			
0705	ELECTIONS			
	State Operations:			
0001	General Fund	\$16,772	\$21,322	\$18,755
0890	Federal Trust Fund	20,936	24,095	33,997
0995	Reimbursements	8	8	-
3244	Political Disclosure, Accountability, Transparency, and Access Fund	54	80	87
	Totals, State Operations	\$37,770	\$45,505	\$52,839

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Local Assistance:				
0890	Federal Trust Fund	\$1,300	\$2,776	\$3,621
	Totals, Local Assistance	\$1,300	\$2,776	\$3,621
PROGRAM REQUIREMENTS				
0710	ARCHIVES			
State Operations:				
0001	General Fund	\$6,831	\$8,441	\$8,111
	Totals, State Operations	\$6,831	\$8,441	\$8,111
PROGRAM REQUIREMENTS				
0715	DOJ LEGAL SERVICES			
State Operations:				
0001	General Fund	\$256	\$333	\$333
	Totals, State Operations	\$256	\$333	\$333
PROGRAM REQUIREMENTS				
9900	ADMINISTRATION - TOTAL			
State Operations:				
0001	General Fund	\$1	\$-1	\$-
	Totals, State Operations	\$1	\$-1	\$-
SUBPROGRAM REQUIREMENTS				
9900100	Administration			
State Operations:				
0001	General Fund	\$16,319	\$23,975	\$23,879
	Totals, State Operations	\$16,319	\$23,975	\$23,879
SUBPROGRAM REQUIREMENTS				
9900200	Administration - Distributed			
State Operations:				
0001	General Fund	\$-16,318	\$-23,976	\$-23,879
	Totals, State Operations	\$-16,318	\$-23,976	\$-23,879
TOTALS, EXPENDITURES				
	State Operations	87,282	111,381	121,045
	Local Assistance	1,300	2,776	3,621
	Totals, Expenditures	\$88,582	\$114,157	\$124,666

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	502.0	562.0	562.0	\$27,508	\$32,674	\$32,674
Total Adjustments	-	-	2.3	-	-1,616	-2,018
Net Totals, Salaries and Wages	502.0	562.0	564.3	\$27,508	\$31,058	\$30,656
Staff Benefits	-	-	-	12,909	14,477	15,028
Totals, Personal Services	502.0	562.0	564.3	\$40,417	\$45,535	\$45,684
OPERATING EXPENSES AND EQUIPMENT				\$46,548	\$65,846	\$75,353
SPECIAL ITEMS OF EXPENSES				317	-	-
UNCLASSIFIED EXPENDITURES				-	-	8
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$87,282	\$111,381	\$121,045

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

2 Local Assistance

	Expenditures		
	2013-14*	2014-15*	2015-16*
Consulting and Professional Services - External - Other	\$162	\$-	\$-
Grants and Subventions - Governmental	1,138	2,776	3,621
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,300	\$2,776	\$3,621

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$27,571	\$28,174	\$28,352
Allocation for Contingencies and Emergencies (Ballot Pamphlet Printing and Mailing)	-	1,924	-
Allocation for Employee Compensation	-	186	-
Allocation for Staff Benefits	-	19	-
Allocation of Funding Pursuant to the Political Reform Act, Item 8640-001-0001	-	703	-
Section 3.60 Pension Contribution Adjustment	-	259	-
Totals Available	\$27,571	\$31,265	\$28,352
Unexpended balance, estimated savings	-17,222	-	-
TOTALS, EXPENDITURES	\$10,349	\$31,265	\$28,352
0228 Secretary of States Business Fees Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$41,377	\$52,607	\$55,579
Allocation for Employee Compensation	-	492	-
Allocation for Staff Benefits	-	59	-
Section 3.60 Pension Contribution Adjustment	-	645	-
Chapter 531, Statutes of 2013	240	-	-
Totals Available	\$41,617	\$53,803	\$55,579
Unexpended balance, estimated savings	-1,633	-	-
TOTALS, EXPENDITURES	\$39,984	\$53,803	\$55,579
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20,936	\$17,178	\$33,997
HAVA Spending Plan and VoteCal SWCAP Funding Alignment	-	1,247	-
Revised Expenditure Authority per Provision 4, Item 0890-001-0890 and Provision 1, Item 0890-101-0890	-	6,917	-
SWCAP Current Service Levels Adjustment	-	-1,247	-
TOTALS, EXPENDITURES	\$20,936	\$24,095	\$33,997
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$12,088	\$8	-
TOTALS, EXPENDITURES	\$12,088	\$8	\$-
3042 Victims of Corporate Fraud Compensation Fund			
APPROPRIATIONS			
Corporations Code Section 2280	\$3,871	\$1,630	\$1,530
TOTALS, EXPENDITURES	\$3,871	\$1,630	\$1,530
3244 Political Disclosure, Accountability, Transparency, and Access Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$81	\$76	\$87
Allocation for Employee Compensation	-	1	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Section 3.60 Pension Contribution Adjustment	-	3	-
Totals Available	\$81	\$80	\$87
Unexpended balance, estimated savings	-27	-	-
TOTALS, EXPENDITURES	\$54	\$80	\$87
3254 Business Programs Modernization Fund			
APPROPRIATIONS			
001 Budget Act Appropriation	-	\$500	\$1,500
TOTALS, EXPENDITURES	\$-	\$500	\$1,500
Total Expenditures, All Funds, (State Operations)	\$87,282	\$111,381	\$121,045
2 LOCAL ASSISTANCE			
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$1,300	\$2,734	\$3,621
Revised Expenditure Authority per Provision 4, Item 0890-001-0890 and Provision 1, Item 0890-101-0890	-	42	-
TOTALS, EXPENDITURES	\$1,300	\$2,776	\$3,621
Total Expenditures, All Funds, (Local Assistance)	\$1,300	\$2,776	\$3,621
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$88,582	\$114,157	\$124,666

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0228 Secretary of States Business Fees Fund [§]			
BEGINNING BALANCE	\$1,001	\$1,000	\$1,002
Prior Year Adjustments	352	-	-
Adjusted Beginning Balance	\$1,353	\$1,000	\$1,002
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4120800 Corporation Fees - Domestic Corporations	9,169	8,495	8,495
4121000 Corporation Fees - Foreign Corporations	1,203	1,070	1,070
4122800 Filing Financing Statements	2,219	2,175	2,175
4125800 Notary Public License Fees	925	925	925
4129200 Other Regulatory Fees	8,411	7,350	7,350
4145500 Secretary of State - Fees	28,768	25,596	25,596
4163000 Investment Income - Surplus Money Investments	19	17	17
4172500 Miscellaneous Revenue	33	15,279	12,987
Transfers and Other Adjustments			
Revenue Transfer from the Business Fees Fund (0228) to the General Fund (0001)Per Government Code Section 12176.	-10,925	-7,067	-2,941
Total Revenues, Transfers, and Other Adjustments	\$39,822	\$53,840	\$55,674
Total Resources	\$41,175	\$54,840	\$56,676
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	3	-	-
0890 Secretary of State (State Operations)	39,986	53,804	55,579
8880 Financial Information System for California (State Operations)	185	34	94
Total Expenditures and Expenditure Adjustments	\$40,175	\$53,838	\$55,673
FUND BALANCE	\$1,000	\$1,002	\$1,003

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

	2013-14*	2014-15*	2015-16*
Reserve for economic uncertainties	1,000	1,002	1,003
3042 Victims of Corporate Fraud Compensation Fund ^s			
BEGINNING BALANCE	\$3,333	\$1,223	\$11,103
Prior Year Adjustments	<u>-133</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,200	\$1,223	\$11,103
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	1,679	1,500	1,500
4150500 Interest Income - Interfund Loans	179	-	-
4163000 Investment Income - Surplus Money Investments	9	10	10
4173500 Settlements and Judgments - Other	27	-	-
Transfers and Other Adjustments			
Loan Repayment from General Fund (0001) to Victims of Corporate Fraud Compensation Fund (3042) per Item 0890-011-3042, Budget Act of 2010.	-	10,000	-
Total Revenues, Transfers, and Other Adjustments	<u>\$1,894</u>	<u>\$11,510</u>	<u>\$1,510</u>
Total Resources	\$5,094	\$12,733	\$12,613
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	<u>3,871</u>	<u>1,630</u>	<u>1,530</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,871</u>	<u>\$1,630</u>	<u>\$1,530</u>
FUND BALANCE	\$1,223	\$11,103	\$11,083
Reserve for economic uncertainties	1,223	11,103	11,083
3244 Political Disclosure, Accountability, Transparency, and Access Fund ^s			
BEGINNING BALANCE	\$389	\$886	\$1,332
Prior Year Adjustments	<u>-3</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$386	\$886	\$1,332
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	439	450	365
4163000 Investment Income - Surplus Money Investments	1	1	1
4173000 Penalty Assessments - Other	<u>115</u>	<u>75</u>	<u>50</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$555</u>	<u>\$526</u>	<u>\$416</u>
Total Resources	\$941	\$1,412	\$1,748
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	<u>54</u>	<u>80</u>	<u>87</u>
Total Expenditures and Expenditure Adjustments	<u>\$54</u>	<u>\$80</u>	<u>\$87</u>
FUND BALANCE	\$886	\$1,332	\$1,661
Reserve for economic uncertainties	886	1,332	1,661
3254 Business Programs Modernization Fund ^s			
BEGINNING BALANCE	-	\$892	\$1,902
Prior Year Adjustments	<u>\$-2</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$-2	\$892	\$1,902
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4145500 Secretary of State - Fees	894	1,500	1,500
4163000 Investment Income - Surplus Money Investments	-	10	10

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0890 Secretary of State - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Total Revenues, Transfers, and Other Adjustments	\$894	\$1,510	\$1,510
Total Resources	\$892	\$2,402	\$3,412
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0890 Secretary of State (State Operations)	-	500	1,500
Total Expenditures and Expenditure Adjustments	-	\$500	\$1,500
FUND BALANCE	\$892	\$1,902	\$1,912
Reserve for economic uncertainties	892	1,902	1,912

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	502.0	562.0	562.0	\$27,508	\$32,674	\$32,674
Salary and Other Adjustments	-	-	-	-	-1,616	-4,362
Workload and Administrative Adjustments						
California Business Connect						
Various	-	-	-	-	-	755
Chartered Legislation: Ballot Measure						
Contributor Data (Chapter 920, Statutes of 2014)						
Assoc Govtl Program Analyst	-	-	1.0	-	-	62
Staff Analyst I	-	-	0.3	-	-	12
Help America Vote Act - Amended Spending Plan						
Overtime	-	-	-	-	-	8
Various	-	-	-	-	-	434
Help America Vote Act - VoteCal						
Various	-	-	-	-	-	907
Help America Vote Act - Voting System Testing and Approval						
Overtime	-	-	-	-	-	28
Staff Info Sys Analyst (Spec)	-	-	1.0	-	-	138
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	2.3	\$-	\$-	\$2,344
Totals, Adjustments	-	-	2.3	\$-	\$-1,616	\$-2,018
TOTALS, SALARIES AND WAGES	502.0	562.0	564.3	\$27,508	\$31,058	\$30,656

0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0730 Support	0.5	0.5	0.5	\$62	\$107	\$87
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	0.5	0.5	0.5	\$62	\$107	\$87

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0911 Citizens Redistricting Initiative - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0001 General Fund	<u>\$62</u>	<u>\$107</u>	<u>\$87</u>
TOTALS, EXPENDITURES, ALL FUNDS	\$62	\$107	\$87

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$1	\$-	-	\$1	\$-	-
• Salary Adjustments	1	-	-	1	-	-
• Benefit Adjustments	-	-	-	-	-	-
• Miscellaneous Baseline Adjustments	20	-	-	-	-	-
Totals, Other Workload Budget Adjustments	<u>\$22</u>	<u>\$-</u>	<u>-</u>	<u>\$2</u>	<u>\$-</u>	<u>-</u>
Totals, Workload Budget Adjustments	<u>\$22</u>	<u>\$-</u>	<u>-</u>	<u>\$2</u>	<u>\$-</u>	<u>-</u>
Totals, Budget Adjustments	<u>\$22</u>	<u>\$-</u>	<u>-</u>	<u>\$2</u>	<u>\$-</u>	<u>-</u>

PROGRAM DESCRIPTIONS

0730 - The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the commission as the sole legal defender.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0730	SUPPORT			
	State Operations:			
0001	General Fund	<u>\$62</u>	<u>\$107</u>	<u>\$87</u>
	Totals, State Operations	\$62	\$107	\$87
TOTALS, EXPENDITURES				
	State Operations	<u>62</u>	<u>107</u>	<u>87</u>
	Totals, Expenditures	\$62	\$107	\$87

EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	0.5	0.5	0.5	\$38	\$37	\$37
Total Adjustments	-	-	-	-	1	1
Net Totals, Salaries and Wages	0.5	0.5	0.5	\$38	\$38	\$38
Staff Benefits	-	-	-	18	18	18
Totals, Personal Services	0.5	0.5	0.5	\$56	\$56	\$56

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0911 Citizens Redistricting Initiative - Continued

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
OPERATING EXPENSES AND EQUIPMENT				\$6	\$51	\$31
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$62	\$107	\$87

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$72	\$85	\$87
Allocation for Employee Compensation	-	1	-
Revised Expenditure Authority per Provision 1, Budget Act of 2014	-	20	-
Section 3.60 Pension Contribution Adjustment	-	1	-
Totals Available	\$72	\$107	\$87
Unexpended balance, estimated savings	-10	-	-
TOTALS, EXPENDITURES	\$62	\$107	\$87
Total Expenditures, All Funds, (State Operations)	\$62	\$107	\$87

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	0.5	0.5	0.5	\$38	\$37	\$37
Salary and Other Adjustments	-	-	-	-	1	1
Totals, Adjustments	-	-	-	\$-	\$1	\$1
TOTALS, SALARIES AND WAGES	0.5	0.5	0.5	\$38	\$38	\$38

0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0740010 Investment Services	16.4	18.0	18.0	\$3,351	\$3,419	\$3,422
0740019 Centralized Treasury & Securities Management	59.1	67.5	67.5	11,812	13,267	13,274
0740028 Public Finance	50.4	61.0	57.0	9,247	10,484	9,429
9900100 Administration	85.8	89.9	89.9	12,593	13,455	13,468
9900200 Administration - Distributed	-	-	-	-9,760	-9,909	-9,909
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	211.7	236.4	232.4	\$27,243	\$30,716	\$29,684
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$2,953	\$4,816	\$4,815
0995 Reimbursements				21,871	23,257	22,217
9740 Central Service Cost Recovery Fund				2,419	2,643	2,652
TOTALS, EXPENDITURES, ALL FUNDS				\$27,243	\$30,716	\$29,684

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0950 State Treasurer - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$79	\$412	-	\$79	\$411	-
• Salary Adjustments	52	268	-	52	268	-
• Benefit Adjustments	21	111	-	26	128	-
• Miscellaneous Baseline Adjustments	-	-	-	-7	7	-
Totals, Other Workload Budget Adjustments	\$152	\$791	-	\$150	\$814	-
Totals, Workload Budget Adjustments	\$152	\$791	-	\$150	\$814	-
Totals, Budget Adjustments	\$152	\$791	-	\$150	\$814	-

PROGRAM DESCRIPTIONS

0740010 -
INVESTMENT SERVICES

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2013-14 fiscal year, this Division handled 6,493 security investment transactions totaling \$270.6 billion. The Pooled Money Investment Board (PMIB) program accounted for 4,704 of these transactions totaling \$234.5 billion; time deposits accounted for 1,104 transactions totaling \$25.2 billion. The remaining \$11.0 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Wildlife, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the PMIB program. In the 2013-14 fiscal year, 2,617 local agencies participated in LAIF, with deposits averaging \$20.2 billion for the fiscal year.

0740019 -
CENTRALIZED TREASURY AND SECURITIES MANAGEMENT

In 1949, the California Legislature amended Government Code Section 16305 to create the Centralized Treasury System (CTS) thereby requiring agencies of the State to deposit their money in trust with the Treasurer. The legislation also requires the Treasurer to safeguard the money and make safe and prudent investments. In 1955, the Legislature created the PMIB, giving the Board the responsibility to designate the amount of money available for the investment in securities, bank deposits, and loans to the General Fund.

The Centralized Treasury and Securities Management Division (CTSMD) oversees all banking aspects of the CTS. The goal of the CTSMD is to maximize the earning of interest consistent with safe and prudent treasury management, and to ensure that the depository banks provide the state with proper and adequate security for the deposit of state monies. The State Treasurer maintains demand bank accounts with eight banks for the purpose of providing necessary statewide depository coverage for the remittance of funds collected by the various state agencies.

The CTSMD 1) monitors the cash flow of all state funds, forecasts cash balances, revenue, expenditures, and the amounts available for daily investments, 2) ensures accurate and timely agency deposits, 3) administers and executes the wire transfer of funds, 4) reconciles state accounts with depository banks and redeems all state items submitted by presenting banks for payments, 5) executes the clearance and income collection for state investments (excluding PERS and STRS) and securities pledged to the state, 6) fulfills the clearance and settlement of securities pledged to the state for the time, demand, and other state agency programs, and 7) is responsible for the safekeeping of securities and other personal property owned by or pledged to the state.

0740028 -
PUBLIC FINANCE

The Public Finance Division is responsible for selling State of California general obligation bonds, commercial paper notes, revenue anticipation notes, revenue bonds, and any other indebtedness for all state agencies. The Division provides debt

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0950 State Treasurer - Continued

administration services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt so there is no adverse consequences to bondholders or increased borrowing costs to the state. The post-issuance tax compliance for various state debt includes monitoring the use of bond proceeds, monitoring use of bond financed property, tracking expenditures, managing rebate and yield restriction compliance, coordinating necessary financial disclosure, and ensuring proper record keeping.

9900 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0740	STATE TREASURER'S OFFICE			
	State Operations:			
0001	General Fund	\$2,536	\$4,023	\$4,020
0995	Reimbursements	19,455	20,529	19,479
9740	Central Service Cost Recovery Fund	<u>2,419</u>	<u>2,618</u>	<u>2,626</u>
	Totals, State Operations	\$24,410	\$27,170	\$26,125
SUBPROGRAM REQUIREMENTS				
0740010	Investment Services			
	State Operations:			
0001	General Fund	\$446	\$437	\$437
0995	Reimbursements	2,646	2,702	2,704
9740	Central Service Cost Recovery Fund	<u>259</u>	<u>280</u>	<u>281</u>
	Totals, State Operations	\$3,351	\$3,419	\$3,422
SUBPROGRAM REQUIREMENTS				
0740019	Centralized Treasury & Securities Management			
	State Operations:			
0001	General Fund	\$647	\$2,246	\$2,244
0995	Reimbursements	9,758	9,598	9,602
9740	Central Service Cost Recovery Fund	<u>1,407</u>	<u>1,423</u>	<u>1,428</u>
	Totals, State Operations	\$11,812	\$13,267	\$13,274
SUBPROGRAM REQUIREMENTS				
0740028	Public Finance			
	State Operations:			
0001	General Fund	\$1,443	\$1,340	\$1,339
0995	Reimbursements	7,051	8,229	7,173
9740	Central Service Cost Recovery Fund	<u>753</u>	<u>915</u>	<u>917</u>
	Totals, State Operations	\$9,247	\$10,484	\$9,429
PROGRAM REQUIREMENTS				
9900	ADMINISTRATION - TOTAL			
	State Operations:			
0001	General Fund	\$417	\$793	\$795
0995	Reimbursements	2,416	2,728	\$2,738
9740	Central Service Cost Recovery Fund	<u>-</u>	<u>25</u>	<u>26</u>
	Totals, State Operations	\$2,833	\$3,546	\$3,559
SUBPROGRAM REQUIREMENTS				

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0950 State Treasurer - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
9900100 Administration			
State Operations:			
0001 General Fund	\$10,177	\$10,702	\$10,704
0995 Reimbursements	2,416	2,728	2,738
9740 Central Service Cost Recovery Fund	-	25	26
Totals, State Operations	\$12,593	\$13,455	\$13,468
SUBPROGRAM REQUIREMENTS			
9900200 Administration - Distributed			
State Operations:			
0001 General Fund	\$-9,760	\$-9,909	\$-9,909
Totals, State Operations	\$-9,760	\$-9,909	\$-9,909
TOTALS, EXPENDITURES			
State Operations	27,243	30,716	29,684
Totals, Expenditures	\$27,243	\$30,716	\$29,684

EXPENDITURES BY CATEGORY

	1 State Operations					
	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	211.7	236.4	232.4	\$14,792	\$16,582	\$16,247
Total Adjustments	-	-	-	-	319	320
Net Totals, Salaries and Wages	211.7	236.4	232.4	\$14,792	\$16,901	\$16,567
Staff Benefits	-	-	-	6,343	6,979	6,879
Totals, Personal Services	211.7	236.4	232.4	\$21,135	\$23,880	\$23,446
OPERATING EXPENSES AND EQUIPMENT				\$6,108	\$6,836	\$6,238
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$27,243	\$30,716	\$29,684

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,806	\$4,665	\$4,815
Allocation for employee compensation	-	52	-
Allocation for staff benefits	-	20	-
Section 3.60 pension contribution adjustment	-	79	-
Totals Available	\$4,806	\$4,816	\$4,815
Unexpended balance, estimated savings	-1,853	-	-
TOTALS, EXPENDITURES	\$2,953	\$4,816	\$4,815
0467 State Notes Expense Account			
APPROPRIATIONS			
Government Code Section 17311	\$1,262	-	-
TOTALS, EXPENDITURES	\$1,262	\$-	\$-
Less funding provided by General Fund	-1,262	-	-
NET TOTALS, EXPENDITURES	\$-	\$-	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0950 State Treasurer - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$21,871</u>	<u>\$23,257</u>	<u>\$22,217</u>
TOTALS, EXPENDITURES	\$21,871	\$23,257	\$22,217
9740 Central Service Cost Recovery Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,420	\$2,564	\$2,652
Allocation for employee compensation	-	27	-
Allocation for staff benefits	-	11	-
Section 3.60 pension contribution adjustment	<u>-</u>	<u>41</u>	<u>-</u>
Totals Available	\$2,420	\$2,643	\$2,652
Unexpended balance, estimated savings	<u>-1</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$2,419	\$2,643	\$2,652
Total Expenditures, All Funds, (State Operations)	\$27,243	\$30,716	\$29,684

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0467 State Notes Expense Account ^s			
BEGINNING BALANCE	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
Adjusted Beginning Balance	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
Total Resources	\$250	\$250	\$250
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0950 State Treasurer (State Operations)	1,262	-	-
Expenditure Adjustments:			
Less funding provided by General Fund (State Operations)	<u>-1,262</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$250	\$250	\$250
Reserve for economic uncertainties	250	250	250
3059 Fiscal Recovery Fund ^s			
BEGINNING BALANCE	\$657,072	\$645,638	-
Prior Year Adjustments	<u>25,060</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$682,132	\$645,638	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4117200 Retail Sales and Use Tax - Fiscal Recovery	1,506,867	1,593,000	\$988,000
4163000 Investment Income - Surplus Money Investments	<u>2,673</u>	<u>2,000</u>	<u>2,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,509,541</u>	<u>\$1,595,000</u>	<u>\$990,000</u>
Total Resources	\$2,191,672	\$2,240,638	\$990,000
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9210 Local Government Financing (Local Assistance)	-	-	845,000
9618 Economic Recovery Financing Committee (State Operations)	15,591	15,934	12,800
9618 Economic Recovery Financing Committee (Unclassified)	<u>1,530,443</u>	<u>2,224,704</u>	<u>132,200</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,546,035</u>	<u>\$2,240,638</u>	<u>\$990,000</u>
FUND BALANCE	\$645,638	-	-
Reserve for economic uncertainties	645,638	-	-
3090 Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget			
Stabilization Account ^s			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0950 State Treasurer - Continued

	2013-14*	2014-15*	2015-16*
BEGINNING BALANCE	<u>\$7,777</u>	<u>57,666</u>	-
Adjusted Beginning Balance	\$7,777	\$57,666	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4163000 Investment Income - Surplus Money Investments	14	21	-
4170400 Capital Asset Sales Proceeds	57,832	41,894	-
Transfers and Other Adjustments			
Revenue Transfer from Budget Stabilization Account (1011) to Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget Stabilization Account (3090) per Article XVI, Sec. 20 of the California Constitution	-	1,606,422	-
Total Revenues, Transfers, and Other Adjustments	<u>\$57,846</u>	<u>\$1,648,337</u>	-
Total Resources	\$65,623	\$1,706,003	-
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
9618 Economic Recovery Financing Committee (Unclassified)	<u>7,957</u>	<u>1,706,003</u>	-
Total Expenditures and Expenditure Adjustments	<u>\$7,957</u>	<u>\$1,706,003</u>	-
FUND BALANCE	\$57,666	-	-
Reserve for economic uncertainties	57,666	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	211.7	236.4	232.4	\$14,792	\$16,582	\$16,247
Salary and Other Adjustments	-	-	-	-	319	320
Totals, Adjustments	-	-	-	\$-	\$319	\$320
TOTALS, SALARIES AND WAGES	211.7	236.4	232.4	\$14,792	\$16,901	\$16,567

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Programs (GSP), and the California Memorial Scholarship Program (CMS). These programs encourage Californians to save for college and pursue higher education goals. ScholarShare is a way for families to save for higher education costs utilizing a tax-advantaged 529 college savings plan. GSP granted academic achievement-based scholarships to students for use at eligible higher education institutions. CMS provides scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0780 Golden State Scholarshare Trust Program	8.1	9.0	9.0	\$1,981	\$2,541	\$2,588
0785 Governor's Scholarship Program	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>372</u>	<u>359</u>	<u>360</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	9.1	10.0	10.0	\$2,353	\$2,900	\$2,948
FUNDING				2013-14*	2014-15*	2015-16*
0001 General Fund				\$372	\$359	\$360
0564 Scholarshare Administrative Fund				<u>1,981</u>	<u>2,541</u>	<u>2,588</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$2,353	\$2,900	\$2,948

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0954 Scholarshare Investment Board - Continued

0780-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

0785-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

0790-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$2	\$19	-	\$2	\$19	-
• Salary Adjustments	1	18	-	2	17	-
• Pro Rata	-	-	-	-	47	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$3	\$37	-	\$4	\$83	-
Totals, Workload Budget Adjustments	\$3	\$37	-	\$4	\$83	-
Totals, Budget Adjustments	\$3	\$37	-	\$4	\$83	-

PROGRAM DESCRIPTIONS

0780 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established for the purpose of helping families save for higher education costs. Earnings are tax free when used for qualified higher education expenses (such as tuition, fees, supplies, books, and certain room and board expenses) at eligible educational institutions.

0785 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on specific exams taken in 2000, 2001, and 2002. GSP became inoperative and repealed in fiscal year 2002-03; however, the ScholarShare Investment Board continues to administer scholarship awards earned in 2000, 2001, and 2002.

0790 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provides scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001. These scholarships are used to defray higher education costs and are funded through the sales of memorial license plates.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0780	GOLDEN STATE SCHOLARSHARE TRUST PROGRAM			
	State Operations:			
0564	Scholarshare Administrative Fund	\$1,981	\$2,541	\$2,588
	Totals, State Operations	\$1,981	\$2,541	\$2,588
PROGRAM REQUIREMENTS				

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0954 Scholarshare Investment Board - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0785	GOVERNOR'S SCHOLARSHIP PROGRAM			
	State Operations:			
0001	General Fund	\$372	\$359	\$360
	Totals, State Operations	\$372	\$359	\$360
	TOTALS, EXPENDITURES			
	State Operations	2,353	2,900	2,948
	Totals, Expenditures	\$2,353	\$2,900	\$2,948

EXPENDITURES BY CATEGORY

	1 State Operations					
	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	9.1	10.0	10.0	\$638	\$649	\$649
Total Adjustments	-	-	-	-	42	57
Net Totals, Salaries and Wages	9.1	10.0	10.0	\$638	\$691	\$706
Staff Benefits	-	-	-	245	297	297
Totals, Personal Services	9.1	10.0	10.0	\$883	\$988	\$1,003
OPERATING EXPENSES AND EQUIPMENT				\$1,470	\$1,912	\$1,945
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,353	\$2,900	\$2,948

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$374	\$356	\$360
3.60 Pension Contribution Adjustment	-	2	-
Allocation for Employee Compensation	-	1	-
Totals Available	\$374	\$359	\$360
Unexpended balance, estimated savings	-2	-	-
TOTALS, EXPENDITURES	\$372	\$359	\$360
0564 Scholarshare Administrative Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,475	\$2,505	\$2,588
3.60 Pension Contribution Adjustment	-	19	-
Allocation for Employee Compensation	-	17	-
Totals Available	\$2,475	\$2,541	\$2,588
Unexpended balance, estimated savings	-494	-	-
TOTALS, EXPENDITURES	\$1,981	\$2,541	\$2,588
3033 California Memorial Scholarship Fund			
Prior Year Balances Available:			
Chapter 677, Statutes of 2005	30	27	27
Totals Available	\$30	\$27	\$27
Balance available in subsequent years	-30	-27	-27
TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$2,353	\$2,900	\$2,948

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0954 Scholarshare Investment Board - Continued

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
3033 California Memorial Scholarship Fund [§]			
BEGINNING BALANCE	\$27	\$21	\$21
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	<u>\$25</u>	<u>\$21</u>	<u>\$21</u>
Total Resources	\$25	\$21	\$21
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
8880 Financial Information System for California (State Operations)	4	-	-
Total Expenditures and Expenditure Adjustments	<u>\$4</u>	<u>-</u>	<u>-</u>
FUND BALANCE	\$21	\$21	\$21
Reserve for economic uncertainties	21	21	21

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	9.1	10.0	10.0	\$638	\$649	\$649
Salary and Other Adjustments	-	-	-	-	42	57
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$42</u>	<u>\$57</u>
TOTALS, SALARIES AND WAGES	9.1	10.0	10.0	\$638	\$691	\$706

0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission (CDIAC) is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. CDIAC assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0800 California Debt and Investment Advisory Commission	18.2	20.0	20.0	\$2,561	\$3,119	\$3,170
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	18.2	20.0	20.0	\$2,561	\$3,119	\$3,170
FUNDING				2013-14*	2014-15*	2015-16*
0171 California Debt and Investment Advisory Commission Fund				\$2,481	\$2,939	\$2,990
0995 Reimbursements				80	180	180
TOTALS, EXPENDITURES, ALL FUNDS				\$2,561	\$3,119	\$3,170

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8855-8859.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0956 California Debt and Investment Advisory Commission - Continued

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Pro Rata	\$-	\$-	-	\$-	\$50	-
• Retirement Rate Adjustments	-	39	-	-	39	-
• Salary Adjustments	-	25	-	-	25	-
• Benefit Adjustments	-	11	-	-	12	-
Totals, Other Workload Budget Adjustments	\$-	\$75	-	\$-	\$126	-
Totals, Workload Budget Adjustments	\$-	\$75	-	\$-	\$126	-
Totals, Budget Adjustments	\$-	\$75	-	\$-	\$126	-

PROGRAM DESCRIPTIONS

0800 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

CDIAC's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. CDIAC achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - CDIAC serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - CDIAC provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, CDIAC provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - CDIAC undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0800	CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION			
	State Operations:			
0171	California Debt and Investment Advisory Commission Fund	\$2,481	\$2,939	\$2,990
0995	Reimbursements	80	180	180
	Totals, State Operations	\$2,561	\$3,119	\$3,170
TOTALS, EXPENDITURES				
	State Operations	2,561	3,119	3,170
	Totals, Expenditures	\$2,561	\$3,119	\$3,170

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	18.2	20.0	20.0	\$1,197	\$1,273	\$1,273
Total Adjustments	-	-	-	-	25	25
Net Totals, Salaries and Wages	18.2	20.0	20.0	\$1,197	\$1,298	\$1,298
Staff Benefits	-	-	-	487	557	558

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0956 California Debt and Investment Advisory Commission - Continued

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Personal Services	18.2	20.0	20.0	\$1,684	\$1,855	\$1,856
OPERATING EXPENSES AND EQUIPMENT				\$875	\$1,264	\$1,314
SPECIAL ITEMS OF EXPENSES				2	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,561	\$3,119	\$3,170

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0171 California Debt and Investment Advisory Commission Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,904	\$2,864	\$2,990
Allocation for employee compensation	-	25	-
Allocation for staff benefits	-	11	-
Section 3.60 pension contribution adjustment	-	39	-
Totals Available	\$2,904	\$2,939	\$2,990
Unexpended balance, estimated savings	-423	-	-
TOTALS, EXPENDITURES	\$2,481	\$2,939	\$2,990
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$80	\$180	\$180
TOTALS, EXPENDITURES	\$80	\$180	\$180
Total Expenditures, All Funds, (State Operations)	\$2,561	\$3,119	\$3,170

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0171 California Debt and Investment Advisory Commission Fund^s			
BEGINNING BALANCE	\$4,532	\$3,893	\$2,702
Prior Year Adjustments	108	-	-
Adjusted Beginning Balance	\$4,640	\$3,893	\$2,702
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	1,737	1,740	1,740
4150500 Interest Income - Interfund Loans	-	-	366
4163000 Investment Income - Surplus Money Investments	10	9	7
4172500 Miscellaneous Revenue	1	-	-
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to the California Debt and Investment Advisory Commission Fund (0171) per Budget Act Item 0956-001-0171, Budget Act of 2013	-	-	2,000
Total Revenues, Transfers, and Other Adjustments	\$1,748	\$1,749	\$4,113
Total Resources	\$6,388	\$5,642	\$6,815
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0956 California Debt and Investment Advisory Commission (State Operations)	2,481	2,938	2,989
8880 Financial Information System for California (State Operations)	13	2	5
Total Expenditures and Expenditure Adjustments	\$2,495	\$2,940	\$2,994
FUND BALANCE	\$3,893	\$2,702	\$3,821

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0956 California Debt and Investment Advisory Commission - Continued

	2013-14*	2014-15*	2015-16*
Reserve for economic uncertainties	3,893	2,702	3,821

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	18.2	20.0	20.0	\$1,197	\$1,273	\$1,273
Salary and Other Adjustments	-	-	-	-	25	25
Totals, Adjustments	-	-	-	\$-	\$25	\$25
TOTALS, SALARIES AND WAGES	18.2	20.0	20.0	\$1,197	\$1,298	\$1,298

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's ("Committee") mission is to allocate tax-exempt private activity bond authority for the State of California. Private activity bonds may only be used by the private sector for projects and programs that provide a public benefit. The major public benefit in California is the creation of affordable housing.

The federal government limits the amount of tax-exempt private activity bond authority that can be issued in a state on an annual basis. The limit of bond authority in 2014 is calculated by multiplying the state population by \$100. California has the largest population, and thus has the largest debt (or tax-exempt bond) limit, which totaled over \$3.83 billion in 2014.

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities, and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or of the federal government.

The Committee administers eight programs that are funded through the allocation and issuance of tax-exempt private activity bonds. Those programs are: (1) the Qualified Residential Rental Project Program, (2) the Single-Family Housing Program, (3) the Home Improvement and Rehabilitation Bond Program, (4) the Extra Credit Home Purchase Program, (5) the Industrial Development Bond Project Program, (6) the Exempt Facility Program, (7) the Student Loan Program, and (8) the Beginning Farmer Program.

The Committee is also responsible for the reallocation of Qualified Energy Conservation Bond (QECB) authority originally provided to qualified localities, but later waived back to the State. This bond program, made available through the American Recovery and Reinvestment Act of 2009, provides tax incentives and lower borrowing costs for local governments and private entities to promote job creation and economic recovery in areas particularly affected by employment decline and to facilitate renewable energy conservation programs and projects throughout the State.

The Committee is comprised of the State Treasurer as Chairperson, the Governor, or upon his designation, the Director of Finance, and the State Controller. The Committee is funded on a fee-supported basis.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0810 California Debt Limit Allocation Committee	7.9	9.0	9.0	\$1,155	\$1,444	\$1,361
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	7.9	9.0	9.0	\$1,155	\$1,444	\$1,361
FUNDING				2013-14*	2014-15*	2015-16*
0169 California Debt Limit Allocation Committee Fund				\$1,155	\$1,444	\$1,361
TOTALS, EXPENDITURES, ALL FUNDS				\$1,155	\$1,444	\$1,361

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0959 California Debt Limit Allocation Committee - Continued

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$-	\$17	-	\$-	\$17	-
• Salary Adjustments	-	11	-	-	11	-
• Benefit Adjustments	-	5	-	-	6	-
• Pro Rata	-	-	-	-	-84	-
Totals, Other Workload Budget Adjustments	\$-	\$33	-	\$-	-\$50	-
Totals, Workload Budget Adjustments	\$-	\$33	-	\$-	-\$50	-
Totals, Budget Adjustments	\$-	\$33	-	\$-	-\$50	-

PROGRAM DESCRIPTIONS

0810 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

Qualified Residential Rental Project Program:

State and local government agencies and joint powers authorities can issue tax-exempt housing revenue bonds. These bonds assist developers of multifamily rental housing units to acquire land and construct new units or purchase and rehabilitate existing units. The tax-exempt bonds lower the interest rate on a mortgage to be paid by the developers. The developers in turn produce affordable and market rate rental housing for low and very low-income households by reducing rental rates to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive four-percent tax credits.

Single-Family Housing Program:

State and local government agencies and joint powers authorities can issue tax-exempt mortgage revenue bonds (MRBs) or mortgage credit certificates (MCCs) to assist first-time homebuyers with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local government agencies and joint powers authorities may issue MCCs. Homebuyers use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homebuyers may purchase single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits and must purchase a home that falls within the program's purchase price limitations.

Home Improvement and Rehabilitation Bond Program:

State and local government agencies and joint powers authorities can issue MRBs or MCCs to assist homeowners with home improvement financing. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate home improvement or qualified rehabilitation loans. As an alternative to issuing MRBs, state and local government agencies and joint powers authorities may issue MCCs. Homeowners use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homeowners may improve single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits, and in certain cases, must own a home that falls within the program's rehabilitation cost and age-of-home limitations.

Extra Credit Home Purchase Program:

State and local government agencies and joint powers authorities can issue MRBs or MCCs to assist teachers, principals and other eligible school staff with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCC to reduce their federal tax liability by applying the credit to their net tax due.

Industrial Development Bond Project Program:

Small-Issue Industrial Development Bonds (IDBs) are tax-exempt private activity bonds that are issued through state and local government agencies to assist manufacturing facilities finance capital expenditures. IDBs offer interest rate savings to small and midsize manufacturers in contrast to conventional loans. When used by manufacturers, IDBs serve to retain and create new jobs within their communities.

Exempt Facility Program:

Exempt Facility Bonds are tax-exempt private activity bonds that are issued by state and local government agencies to finance solid waste disposal and waste recycling facilities. The tax-exempt bonds provide facility owners with low-cost financing in the form of below-market interest rate loans. The interest rate savings enable the project owners to maintain lower customer rates or minimize customer rate increases, while at the same time assisting the communities they serve meet their mandated requirements to protect and enhance the environment.

Student Loan Program:

Student Loan Bonds are tax-exempt private activity bonds issued by authorized agencies for the purpose of either financing direct loans to college students and their parents or purchasing bundles of already-originated loans on the secondary

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0959 California Debt Limit Allocation Committee - Continued

market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to financially needy students via below-market interest rate loans. Financially needy students are borrowers for whom the cost to attend college exceeds their ability to pay, as determined by their school's financial aid office.

Beginning Farmer Program:

Beginning Farmer Bonds are tax-exempt private activity bonds that are issued through state and local government agencies to back below-market interest rate financing for eligible agricultural land, construction/improvements, breeder livestock and equipment for qualified farmers. Eligibility, permissible items and loan limits are set by the United States Internal Revenue Code. Under the Program, a conduit bond issuer applies to the Committee for an allocation of Beginning Farmer Bonds. If the Committee approves the allocation, the issuer then brings together farmers, financial institutions, contract sellers or investors to negotiate terms of a transaction. The issuer then sells the bonds to finance the loan, sale or investment.

Qualified Energy Conservation Bond Program:

Qualified Energy Conservation Bonds (QECBs) may be issued as either tax-exempt private activity bonds or governmental purpose taxable bonds. When issued as a governmental purpose taxable bond, QECBs provide the issuer with either a direct or tax credit interest subsidy. QECBs may be issued to finance a broad array of qualified conservation purposes such as capital expenditures, research facilities and research grant programs, mass commuting facilities, public education campaigns, and demonstration projects. There is no sunset date for this program.

DETAILED EXPENDITURES BY PROGRAM

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS			
0810 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE			
State Operations:			
0169 California Debt Limit Allocation Committee Fund	\$1,155	\$1,444	\$1,361
Totals, State Operations	\$1,155	\$1,444	\$1,361
TOTALS, EXPENDITURES			
State Operations	1,155	1,444	1,361
Totals, Expenditures	\$1,155	\$1,444	\$1,361

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	7.9	9.0	9.0	\$506	\$581	\$581
Total Adjustments	-	-	-	-	11	11
Net Totals, Salaries and Wages	7.9	9.0	9.0	\$506	\$592	\$592
Staff Benefits	-	-	-	234	264	265
Totals, Personal Services	7.9	9.0	9.0	\$740	\$856	\$857
OPERATING EXPENSES AND EQUIPMENT				<u>\$415</u>	<u>\$588</u>	<u>\$504</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,155	\$1,444	\$1,361

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0169 California Debt Limit Allocation Committee Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,356	\$1,411	\$1,361
Allocation for employee compensation	-	11	-
Allocation for staff benefits	-	5	-
Section 3.60 pension contribution adjustment	-	17	-
Totals Available	\$1,356	\$1,444	\$1,361

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0959 California Debt Limit Allocation Committee - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Unexpended balance, estimated savings	-201	-	-
TOTALS, EXPENDITURES	\$1,155	\$1,444	\$1,361
Total Expenditures, All Funds, (State Operations)	\$1,155	\$1,444	\$1,361

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0169 California Debt Limit Allocation Committee Fund^s			
BEGINNING BALANCE	\$3,470	\$3,000	\$2,264
Prior Year Adjustments	-50	-	-
Adjusted Beginning Balance	\$3,420	\$3,000	\$2,264
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	732	700	700
4150500 Interest Income - Interfund Loans	-	-	366
4163000 Investment Income - Surplus Money Investments	8	8	8
Transfers and Other Adjustments			
Loan Repayment from the General Fund (0001) to California Debt Limit Allocation Committee Fund (0169) per Provision 2, Item 0959-001-0169, Budget Act of 2013	-	-	2,000
Total Revenues, Transfers, and Other Adjustments	\$740	\$708	\$3,074
Total Resources	\$4,160	\$3,708	\$5,338
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0959 California Debt Limit Allocation Committee (State Operations)	1,154	1,443	1,360
8880 Financial Information System for California (State Operations)	6	1	1
Total Expenditures and Expenditure Adjustments	\$1,160	\$1,444	\$1,361
FUND BALANCE	\$3,000	\$2,264	\$3,977
Reserve for economic uncertainties	3,000	2,264	3,977

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	7.9	9.0	9.0	\$506	\$581	\$581
Salary and Other Adjustments	-	-	-	-	11	11
Totals, Adjustments	-	-	-	\$0	\$11	\$11
TOTALS, SALARIES AND WAGES	7.9	9.0	9.0	\$506	\$592	\$592

0964 California Transportation Financing Authority

The California Transportation Financing Authority (CTFA) was established by Chapter 474, Statutes of 2009 (AB 798), to increase the construction of new capacity or improvements for the state transportation system in a manner that will help meet the state's greenhouse gas reduction goals, air quality improvement goals, and natural resource conservation goals. The CTFA consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0820 California Transportation Financing Authority	-	-	-	\$-	\$-	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0964 California Transportation Financing Authority - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

0820 - CALIFORNIA TRANSPORTATION FINANCING AUTHORITY

CTFA was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet this objective, statutes allow CTFA to issue, or to approve specified project sponsors to issue, revenue bonds to fund transportation projects backed in whole or in part, by various revenue streams of transportation funds (including toll revenues). Statutes provide CTFA with the authority to approve the imposition and collection of tolls on proposed transportation projects under certain conditions. The planning, programming, and allocation of transportation projects are performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	-	-	\$-	\$-	\$-
Net Totals, Salaries and Wages	-	-	-	\$-	\$-	\$-
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$-	\$-	\$-

0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission (CIDFAC) creates employment opportunities and supports local economic development by approving local entities' issuance of Industrial Development Bonds (IDBs). The IDBs provide manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. CIDFAC independently reviews IDB applications to ensure compliance with federal tax law and state statutes and regulations and approves the sale of IDBs by local authorities. Additionally, CIDFAC provides technical assistance to local issuers of IDBs, including cities, counties, industrial development authorities, and joint powers authorities.

The State Treasurer serves as chairperson of the CIDFAC. The other members are the Director of the Department of Finance, the State Controller, the Director of the Governor's Office of Business and Economic Development, and the Commissioner of the Department of Business Oversight.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0830 California Industrial Development Financing Advisory Commission	-	1.0	1.0	\$13	\$41	\$41
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	1.0	1.0	\$13	\$41	\$41
FUNDING				2013-14*	2014-15*	2015-16*
0215 Industrial Development Fund				\$13	\$41	\$41

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0965 California Industrial Development Financing Advisory Commission - Continued

FUNDING	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES, ALL FUNDS	\$13	\$41	\$41

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

PROGRAM DESCRIPTIONS

0830 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION

The core program area is the IDB Program, under which CIDFAC, as required by state law, serves as the mandatory approval agency for local IDB issuers. Once potential borrowers (manufacturers and processing companies) apply through their local government agency for low cost tax-exempt financing, CIDFAC carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. The local issuer can be a city, county, economic development authority, redevelopment agency, or a joint power authority.

DETAILED EXPENDITURES BY PROGRAM

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS			
0830 CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION			
State Operations:			
0215 Industrial Development Fund	\$13	\$41	\$41
Totals, State Operations	\$13	\$41	\$41
TOTALS, EXPENDITURES			
State Operations	13	41	41
Totals, Expenditures	\$13	\$41	\$41

EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	1.0	1.0	\$-	\$-	\$-
Net Totals, Salaries and Wages	-	1.0	1.0	\$-	\$-	\$-
Totals, Personal Services	-	1.0	1.0	\$-	\$-	\$-
OPERATING EXPENSES AND EQUIPMENT				\$13	\$41	\$41
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$13	\$41	\$41

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
0215 Industrial Development Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$269	\$41	\$41

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0965 California Industrial Development Financing Advisory Commission - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Totals Available	\$269	\$41	\$41
Unexpended balance, estimated savings	-256	-	-
TOTALS, EXPENDITURES	\$13	\$41	\$41
Total Expenditures, All Funds, (State Operations)	\$13	\$41	\$41

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0215 Industrial Development Fund^s			
BEGINNING BALANCE	\$13	\$32	\$32
Prior Year Adjustments	-2	-	-
Adjusted Beginning Balance	\$11	\$32	\$32
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	35	40	40
Total Revenues, Transfers, and Other Adjustments	\$35	\$40	\$40
Total Resources	\$46	\$72	\$72
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0965 California Industrial Development Financing Advisory Commission (State Operations)	13	40	40
8880 Financial Information System for California (State Operations)	1	-	-
Total Expenditures and Expenditure Adjustments	\$14	\$40	\$40
FUND BALANCE	\$32	\$32	\$32
Reserve for economic uncertainties	32	32	32

0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee (CTCAC) is to fairly allocate federal and state tax credits to create and maintain safe quality affordable rental housing for low-income households in California by forming partnerships with developers, investors and public entities.

CTCAC works in public/private partnerships to assist with project development, while fulfilling its responsibilities as a credit agency through project compliance monitoring. CTCAC coordinates its functions with state and local housing fund providers and with private fund investors, when providing and maintaining quality, affordable housing.

The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0840 California Tax Credit Allocation Committee	37.6	40.0	40.0	\$5,952	\$6,835	\$7,123
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	37.6	40.0	40.0	\$5,952	\$6,835	\$7,123
FUNDING				2013-14*	2014-15*	2015-16*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account				\$3,782	\$4,212	\$4,380
0457 Tax Credit Allocation Fee Account				2,068	2,513	2,633
0995 Reimbursements				102	110	110
TOTALS, EXPENDITURES, ALL FUNDS				\$5,952	\$6,835	\$7,123

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0968 California Tax Credit Allocation Committee - Continued

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22; and California Revenue and Taxation Code Sections 12205, 12206, 17057.5, 17058, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Pro Rata	\$-	\$-	-	\$-	\$280	-
• Retirement Rate Adjustments	-	77	-	-	79	-
• Salary Adjustments	-	50	-	-	51	-
• Benefit Adjustments	-	21	-	-	24	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$148	-	\$-	\$434	-
Totals, Workload Budget Adjustments	\$-	\$148	-	\$-	\$434	-
Totals, Budget Adjustments	\$-	\$148	-	\$-	\$434	-

PROGRAM DESCRIPTIONS

0840 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

The Federal Low Income Housing Tax Credit Program:

Congress authorized the federal program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors.

As of 2014, each state has an annual housing credit ceiling of \$2.30 per state resident, and may qualify for a share of credits available annually in a national pool comprised of states' unused credits. The annual housing credit ceiling is indexed for inflation. Investors can take the annual credit against their federal income tax liability each year for a ten-year period.

The State Low Income Housing Tax Credit Program:

Recognizing the high cost of developing housing in California, the California Legislature authorized the State Low Income Housing Tax Credit Program to augment the federal tax credit program. Specifically, projects that are not receiving an increase in their federal tax credit basis may apply for and receive state tax credits. However, an exception to this rule is in place for projects serving Special Needs populations (as defined in Section 10325 of the CTCAC regulations). Those projects may apply for and receive state tax credits even if they are receiving an increase in their federal tax credit basis. The project's "eligible basis" is the portion of the total project cost that is used to calculate the amount of the tax credit.

Of the state credit authority amount, \$500,000 annually is available as credit for farmworker housing projects.

The annual state credit ceiling is currently \$93.2 million, indexed for inflation (in addition to any unused or returned credits from previous years). Investors take the state credit over a four-year period in contrast to the ten-year federal allocation period. The full four-year state credit allocated to a project is deducted from the annual state credit ceiling.

Tax-Exempt Bond Financed Program:

Developments financed with tax-exempt bond proceeds may also receive federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual "cap" on the amount of credit that may be awarded by the state to such developments. The annual credit available is based on approximately four percent (instead of the nine percent for projects that are not financed with tax-exempt bond proceeds) of the "qualified basis" of the development. Qualified basis includes those costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0968 California Tax Credit Allocation Committee - Continued

Under federal law, credit projects must remain affordable for at least 30 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

Farmworker Housing Tax Credit Program:

Chapter 521, Statutes of 2008 (SB 1267) folded the previous Farmworker Housing Assistance tax credits into the general state low-income housing tax credit program. While \$500,000 annually continues to be authorized for state tax credits in support of farmworker housing, the program now operates under the rules of the general state low-income housing tax credit program.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS				
0840	CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE			
	State Operations:			
0448	Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account	\$3,782	\$4,212	\$4,380
0457	Tax Credit Allocation Fee Account	1,876	2,323	2,443
0995	Reimbursements	<u>102</u>	<u>110</u>	<u>110</u>
	Totals, State Operations	\$5,760	\$6,645	\$6,933
	Local Assistance:			
0457	Tax Credit Allocation Fee Account	<u>\$192</u>	<u>\$190</u>	<u>\$190</u>
	Totals, Local Assistance	\$192	\$190	\$190
	TOTALS, EXPENDITURES			
	State Operations	5,760	6,645	6,933
	Local Assistance	<u>192</u>	<u>190</u>	<u>190</u>
	Totals, Expenditures	\$5,952	\$6,835	\$7,123

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	37.6	40.0	40.0	\$2,340	\$2,515	\$2,515
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>49</u>	<u>51</u>
Net Totals, Salaries and Wages	37.6	40.0	40.0	\$2,340	\$2,564	\$2,566
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,010</u>	<u>1,195</u>	<u>1,200</u>
Totals, Personal Services	37.6	40.0	40.0	\$3,350	\$3,759	\$3,766
OPERATING EXPENSES AND EQUIPMENT				\$2,410	\$2,880	\$3,161
SPECIAL ITEMS OF EXPENSES				<u>-</u>	<u>6</u>	<u>6</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,760	\$6,645	\$6,933

2 Local Assistance	<u>Expenditures</u>		
	2013-14*	2014-15*	2015-16*
Grants and Subventions - Governmental	<u>\$192</u>	<u>\$190</u>	<u>\$190</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$192	\$190	\$190

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0968 California Tax Credit Allocation Committee - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,846	\$4,116	\$4,380
Allocation for employee compensation	-	32	-
Allocation for staff benefits	-	14	-
Section 3.60 pension contribution adjustment	-	50	-
Totals Available	\$3,846	\$4,212	\$4,380
Unexpended balance, estimated savings	-64	-	-
TOTALS, EXPENDITURES	\$3,782	\$4,212	\$4,380
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,259	\$2,266	\$2,437
Allocation for employee compensation	-	17	-
Allocation for staff benefits	-	7	-
Section 3.60 pension contribution adjustment	-	27	-
Health and Safety Code Section 50199.9(b)	-	6	6
Totals Available	\$2,259	\$2,323	\$2,443
Unexpended balance, estimated savings	-383	-	-
TOTALS, EXPENDITURES	\$1,876	\$2,323	\$2,443
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$102	\$110	\$110
TOTALS, EXPENDITURES	\$102	\$110	\$110
Total Expenditures, All Funds, (State Operations)	\$5,760	\$6,645	\$6,933
2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0457 Tax Credit Allocation Fee Account			
APPROPRIATIONS			
Health and Safety Code Section 50199.9(b)	\$192	\$190	\$190
TOTALS, EXPENDITURES	\$192	\$190	\$190
Total Expenditures, All Funds, (Local Assistance)	\$192	\$190	\$190
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$5,952	\$6,835	\$7,123

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account ^s			
BEGINNING BALANCE			
BEGINNING BALANCE	\$19,300	\$20,965	\$22,398
Prior Year Adjustments	16	-	-
Adjusted Beginning Balance	\$19,316	\$20,965	\$22,398
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	5,401	5,600	5,700
4163000 Investment Income - Surplus Money Investments	47	47	47
Total Revenues, Transfers, and Other Adjustments	\$5,448	\$5,647	\$5,747
Total Resources	\$24,764	\$26,612	\$28,145
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0968 California Tax Credit Allocation Committee - Continued

	2013-14*	2014-15*	2015-16*
0968 California Tax Credit Allocation Committee (State Operations)	3,782	4,211	4,379
8880 Financial Information System for California (State Operations)	<u>17</u>	<u>3</u>	<u>7</u>
Total Expenditures and Expenditure Adjustments	<u>\$3,799</u>	<u>\$4,214</u>	<u>\$4,386</u>
FUND BALANCE	\$20,965	\$22,398	\$23,759
Reserve for economic uncertainties	20,965	22,398	23,759
0457 Tax Credit Allocation Fee Account [§]			
BEGINNING BALANCE	\$8,972	\$11,855	\$14,208
Prior Year Adjustments	<u>84</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$9,056	\$11,855	\$14,208
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4129200 Other Regulatory Fees	4,802	4,800	4,800
4163000 Investment Income - Surplus Money Investments	68	68	68
4171400 Escheat - Unclaimed Checks, Warrants, Bonds, and Coupons	<u>3</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$4,873</u>	<u>\$4,868</u>	<u>\$4,868</u>
Total Resources	\$13,930	\$16,723	\$19,076
EXPENDITURE AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0968 California Tax Credit Allocation Committee (State Operations)	1,873	2,323	2,442
0968 California Tax Credit Allocation Committee (Local Assistance)	192	190	190
8880 Financial Information System for California (State Operations)	<u>10</u>	<u>2</u>	<u>4</u>
Total Expenditures and Expenditure Adjustments	<u>\$2,075</u>	<u>\$2,515</u>	<u>\$2,636</u>
FUND BALANCE	\$11,855	\$14,208	\$16,440
Reserve for economic uncertainties	11,855	14,208	16,440

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	37.6	40.0	40.0	\$2,340	\$2,515	\$2,515
Salary and Other Adjustments	-	-	-	-	49	51
Totals, Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>\$49</u>	<u>\$51</u>
TOTALS, SALARIES AND WAGES	37.6	40.0	40.0	\$2,340	\$2,564	\$2,566

0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, as the California Alternative Energy Source Financing Authority, to "promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources." Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the intent of the legislation was to promote energy sources designed to reduce the degradation of the environment. The Authority later became the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) in 1994, when the statute was amended to include development and commercialization of advanced transportation technologies.

CAEATFA consists of five members: the State Treasurer, who serves as the chairperson of CAEATFA, the State Controller, the Director of the Department of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission (the California Energy Commission), and the President of the Public Utilities Commission.

3-YR EXPENDITURES AND POSITIONS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0850 California Alternative Energy and Advanced Transportation Financing Authority	8.0	19.5	17.0	\$1,227	\$35,423	\$4,873
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8.0	19.5	17.0	\$1,227	\$35,423	\$4,873
FUNDING				2013-14*	2014-15*	2015-16*
0382 Renewable Resource Trust Fund				\$124	\$19,945	\$-
0465 Energy Resources Programs Account				114	10,625	554
0528 California Alternative Energy Authority Fund				947	1,605	1,536
0995 Reimbursements				<u>42</u>	<u>3,248</u>	<u>2,783</u>
TOTALS, EXPENDITURES, ALL FUNDS				\$1,227	\$35,423	\$4,873

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code Sections 26000-26082.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Implementation of Energy Efficiency Pilot Programs	\$-	\$-	-	\$-	\$1,535	3.0
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$1,535	3.0
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$-	\$31	-	\$-	\$25	-
• Salary Adjustments	-	20	-	-	16	-
• Benefit Adjustments	-	8	-	-	7	-
• Pro Rata	-	-	-	-	6	-
• Miscellaneous Baseline Adjustments	-	7,913	-	-	-	-
Totals, Other Workload Budget Adjustments	\$-	\$7,972	-	\$-	\$54	-
Totals, Workload Budget Adjustments	\$-	\$7,972	-	\$-	\$1,589	3.0
Totals, Budget Adjustments	\$-	\$7,972	-	\$-	\$1,589	3.0

PROGRAM DESCRIPTIONS

0850 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, bond insurance, loan guarantees or other credit enhancements or liquidity facilities, contributions of money, or a combination thereof, as determined and approved by the resolution of the board.

In 2010, CAEATFA launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (SB 71, Chapter 10, Statutes of 2010). In 2012, CAEATFA's STE program was expanded to include advanced manufacturing (SB 1128, Chapter 677, Statutes of 2012), with an annual cap of \$100 million available for STE awards. The objective of CAEATFA's sales and use tax exclusion program is to promote the creation of California-based manufacturing, businesses, and jobs that are aimed to reduce greenhouse gases, air and water pollution, and energy consumption.

In addition, legislation in 2010 (SB 77, Chapter 15, Statutes of 2010), 2011 (ABx1 14, Chapter 9, Statutes of 2011), and 2013 (SB 96, Chapter 356, Statutes of 2013) provided CAEATFA with additional statutory authority to provide financial assistance to encourage energy efficiency retrofits. Under ABx1 14, CAEATFA developed a loan loss reserve program to

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

assist financial institutions in making loans to homeowners in the state for residential energy efficiency and renewable energy projects (sunsets in January, 2015). Under SB 96, CAEATFA developed a risk mitigation program for residential Property Assessed Clean Energy programs in California.

Additionally, CAEATFA received authorization in the Budget Act of 2014 to administer the California Hub for Energy Efficiency Financing on the behalf of, and in collaboration with, the California Public Utilities Commission. These energy efficiency financing pilots programs will employ various types of credit enhancements to encourage and leverage private capital lending (in both the residential and commercial sectors) for energy efficiency improvements needed to help achieve California's energy efficiency goals.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0850	CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY			
	State Operations:			
0382	Renewable Resource Trust Fund	\$124	\$58	\$-
0465	Energy Resources Programs Account	114	625	554
0528	California Alternative Energy Authority Fund	947	1,605	1,536
0995	Reimbursements	<u>42</u>	<u>3,248</u>	<u>2,783</u>
	Totals, State Operations	\$1,227	\$5,536	\$4,873
	Local Assistance:			
0382	Renewable Resource Trust Fund	\$-	\$19,887	\$-
0465	Energy Resources Programs Account	<u>-</u>	<u>10,000</u>	<u>-</u>
	Totals, Local Assistance	\$-	\$29,887	\$-
	TOTALS, EXPENDITURES			
	State Operations	1,227	5,536	4,873
	Local Assistance	<u>-</u>	<u>29,887</u>	<u>-</u>
	Totals, Expenditures	\$1,227	\$35,423	\$4,873

EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
1 State Operations	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8.1	19.5	14.0	\$461	\$1,111	\$798
Total Adjustments	<u>-0.1</u>	<u>-</u>	<u>3.0</u>	<u>-</u>	<u>-24</u>	<u>179</u>
Net Totals, Salaries and Wages	8.0	19.5	17.0	\$461	\$1,087	\$977
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>210</u>	<u>462</u>	<u>441</u>
Totals, Personal Services	8.0	19.5	17.0	\$671	\$1,549	\$1,418
OPERATING EXPENSES AND EQUIPMENT				\$556	\$2,987	\$2,555
SPECIAL ITEMS OF EXPENSES				<u>-</u>	<u>1,000</u>	<u>900</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,227	\$5,536	\$4,873

	<u>Expenditures</u>		
2 Local Assistance	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Other Special Items of Expense	<u>\$-</u>	<u>\$29,887</u>	<u>\$-</u>
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	-	29,887	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0971 California Alternative Energy and Advanced Transportation Financing Authority

Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	2013-14*†	2014-15*	2015-16*
1 STATE OPERATIONS			
0382 Renewable Resource Trust Fund			
Prior Year Balances Available:			
Public Resources Code Section 26080	183	-	-
Public Resources Code Section 26140	-	82	-
Adjustments to current year service level	-	-24	-
Totals Available	\$183	\$58	\$-
Balance available in subsequent years	-59	-	-
TOTALS, EXPENDITURES	\$124	\$58	\$-
0465 Energy Resources Programs Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$812	\$612	\$554
Allocation for employee compensation	-	4	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	7	-
Totals Available	\$812	\$625	\$554
Unexpended balance, estimated savings	-698	-	-
TOTALS, EXPENDITURES	\$114	\$625	\$554
0528 California Alternative Energy Authority Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,536	\$1,558	\$1,536
Allocation for employee compensation	-	16	-
Allocation for staff benefits	-	6	-
Section 3.60 pension contribution adjustment	-	25	-
Totals Available	\$1,536	\$1,605	\$1,536
Unexpended balance, estimated savings	-589	-	-
TOTALS, EXPENDITURES	\$947	\$1,605	\$1,536
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$42	\$3,248	\$2,783
TOTALS, EXPENDITURES	\$42	\$3,248	\$2,783
Total Expenditures, All Funds, (State Operations)	\$1,227	\$5,536	\$4,873
2 LOCAL ASSISTANCE			
0382 Renewable Resource Trust Fund			
Prior Year Balances Available:			
Public Resources Code Section 26080	19,887	-	-
Public Resources Code Section 26140	-	16,950	-
Adjustments to current year service level	-	2,937	-
Totals Available	\$19,887	\$19,887	\$-
Balance available in subsequent years	-19,887	-	-
TOTALS, EXPENDITURES	\$-	\$19,887	\$-
0465 Energy Resources Programs Account			
APPROPRIATIONS			
101 Budget Act appropriation	\$10,000	-	-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0971 California Alternative Energy and Advanced Transportation Financing Authority

Continued

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
Prior Year Balances Available:			
Item 0971-101-0465, Budget Act of 2013 as reappropriated by Item 0971-490, Budget Act of 2014	-	5,000	-
Adjustments to current year service level	-	5,000	-
Totals Available	\$10,000	\$10,000	\$-
Balance available in subsequent years	-10,000	-	-
TOTALS, EXPENDITURES	\$-	\$10,000	\$-
Total Expenditures, All Funds, (Local Assistance)	\$0	\$29,887	\$0
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,227	\$35,423	\$4,873

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	8.1	19.5	14.0	\$461	\$1,111	\$798
Salary and Other Adjustments	-0.1	-	-	-	-24	16
Workload and Administrative Adjustments						
Implementation of Energy Efficiency Pilot Programs						
Treasury Program Mgr I (Limited Term 06-30-2017)	-	-	0.5	-	-	35
Office Techn (Typing) (Limited Term 06-30-2017)	-	-	1.0	-	-	37
Assoc Treasury Program Officer (Limited Term 06-30-2017)	-	-	1.5	-	-	91
TOTALS, WORKLOAD AND ADMINISTRATIVE ADJUSTMENTS	-	-	3.0	\$-	\$-	\$163
Totals, Adjustments	-0.1	-	3.0	\$-	\$-24	\$179
TOTALS, SALARIES AND WAGES	8.0	19.5	17.0	\$461	\$1,087	\$977

0974 California Pollution Control Financing Authority

California Pollution Control Financing Authority (CPCFA) is committed to stimulating local economies in California by delivering innovative financing for projects that protect and restore the environment, and making capital more accessible to small businesses. Over the last thirty years, CPCFA has evolved to meet California's needs as follows:

- In the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program.
- For small businesses through the California Capital Access Program (CalCAP).
- With the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).

CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0860 Pollution Control Tax-Exempt Bond Program	4.0	4.0	4.0	\$660	\$544	\$544
0865 Capital Access Program for Small Business	10.5	12.0	15.0	49,742	30,610	42,088
0870 California Recycle Underutilized Sites Program	7.0	8.8	8.8	8,263	19,232	8,232
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	21.5	24.8	27.8	\$58,665	\$50,386	\$50,864
FUNDING				2013-14*	2014-15*	2015-16*
0890 Federal Trust Fund				\$27,804	\$28,666	\$28,666

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0974 California Pollution Control Financing Authority - Continued

FUNDING	2013-14*	2014-15*	2015-16*
0930 Pollution Control Financing Authority Fund	30,861	21,720	22,198
TOTALS, EXPENDITURES, ALL FUNDS	\$58,665	\$50,386	\$50,864

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$190	-	\$-	\$490	3.0
• Retirement Rate Adjustments	-	42	-	-	44	-
• Salary Adjustments	-	28	-	-	28	-
• Benefit Adjustments	-	11	-	-	11	-
• Pro Rata	-	-190	-	-	-13	-
Totals, Other Workload Budget Adjustments	\$-	\$81	-	\$-	\$560	3.0
Totals, Workload Budget Adjustments	\$-	\$81	-	\$-	\$560	3.0
Totals, Budget Adjustments	\$-	\$81	-	\$-	\$560	3.0

PROGRAM DESCRIPTIONS

0860 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. Tax-exempt financing issued by CPCFA assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2014, bonds totaling approximately \$14.3 billion have been issued by CPCFA.

0865 - CALIFORNIA CAPITAL ACCESS PROGRAM (CalCAP) FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses. CalCAP for Small Businesses is a form of loan portfolio insurance that may provide up to 100% coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The strength of CalCAP rests in its simplicity and its leverage of federal and state funds. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The CPCFA funds this reserve together with the financial institution and the borrower. Additional incentives are provided to lend to businesses located in specified economically depressed areas including Enterprise Zones. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts. As of June 30, 2014, approximately \$1.5 million of the \$6 million has been used to assist small businesses.

In addition, California was awarded an allocation of federal funds in the amount of \$168.6 million from the federal State Small Business Credit Initiative (SSBCI). CPCFA and the Governor's Office of Business and Economic Development (Go-BIZ) equally share this allocation and have available \$84.3 million each. These funds have enabled CPCFA to significantly expand the availability of its CalCAP program statewide. In addition, in the first quarter of 2013 CPCFA launched the Collateral Support Program to assist more small businesses and utilize the SSBCI Federal funds. The funds are allocated to California in three installments based on program progress, with CPCFA receiving approximately \$27 million in March 2011, and another \$27 million in December 2013. A memorandum of understanding between CPCFA and Go-BIZ transferred an additional \$10 million from Go-BIZ to CPCFA in the third quarter of 2014 to support CPCFA's high-demand programs. It is anticipated that the final installment of federal funds will be requested in the fourth quarter of 2014.

Utilizing the CalCAP model, CPCFA has partnered with the Air Resources Board (ARB) to assist diesel truck and equipment owners in meeting new clean air requirements, and with the Department of Resources, Recycling, and Recovery to assist recycling related businesses.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0974 California Pollution Control Financing Authority - Continued**0870 - CALIFORNIA RECYCLE UNDERUTILIZED SITES (CALReUSE) PROGRAM**

The CALReUSE program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues (brownfields). CALReUSE addresses a funding and information gap in the development of brownfields to help bring these properties into productive reuse. The CALReUSE Assessment Program provides forgivable loans of up to \$300,000 per brownfield site, or \$500,000 for sites that will ultimately result in housing. The funds assist with brownfield site assessment and characterization, technical assistance, remedial action plans and site access. Eligible projects include sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean-up costs, or concerns regarding time frames and the regulatory process. Priority is given to projects located in distressed neighborhoods with demonstrated community support. Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of CALReUSE. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and provides up to \$5 million per project in loans and grants for the purpose of brownfield cleanup that promotes infill residential and mixed-used development, consistent with regional and local land use plans.

0875 - ALTERNATIVE FINANCING PROGRAMS

Under its authority to provide alternative methods of financing facilities for pollution control, clean water supply and alternative energy production, CPCFA is developing alternative financing models to assist sister agencies with achieving the state's environmental goals. CPCFA is authorized to receive and accept contributions from other sources including federal and state agencies to carry out the purposes of its statutory authority.

DETAILED EXPENDITURES BY PROGRAM

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS			
0860 POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM			
State Operations:			
0930 Pollution Control Financing Authority Fund	\$660	\$544	\$544
Totals, State Operations	\$660	\$544	\$544
PROGRAM REQUIREMENTS			
0865 CAPITAL ACCESS PROGRAM FOR SMALL BUSINESS			
State Operations:			
0930 Pollution Control Financing Authority Fund	\$21,938	\$1,944	\$2,422
Totals, State Operations	\$21,938	\$1,944	\$2,422
Local Assistance:			
0890 Federal Trust Fund	\$27,804	\$28,666	\$28,666
0930 Pollution Control Financing Authority Fund	-	-	11,000
Totals, Local Assistance	\$27,804	\$28,666	\$39,666
PROGRAM REQUIREMENTS			
0870 CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM			
State Operations:			
0930 Pollution Control Financing Authority Fund	\$8,263	\$19,232	\$1,232
Totals, State Operations	\$8,263	\$19,232	\$1,232
Local Assistance:			
0930 Pollution Control Financing Authority Fund	\$-	\$-	\$7,000
Totals, Local Assistance	\$-	\$-	\$7,000
TOTALS, EXPENDITURES			
State Operations	30,861	21,720	4,198
Local Assistance	27,804	28,666	46,666
Totals, Expenditures	\$58,665	\$50,386	\$50,864

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0974 California Pollution Control Financing Authority - Continued

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	21.5	24.8	24.8	\$1,283	\$1,448	\$1,448
Total Adjustments	-	-	3.0	-	28	169
Net Totals, Salaries and Wages	21.5	24.8	27.8	\$1,283	\$1,476	\$1,617
Staff Benefits	-	-	-	521	631	698
Totals, Personal Services	21.5	24.8	27.8	\$1,804	\$2,107	\$2,315
OPERATING EXPENSES AND EQUIPMENT				\$29,057	\$19,613	\$1,883
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$30,861	\$21,720	\$4,198

2 Local Assistance	Expenditures		
	2013-14*	2014-15*	2015-16*
Grants and Subventions - Non-Governmental	27,804	28,666	46,666
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$27,804	\$28,666	\$46,666

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code Section 44526	\$30,671	\$19,799	\$2,077
Adjustment for current service level	-	127	-
Allocation for employee compensation	-	14	-
Allocation for staff benefits	-	6	-
Local Assistance Expenditure Adjustments	-1	-	-
Pro rata Adjustment	-	-190	-
Section 3.60 pension contribution adjustment	-	20	-
Health and Safety Code Section 44526 (California Capital Access Program for Small Business)	191	1,839	2,121
Adjustment for current service level	-	63	-
Allocation for employee compensation	-	14	-
Allocation for staff benefits	-	6	-
Section 3.60 pension contribution adjustment	-	22	-
TOTALS, EXPENDITURES	\$30,861	\$21,720	\$4,198
Total Expenditures, All Funds, (State Operations)	\$30,861	\$21,720	\$4,198
2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0890 Federal Trust Fund			
APPROPRIATIONS			
Health and Safety Code section 44526 (e) and (f)	\$27,804	\$28,666	\$28,666
TOTALS, EXPENDITURES	\$27,804	\$28,666	\$28,666
0930 Pollution Control Financing Authority Fund			
APPROPRIATIONS			
Health and Safety Code Section 44526	-	-	\$18,000
TOTALS, EXPENDITURES	\$-	\$-	\$18,000
Total Expenditures, All Funds, (Local Assistance)	\$27,804	\$28,666	\$46,666

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0974 California Pollution Control Financing Authority - Continued

2 LOCAL ASSISTANCE	<u>2013-14*†</u>	<u>2014-15*</u>	<u>2015-16*</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$58,665	\$50,386	\$50,864

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	21.5	24.8	24.8	\$1,283	\$1,448	\$1,448
Salary and Other Adjustments	-	-	3.0	-	28	169
Totals, Adjustments	-	-	<u>3.0</u>	<u>\$-</u>	<u>\$28</u>	<u>\$169</u>
TOTALS, SALARIES AND WAGES	21.5	24.8	27.8	\$1,283	\$1,476	\$1,617

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority (CHFFA) is to help eligible and credit worthy nonprofit and public health facilities reduce their cost of capital, and promote important California health access, health care improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

CHFFA issues revenue bonds to assist eligible private nonprofit corporations or associations, counties, and hospital districts in financing or refinancing the construction or acquisition cost of health facilities or equipment. CHFFA also administers the Children's Hospital Program established by Proposition 61 of 2004 and Proposition 3 of 2008, the Cedillo-Alarcon Clinic Grant Program of 2000, the Anthem WellPoint Grant Program of 2004, the Health Expansion Loan Program II ("HELP II"), the California Health Access Model Program of 2012 ("CHAMP"), and the Investment in Mental Health Wellness Act of 2013.

CHFFA was established by Chapter 1033, Statutes of 1979, and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members appointed by the Assembly, and two public members appointed by the Governor.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0880 Children's Hospital Program	3.0	4.0	4.0	\$51,336	\$120,518	\$100,519
0885 Health Facilities Grants and Loans	10.7	13.5	13.5	7,997	14,212	9,359
0890 Mental Health Wellness Grants	<u>3.2</u>	-	-	<u>75,676</u>	<u>78,098</u>	<u>6,800</u>
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	16.9	17.5	17.5	\$135,009	\$212,828	\$116,678

FUNDING		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0001 General Fund		\$71,202	\$71,298	\$-
0904 California Health Facilities Financing Authority Fund		7,997	9,212	9,359
0995 Reimbursements		-	2,800	2,800
3085 Mental Health Services Fund		4,474	4,000	4,000
6046 Childrens Hospital Fund		4,421	346	347
6079 Childrens Hospital Bond Act Fund		46,915	120,172	100,172
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund		-	5,000	-
TOTALS, EXPENDITURES, ALL FUNDS		\$135,009	\$212,828	\$116,678

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 15430-15462.5. Health and Safety Code Sections 1179.10-1179.43 and 1179.50-1179.72. Welfare and Institutions Code Section 5892 and 5848.5 et. seq.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0977 California Health Facilities Financing Authority - Continued

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Pro Rata	\$-	\$-	-	\$-	\$144	-
• Retirement Rate Adjustments	-	35	-	-	35	-
• Salary Adjustments	-	23	-	-	23	-
• Benefit Adjustments	-	9	-	-	11	-
• Carryover/Reappropriation	-20,852	-	-	-	-	-
Totals, Other Workload Budget Adjustments	-\$20,852	\$67	-	-\$-	\$213	-
Totals, Workload Budget Adjustments	-\$20,852	\$67	-	-\$-	\$213	-
Totals, Budget Adjustments	-\$20,852	\$67	-	-\$-	\$213	-

PROGRAM DESCRIPTIONS

0880 - CHILDREN'S HOSPITAL PROGRAM

In 2004, California voters approved Proposition 61, which established the Children's Hospital Program to be administered by CHFFA. Four years later, in 2008, the voters approved a similar proposition (Proposition 3) establishing the second Children's Hospital Program to be administered by CHFFA. The purpose of both programs is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals.

Children's Hospital Program grant funding is derived from the issuance of general obligation bonds, up to \$750 million under Proposition 61 and \$980 million under Proposition 3. CHFFA has adopted regulations for each program addressing selection criteria and a process for awarding the grants.

Applications and funding will be available through 2018 for both Proposition 61 and Proposition 3, or until a program's funding is exhausted. In the event a program's funding is not exhausted by the deadline, CHFFA will amend the regulations to extend the deadline(s) for the program(s). As of June 30, 2014, CHFFA has disbursed \$670.7 million in Proposition 61 funds to thirteen hospitals, and \$575.5 million in Proposition 3 funds to eleven hospitals.

0885 - HEALTH FACILITIES GRANTS AND LOANS

CHFFA serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions via 1) a loan program referred to as HELP II; 2) two clinic grant programs referred to as Cedillo-Alarcon and Anthem WellPoint; 3) the CHAMP program; and 4) the Investment in Mental Health Wellness program. With the exception of the CHAMP program governed by Government Code section 15438.10, to qualify for funding under any of the other mentioned programs, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district. Numerous statutes enacted between 1983 and 2002 have since broadened the types of health facilities that may receive financing from CHFFA under these programs.

Tax-Exempt Bond Program

CHFFA may authorize an unlimited amount of revenue bond financing. Prior to September 30, 1998, CHFFA was only authorized to have outstanding at any one point-in-time up to \$5.999 billion in tax-exempt revenue bonds. Chapter 1035, Statutes of 1998 eliminated this cap. As of June 30, 2014, bonds and notes in the amount of \$31.3 billion had been issued and \$13.2 billion was outstanding.

Bonds issued under this conduit program are not a debt or liability or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. The Federal Tax Reform Act of 1986 does not restrict conduit health facility bonds in terms of the state's "private activity" bond limit.

Clinic Grant Programs

Chapter 99, Statutes of 2000, established the Cedillo-Alarcon Community Clinic Investment Act of 2000 and allocated \$50 million dollars to CHFFA for the purpose of awarding grants to eligible primary care clinics for capital outlay projects. Funds of approximately \$50 million were disbursed. Residual funds remained and so the Legislature amended the Act in 2005 to authorize CHFFA to disburse the remaining funds to eligible clinics.

In 2004, as part of the Anthem-Well Point merger, \$35 million dollars was allocated to CHFFA for the purpose of awarding grants to eligible health care facilities providing service to underserved communities throughout California. Distribution of

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0977 California Health Facilities Financing Authority - Continued

these funds was to be accomplished through the same process established for the above referenced Cedillo-Alarcon Community Clinic Investment Act of 2000. In 2005, the Insurance Commissioner entered into a memorandum of understanding with CHFFA authorizing CHFFA to disburse the funds to clinics. Though all funds have been awarded, funds in the amount of \$290,538 remain to be disbursed and are contingent upon the clinics providing relevant documentation evidencing completion of their projects.

Between these two clinic grant programs, as of June 30, 2014, CHFFA has disbursed the sum of \$92 million (including the accumulated interest of roughly \$7 million), to 370 clinics throughout the state.

HELP II Loan Program

CHFFA administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining financing for their capital needs. The HELP II Financing Program provides three percent (3%), fixed interest loans of up to \$1,000,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, and purchase equipment or furnishings. Since the program's inception in 1988 and as of June 30, 2014, CHFFA has loaned \$94.4 million to small and rural health facilities. Applications are accepted on a monthly basis.

California Health Access Model Program

Chapter 23, Statutes of 2012, Government Code section 15438.10 authorized CHAMP, a new grant program, intended to support innovative methods of delivering health care services more effectively and to improve access and health outcomes for vulnerable populations and communities by bringing service, including preventative services, to individuals where they live or congregate.

CHAMP's initial grant phase was launched February 7, 2013 and a total amount of \$1,426,089 was awarded to the nominated grantee, San Francisco Health Plan, for the implementation of the Community Care demonstration project. No disbursement was made, as of June 30, 2014.

If the evaluation of the completed demonstration project indicates that it is warranted, CHFFA will launch a second phase of CHAMP to support additional replication grants, up to a combined total of \$5 million, from the Authority's fund balance, so other California communities can implement the same improved methods for delivering services.

0890 - MENTAL HEALTH WELLNESS GRANTS

Chapter 34, Statutes of 2013, Welfare and Institutions Code section 5892 and 5848.5 et.seq., the Investment in Mental Health Wellness Act of 2013 (the "Act") and related legislation provides \$142.5 million in General Fund and an additional \$6.8 million in Mental Health Services Act ("MHSA") and federal funding for CHFFA to provide grants for community based mental health crisis support.

The Act established a new grant program to disburse funds to California counties or to their nonprofit or public agency designates for the purpose of developing mental health crisis support programs. Specifically, funds will "increase capacity for client assistance and services in crisis intervention, crisis stabilization, crisis residential treatment, rehabilitative mental health services, and mobile crisis support teams." CHFFA grants will support capital improvement, expansion and limited start-up costs.

CHFFA has adopted emergency regulations for this new grant program which addresses selection criteria, maximum grant amounts, and a process for awarding grants.

The CHFFA board approved 21 applications in the first funding round to potentially serve 28 counties totaling approximately \$71.53 million of the General Fund money allocated to this program and \$3.97 million in MHSA funding. The approved applications will add 809 crisis residential and crisis stabilization beds, 43 mobile crisis vehicles and approximately 58 mobile crisis staff statewide.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0880	CHILDREN'S HOSPITAL PROGRAM			
	State Operations:			
6046	Childrens Hospital Fund	\$263	\$346	\$347
6079	Childrens Hospital Bond Act Fund	<u>120</u>	<u>172</u>	<u>172</u>
	Totals, State Operations	\$383	\$518	\$519
	Local Assistance:			
6046	Childrens Hospital Fund	\$4,158	\$-	\$-
6079	Childrens Hospital Bond Act Fund	<u>46,795</u>	<u>120,000</u>	<u>100,000</u>
	Totals, Local Assistance	\$50,953	\$120,000	\$100,000
	PROGRAM REQUIREMENTS			

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0977 California Health Facilities Financing Authority - Continued

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
0885	HEALTH FACILITIES GRANTS AND LOANS			
	State Operations:			
0904	California Health Facilities Financing Authority Fund	\$1,509	\$2,712	\$2,859
	Totals, State Operations	\$1,509	\$2,712	\$2,859
	Local Assistance:			
0904	California Health Facilities Financing Authority Fund	\$6,488	\$6,500	\$6,500
8073	California Health Access Model Program Account, California Health Facilities Financing Authority Fund	-	5,000	-
	Totals, Local Assistance	\$6,488	\$11,500	\$6,500
	PROGRAM REQUIREMENTS			
0890	MENTAL HEALTH WELLNESS GRANTS			
	State Operations:			
0001	General Fund	\$371	\$129	\$-
	Totals, State Operations	\$371	\$129	\$-
	Local Assistance:			
0001	General Fund	\$70,831	\$71,169	\$-
0995	Reimbursements	-	2,800	2,800
3085	Mental Health Services Fund	4,474	4,000	4,000
	Totals, Local Assistance	\$75,305	\$77,969	\$6,800
	TOTALS, EXPENDITURES			
	State Operations	2,263	3,359	3,378
	Local Assistance	132,746	209,469	113,300
	Totals, Expenditures	\$135,009	\$212,828	\$116,678

EXPENDITURES BY CATEGORY

	Positions			Expenditures		
1 State Operations	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	16.9	17.5	17.5	\$1,135	\$1,155	\$1,155
Total Adjustments	-	-	-	-	22	23
Net Totals, Salaries and Wages	16.9	17.5	17.5	\$1,135	\$1,177	\$1,178
Staff Benefits	-	-	-	461	502	505
Totals, Personal Services	16.9	17.5	17.5	\$1,596	\$1,679	\$1,683
OPERATING EXPENSES AND EQUIPMENT				\$667	\$1,680	\$1,695
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,263	\$3,359	\$3,378

2 Local Assistance

	Expenditures		
	2013-14*	2014-15*	2015-16*
Grants and Subventions - Governmental	\$126,258	\$202,969	\$106,800
Grants and Subventions - Non-Governmental	80	-	-
Loans, Transfers and Other Disbursements	6,408	6,500	6,500
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$132,746	\$209,469	\$113,300

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0977 California Health Facilities Financing Authority - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
Chapter 34, Statutes of 2013	\$500	-	-
Prior Year Balances Available:			
Chapter 34, Statutes of 2013	-	150	-
Carryover adjustment	-	-21	-
Totals Available	\$500	\$129	\$-
Balance available in subsequent years	-129	-	-
TOTALS, EXPENDITURES	\$371	\$129	\$-
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code Section 15439	\$1,509	\$2,662	\$2,859
Allocation for employee compensation	-	17	-
Allocation for staff benefits	-	7	-
Section 3.60 pension contribution	-	26	-
TOTALS, EXPENDITURES	\$1,509	\$2,712	\$2,859
6046 Childrens Hospital Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$337	\$337	\$347
Allocation for employee compensation	-	3	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution	-	5	-
Totals Available	\$337	\$346	\$347
Unexpended balance, estimated savings	-74	-	-
TOTALS, EXPENDITURES	\$263	\$346	\$347
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$165	\$166	\$172
Allocation for employee compensation	-	2	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution	-	3	-
Totals Available	\$165	\$172	\$172
Unexpended balance, estimated savings	-45	-	-
TOTALS, EXPENDITURES	\$120	\$172	\$172
Total Expenditures, All Funds, (State Operations)	\$2,263	\$3,359	\$3,378
2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$142,000	-	-
Prior Year Balances Available:			
Item 0977-101-0001, Budget Act of 2013	-	92,000	-
Carryover adjustment	-	-20,831	-
Totals Available	\$142,000	\$71,169	\$-
Balance available in subsequent years	-71,169	-	-
TOTALS, EXPENDITURES	\$70,831	\$71,169	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.

0977 California Health Facilities Financing Authority - Continued

2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0904 California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code Section 15438.6 (Grants to Primary Care Clinics)	\$80	-	-
Government Code Section 15439	-	6,500	6,500
Government Code Section 15439 (Health Facilities Grants and Loans)	<u>6,408</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$6,488	\$6,500	\$6,500
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>-</u>	<u>\$2,800</u>	<u>\$2,800</u>
TOTALS, EXPENDITURES	\$-	\$2,800	\$2,800
3085 Mental Health Services Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$4,500</u>	<u>\$4,000</u>	<u>\$4,000</u>
Totals Available	\$4,500	\$4,000	\$4,000
Unexpended balance, estimated savings	<u>-26</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,474	\$4,000	\$4,000
6046 Childrens Hospital Fund			
APPROPRIATIONS			
Health and Safety Code sections 1179.10 - 1179.43	<u>\$4,158</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$4,158	\$-	\$-
6079 Childrens Hospital Bond Act Fund			
APPROPRIATIONS			
Health and Safety Code section 1179.53	<u>\$46,795</u>	<u>\$120,000</u>	<u>\$100,000</u>
TOTALS, EXPENDITURES	\$46,795	\$120,000	\$100,000
8073 California Health Access Model Program Account, California Health Facilities Financing Authority Fund			
APPROPRIATIONS			
Government Code section 15438.10 (c)(1)	-	\$5,000	-
Prior Year Balances Available:			
Government Code section 15438.10 (b)(1)	<u>1,500</u>	<u>-</u>	<u>-</u>
Totals Available	\$1,500	\$5,000	\$-
Balance available in subsequent years	<u>-1,500</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$-	\$5,000	\$-
Total Expenditures, All Funds, (Local Assistance)	\$132,746	\$209,469	\$113,300
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$135,009	\$212,828	\$116,678

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	16.9	17.5	17.5	\$1,135	\$1,155	\$1,155
Salary and Other Adjustments	-	-	-	-	22	23
Totals, Adjustments	-	-	-	\$-	\$22	\$23
TOTALS, SALARIES AND WAGES	16.9	17.5	17.5	\$1,135	\$1,177	\$1,178

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. CUWARFA consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0983 California Urban Waterfront Area Restoration Financing Authority - Continued

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0900 California Urban Waterfront Restoration Financing Program	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$-	\$-	\$-

The CUWARFA funding is not subject to Budget Act appropriation. This budget is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2014-15 and 2015-16. Public Resource Code section 32054 authorizes an Executive Director; however, the position is currently vacant.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

PROGRAM DESCRIPTIONS

0900 - The CUWARFA was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

EXPENDITURES BY CATEGORY

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	-	-	\$-	\$-	\$-
Net Totals, Salaries and Wages	-	-	-	\$-	\$-	\$-
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	-	-	-	\$-	\$-	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$-	\$-	\$-

0984 California Secure Choice Retirement Savings Investment Board

The California Secure Choice Retirement Savings Investment Board (the "Board") was established by Chapter 734, Statutes of 2012 (SB 1234), to study the feasibility of implementing a state-administered retirement savings program for private sector employees in California with no access to workplace retirement savings plans. The Board consists of nine members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, a retirement savings and investment expert appointed by the Senate Committee on Rules, an employee representative appointed by the Speaker of the Assembly, a small business representative appointed by the Governor, a public member appointed by the Governor, and two additional members appointed by the Governor.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0984 California Secure Choice Retirement Savings Investment Board - Continued

Additionally, Chapter 737, Statutes of 2012 (SB 923), provides that the California Secure Choice Retirement Savings Program (the "Program") cannot be implemented until a subsequent authorizing statute is enacted that expresses the approval of the Legislature for the Program to be fully implemented.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0910 California Secure Choice Retirement Savings Investment Board	-	-	-	\$4	\$995	\$-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$4	\$995	\$-
FUNDING				2013-14*	2014-15*	2015-16*
8081 Secure Choice Retirement Savings Program Fund				\$4	\$995	\$-
TOTALS, EXPENDITURES, ALL FUNDS				\$4	\$995	\$-

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 100000-100044.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Carryover/Reappropriation	\$-	\$245	-	\$-	\$-	-
Totals, Other Workload Budget Adjustments	\$-	\$245	-	\$-	\$-	-
Totals, Workload Budget Adjustments	\$-	\$245	-	\$-	\$-	-
Totals, Budget Adjustments	\$-	\$245	-	\$-	\$-	-

PROGRAM DESCRIPTIONS

0910 - SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD

The California Secure Choice Retirement Savings Investment Board (the "Board") was established to conduct a market analysis to determine whether the necessary conditions for implementation of the California Secure Choice Retirement Savings Program (the "Program") can be met, including, but not limited to, likely participation rates, participants' comfort with various investment vehicles and degree of risk, contribution levels, and the rate of account closures and rollovers. The Board shall conduct the analysis only if funds are made available through a nonprofit or private entity, or from federal funding. The Board shall implement the Program only if it determines, based on the market analysis, that the Program will be self-sustaining; funds are made available through a nonprofit or other private entity, federal funding, or an annual Budget Act appropriation in amounts sufficient to allow the Board to implement the Program until the California Secure Choice Retirement Savings Trust has sufficient funds to be self-sustaining; and an authorizing statute is enacted that expresses the approval of the Legislature for the Program to be fully implemented.

DETAILED EXPENDITURES BY PROGRAM

		2013-14*	2014-15*	2015-16*
	PROGRAM REQUIREMENTS			
0910 CALIFORNIA SECURE CHOICE RETIREMENT SAVINGS INVESTMENT BOARD				
	State Operations:			
8081 Secure Choice Retirement Savings Program Fund		\$4	\$995	\$-

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0984 California Secure Choice Retirement Savings Investment Board - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
Totals, State Operations	\$4	\$995	\$-
TOTALS, EXPENDITURES			
State Operations	4	995	-
Totals, Expenditures	\$4	\$995	\$-

EXPENDITURES BY CATEGORY

1 State Operations	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	-	-	-	\$-	\$-	\$-
Net Totals, Salaries and Wages	-	-	-	\$-	\$-	\$-
Staff Benefits	-	-	-	-	-	-
Totals, Personal Services	-	-	-	\$-	\$-	\$-
OPERATING EXPENSES AND EQUIPMENT				\$4	\$995	\$-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$4	\$995	\$-

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
8081 Secure Choice Retirement Savings Program Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,000	-	-
Prior Year Balances Available:			
Item 0984-001-8081, Budget Act of 2013 as reappropriated by Item 0984-490, Budget Act of 2014	-	750	-
Item 0984-001-8081, Budget Act of 2013 as reappropriated by Item 0984-490, Budget Act of 2014	-	245	-
Totals Available	\$1,000	\$995	\$-
Balance available in subsequent years	-996	-	-
TOTALS, EXPENDITURES	\$4	\$995	\$-
Total Expenditures, All Funds, (State Operations)	\$4	\$995	\$0

0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education, and charter schools. CSFA consists of the following three members: the State Treasurer who serves as chair, the Superintendent of Public Instruction, and the Director of the Department of Finance. CSFA has offices in Los Angeles and Sacramento.

CSFA serves as the primary state agency for California charter schools seeking facility and working capital assistance by providing access to low-cost financing through several State and federally funded programs while also serving as a conduit debt issuer.

3-YR EXPENDITURES AND POSITIONS

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0918 Smart Bonds	-	-	-	\$291	\$101	\$101
0920 Charter School Facilities Program	4.6	5.0	5.0	533	1,070	1,071

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0985 California School Finance Authority - Continued

		Positions			Expenditures		
		2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0925	State Charter School Facilities Incentive Grants Program	1.0	1.0	1.0	9,954	20,149	20,148
0930	Charter School Facility Grant Program	1.0	3.0	3.0	120,210	92,309	142,310
0935	Charter School Revolving Loan Program	1.0	1.0	1.0	5,374	12,492	12,492
TOTALS, POSITIONS AND EXPENDITURES (All Programs)		7.6	10.0	10.0	\$136,362	\$126,121	\$176,122
FUNDING					2013-14*	2014-15*	2015-16*
0001	General Fund				\$28,303	\$384	\$385
0001	General Fund, Proposition 98				92,031	92,031	142,031
0526	California School Finance Authority Fund				291	101	101
0606	Charter School Revolving Loan Fund				5,250	12,386	12,386
0890	Federal Trust Fund				9,954	20,149	20,148
6044	2004 State School Facilities Fund				371	640	642
6057	2006 State School Facilities Fund				161	429	429
9734	2004 Charter School Facilities Account, 2004 State School Facilities Fund				1	1	-
TOTALS, EXPENDITURES, ALL FUNDS					\$136,362	\$126,121	\$176,122

LEGAL CITATIONS AND AUTHORITY

PROGRAM AUTHORITY

Education Code Sections 17078.52-17078.66, 17170-17199.5, 41365, 41366.5, 41366.7, 41367, and 47614.5

MAJOR PROGRAM CHANGES

- An increase of \$50 million Proposition 98 General Fund for the Charter School Facility Grant Program.

DETAILED BUDGET ADJUSTMENTS

	2014-15*			2015-16*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustments	\$7	\$11	-	\$7	\$11	-
• Salary Adjustments	5	7	-	4	7	-
• Benefit Adjustments	2	3	-	2	3	-
• Miscellaneous Baseline Adjustments	-	-	-	-	-	-
• SWCAP	-	-	-	-	-1	-
Totals, Other Workload Budget Adjustments	\$14	\$21	-	\$13	\$20	-
Totals, Workload Budget Adjustments	\$14	\$21	-	\$13	\$20	-
Policy Adjustments						
• Charter School Facility Grant Eligibility Expansion	\$-	\$-	-	\$50,000	\$-	-
Totals, Policy Adjustments	\$-	\$-	-	\$50,000	\$-	-
Totals, Budget Adjustments	\$14	\$21	-	\$50,013	\$20	-

PROGRAM DESCRIPTIONS

0918 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the issuance revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0985 California School Finance Authority - Continued

school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs.

0920 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction, renovation or rehabilitation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, and 2006, for a total of \$900 million.

The program provides a 50% state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

0925 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, CSFA was awarded a grant under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. Grant funds are used toward a charter school's cost of rent, lease, mortgage or debt service payments for existing or new facilities or toward the costs of acquiring land and constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted for the percentage of low-income students; percentage overcrowded; not-for-profit status; and demonstrated student performance.

CSFA implemented a program change in regulations to award preference points for charter schools providing better educational opportunities than surrounding traditional public schools. First time recipients are also given preference as compared to past recipients.

CHARTER SCHOOL FACILITIES CREDIT ENHANCEMENT GRANT PROGRAM

In 2010, CSFA was awarded a grant of \$8.3 million by the Charter School Facilities Credit Enhancement Grant Program (CFDA 84.354A) authorized under Title V, Part B, Subpart 2 of the Elementary and Secondary Education Act, to enhance credit for charter schools and enable them to access non-federal funds that will address the costs of renovating, acquiring, and constructing school facilities. The grant funds will act as a reserve against any shortfalls in debt service on bonds that are issued by CSFA.

0930 - CHARTER SCHOOL FACILITY GRANT PROGRAM

The Charter School Facility Grant Program provides assistance with rent and lease costs for charter schools that meet specific eligibility criteria. This program targets schools and communities with significant populations of economically disadvantaged students. Eligible charter schools are provided up to \$750 per unit of eligible average daily attendance, not to exceed 75 percent of the total annual facilities rent or lease costs.

0935 - CHARTER SCHOOL REVOLVING LOAN PROGRAM

New non-conversion charter schools may receive low-interest loans from the Charter School Revolving Loan Program to use for start-up costs. The program provides loans of up to \$250,000 to be paid back over a time period not to exceed five years. The interest collected is deposited into the Charter School Security Fund and may be used to replenish the Charter School Revolving Loan Fund and offset any loan defaults.

DETAILED EXPENDITURES BY PROGRAM

		<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
	PROGRAM REQUIREMENTS			
0918	SMART BONDS			
	State Operations:			
0526	California School Finance Authority Fund	\$291	\$101	\$101
	Totals, State Operations	\$291	\$101	\$101
	PROGRAM REQUIREMENTS			
0920	CHARTER SCHOOL FACILITIES PROGRAM			
	State Operations:			
6044	2004 State School Facilities Fund	\$371	\$640	\$642
6057	2006 State School Facilities Fund	161	429	429
9734	2004 Charter School Facilities Account, 2004 State School Facilities Fund	1	1	-
	Totals, State Operations	\$533	\$1,070	\$1,071

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0985 California School Finance Authority - Continued

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS			
0925 STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM			
State Operations:			
0890 Federal Trust Fund	\$133	\$149	\$148
Totals, State Operations	\$133	\$149	\$148
Local Assistance:			
0890 Federal Trust Fund	\$9,821	\$20,000	\$20,000
Totals, Local Assistance	\$9,821	\$20,000	\$20,000
PROGRAM REQUIREMENTS			
0930 CHARTER SCHOOL FACILITY GRANT PROGRAM			
State Operations:			
0001 General Fund	\$99	\$278	\$279
Totals, State Operations	\$99	\$278	\$279
Local Assistance:			
0001 General Fund	\$120,111	\$92,031	\$142,031
Totals, Local Assistance	\$120,111	\$92,031	\$142,031
PROGRAM REQUIREMENTS			
0935 CHARTER SCHOOL REVOLVING LOAN PROGRAM			
State Operations:			
0001 General Fund	\$124	\$106	\$106
Totals, State Operations	\$124	\$106	\$106
Local Assistance:			
0606 Charter School Revolving Loan Fund	\$5,250	\$12,386	\$12,386
Totals, Local Assistance	\$5,250	\$12,386	\$12,386
TOTALS, EXPENDITURES			
State Operations	1,180	1,704	1,705
Local Assistance	135,182	124,417	174,417
Totals, Expenditures	\$136,362	\$126,121	\$176,122

EXPENDITURES BY CATEGORY

	<u>Positions</u>			<u>Expenditures</u>		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	7.6	10.0	10.0	\$498	\$623	\$623
Total Adjustments	-	-	-	-	11	11
Net Totals, Salaries and Wages	7.6	10.0	10.0	\$498	\$634	\$634
Staff Benefits	-	-	-	195	280	282
Totals, Personal Services	7.6	10.0	10.0	\$693	\$914	\$916
OPERATING EXPENSES AND EQUIPMENT						
				\$487	\$790	\$789
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,180	\$1,704	\$1,705

2 Local Assistance

	<u>Expenditures</u>		
	2013-14*	2014-15*	2015-16*
Grants and Subventions - Governmental	\$120,111	\$112,031	\$162,031

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0985 California School Finance Authority - Continued

2 Local Assistance

	Expenditures		
	2013-14*	2014-15*	2015-16*
Grants and Subventions - Non-Governmental	9,821	-	-
Loans, Transfers and Other Disbursements	5,250	-	-
Other Special Items of Expense	-	12,386	12,386
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$135,182	\$124,417	\$174,417

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS

2013-14*† 2014-15* 2015-16*

0001 General Fund

APPROPRIATIONS

001 Budget Act appropriation	\$280	\$372	\$385
Allocation for employee compensation	-	4	-
Allocation for staff benefits	-	1	-
Section 3.60 pension contribution adjustment	-	7	-

Totals Available

\$280 \$384 \$385

Unexpended balance, estimated savings	-57	-	-
---------------------------------------	-----	---	---

TOTALS, EXPENDITURES **\$223 \$384 \$385**

0526 California School Finance Authority Fund

APPROPRIATIONS

Education Code Section 17181	\$291	\$101	\$101
------------------------------	-------	-------	-------

TOTALS, EXPENDITURES **\$291 \$101 \$101**

0890 Federal Trust Fund

APPROPRIATIONS

001 Budget Act appropriation	\$133	\$146	\$148
Allocation for employee compensation	-	1	-
Section 3.60 pension contribution adjustment	-	2	-

TOTALS, EXPENDITURES **\$133 \$149 \$148**

6044 2004 State School Facilities Fund

APPROPRIATIONS

Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	\$371	-	\$642
Education Code Section 17078.52(b)(2) (Transfer to 2004 Charter School Facilities Account, 2004 State School Facilities Fund)	-	640	-

TOTALS, EXPENDITURES **\$371 \$640 \$642**

6057 2006 State School Facilities Fund

APPROPRIATIONS

Education Code Section 17078.52 (b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	\$161	-	\$429
Education Code Section 17078.52(b)(3) (Transfer to 2006 Charter School Facilities Account, 2006 State School Facilities Fund)	-	429	-

TOTALS, EXPENDITURES **\$161 \$429 \$429**

9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund

APPROPRIATIONS

001 Budget Act appropriation	\$622	\$624	\$642
Allocation for employee compensation	-	6	-
Allocation for staff benefits	-	2	-
Section 3.60 pension contribution adjustment	-	9	-

Totals Available **\$622 \$641 \$642**

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0985 California School Finance Authority - Continued

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
Unexpended balance, estimated savings	-250	-	-
TOTALS, EXPENDITURES	\$372	\$641	\$642
Less funding provided by 2004 State School Facilities Fund	-371	-640	-642
NET TOTALS, EXPENDITURES	\$1	\$1	\$-
9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$429	\$429	\$429
Totals Available	\$429	\$429	\$429
Unexpended balance, estimated savings	-268	-	-
TOTALS, EXPENDITURES	\$161	\$429	\$429
Less funding provided by 2006 State School Facilities Fund	-161	-429	-429
NET TOTALS, EXPENDITURES	\$-	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$1,180	\$1,704	\$1,705
2 LOCAL ASSISTANCE	2013-14*†	2014-15*	2015-16*
0001 General Fund, Proposition 98			
APPROPRIATIONS			
220 Budget Act appropriation	\$183,452	\$92,031	\$142,031
Transfer Prior Year Carryover to Non-Budget Act Item	-91,421	-	-
TOTALS, EXPENDITURES	\$92,031	\$92,031	\$142,031
0001 General Fund			
Prior Year Balances Available:			
Transfer from Item 6110-404, Budget Act of 2013	91,421	-	-
Totals Available	\$91,421	\$-	\$-
Unexpended balance, estimated savings	-63,341	-	-
TOTALS, EXPENDITURES	\$28,080	\$-	\$-
0606 Charter School Revolving Loan Fund			
APPROPRIATIONS			
Education Code Section 41365	\$5,250	\$12,386	\$12,386
TOTALS, EXPENDITURES	\$5,250	\$12,386	\$12,386
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$9,821	\$20,000	\$20,000
TOTALS, EXPENDITURES	\$9,821	\$20,000	\$20,000
Total Expenditures, All Funds, (Local Assistance)	\$135,182	\$124,417	\$174,417
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$136,362	\$126,121	\$176,122

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	7.6	10.0	10.0	\$498	\$623	\$623
Salary and Other Adjustments	-	-	-	-	11	11
Totals, Adjustments	-	-	-	\$-	\$11	\$11
TOTALS, SALARIES AND WAGES	7.6	10.0	10.0	\$498	\$634	\$634

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0989 California Educational Facilities Authority

The mission of the California Educational Facilities Authority (CEFA) is to provide students with better access and broader opportunities in higher education by providing qualified non-profit higher education institutions with the assistance needed to reduce their capital costs of financing academic related facilities through a tax-exempt revenue bond program.

CEFA provides funding to assist private non-profit institutions of higher learning in the expansion and construction of educational facilities. CEFA is also authorized to issue bonds, notes, and other forms of indebtedness to finance the costs of attendance (student loans) at institutions of higher education.

CEFA is a public instrumentality of the state consisting of five members: the Director of the Department of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor, serving four-year terms or until reappointed or a successor has been appointed.

3-YR EXPENDITURES AND POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
0940 Bond Financing	4.7	5.0	5.0	\$680	\$870	\$946
0945 Student Loan Programs	-	-	-	5,257	-	-
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	4.7	5.0	5.0	\$5,937	\$870	\$946
FUNDING				2013-14*	2014-15*	2015-16*
0911 Educational Facilities Authority Fund				\$680	\$870	\$946
0954 Student Loan Authority Fund				5,257	-	-
TOTALS, EXPENDITURES, ALL FUNDS				\$5,937	\$870	\$946

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 94100-94213.

DETAILED BUDGET ADJUSTMENTS

	General Fund	2014-15*		Positions	2015-16*		
		Other Funds	Positions		General Fund	Other Funds	Positions
Workload Budget Adjustments							
Other Workload Budget Adjustments							
• Pro Rata	\$-	\$-	-	\$-	\$76	-	
• Retirement Rate Adjustments	-	10	-	-	11	-	
• Salary Adjustments	-	7	-	-	7	-	
• Benefit Adjustments	-	3	-	-	2	-	
• Miscellaneous Baseline Adjustments	-	-60	-	-	-60	-	
Totals, Other Workload Budget Adjustments	\$-	-\$40	-	\$-	\$36	-	
Totals, Workload Budget Adjustments	\$-	-\$40	-	\$-	\$36	-	
Totals, Budget Adjustments	\$-	-\$40	-	\$-	\$36	-	

PROGRAM DESCRIPTIONS

0940 - BOND FINANCING

CEFA issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may provide more favorable financing terms to such private institutions than might otherwise be obtainable. Pursuant to Chapter 569, Statutes of 2001, CEFA may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California, or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986.

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0989 California Educational Facilities Authority - Continued

Prior to January 1, 1999, CEFA was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, there is now no limitation on the amount of bonds outstanding at any one time. As of June 30, 2014, bonds and notes in the amount of \$11.3 billion have been issued and \$4.6 billion were outstanding.

0945 - STUDENT LOAN PROGRAMS

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations, and functions were transferred to CEFA. The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by CEFA. CEFA issued a total of \$265.4 million in bonds, and as of June 30, 2014, there were no bonds outstanding. Bonds for this purpose are subject to the state's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee (CDLAC).

Chapter 318, Statutes of 2005, added the requirement that not-for-profit corporations must seek approval from CEFA before applying for an allocation of a portion of the state's annual private activity volume cap from CDLAC for the purposes of issuing Qualified Scholarship Funding Bonds for Student Loan Programs. This requirement does not pertain to entities that applied to CDLAC prior to January 1, 2006. Bonds issued for student loans are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

Chapter 34, Statutes of 2014, provided that, for purposes of the California Educational Facilities Authority Act, "student loan" may also mean a loan assumption pursuant to the Assumption Program of Loans for Education (APLE) program administered by the California Student Aid Commission. The Budget Act of 2014 appropriated six million dollars from the CSLA fund for the purpose of supporting the APLE program.

Chapter 816, Statutes of 2014, established the California Student Loan Refinancing Program with the goal of helping eligible college graduates to refinance student loan debt at favorable rates by creating a revolving fund so that additional refinancing may occur to help more qualified borrowers, as defined, through the creation of a loss reserve account. The bill authorizes the authority to contract with any financial institution, as defined, for the purpose of allowing the financial institution to participate in the program and establishes eligibility requirements for qualified borrowers to participate in the program.

0955 - COLLEGE ACCESS TAX CREDIT FUND

Chapter 367, Statutes of 2014, added the requirement for CEFA to administer the College Access Tax Credit Fund and perform certain duties with regard to allocating and certifying the tax credits for taxable years beginning on or after January 1, 2014, and before January 1, 2017. The Statute requires CEFA to establish procedures for taxpayers to contribute to the Fund and obtain certification for the credit; allocate and certify the credit to personal and corporate taxpayers for taxable year January 1, 2014 to taxable year December 31, 2016; certify the contribution amounts eligible for the credit within 45 days following receipt of the contribution; and provide to the Franchise Tax Board a copy of each credit certificate immediately following the year of issue, no later than March 1.

DETAILED EXPENDITURES BY PROGRAM

	<u>2013-14*</u>	<u>2014-15*</u>	<u>2015-16*</u>
PROGRAM REQUIREMENTS			
0940 BOND FINANCING			
State Operations:			
0911 Educational Facilities Authority Fund	\$680	\$870	\$946
Totals, State Operations	\$680	\$870	\$946
PROGRAM REQUIREMENTS			
0945 STUDENT LOAN PROGRAMS			
State Operations:			
0954 Student Loan Authority Fund	\$5,257	\$-	\$-
Totals, State Operations	\$5,257	\$-	\$-
TOTALS, EXPENDITURES			
State Operations	5,937	870	946
Totals, Expenditures	\$5,937	\$870	\$946

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.

† Past year appropriations are net of subsequent budget adjustments.

0989 California Educational Facilities Authority - Continued

1 State Operations	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	4.7	5.0	5.0	\$319	\$349	\$349
Total Adjustments	-	-	-	-	7	7
Net Totals, Salaries and Wages	4.7	5.0	5.0	\$319	\$356	\$356
Staff Benefits	-	-	-	151	170	170
Totals, Personal Services	4.7	5.0	5.0	\$470	\$526	\$526
OPERATING EXPENSES AND EQUIPMENT				\$289	\$344	\$420
SPECIAL ITEMS OF EXPENSES				5,178	-	-
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$5,937	\$870	\$946

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2013-14*†	2014-15*	2015-16*
0911 Educational Facilities Authority Fund			
APPROPRIATIONS			
Education Code Section 94140-94141	\$680	\$850	\$946
Allocation for employee compensation	-	7	-
Allocation for staff benefits	-	3	-
Section 3.60 pension contribution adjustment	-	10	-
TOTALS, EXPENDITURES	\$680	\$870	\$946
0954 Student Loan Authority Fund			
APPROPRIATIONS			
Education Code Section 94102	\$5,257	\$61	-
Funding shift to California Student Aid Commission per 2014 Budget Act	-	-61	-
TOTALS, EXPENDITURES	\$5,257	\$-	\$-
Total Expenditures, All Funds, (State Operations)	\$5,937	\$870	\$946

FUND CONDITION STATEMENTS

	2013-14*	2014-15*	2015-16*
3263 College Access Tax Credit Fund^s			
BEGINNING BALANCE	-	-	400,000
Adjusted Beginning Balance	-	-	\$400,000
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
4171300 Donations	-	1,000,000	650,000
Transfers and Other Adjustments			
Revenue Transfer from College Access Tax Credit Fund (3263) to the General Fund (0001) per Revenue and Taxation Code Section 17053.86 (Ch. 367/2014)	-	-600,000	-350,000
Total Revenues, Transfers, and Other Adjustments	-	\$400,000	\$300,000
Total Resources	-	\$400,000	\$700,000
FUND BALANCE	-	\$400,000	\$700,000
Reserve for economic uncertainties	-	400,000	700,000

CHANGES IN AUTHORIZED POSITIONS

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Totals, Authorized Positions	4.7	5.0	5.0	\$319	\$349	\$349

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
 † Past year appropriations are net of subsequent budget adjustments.

0989 California Educational Facilities Authority - Continued

	Positions			Expenditures		
	2013-14	2014-15	2015-16	2013-14*	2014-15*	2015-16*
Salary and Other Adjustments	-	-	-	-	7	7
Totals, Adjustments	-	-	-	\$-	\$7	\$7
TOTALS, SALARIES AND WAGES	4.7	5.0	5.0	\$319	\$356	\$356

* Dollars in thousands, except in Salary Range. Numbers may not add or match to other statements due to rounding of budget details.
† Past year appropriations are net of subsequent budget adjustments.